# Specification for ETL from OMOP CDM v5.1 to PCORnet CDM v3

Revision Date: December 19, 2016

#### Versions

4/7/2016	Toan	Added the version table
5/5/2016	Don Torok	Added section for Death and Death Cause
8/25/2016	Toan	Added Dispensing
12/19/2016	Don Torok	Reconciled with Conventions Document
		Response to Comments after 12/19/2016 release

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## 1.0 Introduction

The purpose of this document is to provide a mechanism for PCORnet data partners to communicate information about how they transformed data stored in OMOP Common Data Model (CDM) Version 5.1 format into the PCORnet Common Data Model (CDM) Version 3.0. To describe how the information will be used to help the PCORnet Coordinating Center better understand the transformation process, appropriate uses of the PCORnet data, and the comparability of data sources. This document details the approach used for the Extract, Transform, and Load (ETL) process to transform OMOP CDMv5 data elements to the data elements in the PCORnet CDM Version 3.0.

The document, <u>2015-07-29-PCORnet-Common-Data-Model-v3dot0-RELEASE.pdf</u>, should be used in conjunction with this document, as the <u>PCORnet Common Data Model</u> has the data types and descriptions of the PCORnet tables.

This document assumes that the conventions outlined in <u>CDRN Conventions for Populating OMOP CDM</u> were followed in populating the OMOP CDMv5 database. It also requires that OMOP Vocabulary 5 or later be used for the ETL.

## 2.0 Source Data Mapping Approach

This document describes mapping of the target PCORnet Common Data Model (CDM) tables and columns from source OMOP CDM model v5.

The mapping was designed based on OMOP CDM v5 specification, PCORnet CDM v3 specification and Conventions for Populating OMOP CDM v5 for PCORnet v3. The mapping should provide sufficient information in order to design and develop ETL processes.

## 3.0 Source Data Mapping

This section describes mapping process and ETL conversions for transforming data from an OMOP CDM (source) to a PCORNet CDM (destination).

#### 3.1 Data Mapping

Data mapping expects source and target data to be stored in any conventional relational database system per OMOP CDM v5 and PCORNet CDM v3 specifications respectively.

#### 3.1.1 Table: Demographic

PCORI DEMOGRAPHIC table contains one record per patient. Load Demographic data from OMOP Person table as described below.

Demographic field mapping:

Destination Field	Source Field	Applied Rule		(	Comment				
PATID	Person.person_id			C	Convert to text				
BIRTH_DATE	Use Person.year_of_birth, month_of_birth and day_of_birth to construct date. Substitute month and day (each) as '01' if not available in the source.				Changed to da	te data type.			
BIRTH_TIME	Person.time_of_birth	Use NULL if n	ot available	2	Convert to text format 'HH:MI' using 24-hour clock and zero-padding for hour aminute				
SEX	Person.gender_concept_id Char 2	OMOP	to PCORnet		O	MOP Concepts			
		44814664	A = Ambiguous		44814664	Ambiguous			
		8532	F = Female		8532	Female			
		8507	M = Male		8507	Male			
		44014650	NI = No		44814650	No Information			
		44814650	information  UN = Unknown		44814653	Unknown			
		44814649	OT = Other		44814649	Other			
		0	NULL		0	Field does not exist in the source			
HISPANIC	Derive from								
morrave	Person.ethnicity_concept_id	OMOP	to PCORnet		C	OMOP Concepts			
		38003563	Y = Yes		38003563	Hispanic or Latino			
		38003564	N = No		38003564	Not Hispanic or Latino			
		41011111	NI = No		44814650	No Information			
		44814650	information		44814653	Unknown			
		44814649	UN = Unknown  OT = Other		44814649	Other			
		44014049	O1 – Other						

		0 NULL	Field does not exist in the source
RACE	Derive from Person.Race_Concept_id		The mapping for Race from OMOP to PCORnet is given in a table below.
BIOBANK_FLAG	Observation.value_as_concept_id	If at least one record in Specimen table for the patient exist or in the Observation table observation_concept_id is 4001345 (Biobank flag) with value_as_concept_id = 4188539 (Yes) then set biobank_flag as 'Y' else 'N'	The allowable values are 'Y' or 'N'. The absence of a record indicates that there are no biobank specimens.
RAW_SEX	Person.gender_source_value		
RAW_HISPANIC	Person.ethnicity_source_value		
RAW_RACE	Person.race_source_value		

#### OMOP to PCORnet Race Mapping

	ОМОР	PCORnet	
concept	description	Value	
38003600	African	03 = Black or African American	
38003599	African American	03 = Black or African American	
38003573	Alaska Native	01 = American Indian or Alaska Native	
38003572	American Indian	01 = American Indian or Alaska Native	
8657	American Indian or Alaska Native	01 = American Indian or Alaska Native	
38003616	Arab	05 = White	
8515	Asian	02 = Asian	
38003574	Asian Indian	02 = Asian	
38003601	Bahamian	03 = Black or African American	
38003575	Bangladeshi	02 = Asian	

38003602	Barbadian	03 = Black or African American
38003576	Bhutanese	02 = Asian
38003598	Black	03 = Black or African American
8516	Black or African American	03 = Black or African American
38003577	Burmese	02 = Asian
38003578	Cambodian	02 = Asian
38003579	Chinese	02 = Asian
38003604	Dominica Islander	03 = Black or African American
38003603	Dominican	03 = Black or African American
38003614	European	05 = White
38003581	Filipino	02 = Asian
38003605	Haitian	03 = Black or African American
38003582	Hmong	02 = Asian
38003583	Indonesian	02 = Asian
38003593	Iwo Jiman	02 = Asian
38003606	Jamaican	03 = Black or African American
38003584	Japanese	02 = Asian
38003585	Korean	02 = Asian
38003586	Laotian	02 = Asian
38003597	Madagascar	02 = Asian
38003587	Malaysian	02 = Asian
38003594	Maldivian	02 = Asian
38003612	Melanesian	04 = Native Hawaiian or OtherPacific Islander
38003611	Micronesian	04 = Native Hawaiian or OtherPacific Islander
38003615	Middle Eastern or North African	05 = White
8557	Native Hawaiian or Other Pacific Islander	04 = Native Hawaiian or OtherPacific Islander

38003595	Nepalese	02 = Asian
38003588	Okinawan	02 = Asian
38003613	Other Pacific Islander	04 = Native Hawaiian or OtherPacific Islander
38003589	Pakistani	02 = Asian
38003610	Polynesian	04 = Native Hawaiian or OtherPacific Islander
38003596	Singaporean	02 = Asian
38003590	Sri Lankan	02 = Asian
38003580	Taiwanese	02 = Asian
38003591	Thai	02 = Asian
38003607	Tobagoan	03 = Black or African American
38003608	Trinidadian	03 = Black or African American
38003592	Vietnamese	02 = Asian
38003609	West Indian	03 = Black or African American
8527	White	05 = White
44814659	Multiple Race	06 = Multiple Race
44814660	Refuse to answer	07 = Refuse to answer
44814650	No Information	NI = No information
44814653	Unknown	UN = Unknown
44814649	Other	OT = Other
0	Field does not exist in the source	NULL

#### 3.1.2 Table: Enrollment

The ENROLLMENT table has a start/stop structure that contains records for continuous enrollment periods.

"Enrollment" is an insurance-based concept that defines a period during which all medically-attended events are expected to be observed. For partners that do not have enrollment information for some of their patients, other approaches for identifying periods during which complete medical capture is expected can be used.

This table is designed to identify periods during which a person is expected to have complete data capture. Members with medical coverage, drug coverage, or both should be included.

A record is expected to represent a unique combination of PATID, ENR\_START\_DATE.

Currently OMOP CDM is using the earliest and latest encounter dates ('E'), which is in violation of the PCORnet requirement. This is to be discussed with PCORnet.

Enrollment field mapping:

<b>Destination Field</b>	Source Field	Applied Rule		Comment			
PATID	Observation_Period.person_id				Convert to text		
ENR_START_DATE	Observation_Period.observation_period_st art_date						
ENR_END_DATE	Observation_Period.observation_period_en d_date						
CHART	Observation.value_as_concept_id where observation_type_concept_id = 4030450 (Patient chart)	Join to Observation table on person_id, observation_start_date and observation_type_concept_id = 4030450 (Patient chart). If the value_as_concept_id = 4188539 (Yes) then 'Y' else 'N'			The absence of an Observation record for a person for an Observation Period will be interpreted as No.		
ENR_BASIS	Observation_Period.period_type_concept_i	OMO  4481472 2  4481472 3  4481472 5  4481472 4	P to PCORnet  I = Insurance  G = Geography  A = Algorithmic  E = Encounter  Based	-	OM  4481472 2  4481472 3  4481472 5  4481472 4	OP Concepts  Insurance  Geography  Algorithmic  Encounter Based	

#### 3.1.3 Table: Encounter

The ENCOUNTER Table contains one record per ENCOUNTERID (which reflects a unique combination of PATID, ADMIT DATE, PROVIDERID and ENC TYPE).

The encounter table should include information on interactions between patients and providers. Each diagnosis and procedure recorded during the encounter should have a separate record in the Diagnosis or Procedure Tables.

Multiple visits to the same provider on the same day may be considered one encounter (especially if defined by a reimbursement basis); if so, the ENCOUNTER record should be associated with all diagnoses and procedures that were recorded during those visits.

Note: PCORnet requires that all Procedure and Diagnosis records link back to an Encounter record. This is not a requirement for OMOP CDM. It may be necessary for the ETL to 'create' Encounters for some Procedures and Diagnosis records.

#### Encounter field mapping:

<b>Destination Field</b>	Source Field	Applied Rule			Comment			
PATID	Visit_Occurrence.person_id				Convert to text,	Required		
ENCOUNTERID	Visit_Occurrence.visit_occur rence_id				Convert to text,	Required		
ADMIT_DATE	Visit_Occurrence.visit_start_ date					old the encounter ers that are not ER or red		
ADMIT_TIME	Visit_Occurrence.visit_start_ time	If available format time otherwise is sl	as 'hh:mm:ss' military hould be NULL	,				
DISCHARGE_DATE	Visit_Occurrence.visit_end_ date							
DISCHARGE_TIME	Visit_Occurrence.visit_end_t ime	If available format as 'hh:mm:ss' otherwise is should be NULL						
PROVIDERID	Visit_Occurrence.provider_i				Convert to text			
FACILITY_LOCATIO N	Location.zip (first 3 digits only)	Join Visit_Occurrence to Care_Site on care_site_id, then to Location on location_id. NULL if it cannot be derived.			Only if zipcode is available. Otherwise NULL 3-digit zip for PCORNet			
ENC_TYPE	Visit_Occurrence.visit_conc				Required			
	ept_id	OMOP to PCORnet		OMOP to PCORnet			OMO	P Concepts
		IP:	= Inpatient Hospital		9201	Inpatient Visit		
		9201 Sta	ny		9202	Outpatient Visit		
		9202 AV	/ = Ambulatory sit		9203	Emergency Room Visit		
			D = Emergency partment		42898160	Long Term Care Visit		
		De	= Emergency partment Inpatient spital Stay		TBD	Emergency Room - Inpatient Visit		

		42898160	IS = Non-Acute Institutional Stay	44814710	Non-Acute Institutional Stay	
			·			
		44814710	IS = Non-Acute Institutional Stay	44814711	Other ambulatory visit	
		44814711	OA = Other Ambulatory Visit	44814650	No information	
			NI = No information	44814653	Unknown	
		44814650		44814649	Other	
		44814653	UN = Unknown  OT = Other		Field does not exist in the	
		0	NULL	0	source	
	dds a concept for EI, partment to Inpatient, set ype to EI when the ce concept id is 8870 com - Hospital) and the visit 201 (Inpatient Visit).					
FACILITYID d	Visit_Occurrence.care_site_i			Convert to text		
	Visit_occurrence	OMOP uses a				
TION	discharge_to_concept_id		concept_id for both the osition and status. If the	OMOP Concepts		
			ge concept id is to any of ted in the discharge status	4161979	Discharged alive	
			ne assumption is the person dalive. Set to NULL for	4216643	Patient died	
		ambulatory or		44814650	No Information	
		OMO	P to PCORnet	44814653	Unknown	
		4161979	A = Discharged alive	44814649	Other	
		4216643	E = Expired		Field does not	
		44814650	NI = No information	0	exist in the source	
		44814653	UN = Unknown			
		44814649	OT = Other			
		Any other code	A = Discharged alive			

DISCHARGE_STATUS	Visit_occurrence	Set to NULL for	ambulatory or OA visits.		
	.discharge_to_concept_id	OMOP	to PCORnet	OMO	P Concepts
		38004205	AF = Adult Foster Home	38004205	Agencies, Foster Care Agency
		38004301	AL = Assisted Living Facility	38004301	Nursing & Custodial Care Facilities,
		4021968	AM = Against Medical Advice		Assisted Living Facility
		44814693	AW = Absent without leave	4021968	Patient self-discharge
	4216643 EX = Expired		against medical advice		
		38004195	HH = Home Health	44814693	Absent without leave
		8536	HO = Home / Self Care	4216643	Patient died
		8546	HS = Hospice	38004195	Agencies, Home Health
		38004279	IP = Other Acute Inpatient Hospital	8536	Home
			NH = Nursing Home (Includes	8546	Hospice
		8676	ICF)	38004279	Hospitals, General Acute
		8920	RH = Rehabilitation Facility		Care Hospital
			RS = Residential	8676	Nursing Facility
		44814680 8717	Facility  SH = Still In Hospital	8920	Comprehensive Inpatient Rehabilitation Facility:
		8863	SN = Skilled Nursing Facility	44814680	Residential Facility
		44814650	NI = No information	8717	Inpatient
		44814653	UN = Unknown	8863	Hospital Skilled Nursing
		44814649	OT = Other		Facility
		0	NULL	44814650	No information
				44814653	Unknown

				44814649	
DRG	Cost.DRG_source_value		visit_occurrence_id ambulatory or OA visits.		
DRG_TYPE	See Applied Rule	OMOP CDMv5 d information. Use to from the vocabula 01 = CMS-DRG (constant) NI = No information UN = Unknown OT = Other	the appropriate value ry below: old system) urrent system)	02- double cl	neck
ADMITTING_SOURCE	Visit_occurrence	Not applicable to	ambulatory or OA visits.		
	.admitting_source_concept _id	ОМОР	to PCORnet	OM	IOP Concepts
		38004205	AF = Adult Foster Home	38004205	Agencies, Foster Care Agency
		38004195	HH = Home Health		Agencies, Home
		38004207	AV = Ambulatory Visit	38004195	Health  Ambulatory Health
		8920	RH = Rehabilitation Facility	2000 1207	Care Facilities, Clinic/Center, Ambulatory
		8870	ED = Emergency Department	38004207	Surgical Comprehensive
			8536	HO = Home / Self Care	8920
		8546	HS = Hospice		Emergency Room -
		38004279 Inpatier		8870 8536	Hospital Home
		38004301	AL = Assisted 38004301 Living Facility		Hospice
			NH = Nursing Home (Includes	38004279	Hospitals, General Acute Care Hospital
		8676	ICF)		Nursing & Custodial
		44814680	RS = Residential Facility	38004301	Care Facilities, Assisted Living Facility

	I				
		8863	SN = Skilled Nursing Facility	8676	Nursing Facility
		8803		44814680	Residential facility
		44814650	NI = No information	8863	Skilled Nursing Facility
		44814653	UN = Unknown	44814650	No Information
		44814649	OT = Other		
		0	NULL	44814653	Unknown
				44814649	Other
				0	Field does not exist in the source
RAW_ENC_TYPE	Visit_Occurrence.visit_sourc e_value				
RAW_ DISCHARGE_DISPOSI TION	Visit_occurrence .discharge_to_source_value	Not applicable to	ambulatory or OA visits.	used to popula	ge_to_source_value is ate both discharge d discharge status
RAW_ DISCHARGE_STATUS	Visit_occurrence .discharge_to_source_value	Not applicable to	ambulatory or OA visits.		
RAW_DRG_TYPE	NULL	Not applicable to	ambulatory or OA visits.		
RAW_ ADMITTING_SOURCE	Visit_occurrence .admitting_source_value	Not applicable to	ambulatory or OA visits.		

#### 3.1.4 Table: Diagnosis

DIAGNOSIS should capture unique diagnoses made during an encounters, except those generated from problem lists. If a patient has multiple diagnoses associated with one encounter, then there should be one record in this table for each diagnosis. Exclude records from the OMOP CDM where the Condition Type Concept is EHR problem list entry (38000245). Records where the Condition Type Concept is EHR problem list entry will go into the PCORnet Condition table.

The admit date for the diagnosis is copied from the encounter record which is the admission or appointment date, whereas in the OMOP CDM, the condition occurrence date is when the condition was defined. Therefore, it is possible that there will be more than one of the same diagnoses during a visit in the OMOP CDM. Duplicate records are also possible due to the mapping of one source code to multiple standard codes.

Duplicate diagnosis records should be reduced to a single record for PCORnet based on the following Condition Occurrence attributes: <code>person\_id</code>, <code>visit occurrence id</code> and <code>condition source value</code>. Some of the columns in the duplicated records may have different values, for example, the <code>condition status source value</code> might be 'Admitting' for one record and 'Final' for another. The desire is to select the most definitive record. So in dedupping, order the records by the <code>condition status source values</code>: Final/Discharge, Admitting, Interim, No Information, Unknown, Other, NULL, and then by the <code>condition type</code>: Principal, Secondary, Unable to Classify, No Information, Unknown, Other, NULL. Take the values from the first row.

#### Diagnosis field mapping:

Destination Field	Source Field	Applied Rule	Comment
DIAGNOSISID	Condition_Occurrence .condition_occurence_id		Convert to text Arbitrary id, per PCORNet does not need to be persistent across refreshes. Required
PATID	Condition_Occurrence.person_id		Convert to text. Required
ENCOUNTERI D	Condition_Occurrence .visit_occurrence_id		Convert to text. Required
ENC_TYPE	Encounter.enc_type	Join to [target] Encounter table on Encounter.encounterid = Condition_Occurrence.visit_occurrence_id	Copied from ENCOUNTER record. Required
ADMIT_DATE	Encounter.admit_date	Join to Encounter table on Encounter.encounterid = Condition_Occurrence.visit_occurrence_id	Copied from ENCOUNTER record. Required
PROVIDERID	Encounter.provider_id	Join to encounter table on Encounter.encounterid = Condition_Occurrence.visit_occurrence_id	Convert to text Copied from ENCOUNTER record
DX	Condition_Occurrence .condition_source_value		PCORnet expects to see diagnosis codes as they were represented in the source system. Required
DX_TYPE	Derive from Concept .vocabulary_id	Join condition_source_concept_id to Concept.concept_id to get vocabulary_id  OMOP to PCORnet Vocabulary Mapping  ICD9CM	If a local ontology is used, and cannot be mapped to a standard ontology such as ICD-9-CM, DX_TYPE should be populated as "Other". Required.

		use 'OT' ('Other')	
DX_SOURCE	Condition_Occurrence		Required
	.condition_status_concept_id	OMOP to PCORnet	OMOP Concepts
		4203942 AD = Admitting	Admitting diagnosis
		4230359 FI = Final/Discharge	Final
		4033240 IN = Interim	/discharge diagnosis
		44814650 No Information	Preliminary
		44814653 Unknown	4033240 diagnosis
		44814649 Other	44814650 No Information
			0 Unknown
			44814649 Other
	.condition_type_concept_id	Else If condition_type_concept_id = 44786629 Then 'S' (Secondary) Else If respective Visit_Occurrence.visit_concept_id are 9202 (Outpatient Visit) 9203 (Emergency Room Visit) 44814711 (Other ambulatory visit) Then 'X' (Unable to Classify) Else  OMOP to PCORnet  44814650 No Information 44814653 Unknown  44814649 Other	on IP and IS encounters.  Primary Condition (44786627) and Secondary Condition(44786629)
RAW_DX	Condition_Occurrence .condition_source_value		Load source values 'as is' - with source-specific suffixes and prefixes.
RAW_ DX_TYPE	Concept.vocabulary_id	Same as dx_type above	
RAW_ DX_SOURCE	Condition status source value	If de-dupping, should match the dx_source	;

RAW_PDX	Concept.concept_name	If condition_type_concept_id IN(44786627, 44786629) join to	Primary Condition (44786627) Secondary Condition (44786629)
		Concept_concept_id Otherwise NULL	

#### 3.1.5 Table: Procedure

The PROCEDURE Table contains one record per unique combination of PATID, ENCOUNTERID, PX, and PX\_TYPE. Because the date in the procedure table is that of the encounter, not necessarily when the procedure was performed, there may be multiples of the same procedure for the person/encounter/date when selecting from the OMOP procedure table. Duplicate records are also possible due to the mapping of one source code to multiple standard codes. These duplicated procedure records should be reduced to a single record in PCORnet based on procedure\_occurrence.visit\_occurrence\_id and procedure\_occurrence.procedure\_source\_value.

In OMOP CDM Procedure\_Occurrence.visit\_occurrence\_id is optional, however PCORNet CDM specification requires mandatory encounter id for DIAGNOSIS and PROCEDURE. Exclude procedures where the visit\_occurrence\_id is NULL.

Procedure field mapping:

Destinatio n Field	Source Field	Applied Rule	Comment
PROCEDURE SID			Required
PATID	Procedure_Occurrence.person_id		Convert to text. Requred
ENCOUNTERI D	Procedure_Occurrence.visit_occurrence_id		Convert to text. Required
ENC_TYPE	Encounter.enc_type	Join to [target] Encounter table on Procedure_Occurrence.visit_occurrence_id = Encounter.encounterid	Copied from ENCOUNTER record. Required
ADMIT_DATE	Encounter.admit_date	Join to Encounter table on Procedure_Occurrence.visit_occurrence_id = Encounter.encounterid	Copied from ENCOUNTER record. Required
PROVIDERID	Encounter.provider_id	Join to encounter table on Procedure_Occurrence.visit_occurrence_id = Encounter.providerid	Convert to text Copied from ENCOUNTER record.
PX_DATE	procedure_occurrence.procedure_date		
PX	procedure_occurrence.procedure_source _value Otherwise Concept.concept_code	If procedure_source_concept_id is 44814649 (Other) or 0 (No matching concept), use procedure_occurrence. procedure_source_value. Otherwise	PCORnet expects to see all procedure codes as they were represented in the source system. Therefore, use source_concept_id or

		join procedure_se Concept.concept_	ource_concept_id to id.	source_value to represent PX in the source coding system. Required
PX_TYPE	Derive from Concept.vocabulary_id	Concept.concept_ OMOP to PC	ource_concept_id to id to get vocabulary_id CORnet Vocabulary lapping  09 = ICD-9-CM  09 = ICD-9-CM  10 = ICD-10-PCS  C4 = CPT-4 (i.e., HCPCS Level I)  HC = HCPCS (i.e., HCPCS Level II)  LC = LOINC  ND = NDC  RE = Revenue  OT = Other	Required  OMOP Vocabulary Codes  ICD9CM  ICD9Proc  ICD10PCS  CPT4  HCPCS  LOINC  NDC  Revenue Code  PCORNet
PX_SOURCE	procedure_occurrence.procedure_type_c oncept_id	Otherwise Use 'OT' ('Other  If the procedure to any of the claims EHR Order List, so Hospital Cost Reconstruction of the Cost Rec	ype is: values set to CL set to OD cord, set to BI	PCORnet values are OD=Order BI=Billing CL=Claim NI=No information UN=Unknown OT=Other
RAW_PX	Procedure_Occurrence.procedure_source _value			
RAW_PX_TY PE	Concept.concept_id	If source_condition 44814649 (Other) concept), use 'OT Otherwise, join procedure_source Concept.concept_	or 0 (No matching "('Other').  _concept_id to	

#### 3.1.6 Table: Vital

#### Measurements to Vital

Multiple measurements per encounter can be populated (for example, 3 blood pressure readings). There will be records where not all the vital statistics are defined. Create a record any time there is at least one of the attributes, weight, blood pressure, height or BMI is defined.

Vital signs data are sourced from OMOP Measurement and Observation tables.

Records corresponding to one visit may be grouped into one Vital record or represented as one Vital record per one vital sign.

Systolic and diastolic blood pressure coming from the same measurement must be by grouped into one record by utilizing Fact\_Relationship link between the two records in the Measurement table as follows. Fact\_id\_1 and fact\_id\_2 should be equal to the respective measurement\_id of diastolic and systolic BP records. Domain\_concept\_id\_1 and domain\_concept\_id\_2 should be equal to 21 ('Measurement'). Relationship\_concept\_id should be equal to 46233682 ('Diastolic to systolic blood pressure measurement').

OMOP Measurement to PCORnet VITAL field mapping:

Destinatio n Field	Source Field	Applied Rule		Comment	
VITALID				Required	
PATID	Measurement.person_id			Convert to tex	t. Required
ENCOUNTER ID	Measurement.visit_occurrence_i d or NULL			This is an opti ENCOUNTED if the vitals we healthcare del Measurement.	ounter-level identifier. onal relationship; the RID should be present ere measured as part of ivery: measurement_type_co bservation Recorded
MEASURE_D ATE	Measurement. measurement_date			Required	
MEASURE_T IME	Measurement.measurement_tim	Text. Format as 'HI zero-padding for ho	H:MI' as 24 hours clock with ours and minutes.		
VITAL_SOUR CE	Measurement. measurement_type_concept_id	OM6 Concept Id 45754907 44818701	OP to PCORnet  PCORnet Value  OT = Other  HC = Healthcare delivery setting	Concept  45754907  44818701  44818702  44818703	Description  Derived value  From physical examination  Lab result  Pathology finding

		1481	8702	HC = Health	care delivery	44818704	Patient reported
		1401	10702	setting	care derivery	44010704	value
		4481	8703	HC = Health setting	care delivery	5001	Test ordered through EHR
		4481	8704	PR=Patient-	reported	If multiple vita	al signs are compiled
			5001	HC = Health setting	care delivery		e record, measurement_Type_C st be the same.
			0	OT = Other		Required	
НТ	Measurement.value_as_number	Where Meas 3036277 (Bo Convert to in	ody hei		ent_concept_id =		
WT	Measurement.value_as_number	Where Meas 3025315 (Bo Convert to p	ody we		ent_concept_id =		
DIASTOLIC	Measurement.value_as_number			nt .measureme 703, 3019962,	ent_concept_id 3013940)		
SYSTOLIC	Measurement.value_as_number	Where Measurement .measurement_concept_id in (3004249, 3018586, 3035856, 3009395 )					
ORIGINAL_B MI	Measurement.value_as_number	Where Measurement .measurement_concept_id = 3038553 (Body mass index)					
BP_POSITIO	derived from						blood pressure taken
N	Measurement.measurement_con cept_id	Concept	Descr	iption	PCORnet Value	systolic code p	n the diastolic and provided.
		3034703		olic Blood are - Sitting	'01'		
		3019962	Diasto Pressu Stand		<b>'02'</b>		
		3013940		olic Blood are - Supine	'03'		
		3012888	Diasto	olic BP	'NI'		
		3018586		lic Blood are - Sitting	'01'		
		3035856	Systol Pressu Stand		'02'		

	Measurement.measurement_sou rce_value	Systolic Blood Pressure - Supine '03'  3004249 Systolic BP 'NI'  NULL if no blood pressure reading in this record.  Where Measurement .measurement_concept_id in (3012888, 3034703, 3019962, 3013940)	OMOI 3012888 3034703 3019962	P Concepts  BP diastolic  Diastolic blood pressuresitting  Diastolic blood pressurestandin g  Diastolic blood pressurestandin
RAW_ DIASTOLIC	Measurement.measurement_sou rce_value	Measurement .measurement_concept_id in (3004249, 3018586, 3035856, 3009395 )	OMOL	26
				Concepts
			3018586 Sy	stolic blood essuresitting
				stolic blood essurestanding
RAW_				stolic blood essuresupine
RAW_BP_ POSITION	NULL		Not available	

#### Tobacco to Vital

Tobacco status and tobacco type Observation records are grouped into one VITAL record by *person\_id* and *visit\_occurrence\_id* or *obsevation\_date* where the *Observation.observation\_concept\_id* is set to 4041306 (Tobacco use and exposure). A scheme to convert these records into the PCORNET values for *smoking, tobacco* and *tobacco\_type* is explained in the document 'A Solution for Mapping OMOP Observation to PCORnet Vital Smoking'. This will allow the ETL to consolidate the various possible tobacco related responses into a single set of values for PCORnet.

### OMOP Observation to PCORnet VITAL field mapping for Tobacco:

Destination Field	Source Field	Applied Ru	le	Comment
VITALID				
PATID	Observation.person_id			Convert to text
ENCOUNTERI D	Observation.visit_occurr ence_id or NULL			Convert to text Arbitrary encounter-level identifier. This is an optional relationship; the ENCOUNTERID should be present if the vitals were measured as part of healthcare delivery: Observation.Observation_type_concept_i d = 'Observation Recorded from EHR' (38000276).
MEASURE_DA TE	Observation. Observation_date			
MEASURE_TI ME	Observation.Observation _time		HH:MI' as 24 hours clock g for hours and minutes.	
VITAL_SOURC	Observation.			Relevant OMOP concepts are: 'Patient reported' (44814721) or 'Observation Recorded from EHR' (38000276).
Е	Observation_type_conce pt_id	OMOI	o to PCORnet	
		44814721	PR = Patient-reported	If multiple vital signs are compiled together in one record, Observation.Observation_Type_Concept
		38000276	HC = Healthcare delivery setting	_ID must be the same.
		All other codes	OT = Other	
SMOKING	Observation.value_as_co ncept_id		on.observation_concept_id = co use and exposure)	See 'A Solution for Mapping OMOP Observation to PCORnet Vital Smoking'
		Valid	l PCORnet values	
		01	Current every day smoker	
		02	Current some day smoker	
		03	Former smoker	
		04	Never smoker	
		05	Smoker, current status unknown	

		06	Unknown if ever smoked	
		07	Heavy tobacco smoker	
		08	Light tobacco smoker	
		NI	No information	
		UN	Unknown	
		ОТ	Other	
TOBACCO	Observation.value_as_co		on.observation_concept_id = o use and exposure)	See 'A Solution for Mapping OMOP Observation to PCORnet Vital Smoking'
	ncept_id	Valid	PCORnet values	
		01	Current user	
		02 N	Never	
		03	Quit/former user	
			Passive or environmental exposure	
			Not asked	
		NI N	No information	
			Jnknown	
			Other	
			Julei	
TOBACCO_TY PE	Observation.value_as_co		on.observation_concept_id = to use and exposure)	See 'A Solution for Mapping OMOP Observation to PCORnet Vital Smoking'
		Valid	PCORnet values	
		01	01 = Cigarettes only	
		02	Non-smoked tobacco only	
		03	Cigarettes and other tobacco	
		04	None	
		05	Use of smoked tobacco but no information about	

	NI UN OT	non-smoked tobacco use  No information  Unknown  Other	
RAW_SMOKIN G	Set to NULL		
RAW_TOBACC O	Set to NULL		
RAW_TOBACC O_TYPE	Set to NULL		

#### 3.1.7 Table: LAB\_RESULT\_CM

The LAB RESULT CM table contains one record per LAB RESULT CM ID.

Only records with actual lab results should be included in this table. If the results suggest that the test was run (e.g., result is "borderline") include it. But if the test is not resulted for any reason then do not include it.

The source for Lab results in OMOP CDM is the Measurement table, all measurement records where Measurement\_measurement\_type\_concept\_id is 44818702 ('Lab result'). In OMOP CDM, lab tests are represented by LOINC codes. The following LAB\_RESULT\_CM fields are derived from the LOINC codes: LAB\_NAME, LAB\_LOINC, SPECIMEN\_SOURCE, and RESULT\_UNIT. Mappings for selected LOINC codes between OMOP concepts and these attributes are presented in the table below. This list will have to be expanded as the list of target LOINC codes grows.

PCORnet Lab Name	OMOP Concept ID	LAB_NAME	LAB_LOINC	SPECIMEN_SOURCE	RESULT_UNIT
Troponin I cardiac	3021337	TROP_I	10839-9	SR_PLS	NG/ML
Creatinine kinase MB/creatinine kinase total	3007150	CK-MBI	12187-1	SR_PLS	PERCENT
Creatinine	3016662	CREATININ E	12190-5	ОТ	MG/DL
Low-density lipoprotein	3028288	LDL	13457-7	SR_PLS	

Creatinine kinase MB	3005785	CK_MB	13969-1	SR_PLS	NG/ML
Low-density lipoprotein	3009966	LDL	18262-6	SR_PLS	MG/DL
Creatinine kinase MB/creatinine kinase total	3016311	CK-MBI	20569-0	SR_PLS	PERCENT
Low-density lipoprotein	3028437	LDL	2089-1	SR_PLS	MG/DL
Creatinine kinase total	3007220	CK	2157-6	SR_PLS	U/L
Creatinine	3016723	CREATININ E	2160-0	SR_PLS	MG/DL
Low-density lipoprotein	3001308	LDL	22748-8	SR_PLS	
Creatinine kinase MB	3029790	CK_MB	32673-6	SR_PLS	U/L
Troponin T cardiac (qualitative)	3042837	Trop_T_QL	33204-9	SR_PLS	
Creatinine	3051825	CREATININ E	38483-4	BLOOD	MG/DL
Troponin I cardiac	3033745	TROP_I	42757-5	BLOOD	NG/ML
Low-density lipoprotein	3046549	LDL	43727-7	SR_PLS	ОТ
Hemoglobin A1c	3004410	A1C	4548-4	BLOOD	PERCENT
Low-density lipoprotein	3053190	LDL	47213-4	SR_PLS	
Troponin T cardiac (qualitative)	3048529	Trop_T.QN	48425-3	BLOOD	UG/L

Troponin T cardiac (qualitative)	3052931	Trop_T_QL	48426-1	BLOOD	
Creatinine kinase MB/creatinine kinase total	3048863	СК-МВІ	49136-5	SR_PLS	
Low-density lipoprotein	40757565	LDL	54434-6	SR_PLS	ОТ
Low-density lipoprotein	40758569	LDL	55440-2	SR_PLS	MG/DL
Creatinine kinase MB	3017761	CK_MB	5912-1	SR_PLS	
International normalized ratio	3022217	INR	6301-6	РРР	
Troponin T cardiac (qualitative)	3019572	Trop_T.QN	6597-9	BLOOD	UG/L
Troponin T cardiac (qualitative)	3019800	Trop_T.QN	6598-7	SR_PLS	UG/L
Hemoglobin	3000963	HGB	718-7	BLOOD	G/DL

## Lab Result CM field Mapping: (assumes that non-OMOP-standard columns added for Order date and Result date/time)

<b>Destination Field</b>	Source Field	Applied Rule	Comment
LAB_RESULT_CM_ID	Measurement.measurement_id		Convert to text
PATID	Measurement.person_id		Convert to text
ENCOUNTERID	Measurement.visit_occurrence_id or NULL		Convert to text Arbitrary encounter-level identifier. This is an optional relationship; the ENCOUNTERID should be present if the labs were taken as part of healthcare delivery
LAB_NAME	Measurement.measurement_concept_i	Derived from Measurement. measurement_concept_id., see the mapping table above.	

SPECIMEN_SOURCE	Measurement.measurement_concept_i	Derived from Measurement. measurement_concept_id., see the mapping table above.	
LAB_LOINC	Measurement.measurement_concept_i	Derived from Measurement. measurement_concept_id., see the mapping table above.	
PRIORITY	measurement.priority		Optional column added to OMOP Measurement table
RESULT_LOC	NULL		Not populated
LAB_PX	NULL		Not populated
LAB_PX_TYPE	NULL		Not populated
LAB_ORDER_DATE	measurement.lab_order_date		Optional column added to OMOP Measurement table
SPECIMEN_DATE	Measurement.measurement_date		
SPECIMEN_TIME	Measurement.measurement_time	Text. Format as 'HH:MI' as 24 hours clock with zero-padding for hours and minutes.	
RESULT_DATE	Measurement.result_date		Optional column added to OMOP Measurement table
RESULT_TIME	Measurement.result time	Text. Format as 'HH:MI' as 24 hours clock with zero-padding for hours and minutes.	Optional column added to OMOP Measurement table
RESULT_QUAL	Measurement.value_as_concept_id		Not populated for the PCORnet required labs but may be populated for other labs.
RESULT_NUM	Measurement.value_as_number		
RESULT_MODIFIER	Concept.concept_code	Derived by linking Measurement.measurement_source_concep t_id to Concept.concept_id.  OMOP to PCORnet	OMOP Concepts for Operators
		4171756 LT = Less than	Concept ID Concept Name
		4171754 LE = Less than or	4171756 <
		equal to	4171754 <=
		4172703 EQ = Equal	4172703 =
		4172704 GT = Greater than	

RESULT_UNIT	Measurement.measurement_concept_i	Derived from M measurement_otable above.	GE = Greater than or equal to  Measurement. concept_id., see the mapping	4172704 > 4171755 >=
NORM_RANGE_LOW	measurement.range_low			
NORM_MODIFIER_LO W	NULL			Not populated
NORM_RANGE_HIGH	measurement.range_high			
NORM_MODIFIER_HI GH	NULL			Not populated
ABN_IND	measurement.value_as_concept_id	Values that indi the map these t Concept Id 4135493 4267416 4328749 4069590		Concept Descr 4135493 Abnormal 4267416 Low 4328749 High 4069590 Normal
RAW_LAB_NAME	Measurement.measurement_source_va			
RAW_LAB_CODE	Concept.concept_code	Derived by link Measurement.n t_id to Concept	neasurement_source_concep	
RAW_PANEL	NULL			Not populated
RAW_RESULT	Measurement.value_source_value			
RAW_UNIT	Measurement.unit_source_value			
RAW_ORDER_DEPT	NULL			
RAW_ FACILITY_CODE	NULL			

#### 3.1.8 Table: CONDITION

A condition represents a patient's diagnosed and self-reported health conditions and diseases. The patient's medical history and current state may both be represented.

CONDITION should capture all records from the OMOP CDM where the Condition Type Concept is EHR problem list entry (38000245) or Patient Self-Reported Condition (45905770).

Exclude CONDITION row is the *condition\_source\_value* is a test description rather than a coding vocabulary value.

Condition field mapping:

<b>Destination Field</b>	Source Field	Applied Rule	Comment
CONDITIONID			
PATID	Condition_Occurrence.person_i		Convert to text
ENCOUNTERID	Condition_Occurrence.visit_occ urrence_id		Convert to text
REPORT_DATE	Encounter.admit_date	Join to [target] Encounter table on Condition_Occurrence.visit_occurrence_id = Encounter.encounterid	Copied from ENCOUNTER record
RESOLVE_DATE	condition_occurrence.condition _end_date		
ONSET_DATE	condition_occurrence.condition _start_date		
CONDITION_STATUS	Derive from condition_end_date	If condition_end_date is null then 'AC' - Active Else 'RS' - Resolved	Condition Status = Inactive will not be populated.
CONDITION	Condition_Occurrence.conditio n_source_value	Exclude rows if the condition source value is a text description rather than a code.	
CONDITION_TYPE	Derive from Concept.vocabulary_id	Join source_condition_concept_id to Concept.concept_id to get vocabulary_id  OMOP to PCORnet Vocabulary Mapping  ICD9CM	OMOP Vocabularies  ICD9CM  ICD10CM  SNOMED  PCORNet

CONDITION_SOURCE	Derive from	SNOMED PCORNet Otherwise use 'OT' ('O	SM = SNOMED CT OT = Other		
_	Condition_Occurrence.conditio n_type_concept_id	OMOP to I	PCORnet	Concept	Desciption
	1 - 2 / F - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 - 2 -	38000245	HC=Healthcare problem list	38000245	EHR problem list entry
		44819221 44814649	PC=PCORnet-defin ed condition algorithm NI=No information  Other	44819221	Patient-reported
RAW_CONDITION_ST ATUS	NULL				
RAW_CONDITION	Condition_Occurrence.conditio n_source_value				
RAW_CONDITION_TY PE	Observation.vocabulary_id	44814649 ('Other'). Otherwise, jo	urce_concept_id to		
RAW_CONDITION_SO URCE	NULL				

#### 3.1.9 Table: PRESCRIBING

Provider orders for medication dispensing and/or administration.

PRESCRIBING should capture all uniquely recorded <u>in-patient</u> medication dispensing and administration. The PRESCRIBING table in the PCORnet CDM is populated with all records in the DRUG EXPOSURE table with Drug\_type\_concept\_id =

- 38000180 (Inpatient administration),
- 38000179 (Physician administered drug (identified as procedure)),

- 43542358 (Physician administered drug (identified from EHR observation)),
- 43542357 (Physician administered drug (identified from referral record)),
- 38000177 (Prescription written)

If a medication cannot be mapped to RxNorm, it should still be present

#### PRESCRIBING field mapping:

<b>Destination Field</b>	Source Field	Applied Rule	Comment
PRESCRIBINGID			
PATID	Drug_exposure.person_id		Convert to text
ENCOUNTERID	Drug_exposure.visit_occurrence_id		Convert to text
RX_PROVIDERID	Drug_exposure.provider_id		Convert to text
RX_ORDER_DATE	Drug_exposure.order_date		Order date added to Drug Exposure
RX_ORDER_TIME		Set to NULL	Not available in OMOP
RX_START_DATE	Drug_exposure.drug_exposure_start_date		
RX_END_DATE	Drug_exposure.drug_exposure_end_date		
RX_QUANTITY	Drug_exposure.quantity		
RX_REFILLS	Drug_exposure.refills		
RX_DAYS_SUPPLY	Drug_exposure.days_supply		
RX_FREQUENCY	drug_exposure.frequency	Map the frequency to the PCORnet values: 01=Every day 02=Two times a day (BID) 03=Three times a day (TID) 04=Four times a day (QID) 05=Every morning 06=Every afternoon 07=Before meals 08=After meals 09=As needed (PRN) NI=No information UN=Unknown OT=Other	Frequency added to drug exposure

RX_BASIC	Derive from drug_type_concept_id	If drug_type_concept_id is '38000177' Then 01 (Dispensing) If drug_type_concept_id is IN ('38000180',' 38000179',' 43542358',' 43542357') Then 02 (Administration) Else Other	
RXNORM_CUI	Concept.concept_code	Join to Concept table on drug_concept_id = concept_id	vocabulary_id = 'RxNorm'
RAW_RX_MED_NAME	Concept.concept_name	Join to Concept table on drug_concept_id = concept_id	vocabulary_id = 'RxNorm'
RAW_RX_FREQUENCY	Drug_exposure.effective_dose		
RAW_RXNORM_CUI	Concept.concept_code	Join to Concept table on drug_source_concept_id = concept_id	Not limited to RXNORM

#### 3.1.10 Table: DISPENSING

Outpatient pharmacy dispensing, such as prescriptions filled through a neighborhood pharmacy with a claim paid by an insurer. Outpatient dispensing is not commonly captured within healthcare systems.

DISPENSING should capture all uniquely recorded <u>outpatient</u> medication dispensing usually from claims data. The DISPENSING table in the PCORnet CDM is populated with all records in the DRUG EXPOSURE table with Drug type concept id =

- 38000175 (Prescription dispensed in pharmacy),
- 38000176 (Prescription dispensed through mail order)

#### DISPENSING field mapping:

<b>Destination Field</b>	Source Field	Applied Rule	Comment
DISPENSINGID			
PATID	Drug_exposure.person_id		Convert to text
PRESCRIBINGID	NULL		How to link Precsribing ID to Dispensing ID? Per PCORNet: This is an optional relationship to the PRESCRIBING table, and may not be generally

			available. One prescribing order may generate multiple dispensing records.
DISPENSE_DATE	Drug_exposure.drug_exposure_start_date		
NDC	JOIN Drug_exposure WITH Vocabulary ON Drug_exposure.drug_source_concept_id = Vocabulary.Concept_ID  If vocabulary.vocabulary_id = 'NDC' Then Vocabulary.concept_code Else Map RxNORM code in drug_concept_id to NDC	If one rxNORM code maps to multiple NDC codes, pick the one with lowest concept code.	
DISPENSE_SUP	Drug_exposure.day_supply		
DISPENSE_AMT	Drug_exposure.quantity		
RAW_NDC	Drug_exposure.drug_source_value		

#### 3.1.11 Table: Death

The DEATH table contains one record per unique combination of PATID, DEATH\_DATE, and DEATH\_SOURCE.

Death field mapping:

<b>Destination Field</b>	Source Field	Applied Rule	Comment
PATID	Death.person_id		Convert to text
DEATH_DATE	Death.death_date		
DEATH_DATE_IMPUTE	'N'= Not Imputed		When date of death is imputed, this field indicates which parts of the date were imputed. Current assumption is that dates are not imputed.
DEATH_SOURCE	'L' = Other, Locally defined	"Other, locally defined" may be used to indicate presence of deaths reported from EHR systems, such as in-patient hospital deaths or dead on arrival.	Possible values: L=Other, locally defined N=National Death Index D=Social Security S=State Death files T=Tumor data NI=No information UN=Unknown OT=Other

DEATH_MATCH_ CONFIDENCE	'E' Excellent	Possible values: E=Excellent F=Fair P=Poor NI=No information
		NI=No information
		UN=Unknown
		OT=Other

#### 3.1.12 Table: Death Cause

The DEATH\_CAUSE table contains one record per unique combination of PATID, DEATH\_CAUSE, DEATH\_CAUSE\_CODE, DEATH\_CAUSE\_TYPE, and DEATH\_CAUSE\_SOURCE.

Only create a record when the cause\_of\_death\_concept\_id is defined in the OMOP death table.

#### Death Cause field mapping:

Destination Field	Source Field	Applied Rule	Comment
PATID	Death.person_id		Convert to text
DEATH_CAUSE	Death.cause_of_death_source_val ue		
DEATH_CAUSE_ CODE	Death.cause_of_death_concept_id	Join to vocabulary.concept and derive from vocabulary_id. Use the following: 2 - '09 34 - '10' 0 - 'UN' Else 'OT'	Cause of death code type. Possible values: 09=ICD-9 10=ICD-10 NI=No information UN=Unknown OT=Other
DEATH_CAUSE _ TYPE	'NI'= No Information		Possible values: C=Contributory I=Immediate/Primary O=Other U=Underlying NI=No information UN=Unknown OT=Other
DEATH_CAUSE_ SOURCE	'L' = Other, Locally defined	"Other, locally defined" may be used to indicate presence of deaths reported from EHR systems, such as in-patient hospital deaths or dead on arrival.	Possible values: C=Contributory I=Immediate/Primary O=Other U=Underlying NI=No information UN=Unknown OT=Other

OT=Other	DEATH_CAUSE_ CONFIDENCE	F=Fair		Possible values: E=Excellent F=Fair P=Poor NI=No information UN=Unknown OT=Other
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## **4.0** Outstanding Issues

#### **Immediate**

1. Check with OHDSI if concept 44814723 has been corrected: 'Period while enrolled in study' should be changed to 'Geography based'.

#### Parking lot

1. TBD: Do we transfer to PCORI 'invalid' DX's? For example '250.x' Indicates diabetes but it is not a valid ICD9 code. Those will be stored in OMOP with source\_concept\_id=0. If yes, will we have DX\_TYPE of 'Other'? Need PCORnet feedback

[dmt1]Change to agree with updated conventions document [dmt2]Change to agree with current conventions