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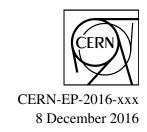
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Systematic studies of correlations between different order flow harmonics in Pb–Pb collisions at  $\sqrt{s_{\rm NN}} = 2.76$  TeV

ALICE Collaboration\*

6 Abstract

The correlations between event-by-event fluctuations of anisotropic flow harmonic amplitudes have been measured in Pb–Pb collisions at  $\sqrt{s_{\rm NN}} = 2.76$  TeV with the ALICE detector at the Large Hadron Collider. The results were obtained with the multiparticle correlation observables dubbed Symmetric Cumulants. These observables are robust against biases originating from non-flow effects. The centrality dependence of correlations between the quadrangular v<sub>4</sub> and pentagonal v<sub>5</sub> flow and the elliptic  $v_2$  and triangular  $v_3$  flow, as well as the transverse momentum dependence of correlations between  $v_3$  and  $v_2$  and between  $v_4$  and  $v_2$  are presented. The results are compared to calculations from viscous hydrodynamics and A Multi-Phase Transport (AMPT) model calculations. The comparisons to viscous hydrodynamic models demonstrate that the different order harmonic correlations respond differently to the initial conditions and the temperature dependence of the ratio of shear viscosity to entropy density  $(\eta/s)$ . A small average value of  $\eta/s$  is favored regardless of initial conditions and the AMPT initial condition yields results closest to the measurements. Correlations between the magnitudes of  $v_2$ ,  $v_3$  and  $v_4$  show moderate  $p_T$  dependence in mid-central collisions. This might be an indication of possible viscous corrections to the equilibrium distribution at hadronic freeze-out, which might help to understand the possible contribution of bulk viscosity in the hadronic phase of the system. Together with existing measurements of individual flow harmonics, the results presented here provide further constraints on initial conditions and the transport properties of the system produced in heavy-ion collisions.

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<sup>\*</sup>See Appendix A for the list of collaboration members

#### 5 1 Introduction

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The main emphasis of the ultra-relativistic heavy-ion collision programs at the Relativistic Heavy Ion 26 Collider (RHIC) and the Large Hadron Collider (LHC) is to study the deconfined phase of strongly 27 interacting nuclear matter, the Quark-Gluon Plasma (QGP). This matter exhibits strong collective and 28 anisotropic flow in the plane transverse to the beam direction, which is driven by anisotropic pressure 29 gradients, resulting in more particles emitted in the direction of the largest gradients. The large elliptic 30 flow discovered at RHIC energies [1] is also observed at LHC energies [2, 3]. This has been predicted 31 by calculations utilizing viscous hydrodynamics [4–9]. These calculations also demonstrated that the 32 shear viscosity to the entropy density ratio  $(\eta/s)$  of the QGP in heavy-ion collisions at RHIC and LHC 33 energies is close to a universal lower bound  $1/4\pi$  [10].

The temperature dependence of  $\eta/s$  has some generic features that most known fluids obey. For in-35 stance, one such general behavior is that this ratio typically reaches its minimum value close to the 36 phase transition region [11]. It was shown, using kinetic theory and quantum mechanical considera-37 tions [12], that  $\eta/s \sim 0.1$  would be the correct order of magnitude for the lowest possible shear viscosity 38 to entropy density ratio value found in nature. Later it was demonstrated that an exact lower bound 39  $(\eta/s)_{\rm min} = 1/4\pi \approx 0.08$  can be calculated using AdS/CFT correspondence [10]. Hydrodynamical sim-40 ulations also support the view that  $\eta/s$  of the QGP is close to that limit [8]. This may have important 41 implications for other fundamental physics goals. It is argued that such a low value might imply that thermodynamic trajectories for the expanding matter would lie close to the quantum chromodynamics (QCD) critical end point, which is another subject of intensive experimental study [11, 13].

Anisotropic flow [14] is traditionally quantified with  $n^{\text{th}}$ -order flow coefficients  $v_n$  and corresponding symmetry plane angles  $\Psi_n$  in a Fourier decomposition of the particle azimuthal distribution in the plane transverse to the beam direction [15]:

$$E\frac{d^{3}N}{dp^{3}} = \frac{1}{2\pi} \frac{d^{2}N}{p_{T}dp_{T}d\eta} \left\{ 1 + 2\sum_{n=1}^{\infty} v_{n}(p_{T}, \eta) \cos[n(\varphi - \Psi_{n})] \right\}, \tag{1}$$

where E, p,  $p_T$ ,  $\varphi$  and  $\eta$  are the particle's energy, momentum, transverse momentum, azimuthal angle and pseudorapidity, respectively, and  $\Psi_n$  is the azimuthal angle of the symmetry plane of the  $n^{\text{th}}$ -order harmonic. Harmonic  $v_n$  can be calculated as  $v_n = \langle \cos[n(\varphi - \Psi_n)] \rangle$ , where the brackets denote an average over all particles in all events. The anisotropic flow in heavy-ion collisions is typically understood as the hydrodynamic response of the produced matter to spatial deformations of the initial energy density profile [16]. This profile fluctuates event-by-event due to fluctuating positions of the constituents inside the colliding nuclei, which implies that  $v_n$  also fluctuates [17, 18]. The recognition of the importance of flow fluctuations led to the discovery of triangular and higher flow harmonics [19, 20] as well as to the correlations between different  $v_n$  harmonics [21, 22]. The higher order harmonics are expected to be sensitive to fluctuations in the initial conditions and to the magnitude of  $\eta/s$  [23, 24], while  $v_n$  correlations have the potential to discriminate between these two respective contributions [21].

Difficulties in extracting  $\eta/s$  in heavy-ion collisions can be attributed mostly to the fact that it strongly depends on the specific choice of the initial conditions in the models used for comparison [4, 24, 25]. Viscous effects also reduce the magnitude of the elliptic flow. Furthermore, the magnitude of  $\eta/s$  used in hydrodynamic calculations should be considered as an average over the temperature evolution of the expanding fireball as it is known that  $\eta/s$  depends on temperature. In addition, part of the elliptic flow can also originate from the hadronic phase [26–28]. Therefore, both the temperature dependence of  $\eta/s$  and the relative contributions from the partonic and hadronic phases should be understood better to quantify the  $\eta/s$  of the QGP.

An important input to the hydrodynamic model simulations is the initial distribution of energy density

in the transverse plane (the initial density profile), which is usually estimated from the probability distribution of nucleons in the incoming nuclei. This initial energy density profile can be quantified by calculating the distribution of the spatial eccentricities  $\varepsilon_n$  [19],

$$\varepsilon_n e^{in\Phi_n} = -\{r^n e^{in\phi}\}/\{r^n\},\tag{2}$$

where the curly brackets denote the average over the transverse plane, i.e.  $\{\cdots\} = \int dx dy \ e(x, y, \tau_0)$ 71  $(\cdots)$ , r is the distance to the system's center of mass,  $e(x, y, \tau_0)$  is the energy density at the initial time 72  $\tau_0$ , and  $\Phi_n$  is the participant plane angle (see Refs. [29, 30]). There is experimental and theoretical 73 evidence [19, 20, 31] that the lower order harmonic coefficients,  $v_2$  and  $v_3$ , are to a good approximation 74 linearly proportional to the deformations in the initial energy density in the transverse plane (e.g.  $v_n \propto \varepsilon_n$ for n = 2 or 3). Harmonic  $v_4$  and higher order flow coefficients can arise from initial anisotropies in 76 the same harmonic [19, 29, 32, 33] (linear response) or can be induced by lower order harmonics [34, 77 35] (nonlinear response). Therefore, the higher harmonics (n > 3) can be understood as superpositions 78 of linear and nonlinear responses, through which they are correlated with lower order harmonics [32, 79 33, 35, 36]. When the order of the harmonic is large, the nonlinear response contribution in viscous 80 hydrodynamics is dominant and increases in more peripheral collisions [35, 36]. The magnitude of 81 the viscous corrections as a function of  $p_T$  for  $v_4$  and  $v_5$  is sensitive to the ansatz used for the viscous 82 distribution function, and to the correction for the equilibrium distribution at hadronic freeze-out [36, 37]. 83 Hence, studies of the higher order (n > 3) to lower order  $(v_2 \text{ or } v_3)$  harmonic correlations and their  $p_T$ 84 dependence can help to understand the viscous correction to the momentum distribution at hadronic 85 freeze-out which is among the least understood parts of hydrodynamic calculations [30, 36, 38, 39]. 86

Recently, the ALICE Collaboration measured for the first time the Symmetric 2-harmonic 4-particle 87 Cumulants (SC), new multiparticle observables which quantify the relationship between event-by-event 88 fluctuations of two different flow harmonics [40]. The new observables are particularly robust against 89 few-particle non-flow correlations and they provide independent information to recently analyzed sym-90 metry plane correlators [22]. It was demonstrated that they are sensitive to the temperature dependence 91 of  $\eta/s$  of the expanding medium and therefore simultaneous descriptions of correlations between dif-92 ferent order harmonics would constrain both the initial conditions and the medium properties [40, 41]. 93 In this article, we have extended the analysis of SC observables to higher order Fourier harmonics (up 94 to 5<sup>th</sup> order) as well as to the measurement of the  $p_{\rm T}$  dependence of correlations for the lower order 95 harmonics  $(v_3-v_2)$  and  $v_4-v_2$ . We also include extensive comparisons to hydrodynamic and AMPT model 96 calculations. In Sec. 2 we summarize our findings from the previous work [40] and present the analysis 97 methods. The experimental setup and measurements are described in Sec. 3 and the sources of system-98 atic uncertainties are explained in Sec. 4. The results of the measurements are presented in Sec. 5. In 99 Sec. 6 we present comparisons to theoretical calculations. Various theoretical models used in this article 100 are described in Sec. 6. Finally, Sec. 7 summarizes our findings. 101

# 2 Experimental Observables

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Existing measurements provide an estimate of the average value of  $\eta/s$  of the QGP, both at RHIC and LHC energies. What remains uncertain is how the  $\eta/s$  of the QGP depends on temperature (T). The temperature dependence of  $\eta/s$  in the QGP was discussed in [13]. The effects on hadron spectra and elliptic flow were studied in [42] for different parameterizations of  $\eta/s(T)$ . A more systematic study with event-by-event EKRT+viscous hydrodynamic calculations has just been initiated in Ref. [30], where the first (and only rather qualitative) possibilities were investigated (see Fig. 1 therein). The emerging picture is that the study of individual flow harmonics  $v_n$  alone are unlikely to reveal the details of the temperature dependence of  $\eta/s$ . It was already demonstrated in [30] that different  $\eta/s(T)$  parameterizations can lead to the same centrality dependence of individual flow harmonics. In Ref. [21] new flow observables were introduced which quantify the degree of correlation between two different harmonics  $v_m$  and  $v_n$ .

These new observables have the potential to discriminate between the contributions to anisotropic flow development from initial conditions and from the transport properties of the QGP [21]. Therefore their measurement would provide experimental constraints on theoretical predictions for the individual stages of heavy-ion system evolution independently. In addition, it turned out that correlations of different flow harmonics are sensitive to the temperature dependence of  $\eta/s$  [40], to which individual flow harmonics are weakly sensitive [30].

For reasons discussed in [40, 43], the correlations between different flow harmonics cannot be studied experimentally with the same set of observables introduced in [21]. Based on [43], new flow observables obtained from multiparticle correlations, *Symmetric Cumulants (SC)*, were introduced. SC observables are nearly insensitive to nonflow and quantify the correlation of the amplitudes of two different flow harmonics. The first measurements of SC observables were recently published by the ALICE Collaboration in [40].

125 The SC observables are defined as:

$$\langle\langle\cos(m\varphi_{1}+n\varphi_{2}-m\varphi_{3}-n\varphi_{4})\rangle\rangle_{c} = \langle\langle\cos(m\varphi_{1}+n\varphi_{2}-m\varphi_{3}-n\varphi_{4})\rangle\rangle -\langle\langle\cos[m(\varphi_{1}-\varphi_{2})]\rangle\rangle\langle\langle\cos[n(\varphi_{1}-\varphi_{2})]\rangle\rangle = \langle v_{m}^{2}v_{n}^{2}\rangle -\langle v_{m}^{2}\rangle\langle v_{n}^{2}\rangle,$$
(3)

with the condition  $m \neq n$  for two positive integers m and n (for details see Sec. IV C in [43]). In this article SC(m,n) normalized by the product  $\langle v_m^2 \rangle \langle v_n^2 \rangle$  [40, 44] is denoted by NSC(m,n):

$$NSC(m,n) \equiv \frac{SC(m,n)}{\langle v_m^2 \rangle \langle v_n^2 \rangle}.$$
 (4)

Normalized symmetric cumulants reflect only the strength of the correlation which is expected to be insensitive to the magnitudes of  $v_m$  and  $v_n$ , while SC(m,n) has contributions from both the correlations between the two different flow harmonics and the individual  $v_n$  harmonics. In Eq. (4) the products in the denominator are obtained from two-particle correlations using a pseudorapidity gap of  $|\Delta \eta| > 1.0$  which suppresses biases from few-particle nonflow correlations. For the two two-particle correlations which appear in the definition of SC(m,n) in Eq. (3) the pseudorapidity gap is not needed, since nonflow is suppressed by construction in this case. This was verified by HIJING model simulations in [40].

The ALICE measurements [40] have revealed that fluctuations of  $v_2$  and  $v_3$  are anti-correlated, while 135 fluctuations of  $v_2$  and  $v_4$  are correlated in all centralities [40]. However, the details of the centrality de-136 pendence differ in the fluctuation-dominated (most central) and the geometry-dominated (mid-central) 137 regimes [40]. The observed centrality dependence of SC(4,2) cannot be captured by models with constant 138  $\eta/s$ , indicating clearly that the temperature dependence of  $\eta/s$  plays an important role. These results 139 were also used to discriminate between different parameterizations of initial conditions. It was demon-140 strated that in the fluctuation-dominated regime (central collisions), MC-Glauber initial conditions with 141 binary collision weights are favored over wounded nucleon weights [40]. 142

### 3 Data Analysis

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Data recorded by ALICE in Pb–Pb collisions at  $\sqrt{s_{\rm NN}}=2.76$  TeV during the 2010 heavy-ion run at the LHC is used for this analysis. Detailed descriptions of the ALICE detector can be found in [45–47]. The Time Projection Chamber (TPC) was used to reconstruct charged particle tracks and measure their momenta with full azimuthal coverage in the pseudorapidity range  $|\eta| < 0.8$ . Two scintillator arrays (V0) which cover the pseudo-rapidity ranges  $-3.7 < \eta < -1.7$  and  $2.8 < \eta < 5.1$  were used for triggering and the determination of centrality [48]. The trigger conditions and the event selection criteria are identical to those described in [2, 48]. Approximately  $10^7$  minimum-bias Pb–Pb events with a reconstructed primary

vertex within  $\pm 10$  cm from the nominal interaction point along the beam direction are selected. Only 151 charged particles reconstructed in the TPC in  $|\eta| < 0.8$  and  $0.2 < p_T < 5$  GeV/c were taken for the 152 analysis. The charged track quality cuts described in [2] were applied to minimize contamination from 153 secondary charged particles and fake tracks. The track reconstruction efficiency and contamination were 154 estimated from HIJING Monte Carlo simulations [49] combined with a GEANT3 [50] detector model 155 and were found to be independent of the collision centrality. The reconstruction efficiency increases from 156 70% to 80% for particles with  $0.2 < p_T < 1$  GeV/c and remains constant at  $(80 \pm 5)$ % for  $p_T > 1$  GeV/c. 157 The estimated contamination by secondary charged particles from weak decays and photon conversions 158 is less than 6% at  $p_T = 0.2 \text{ GeV/}c$  and falls below 1% for  $p_T > 1 \text{ GeV/}c$ . The  $p_T$  cut-off of 0.2 GeV/c 159 reduces event-by-event biases due to small reconstruction efficiency at lower  $p_T$ , while the high  $p_T$  cut-160 off of 5 GeV/c reduces the effects of jets on the measured correlations. Reconstructed TPC tracks were 161 required to have at least 70 space points (out of a maximum of 159). Only tracks with a transverse 162 distance of closest approach (DCA) to the primary vertex less than 3 mm, both in the longitudinal and 163 transverse directions, are accepted. This reduces the contamination from secondary tracks produced in 164 the detector material, particles from weak decays, etc. Tracks with kinks (i.e. tracks that appear to change 165 direction due to multiple scattering or  $K^{\pm}$  decays) were rejected.

## 4 Systematic Uncertainties

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The systematic uncertainties are estimated by varying the event and track selection criteria. All systematic checks described here are performed independently. The SC(m,n) values resulting from each variation are compared to ones from the default event and track selection described in the previous section, and differences are taken as the systematic uncertainty due to each individual source. The contributions from different sources were added in quadrature to obtain the total systematic uncertainty.

The event centrality was determined by the V0 detectors [51] with better than 2% resolution for the whole centrality range analyzed. The systematic uncertainty from the centrality determination was evaluated by using the TPC and Silicon Pixel Detector (SPD) [52] detectors instead of the V0 detectors. The systematic uncertainty from the centrality determination is about 3% both for SC(5,2) and SC(4,3), and 8% for SC(5,3).

As described in Sec. 3, the reconstructed vertex position along the beam axis (z-vertex) is required to be located within 10 cm of the interaction point (IP) to ensure uniform detector acceptance for tracks within  $|\eta| < 0.8$ . The systematic uncertainty from the z-vertex cut was estimated by reducing the z-vertex range to 8 cm and was found to be less than 3%.

The analyzed events were recorded with two settings of the magnet polarity and the resulting data sets have almost equal numbers of events. Events with both magnet polarities were used in the default analysis, and the systematic uncertainties were evaluated from the variation between each of the two magnetic field settings. The uncertainty on the  $p_T$  dependent track reconstruction efficiency was also taken into account. Magnetic polarity variation and reconstruction efficiency effects contribute less than 2% to the systematic uncertainty.

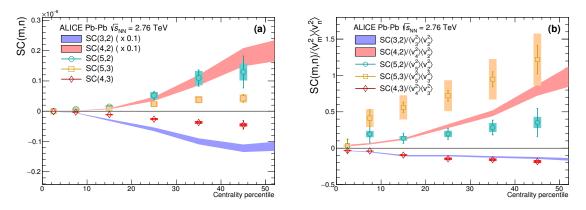
The systematic uncertainty due to the track reconstruction was estimated from comparisons between 188 results for the so-called standalone TPC tracks with the same parameters as described in Sec. 3, and tracks 189 from a combination of the TPC and the Inner Tracking System (ITS) detectors with tighter selection 190 criteria. To correct for non-uniform azimuthal acceptance due to dead zones in the SPD, and to get the 191 best transverse momentum resolution, approach of hybrid selection with SPD hit and/or ITS refit tracks 192 combined with TPC were used. Then each track reconstruction strategy was evaluated by varying the 193 threshold on parameters used to select the tracks at the reconstruction level. The systematic difference 194 of up to 12% was observed in SC(m,n) from the different track selections. In addition, we applied 195 the like-sign technique to estimate non-flow contributions to SC(m,n). The difference between results 196

obtained by selecting all charged particles and results obtained after either selecting only positively or only negatively charged particles was the largest contribution to the systematic uncertainty and it is about 7% for SC(4,3) and 20% for SC(5,3).

Another large contribution to the systematic uncertainty originates from azimuthal non-uniformities in the efficiency. In order to estimate its effects, we use the AMPT model (see Sec. 6) which has a uniform distribution in azimuthal angle. Detector inefficiencies were introduced to mimic the non-uniform azimuthal distribution in the data. For the observables SC(5,2), SC(5,3) and SC(4,3) the variation due to non-uniform acceptance is about 9%, 17% and 11%, respectively. Overall, the systematic uncertainties are larger for SC(5,3) and SC(5,2) than for the lower harmonics of SC(m,n). This is because  $v_n$  decreases with increasing n and becomes more sensitive to azimuthal modulation due to detector imperfections.

#### 5 Results

The centrality dependence of the higher order harmonic correlations (SC(4,3), SC(5,2) and SC(5,3)) are presented in Fig. 1 and compared to the lower order harmonic correlations (SC(4,2) and SC(3,2)) which were measured in [40]. The correlation between  $v_3$  and  $v_4$  is negative, and similarly for  $v_3$  and  $v_2$ , while the other correlations are all positive, which reveals that  $v_2$  and  $v_5$  as well as  $v_3$  and  $v_5$  are correlated like  $v_2$  and  $v_4$ , while  $v_3$  and  $v_4$  are anti-correlated like  $v_3$  and  $v_4$ .



**Fig. 1:** SC(m,n) (a) and NSC(m,n) (b) with flow harmonics up to 5<sup>th</sup> order in Pb–Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV. The lower order harmonic correlations (SC(3,2), SC(4,2), NSC(3,2) and NSC(4,2)) are taken from [40] and shown as bands. Note that the systematic and statistical errors are combined in quadrature for these lower order harmonic correlations and SC(4,2) and SC(3,2) are scaled by a factor of 0.1. Systematical errors are represented with boxes.

The higher order flow harmonic correlations (SC(4,3), SC(5,2) and SC(5,3)) are much smaller compared to the lower order harmonic correlations. In particular SC(5,2) is 10 times smaller than SC(4,2) and SC(4,3) is about 20 times smaller than SC(3,2).

However, unlike SC(m,n), the NSC(m,n) results with the higher order flow harmonics show almost the same order of the correlation strength as the lower order flow harmonic correlations (NSC(3,2) or NSC(4,2)). The NSC(4,3) magnitude is comparable to NSC(3,2) and one finds that a hierarchy, NSC(5,3) > NSC(4,2) > NSC(5,2), holds for centrality ranges > 20% within the errors as shown in Fig. 1b. These results indicate that the lower order harmonic correlations are larger than higher order harmonic correlations, not only because of the correlation strength itself but also because of the strength of the individual flow harmonics. SC(5,2) is stronger than SC(5,3), but the normalized correlation between  $v_5$  and  $v_3$  is stronger than the normalized correlation between  $v_5$  and  $v_2$ .

4 It can be seen in Fig. 1a that the lower order harmonic correlations as well as SC(5,2) increase non-

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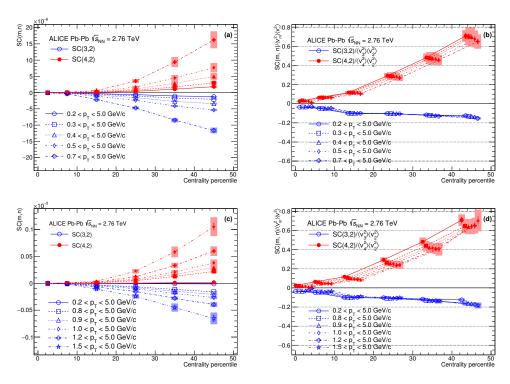


Fig. 2: SC(3,2) and SC(4,2) with low (a) and high (c) minimum  $p_T$  cuts, and normalized SC(3,2) and SC(4,2) ((b) and (d)) in Pb–Pb collisions at  $\sqrt{s_{\rm NN}} = 2.76$  TeV. Panels (a) and (b) show the results for the minimum  $p_T$  range  $0.2 < p_T < 0.7$  GeV/c and panels (c) and (d) are for the minimum  $p_T$  range  $0.8 < p_T < 1.5$  GeV/c. Note that NSC data points from each minimum  $p_T$  in a centrality percentile bin are shifted for visibility.

linearly towards peripheral collisions. In the case of SC(5,3) and SC(4,3), the centrality dependence is weaker than for the other harmonic correlations and a monotonic increase is observed. NSC(5,3) shows the strongest normalized correlation among all harmonics while NSC(5,2) shows the weakest centrality dependence. Both NSC(3,2) and NSC(4,3) show a monotonic increase toward peripheral collisions with the similar magnitude.

To study the  $p_T$  dependence of SC(m,n), we systematically vary the low  $p_T$  cut-off, instead of using independent  $p_{\rm T}$  intervals, in order to avoid large statistical fluctuations in the results. Various minimum  $p_{\rm T}$  cuts from 0.2 to 1.5 GeV/c are applied. The  $p_{\rm T}$ -dependent results for SC(3,2) and SC(4,2) with minimum  $p_T$  cuts  $0.2 < p_T < 0.7$  GeV/c are shown in Fig. 2a. The strength of SC(m,n) becomes larger as the minimum  $p_{\rm T}$  increases. These  $p_{\rm T}$  dependent correlations have much stronger centrality dependence, with SC(m,n) getting much larger as the centrality or the minimum  $p_T$  cut increase. NSC(3,2) and NSC(4,2) with different minimum cuts are shown in Figs. 2b and 2d. The strong  $p_T$  dependence observed in SC(m,n) is not seen in NSC(m,n). The NSC(m,n) results are consistent within the errors for all minimum  $p_T$  cuts. This indicates that the  $p_T$  dependence of SC(m,n) is dominated by the  $p_T$  dependence of the  $\langle v_n \rangle$  values. The minimum  $p_T$  cuts are extended from 0.8 to 1.5 GeV/c and the results are shown in Fig. 2c and 2d. While SC(m,n) shows similar trends as for  $p_T < 0.8 \text{ GeV}/c$ , NSC(m,n) tends to decrease with increasing  $p_T$ . The  $p_T$ -dependence of NSC(3,2) is not clearly seen and it is consistent with no  $p_T$ dependence within the statistical and systematic errors for the centrality range <30%, while showing a moderate decreasing trend with increasing  $p_T$  for the >30% centrality range. NSC(4,2) shows a moderate decreasing trend as  $p_T$  increases. These observations are strikingly different from  $p_T$  dependence of the individual flow harmonics, where the relative flow fluctuations  $\sigma_{v_2}/\langle v_2 \rangle$  [53] are independent of momentum up to  $p_T \sim 8 \text{ GeV/}c$  (see Fig. 3 in Ref. [54]).

# 6 Model Comparisons

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We have compared the centrality dependence of our observables with event-by-event EKRT+viscous hydrodynamic calculations [30], where the initial energy density profiles are calculated using a next-to-leading order perturbative-QCD+saturation model [55, 56]. The subsequent spacetime evolution is described by relativistic dissipative fluid dynamics with different parameterizations for the temperature dependence of the shear viscosity to entropy density ratio  $\eta/s(T)$ . This model gives a good description of the charged hadron multiplicity and the low  $p_T$  region of the charged hadron spectra at RHIC and the LHC (see Figs. 11-13 in [30]). Each of the  $\eta/s(T)$  parameterizations is adjusted to reproduce the measured  $v_n$  from central to mid-peripheral collisions (see Fig. 14 in [30]).

The VISH2+1 [57, 58] event-by-event calculations for relativistic heavy-ion collisions are based on (2+1)-dimensional viscous hydrodynamics which describes both the QGP phase and the highly dissipative and even off-equilibrium late hadronic stage with fluid dynamics. With well-tuned transport coefficients and decoupling temperature, and given initial condition discussed later, it can describe the  $p_{\rm T}$  spectra and different flow harmonics at RHIC and the LHC [5, 57, 59, 60]. Three different initial conditions (MC-Glauber, MC-KLN and AMPT) along with different constant  $\eta/s$  values are used in the model [41]. Traditionally, the Glauber model constructs the initial entropy density with contributions from the wounded nucleon and binary collision density profiles [61], and the KLN model assumes that the initial entropy density is proportional to the initial gluon density calculated from the corresponding  $k_T$  factorization formula [62]. In the Monte Carlo versions (MC-Glauber and MC-KLN) [63–65], additional initial state fluctuations are introduced through position fluctuations of individual nucleons inside the colliding nuclei. For the AMPT initial conditions [60, 66, 67], the fluctuating energy density profiles are constructed from the energy decompositions of individual partons, which fluctuate in both momentum and position coordinate. Compared with the MC-Glauber and MC-KLN initial conditions, the additional Gaussian smearing in the AMPT initial conditions gives rise to non-vanishing initial local flow velocities [66].

The centrality dependence of the SC observables is compared to that in the AMPT model [68–70]. Even though thermalization could be achieved in collisions of very large nuclei and/or at extremely high energy [71], the dense matter created in heavy-ion collisions may not reach full thermal or chemical equilibrium as a result of its finite volume and short lifetime. To address such non-equilibrium many-body dynamics, AMPT has been developed, which includes both initial partonic and final hadronic interactions and the transition between these two phases of matter. For the initial conditions, the AMPT model uses the spatial and momentum distributions of hard minijet partons and soft strings from the HIJING model [49, 72]. The AMPT model can be run in two main configurations, the default and the string melting model <sup>1</sup>. In the default version, partons are recombined with their parent strings when they stop interacting. The resulting strings are later converted into hadrons using the Lund string fragmentation model [73, 74]. In the string melting version, the initial strings are melted into partons whose interactions are described by the ZPC parton cascade model [75]. These partons are then combined into the final state hadrons via a quark coalescence model. In both configurations, the dynamics of the subsequent hadronic matter is described by a hadronic cascade based on A Relativistic Transport (ART) model [76] which also includes resonance decays. The third version used in this article is based on the string melting configuration in which the hadronic rescattering phase is switched off to study its influence on the development of anisotropic flow. Even though the string melting version of AMPT [70, 77] reasonably reproduces particle yields,  $p_T$  spectra, and  $v_2$  of low- $p_T$  pions and kaons in central and mid-central Au-Au collisions at  $\sqrt{s_{\rm NN}} = 200$  GeV and Pb-Pb collisions at  $\sqrt{s_{\rm NN}} = 2.76$  TeV [78], it was seen clearly in a recent study [79] that it fails to quantitatively reproduce the harmonic flow coefficients of identified hadrons  $(v_2, v_3, v_4 \text{ and } v_5)$  at  $\sqrt{s_{NN}} = 2.76$  TeV. It turns out that the radial flow in AMPT is 25% lower than that

<sup>&</sup>lt;sup>1</sup>The input parameters used in both configurations are:  $\alpha_s = 0.33$ , a partonic cross-section of 1.5 mb, while the Lund string fragmentation parameters were set to  $\alpha = 0.5$  and b = 0.9 GeV<sup>-2</sup>.

measured at the LHC, which is responsible for the quantitative disagreement [79]. The details of the 293 AMPT configurations used in this article and the comparisons of  $p_T$ -differential  $v_n$  for pions, kaons and 294 protons to the data can be found in [79]. 295

#### **6.1** Low Order Harmonic Correlations

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SC(3,2) and SC(4,2) are compared to several theoretical calculations. The event-by-event EKRT+viscous hydrodynamic predictions with the different parameterizations for the temperature dependence of the shear viscosity to entropy density ratio  $\eta/s(T)$  are shown in Fig. 2 of Ref. [40]. In this previous work it was demonstrated that NSC(3,2) is sensitive mainly to the initial conditions, while NSC(4,2) is sensitive to both the initial conditions and the system properties, which is consistent with the predictions from [21]. However, the sign of NSC(3,2) is negative in the data in 0-10% central collisions while it is positive in the models where the anisotropies originate mainly from fluctuations. This observation helps us to better understand the fluctuations in initial energy density. NSC(4,2) observable shows better sensitivity for different  $\eta/s(T)$  parameterizations but the model cannot describe either the centrality dependence or the absolute values. This observed discrepancy between data and theoretical predictions indicates that the current understanding of initial conditions used to model the initial stages of heavy-ion collisions needs to be revisited to further constrain  $\eta/s(T)$ . The use of SC(m,n) and NSC(m,n) can provide new constraints for the detailed modeling of fluctuating initial conditions. The better constraints on the initial state conditions will certainly reduce the uncertainties in determining  $\eta/s(T)$ . 310

The comparison to the VISH2+1 calculation [41] is shown in Fig. 3. All calculations with large  $\eta/s$ 311 regardless of the initial conditions ( $\eta/s = 0.2$  for MC-KLN and MC-Glauber initial conditions and 312  $\eta/s = 0.16$  for AMPT initial conditions) fail to capture the centrality dependence of SC(3,2) and SC(4,2). 313 Among the calculations with small  $\eta/s$  ( $\eta/s = 0.08$ ), the one with the AMPT initial condition describes 314 the data better both for SC(3,2) and SC(4,2) but it cannot describe the data quantitively for most of the centrality ranges. Similar to the event-by-event EKRT+viscous hydrodynamic calculations [30], the 316 sign of the normalized NSC(3,2) in the model calculations in Fig 3 is opposite to that in data in 0-10% 317 central collisions. NSC(3,2) does not show sensitivity to the initial conditions nor to the different  $\eta/s$ 318 parameterizations used in the models and cannot be described quantitatively by these models. However, 319 NSC(4,2) is sensitive both to the initial conditions and the  $\eta/s$  parameterizations used in the models. Even though NSC(4,2) favors both AMPT initial conditions with  $\eta/s = 0.08$  and MC-Glauber initial 321 conditions with  $\eta/s = 0.20$ , SC(4,2) can only be described by models with smaller  $\eta/s$ . Hence the 322 calculation with large  $\eta/s = 0.20$  is ruled out. We conclude that  $\eta/s$  should be small and that AMPT 323 initial conditions are favored by the data. 324

SC(m,n) calculated from AMPT simulations are compared with data in Fig. 4. For SC(3,2), the calculation with the default AMPT settings is closet to the data, but none of the AMPT configurations can describe the data fully. The same default calculation can describe the sign and magnitude of NSC(3,2) while the hydrodynamic calculations fail to describe either of them in the most central collisions. Interestingly, the string melting AMPT configuration cannot reproduce the data, and the resulting correlations are weaker than in data. The third version based on the string melting configuration without the hadronic rescattering phase is also shown. The hadronic rescattering stage makes both SC(3,2) and NSC(3,2) stronger in the string melting AMPT model but not enough to describe the data. Further investigations proved why the default AMPT model can describe NSC(3,2) fairly well but underestimates SC(3,2). By taking the differences in the individual flow harmonics ( $v_2$  and  $v_3$ ) between the model and data into account, it was possible to recover the difference in SC(3,2) between the data and the model. The discrepancy in SC(3,2) can be explained by the overestimated individual  $v_n$  values as reported in [79] in all the centrality ranges.

In the case of SC(4,2), the string melting AMPT model can describe the data fairly well while the de-338 fault model underestimates it. NSC(4,2) is slightly overestimated by the string melting setting which

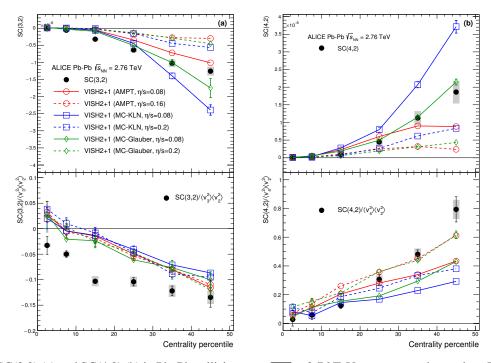
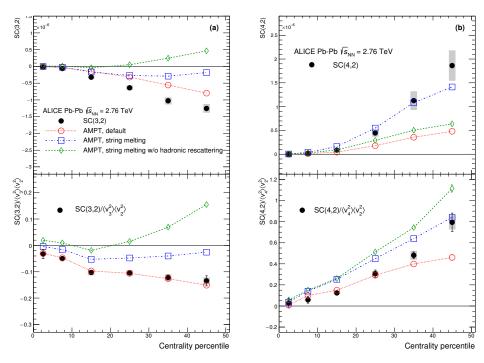


Fig. 3: SC(3,2) (a) and SC(4,2) (b) in Pb–Pb collisions at  $\sqrt{s_{\rm NN}}=2.76\,{\rm TeV}$  are compared to various VISH2+1 calculations [41] with different settings. Upper (lower) panels show SC(m,n) (NSC(m,n)). Calculations with AMPT, MC-KLN, and MC-Glauber initial conditions are drawn as different colors and markers. The  $\eta/s$  parameters are shown in line styles, the small  $\eta/s=0.08$  are shown as solid lines, and large  $\eta/s$  (0.2 for MC-KLN and MC-Glauber, 0.16 for AMPT) are drawn as dashed lines.



**Fig. 4:** SC(3,2) (a) and SC(4,2) (b) in Pb–Pb collisions at  $\sqrt{s_{NN}} = 2.76$  TeV are compared to various AMPT models. Upper (lower) panels show SC(m,n) (NSC(m,n)).

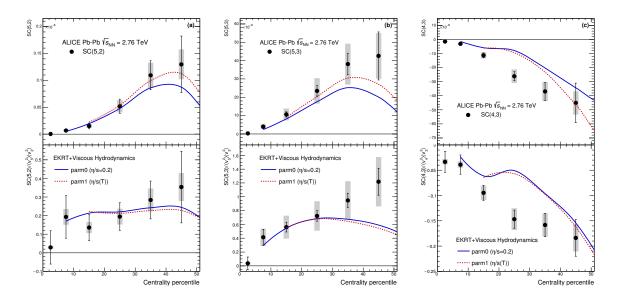


Fig. 5: Measurements of SC(5,2) (a), SC(5,3) (b) and SC(4,3) (c) in Pb–Pb collisions at  $\sqrt{s_{\rm NN}} = 2.76$  TeV are compared to the event-by-event EKRT+viscous hydrodynamic calculations [30]. The lines are hydrodynamic predictions with two different  $\eta/s(T)$  parameterizations, labeled in the same way as in [30]. Upper (lower) panels show SC(m,n) (NSC(m,n)).

can describe SC(4,2) but the default AMPT configuration can describe the data better. The influence of the hadronic rescattering phase on NSC(4,2) is opposite to other observables (SC(3,2), NSC(3,2)) and SC(4,2). The hadronic rescattering makes NSC(4,2) slightly smaller. It should be noted that the agreement with SC(m,n) should not be overemphasized since there are discrepancies in the individual  $V_n$  between the AMPT models and the data as was demonstrated for SC(3,2). Hence the simultaneous description of SC(m,n) and NSC(m,n) should give better constraints on the parameters in AMPT models.

#### **6.2** Higher Order Harmonic Correlations

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The higher order harmonic correlations (SC(4,3), SC(5,2) and SC(5,3)) are compared to several theoretical model calculations. The event-by-event EKRT+viscous hydrodynamic predictions with the different parameterizations for the temperature dependence of the shear viscosity to entropy density ratio  $\eta/s(T)$ are shown in Fig. 5. While we discussed the comparison to these hydrodynamic model calculations with various temperature dependent  $\eta/s$  parameterizations, only two calculations with the parameters which describe the lower order harmonic correlations best are compared to the results of higher order harmonic correlations. As it can be seen in Fig. 1 from Ref. [30], for "param1" parameterization the phase transition from hadronic to QGP phase occurs at lowest temperature, already around 150 MeV. This parameterization is also characterized with moderate slope of  $\eta/s(T)$  of decreasing (increasing) in hadronic (QGP) phase. The model calculations with the parameters for which the temperature of phase transition is larger than for "param1" can be ruled out already with the previous measurement [40]. As shown in Fig. 5, only the correlations between  $v_5$  and  $v_2$  are well described for all available centralities. On the other hand, for correlations between  $v_5$  and  $v_3$  the description fails in the transition towards more peripheral collisions, providing further independent constraints for the models. In the case of the correlation between v<sub>4</sub> and v<sub>3</sub>, the same models underestimate the data significantly. Most notably, this measurement is so far the most dramatic example of a failure of constant  $\eta/s$  to describe the data.

The higher order harmonic correlations are compared to VISH2+1 calculations [41], shown in Fig. 6. All the models with large  $\eta/s$  ( $\eta/s = 0.2$  for MC-KLN and MC-Glauber, and  $\eta/s = 0.16$  for AMPT

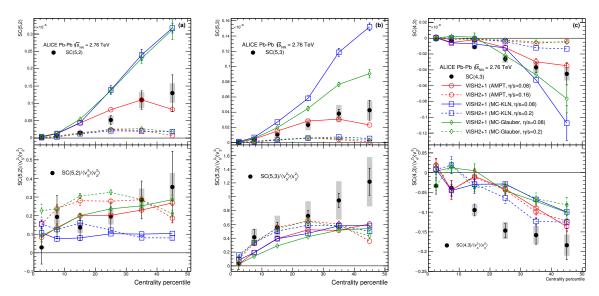
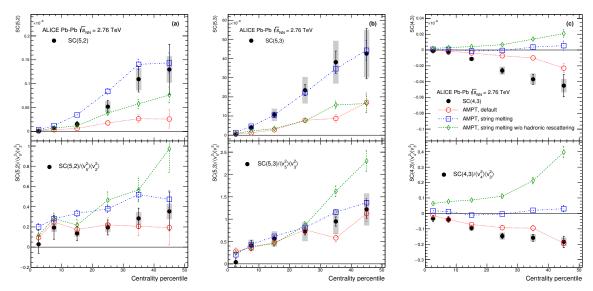


Fig. 6: Results of SC(5,2), SC(5,3) and SC(4,3) in Pb–Pb collisions at  $\sqrt{s_{\rm NN}}=2.76$  TeV are compared to various VISH2+1 calculations [41]. Three initial conditions from AMPT, MC-KLN and MC-Glauber are drawn as different colors and markers. The  $\eta/s$  parameters are shown as different line styles, the small shear viscosity ( $\eta/s=0.08$ ) are shown as solid lines, and large shear viscosities ( $\eta/s=0.2$  for MC-KLN and MC-Glauber, 0.16 for AMPT) are drawn as dashed lines. Upper (lower) panels show SC(m,n) (NSC(m,n)).

initial conditions) regardless of the initial conditions fail to capture the centrality dependence of SC(5,2), SC(5,2) and SC(5,3), with larger disagreements than observed for the lower order harmonic correlations. Among the models with small  $\eta/s$  ( $\eta/s=0.08$ ), the one with the AMPT initial conditions describes the data much better than the ones with other initial conditions. A quite clear separation between different initial conditions is observed for these higher order harmonic correlations compared to the lower order harmonic correlations. NSC(5,2) and SC(5,3) are quite sensitive to both the initial conditions and the  $\eta/s$  parameterizations. Similar to the hydrodynamic calculations mentioned above [30], the sign of NSC(4,3) in these models is opposite to its sign in the data in 0-10% central collisions. NSC(4,3) shows sensitivity to both initial conditions and  $\eta/s$  parameterizations. The SC(4,3) results are clearly favored by smaller  $\eta/s$  values but NSC(4,3) cannot be described by these models quantitively.

The results from AMPT simulations are compared to the data in Fig. 7. The string melting AMPT model describes SC(5,3) and NSC(5,3) well. However, the same setting overestimates SC(5,2) and NSC(5,2). The default AMPT model can describe NSC(5,3) and NSC(5,2) fairly well as in the case of the lower harmonics seen in Fig. 4. In the case of SC(4,3), neither of the settings can describe the data but the default AMPT model comes the closest to the data. The string melting AMPT model fails to describe SC(4,3) and NSC(4,3). In summary, the default AMPT model describes well the normalized symmetric cumulants (NSC(m,n)) from lower to higher order harmonic correlations while the string melting AMPT model overestimates NSC(5,2) and predicts a very weak correlation in NSC(4,3).

As discussed in Sec. 5, a hierarchy NSC(5,3) > NSC(4,2) > NSC(5,2) holds for centrality ranges > 20% within the errors and NSC(5,2) is smaller than NSC(5,3) while SC(5,2) is larger that SC(5,3). Except for 0-10% centrality range, we found that the same hierarchy also holds in the hydrodynamic calculations and the AMPT models explored in this article. The observed reversal of the hierarchy SC(5,2) > SC(5,3), can be explained by the magnitudes of the individual flow harmonics ( $v_2 > v_3$ ). The opposite trend is observed for the normalized SC (NSC(5,3) > NSC(5,2)). This can be attributed to the fact that flow fluctuations are stronger for  $v_3$  than  $v_2$  [80]. This was claimed in Ref. [41] and also seen in Ref. [81] based on a AMPT model. NSC(m,n) correlators increase with larger  $\eta$ /s in hydrodynamic calculations



**Fig. 7:** Results of SC(5,2), SC(5,3) and SC(4,3) in Pb–Pb collisions at  $\sqrt{s_{\rm NN}} = 2.76$  TeV are compared to various AMPT models. Upper (lower) panels show SC(m,n) (NSC(m,n)).

in the 0-30% centrality range in the same way as the event plane correlations [82, 83]. In semi-peripheral collisions (>40%), the opposite trend is observed.

We list here the important findings from the model comparisons:

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- (i) All the VISH2+1 model calculations with large  $\eta/s$  fail to capture the centrality dependence of the correlations regardless of the initial conditions.
- (ii) Among the VISH2+1 model calculations with small  $\eta/s$  ( $\eta/s = 0.08$ ), the one with the AMPT initial conditions describes the data qualitatively but it cannot describe the data quantitively for most of the centrality ranges.
- (iii) The NSC(3,2) observable is sensitive mainly to the initial conditions, while the other observables are sensitive to both the initial conditions and the temperature dependence of  $\eta/s$ .
- (iv) The correlation strength between  $v_3$  and  $v_2$  and between  $v_4$  and  $v_3$  (NSC(3,2) and NSC(4,3)) is significantly underestimated in hydrodynamic model calculations.
- (v) The sign of NSC(3,2) in 0-10% central collisions was found to be different in the data and the hydrodynamic model calculations while the default AMPT model can reproduce the sign.
- $^{406}$  (vi) The default AMPT model can describe the normalized symmetric cumulants (NSC(m,n)) quantitively for most centralities while the string melting AMPT model fails to describe them.
- $^{408}$  (vii) A hierarchy NSC(5,3) > NSC(4,2) > NSC(5,2) holds for centrality ranges > 20% within the errors. This hierarchy is reproduced well both by hydrodynamic and AMPT model calculations.

# 6.3 Transverse Momentum Dependence of Correlations between $v_2$ , $v_3$ and $v_4$

It can be seen in Fig. 2 that for the NSC(3,2) observable no  $p_{\rm T}$  dependence is seen in the centrality range <30%, and a moderate decreasing trend with increasing  $p_{\rm T}$  is seen for the >30% centrality range. NSC(4,2) shows a moderate decreasing trend as  $p_{\rm T}$  or the centrality increase. In order to see the trend more clearly, we show NSC(m,n) results as a function of minimum  $p_{\rm T}$  cut in Fig. 8 and Fig. 9.

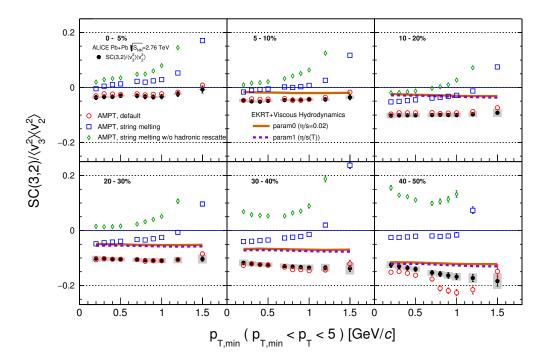


Fig. 8: NSC(3,2) as a function of the minimum  $p_{\rm T}$  cuts in Pb–Pb collisions at  $\sqrt{s_{\rm NN}} = 2.76$  TeV are compared to various AMPT configurations and event-by-event EKRT+viscous hydrodynamic calculations [30].

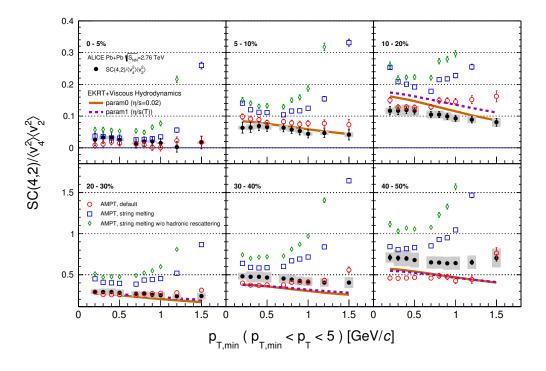


Fig. 9: NSC(4,2) as a function of the minimum  $p_{\rm T}$  cuts in Pb–Pb collisions at  $\sqrt{s_{\rm NN}}=2.76$  TeV are compared to various AMPT configurations and event-by-event EKRT+viscous hydrodynamic calculations [30].

NSC(3,2) and NSC(4,2) as a function of different minimum  $p_T$  cuts are compared to the AMPT simu-415 lations in Fig. 8 and Fig. 9, respectively. The observed  $p_T$ -dependence for NSC(3,2) and NSC(4,2) in 416 mid-central collisions is also seen in AMPT simulations for higher minimum  $p_T$  cuts. With the excep-417 tion of the default configuration, the other AMPT settings predict a very strong  $p_T$  dependence above 418 1 GeV/c and cannot describe the magnitude of the data for both NSC(3,2) and NSC(4,2) simultaneously. In the case of NSC(3,2), the default AMPT model describes the magnitude and  $p_T$  dependence well 420 in all collision centralities except for 40-50% where the model underestimates the data and shows a 421 stronger  $p_T$  dependence than the data. As for NSC(4,2), the same model which describes NSC(3,2) can 422 also reproduce the data well except for the 10-20% and 40-50% centralities where some deviations 423 from the data are observed. When the string melting AMPT model is compared to the same model with 424 the hadronic rescattering off, it is observed that the very strong  $p_T$  dependence as well as the correla-425 tion strength are weakened by the hadronic rescattering. This might imply that hadronic interactions are 426 the source of this observed  $p_{\rm T}$  dependence even though the relative contributions from the partonic and 427 hadronic stages in the final state particle distributions should be studied further. 428

The event-by-event EKRT+viscous hydrodynamic calculations are compared to the data in Fig. 8. In case 429 of NSC(3,2), the hydrodynamic calculations underestimate the data as discussed in Sec 6.1 and show very 430 weak  $p_T$  dependence for all centralities. The  $p_T$  dependence of NSC(3,2) is well described by the model 431 calculations in all collision centralities except for 40-50% where the data shows stronger  $p_T$  dependence 432 than the models. The difference between the model calculations with the two parameterizations is very 433 small. As for NSC(4,2), the model calculations overestimate the magnitude in the 5-20% centralities 434 and underestimate in the centrality range >20%. However the  $p_T$  dependence is well described by 435 the model calculations in all centralities. While the difference of the model calculations between two 436 parameterizations in most centralities is rather small, the clear separation between two parameterizations 437 are observed in 10-20% where both the magnitude and  $p_{\rm T}$  dependence are different. 438

This observed moderate  $p_{\rm T}$  dependence in mid-central collisions both for NSC(3,2) and NSC(4,2) might be an indication of possible viscous corrections to the equilibrium distribution at hadronic freeze-out as predicted in [21]. The comparisons to hydrodynamic models can further help to understand the viscous corrections to the momentum distributions at hadronic freeze-out [30, 36–39].

## 443 7 Summary

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In this article, we report the centrality dependence of correlation between the higher order harmonics  $(v_3, v_4, v_5)$  $v_4$ ,  $v_5$ ) and the lower order harmonics ( $v_2$ ,  $v_3$ ) as well as the transverse momentum dependence of the correlations between  $v_3$  and  $v_2$  and between  $v_4$  and  $v_2$ . The results are obtained with Symmetric 2-harmonic 4-particle Cumulants (SC). It was demonstrated earlier in [40] that this method is insensitive to the nonflow effects and free from symmetry plane correlations. We have found that fluctuations of SC(3,2) and SC(4,3) are anti-correlated in all centralities while fluctuations of SC(4,2), SC(5,2) and SC(5,3)are correlated for all centralities. These measurements were compared to various hydrodynamic model calculations with different initial conditions as well as different parameterizations of the temperature dependence of  $\eta/s$ . It is found that the different order harmonic correlations have different sensitivities to the initial conditions and the system properties. Therefore they have discriminating power in separating the effects of  $\eta/s$  from the initial conditions on the final state particle anisotropies. The sign of SC(3,2) in 0-10% central collisions was found to be different between the data and hydrodynamic model calculations. In the most central collisions the anisotropies originate mainly from fluctuations, where the initial ellipsoidal geometry which dominates in mid-central collisions plays little role. This observation might help to understand the details of the fluctuations in the initial stage. The comparisons to VISH2+1 calculations show that all the models with large  $\eta/s$ , regardless of the initial conditions, fail to capture the centrality dependence of higher order correlations. Based on the tested model parameters, the data favors small  $\eta/s$  and the AMPT initial conditions. A quite clear separation of the correlation strength

for different initial conditions is observed for these higher order harmonic correlations compared to the 462 lower order harmonic correlations. The default configuration of the AMPT model describes well the 463 normalized symmetric cumulants (NSC(m,n)) for most centralities and for most combinations of har-464 monics which were considered. Together with the measurements of individual harmonics these results 465 provide further constraints on the system properties and help discriminate between theoretical models. Finally, we have found that  $v_3$  and  $v_2$  as well as  $v_4$  and  $v_2$  correlations have moderate  $p_T$  dependence 467 in mid-central collisions. This might be an indication of possible viscous corrections to the equilibrium 468 distribution at hadronic freeze-out. The results presented in this article can be used to further optimize 469 model parameters and put better constraints on the initial conditions and the transport properties of nu-470 clear matter in ultra-relativistic heavy-ion collisions. 471

# 472 Acknowledgements

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# A The ALICE Collaboration