QUESTIONNAIRE NUMBER	id
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THE WORLD BANK ENTERPRISE SURVEY

[Insert Country] Manufacturing Module (2021)

FIELDWORK SUPERVISORS AND MANAGEMENT ARE RESPONSIBLE FOR THE ACCURACY OF THIS SECTION.

INFORMATION ACQUIRED PRIOR TO SCREENING:

Sampling Information [CODES FROM WBG PROGRESS REPORT]					
Sampling sector	a4a				
Sampling size	аба				
Sampling location	a2				
Frame level	a1c				

A. COVER [TO BE COMPLETED FROM SCREENER BEFORE INTERVIEW]

Screener Information					
Screener sector	a4b				
Module	a0				
Screener region	a3a				
Name of City/town/village	a3x				
Screener size	a6b				
Multi-establishment firm	a7				
Panel	panel				
Language	a1a				

OUESTIONNAIRE NUMBER	t i

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CAPI QUESTIONS BEGIN HERE

A. CONTROL INFORMATION TO BE COMPLETED AT THE TIME OF THE INTERVIEW

INFORMATION AUTOMATICALLY GENERATED

GPS COORDINATES	Degrees North (Latitude)	lat
	Degrees East (Longitude)	lon

A.14 Time face-to-face interview begins:

Day (dd)	Month (mm)	Year (yyyy)	Hour (00 to 23)	Minutes (00 to 59)
a14d	a14m	a14y	a14h	a14min

INTERVIEW BEGINS HERE

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING.

The goal of this survey is to gather information and opinions about the business environment in [Insert Country]. The information gathered here will help to develop new policies and programs that enhance employment and economic growth.

The information and opinions you provide will be anonymized. Neither your name nor the name of your business will be used in any document based on this survey.

A. CONTROL INFORMATION

A.20	For this establishment, on which calendar date did the last completed fiscal year end?

Year	Month	Day
a20y	a20m	a20d

OUESTIONNAIRE NUMBER	id
	- 1

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B. GENERAL INFORMATION

READ OUT THE FOLLOWING INTRODUCTORY SENTENCE ONLY IF A7 = 1 (yes):

The first few questions apply to the firm which this establishment is part of.

B.1 What is this firm's current legal status?

SHOW CARD 1 [NOTE FOR IMPLEMENTATION: THE OPTIONS USED IN EACH COUNTRY SHOULD REFLECT LOCAL CONDITIONS AND BE RECODED INTO THE CATEGORIES BELOW]

Shareholding company with shares traded in the stock market	1
Shareholding company with non-traded shares or shares traded	2
privately	
Sole proprietorship	3
Partnership	4
Limited partnership	5
OTHER (SPONTANEOUS-SPECIFY)b1x	6
DON'T KNOW (SPONTANEOUS)	-9

b1

GO TO B.3a

INTERVIEWER: PLEASE NOTE WHEN **b1** IS 3 (SOLE PROPRIETORSHIP), ENTER 100% FOR QUESTION **b3**.

B.3 What percentage of this firm does the largest owner or owners own?

	Percent
Percentage held by largest owner or owners	b3 %
DON'T KNOW (SPONTANEOUS)	-9

B.3a Is the largest owner also the top manager?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

b3a

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B.2	What percentage of this firm is owned by each of the following?
D.2	what percentage of this firm is owned by each of the following:
	CHOW CARD 4
	SHOW CARD 2

	Percent	DON'T KNOW (SPONTANEOUS)
Private domestic individuals, companies or organizations	b2a %	-9
Private foreign individuals, companies or organizations	b2b %	-9
Government or State	b2c %	-9
Other	b2d %	-9
	100%	

IF 100% END INTERVIEW

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% UNLESS RESPONDENT DOES NOT KNOW

B.4 Amongst the owners of the firm, are there any females?

Yes	1	
No	2	GO TO B.5
DON'T KNOW (SPONTANEOUS)	-9	GO TO B.5

b4

INTERVIEWER: PLEASE NOTE WHEN b1 IS 3 (SOLE PROPRIETORSHIP) and b4 is 1 (FIRM HAS A FEMALE OWNER), ENTER 100% FOR QUESTION b4a.

B.4a What percentage is owned by females?

	Percent
Percentage of female ownership	b4a%
DON'T KNOW (SPONTANEOUS)	-9

READ ONLY IF A7=1 (yes)

I want to proceed by asking you about this establishment only.

B.5 In what year did this establishment begin operations?

	Year
Year establishment began operations	b5
DON'T KNOW (SPONTANEOUS)	-9

INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR

	QUESTIONNAI	RE NUMBER	id	0										
B.6	-	ull-time worker	rs did this esta	ablishm	ent ha	ave wh	nen it	start	ed op	eratio	ns? P	lease i	inclu	de
		ınd managers.						~						
	INTERVIE	WER: INCLU	DE RESPON	DENT	WH	EN A	PPLI	CAB	LE					
							Nun	ıber						
		Full-time worl	kers at start-uj	p					b6					
		DON'T KNO	W (SPONTA	NEOU	J S)		-9							
	•													
B.6b	In what year	was this establi	shment forma	ılly reg	isterec	1?								
						Yea	r							
	Year establishr	nent formally re	egistered				ŀ	6b						
	DON'T KNO	W (SPONTAN	EOUS)			-9								
	NEVER REG	ISTERED (SP	ONTANEOU	JS)		-7			TI	ERM	INAT	${f E}$		

INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR

B.7 How many years of experience working in this sector does the Top Manager have?

	Years
Manager's experience in sector	b7
LESS THAN ONE YEAR	1
DON'T KNOW (SPONTANEOUS)	-9

B.7a Is the Top Manager female?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

b7a

B.8 Does this establishment have an internationally recognized quality certification?

INTERVIEWER: SOME EXAMPLES ARE ISO 9000 or 14000, or HACCP

Yes	1		
No	2	GO	<i>TO C.3</i>
STILL IN PROCESS	-6		
DON'T KNOW (SPONTANEOUS)	-9	GO	<i>TO C.3</i>
		b8	

B.8x Please specify the internationally recognized quality certifications.

Specify certifications	b8x

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C. INFRASTRUCTURE AND SERVICES

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

Now, we turn to the establishment's operations

C.3 Over the last two years, did this establishment apply to obtain an electrical connection?

id

Yes	1	
No	2	GO TO C.6
DON'T KNOW (SPONTANEOUS)	-9	GO TO C.6
		c3

C.4 In reference to that application, approximately how many days did it take to obtain it from the day of the application to the day the service was received?

	Days
Wait for electrical connection	c4
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

C.5 In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

c5

C.6 Over fiscal year [Insert last complete fiscal year], did this establishment experience power outages (outages that do not affect production are not included)?

Yes	1	
No	2	GO TO C.10
DON'T KNOW (SPONTANEOUS)	-9	GO TO C.10
		c6

C.7 In a typical month, how many power outages did this establishment experience?

	Number	
Number of power outages in a typical month	c7	<i>IF</i>
DON'T KNOW (SPONTANEOUS)	-9	G

IF 0, GO TO C.9 GO TO C.9

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OR

C.8 How long did these power outages last on average?

	Hours	Minutes
Average duration of power outages	c8a	c8b
LESS THAN ONE MINUTE		1
DON'T KNOW (SPONTANEOUS)	-9	-9

C.9 Please estimate the losses that resulted from power outages either as a percentage of total annual sales or as total annual losses.

Annual losses due to power outages	c9a %

[Insert DON'T KNOW (SPONTA NEOUS)

C.10 Over the course of fiscal year [Insert last complete fiscal year], did this establishment own or share a generator?

Yes	1	1
No	2	GO TO C.12
DON'T KNOW (SPONTANEOUS)	-9	GO TO C.12
		c10

C.11 What percentage of this establishment's electricity came from those generators?

	Percent
Percentage electricity from generators	c11 %
DON'T KNOW (SPONTANEOUS)	-9

C.12 Over the last two years, did this establishment apply to obtain a water connection?

Yes	1	
No	2	GO TO C.15
DON'T KNOW (SPONTANEOUS)	-9	GO TO C.15
		c12

C.13 In reference to that application, approximately how many days did it take to obtain it from the day of the application to the day the service was received?

	Days
Wait for water connection	c13
LESS THAN ONE DAY	1
STILL IN PROCESS	-6

0	
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APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

C.14

In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANENOUS)	-8

c14

C.15 Over fiscal year [Insert last complete fiscal year], did this establishment experience insufficient water supply for production?

Yes	1	
No	2	GO TO C.22b
The establishment does not use water for production	-7	GO TO C.22b
DON'T KNOW (SPONTANEOUS)	-9	GO TO C.22b
		c15

C.16 In a typical month, how many incidents of insufficient water supply did this establishment experience?

	Number
Number of incidents of water insufficiency in a typical month	c16
DON'T KNOW (SPONTANEOUS)	-9

GO TO C.22b

C.17 How long did these incidents of insufficient water supply last on average?

	Hours
Average duration of insufficient water supply	c17
LESS THAN ONE HOUR	1
DON'T KNOW (SPONTANEOUS)	-9

C.22b At the present time, does this establishment have its own website or social media page?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

c22b

QUESTIONNAIRE NUMBER	id
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l	
C.30	Using the response options on the card; To what degree is Electricity an obstacle to the current
C	operations of this establishment?
S	SHOW CARD 3

							(SPONTA	NEOUS)
		No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Electricity	c30a	0	1	2	3	4	-9	-7

Ql	UESTIONNAIF	RE NUMBE	R id	0									L]			
D. SALES	S AND SUPPL	IES															
	READ THE The next to		VING TO TI overed is how						-								
D.1a1	In fiscal year product, that i INTERVIEW PRODUCT I JUST SHOE	s, the activi VER: PLE IN DETAIL	ty and produ ASE RECO L, FOR EX	ict that rep ORD TH AMPLE,	rese E I	nted DES (the	larg PTI	est ON	prop	orti F T	on o	of anı AC	nua CTI	al sal VIT	es? 'Y A	AND
		d1a1a	DETAIL	ED DES	CRI		ON (IN	AC'	TIV	ITY	AN	ND		
Mar	nufacturing of	1												ď	1a1x	K	
F	Retail trade of	2	ı														
Whol	lesale trade of	3	ı														
C	onstruction of	4	ı														
Hote	l or restaurant	5	ı														
Provi	de services of	6	ı														

INTERVIEWER: IF D1A1A IS SERVICES SELECT (2-6), REVIEW TO ENSURE CORRECT MODULE IS BEING ASKED

D.1a3	What percentage of total sales does this main activity or product represent?

	Percent
Percentage of sales represented by main activity	d1a3
or product	
DON'T KNOW (SPONTANEOUS)	-9

INTERVIEWER: PLEASE NOTE THAT THE NEXT QUESTION REFERS TO THE TOTAL SALES OF \underline{ALL} PRODUCTS AND SERVICES

D.2 In fiscal year [Insert last complete fiscal year], what were this establishment's total annual sales for all products and services?

	[Insert LCUs]
"Total annual sales in fiscal year [insert last	d2
complete fiscal year]''	
DON'T KNOW (SPONTANEOUS)	-9

PLEASE ALSO WRITE OUT THE NUMBER (i.e. 50,000 AS FIFTY THOUSAND)

THOUSAND)	
	d2x

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D.2a1 Looking back to fiscal year [Insert last complete fiscal year minus one], what were this establishment's total annual sales for <u>all</u> products and services?

	[Insert LCUs]
Total annual sales in fiscal year [Insert last complete fiscal year minus one]	d2a1
DON'T KNOW (SPONTANEOUS)	-9

PLEASE ALSO WRITE OUT THE NUMBER (i.e. 50,000 AS FIFTY THOUSAND)

d2a1x

N.3 Looking back to fiscal year [Insert last complete fiscal year minus two], what were total annual sales for this establishment?

	[Insert LCUs]
Total annual sales in fiscal year [Insert last complete fiscal year minus two]	n3
ESTABLISHMENT WAS NOT IN BUSINESS IN FISCAL YEAR	-7
[Insert last complete fiscal year minus two]	-/
DON'T KNOW (SPONTANEOUS)	-9

Coming back to fiscal year [Insert last complete fiscal year], what percentage of this establishment's sales were:

INTERVIEWER: SKIP PATTERNS MUST BE FOLLOWED IN THE ORDER THEY APPEAR IN THE TABLE
SHOW CARD 4

	Percent	DON'T KNOW (SPONTANEOUS)	
National sales	d3a %	-9	IF 100, GO TO D.10
Indirect exports (sold domestically to third party that exports products)	d3b %	-9	IF 100, GO TO D.8
Direct exports	d3c %	-9	IF 0, GO TO D.8
	100%		-

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% UNLESS RESPONDENT DOES NOT KNOW

D.4 In fiscal year [Insert last complete fiscal year], when this establishment exported goods directly, how many days did it take on average from the time this establishment's goods arrived at their main point of exit (e.g., port, airport) until the time these goods cleared customs?

	Days
Average number of days to clear customs	d4
LESS THAN ONE DAY	1
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

GO TO D.8

0					

D.5a In reference to when this establishment exported goods directly, in clearing these goods through customs was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANENOUS)	-8
DOES NOT APPLY (SPONTANEOUS)	-7

d5a

D.8 In which year did this establishment first export directly or indirectly?

	Year
Began exporting directly or indirectly	d8
DON'T KNOW (SPONTANEOUS)	-9

INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR

D.10 In fiscal year [Insert last complete fiscal year], what percentage of the value of products this establishment shipped to supply domestic markets was lost while in transit because of each of the following:

INTERVIEWER: READ EACH CATEGORY

	Percent	NO LOSSES	DON'T KNOW (SPONTAN EOUS)	NO INTERNAL SHIPMENTS MADE (DOES NOT APPLY)
Theft?	d10 %	0	-9	-7
Breakage or spoilage?	d11 %	0	-9	-7

D.12 In fiscal year [Insert last complete fiscal year], what percentage of this establishment's purchases of material inputs or supplies were:

SHOW CARD 5

	Percent	DON'T KNOW (SPONTANEOUS)
Of domestic origin	d12a %	-9
Of foreign origin	d12b %	-9
	100%	

IF 0, GO TO D.30

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% UNLESS RESPONDENT DOES NOT KNOW

0					

D.13 Were any of those material inputs or supplies imported directly?

Yes	1]
No	2	GO TO D.30
DON'T KNOW (SPONTANEOUS)	-9	GO TO D.30
		d13

D.14 When this establishment imported these material inputs or supplies, how many days did it take on average from the time these goods arrived at their point of entry (e.g. port, airport) until the time these goods could be claimed from customs?

	Days
Average number of days to clear	d14
customs	
LESS THAN ONE DAY	1
DON'T KNOW	-9
(SPONTANEOUS)	
DOES NOT APPLY	-7
(SPONTANEOUS)	

GO TO D.30

D.15a In claiming these goods from customs was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANENOUS)	-8
DOES NOT APPLY (SPONTANEOUS)	-7

d15a

Using the response options on the card; To what degree are each of the following an obstacle to the current operations of this establishment?

INTERVIEWER: READ OUT OPTIONS

SHOW CARD 6

						(SPONTA	NEOUS)
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Transport d30a	0	1	2	3	4	-9	-7
Customs and trade regulations d30b	0	1	2	3	4	-9	-7

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R. MANAGEMENT PRACTICES

PLEASE READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

And now I would like to ask you about management practices in this establishment over fiscal year [Insert last complete fiscal year]

R.1 Over fiscal year [Insert last complete fiscal year], What best describes what happened at this establishment when a problem in the production process arose?

SHOW CARD 7

INTERVIEWER: SELECT "DOES NOT APPLY" (-7) IF THERE WERE NO PROBLEMS IN THE PRODUCTION PROCESS IN THE LAST COMPLETE FISCAL YEAR

We fixed it but did not take further action	1
We fixed it and took action to make sure it did not happen again	2
We fixed it and took action to make sure that it did not happen	3
again, and had a continuous improvement process to anticipate	
problems like these in advance	
No action was taken	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

r1

R.2 Over fiscal year [Insert last complete fiscal year], did this establishment monitor any performance indicators?

Yes	1	
No	2	GO TO R.4
DON'T KNOW (SPONTANEOUS)	-9	GO TO R.4
		r2

R.3 How many performance indicators were monitored?

INTERVIEWER: READ OUT

1-2 indicators	1
3-9 indicators	2
10 or more indicators	3
DON'T KNOW (SPONTANEOUS)	-9

r3

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R.4 Over fiscal year [Insert last complete fiscal year], did this establishment have production targets? Examples of production targets are production volume, quality, efficiency, waste, or on-time delivery.

Yes	1	
No	2	GO TO R.8
DON'T KNOW (SPONTANEOUS)	-9	GO TO R.8
<u> </u>		r4

R.5 Over fiscal year [Insert last complete fiscal year], What best describes the time frame of those production targets?

SHOW CARD 8

Main focus was on short term, less than one year	1
Main focus was on long term, one year or more	2
Combination of short-term and long-term targets	3
DON'T KNOW (SPONTANEOUS)	-9

r5

R.6 How easy or difficult was it for this establishment to achieve those production targets overall?

SHOW CARD 9

Achieved without much effort	1
Achieved with some effort	2
Achieved with normal amount of effort	3
Achieved with more than normal effort	4
Only achieved with extraordinary effort	5
Targets were not achieved	6
DON'T KNOW (SPONTANEOUS)	-9

r6

R.7 Who was aware of the production targets at this establishment?

SHOW CARD 10

Only senior managers	1
Most managers and some production workers	2
Most managers and most production workers	3
All managers and most production workers	4
DON'T KNOW (SPONTANEOUS)	-9

r7

QUESTIONNAIRE NUMBER	id	0	

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0					

R.8	Over fiscal year [Insert last complete fiscal year], did this establishment have performance
	bonuses for managers?

Yes	1	
No	2	GO TO R.10
DON'T KNOW (SPONTANEOUS)	-9	GO TO R.10
		r8

R.9 What were those managers' performance bonuses mostly based on?
INTERVIEWER: READ OUT

INTERVIEWER: IF THE ESTABLISHMENT IS NOT PART OF A MULTI-ESTABLISHMENT FIRM (A7 IS NO), THEN DO NOT USE OPTION 4

Their own performance	1
Their team's performance	2
The establishment's performance	3
The firm's performance	4
DON'T KNOW (SPONTANEOUS)	-9

r9

R.10 Over fiscal year [Insert last complete fiscal year], what was the primary way non-managers were promoted at this establishment?

SHOW CARD 11

INTERVIEWER: SELECT "DOES NOT APPLY" (-7) IF THERE WERE NO PROMOTIONS OF NON-MANAGERS IN THE LAST COMPLETE FISCAL YEAR

Based solely on performance and ability	1
Based partly on performance and ability, and partly on other factors (for	2
example, tenure or family connections)	
Based mainly on factors other than performance and ability (for example,	3
tenure or family connections)	
Non-managers are normally not promoted	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

r10

R.11 Over fiscal year [Insert last complete fiscal year], when was an under-performing non-manager reassigned or dismissed?

SHOW CARD 12

INTERVIEWER: SELECT "DOES NOT APPLY" (-7) IF THERE WERE NO UNDER-PERFORMING NON-MANAGERS IN THE LAST COMPLETE FISCAL YEAR

Within 6 months of identifying under-performance	1
After 6 months of identifying under-performance	2
Rarely or never	3
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

r11

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E. DEGREE OF COMPETITION

In fiscal year [Insert last complete fiscal year], which of the following was the main market in **E.1** which this establishment sold its main product? **SHOW CARD 13**

Local – main product sold mostly in same municipality where establishment is located	1
National – main product sold mostly across the country where establishment is located	2
International	3
DON'T KNOW (SPONTANEOUS)	-9

GO TO E.6

E.2 How many competitors did this establishment's main product face in this main market?

Number of competitors	e2b
TOO MANY TO COUNT	-4
DON'T KNOW (SPONTANEOUS)	-9

 $\mathbf{E.6}$ Does this establishment at present use technology licensed from a foreign-owned company, excluding office software?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

e6

E.11 Does this establishment compete against unregistered or informal establishments?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

e11

E.30 Using the response options on the card; To what degree are Practices of Competitors in the **Informal Sector** an obstacle to the current operations of this establishment? **SHOW CARD 14**

						(SPONTA	NEOUS)
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Practices of competitors in the informal sector e30	0	1	2	3	4	-9	-7

QUESTIONNAIRE NUMBER	ьi
QUESTIONIAIRE NUMBER	Iu

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H. INNOVATION

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING

And now we switch to a different topic. In this section "new" means new to the establishment but not necessarily new to the market.

H.1 During the last three years, has this establishment introduced new or improved products or services?

Yes	1	
No	2	GO TO H.5
DON'T KNOW (SPONTANEOUS)	-9	GO TO H.5
		h1

H.2 Were any of the new or improved products or services also new for the establishment's main market?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

h2

H.3 Please describe in detail the <u>main</u> new or improved product or service that this establishment introduced during the last three years.

The main new or improved product or service is the one that represented the largest proportion of this establishment's sales in value (not volume) during the last three years.

Description

DESCRIPTION SHOULD BE AS DETAILED AS POSSIBLE

h3x

H.4 Please describe in detail how the <u>main</u> new or improved product or service is different than the most similar product or service, if any, previously produced by this establishment.

INTERVIEWER: IF THERE IS NOTHING SIMILAR ENTER "COMPLETELY NEW"

Description

DESCRIPTION SHOULD BE AS DETAILED AS POSSIBLE

h4x

_	_	_	_	_	_	_	 _	_
0								

H.5	During the last three years, has this establishment introduced any new or improved process? These
	include:
	methods of manufacturing products or offering services;
	logistics, delivery, or distribution methods for inputs, products, or services;
	or supporting activities for processes?

Yes	1	
No	2	GO TO H.8
DON'T KNOW (SPONTANEOUS)	-9	GO TO H.8
		h5

H.6 Please describe in detail the <u>main</u> new or improved process that this establishment introduced during the last three years. The main innovative process is the innovative process that had the largest impact on the operations of the establishment during the last three years.

Description

DESCRIPTION SHOULD BE AS DETAILED AS POSSIBLE

h6x

H.7 Please describe in detail how the <u>main</u> new or improved process is different than the most similar process that is or was used by this establishment.

IF THERE IS NOTHING SIMILAR ENTER "COMPLETELY NEW"

Description

DESCRIPTION SHOULD BE AS DETAILED AS POSSIBLE

h7x

H.8 During fiscal year [Insert last complete fiscal year], did this establishment spend on research and development activities, either in-house or contracted with other companies, excluding market research surveys?

Yes	1		
No	2	GO TO) F.1
DON'T KNOW (SPONTANEOUS)	-9	GO TO) F.1
		h8	

H.9 How much did this establishment spend on research and development activities that year, either in-house or contracted with other companies?

	[Insert LCUs]
Cost of research and development activities	h9
DON'T KNOW (SPONTANEOUS)	-9

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F. CAPACITY

F.1	In fiscal year [Insert last complete fiscal year], what was this establishment's output produced as a
	percentage of the maximum output possible if using all the physical capital available (capacity
	utilization)?

	Percent
Capacity utilization	f1 %
DON'T KNOW (SPONTANEOUS)	-9

F.2	And, how many hours per week did this establishment normally operate?
	INTERVIEWER: RESPONSE CANNOT BE GREATER THAN 168 HOURS

	Hours
Typical hours of operation in a week	f2
DON'T KNOW (SPONTANEOUS)	-9

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G. LAND AND PERMITS

G.6 Of the **buildings** occupied by this establishment, what percentage is owned by this establishment?

	Percent	DON'T KNOW (SPONTANEOUS)
Owned by this establishment	g6a %	-9

G.1 Of the **land** occupied by this establishment, what percentage is owned by this establishment?

	Percent	DON'T KNOW (SPONTANEOUS)	DOES NOT APPLY IS A FLOOR IN A BUILDING(SPONT ANEOUS)
Owned by this establishment	g1a %	-9	-7

G.2 Over the last two years, did this establishment apply to obtain a construction-related permit?

Yes	1]	
No	2	GO TO	G.30
DON'T KNOW (SPONTANEOUS)	-9	GO TO	G.30
		g 2	

G.3 In reference to that application, approximately how many days did it take to obtain it from the day of the application to the day the permit was granted?

	Days
Wait for a construction-related permit	g3
LESS THAN ONE DAY	1
STILL IN PROCESS (SPONTANEOUS)	-6
APPLICATION DENIED (SPONTANEOUS)	-5
DON'T KNOW (SPONTANEOUS)	-9

G.4 In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

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QUESTIONNAIRE NUMBER id

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G.30	Using the response options on the card; To what degree is Access to Land an obstacle to the current
	operations of this establishment?
	SHOW CARD 14

							(SPONTA	NEOUS)
		No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Access to land	g30a	0	1	2	3	4	-9	-7

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I. CRIME

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:We now turn to another topic.

I.1 In fiscal year [Insert last complete fiscal year], did this establishment pay for security, for example equipment, personnel, or professional security services including internet security?

Yes	1	
No	2	GO TO 1.3
DON'T KNOW (SPONTANEOUS)	-9	GO TO 1.3
		i1

I.2 What percentage of this establishment's total annual sales was paid for security, or what was the total annual cost of security?

OR

	As a percentage of sales
Annual cost of security	i2a %

[Insert DON'T KNOW (SPONTA NEOUS)

I.3 In fiscal year [Insert last complete fiscal year], did this establishment experience losses as a result of theft, robbery, vandalism, arson on this establishment's premises or from internet hacking or fraudulent internet transactions?

Yes	1		
No	2	GO TO	<i>I.30</i>
DON'T KNOW (SPONTANEOUS)	-9	GO TO	<i>I.30</i>
		i3	

I.4 Please estimate those losses either as a percentage of total annual sales or as total annual losses?

	As a percentage of sales
Annual losses	i4a %

OR

[Insert	DON'T
LCUs]	KNOW
	(SPONTAN
	EOUS)
i4b	-9

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1.30	Using the response options on the card; To what degree is Crime, Theft and Disorder an obstacle
	to the current operations of this establishment?
	SHOW CARD 14

						(SPONTA	NEOUS)
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Crime, theft and disorder i30	0	1	2	3	4	-9	-7

OUESTIONNAIRE NUMBER	id
	Iu

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K. FINANCE

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

I would like to ask you a few questions about how you finance the operations of this establishment.

W.3 Over fiscal year [Insert last complete fiscal year], please estimate the proportion of this establishment's working capital, that is the funds available for day-to-day operations, that were financed from each of the following sources?
SHOW CARD 15

	Percent	DON'T KNOW (SPONTANEOUS)
Internal funds or retained earnings	k3a %	-9
Borrowed from banks: private and state-owned	k3bc %	-9
Borrowed from non-bank financial institutions, which include microfinance institutions, credit cooperatives, credit unions, or finance companies	k3e %	-9
Purchases on credit from suppliers and advances from customers	k3f %	-9
Other, moneylenders, friends, relatives, etc.	k3hd %	-9
	100%	

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% UNLESS RESPONDENT DOES NOT KNOW

K.4 In fiscal year [Insert last complete fiscal year], did this establishment purchase any new or used fixed assets, such as machinery, vehicles, equipment, land or buildings, including expansion and renovations of existing structures?

Yes	1		
No	2	GO TO	<i>K.4b</i>
DON'T KNOW (SPONTANEOUS)	-9	GO TO	<i>K.4b</i>
	•	k4	

N.5 In fiscal year [Insert last complete fiscal year], how much did this establishment spend on those purchases of:

INTERVIEWER: READ OUT

	[Insert LCUs]	DON'T KNOW (SPONTANEOUS)
New or used machinery, vehicles, and equipment?	n5a	-9
Land and buildings including expansion and renovations of existing structures?	n5b	-9

	_	_	_	 _	_	_	_	_
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K.5	Over fiscal year [Insert last complete fiscal year], please estimate the proportion or [Insert LCU]
	amount of this establishment's total purchases of fixed assets that were financed from the following
	sources:
	SHOW CARD 16

	Percent	OR	Amount [Insert LCUs]
Internal funds or retained earnings	k5a%		k5a1
Owners' contribution or issued new equity shares	k5i %		k5i1
Borrowed from banks: private and state-owned	k5bc%		k5bc1
Borrowed from non-bank financial institutions, which include microfinance institutions, credit cooperatives, credit unions, or finance companies	k5e %		k5e1
Purchases on credit from suppliers and advances from customers	k5f %		k5f1
Other, moneylenders, friends, relatives, bonds, etc.	k5hdj%		k5hdj1
	100%		n5a+n5b

Amount	DON'T
[Insert	KNOW
LCUs]	(SPONT
	ANEOU
	S)
k5a1	-9
k5i1	-9
k5bc1	-9
	-9
k5e1	
k5f1	-9
k5hdj1	-9
n5a+n5b	

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% OR THAT TOTAL SUM EQUALS TOTAL PURCHASES IN N5
UNLESS RESPONDENT DOES NOT KNOW

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

Now I would like to ask you about the fiscal year before last.

K.4b In fiscal year [Insert last complete fiscal year minus one], did this establishment purchase any new or used fixed assets, such as machinery, vehicles, equipment, land or buildings, including expansion and renovations of existing structures?

Yes	1	
No	2	GO TO K.6
DON'T KNOW (SPONTANEOUS)	-9	GO TO K.6
		k4b

N.5c	In fiscal year [Insert last complete fiscal year minus one], how much did this establishment spend
N.5d	on those purchases of:
	INTERVIEWER: READ OUT

	[Insert LCUs]	DON'T KNOW (SPONTANEOUS)
New or used machinery, vehicles, and equipment	n5c	-9
Land and buildings	n5d	-9

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READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

Now let's talk about the establishment's present situation.

K.6 At this time, does this establishment have a checking (current) or savings account?

No	2.
DON'T KNOW (SPONTANEOUS)	-9

K.7 Does this establishment have an overdraft facility?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

k7

k6

K.8 Does this establishment have a line of credit or a loan from a financial institution?

Yes	1	
No	2	GO TO K.15d
DON'T KNOW (SPONTANEOUS)	-9	GO TO K.15d
		k8

K.9 Referring to the most recent line of credit or loan, what type of financial institution granted this loan? **SHOW CARD 17**

Private commercial banks	1
State-owned banks or government agency	2
Non-bank financial institutions	3
Other	4
DON'T KNOW (SPONTANEOUS)	-9

k9

K.10 In what year was this most recent line of credit or loan approved?

	Year
Year most recent line of credit or loan approved	k10
DON'T KNOW (SPONTANEOUS)	-9

INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR

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K.11 What was its value at the time of approval?

	[Insert LCUs]
Size of most recent line of credit or loan approved	k11
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

K.13 Did this financing require collateral?

Yes	1		
No	2	GO TO	<i>K.15b</i>
DON'T KNOW (SPONTANEOUS)	-9	GO TO	<i>K.15b</i>
		k13	

K.14 What type of collateral was required?
INTERVIEWER: READ OUT

Collateral		Yes	No	DON'T KNOW
				(SPONTANEOUS)
Land, buildings under ownership of the establishment	k14a	1	2	-9
Machinery and equipment including movables	k14b	1	2	-9
Accounts receivable and inventories	k14c	1	2	-9
Personal assets of owner (house, etc.)	k14d	1	2	-9
Other forms of collateral not included in the categories above	k14e	1	2	-9

K.15a What was the approximate value of the collateral required?

	[Insert LCUs]
Value of collateral	k15a
DON'T KNOW (SPONTANEOUS)	-9

K.15b What is the total number of open lines of credit and outstanding loans held by this establishment?

Number	
k15b	
-9	(
	- 10

GO TO K.15d

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K.15c What is the total outstanding balance of all open lines of credit and loans held by this establishment?

	[Insert LCUs]
Total outstanding balance of all open lines	k15c
of credit and loans	
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

K.15d At this time, does the owner or owners of this establishment have any outstanding personal loans that are used to finance this establishment's business activities?

Yes	1	
No	2	
DON'T KNOW (SPONTANEOUS)	-9	
	_	k15d

K.16 Referring again to fiscal year [Insert last complete fiscal year], did this establishment apply for any lines of credit or loans?

Yes	1	GO TO K.20
No	2	
DON'T KNOW (SPONTANEOUS)	-9	GO TO K.21
		k16

K.17 What was the **main** reason why this establishment did not apply for any line of credit or loan? **SHOW CARD 18**

No need for a loan - establishment had enough capital	1	GO TO K.21
Application procedures were complex	2	GO TO K.21
Interest rates were not favorable	3	GO TO K.21
Collateral requirements were too high	4	GO TO K.21
Size of loan and maturity were insufficient	5	GO TO K.21
Did not think it would be approved	6	GO TO K.21
Other	7	GO TO K.21
DON'T KNOW (SPONTANEOUS)	-9	GO TO K.21
		k17

K.20 Referring only to the most recent application for a line of credit or loan, what was the outcome of that application?

INTERVIEWER: READ OUT

Application was approved in full	1
Application was approved in part	2
Application was rejected	3
Application was withdrawn	4
APPLICATION STILL IN PROCESS	-6
DON'T KNOW (SPONTANEOUS)	-9

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K.21 In fiscal year [Insert last complete fiscal year], did this establishment have its annual financial statements checked and certified by an external auditor?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

k21

Using the response options on the card; To what degree is **Access to Finance** an obstacle to the current operations of this establishment?

SHOW CARD 19

					(SPONTANEOUS)		
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Access to finance k30	0	1	2	3	4	-9	-7

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J. BUSINESS-GOVERNMENT RELATIONS

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

The following questions assess how establishments, such as this one, deal with government officials and their agencies.

J.1 Please tell me if you Strongly disagree, tend to disagree, tend to agree, or Strongly agree with the statement: "The court system is fair, impartial and uncorrupted".

SHOW CARD 20

						(SPONTANEOUS)		
		Strongly disagree	Tend to disagree	Tend to agree	Strongly agree	DON'T KNOW	DOES NOT APPLY	
"The court system is fair, impartial and uncorrupted."	h7a	1	2	3	4	-9	-7	

J.2 In a typical week over the last year, what percentage of total senior management's time was spent on dealing with requirements imposed by government regulations?

(By senior management I mean managers, directors, and officers above direct supervisors of production or sales workers.)

	Percent
Senior management's time spent on dealing with regulations	j2 %
NO TIME WAS SPENT	0
DON'T KNOW (SPONTANEOUS)	-9

J.3 Over the last year, was this establishment visited or inspected by tax officials or required to meet with them?

Yes	1		
No	2	GO TO) J.6a
DON'T KNOW (SPONTANEOUS)	-9	GO TO) J.6a
		j3	

J.4 How many times over the last year?

	Number
Times inspected or met with tax officials	j4
DON'T KNOW (SPONTANEOUS)	-9

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J.5 In any of these inspections or meetings was a gift or informal payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j5

J.6a Over the last year, has this establishment secured or attempted to secure a government contract?

Yes	1]
No	2	GO TO J.7
DON'T KNOW (SPONTANEOUS)	-9	GO TO J.7
		j6a

When establishments like this one do business with the government, what percent of the contract value would be typically paid in informal payments or gifts to secure the contract?

	Percent
Percent of the contract value paid as informal payments or gifts	j6 %
NO PAYMENTS	0
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

J.7 It is said that establishments are sometimes required to make gifts or informal payments to public officials to "get things done" regarding customs, taxes, licenses, regulations, services etc. On average, what percentage of total annual sales, or estimated total annual value, do establishments like this one pay in informal payments or gifts to public officials for this purpose?

	As a percentage of sales
Informal payments or gifts	j7a %

[Insert LCUs]	NO PAYMENTS OR GIFTS	DON'T KNOW (SPONTA	REFUSAL (SPONTA NEOUS)
	ARE PAID	NEOUS)	NEOUS)
j 7 b	0	-9	-8

J.10 Over the last two years, did this establishment apply to obtain an import license?

OR

Yes	1		
No	2	GO TO	J.13
DON'T KNOW (SPONTANEOUS)	-9	GO TO	J.13
		i10	

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J.11 Approximately how many days did it take to obtain it from the day of the application to the day it was granted?

	Days
Wait for import license	j11
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

J.12 In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j12

J.13 Over the last two years, did this establishment apply to obtain an operating license?

Yes	1	
No	2	GO TO J.30
DON'T KNOW (SPONTANEOUS)	-9	GO TO J.30
		j13

J.14 Approximately how many days did it take to obtain it from the day of the application to the day it was granted?

	Days
Wait for operating license	j14
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

J.15 In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

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J.30	Using the response options on the card; To what degree are each of the following an obstacle to the
	current operations of this establishment?
	INTERVIEWER: READ OUT OPTIONS
J.30	SHOW CARD 21

	POSITION	No obstacle	Minor obstacl e	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Tax rates j30a	j30_taxrate_pos	0	1	2	3	4	-9	-7
Tax administration j30b	j30_taxadmin_p os	0	1	2	3	4	-9	-7
Business licensing and permits j30c	j30_permit_pos	0	1	2	3	4	-9	-7
Political instability j30e	j30_instability_p os	0	1	2	3	4	-9	-7
Corruption j30f	j30_corruption_ pos	0	1	2	3	4	-9	-7
Courts h30	j30_courts_pos	0	1	2	3	4	-9	-7

NOTE: ROTATION POSITIONS VARY AS THE LIST OF OPTIONS IS RANDOMIZED

INTERVIEWER READ OUT:

I would like to ask you a few questions about this establishment's labor force. Should I continue with you or with the Human Resources department?

IF YES, CONTINUE WITH SECTION L WITH CURRENT RESPONDENT

IF NO, CONTINUE WITH SECTION M WITH CURRENT RESPONDENT AND ASK L SECTION TO A HUMAN RESOURCES REPRESENTATIVE

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L. LABOR

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

Now I would like to ask you a few questions about this establishment's labor force.

At the end of fiscal year [Insert last complete fiscal year], how many permanent, full-time individuals worked in this establishment? Please include all workers and managers.

Permanent, full-time workers are defined as all workers that work for a term of one or more fiscal years and/or have a guaranteed renewal of their employment and that work a full shift.

INTERVIEWER: INCLUDE INTERVIEWEE IF APPLICABLE

	Number
Permanent, full-time workers at the end of last fiscal year	11
DON'T KNOW (SPONTANEOUS)	-9

L.2 Looking back, at the end of fiscal year [Insert last complete fiscal year minus two], how many permanent, full-time individuals worked in this establishment? Please include all workers and managers.

INTERVIEWER: INCLUDE INTERVIEWEE IF APPLICABLE

	Number
Permanent, full-time workers in [Insert last complete fiscal year minus two]	12
IF ESTABLISHMENT WAS NOT IN BUSINESS IN [Insert last	-7
complete fiscal year minus two]	
DON'T KNOW (SPONTANEOUS)	-9

Coming back to fiscal year [Insert last complete fiscal year], at the end of the fiscal year, how many permanent, full-time individuals in this establishment were:

INTERVIEWER: READ EACH CATEGORY

	Number	DON'T KNOW (SPONTANEOUS)
Production workers	13a	-9
Non-production workers (administration, sales)	13b	-9

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L.4	At the end of fiscal year [Insert last complete fiscal year], how many permanent, full-time
	production workers in this establishment were:
	SHOW CARD 22

	Number	DON'T KNOW (SPONTANEOUS)
In highly skilled jobs, that is professionals or technicians whose	l4a1	-9
tasks require extensive theoretical and technical knowledge		
In semi-skilled jobs, that is workers whose tasks	14a2	-9
require some level of mechanical or technical knowledge		
In <u>low-skilled</u> jobs, those whose tasks involve	14b	-9
no specialized knowledge		

At the end of fiscal year [Insert last complete fiscal year], in this establishment how many permanent, full-time workers in each of the following categories were female?

INTERVIEWER: READ OUT OPTIONS

	Number	DON'T KNOW (SPONTANEOUS)
Production workers	15a	-9
Non-production workers	15b	-9

How many full-time seasonal or temporary workers did this establishment have during [Insert last complete fiscal year]?

Full-time, seasonal or temporary workers are all short-term, that is for less than a year, workers with no guarantee of renewal of employment and work full-time.

	Number	
Full-time seasonal or temporary workers employed last	16	
fiscal year		
NO FULL-TIME SEASONAL OR TEMPORARY	0	GO TO L.9b
WORKERS		
DON'T KNOW (SPONTANEOUS)	-9	GO TO L.9b

L.6a How many were female?

	Number
Full-time female seasonal or temporary workers employed last fiscal year	l6a
DON'T KNOW (SPONTANEOUS)	-9

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L.8 What was the average length of employment of all full-time temporary workers in fiscal year [Insert last complete fiscal year]?

	Months
Average length full-time seasonal or temporary employment last	18
fiscal year, in months	
LESS THAN ONE MONTH	1
DON'T KNOW (SPONTANEOUS)	-9

What percentage or how many of the full-time permanent workers employed at the end of fiscal year [Insert last complete fiscal year] completed secondary school?Please provide the percentage or number, not both.

	Percent
Percentage or number of full-time permanent	19b %
workers who completed secondary school	
DON'T KNOW (SPONTANEOUS)	-9

Number
19b1

OR

L.10 Over fiscal year [Insert last complete fiscal year], did this establishment have formal training programs for its permanent, full-time workers?

Yes	1		
No	2	GO TO	130a
DON'T KNOW (SPONTANEOUS)	-9	GO TO	130a
		110	

Referring to those training programs, what percentage of permanent, full-time workers of the following categories received formal training? If easier please provide the total numbers (provide one or the other but not both).

INTERVIEWER: READ EACH CATEGORY

	Percent
Production full-time permanent workers trained	l11a %
Non-production full-time permanent workers trained	l11b %

OR	Number	IF NO WORKERS IN A CATEGORY WERE TRAINED	DON'T KNOW (SPONTANE OUS)
	l11a1	0	-9
	l11b1	0	-9

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L.12	Referring to those training programs, what percentage of female, permanent, full-time workers
	received formal training? If easier please provide the total numbers (provide one or the other but not
	both).

	Percent
Female full-time permanent workers	l12a %
trained	

OR	Number	IF NO FEMALE WORKERS WERE TRAINED	DON'T KNOW (SPONTANE OUS)
	l12a1	0	-9

L.30	Using the response options on the card; To what degree are each of the following an obstacle to the
	current operations of this establishment?
	INTERVIEWER: READ OUT OPTIONS
	SHOW CARD 23

						(SPONTANEOUS)		
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY	
Labor regulations 130a	0	1	2	3	4	-9	-7	
Inadequately educated workforce 130b	0	1	2	3	4	-9	-7	

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M. BUSINESS ENVIRONMENT

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

M.1	By looking at the list of elements of the business environment please tell me which one, if any,
	currently represents the biggest obstacle faced by this establishment.
	INTERVIEWER: SHOW RANDOMIZED LIST TO THE RESPONDENT. DO NOT
	READ OPTIONS.

	POSITION
1-Access to finance	m1a_finance_pos
2-Access to land	m1a_land_pos
3-Business licensing and permits	m1a_permit_pos
4-Corruption	m1a_corruption_pos
5-Courts	m1a_courts_pos
6-Crime, theft and disorder	m1a_crime_pos
7-Customs and trade regulations	m1a_trade_pos
8-Electricity	m1a_electricity_pos
9-Inadequately educated workforce	m1a_workforce_pos
10-Labor regulations	m1a_labor_pos
11-Political instability	m1a_instability_pos
12-Practices of competitors in the informal sector	m1a_informal_pos
13-Tax administration	m1a_taxadmin_pos
14-Tax rates	m1a_taxrate_pos
15-Transport	m1a_transport_pos

Biggest obstacle	m1a
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

NOTE: ROTATION POSITIONS VARY AS THE LIST OF OPTIONS IS RANDOMIZED

INTERVIEWER READ OUT:

I would like to ask you a few questions about this establishment's financial results. All these responses will be anonymized, and neither you nor the firm will be identified. Should I continue with you or with the financial department or accounting?

IF YES, CONTINUE WITH SECTION N WITH CURRENT RESPONDENT

IF NO, CONCLUDE WITH THE RESPONDENT AND ENSURE THAT ALL SECTIONS (INLCUDING L AND N) ARE COMPLETE.

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N. PERFORMANCE

READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

Now, we would like to ask you a few questions about the financial results of this establishment. It is important that this information be as accurate as possible. All information you provide will be anonymized, and neither your name nor the name of your establishment will be used in any document based on this survey.

N.2 From this establishment's Income Statement for fiscal year [Insert last complete fiscal year], please provide the following information:

SHOW CARD 24

INTERVIEWER: READ OUT	[Insert LCUs]	DON'T KNOW (SPONTANEOUS)
Total annual cost of labor including wages, salaries, bonuses, social security payments	n2a	-9
Total annual cost of raw materials and intermediate goods used in production	n2e	-9
Total annual cost of electricity	n2b	-9

N.21 Looking back to this establishment's Income Statement for fiscal year [Insert last complete fiscal year minus one], please provide the following:

	[Insert LCUs]	DON'T KNOW (SPONTANEOUS)	DOES NOT APPLY
Total annual cost of labor including wages, salaries, bonuses, social security payments	n2a1	-9	-7
Total cost of raw materials and intermediate goods used in production in	n2e1	-9	-7

N.7 Hypothetically, if this establishment were to purchase all the machinery, vehicles, and equipment it **uses now**, in their current condition and regardless of whether the establishment owns them or not, how much would they cost?

	[Insert LCUs]	DON'T KNOW (SPONTANEOUS)
Machinery, vehicles, and equipment	n7a	-9

INTERVIEWER: IF SECTION L OR N WILL BE COMPLETED WITH A DIFFERENT RESPONDENT, PLEASE ARRANGE FOR THOSE TO BE COMPLETED.

OUESTIONNAIRE NUMBER	id
QUESTIONNAIRE NUMBER	10

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POST-INTERVIEW INFORMATION

INTERVIEWER READ AT THE END OF THE INTERVIEW:

The survey ends here. I would like to gather a few final details. Thank you for your time and cooperation.

A.12 Interviewer code	a12

A.15 Time face-to-face interview ends:

Day (dd)	Month (mm)	Year (yyyy)	Hour (00 to 23)	Minutes (00 to 59)
a15d	a15m	a15y	a15h	a15min

A.15a1 Please indicate which option best describes the main respondent's position:

Owner	1
President, Executive Director, or CEO	2
Chief Financial, Chief Operational Officer	3
(CFO/COO)	
Operational or plant manager	4
Sales or marketing manager	5
Accountant	6
Lawyer	7
Other Administrative	8
Other Management	9
Other (Specify)a15a1ax	10

a15a1

A.15a Please complete the following information about the interviewee(s)

	Position in the establishment	Years with the establishment	Gender	Email
Main respondent		a15a2a	a15a3	Email1x
Second respondent	a15a1bx	a15a2b	a15b3	Email2x
Third respondent	a15a1cx	a15a2c	a15c3	Email3x

ENTER 1 WHEN YEARS WITH THE ESTABLISHMENT IS LESS THAN ONE. FOR GENDER 1: MALE, 2: FEMALE, -8: REFUSAL

INTERVIEWER: THE SURVEY ENDS HERE.
PLEASE FILL OUT THER REMAINING INFORMATION ON YOUR OWN

QUESTI	ONNAIRE NUMBER id	0				
A.16 It is	my perception that the responses to the	ne questions r	egarding op	inions an	nd percept	ions were:
	Truthful Somewhat truthful Not truthful		1 2 3	a16]	
A.17 The	responses to the questions regarding	figures (produ	uctivity and	employn	nent numl	pers) were:
	Taken directly from establishment rec Estimates computed with some precis Arbitrary and unreliable numbers In some case taken from books and in	sion	stimates	1 2 3 4	a17	
<u>NTERVIEWER</u>	COMMENTS:					917v

a17x	

(Problems occurred/extraordinary circumstances which could influence results)

A.18	This questionnaire was completed in:

One visit in face-to-face interview with one person	1	STOP HERE
One visit in face-to-face interview with different managers/staff	2	
Several visits	3	
		a18

A.19 If option 2 or 3 in **A.18**, estimate duration of the whole interview

Hour	Minutes
a10h	910m

QUESTIONNAIRE NUMBER	i
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0					

SUPERVISOR SECTION

A.13 Supervisor code	a13

INFORMATION BASED ON LOCATION OF INTERVIEW (a3x). ENSURE A3X IS THE NAME OF AN OFFICIAL LOCALITY AND NOT A NEIGHBORHOOD

Is this city the official capital city?	a3b	Is this city the main business city?	a3c	Size of locality	a3
Yes	1	Yes	1	City with population over 1 million	2
No	2	No	2	Over 250.000 to 1 million	3
				50.000 to 250.000	4
				Less than 50.000	5

SUPERVISOR: THE DESCRIPTION OF THE ESTABLISHMENT'S MAIN PRODUCT AND ACTIVITY IS PROVIDED IN d1a1x. PLEASE REVIEW d1a1x IN ORDER TO IDENTIFY THE CORRESPONDING SECTOR CODE IN d1a2

D.1a2	PLEASE CHOOSE THE 4-DIGIT ISIC REV. 3.1 SECTOR CODE THAT BEST APPLIES
	TO THE ESTABLISHMENT'S MAIN ACTIVITY AND PRODUCT.

	Code
CODE OF THE MAIN PRODUCT AND ACTIVITY	d1a2