# Assignment 5

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# 1 Problem 1: Electron-Hydrogen V-matrix elements

Electron-hydrogen scattering involves interaction of an incoming electron with a hydrogen atom. We solve the the Schrödinger equation for the system, accounting for the electron-electron interactions by calculating the partial-wave V-matrix elements. This can later be used to calculate scattering amplitudes and cross sections to gain better understanding of the scattering process. The calculations involve both direct and exchange matrix elements, and we employ numerical techniques to evaluate these integrals efficiently.

### 1.1 Partial-Wave V-Matrix Elements

The partial-wave V-matrix elements for electron-hydrogen scattering are given by:

$$V_{f,i}^{S}(k',k) = \langle k'\Phi_f | V^S | \Phi_i k \rangle \tag{1}$$

This can be decomposed into direct and exchange terms as:

$$V_{f_i}^S(k',k) = \langle k'\Phi_f | V_1 + V_{12} | \Phi_i k \rangle - (-1)^S \langle k'\Phi_f | E - H | k\Phi_i \rangle$$
 (2)

where  $V_1$  and  $V_{12}$  are the incident electron-nuclear potential and electron-electron potential, respectively.

#### 1.1.1 Direct Term

The direct V-matrix elements represent the interaction where the incident electron directly interacts with the target electron in the hydrogen atom without any exchange of identities between the incident and target electrons and is given by:

$$\langle k' \Phi_f | V_1 + V_{12} | \Phi_i k \rangle = \frac{2}{\pi} \int_0^\infty \int_0^\infty \sin(k' r_1) \Phi_f(r_2) \left( \frac{1}{r_>} - \frac{1}{r_1} \right) \Phi_i(r_2) \sin(k r_1) dr_1 dr_2 \qquad (3)$$

where we use the s-wave model and use partial wave expansion (only for  $\ell = 0$  in this case) for the incident electron. This can be simplified and computed efficiently by evaluating the integral in the form:

$$\langle k' \Phi_f | V_1 + V_{12} | \Phi_i k \rangle = \frac{2}{\pi} \int_0^\infty \sin(k' r_1) \sin(k r_1) \left[ \frac{1}{r_1} \int_0^{r_1} \Phi_f(r_2) \Phi_i(r_2) dr_2 + \int_{r_1}^\infty \frac{\Phi_f(r_2) \Phi_i(r_2)}{r_2} dr_2 - \frac{\delta_{fi}}{r_1} \right] dr_1 \quad (4)$$

### 1.1.2 Exchange Term

The exchange term in electron-electron scattering represents the interaction where the incident and target electrons exchange their identities. This term arises due to the indistinguishability of the electrons and the need for the overall wavefunction to be anti-symmetric. The exchange term is expressed below and is dependent on the total energy of the system:

$$\langle k'\Phi_f|E - H|k\Phi_i\rangle = (E - \frac{k'^2}{2} - \frac{k^2}{2})\langle k'|\Phi_i\rangle\langle\Phi_f|k\rangle - \langle k'|V_1|\Phi_i\rangle\langle\Phi_f|k\rangle - \langle k'|\Phi_i\rangle\langle\Phi_f|V_2|k\rangle - \langle k'\Phi_f|V_{12}|k\Phi_i\rangle$$
(5)

The non-separable term  $\langle k'\Phi_f|V_{12}|k\Phi_i\rangle$  has the same form as the  $V_{12}$  term in the direct matrix element and is calculated similarly:

$$\langle k' \Phi_f | V_{12} | k \Phi_i \rangle = \frac{2}{\pi} \int_0^\infty \sin(k' r_1) \Phi_i(r_1) \left[ \frac{1}{r_1} \int_0^{r_1} \Phi_f(r_2) \sin(k r_2) dr_2 + \int_{r_1}^\infty \frac{\Phi_f(r_2) \sin(k r_2)}{r_2} dr_2 \right] dr_1$$
(6)

### 1.2 Implementation

The program calculates the direct and exchange V matrix elements using the  $\ell=0$  wave functions. For this program we only consider the initial target states always being 1s with the final states are allowed to be 1s, 2s, 3s, ...etc. The program reads in the projectile energy, the number of radial grid points and spacing and also the final state fstate which is just an integer representing the final target state. It then sets up the kgrid and calculates the radial laguerre basus functions to represent the hydrogen wavefunctions. Using these wavefunctions the program calculates the direct and exchange V-matrix elements using the following subroutines described below.

#### 1.2.1 Direct V-matrix calculation

The calc\_direct\_V\_mat\_elements subroutine calculates the direct V-matrix elements by numerically evaluating equation 4. In the implementation, the krond variable is set to 1.0 if there is no transition (when fstate equals 1) and 0.0 otherwise. This is crucial for correctly computing the Kronecker delta term, which ensures the proper treatment of the direct and exchange interactions. Since this involves a non-separable integral, the two inner integrals must be evaluated for all values of  $r_1$  in our rgrid. The integral takes the form,

$$\int_0^\infty f(r_1) \left( \frac{1}{r_1} \int_0^{r_1} g(r_2) dr_2 + \int_{r_1}^\infty \frac{1}{r_2} g(r_2) dr_2 \right) dr_1 \approx \sum_{i=1}^n w_i f(r_i) \left( \frac{1}{r_i} \sum_{j=1}^i w_j g(r_j) + \sum_{j=i}^n w_j \frac{1}{r_j} g(r_j) \right)$$

where we have discretised it for numerical evaluation using simpson's rule, where  $w_i$  are integration weights and n is the number of discrete radial grid points. The method we use to calculate this efficiently is shown below,

#### Step 1: Forward Iteration

Iterate forwards over the radial grid from i = 1 to n, and compute an array A1(i) which calculates the inner sum of  $\int_0^{r_1} g(r_2) dr_2$  where each element contains the integral for a given  $r_1$  value. The function  $g(r_2)$  is calculated before hand as the product of the final and initial target state wavefunctions:

$$A1(i) = \sum_{j=1}^{i} w_j g(r_j) = A1(i-1) + w_i g(r_i)$$
(7)

### Step 2: Backward Iteration

Iterate backwards over the radial grid from i=n to 1, and compute an array A2(i) which accumulates the inner sum of  $\int_{r_1}^{\infty} \frac{1}{r_2} g(r_2) dr_2$ :

$$A2(i) = \sum_{j=i}^{n} w_j \frac{1}{r_j} g(r_j) = A2(i+1) + w_i \frac{1}{r_i} g(r_i)$$
(8)

#### **Step 3: Final Summation**

Combine the results of the forward and backward sums to approximate the outer integral:

$$\sum_{i=1}^{n} w_i f(r_i) \left( \frac{1}{r_i} A1(i) + A2(i) \right)$$
(9)

This method is efficient because it avoids recalculating the inner sums for each value of  $r_1$  in the outer sum by precomputing them in the forward and backward passes. Last step is achieved in the double for loop over the k' and k indices. For each pair, the function  $f(r_1)$  is calculated for each k' and k value. Then the integral is evaluated using the precomputed A1 and A2 arrays, ensuring the kronecker delta term is included. After computing matrix elements, the subroutine calculates the on-shell elements for all the incident kgrid values, by considering energy conservation, where the initial sum of the target and 1s energy must equal to the energy of the outgoing electron and the final target state energy. We do this by calculating the final momentum of the electron k' using k and the final state energy  $\epsilon_f$  and storing k' in an array called kprime. We then iterate over the number of k-grid points and evaluate V(k,k') storing the on-shell points in an array called Von.

```
subroutine calc_direct_V_mat_elements(nrmax, nkmax, fstate, dr,
1
       kgrid, r, wf, rweights, V, Von, kprime)
2
3
           ! Check if there is a transition
4
           krond = 1.0d0
5
           if (fstate /= 1) krond = 0.0d0
6
           V = 0.0d0
           Von = 0.0d0
9
           ! Calculate g(r2)
10
           g(:) = wf(:,fstate) * wf(:, 1)
11
12
           ! Calculate A1 array
13
           A1(1) = rweights(1) * g(1)
           do i = 2, nrmax
15
               A1(i) = A1(i-1) + rweights(i) * g(i)
16
           end do
17
           ! Calculate A2 array
18
           A2(nrmax) = rweights(nrmax) * g(nrmax) / r(nrmax)
19
```

```
20
                                                  do i = nrmax - 1, 1, -1
                                                                     A2(i) = A2(i+1) + rweights(i) * g(i) / r(i)
21
                                                   end do
22
                                                   ! Loop over k' and k
24
                                                  do ki = 1, nkmax
25
                                                                     do kj = 1, nkmax
26
                                                                                         ! Calculate f(r1)
27
                                                                                                                         f(:) = \sin(kgrid(ki) * r) * \sin(kgrid(kj) * r)
                                                                                                                          ! Loop over r1
29
                                                                                                                               V(ki, kj) = 2.0d0 / pi * sum(f(:) * (A1(:) / pi * sum(f(:) * sum(f(:) * (A1(:) / pi * sum(f(:) * sum(f(:) * sum(f(:) / pi * sum(f(
30
                                                                                                                                                r(:) + A2(:) - krond / r(:)) * rweights
                                                                                                                                              (:))
31
                                                                      end do
32
                                                   end do
33
34
                                                   !loop to calculate onshell Values
35
                                                  do ki = 1, nkmax
36
                                                                     f(:) = \sin(kgrid(ki) * r) * \sin(kprime(ki) * r)
37
                                                                     Von(ki) = 2.0d0 / pi * sum(f(:) * (A1(:) / r(:) + A2(:))
38
                                                                                    - krond / r(:)) * rweights(:))
                                                   end do
39
            end subroutine calc_direct_V_mat_elements
40
```

### 1.2.2 Exchange V-matrix calculation

In order to calculate the exchange V-matrix elements we numerically evaluate the terms in equation 5 using the calc\_exchange\_V\_mat\_elements subroutine. The last term (equation 6) is calculated using a similar method to calculating the direct terms, however the inner integrals now involve integrands depending on k meaning we would have to repeat the previous method for each value of k as we iterate through k and k'. For computational efficiency, we precompute the A1 and A2 values for each k by making them a 2-D array and storing backwards and forwards iterations in the rows for each k, before the double for loop starts to avoid recalculating the values again for the next iterations of k' values in the for loop. This is also done for the remaining terms that have k or k' dependence, to avoid recalculating sums.

```
subroutine calc_exchange_V_mat_elements(nrmax, nkmax, fstate, dr,
     kgrid, r, wf, rweights, V, Von, kprime, E)
2
      ! Calculate g(r2), f(r1), A1, A2 and other matrix element terms
3
      do i = 1, nkmax
4
          f(i,:) = \sin(kgrid(i) * r(:)) * wf(:,1)
5
          Of(i) = sum(sin(kgrid(i) * r(:)) * wf(:,1) * rweights(:))
6
          V1(i) = sum(-sin(kgrid(i) * r(:)) * wf(:,1) * rweights(:) / r
             (:))
          Oi(i) = sum(sin(kgrid(i) * r(:)) * wf(:,fstate) * rweights
8
          V2(i) = sum(-sin(kgrid(i) * r(:)) * wf(:,fstate) * rweights
9
             (:) / r(:))
```

```
g(i,:) = wf(:,fstate) * sin(kgrid(i) * r)
10
           ! Calculate A1 array
11
           A1(i,1) = rweights(1) * g(i,1)
12
           do j = 2, nrmax
               A1(i,j) = A1(i,j-1) + rweights(j) * g(i,j)
14
           end do
15
           ! Calculate A2 array
16
           A2(i,nrmax) = rweights(nrmax) * g(i,nrmax) / r(nrmax)
17
           do j = nrmax-1, 1, -1
                   A2(i,j) = A2(i,j+1) + rweights(j) * g(i,j) / r(j)
19
           end do
20
       end do
21
22
       ! Loop over k' and k
       do ki = 1, nkmax
24
           do kj = 1, nkmax
25
                    ! Calculate V12 term
26
                   V(ki, kj) = sum(f(ki,:) * (A1(kj,:) / r(:) + A2(kj
27
                       ,:)) * rweights(:))
                    ! Calculate V(k',k)
                   V(ki,kj) = (E - kgrid(ki)**2 / 2.0d0 - kgrid(kj)**2
                       / 2.0d0) * Oi(kj) * Of(ki) &
                   -V1(ki) * Oi(kj) - Of(ki) * V2(kj) - V(ki,kj)
30
           end do
31
       end do
32
       V = 2.0d0 / pi * V
33
       !loop to calculate onshell Values
35
       do i = 1, nkmax
36
               !we want to recalculate the k' terms from before
37
               f(i,:) = sin(kprime(i) * r(:)) * wf(:,1)
38
               Of(i) = sum(sin(kprime(i) * r(:)) * wf(:,1) * rweights
                   (:)
               V1(i) = sum(-sin(kprime(i) * r(:)) * wf(:,1) * rweights
40
                   (:) / r(:))
41
               Von(i) = sum(f(i,:) * (A1(i,:) / r(:) + A2(i,:)) *
42
                  rweights(:))
               Von(i) = (kgrid(i)**2 / 2.0d0 -0.5 - kprime(i)**2 / 2.0
                  d0 - kgrid(i)**2 / 2.0d0) * 0i(i) * 0f(i) &
               -V1(i) * Oi(i) - Of(i) * V2(i) - Von(i)
44
45
       Von = 2.0/pi * Von
46
  end subroutine calc_exchange_V_mat_elements
```

# 1.3 Results

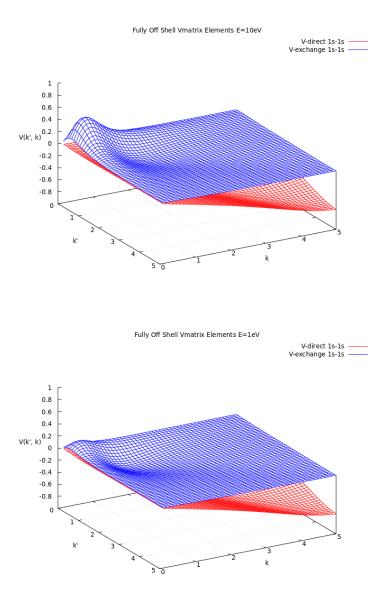


Figure 1: 1s-1s V-direct and V-exchange matrix elements for both  $10\mathrm{eV}$  and  $1\mathrm{eV}$  incident electron energies.

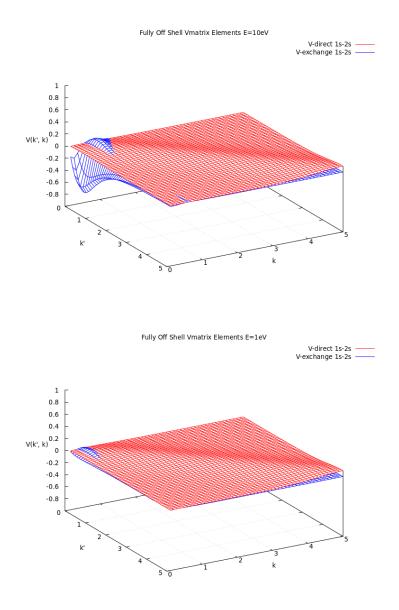


Figure 2: 1s-2s V-direct and V-exchange matrix elements for 10ev and 1ev incident electron energies.

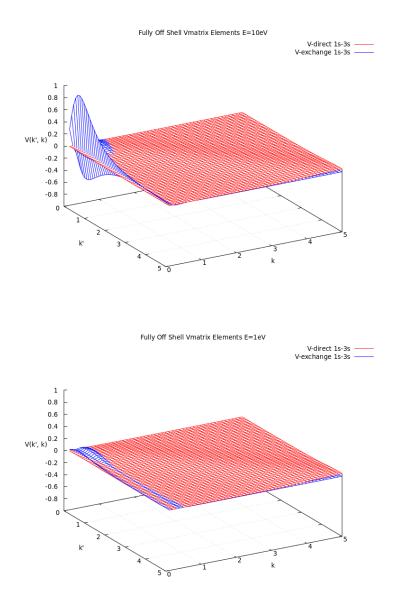


Figure 3: 1s-3s V-direct and V-exchange matrix elements for 10ev and 1ev incident electron energies.

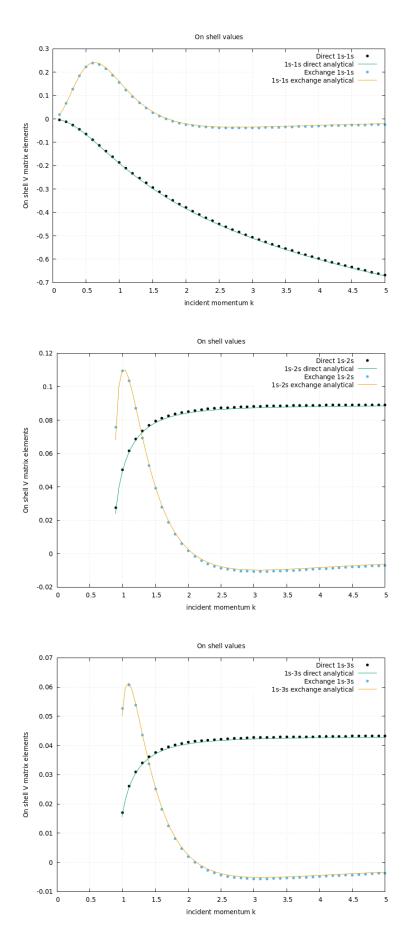


Figure 4: Analytical vs computed V-direct and V-exchange, on-shell matrix elements for an arbitrary k-grid. Top to bottom shows different transitions of the target state.

In figure 1, for elastic scattering, the direct and exchange V-matrix elements clearly exhibit symmetry with respect to the interchange of k' and k. We also see that the V-direct elements are independent of the total energy of the system and remain unchanged, while the exchange matrix elements show a decrease in magnitude when incident energy is 1eV. For higher values of k = k' we see the V-direct elements become more negative while the exchange elements flatten out to zero probably due to reduced overlap between the incident and target electron wave functions? For the in-elastic scattering the V matrix elements are no longer symmetric due to having different final states and we see the same behaviour as before for when the projectile energy is decreased the magnitude of the exchange elements decrease. The direct elements are all positive values and flatten out more for higher transitional states. Looking at figure 4 the direct on-shell values, which respect energy conservation, are generally higher in magnitude over large k compared to the exchange values, reflecting the dominance of direct interactions. This might be because, at higher energies, the incoming electron spends less time in the vicinity of the target electron, reducing the likelihood of significant exchange interactions. For in-elastic cases we see no on-shell values for lower incident momenta due to these values not being sufficient to account for the energy difference between the initial and final states for the transition to occur.

# 2 Problem 3- Single-channel s-wave CCC

Non-uniqueness issues can arise when solving the Lippmann-Schwinger equation for the Kmatrix. These issues are particularly prevalent in triplet scattering where S=1. To address
this, we use the "theta trick" which introduces a parameter  $\theta$  to modify the total energy
in the exchange matrix elements. This modification helps to resolve the non-uniqueness by
effectively shifting the energy and breaking the symmetry that causes the issue and resolves
ambiguities in calculation of scattering amplitudes and cross-sections.

## 2.1 Non-Uniqueness in the Exchange Matrix Elements

The exchange matrix element for electron-hydrogen scattering in the partial-wave expansion was given in equation 5. To resolve the non-uniqueness, we replace the total energy E with:

$$E(1 - \theta + (-1)^S \theta) \tag{10}$$

where  $\theta$  is a parameter used to resolve the non-uniqueness, and S is the spin state (0 for singlet and 1 for triplet). The modified total partial-wave V-matrix element then becomes:

$$\langle k'\Phi_f|V^S(\theta)|\Phi_ik\rangle = \langle k'\Phi_f|V_1 + V_{12}|\Phi_ik\rangle - (-1)^S\langle k'\Phi_f|E(1-\theta+(-1)^S\theta) - H|k\Phi_i\rangle$$

For singlet scattering, the V-matrix is independent of  $\theta$ , thus there is no non-uniqueness issue. For triplet scattering, non-uniqueness is addressed by introducing the  $\theta$  parameter, making the V-matrix element dependent on  $\theta$ .

# 2.2 Implementation

To calculate the K matrix values we use the code from assignment 4 and use our subrotuines from problem 1 to calculate the total V-matrix by changing the calculate\_Vmatrix subroutine. We change the total energy using equation 10 and input it to our exchange subroutine and then calculate the total V-matrix elements.

## 2.3 Results

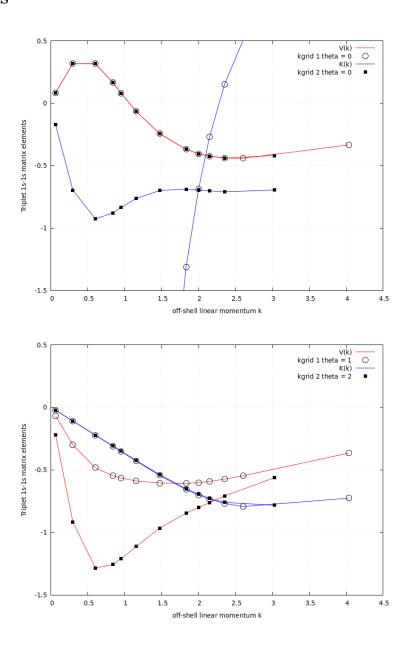


Figure 5: Triplet half off-shell 1s-1s V and K matrix elements for an incident energy of 54.4232eV for  $\theta = 0, 1, 2$  using 2 different k-grids.

The results from implementing the theta trick in the calculation of the exchange matrix elements are illustrated in the provided graphs. The graphs show the behavior of the triplet half-offshell 1s-1s V and K matrix elements for different values of  $\theta$ . The top graph compares the matrix elements for  $\theta=0$  using two different k-grids. The V(k') values exhibit the same behavior as expected. The K(k') values show differences for the two k-grids, while containing the same on-shell values. This indicates that the non-uniqueness issue is present when  $\theta=0$ , as the off-shell K-matrix elements differ for the same incident energy. This non-uniqueness means that there are multiple possible values for the K-matrix elements, leading to ambiguity in the scattering calculations. The bottom graph shows the matrix elements for  $\theta=1$  and  $\theta=2$ . Here, the introduction of  $\theta$  resolves the non-uniqueness issue. The K(k') values for  $\theta=1$  and  $\theta=2$  are the same for both k-grids, demonstrating that the theta trick successfully works. The consistency of the K-matrix elements across different k-grids and  $\theta$  values indicates that the non-uniqueness problem has been resolved, ensuring that the results are physically meaningful.

	44
	Direct V-metrix elements one given : ( We'm'   V. + V, 2   D, wem)
ging as leasanting a contra contra con-	= < k' \$1 \v. + \v. \1 \p. k > = < k' \p. 1 \v. 1 \p. k > + < k' \p. 1 \v. 2   \p. k >
	where we work Iklm) = 1k) for simplicity,
	term 1 => (k' \$\bu\)   \(   \overline{\pi} \) = \( \int \overline{\pi} \left( \pi \overline{\pi} \right) \le
	$\langle \vec{r}_i \cdot \vec{r}_i' \mid V_i \mid \vec{r}_i \vec{r}_i' \rangle = -8(\frac{r_i' - r_i}{r_i}) \delta(\vec{r}_i' - \vec{r}_i') \sin \alpha V_i \mid \vec{r}_i \rangle = \frac{1}{r_i} \mid \vec{r}_i \rangle$
	this simplifies integral to,
	- [ ( \( \D_{1}   \bar{r}_{2} \bar{r}_{1} ) \left( \bar{r}_{1}   \bar{r}_{2}   \bar{r}_{1} \bar{r}_{2} \\ \bar{r}_{1} \\ \bar{r}_{2} \\
	$r_i$
	$\frac{2\int_{\mathbf{r}} u_{2}(\mathbf{r}_{1};\mathbf{k}) u_{2}(\mathbf{r}_{1};\mathbf{k})}{r_{1}} d\mathbf{r}_{1} \int_{\mathbf{r}_{1}} \overline{\mathbf{p}}_{1}(\mathbf{r}_{2}) \int_{\mathbf{r}_{1},\mathbf{k}} (\mathbf{r}_{1}) d\mathbf{r}_{2} \int_{\mathbf{r}_{1},\mathbf{k}} (\mathbf{r}_{1$
=	$\frac{1}{2} \left( \frac{\alpha_{2}(r_{1}, k) \alpha_{2}(r_{1}, k)}{\alpha_{1}(r_{2})} \right) dr_{1} \left( \frac{\alpha_{2}(r_{2})}{\alpha_{2}(r_{2})} \right) dr_{2} \left( \frac{\gamma_{1}(r_{2})}{\gamma_{2}(r_{2})} \right) dr_{2} \left( \frac{\gamma_{1}(r_{2})}{\gamma_{2}(r_{2})} \right) dr_{1} \left( \frac{\gamma_{1}(r_{2})}{\gamma_{2}(r_{2})} \right) dr_{2} \left( \frac{\gamma_{1}(r_{2})}{\gamma_{2}(r_{2})} \right) dr_$
	where \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
	0. mm 020'
	- Comic Me
	and $\int_{L_{i}} Y_{L_{i}}^{m_{i}}(\vec{Y}_{2}) Y_{\ell_{f}}^{m_{f}}(\vec{Y}_{2}) d\Omega_{z} = \delta_{m_{i},n_{f}} \delta_{\ell_{i},\ell_{f}}$
	$Q_2$ $(m=0)$
	T. H. C. C. J. L.
	In the s-ware model (l=0), the spherical hormonics terms dissapear (Yo) and so the work only the radial integrals remain, due to
	(10) and so the was only the valid integrals remain, due to
	Spherical symmetry.
ico	1 km=) (u' \$\begin{align} V_1 & \begin{align} \langle
	using similar method to before and using Vielrity = Italy
	[Vi-Tz] 12 1/

17, - 12 = 2 4x 2x+1 22-1 (-1) 1 1/2 Y - 1 (7, ) Y 1 (1/2) (u\(\bar{\bar{\pi}}\)) = \(\frac{4n}{2^{2+1}}\) \(\frac{(-1)^n}{2^{n+1}}\) \(\frac{r}{\rangle}\) \(\frac{r}{\r  $= \frac{2 \sum_{\lambda=0}^{\infty} \frac{1}{\lambda^{2}} \left(-1\right)^{-1} \int u_{\ell}(r_{i}; u') u_{\ell}(r_{i}; u) \int \underbrace{\overline{\Phi}(r_{2})}_{r_{\ell}} \underbrace{\overline{\Phi}(r_{2})}_{r_{\ell}} \underbrace{\overline{\nabla}(r_{1})}_{r_{\ell}} \underbrace{\overline{\nabla}(r_{$  $\times \left\{ \begin{array}{c} \left( \frac{1}{2} \right) \left( \frac$  $= \sum_{n=1}^{\infty} \frac{2}{2^{n+1}} \sum_{n=1}^{\infty} (-1)^{n+1} \int u_{2}(r,k') u_{2}(r,k') \int v_{2}(r,k') \int v_{2}(r',k') \frac{1}{2^{n+1}} \int v_{2}(r',k') u_{2}(r',k') \int v_{2}(r',k') \int v_{2$ in the s-ware model the l=0; this indicates d=0 and so reduces to I and the spherical harmonic parts from to constants, and we obtain a manifely the separable integral over radial