# CO395 Group 57

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# $\mathbf{Q}\mathbf{1}$

#### Linear layers

If each image in X is reshaped into a row vector, then the forward pass can be written as

$$z = XW + \mathbf{1}b^T$$

For a linear layer, the partial derivatives are

$$\frac{\partial z}{\partial X} = W$$
  $\frac{\partial z}{\partial W} = X$   $\frac{\partial z}{\partial b} = \mathbf{1}$ 

To compute derivatives of the loss function L, we apply the chain rule

$$\frac{\partial L}{\partial X} = \frac{\partial L}{\partial z} \frac{\partial z}{\partial X} \qquad \frac{\partial L}{\partial W} = \frac{\partial L}{\partial z} \frac{\partial z}{\partial W} \qquad \frac{\partial L}{\partial b} = \frac{\partial L}{\partial z} \frac{\partial z}{\partial b}$$

Of course, images are not row vectors, so they must be reshaped appropriately.

#### ReLU activation

The forward pass of ReLU is  $\max(0, x)$ .

Its derivative is 1 for  $x \ge 0$  and 0 for x < 0. We apply the chain rule just like the linear layers.

### $\mathbf{Q2}$

During training, the forward pass of dropout will multiply the output of some neurons by 0, so it's effectively removing neurons. We can set the proportion p of neurons to dropout, and scale the outputs of the remaining neurons by 1/(1-p).

During testing, we restore all neurons.

## $\mathbf{Q3}$

The softmax function  $\sigma: \Re^C \to [0,1]^C$  can represent a probability distribution over C classes:

$$\sigma(z_1, \dots, z_C) = \frac{1}{\exp(z_1) + \dots + \exp(z_C)} \begin{bmatrix} \exp(z_1) \\ \vdots \\ \exp(z_C) \end{bmatrix} := \begin{bmatrix} \sigma_1 \\ \vdots \\ \sigma_C \end{bmatrix}$$

We used the "normalization trick" described in the coursework manual for numerical stability.

Derivatives of the softmax function are

$$\frac{\partial \sigma_i}{\partial z_j} = (\delta_{i,j} - \sigma_j) \, \sigma_i \qquad \forall i, j \in \{1, \dots, C\}$$

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where  $\delta_{i,j} = 0$  if  $i \neq j$ , otherwise  $\delta_{i,j} = 1$ .

The cross entropy loss of n images is

$$L = -\frac{1}{n} \sum_{i=1}^{n} [y_{i,1} \log \sigma_{i,1} + \dots + y_{i,C} \log \sigma_{i,C}]$$

where  $y_{i,k} = 1$  if image *i* belongs to class *k*, otherwise  $y_{i,k} = 0$ . Similarly,  $\sigma_{i,k}$  is the softmax probability that image *i* belongs to class *k*.

Derivatives of the loss function for  $k \in \{1, ..., C\}$  are

$$\frac{\partial L}{\partial z_k} = -\frac{1}{n} \sum_{i=1}^n \left[ \frac{y_{i,1}}{\sigma_{i,1}} \frac{\partial \sigma_{i,1}}{\partial z_k} + \dots + \frac{y_{i,C}}{\sigma_{i,C}} \frac{\partial \sigma_{i,C}}{\partial z_k} \right]$$

$$= -\frac{1}{n} \sum_{i=1}^n \left[ y_{i,1} \left( \delta_{1,k} - \sigma_{i,k} \right) + \dots + y_{i,C} \left( \delta_{C,k} - \sigma_{i,k} \right) \right]$$

$$= -\frac{1}{n} \sum_{i=1}^n \left( y_{i,1} \delta_{1,k} + \dots + y_{i,C} \delta_{C,k} - \sigma_{i,k} \right) \qquad \text{since } y_{i,1} + \dots + y_{i,C} = 1$$

### $\mathbf{Q4}$

Architecture + parameters used to overfit a small subset of the CIFAR-10 data, plots of loss and accuracy of train and test set (2 points)

Architecture + parameters used to achieve at least 50% accuracy on CIFAR-10 as well as plots of loss and accuracy of train and test set (2 points)

## $Q_5$

#### Step 1

Network architecture: 1 hidden layer?

stopping criterion:

Learning rate update schedule:

#### Step 2

We plotted validation loss against time for various learning rates: 0.05, 0.1, 0.2, etc. The best learning rate is one with lowest validation loss for a given training time i.e. it results in lowest asymptotic error and converges rapidly.

Include the plot for training and validation loss and report training and validation classification error.

#### Step 3

Use dropout and report if there is any improvement in the validation performance

#### Step 4

Use L2 and compare the performance with dropout.

### Step 5

Optimise the number of hidden layers and the number of neurons in each hidden layer.

# **A1**

No free lunch theorem

# **A2**

No change is needed since our functions are written to accommodate an arbitrary number of classes.