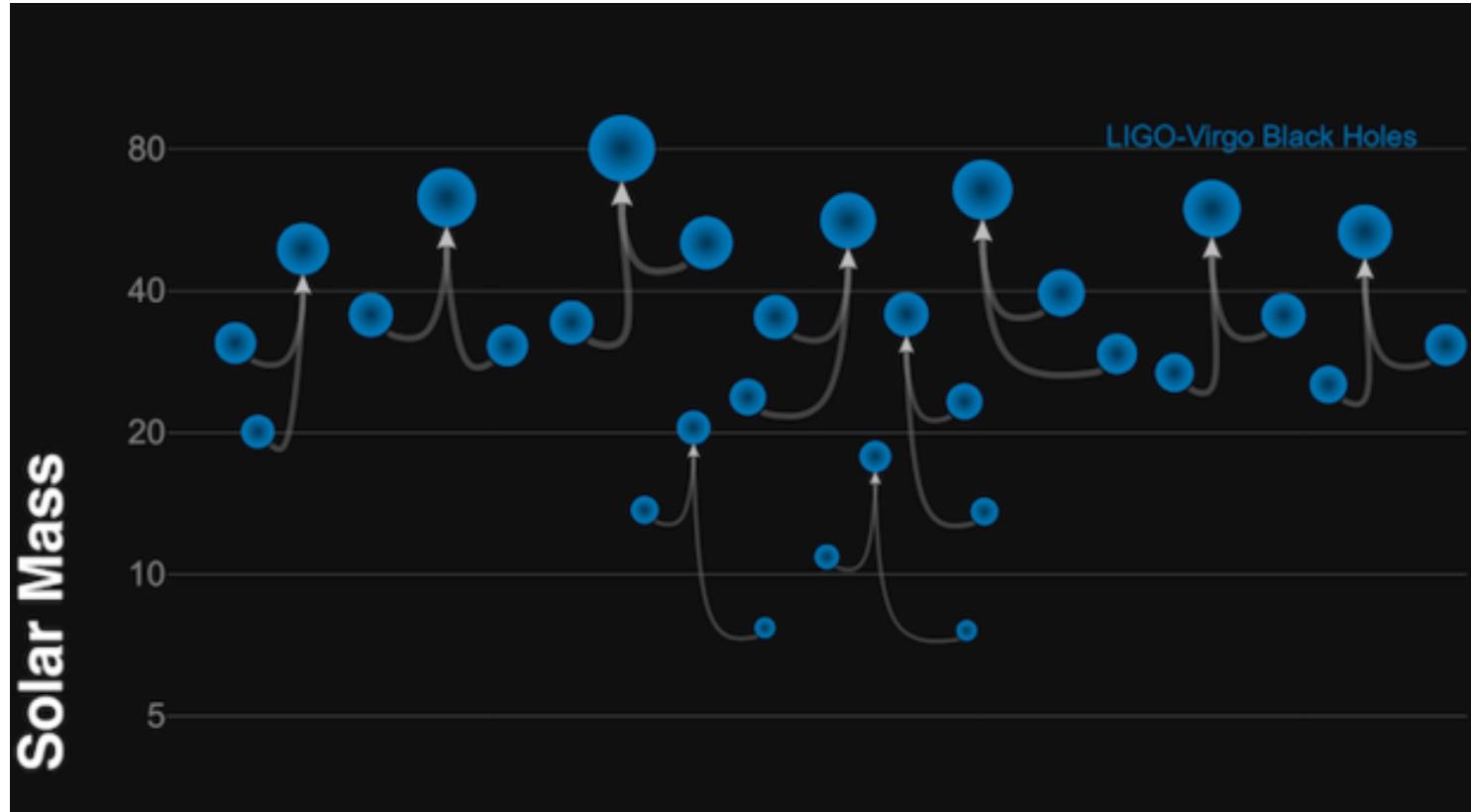


# **Formation of Merging BH Binaries**

**Dong Lai**

Cornell University

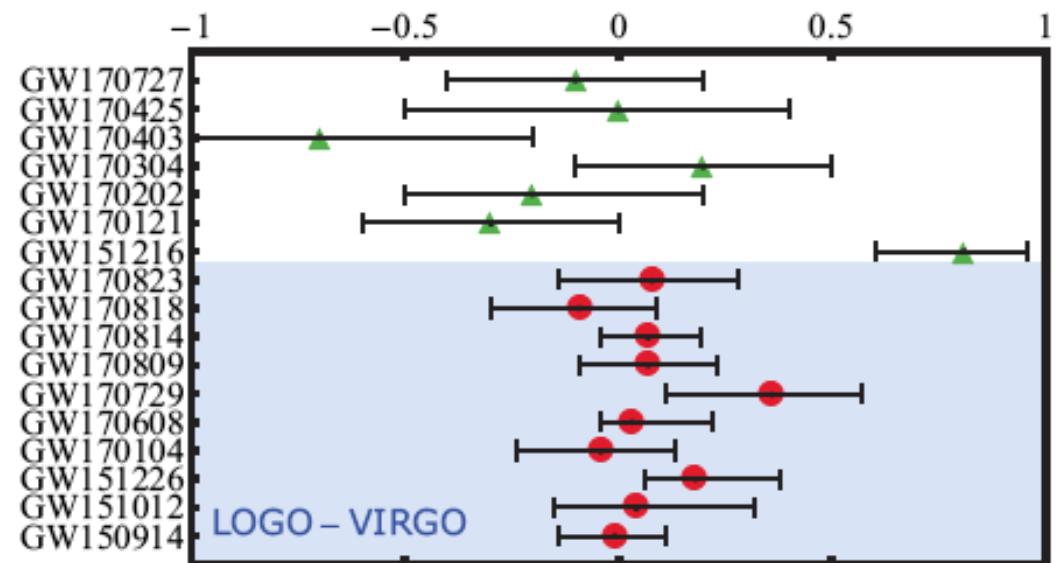
GRB and Multi-Messenger Conference, Nanjing, 5/14/2019



Gravitational waveform gives

$M_1, M_2, \chi_{\text{eff}}$

$$\chi_{\text{eff}} \equiv \frac{m_1 \chi_1 + m_2 \chi_2}{m_1 + m_2} \cdot \hat{\mathbf{L}}$$



# **Formation Channels of Merging BH Binaries**

- Isolated Binary Evolution
- Dynamical Formation: several flavors (star clusters, triples⋯⋯)

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Rates (uncertain)?

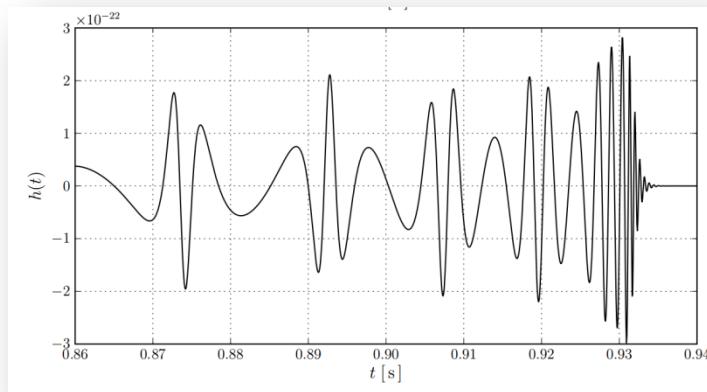
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# Formation Channels of Merging BH Binaries

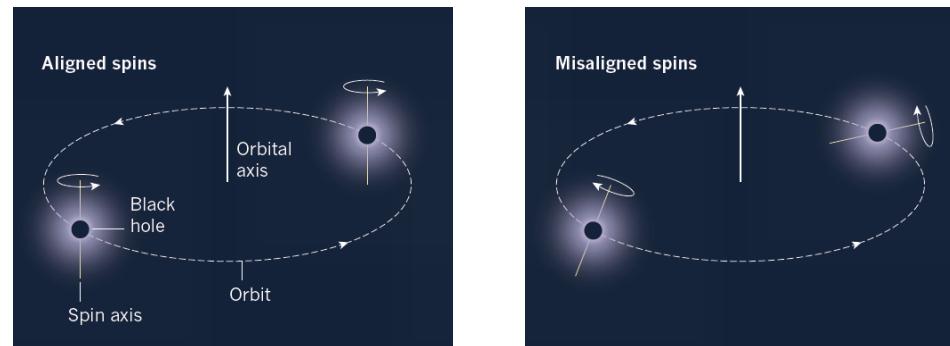
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Spin-orbit misalignmemt



# **Formation Channels of Merging BH Binaries**

- Isolated Binary Evolution
- Dynamical Formation: several flavors (star clusters, triples···)

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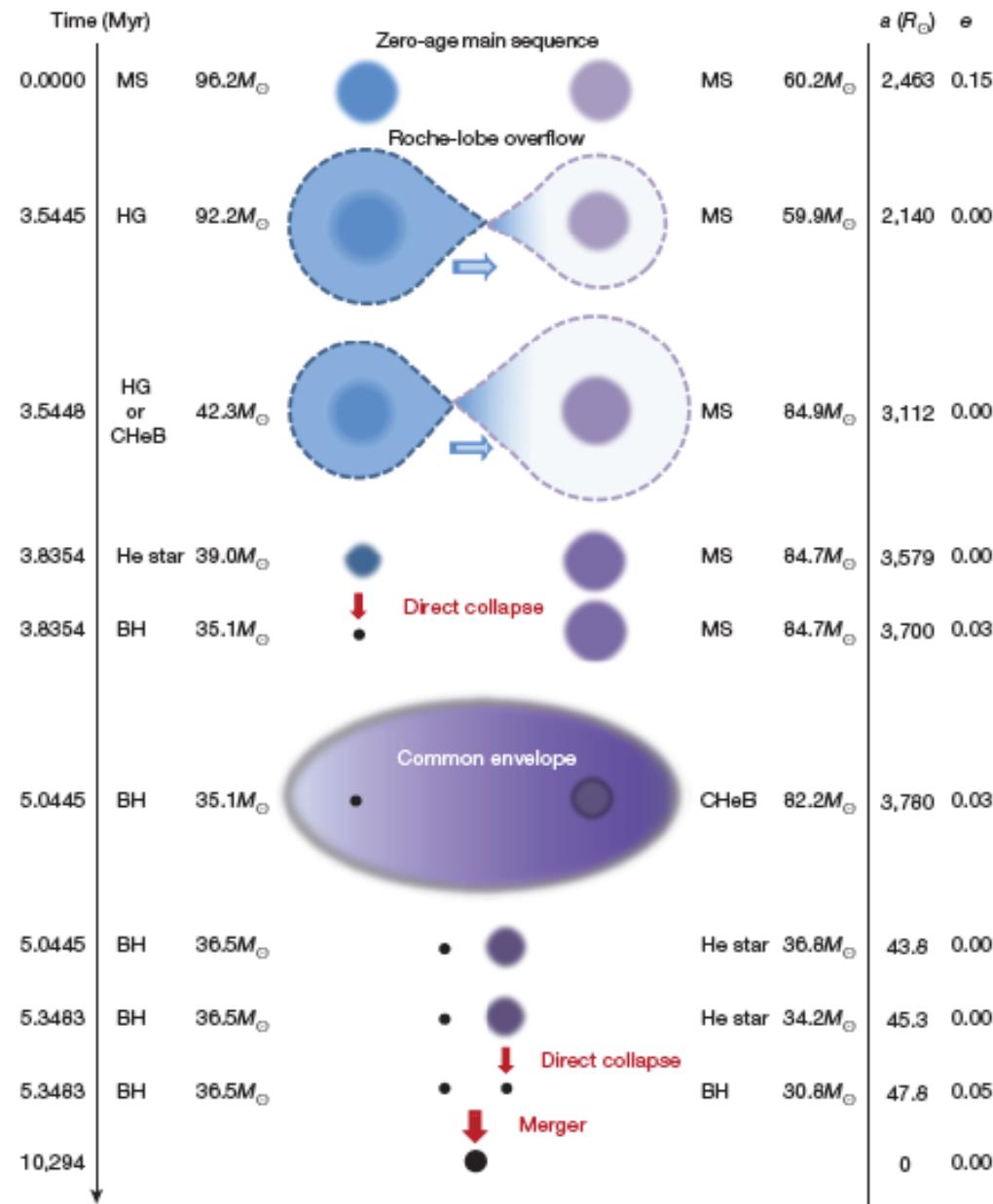
Rates (uncertain)?

Residual eccentricity when enter LIGO band (10Hz)

Spin-orbit misalignmemt

EM counterparts ??

# Standard Binary Evolution Channel:

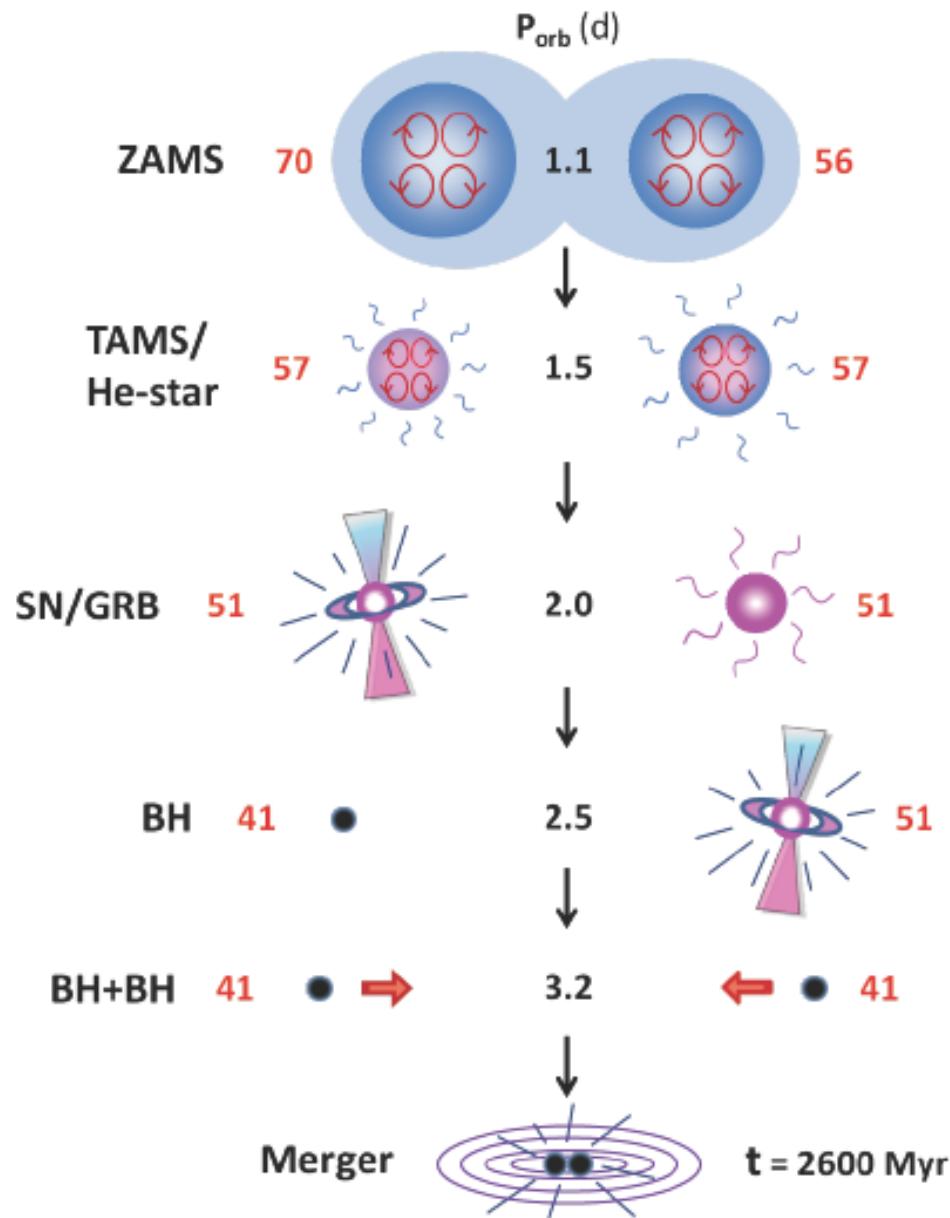


many papers, uncertain physical ingredients

Produce  
circular orbit at 10 Hz  
aligned spin-orbit

Belczynski +16

# Another flavor: Chemically Homogeneous Evolution

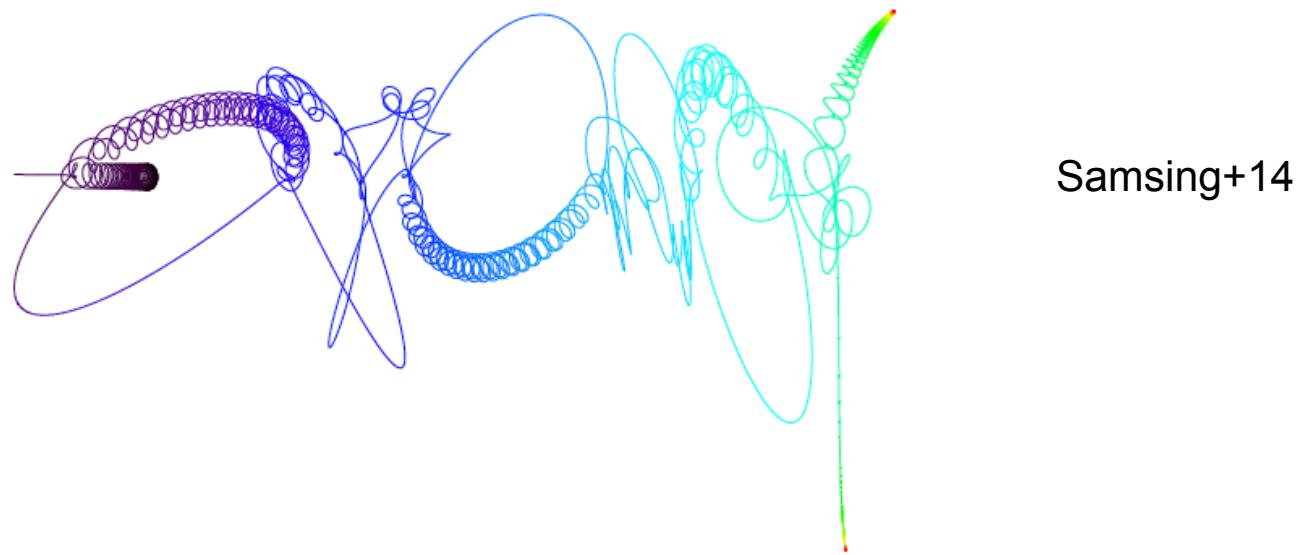


Marchant, Langer et al 2016

# Dynamical Formation Channels

several flavors…

## 1. Dense clusters: binary-single scatterings → tight binary



**Produce mostly circular orbit when enter LIGO band (10 Hz) ??  
Expect random spin-orbit orientations**

# Dynamical Formation Channels

several flavors…

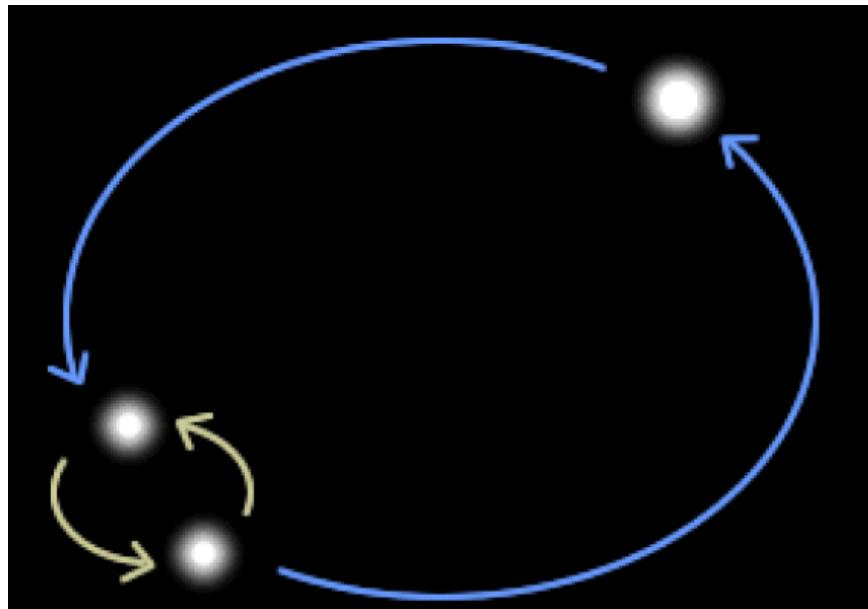
**1. Dense clusters: binary-single scatterings → tight binary**

**2. Tertiary-Induced Mergers:**

Mergers induced by (gentle) perturbations from tertiary companion  
stellar triples in galactic field, binary around SMBH

# Tertiary-Induced Binary Mergers

merger window, residual eccentricity, spin-orbit misalignments



Liu & DL 2017, 2018, 2019

Liu, DL & Wang 2019



Bin Liu (Cornell)

Previous/related works (in various contexts):

e.g. Blaes et al. 2002; Miller & Hamilton 2002; Wen 2003;  
Thompson 2011; Antonini et al. 2012, 2014, 2017,  
Silsbee & Tremaine 2017; Petrovich & Antonini 2017...

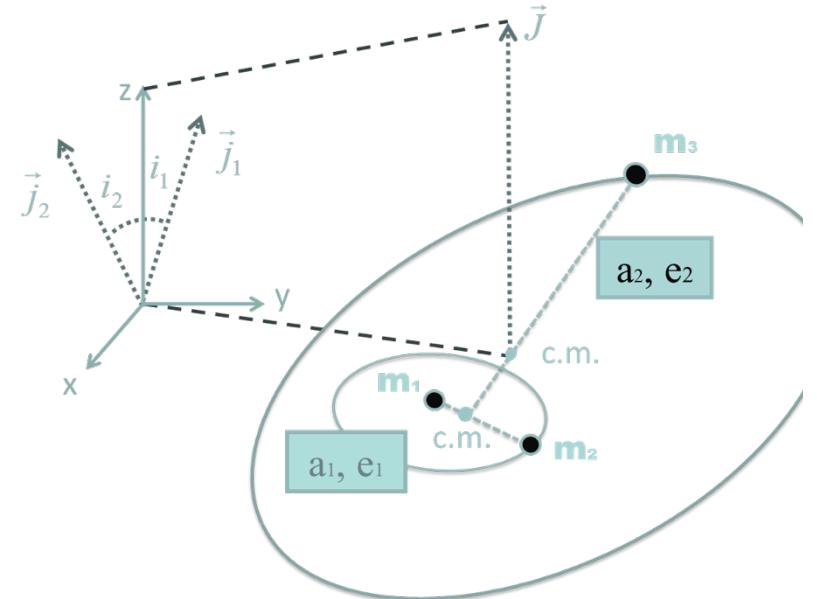
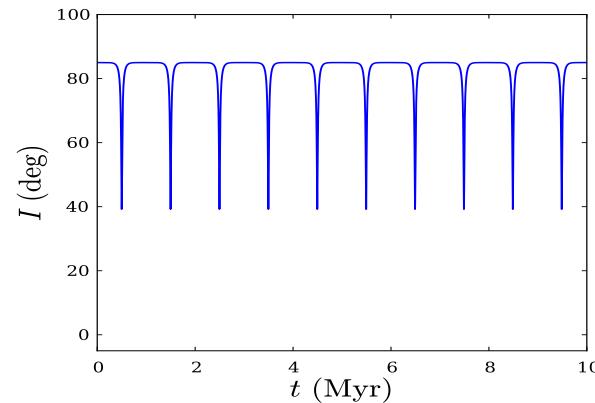
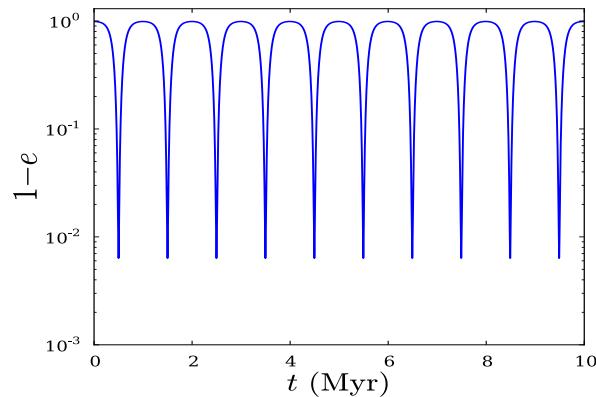
# Lidov-Kozai oscillations

## Inner binary + tertiary companion

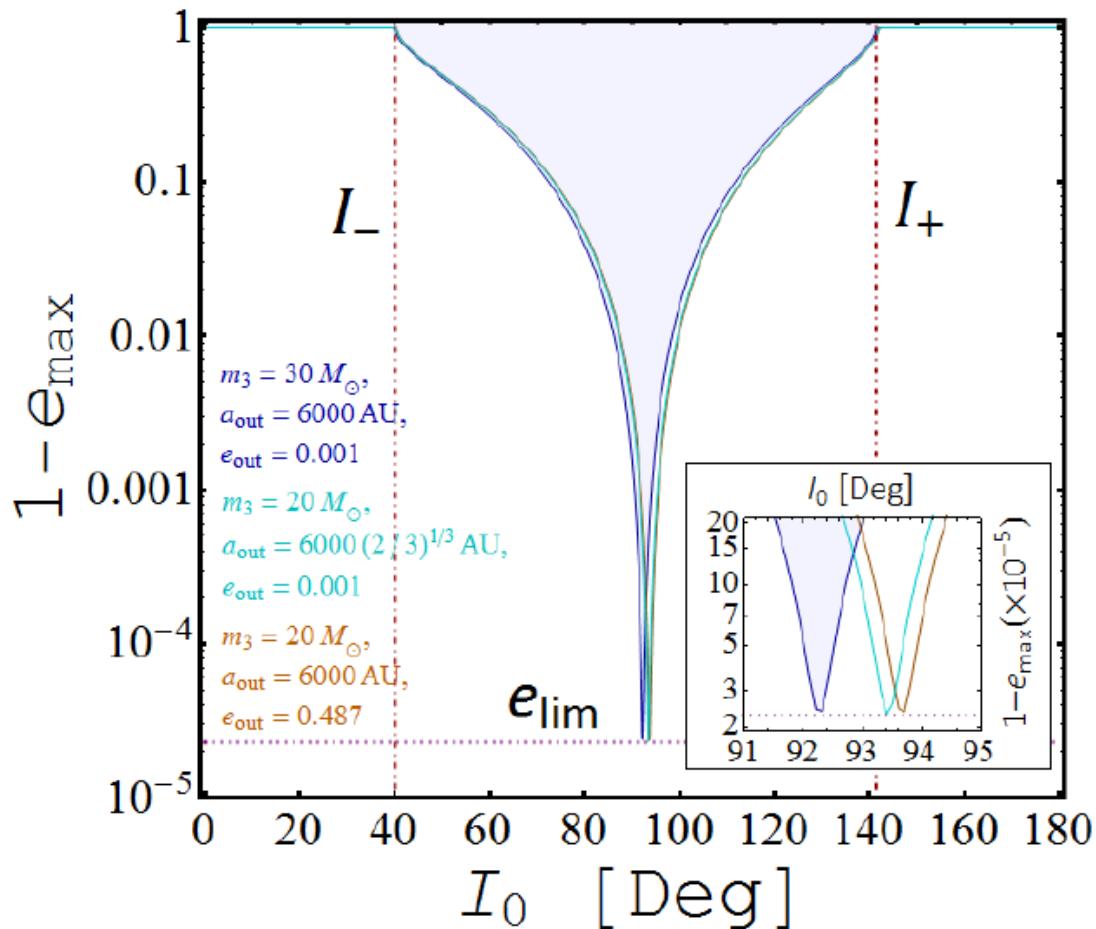
--- Eccentricity and inclination  
oscillations induced if  $i > 40^\circ$

--- If  $i$  large (85-90 degrees), get  
extremely large eccentricities ( $e > 0.99$ )

## Orbital (secular) evolution



# Lidov-Kozai window for eccentricity excitation



quadrupole perturbation only

Eccentricity excitation depends on

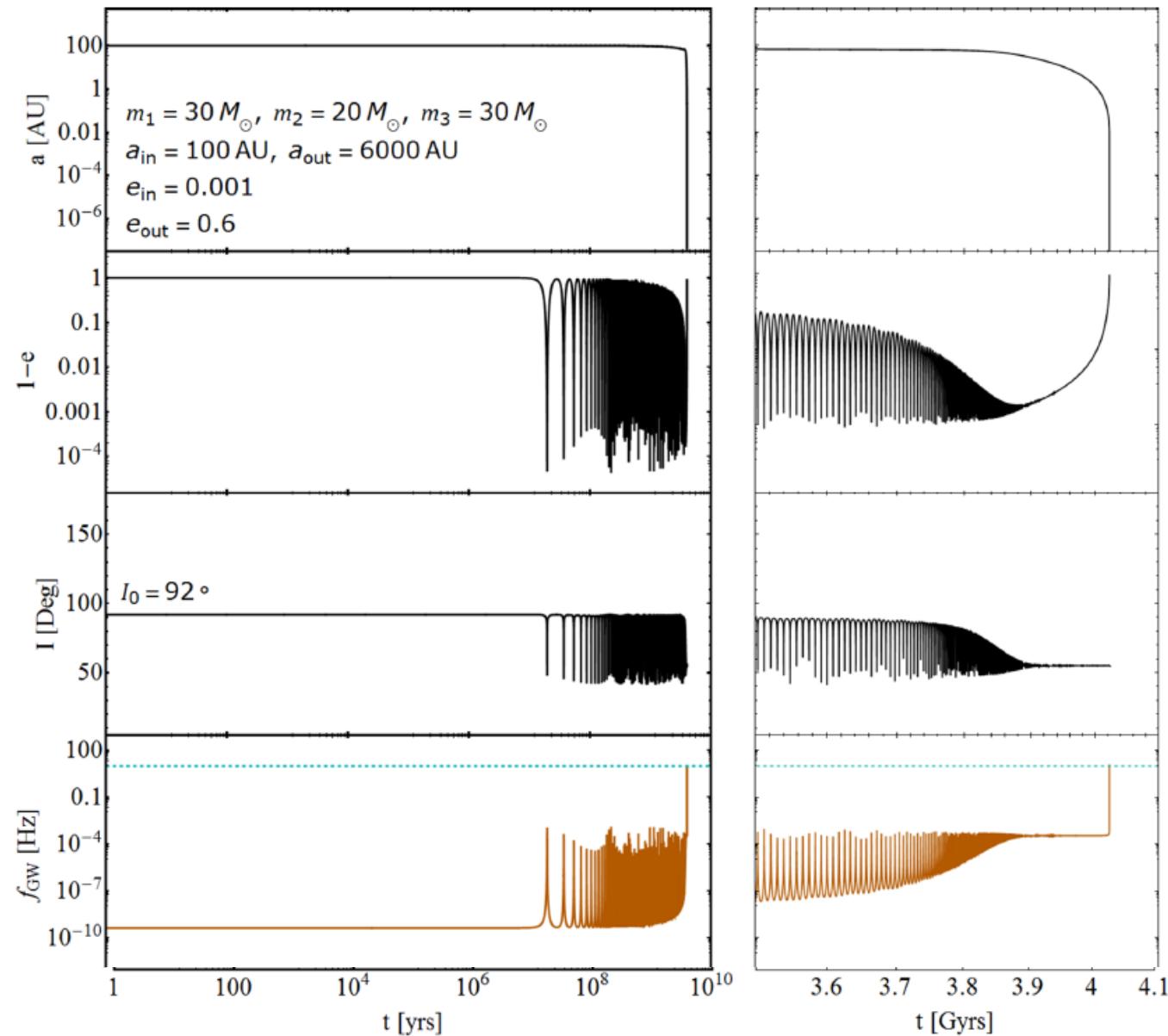
-- Initial mutual inclination

-- Competition between

$$\text{LK driving} \propto \frac{m_3}{a_{\text{out}}^3}$$

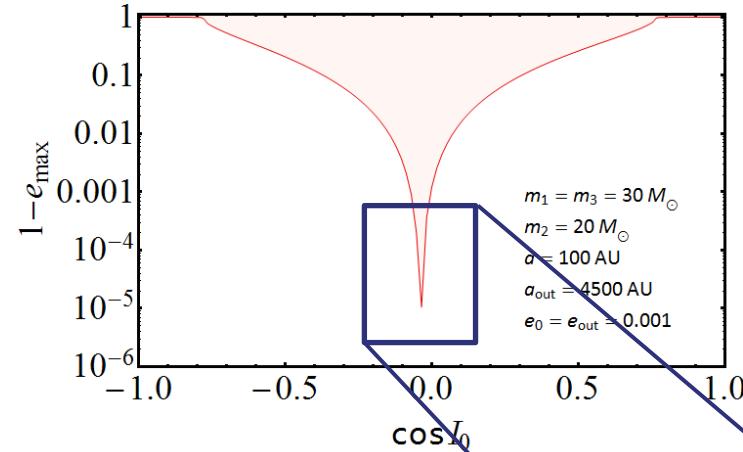
$$\text{GR precession} \propto n_{\text{in}} \frac{m_{12}}{a_{\text{in}}}$$

# LK oscillation + Gravitation Radiation

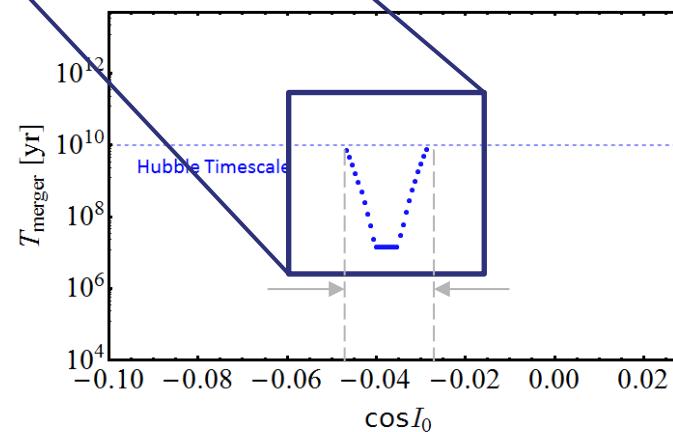


# Inclination window for merger

- $e$  – excitation (no GW)



- Merger window (with GW)



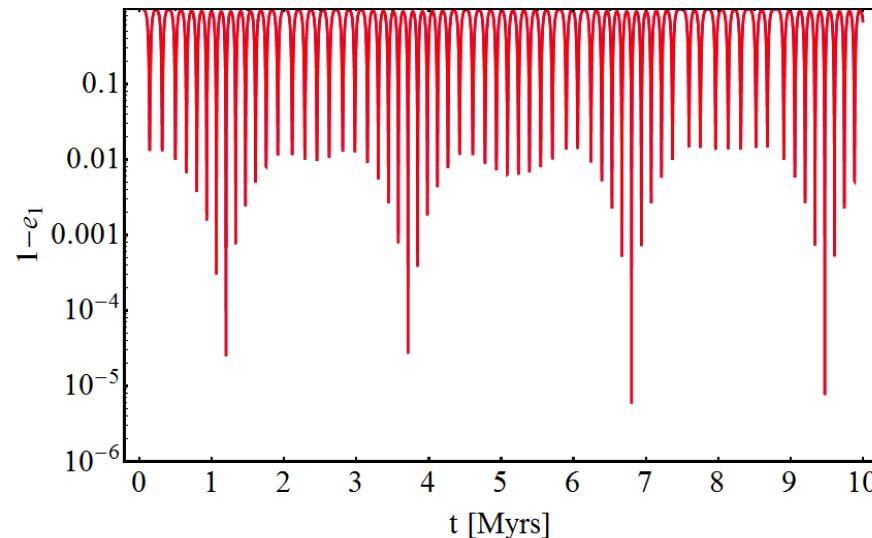
So far only “quadupole” Lidov-Kozai...

$$\text{LK driving rate} \quad t_{\text{LK}}^{-1} \propto \frac{m_3}{a_{\text{out}}^3}$$

## Octupole effect

$$\text{driving rate} \quad \epsilon_{\text{oct}} t_{\text{LK}}^{-1}$$

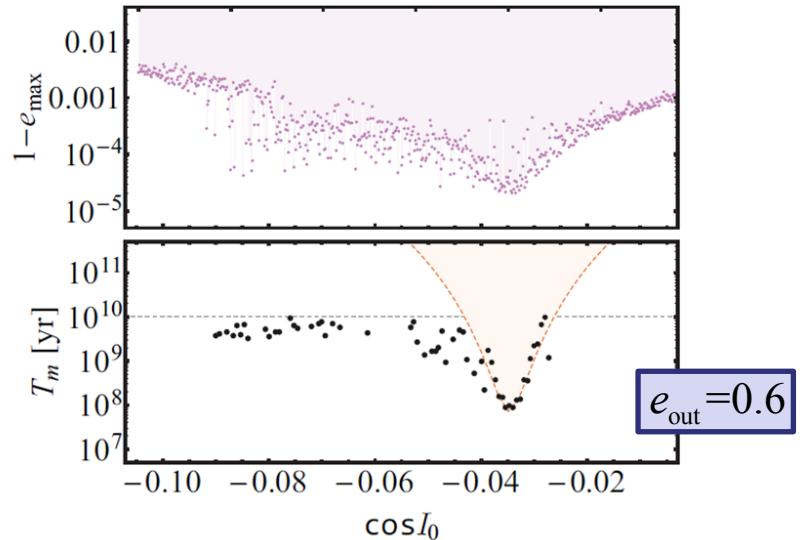
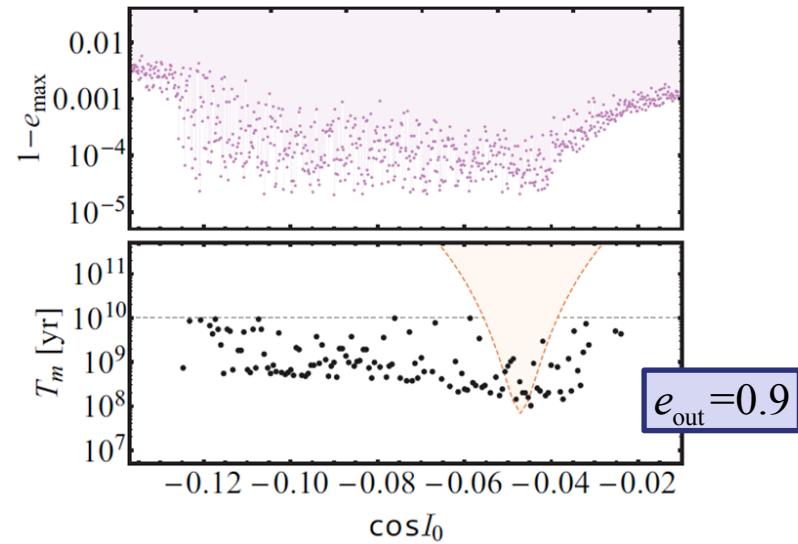
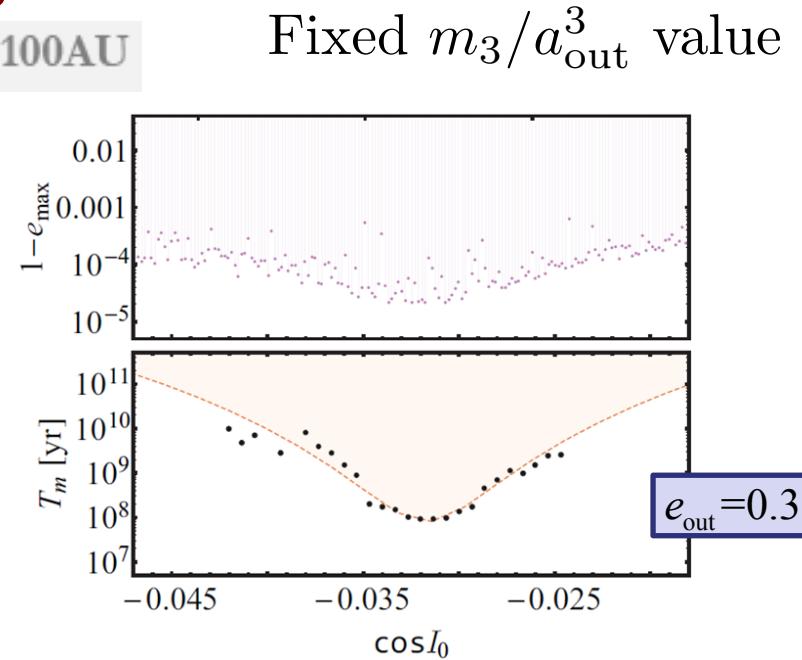
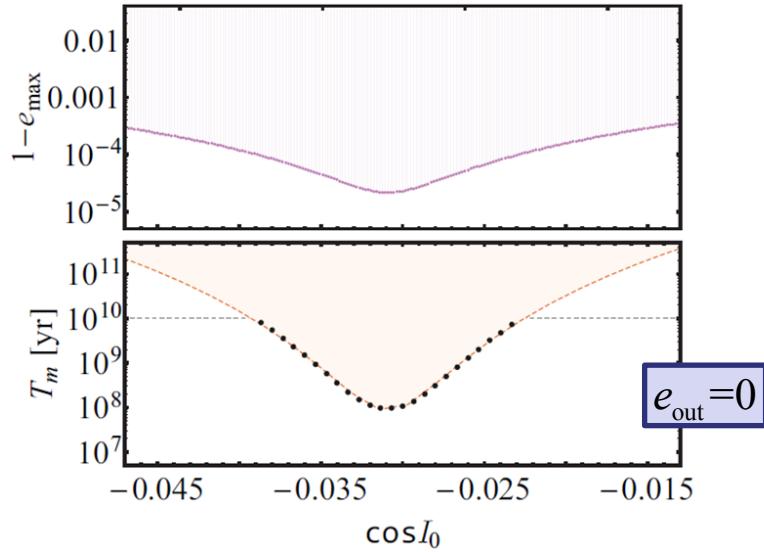
$$\epsilon_{\text{oct}} \equiv \frac{m_1 - m_2}{m_{12}} \left( \frac{a}{a_{\text{out}}} \right) \frac{e_{\text{out}}}{1 - e_{\text{out}}^2}$$



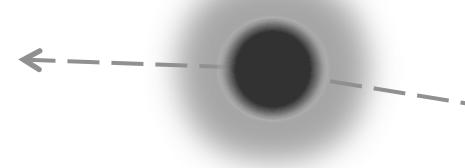
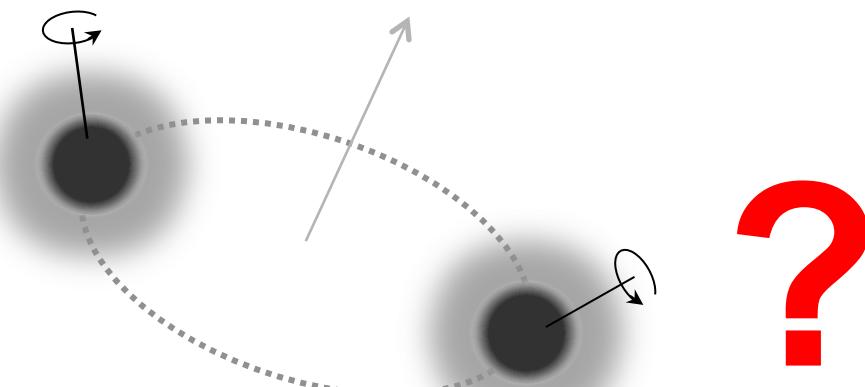
No longer “regular”  
LK oscillation

# Inclination window for merger

Fixed inner binary:  $m_1=30M_{\odot}$ ,  $m_2=20M_{\odot}$ ,  $a_{in,0}=100\text{AU}$

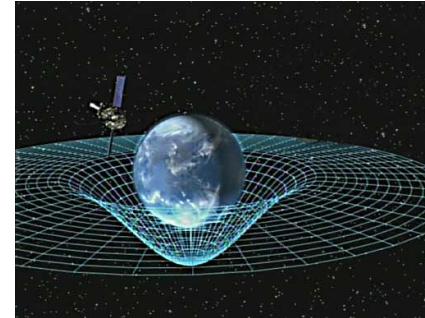


# What about the BH Spin?



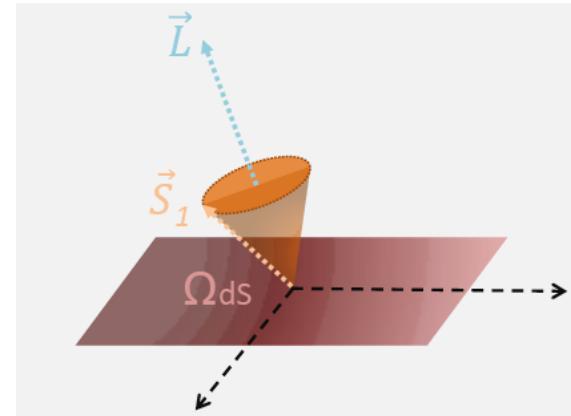
# Spin-Orbit Coupling

The de Sitter precession of spin around the angular momentum axis of the binary



Geodetic Effect

$$\frac{d\hat{S}_1}{dt} = \Omega_{ds} \hat{L} \times \hat{S}_1 \quad \Omega_{ds} = \frac{3Gn(m_2 + \mu/3)}{2c^2 a(1 - e^2)}$$

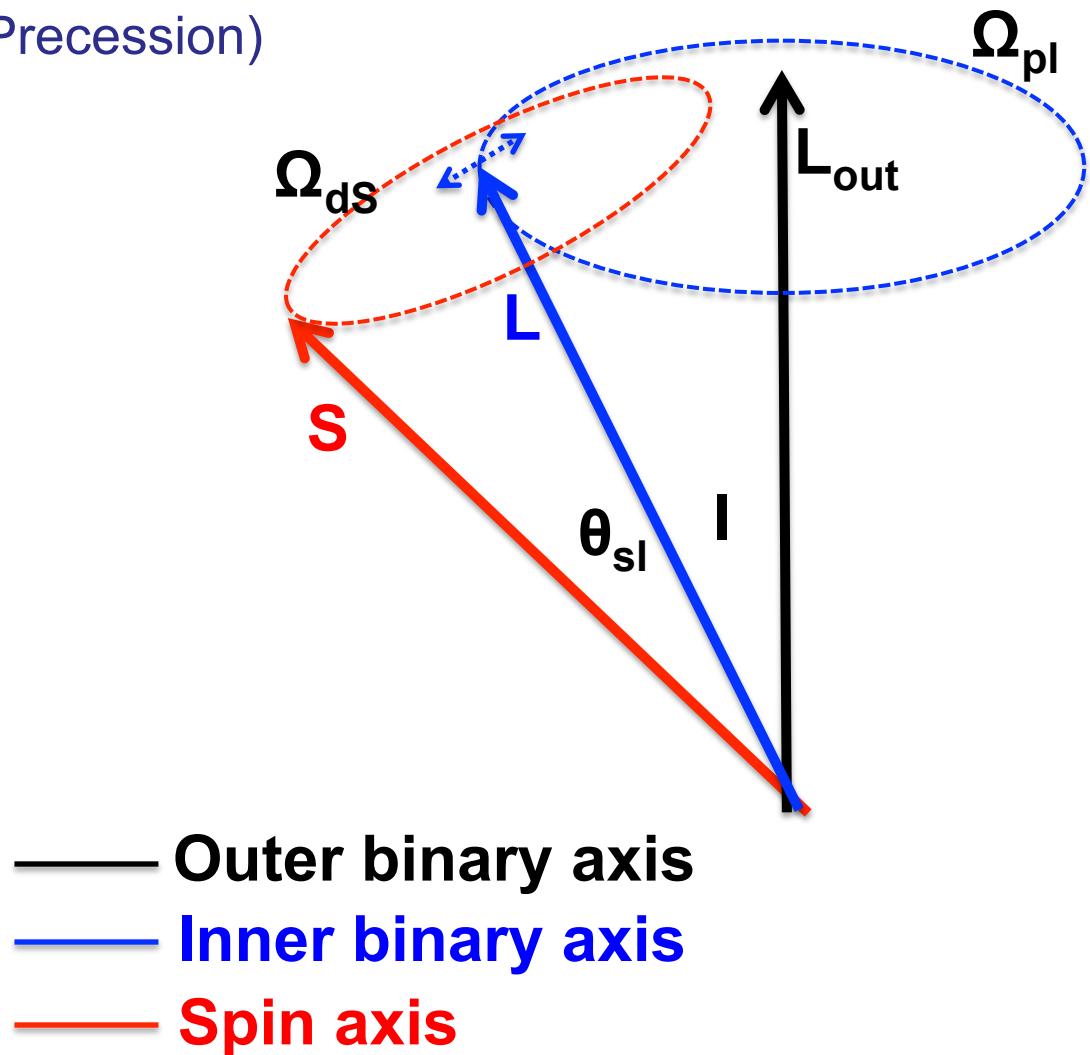


# BH spin dynamics during LK oscillations

$$\frac{d\hat{\mathbf{S}}_1}{dt} = \Omega_{\text{dS}} \hat{\mathbf{L}} \times \hat{\mathbf{S}}_1 \quad (\text{de Sitter Precession})$$

But  $\mathbf{L}$  precesses and nutates  
during LK oscillations

$$\Omega_{\text{pl}} \simeq \frac{3(1 + e^2)}{t_{\text{LK}} \sqrt{1 - e^2}} |\sin 2I|$$



# BH spin dynamics during LK oscillations

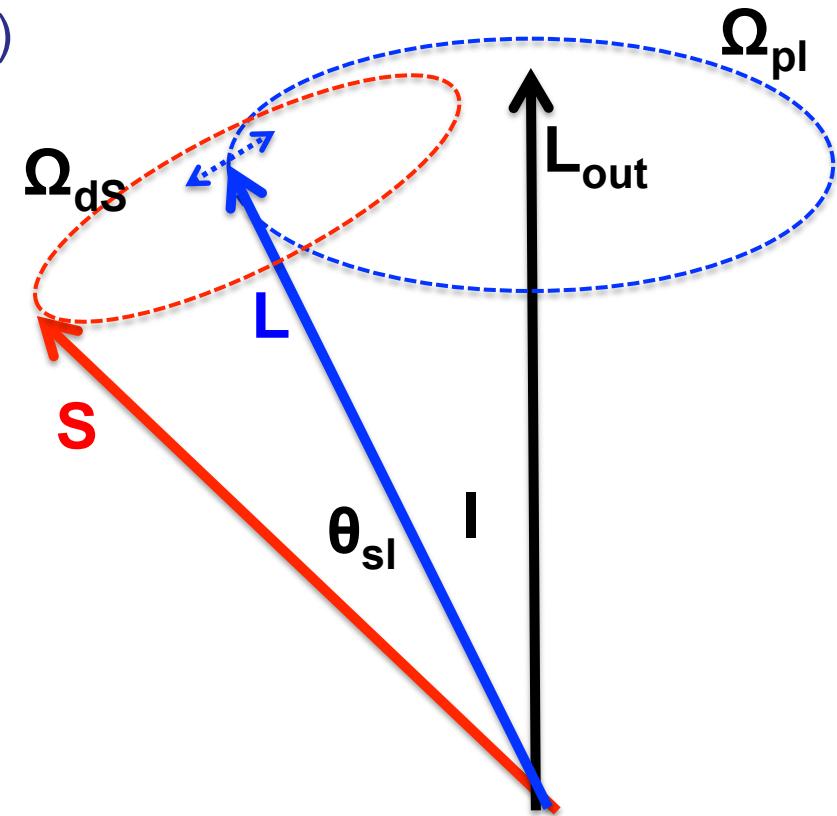
$$\frac{d\hat{\mathbf{S}}_1}{dt} = \Omega_{\text{dS}} \hat{\mathbf{L}} \times \hat{\mathbf{S}}_1 \quad (\text{de Sitter Precession})$$

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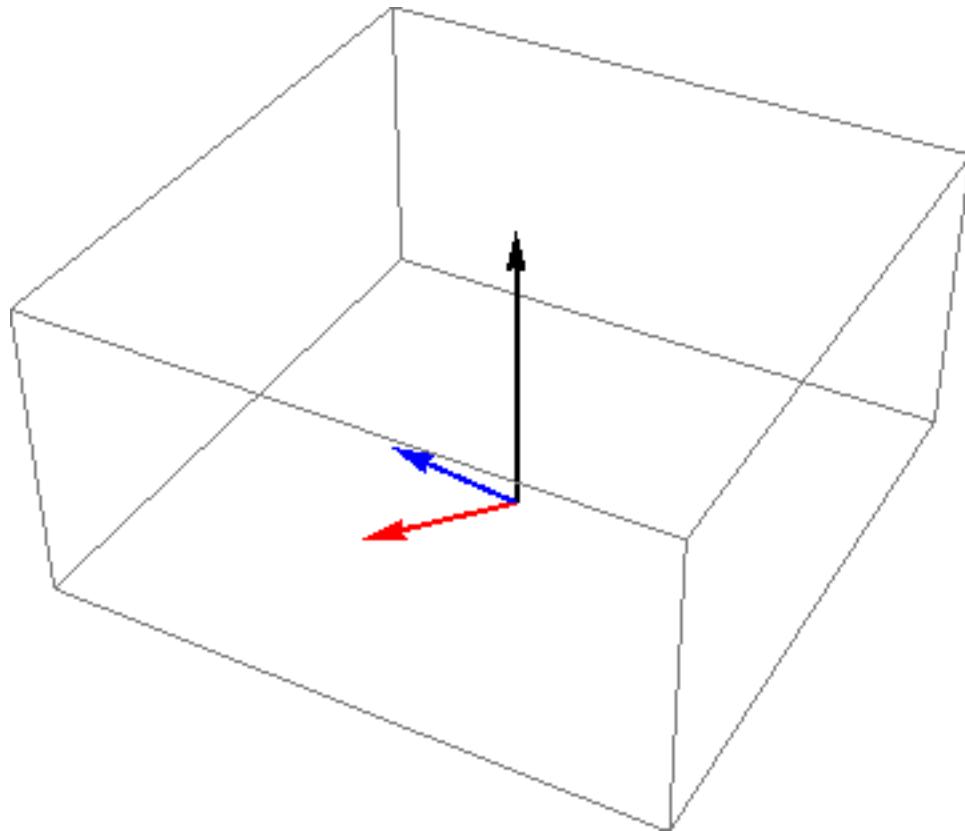
Spin dynamics depends on

$\Omega_{\text{dS}}$  vs  $\Omega_{\text{pl}}$



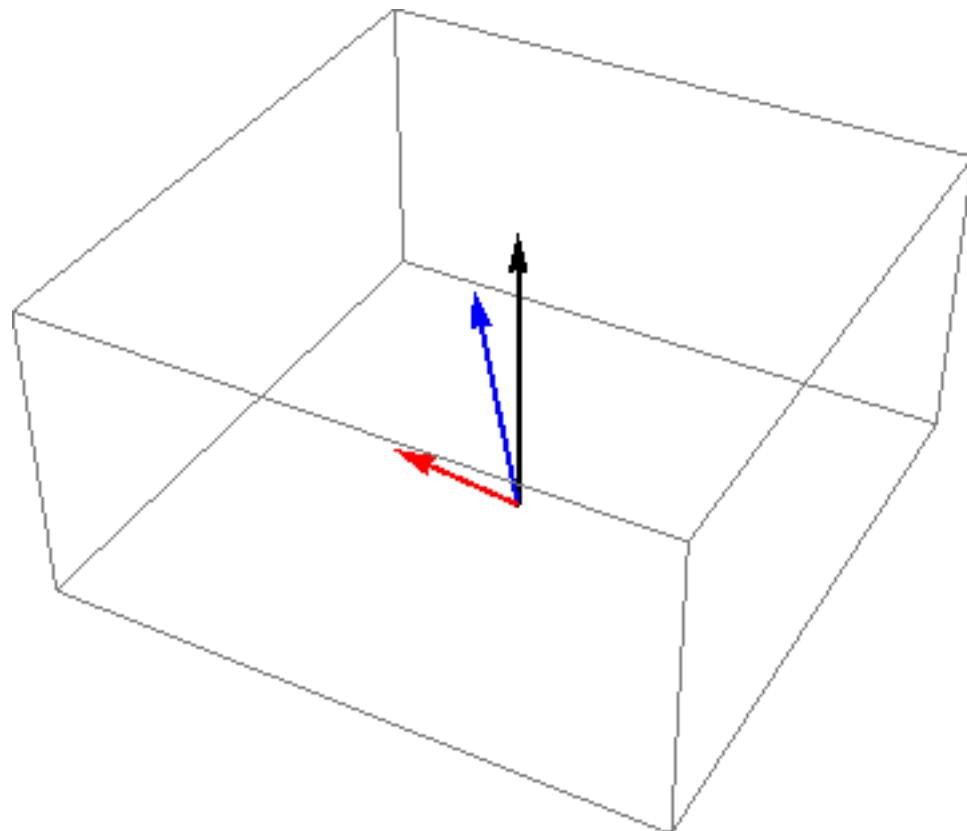
- Outer binary axis
- Inner binary axis
- Spin axis

If  $|\Omega_{ds}| \gg |\Omega_{pl}|$ : S follows L adiabatically



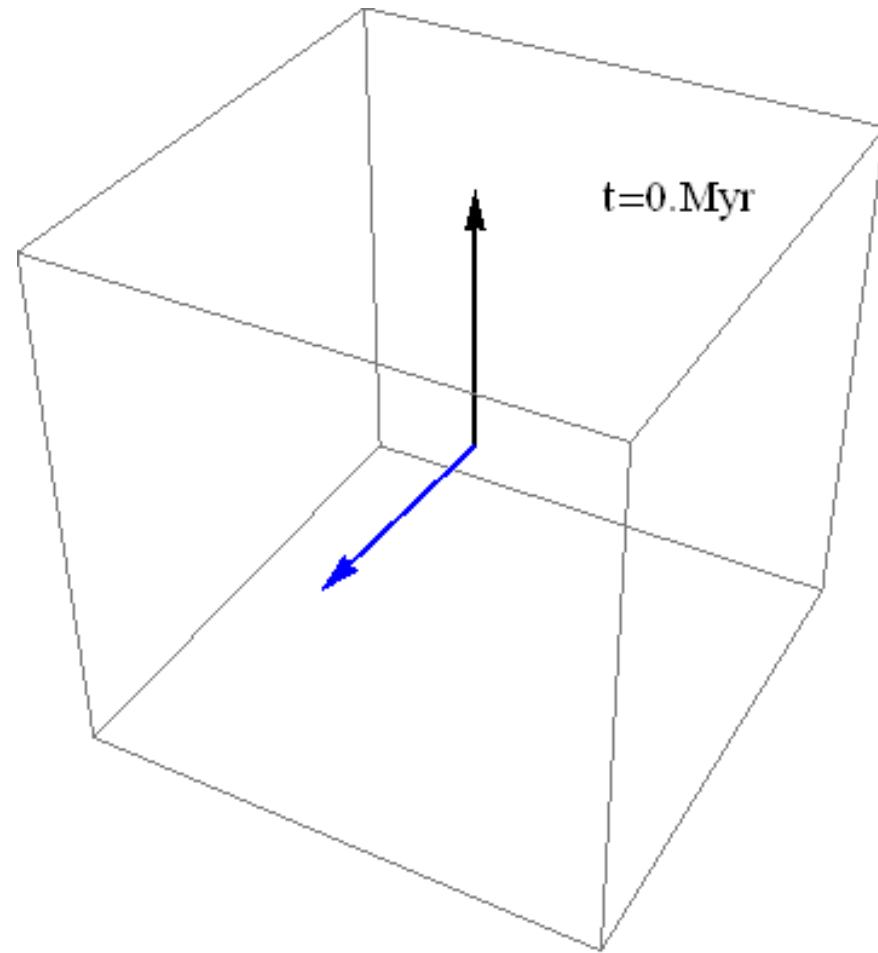
- Outer binary axis
- Inner binary axis
- Spin axis

If  $|\Omega_{ds}| \ll |\Omega_{pl}|$ : Non-adiabatic



- Outer binary axis
- Inner binary axis
- Spin axis

If  $|\Omega_{ds}| \sim |\Omega_{pl}|$ :

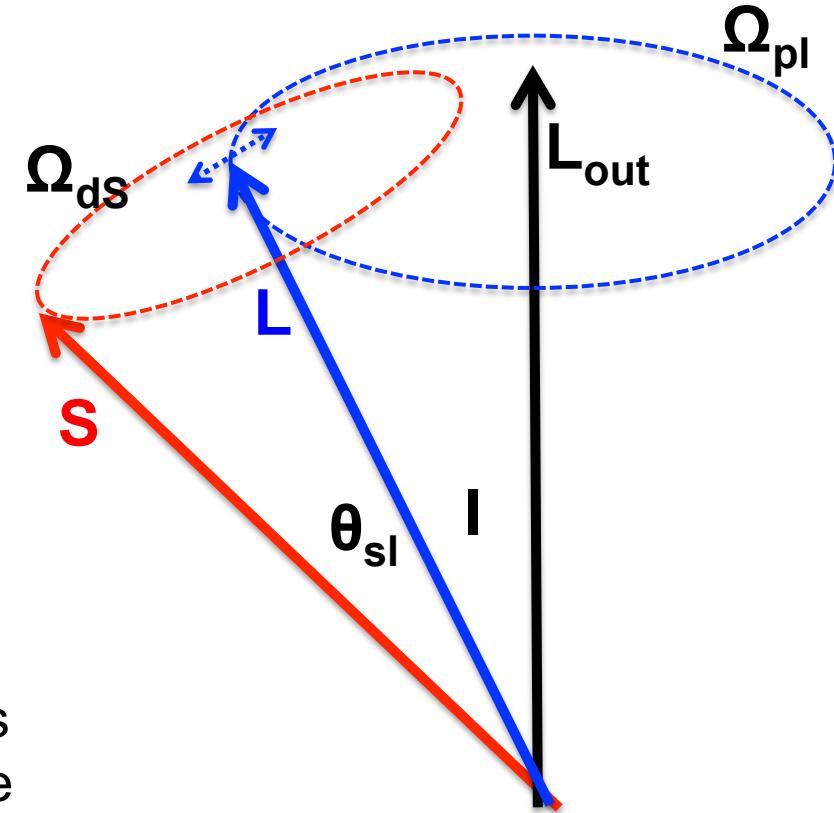


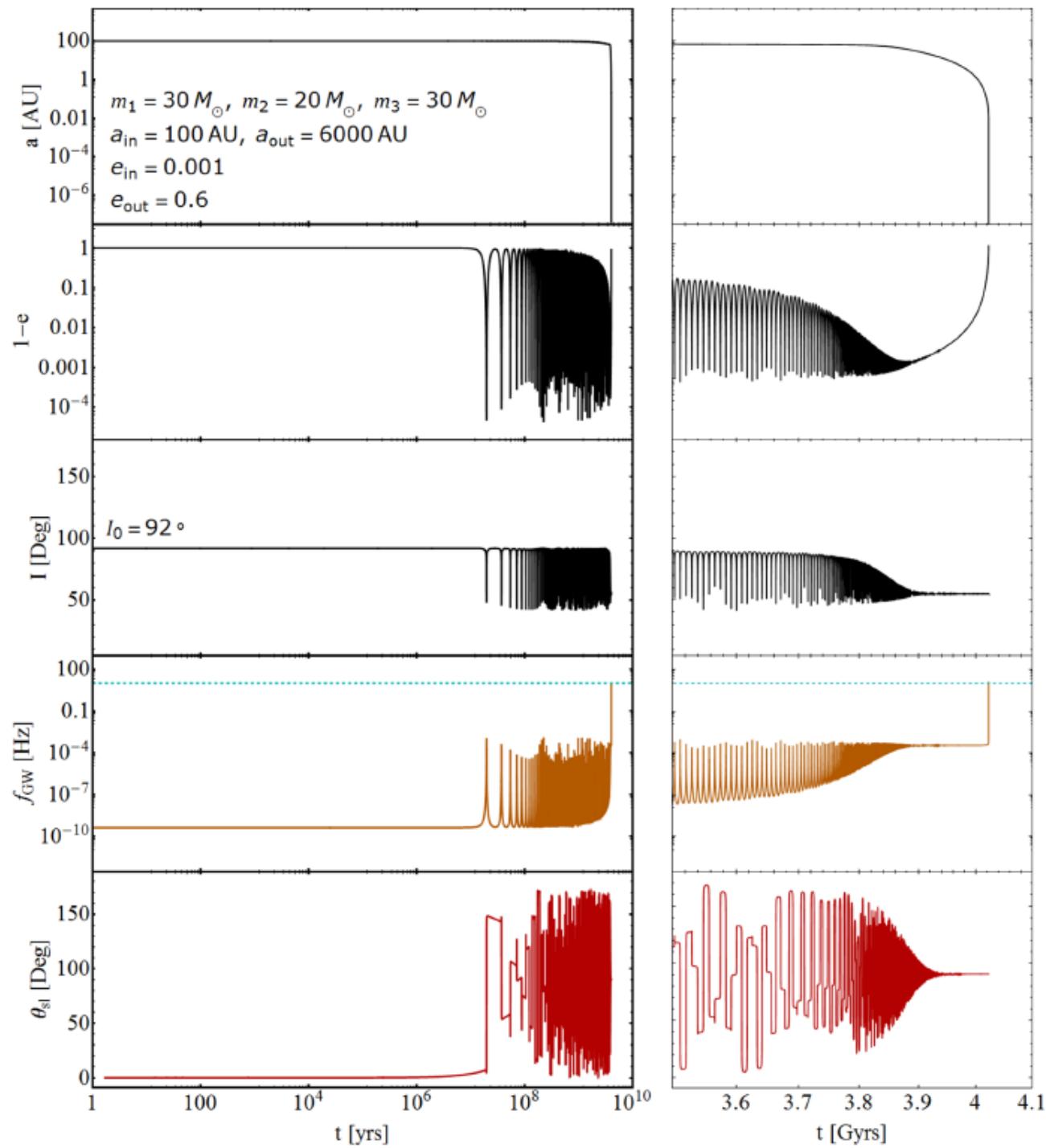
- Outer binary axis
- Inner binary axis
- Spin axis

# BH spin evolution in LK-induced orbital decay

$$\Omega_{dS}/\Omega_{pl}$$

changes from  $\ll 1$  (non-adiabatic)  
to  $\gg 1$  (adiabatic) as the orbit decays  
→ Final spin-orbit misalignment angle

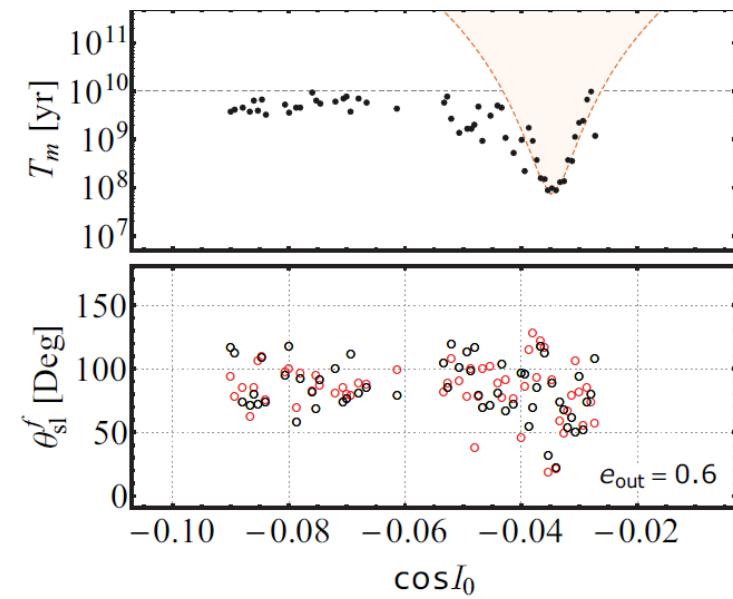
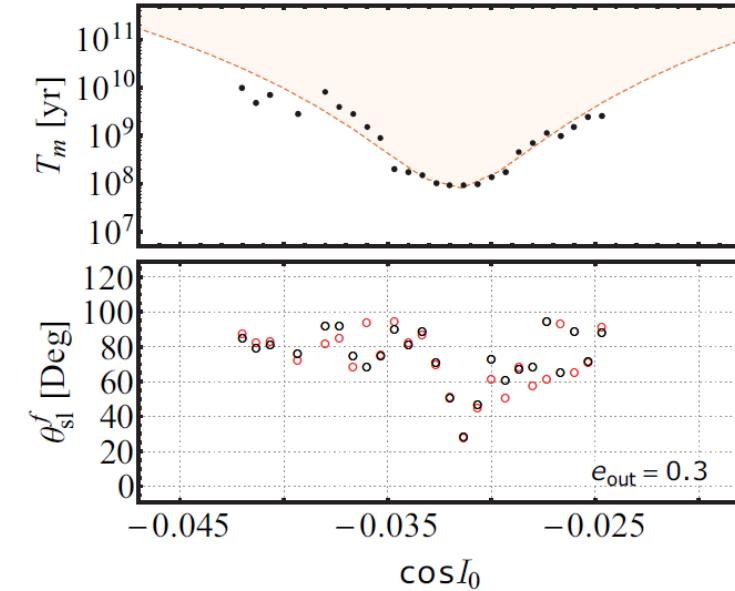
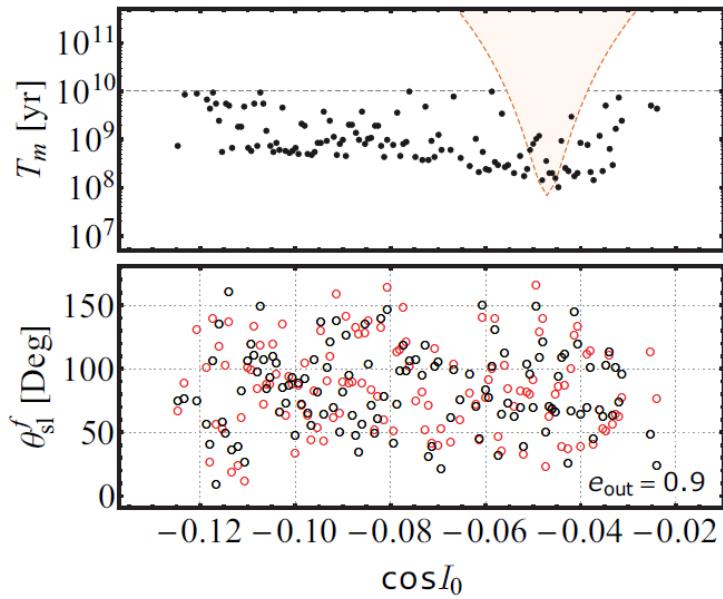
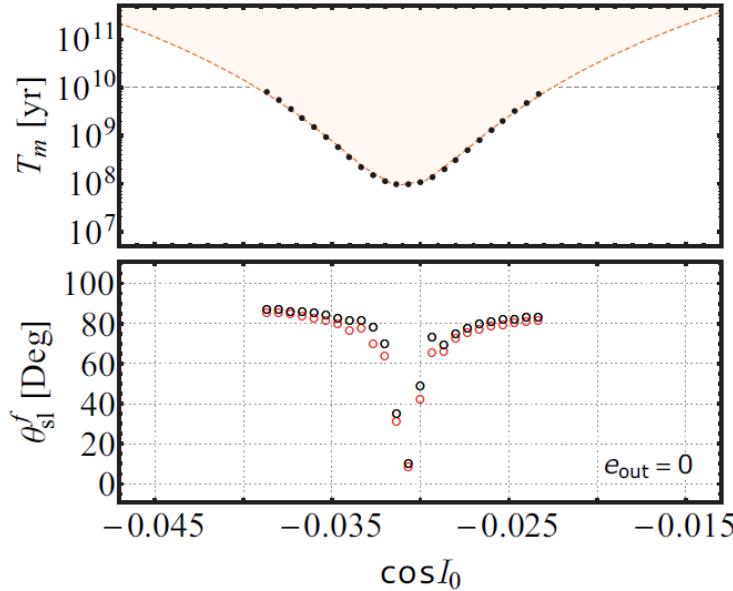




# Merger Window and Final Spin-Orbit Misalignments

Fixed inner binary:  $m_1=30M_{\odot}$ ,  $m_2=20M_{\odot}$ ,  $a_{in,0}=100\text{AU}$

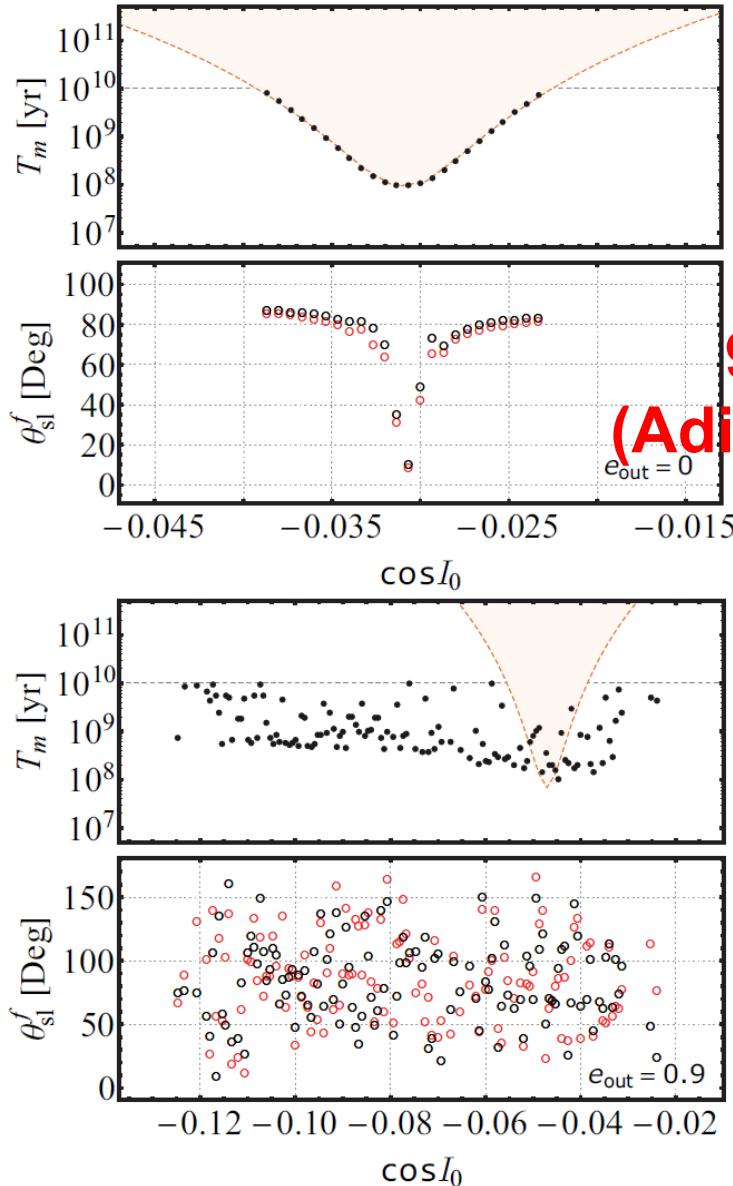
Fixed  $m_3/a_{out}^3$  value



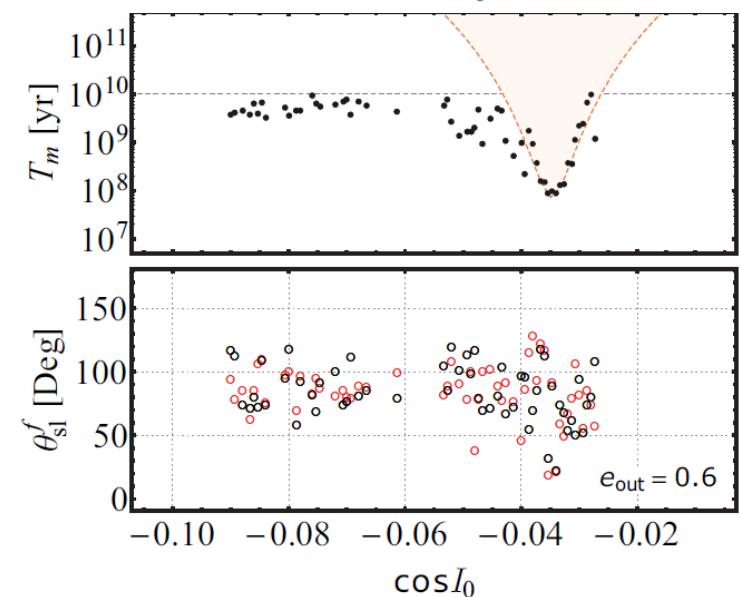
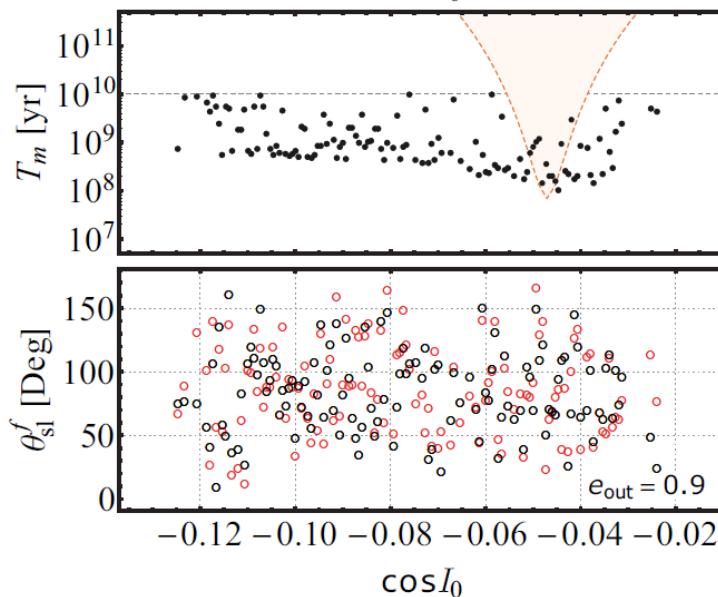
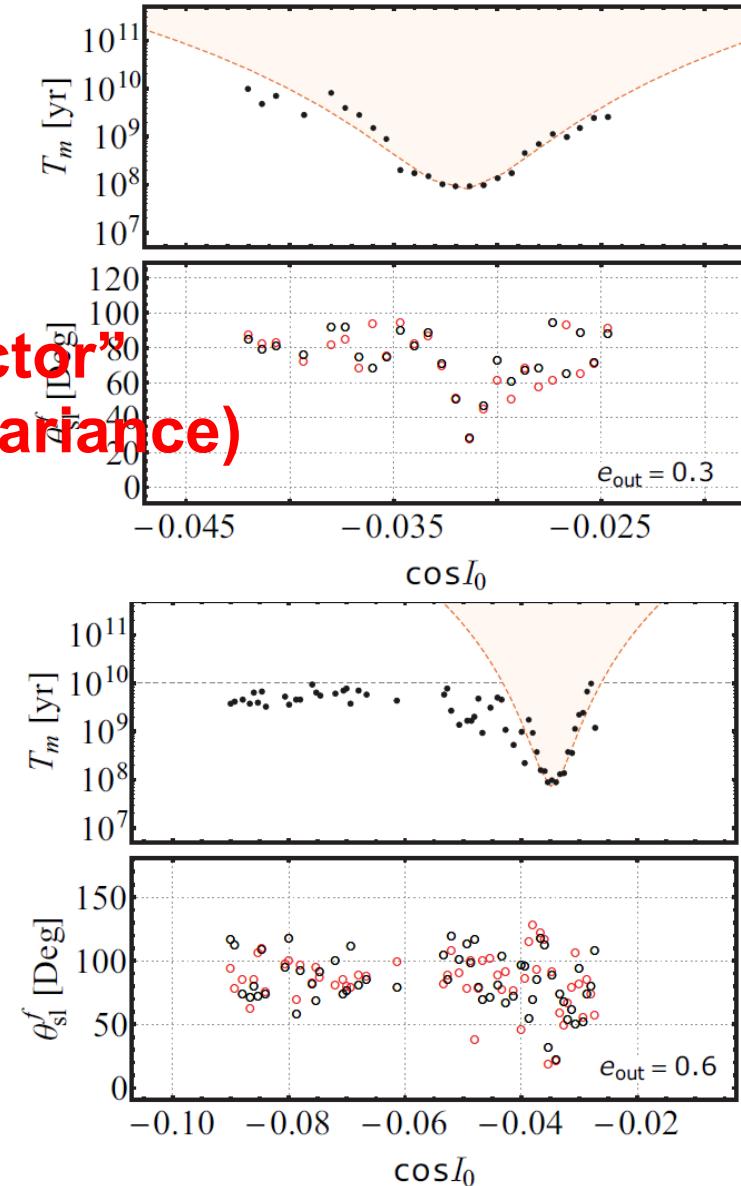
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Fixed  $m_3/a_{\text{out}}^3$  value

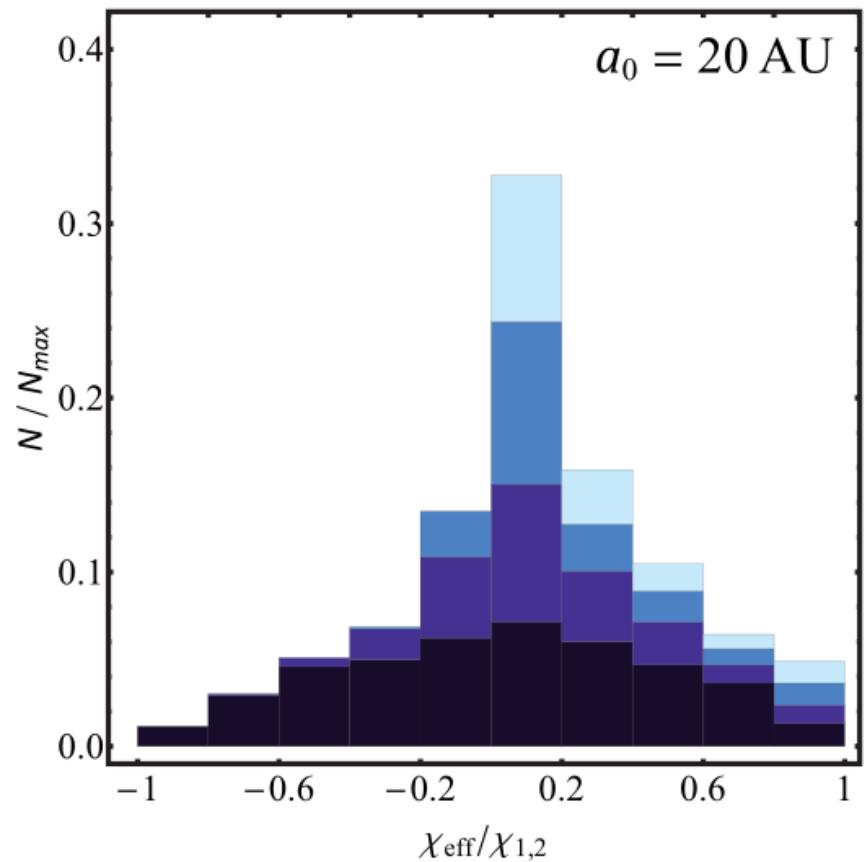
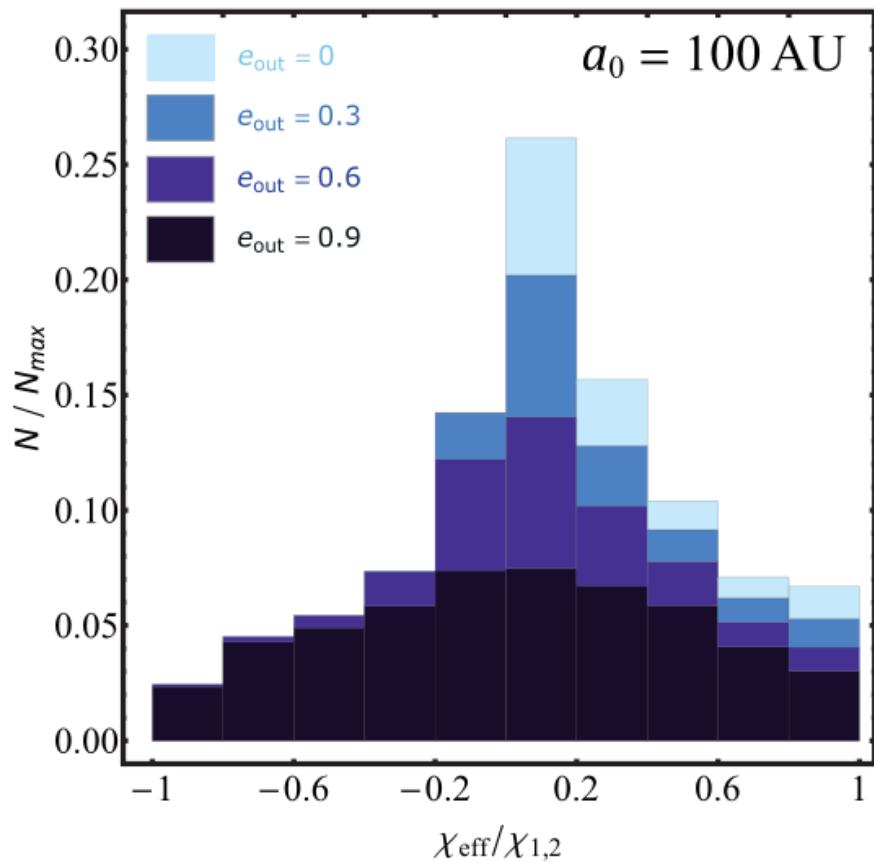


90° “attractor”  
(Adiabatic Invariance)



# Effective Spin Distribution

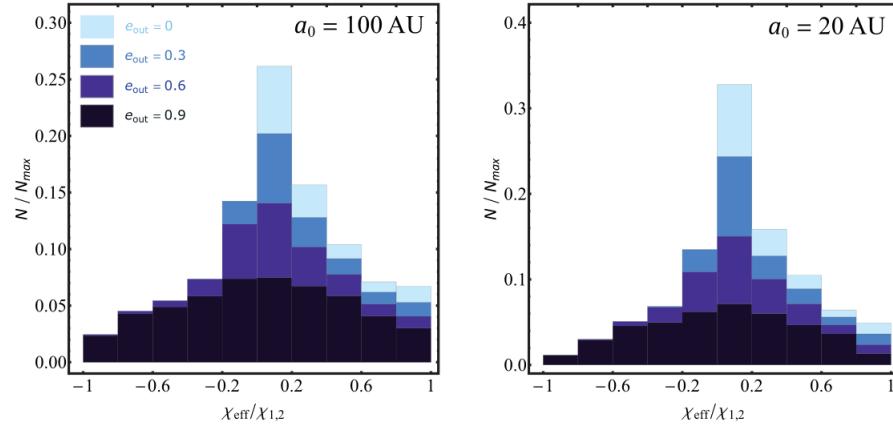
Effective spin parameter  $\chi_{\text{eff}} = \frac{m_1 \chi_1 \cos \theta_{s1l} + m_2 \chi_2 \cos \theta_{s2l}}{m_{12}}$



A unique signature of LK-induced mergers

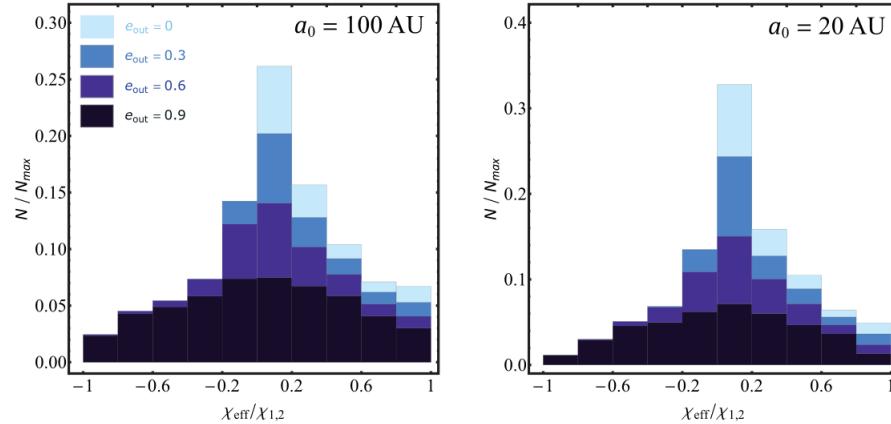
# Effective Spin Distribution

For “reasonable” initial binary/triple parameters ( $e_0=0$ , distant companions)

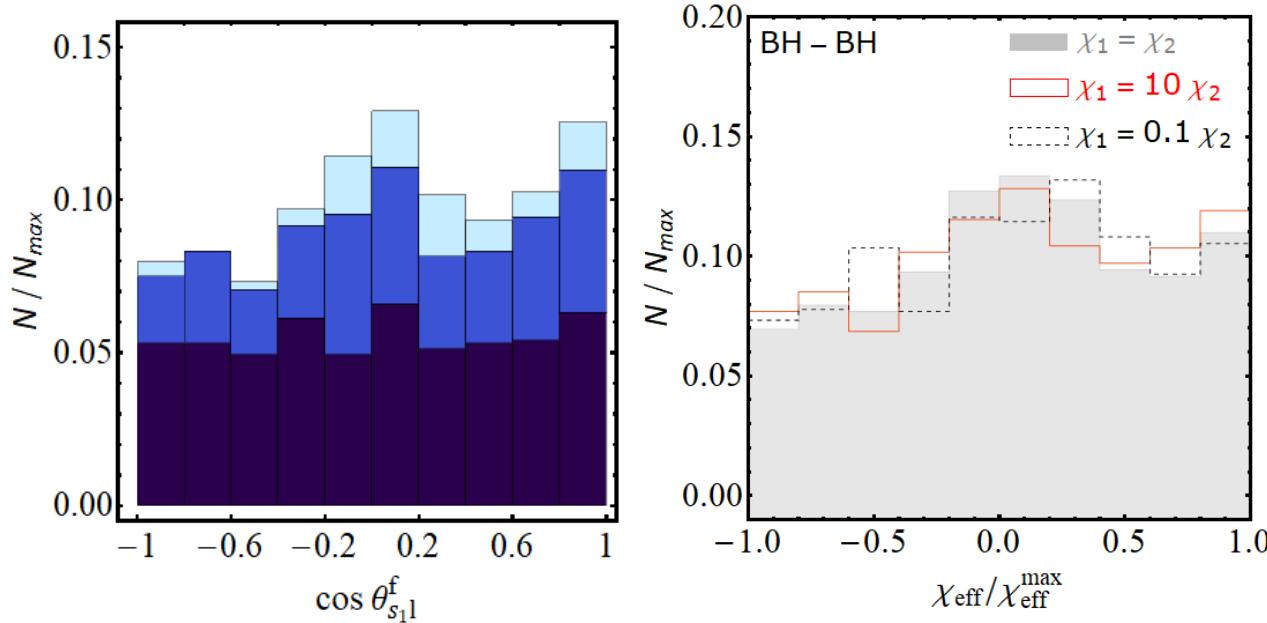


# Effective Spin Distribution

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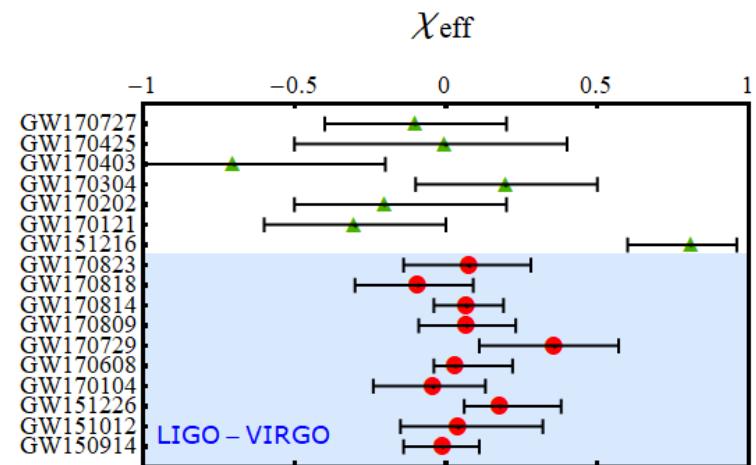
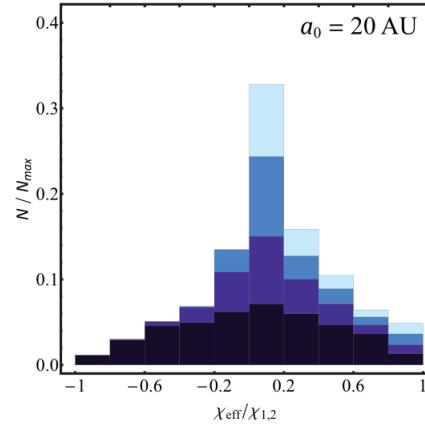
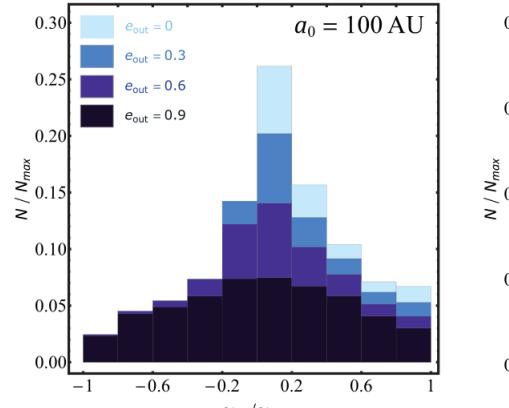


Consider ALL possible parameters

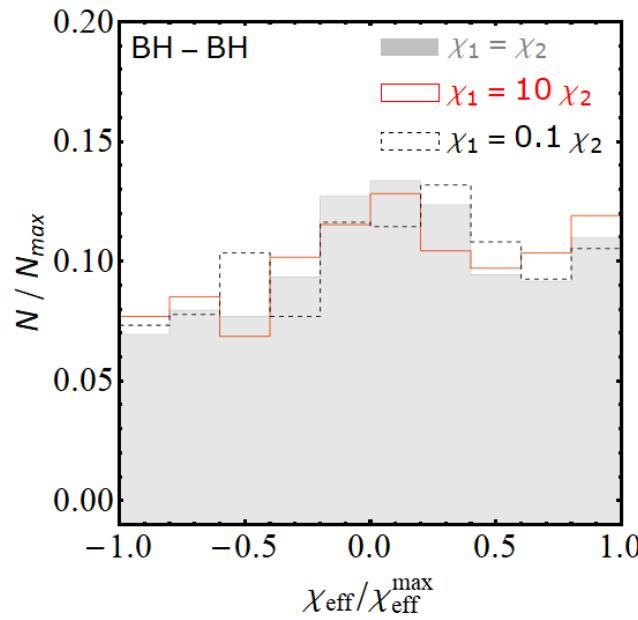
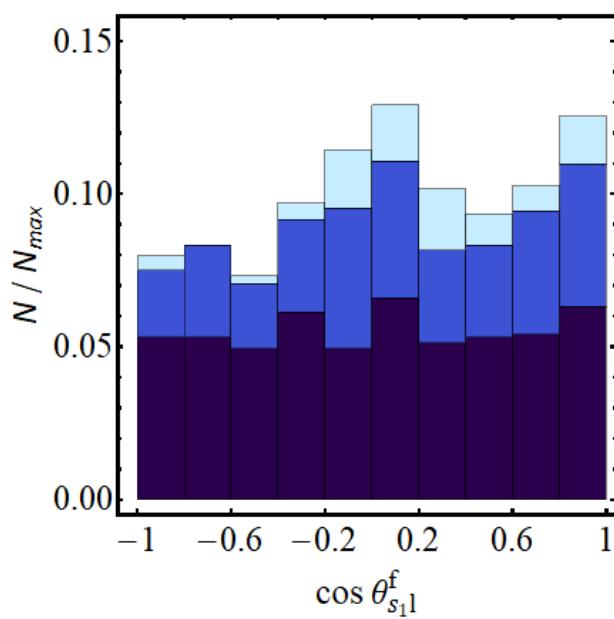


# Effective Spin Distribution

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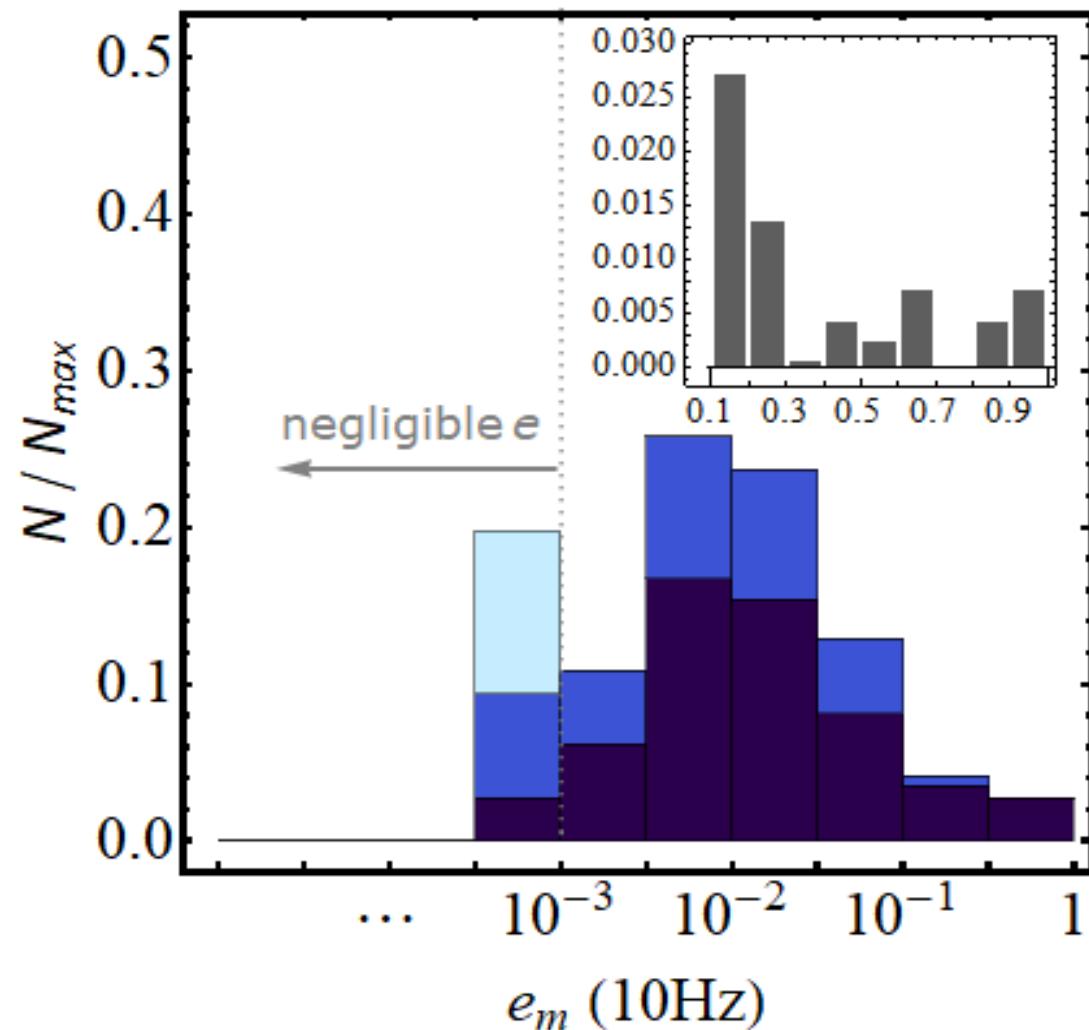
**Consider ALL possible parameters**



Observed so far

# Residual Eccentricity (at 10 Hz)

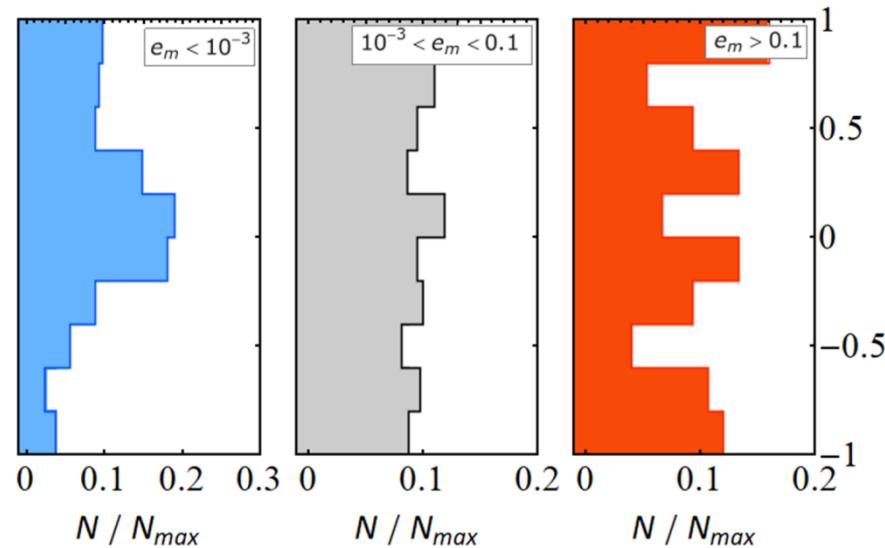
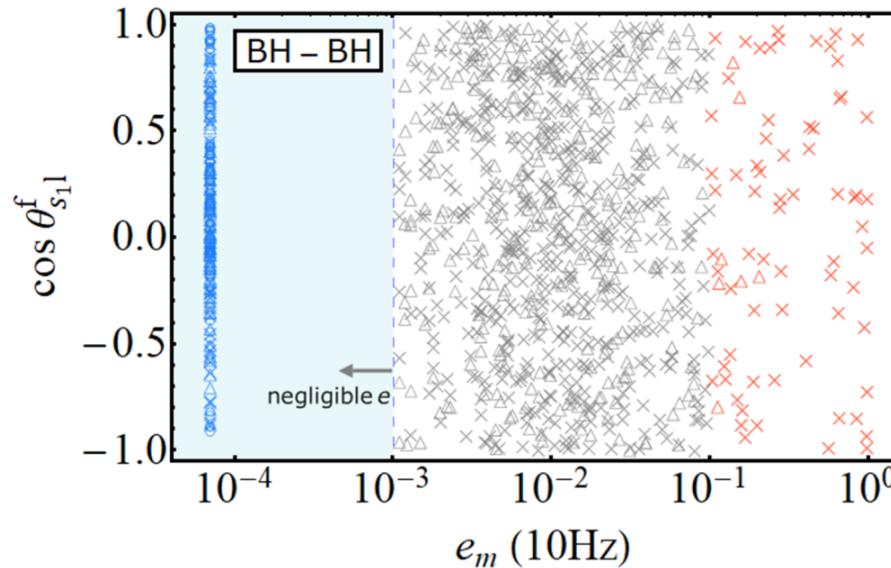
BH-BH mergers



10% have  $e_m > 0.1$

1% have  $e_m > 0.9$

# Residual eccentricity vs Spin-orbit Misalignment



Circular Mergers ( $e_m < 10^{-3}$ )  
prefer  $\theta_{sl}^f \sim 90^\circ$

More eccentric Mergers  
has random  $\theta_{sl}^f$

# Summary

## Formation Channels of Merging BH Binaries

### Standard isolated binary evolution channel:

uncertain physics (common envelope…)

- circular mergers ( $e_m=0$ )
  - aligned spin-orbit angle

### Dynamical formation channels:

“clean” physics, but “environmental” uncertainties

#### 1. Dense star clusters

- mostly circular mergers ?
  - expect random spin-orbit misalignments ?

#### 2. Tertiary-induced mergers

Perturbations from outer companion → Lidov-Kozai

Spin-orbit coupling (de Sitter precession) important..

- 10% mergers have residual  $e>0.1$  when entering LIGO band
  - Preference of  $90^\circ$  spin-orbit misalignment, especially for circular mergers

Rates? All potentially compatible…

LISA useful for probing dynamical formation

# EM counterpart of BH Mergers

## Standard binary evolution channel

Very likely to have gas around just after compact binary formation  
e.g. incomplete common-envelope ejection → circumbinary disk

Can disk survive the long binary inspiral phase?

If  $\sim 0.01M_{\text{sun}}$  survives → EM emission post-merger  
( $M_{\text{tot}}$  smaller, kick → perturbed/dissipative disk)

## Dynamical Formation: Clusters

No disk can survive multiple binary-single encounters → No EM

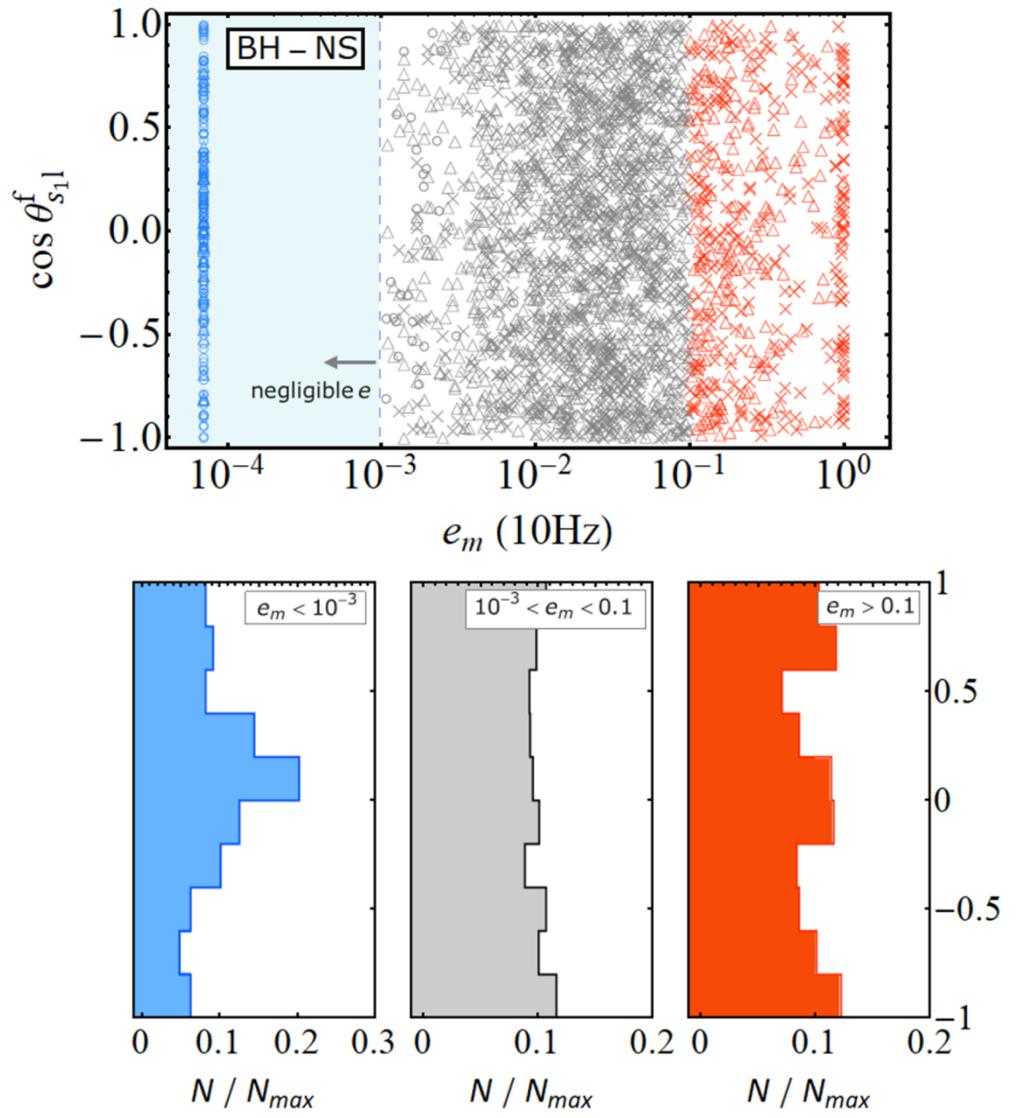
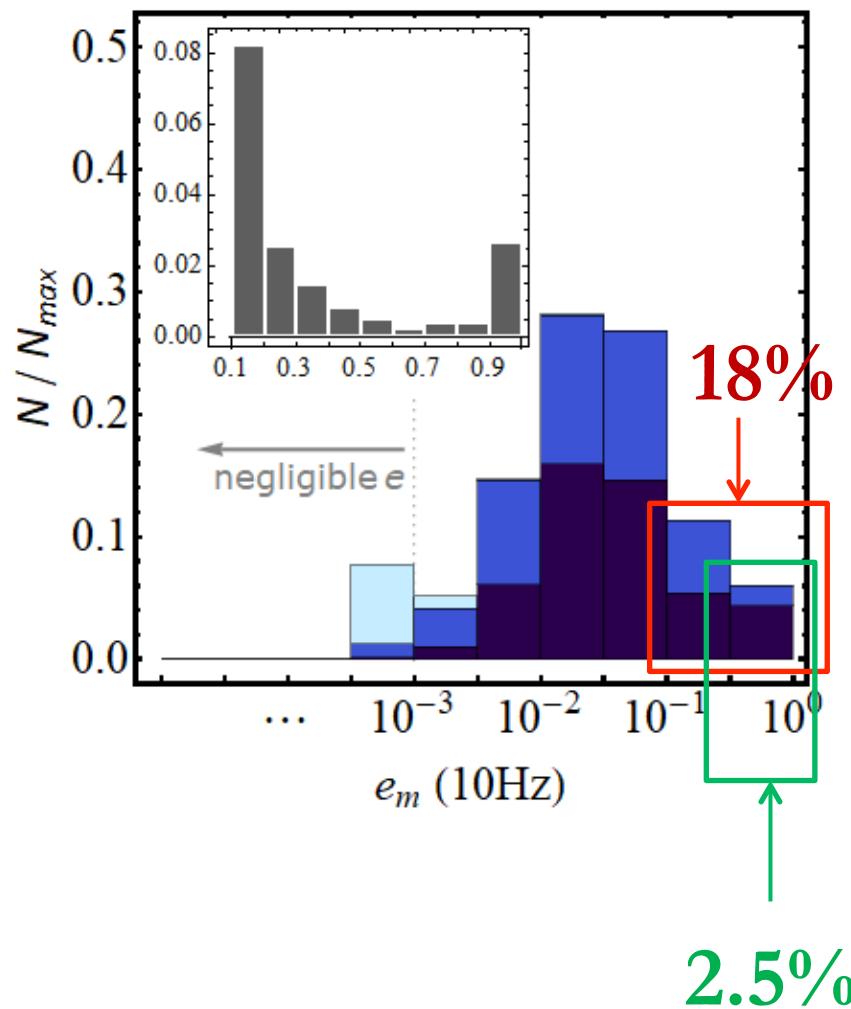
## Dynamical Formation: Tertiary-induced in Triples

Original disk cannot survive

Mass transfer from tertiary star onto binary → circumbinary disk → EM radiation?

**Thanks.**

# BH-NS Mergers



# Spin Puzzle ?

$$\chi_{\text{eff}} = \frac{m_1 \mathbf{a}_1 + m_2 \mathbf{a}_2}{m_1 + m_2} \cdot \hat{\mathbf{L}}$$

GW150914:  $-0.06^{+0.14}_{-0.14}$

GW151226:  $0.21^{+0.2}_{-0.1}$

GW170104:  $-0.12^{+0.21}_{-0.3}$

GW170608:  $0.07^{+0.23}_{-0.09}$

GW170814:  $0.06^{+0.12}_{-0.12}$

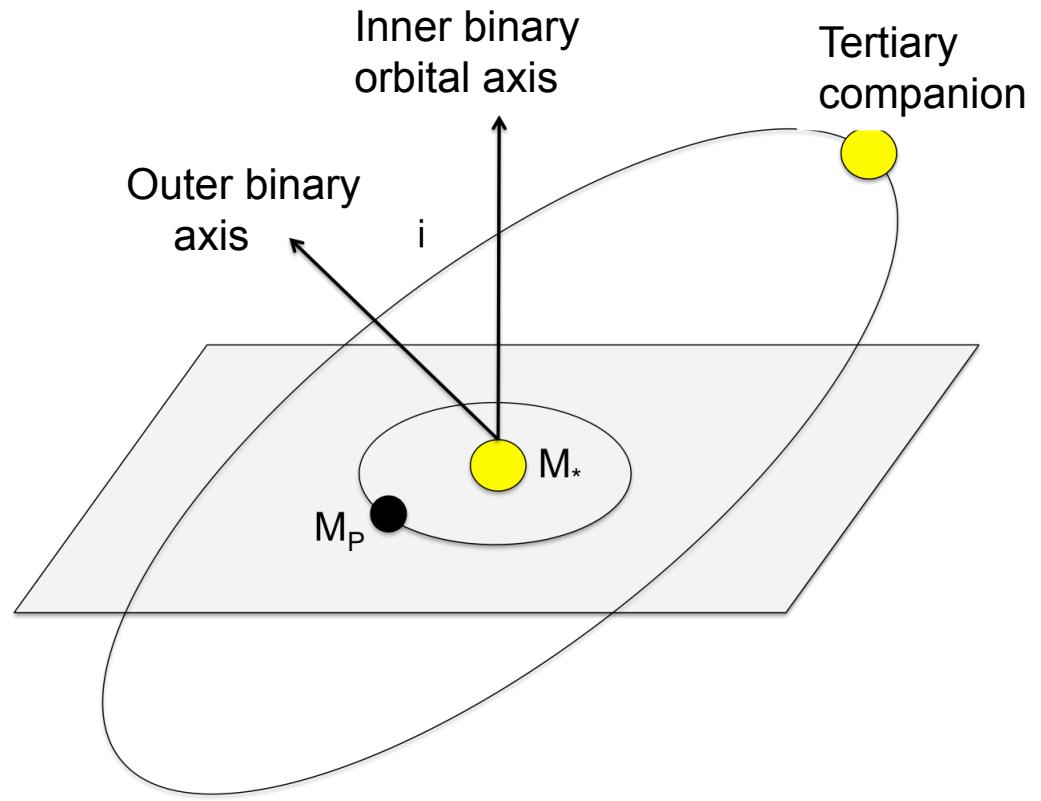
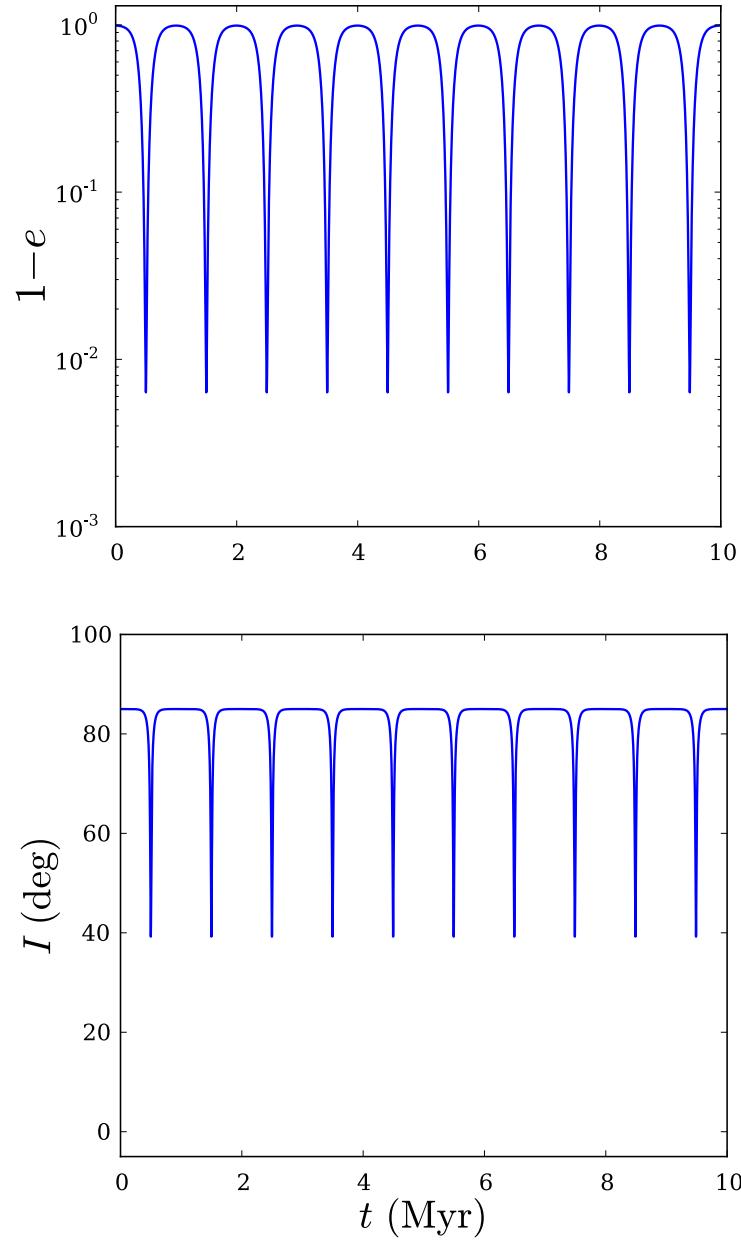
Eccentric mergers in LIGO band are probably rare...

But “**high-e mergers**” induced/enhanced by tertiary companion  
(binary goes through high-e phase but has circularized when entering LIGO band) may not be rare (Competitive with normal binary evolution channel?)

#### **Previous works:**

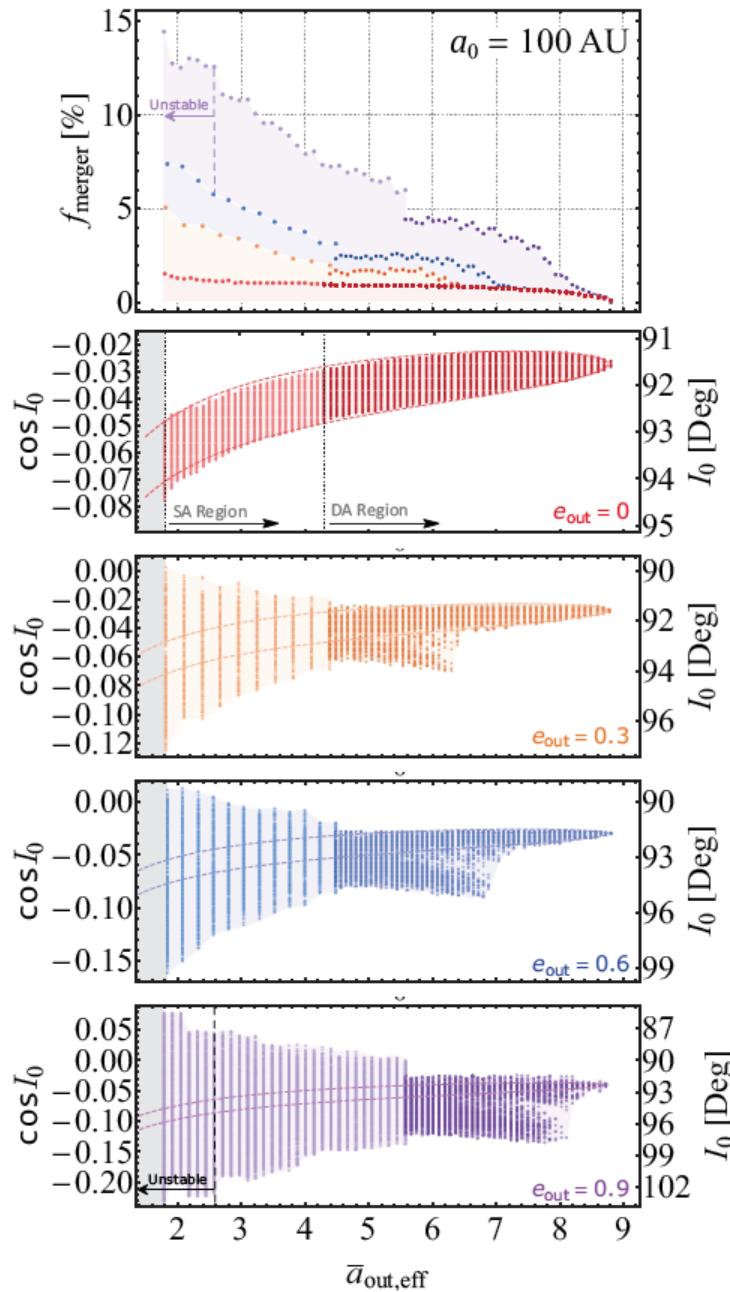
e.g. Blaes et al. 2002; Miller & Hamilton 2002; Wen 2003;  
Thompson 2011; Antonini et al. 2014,2017,  
Silsbee & Tremaine 2017...

# Recall: Lidov-Kozai Oscillations



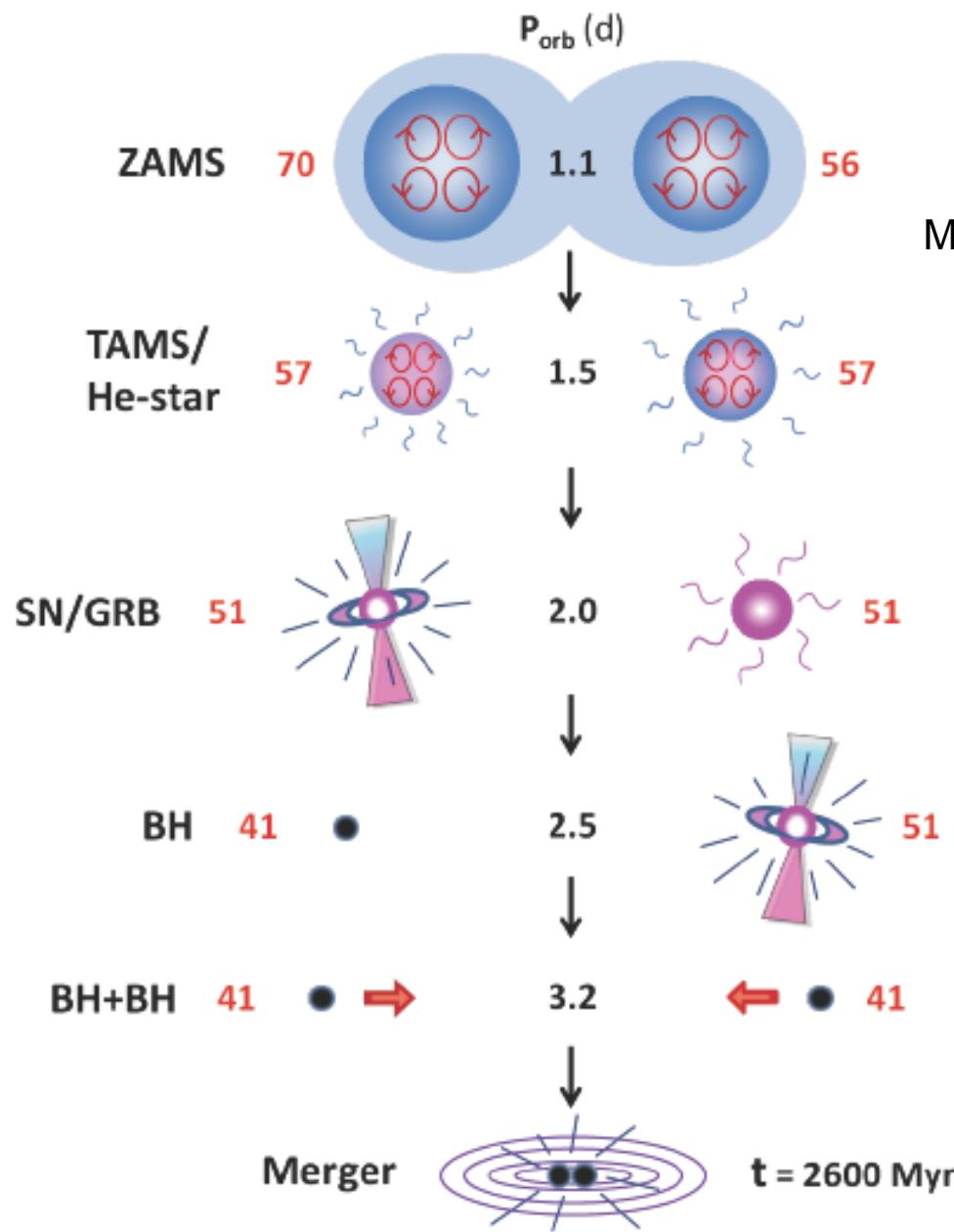
- Eccentricity and inclination oscillations induced if  $I > 40$  degrees.
- If  $I$  large (85-90 degrees), get extremely large eccentricities ( $e > 0.99$ )

# Merger Window and Merger Fraction

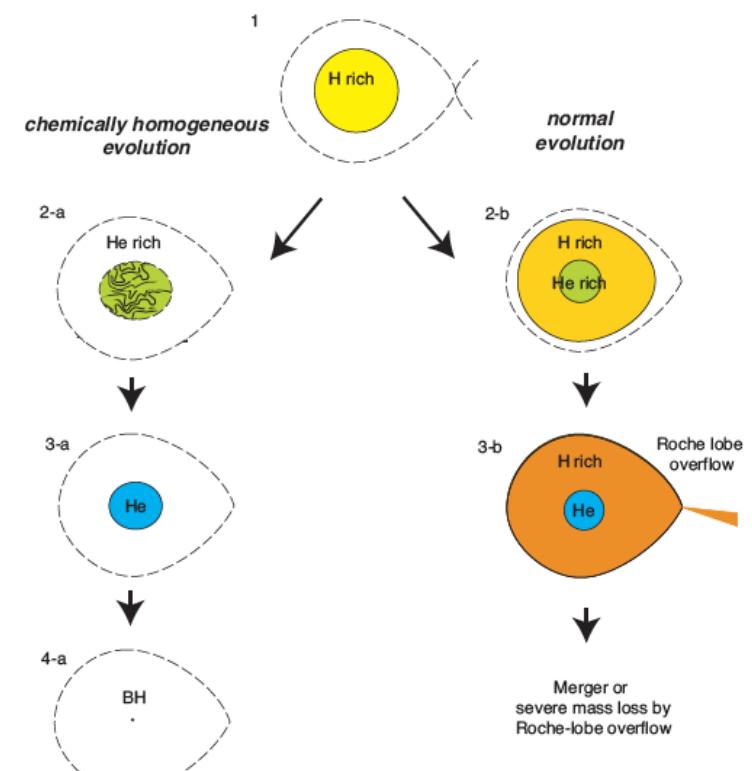


$$\bar{a}_{\text{out,eff}} = \left( \frac{a_{\text{out}} \sqrt{1 - e_{\text{out}}^2}}{1000 \text{AU}} \right) \left( \frac{m_3}{30 M_{\odot}} \right)^{-1/3}$$

# Chemically Homogeneous Evolution Channel



Marchant, Langer et al 2016



Mandel & de Mink 2016