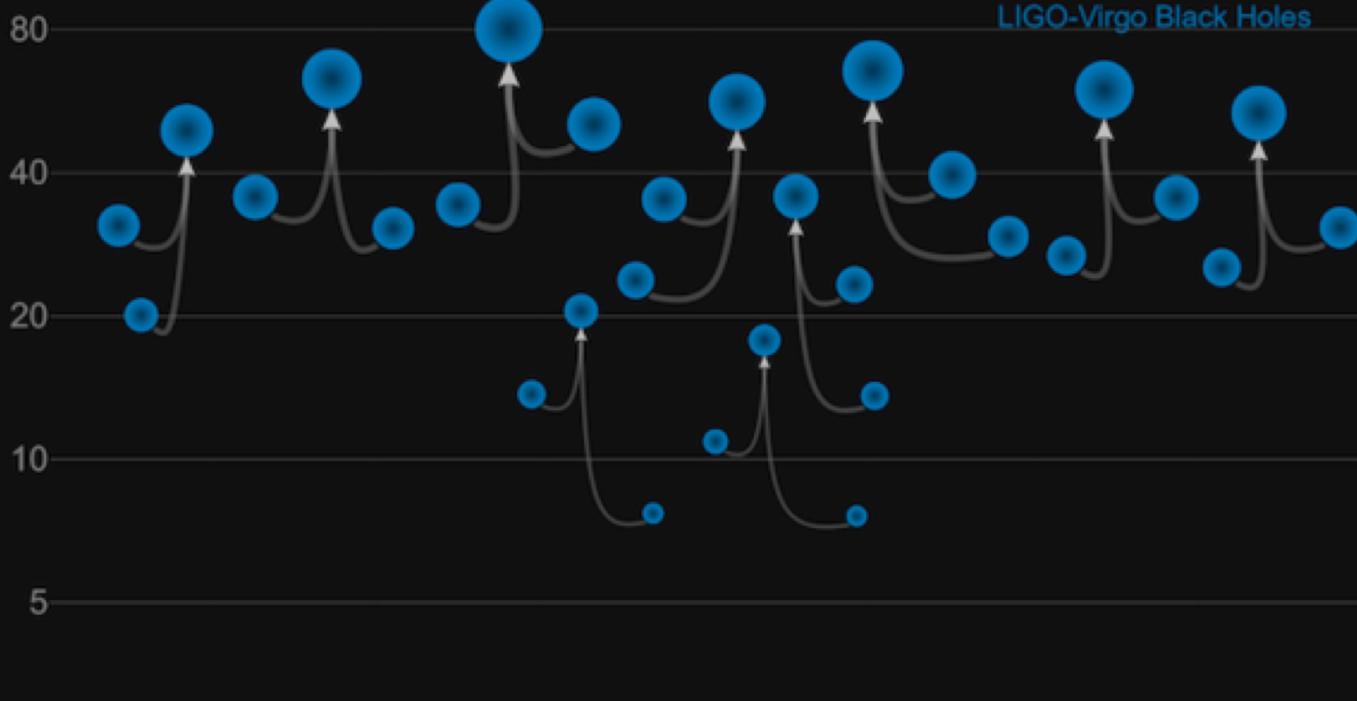


Tertiary-Induced BH Binary Mergers

Dong Lai
Cornell University

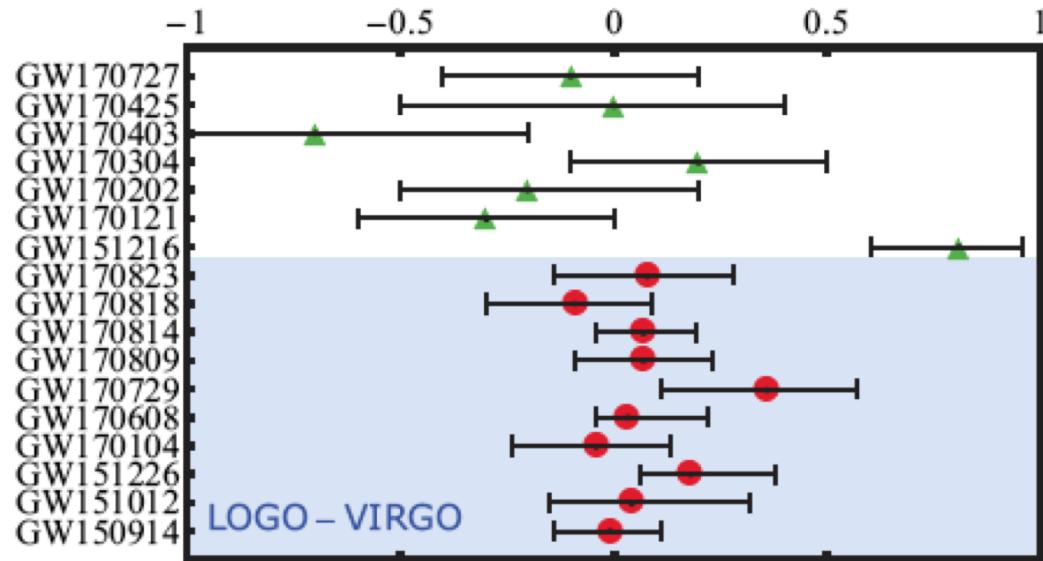
Solar Mass



Gravitational waveform gives

$M_1, M_2, \chi_{\text{eff}}$

$$\chi_{\text{eff}} \equiv \frac{m_1 \chi_1 + m_2 \chi_2}{m_1 + m_2} \cdot \hat{\mathbf{L}}$$



Formation Channels of Merging BH Binaries

- Isolated Binary Evolution
- Dynamical Formation: several flavors (star clusters, triples...)

How to distinguish different channels?

Formation Channels of Merging BH Binaries

- Isolated Binary Evolution
- Dynamical Formation: several flavors (star clusters, triples...)

How to distinguish different channels?

Rates (uncertain)?

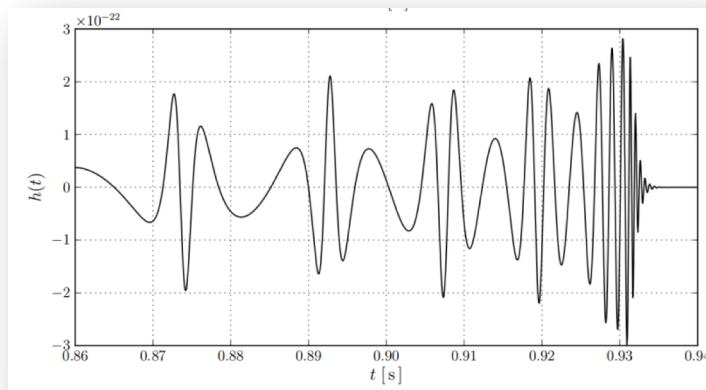
Formation Channels of Merging BH Binaries

- Isolated Binary Evolution
- Dynamical Formation: several flavors (star clusters, triples...)

How to distinguish different channels?

Rates (uncertain)?

Residual eccentricity when enter LIGO band (10Hz)



Formation Channels of Merging BH Binaries

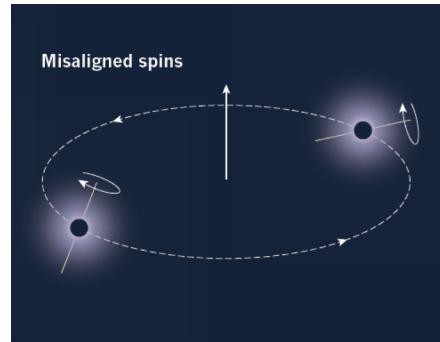
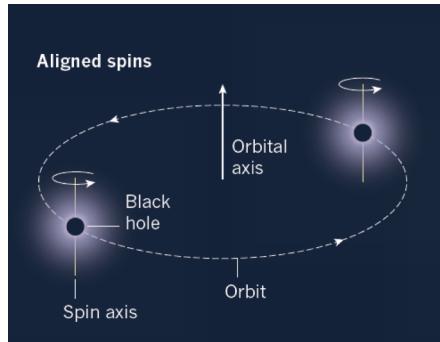
- Isolated Binary Evolution
- Dynamical Formation: several flavors (star clusters, triples...)

How to distinguish different channels?

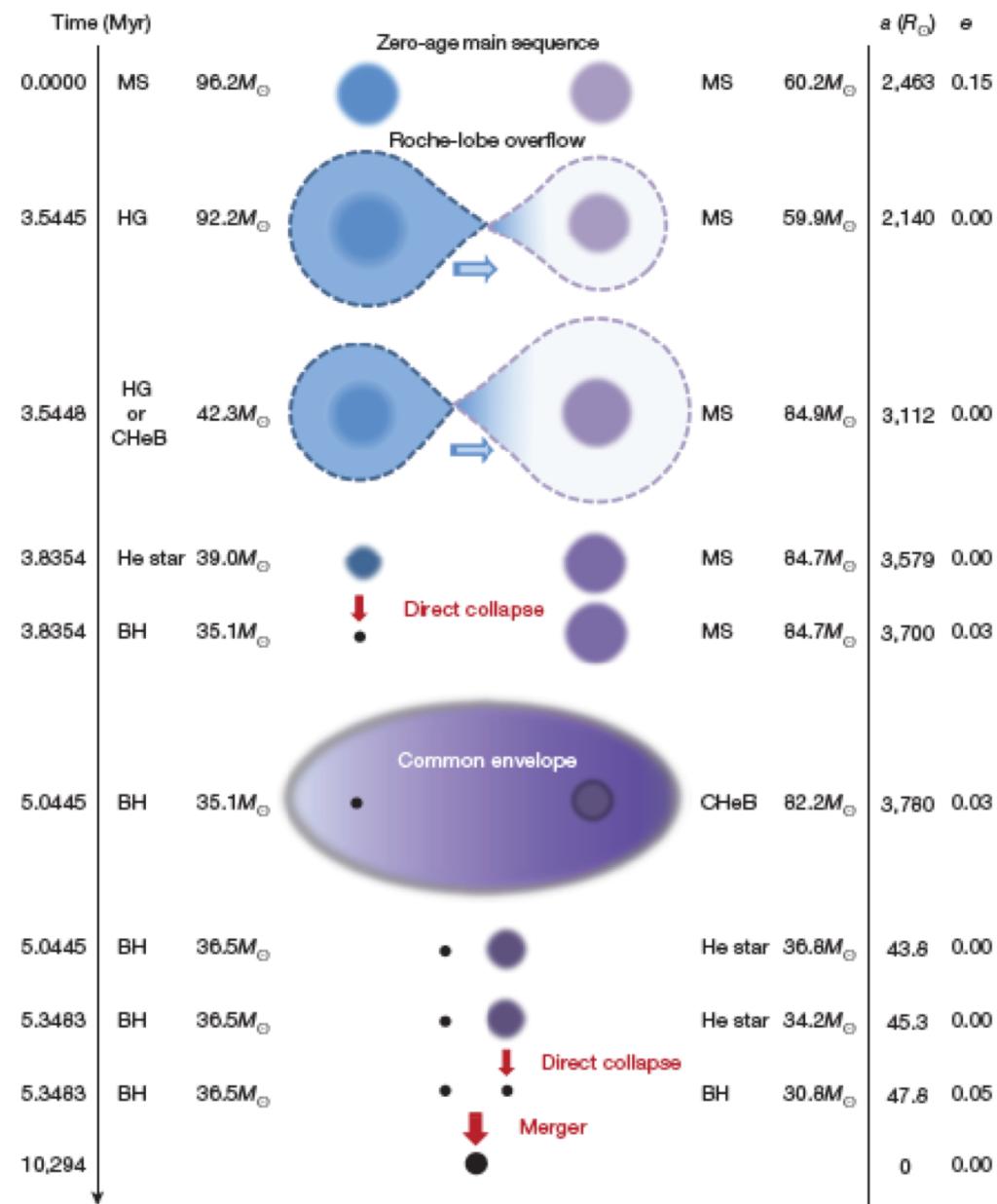
Rates (uncertain)?

Residual eccentricity when enter LIGO band (10Hz)

Spin-orbit misalignmemt



Standard Binary Evolution Channel:



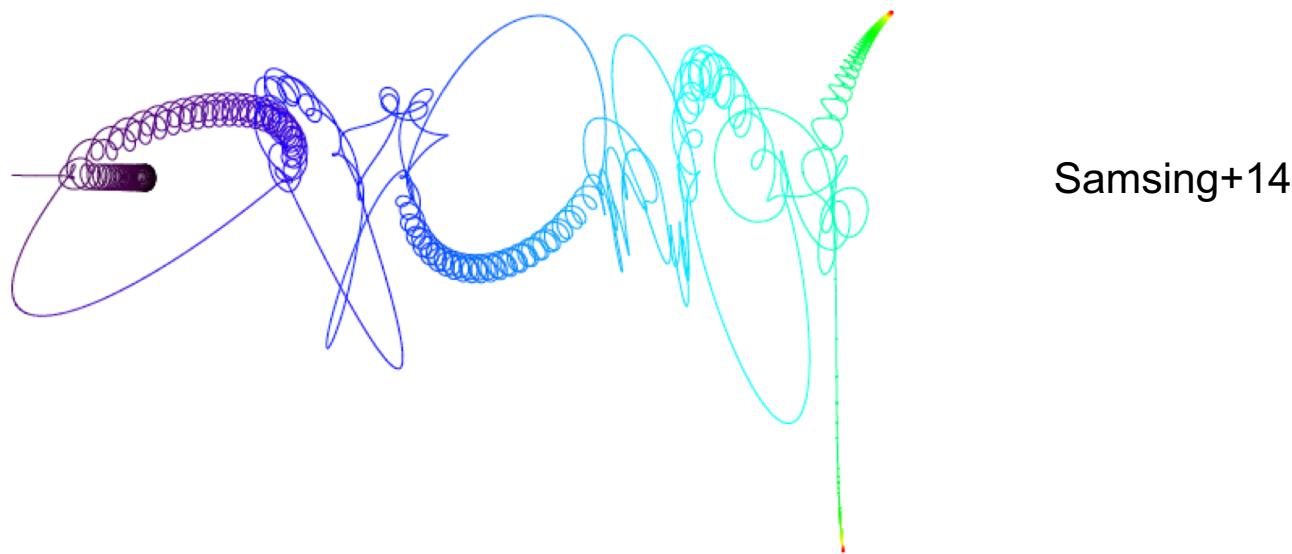
many papers, uncertain physical ingredients

Produce
circular orbit at 10 Hz
aligned spin-orbit

Dynamical Formation Channels

several flavors...

1. Dense clusters: binary-single scatterings → tight binary



**Produce mostly circular orbit when enter LIGO band (10 Hz) ??
Expect random spin-orbit orientations**

Dynamical Formation Channels

several flavors...

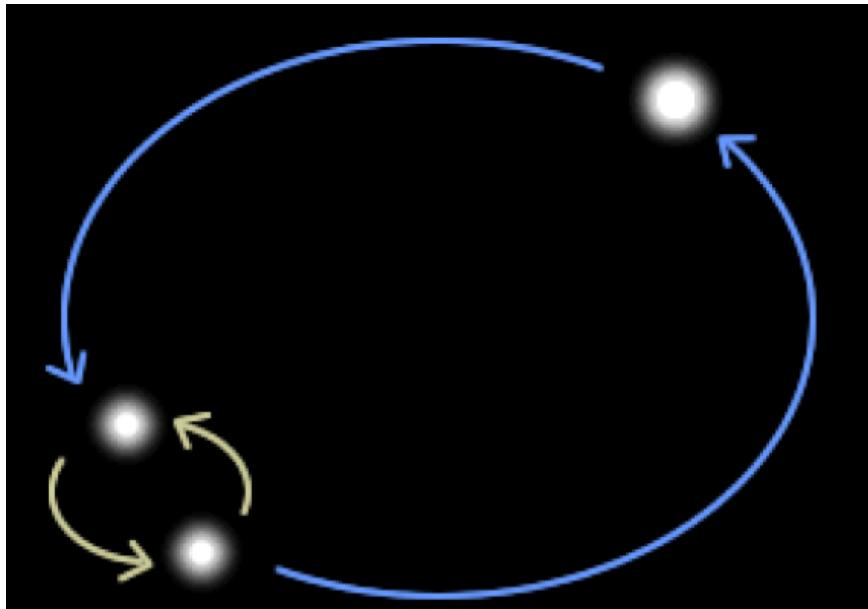
1. Dense clusters: binary-single scatterings → tight binary

2. Tertiary-Induced Mergers:

Mergers induced by (gentle) perturbations from tertiary companion
stellar triples in galactic field, binary around SMBH

Tertiary-Induced Binary Mergers

merger window, residual eccentricity, spin-orbit misalignments



Liu & DL 2017,2018, 2019
Liu, DL & Wang 2019a,b



Bin Liu (Cornell)

Previous/related works (in various contexts):

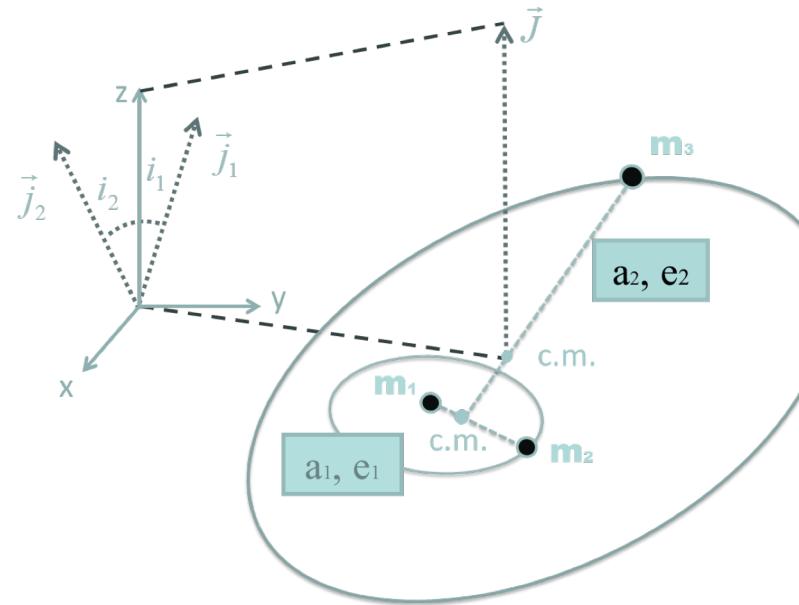
e.g. Blaes et al. 2002; Miller & Hamilton 2002; Wen 2003;
Thompson 2011; Antonini et al. 2012,2014,2017,
Silsbee & Tremaine 2017; Petrovich & Antonini 2017...

Lidov-Kozai oscillations

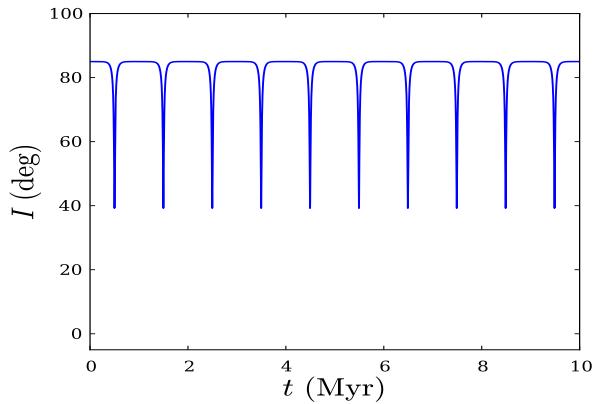
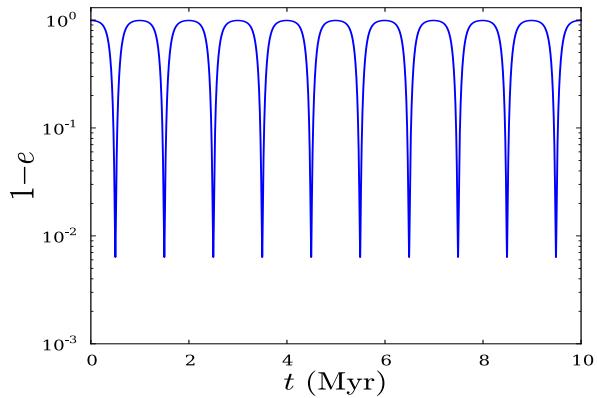
■ Inner binary + tertiary companion

--- Eccentricity and inclination
oscillations induced if $i > 40^\circ$

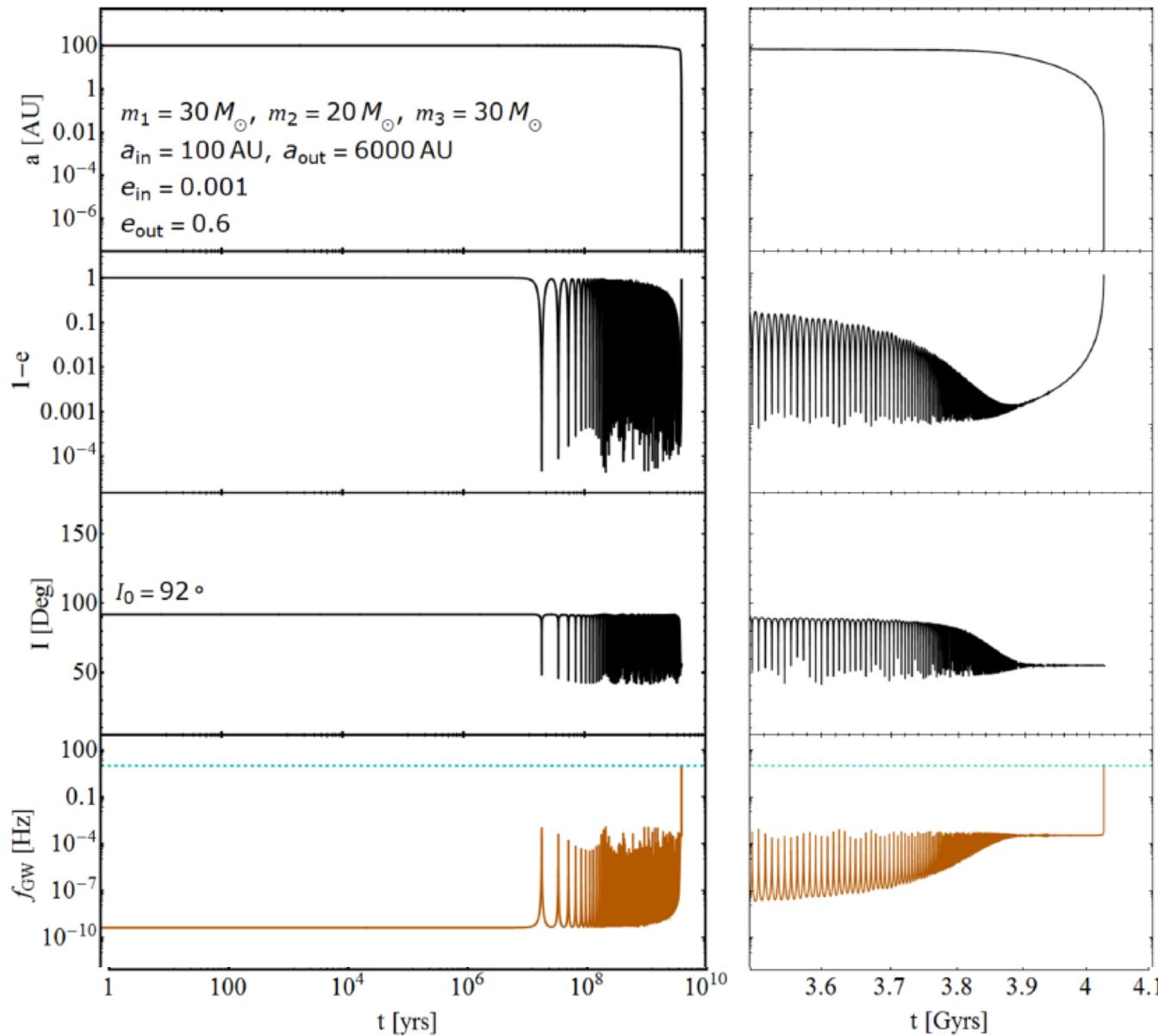
--- If i large (85-90 degrees), get
extremely large eccentricities ($e > 0.99$)



■ Orbital (secular) evolution



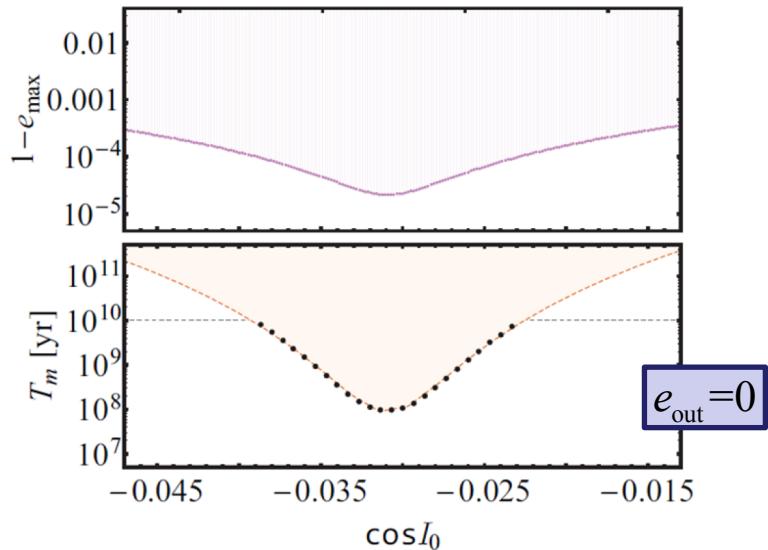
LK oscillation + Gravitation Radiation



Inclination window for merger

Fixed inner binary: $m_1=30M_{\odot}$, $m_2=20M_{\odot}$, $a_{\text{in},0}=100\text{AU}$

Fixed m_3/a_{out}^3 value

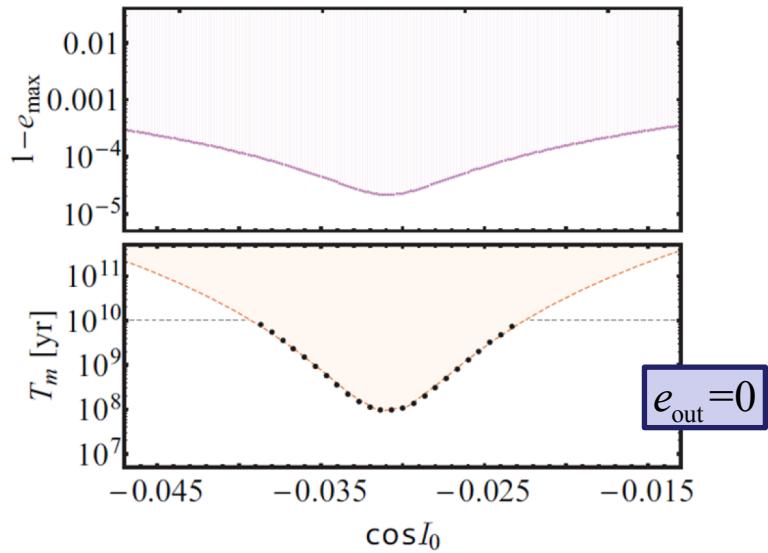


Quadrupole LK:
 e_{\max} vs I_0 analytic:
LK driving compete with
GR apsidal precession

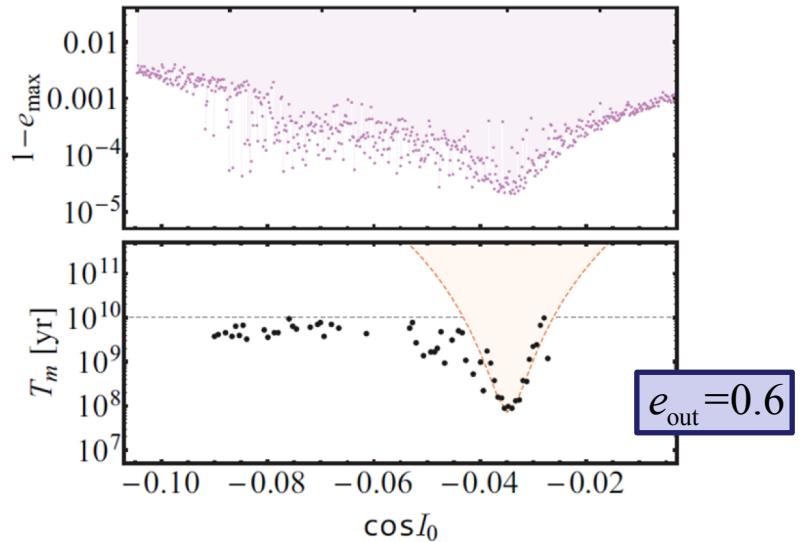
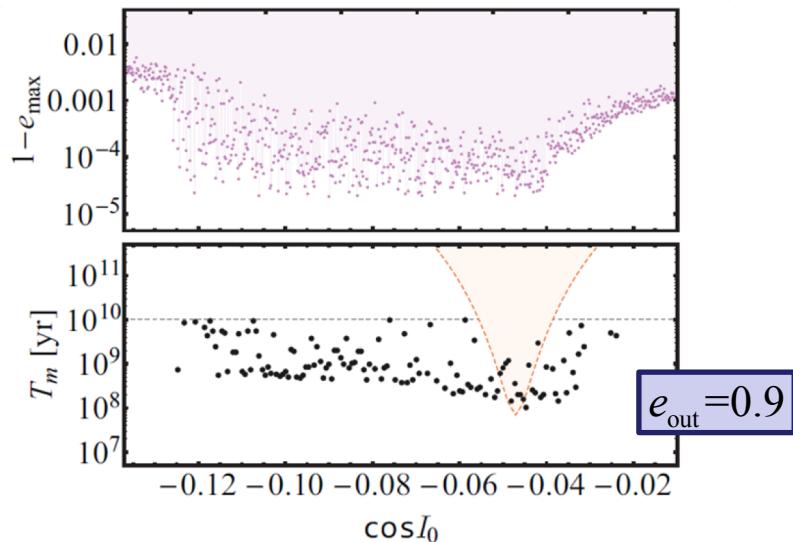
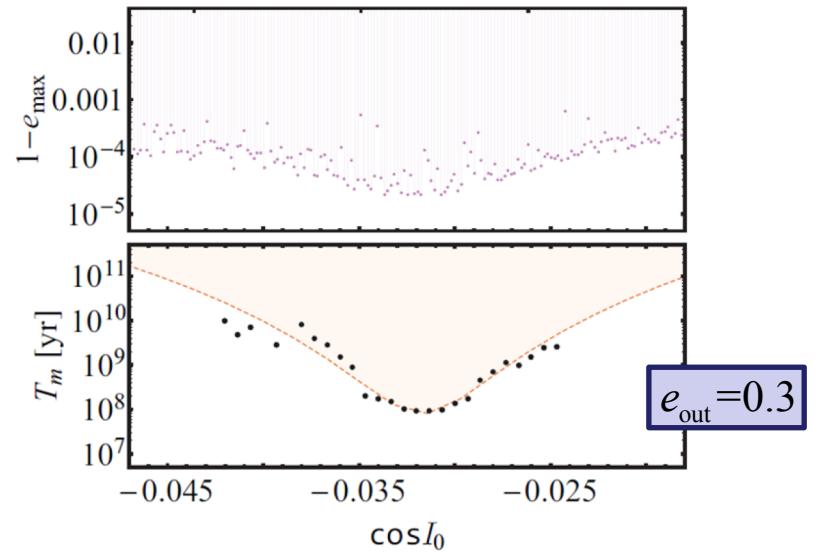
Merger window (almost)
analytic

Inclination window for merger

Fixed inner binary: $m_1=30M_{\odot}$, $m_2=20M_{\odot}$, $a_{\text{in},0}=100\text{AU}$

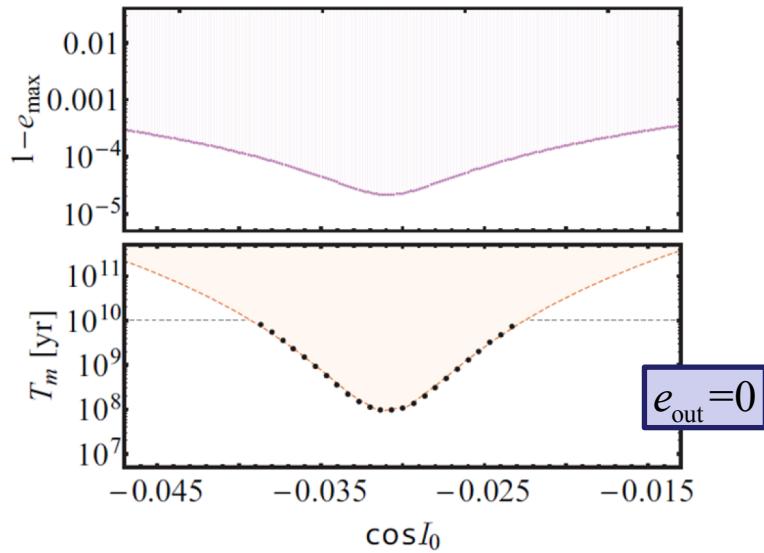


Fixed m_3/a_{out}^3 value

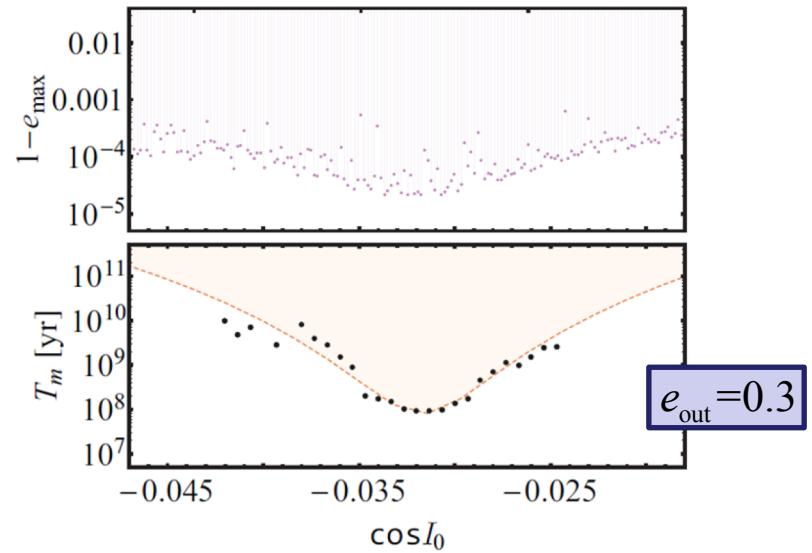


Inclination window for merger

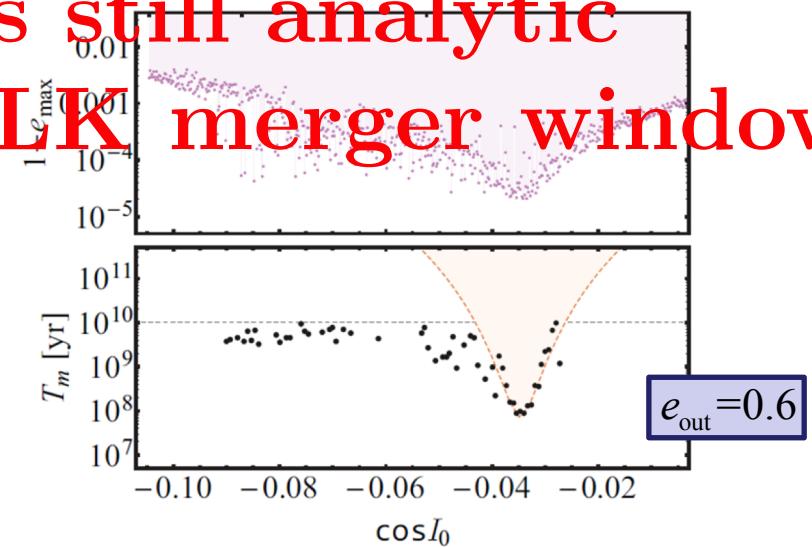
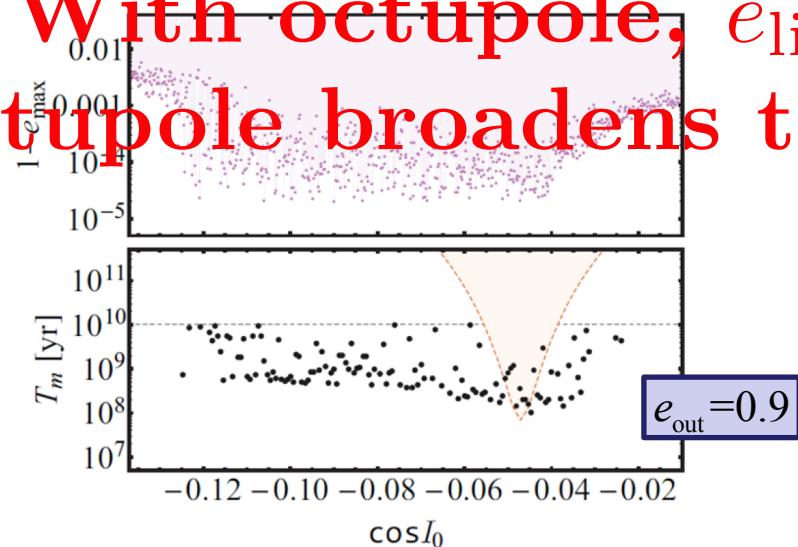
Fixed inner binary: $m_1=30M_{\odot}$, $m_2=20M_{\odot}$, $a_{\text{in},0}=100\text{AU}$



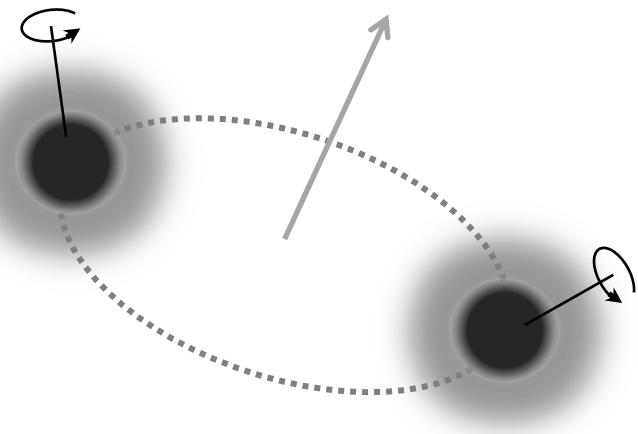
Fixed m_3/a_{out}^3 value



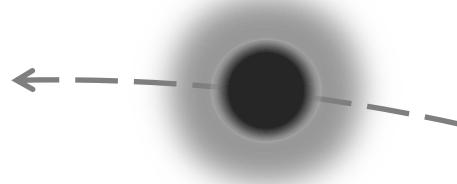
**With octupole, e_{\lim} is still analytic
Octupole broadens the LK merger window**



What about the BH Spin?



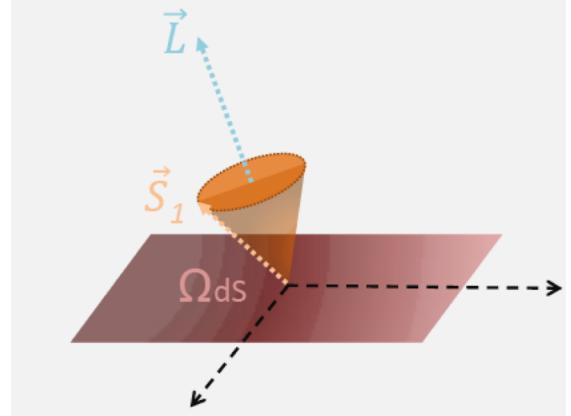
?



Spin-Orbit Coupling

The de Sitter precession of spin around the angular momentum axis of the binary

$$\frac{d\hat{S}_1}{dt} = \Omega_{ds} \hat{L} \times \hat{S}_1 \quad \Omega_{ds} = \frac{3Gn(m_2 + \mu/3)}{2c^2 a(1-e^2)}$$

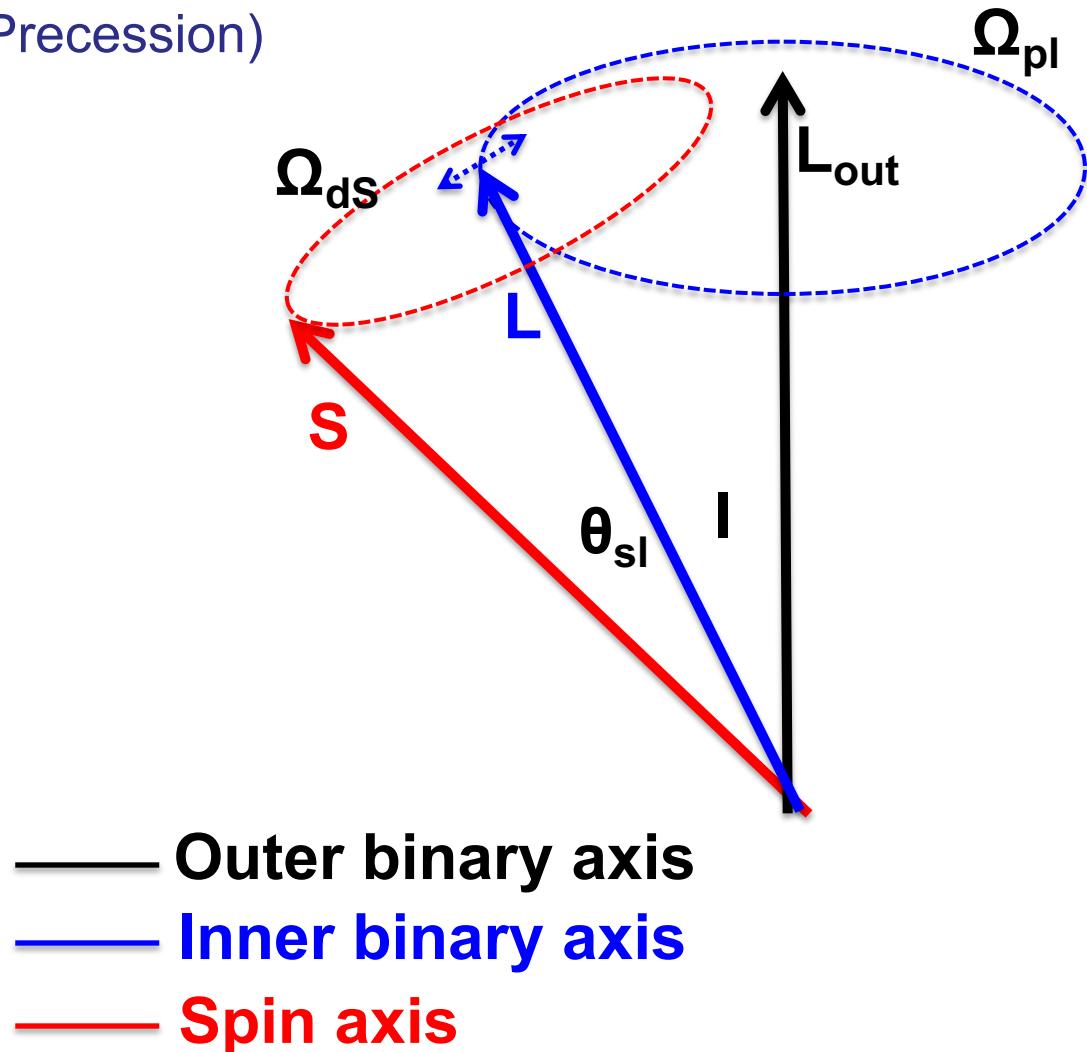


BH spin dynamics during LK oscillations

$$\frac{d\hat{\mathbf{S}}_1}{dt} = \Omega_{\text{dS}} \hat{\mathbf{L}} \times \hat{\mathbf{S}}_1 \quad (\text{de Sitter Precession})$$

But \mathbf{L} precesses and nutates during LK oscillations

$$\Omega_{\text{pl}} \simeq \frac{3(1+e^2)}{t_{\text{LK}} \sqrt{1-e^2}} |\sin 2I|$$



BH spin dynamics during LK oscillations

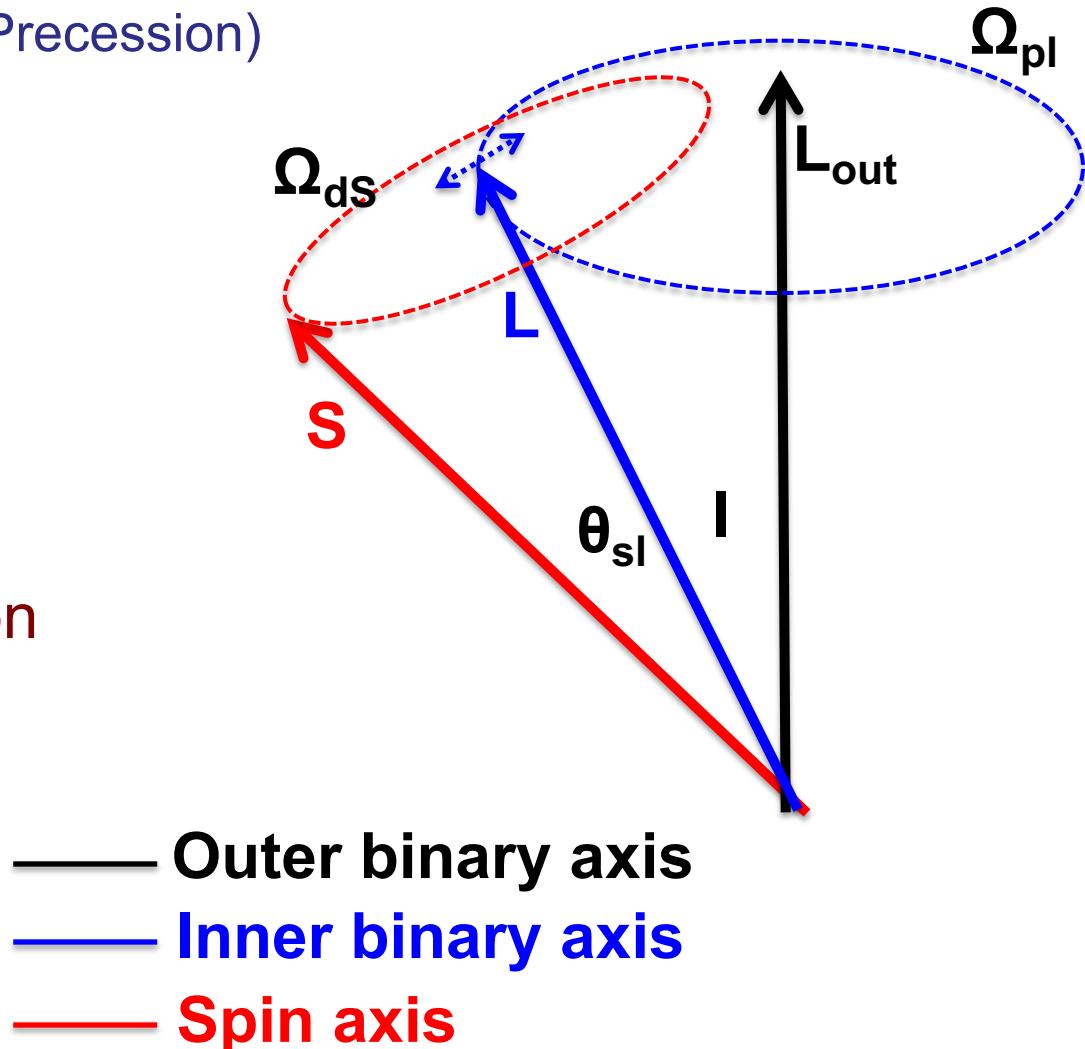
$$\frac{d\hat{\mathbf{S}}_1}{dt} = \Omega_{\text{dS}} \hat{\mathbf{L}} \times \hat{\mathbf{S}}_1 \quad (\text{de Sitter Precession})$$

But \mathbf{L} precesses and nutates during LK oscillations

$$\Omega_{\text{pl}} \simeq \frac{3(1+e^2)}{t_{\text{LK}} \sqrt{1-e^2}} |\sin 2I|$$

Spin dynamics depends on

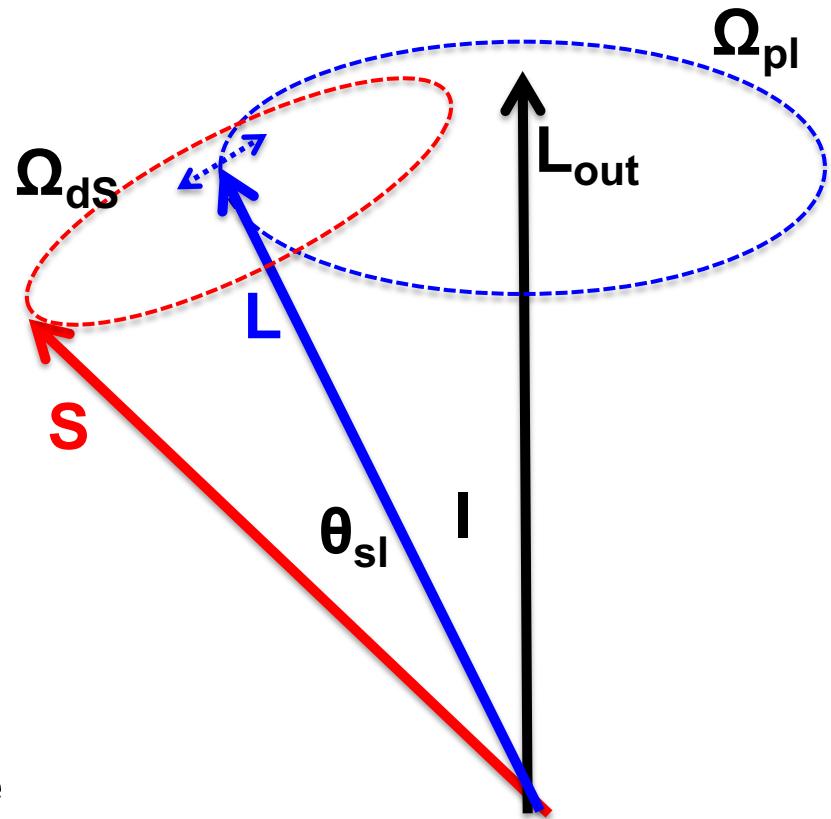
Ω_{dS} vs Ω_{pl}

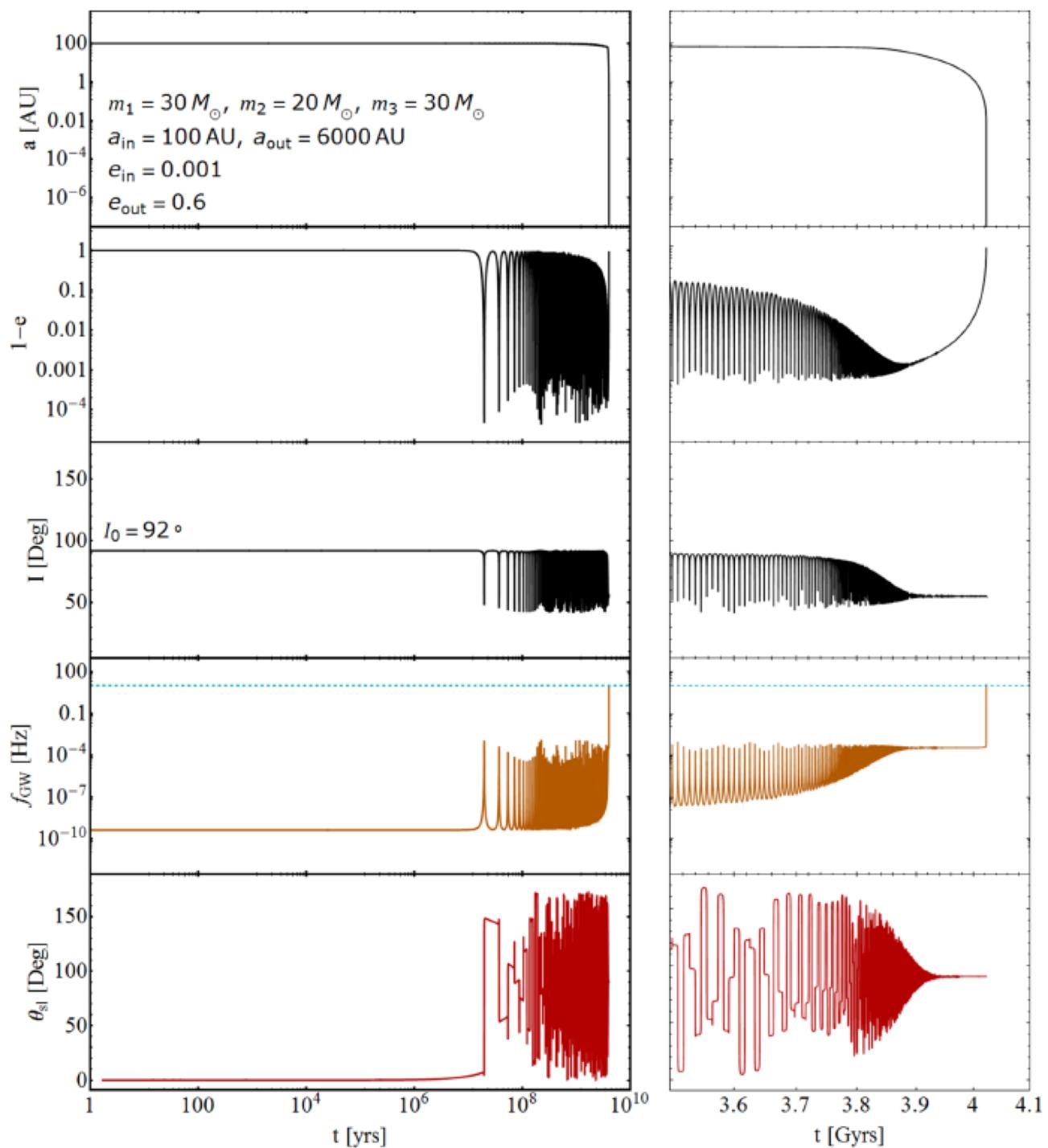


BH spin evolution in LK-induced orbital decay

$$\Omega_{dS}/\Omega_{pl}$$

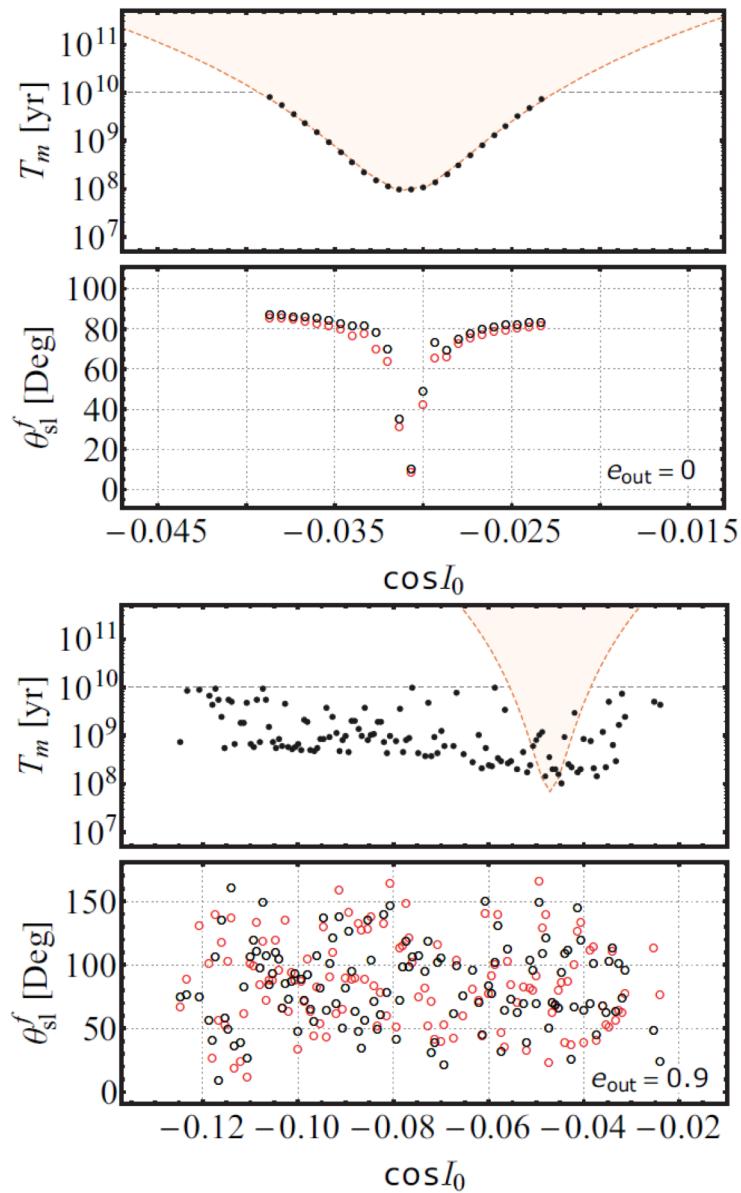
changes from $\ll 1$ (non-adiabatic)
to $\gg 1$ (adiabatic) as the orbit decays
→ Final spin-orbit misalignment angle



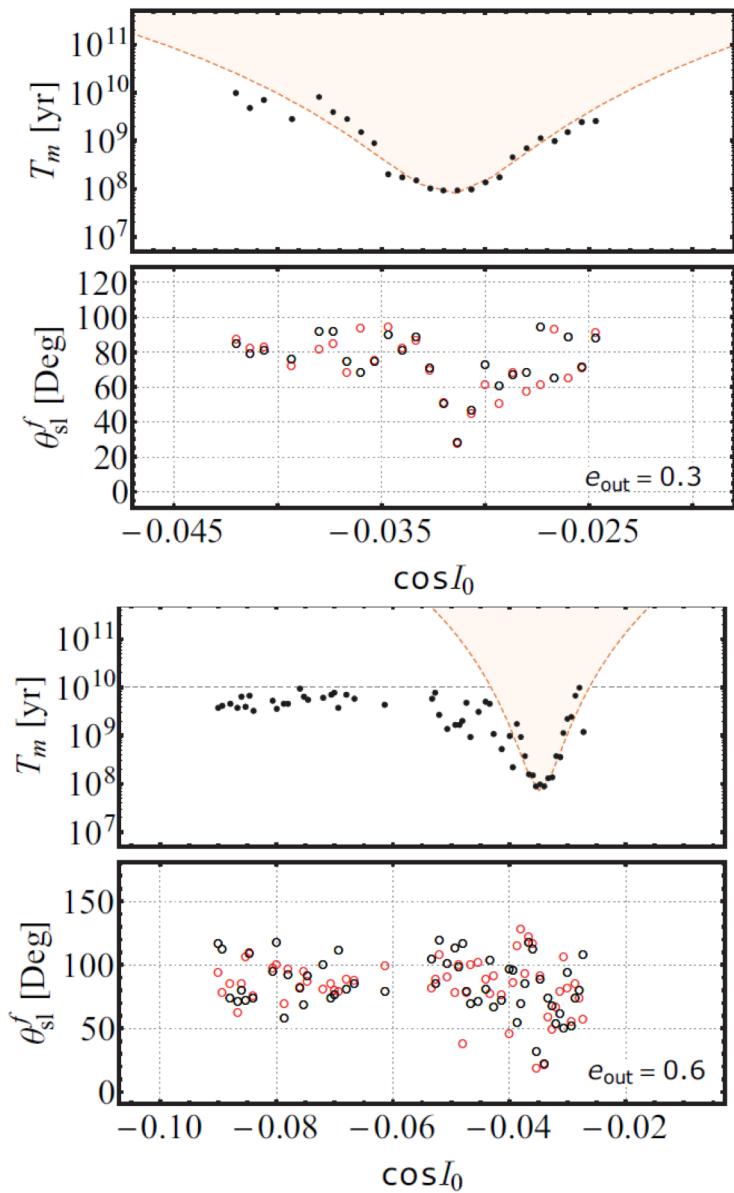


Merger Window and Final Spin-Orbit Misalignments

Fixed inner binary: $m_1=30M_{\odot}$, $m_2=20M_{\odot}$, $a_{\text{in},0}=100\text{AU}$

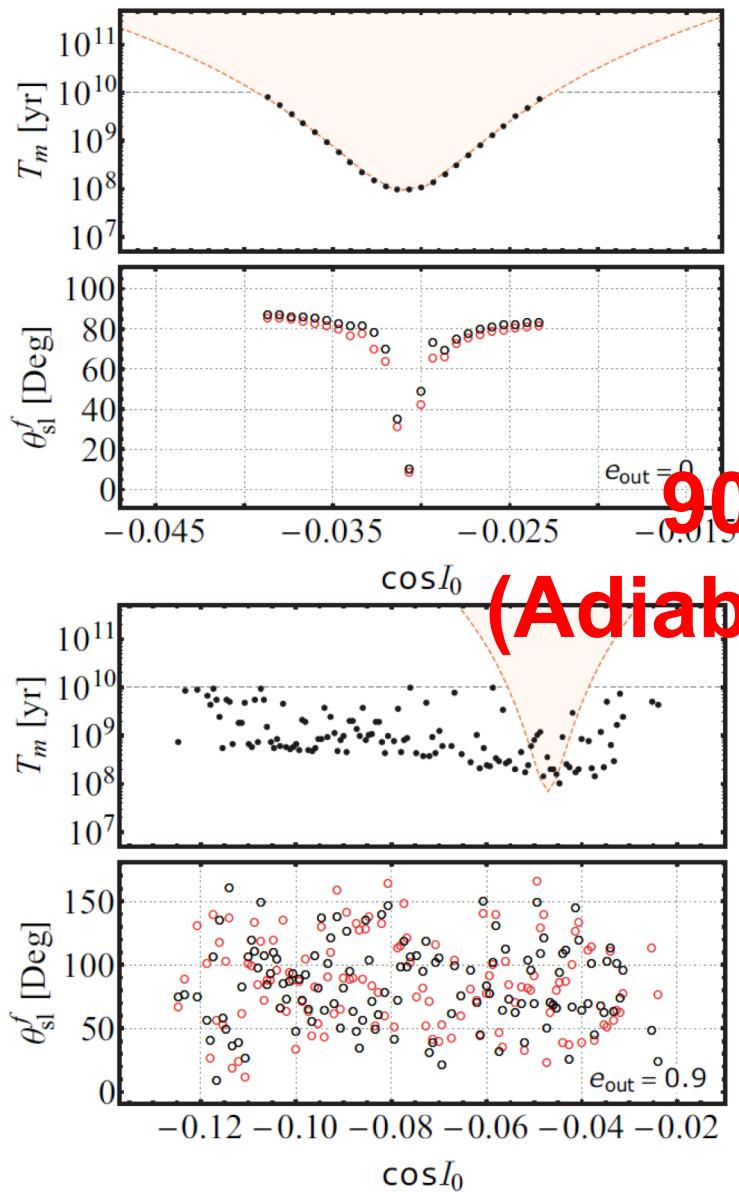


Fixed m_3/a_{out}^3 value

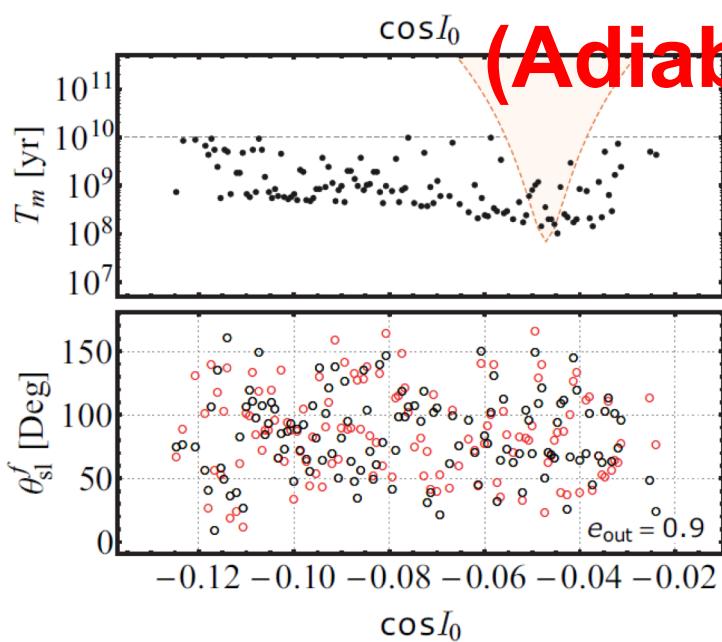
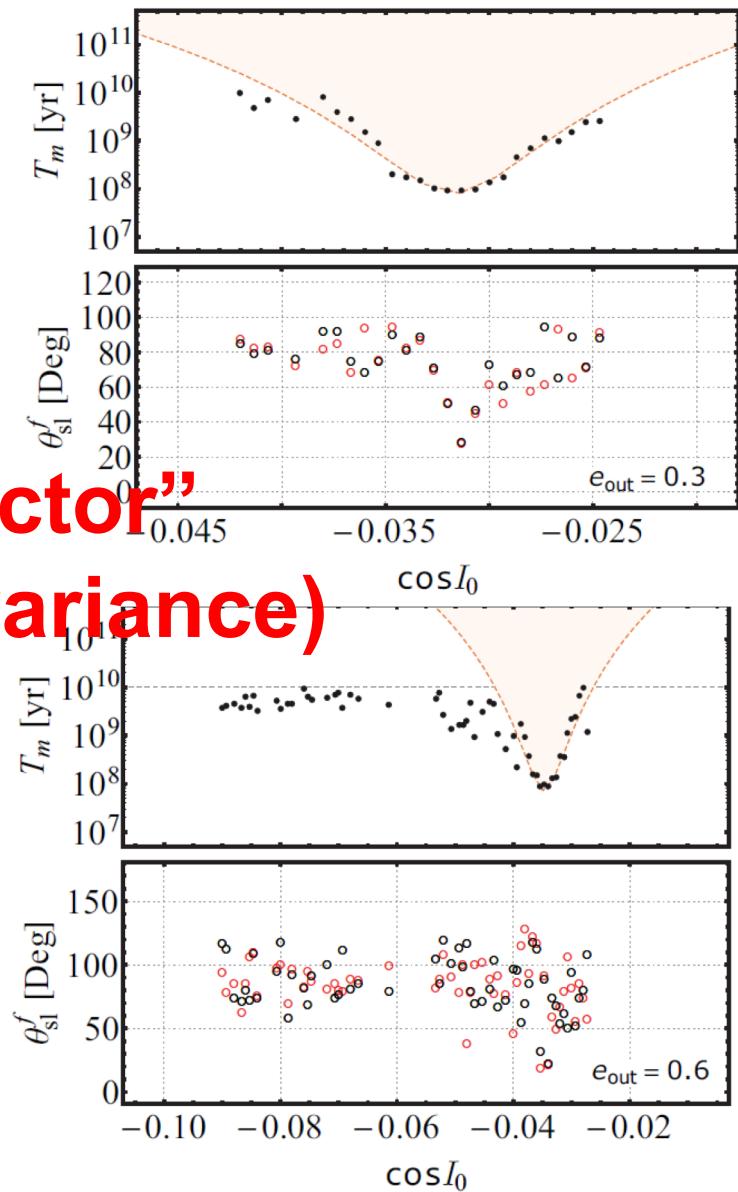


Merger Window and Final Spin-Orbit Misalignments

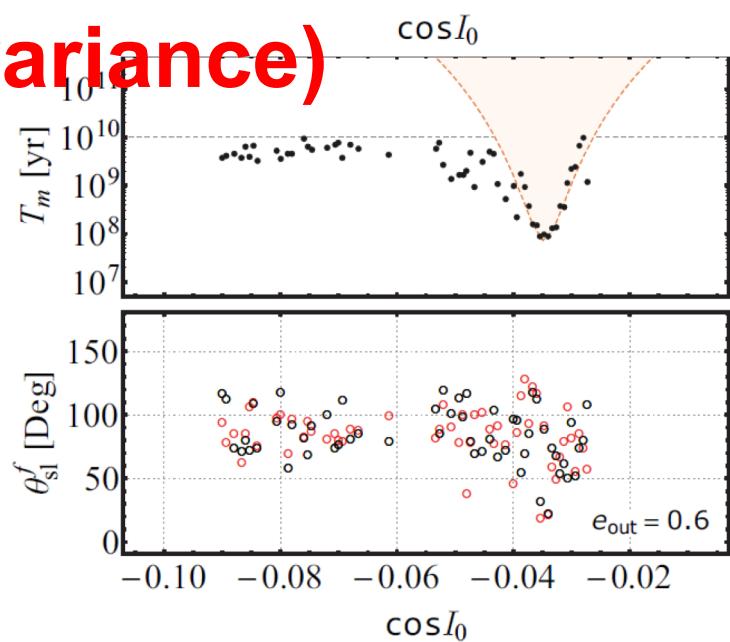
Fixed inner binary: $m_1=30M_{\odot}$, $m_2=20M_{\odot}$, $a_{\text{in},0}=100\text{AU}$



Fixed m_3/a_{out}^3 value



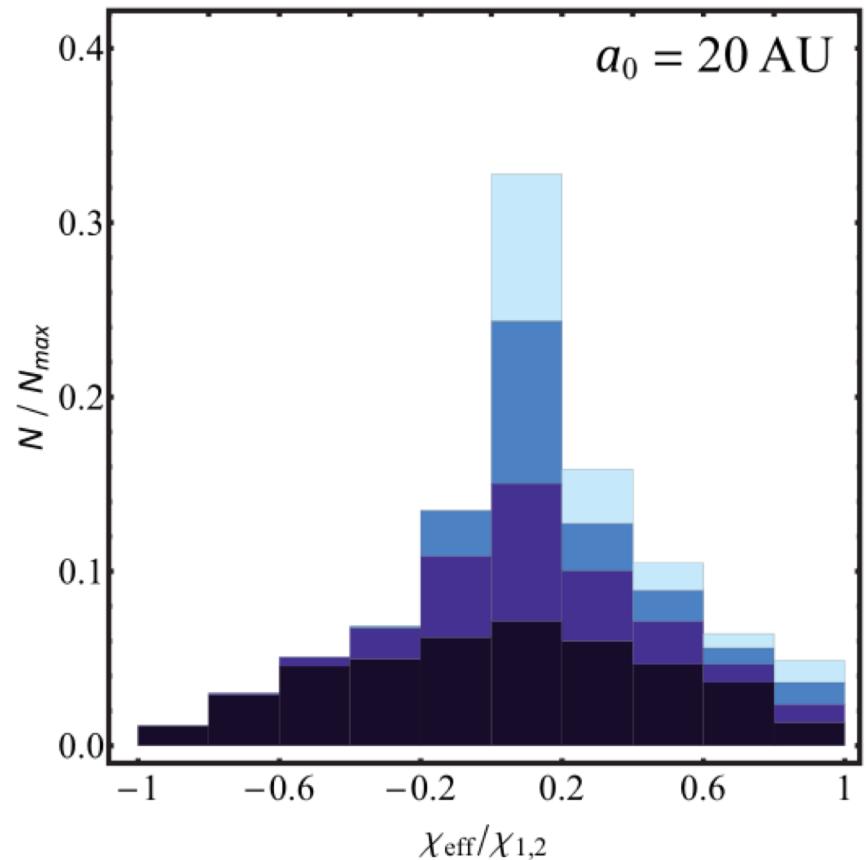
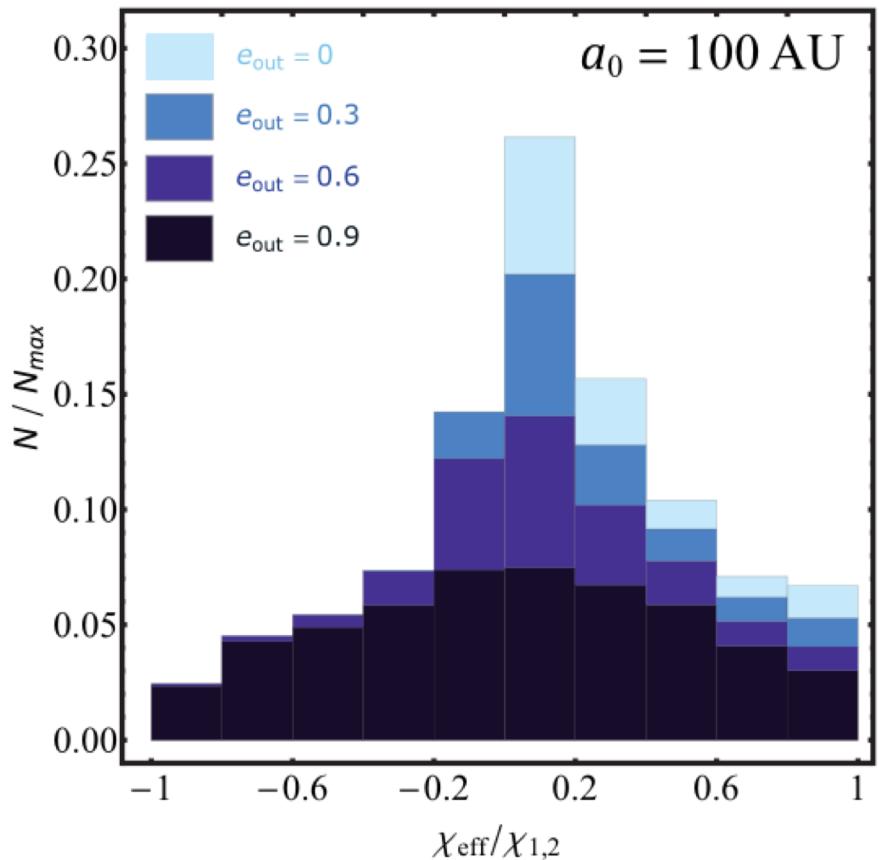
(Adiabatic Invariance)



Effective Spin Distribution

Effective
parameter

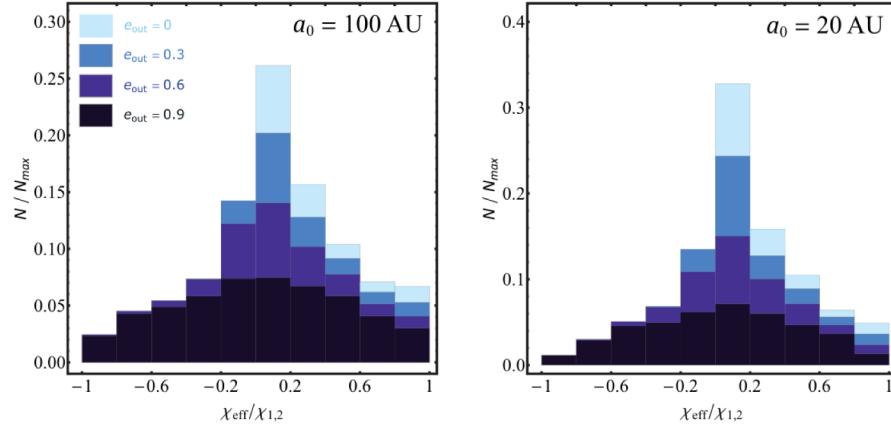
$$\text{spin } \chi_{\text{eff}} = \frac{m_1 \chi_1 \cos \theta_{s11} + m_2 \chi_2 \cos \theta_{s21}}{m_{12}}$$



A unique signature of LK-induced mergers

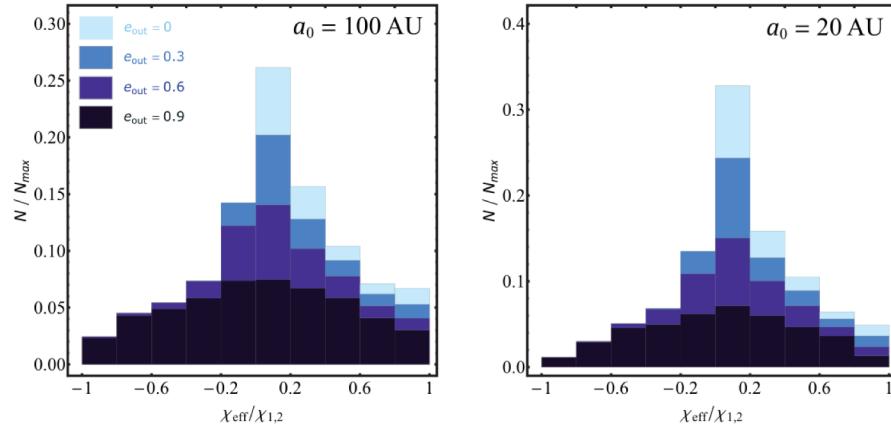
Effective Spin Distribution

For “reasonable” initial binary/triple parameters ($e_0=0$, distant companions)

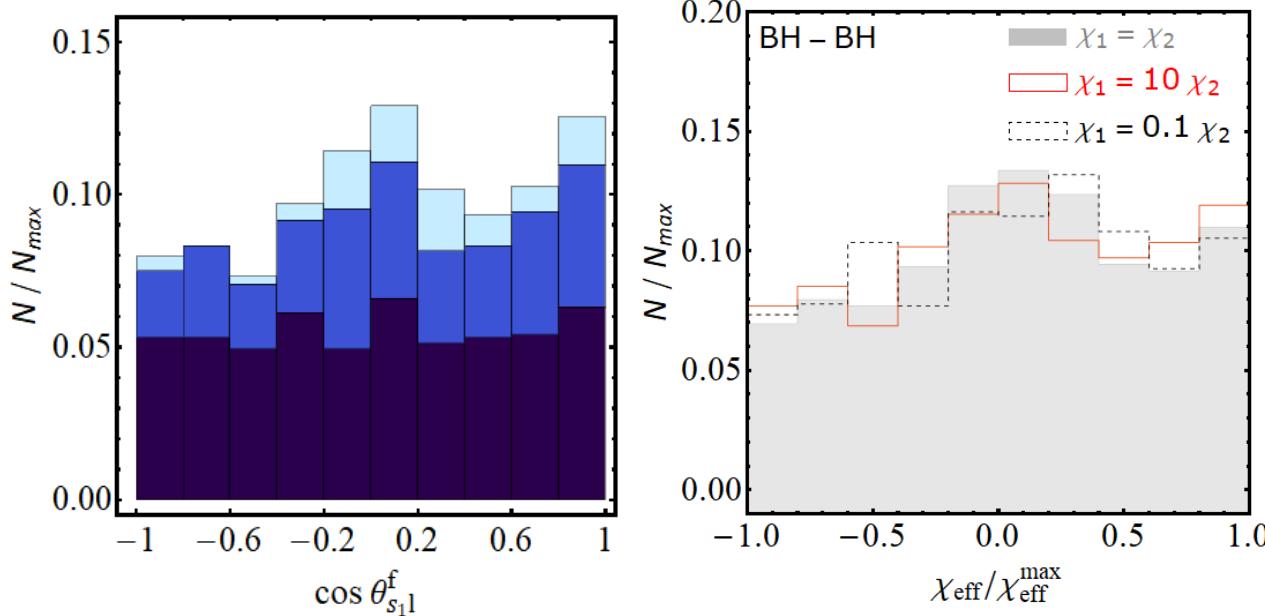


Effective Spin Distribution

For “reasonable” initial binary/triple parameters ($e_0=0$, distant companions)

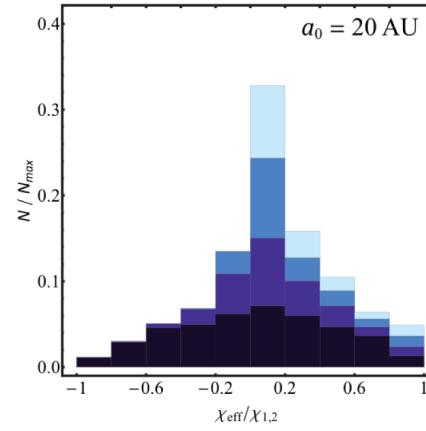
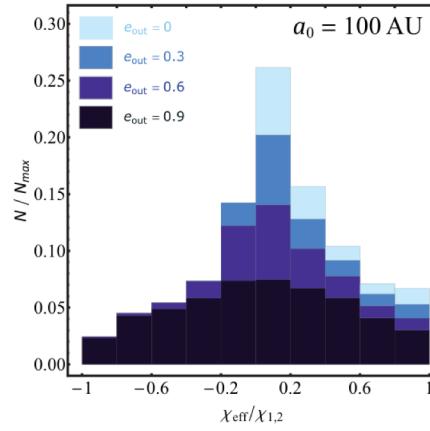


Consider ALL possible parameters

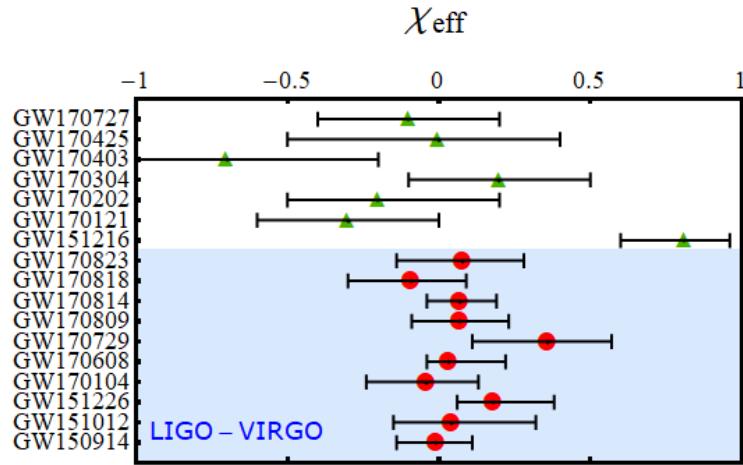


Effective Spin Distribution

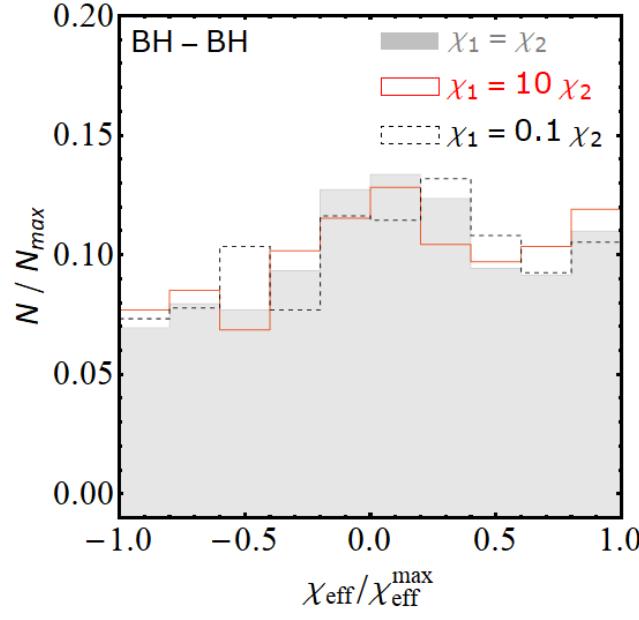
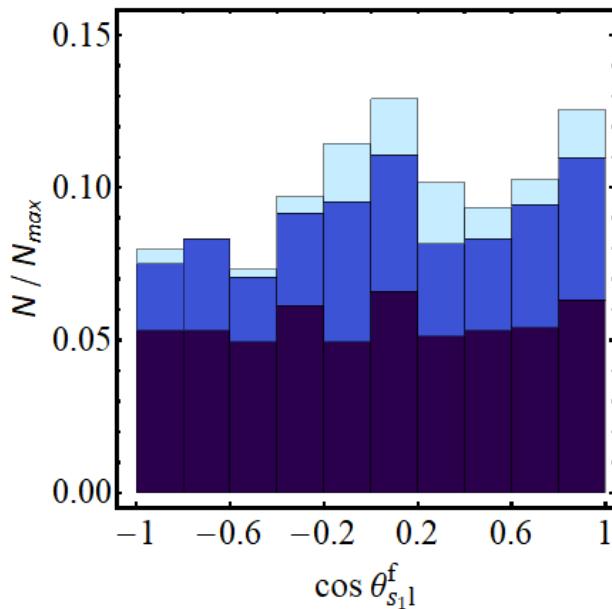
For “reasonable” initial binary/triple parameters ($e_0=0$, distant companions)



Consider ALL possible parameters

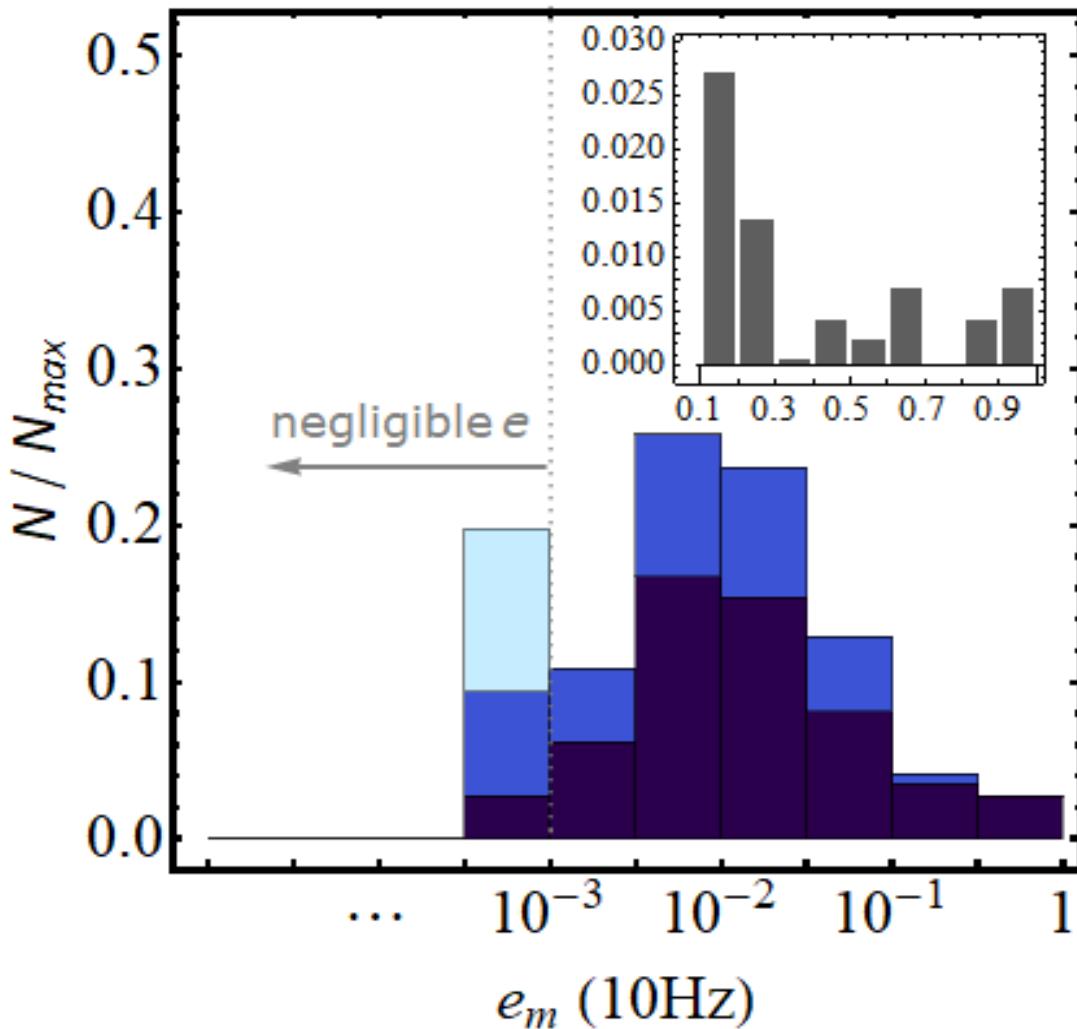


Observed so far



Residual Eccentricity (at 10 Hz)

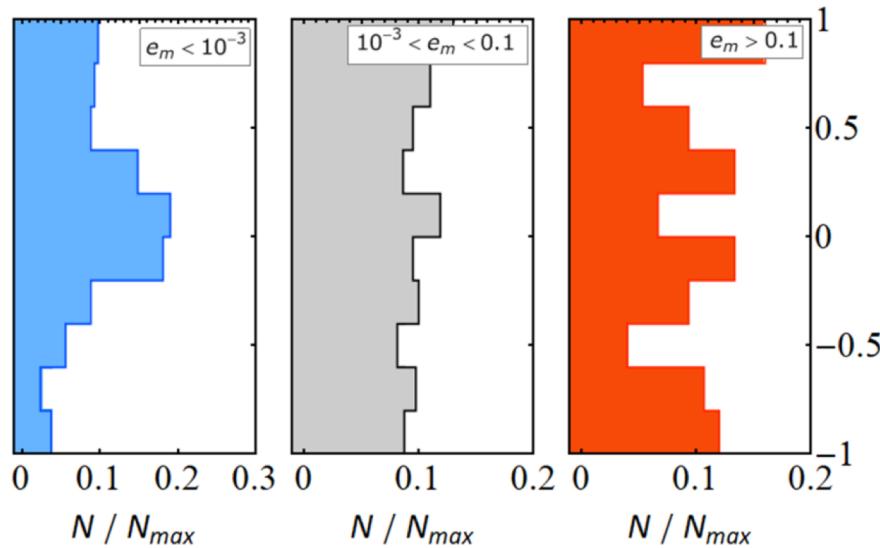
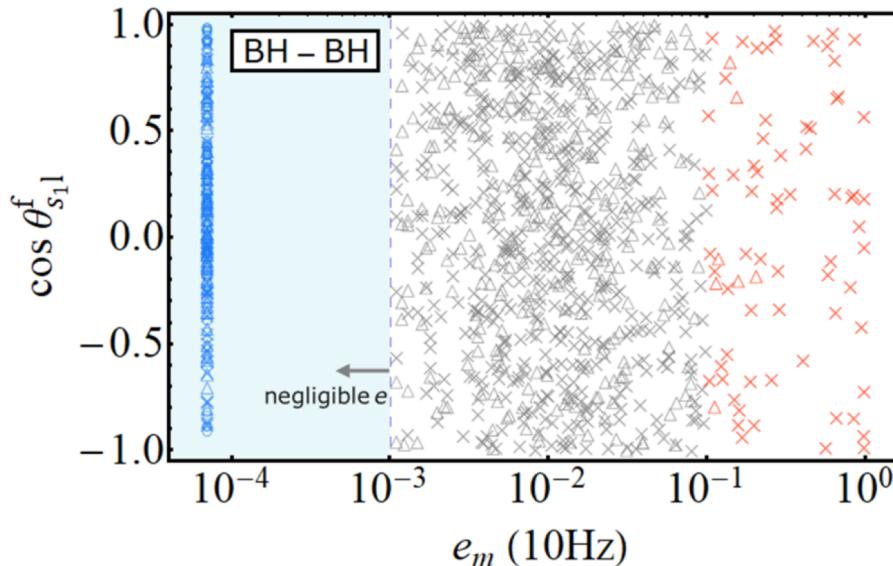
BH-BH mergers



10% have $e_m > 0.1$

1% have $e_m > 0.9$

Residual eccentricity vs Spin-orbit Misalignment



Circular Mergers ($e_m < 10^{-3}$) prefer $\theta_{sl}^f \sim 90^\circ$

More eccentric Mergers has random θ_{sl}^f

What happens if the tertiary is a Supermassive BH ?

--- Relativistic Effects induced by the SMBH

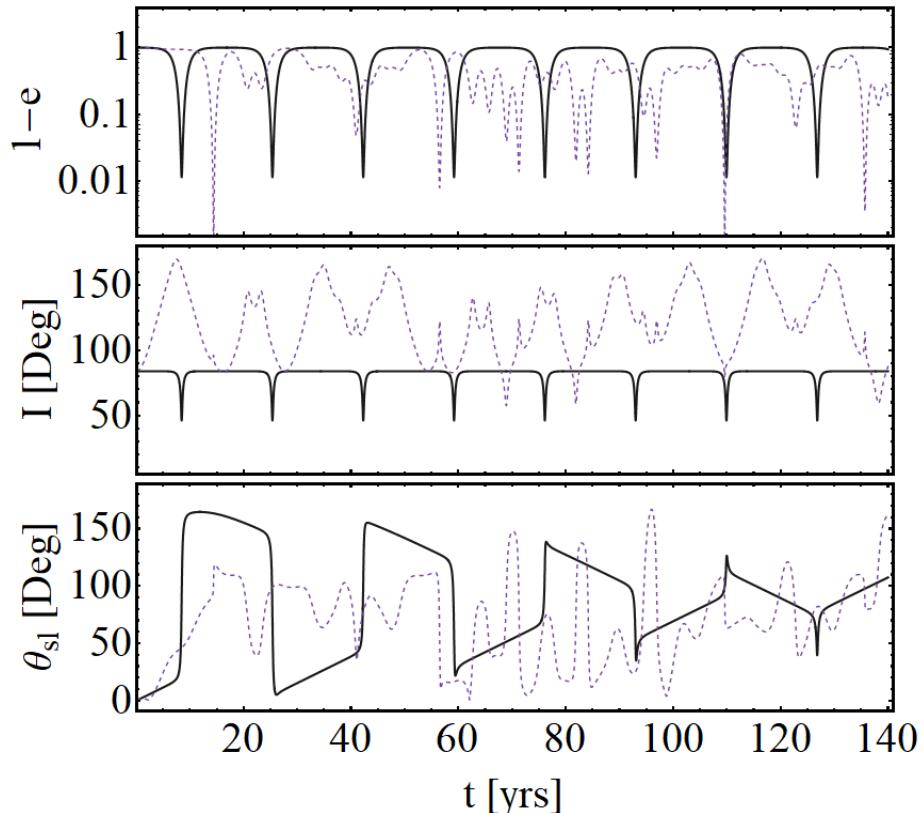
1. Lense-Thirring Precession of L_{out} around S_3

--- introduced by the spin of the SMBH

$$\frac{d\mathbf{L}_{out}}{dt} \Big|_{\mathbf{L}_{out} \mathbf{S}_3} = \Omega_{\mathbf{L}_{out} \mathbf{S}_3} \hat{\mathbf{S}}_3 \times \mathbf{L}_{out},$$

$$\begin{aligned} \frac{d\mathbf{e}_{out}}{dt} \Big|_{\mathbf{L}_{out} \mathbf{S}_3} &= \Omega_{\mathbf{L}_{out} \mathbf{S}_3} \hat{\mathbf{S}}_3 \times \mathbf{e}_{out} \\ &\quad - 3\Omega_{\mathbf{L}_{out} \mathbf{S}_3} (\hat{\mathbf{L}}_{out} \cdot \hat{\mathbf{S}}_3) \hat{\mathbf{L}}_{out} \times \mathbf{e}_{out} \end{aligned}$$

$$\Omega_{\mathbf{L}_{out} \mathbf{S}_3} = \frac{GS_3(4 + 3m_{12}/m_3)}{2c^2 a_{out}^3 (1 - e_{out}^2)^{3/2}}.$$



2. de-Sitter-like Precession of L_{in} around L_{out}

--- modifies the eccentricity growth indirectly

$$\frac{d\mathbf{L}_{in}}{dt} \Big|_{\mathbf{L}_{in}\mathbf{L}_{out}} = \Omega_{\mathbf{L}_{in}\mathbf{L}_{out}} \hat{\mathbf{L}}_{out} \times \mathbf{L}_{in}$$

$$\Omega_{\mathbf{L}_{in}\mathbf{L}_{out}} \equiv \Omega_{\mathbf{L}_{in}\mathbf{L}_{out}}^{(N)} + \Omega_{\mathbf{L}_{in}\mathbf{L}_{out}}^{(GR)}$$

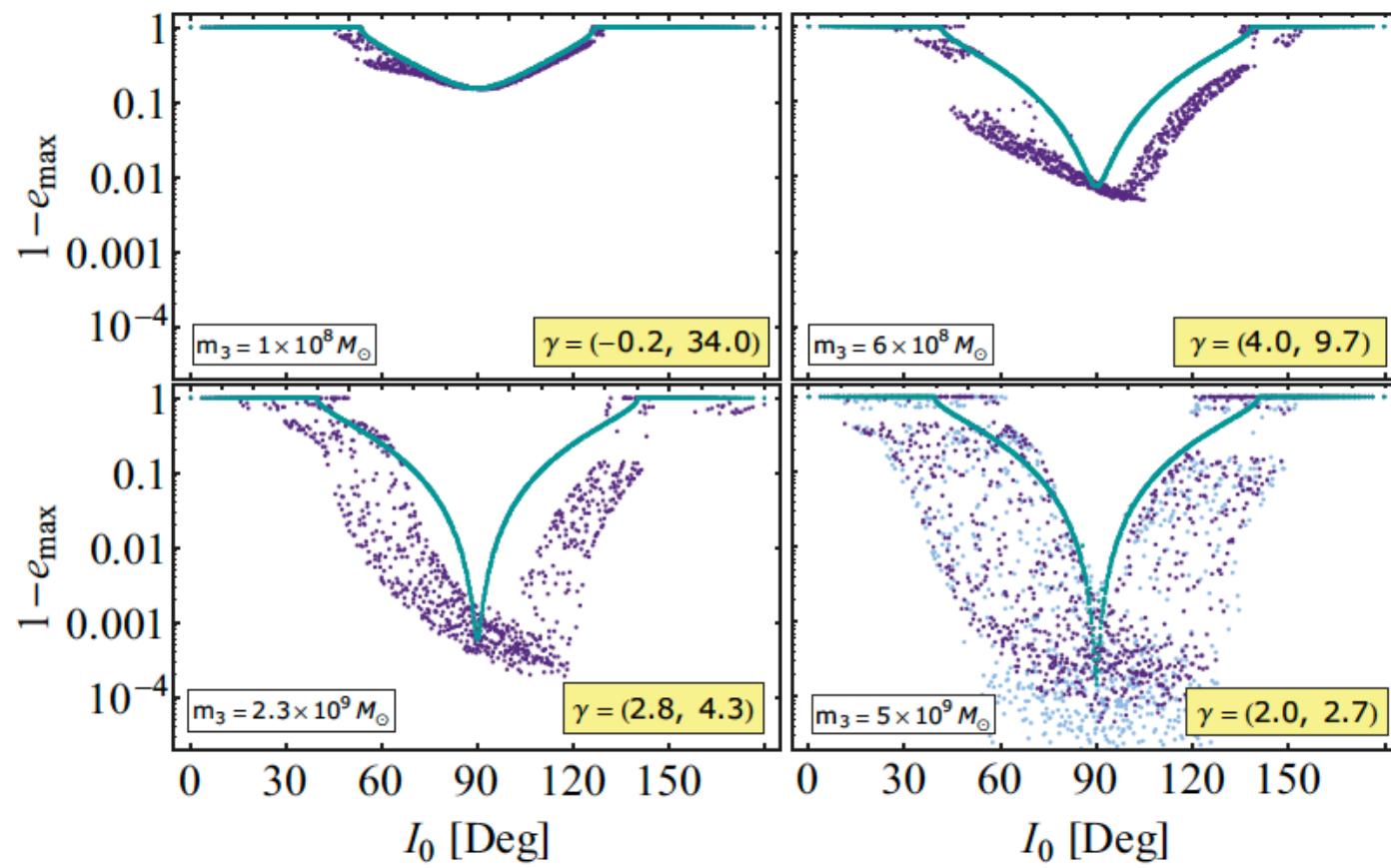
$$\Omega_{\mathbf{L}_{in}\mathbf{L}_{out}}^{(N)} = -\frac{3}{4} \Omega_{LK} (\hat{\mathbf{L}}_{out} \cdot \hat{\mathbf{L}}_{in}) \quad (\text{for } e_{in} = 0).$$

$$\Omega_{\mathbf{L}_{in}\mathbf{L}_{out}}^{(GR)} = \frac{3}{2} \frac{G(m_3 + \mu_{out}/3)n_{out}}{c^2 a_{out}(1 - e_{out}^2)}.$$

Cross terms in PN (Will 2014,18)

Inclination Resonance

$$\gamma \equiv \frac{\Omega_{L_{in}L_{out}}}{\Omega_{L_{out}S_3}} = \frac{\Omega_{L_{in}L_{out}}^{(N)} + \Omega_{L_{in}L_{out}}^{(GR)}}{\Omega_{L_{out}S_3}}$$



Summary

Formation Channels of Merging BH Binaries

Standard isolated binary evolution channel:

uncertain physics (common envelope...)

- circular mergers ($e_m=0$)
- aligned spin-orbit angle

Dynamical formation channels:

“clean” physics, but “environmental” uncertainties

1. Dense star clusters

- mostly circular mergers ?
- expect random spin-orbit misalignments ?

2. Tertiary-induced mergers

Perturbations from outer companion → Lidov-Kozai

Spin-orbit coupling (de Sitter precession) important..

- 10% mergers have residual $e>0.1$ when entering LIGO band
- Preference of 90° spin-orbit misalignment, especially for circular mergers

Rates? All potentially compatible...

LISA useful for probing dynamical formation