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## THE WORLD BANK ENTERPRISE SURVEY

[Insert Country] Services Module (2021)

FIELDWORK SUPERVISORS AND MANAGEMENT ARE RESPONSIBLE FOR THE ACCURACY OF THIS SECTION.

## **INFORMATION ACQUIRED PRIOR TO SCREENING:**

Sampling Information [CODES FROM WBG PROGE	RESS REPORT]
Sampling sector	a4a
Sampling size	a6a
Sampling location	a2
Frame level	a1c

## A. COVER [TO BE COMPLETED FROM SCREENER BEFORE INTERVIEW]

Screener Information	
Screener sector	a4b
Module	<b>a0</b>
Screener region	a3a
Name of City/town/village	a3x
Screener size	a6b
Multi-establishment firm	a7
Panel	panel
Language	a1a

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#### **CAPI QUESTIONS BEGIN HERE**

#### A. CONTROL INFORMATION [TO BE COMPLETED AT THE TIME OF THE INTERVIEW]

#### INFORMATION AUTOMATICALLY GENERATED

GPS COORDINATES	Degrees North (Latitude)	lat
	Degrees East (Longitude)	lon

#### A.14 Time face-to-face interview begins:

Day (dd)	Month (mm)	Year (yyyy)	Hour (00 to 23)	<b>Minutes (00 to 59)</b>
a14d	a14m	a14y	a14h	a14min

#### **INTERVIEW BEGINS HERE**

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING.

The goal of this survey is to gather information and opinions about the business environment in [Insert Country]. The information gathered here will help to develop new policies and programs that enhance employment and economic growth. The information and opinions you provide will be anonymized. Neither your name nor the name of your business will be used in any document based on this survey.

#### A. CONTROL INFORMATION

A.20	For this establishment, on which calendar date did the last completed fiscal year end?

Year	Month	Day
a20y	a20m	a20d

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#### **B. GENERAL INFORMATION**

### **READ OUT THE FOLLOWING INTRODUCTORY SENTENCE ONLY IF A7 = 1 (yes):**

The first few questions apply to the firm which this establishment is part of.

**B.1** What is this firm's current legal status?

SHOW CARD 1 [NOTE FOR IMPLEMENTATION: THE OPTIONS USED IN EACH COUNTRY SHOULD REFLECT LOCAL CONDITIONS AND BE RECODED INTO THE CATEGORIES BELOW]

Shareholding company with shares traded in the stock market	1
Shareholding company with non-traded shares or shares	2
traded privately	
Sole proprietorship	3
Partnership	4
Limited partnership	5
OTHER (SPONTANEOUS-SPECIFY)b1x	6
DON'T KNOW (SPONTANEOUS)	-9

GO TO B.3a

**b1** 

INTERVIEWER: PLEASE NOTE WHEN **b1** IS 3 (SOLE PROPRIETORSHIP), ENTER 100% FOR QUESTION **b3**.

**B.3** What percentage of this firm does the largest owner or owners own?

	Percent
Percentage held by largest owner or owners	<b>b3</b> %
DON'T KNOW (SPONTANEOUS)	-9

**B.3a** Is the largest owner also the top manager?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

b3a

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B.2 What percentage of this firm is owned by each of the following? SHOW CARD 2

	Percent	DON'T KNOW (SPONTANEOUS)
Private domestic individuals, companies or organizations	<b>b2a</b> %	-9
Private foreign individuals, companies or organizations	<b>b2b</b> %	-9
Government or State	<b>b2c</b> %	-9
Other	<b>b2d</b> %	-9
	100%	

IF 100% END INTERVIEW

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% UNLESS RESPONDENT DOES NOT KNOW

**B.4** Amongst the owners of the firm, are there any females?

Yes	1	
No	2	<b>GO TO B.5</b>
DON'T KNOW (SPONTANEOUS)	-9	GO TO B.5

**b4** 

INTERVIEWER: PLEASE NOTE WHEN **b1** IS 3 (SOLE PROPRIETORSHIP) and **b4** is 1 (FIRM HAS A FEMALE OWNER), ENTER 100% FOR QUESTION **b4a**.

**B.4a** What percentage is owned by females?

	Percent
Percentage of female ownership	b4a%
DON'T KNOW (SPONTANEOUS)	-9

#### **READ ONLY IF A7=1 (yes)**

I want to proceed by asking you about this establishment only.

**B.5** In what year did this establishment begin operations?

	Year
Year establishment began operations	<b>b</b> 5
DON'T KNOW (SPONTANEOUS)	-9

INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR

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B.6 How many full-time workers did this establishment have when it started operations? Please include all workers and managers.
 INTERVIEWER: INCLUDE RESPONDENT WHEN APPLICABLE

	Number
Full-time workers at start-up	<b>b6</b>
DON'T KNOW (SPONTANEOUS)	-9

**B.6b** In what year was this establishment formally registered?

	Year
Year establishment formally registered	<b>b6b</b>
DON'T KNOW (SPONTANEOUS)	-9
NEVER REGISTERED (SPONTANEOUS)	<b>-7</b>

**TERMINATE** 

**INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR** 

**B.7** How many years of experience working in this sector does the Top Manager have?

	Years
Manager's experience in sector	<b>b</b> 7
ONE YEAR OR LESS	1
DON'T KNOW (SPONTANEOUS)	-9

#### **B.7a** Is the Top Manager female?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	<b>-9</b>

b7a

B.8 Does this establishment have an internationally recognized quality certification?

INTERVIEWER: SOME EXAMPLES ARE ISO 9000 or 14000, or HACCP

Yes	1		
No	2	GO TO	<i>C.3</i>
STILL IN PROCESS	-6		
DON'T KNOW (SPONTANEOUS)	-9	GO TO	<i>C.3</i>
		<b>b8</b>	

B.8x Please specify the internationally recognized quality certifications.

Specify certifications	Specify certifications	b8x
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#### C. INFRASTRUCTURE AND SERVICES

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING.

Now, we turn to the establishment's operations

C.3 Over the last two years, did this establishment apply to obtain an electrical connection?

Yes	1	
No	2	<b>GO TO C.6</b>
DON'T KNOW (SPONTANEOUS)	<b>-9</b>	<b>GO TO C.6</b>
		c3

**C.4** In reference to that application, approximately how many days did it take to obtain it from the day of the application to the day the service was received?

	Days
Wait for electrical connection	c4
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	<b>-9</b>

C.5 In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

**c5** 

C.6 Over fiscal year [Insert last complete fiscal year], did this establishment experience power outages (outages that do not affect production are not included)?

Yes	1		
No	2	GO TO	<i>C.10</i>
DON'T KNOW (SPONTANEOUS)	-9	GO TO	<i>C.10</i>
	•	<b>c6</b>	

C.7 In a typical month, how many power outages did this establishment experience?

	Number	
Number of power outages in a typical month	c7	<i>IF 0, GO TO C.9</i>
DON'T KNOW (SPONTANEOUS)	-9	GO TO C.9

QUESTIONNAIRE NUMBER	id
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OR

**C.8** How long did these power outages last on average?

	Hours	Minutes
Average duration of power outages	c8a	c8b
LESS THAN ONE MINUTE		1
DON'T KNOW (SPONTANEOUS)	-9	-9

C.9 Please estimate the losses that resulted from power outages either as a percentage of total annual sales or as total annual losses.

	Percent
Annual losses due to power outages	c9a %

[Insert DON'T KNOW (SPONTA NEOUS)

C.10 Over the course of fiscal year [Insert last complete fiscal year], did this establishment own or share a generator?

Yes	1	
No	2	GO TO C.12
DON'T KNOW (SPONTANEOUS)	-9	GO TO C.12
		c10

C.11 What percentage of this establishment's electricity came from those generators?

	Percent
Percentage electricity from generators	c11 %
DON'T KNOW (SPONTANEOUS)	-9

C.12 Over the last two years, did this establishment apply to obtain a water connection?

Yes	1	
No	2	GO TO C.22b
DON'T KNOW (SPONTANEOUS)	<b>-9</b>	<b>GO TO C.22b</b>
	•	c12

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C.13 In reference to that application, approximately how many days did it take to obtain it from the day of the application to the day the service was received?

	Days
Wait for water connection	c13
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

**C.14** In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANENOUS)	-8

c14

**C.22b** At the present time, does this establishment have its own website or social media page?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

c22b

Using the response options on the card; To what degree is **Electricity** an obstacle to the current operations of this establishment?

SHOW CARD 3

							(SPONTA	NEOUS)
		No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Electricity	c30a	0	1	2	3	4	<b>-9</b>	<b>-7</b>

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#### D. SALES AND SUPPLIES

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

The next topic to be covered is how and where this establishment makes its sales.

#### D.1a1

In fiscal year [Insert last complete fiscal year], what was this establishment's main activity, product or service, that is, the activity, product or service that represented the largest proportion of annual sales

INTERVIEWER: PLEASE RECORD THE DESCRIPTION OF THE ACTIVITY AND PRODUCT IN DETAIL, FOR EXAMPLE, "RETAIL SALE OF WOMEN'S OUTDOOR CLOTHING" NOT JUST "CLOTHING". IF MANY GOODS ARE SOLD, SUCH AS IN A GROCERY STORE OR PHARMACY, INDICATE THE TYPE OF STORE.

Manufacturing of<br/>Retail trade of<br/>Wholesale trade of<br/>Hotel or restaurant1<br/>2<br/>4<br/>4<br/>Frovide services ofDETAILED DESCRIPTION OF MAIN ACTIVITY AND PRODUCTManufacturing of<br/>PRODUCT1<br/>4<br/>4<br/>4<br/>10<br/>11<br/>12<br/>13<br/>14<br/>14<br/>15<br/>16<br/>16<br/>17<br/>17<br/>18<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>19<br/>1

INTERVIEWER: IF D1A1A IS MANUFACTURING SELECT (1), REVIEW TO ENSURE CORRECT MODULE IS BEING ASKED

#### ASK IF HOTEL

**D.1a6a** What was the total number of rooms at the end of [Insert last complete fiscal year]?

	Quantity
Total number of rooms at the end of FY [insert	d1a6a
last complete fiscal year]	
DON'T KNOW (SPONTANEOUS)	-9

#### ASK D.1a6b ONLY IF HOTEL

**D.1a6b** What was the total area of all the rooms at the end of [Insert last complete fiscal year]?

Area	d1a6b
DON'T KNOW (SPONTANEOUS)	-9

#### **SPECIFY UNITS**

Square Feet	1
Square Yards	2
Square Meters	3

d1a6c

OUESTIONNAIRE	NUMBER	id
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**D.1a3** What percentage of total sales does this main activity or product represent?

	Percent
Percentage of sales represented by main activity	d1a3
or product	
DON'T KNOW (SPONTANEOUS)	-9

# INTERVIEWER: PLEASE NOTE THAT THE NEXT QUESTION REFERS TO THE TOTAL SALES OF ALL PRODUCTS AND SERVICES

D.2 In fiscal year [Insert last complete fiscal year], what were this establishment's total annual sales for <u>all</u> products and services?

	[Insert LCUs]
"Total annual sales in fiscal year [ insert last	d2
complete fiscal year]"	
DON'T KNOW (SPONTANEOUS)	<u>-9</u>

# PLEASE ALSO WRITE OUT THE NUMBER (i.e. 50,000 AS FIFTY THOUSAND)

THOUSAND)	
	d2x

N.3 Looking back to fiscal year [Insert last complete fiscal year minus two], what were total annual sales for this establishment?

	[Insert LCUs]
Total annual sales in fiscal year [Insert last complete fiscal year minus two]	n3
ESTABLISHMENT WAS NOT IN BUSINESS IN FISCAL YEAR	-7
[Insert last complete fiscal year minus two]	-7
DON'T KNOW (SPONTANEOUS)	-9

D.3 Coming back to fiscal year [Insert last complete fiscal year], what percentage of this establishment's sales were:

SHOW CARD 4

	Percent	DON'T KNOW (SPONTANEOUS)
National sales	d3a %	-9
Indirect exports (sold domestically to third party that exports products)	d3b %	-9
Direct exports	d3c %	-9
·	1000/	

IF 100% GO TO D.10

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% UNLESS RESPONDENT DOES NOT KNOW

#### QUESTIONNAIRE NUMBER id

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					1

**D.8** In which year did this establishment first export directly or indirectly?

	Year
Began exporting directly or indirectly	d8
DON'T KNOW (SPONTANEOUS)	-9

INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR

D.10 In fiscal year [Insert last complete fiscal year], what percentage of the value of products this establishment shipped to supply domestic markets was lost while in transit because of each of the following:

INTERVIEWER: READ EACH CATEGORY

	Percent	NO LOSSES	DON'T KNOW (SPONTAN EOUS)	NO INTERNAL SHIPMENTS MADE (DOES NOT APPLY)
Theft?	d10 %	0	-9	<b>-7</b>
Breakage or spoilage?	d11 %	0	-9	-7

D.12 In fiscal year [Insert last complete fiscal year], what percentage of this establishment's material inputs, supplies, or finished goods and materials purchased to resell were:

SHOW CARD 5

	Percent	DON'T KNOW (SPONTANEOUS)
Of domestic origin	d12a %	-9
Of foreign origin	d12b %	-9
	100%	

IF 0 GO TO D.30

INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% UNLESS RESPONDENT DOES NOT KNOW

**D.13** Were any of those material inputs, supplies, or finished goods and materials purchased to resell imported directly?

Yes	1	]	
No	2	GO TO	<b>D30</b>
DON'T KNOW (SPONTANEOUS)	-9	GO TO	<b>D.30</b>
	_	d13	

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**D.14** When this establishment imported these material inputs, supplies, or finished goods and materials purchased to resell, how many days did it take on average from the time these goods arrived to their point of entry (e.g. port, airport) until the time these goods could be claimed from customs?

	Days
Average number of days to clear customs	d14
LESS THAN ONE DAY	1
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

**GO TO D.30** 

D.15a

In claiming these goods from customs was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANENOUS)	-8
DOES NOT APPLY (SPONTANEOUS)	-7

d15a

Using the response options on the card; To what degree are each of the following an obstacle to the current operations of this establishment?

**INTERVIEWER: READ OUT OPTIONS** 

**SHOW CARD 6** 

			(SPONTA	NEOUS)			
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Transport d30a	0	1	2	3	4	<b>-9</b>	<b>-7</b>
Customs and trade regulations <b>d30b</b>	0	1	2	3	4	-9	<b>-7</b>

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#### R. MANAGEMENT PRACTICES

#### PLEASE READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

And now I would like to ask you about management practices in this establishment over fiscal year [Insert last complete fiscal year].

R.1 Over fiscal year [Insert last complete fiscal year], what best describes what happened at this establishment when a problem in the provision of services arose?

SHOW CARD 7

INTERVIEWER: SELECT "DOES NOT APPLY" (-7) IF THERE WERE NO PROBLEMS IN THE PROVISION OF SERVICES IN THE LAST COMPLETE FISCAL YEAR

We fixed it but did not take further action	1
We fixed it and took action to make sure it did not happen again	2
We fixed it and took action to make sure that it did not happen	3
again, and had a continuous improvement process to anticipate	
problems like these in advance	
No action was taken	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	<b>-7</b>

r1

**R.2** Over fiscal year [Insert last complete fiscal year], did this establishment monitor any performance indicators?

Yes	1	
No	2	GO TO R.4
DON'T KNOW (SPONTANEOUS)	-9	GO TO R.4
		r2

R.3 How many performance indicators were monitored?

INTERVIEWER: READ OUT

1-2 indicators	1
3-9 indicators	2
10 or more indicators	3
DON'T KNOW (SPONTANEOUS)	-9

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**R.4** Over fiscal year [Insert last complete fiscal year], did this establishment have service provision targets? Examples of service provision targets are sales, customer satisfaction, efficiency, waste, or on-time delivery.

Yes	1	
No	2	<b>GO TO R.8</b>
DON'T KNOW (SPONTANEOUS)	-9	<b>GO TO R.8</b>
		r4

R.5 What best describes the time frame of those service provision targets?

SHOW CARD 8

Main focus was on short term, less than one year	1
Main focus was on long term, one year or more	2
Combination of short-term and long-term targets	3
DON'T KNOW (SPONTANEOUS)	-9

**r5** 

R.6 How easy or difficult was it for this establishment to achieve those service provision targets overall?SHOW CARD 9

Achieved without much effort1Achieved with some effort2Achieved with normal amount of effort3Achieved with more than normal effort4Only achieved with extraordinary effort5Targets were not achieved6DON'T KNOW (SPONTANEOUS)-9

r6

R.7 Who was aware of the service provision targets at this establishment? SHOW CARD 10

Only senior managers	1
Most managers and some workers	2
Most managers and most workers	3
All managers and most workers	4
DON'T KNOW (SPONTANEOUS)	-9

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**R.8** Over fiscal year [Insert last complete fiscal year], did this establishment have performance bonuses for managers?

Yes	1	
No	2	GO TO R.10
DON'T KNOW (SPONTANEOUS)	-9	GO TO R.10
		r8

R.9 What were those managers' performance bonuses mostly based on?
INTERVIEWER: READ OUT

# INTERVIEWER: IF THE ESTABLISHMENT IS NOT PART OF A MULTI-ESTABLISHMENT FIRM (A7 IS NO), THEN DO NOT USE OPTION 4

Their own performance	1
Their team's performance	2
The establishment's performance	3
The firm's performance	4
DON'T KNOW (SPONTANEOUS)	<b>-9</b>

**r9** 

R.10 Over fiscal year [Insert last complete fiscal year], what was the primary way non-managers were promoted at this establishment?

SHOW CARD 11

# INTERVIEWER: SELECT "DOES NOT APPLY" (-7) IF THERE WERE NO PROMOTIONS OF NON-MANAGERS IN THE LAST COMPLETE FISCAL YEAR

Based solely on performance and ability	1
Based partly on performance and ability, and partly on other factors (for	2
example, tenure or family connections)	
Based mainly on factors other than performance and ability (for example,	3
tenure or family connections)	
Non-managers are normally not promoted	4
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	<b>-7</b>

QUESTIONNAIRE NUMBER	id	0					

R.11	Over fiscal year [Insert last complete fiscal year], when was an under-performing non-
	manager reassigned or dismissed?
	SHOW CARD 12

# INTERVIEWER: SELECT "DOES NOT APPLY" (-7) IF THERE WERE NO UNDER-PERFORMING NON-MANAGERS IN THE LAST COMPLETE FISCAL YEAR

Within 6 months of identifying under-performance	1
After 6 months of identifying under-performance	2
Rarely or never	3
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

OUESTIONNAIRE NUMBER	id

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#### E. DEGREE OF COMPETITION

**E.11** Does this establishment compete against unregistered or informal establishments?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

e11

E.30 Using the response options on the card; To what degree are Practices of Competitors in the Informal Sector an obstacle to the current operations of this establishment?

SHOW CARD 13

					(SPONTANEOUS		
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Practices of competitors in the informal sector e30	0	1	2	3	4	-9	-7

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#### H. INNOVATION

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING

And now we switch to a different topic. In this section "new" means new to the establishment but not necessarily new to the market.

**H.1** During the last three years, has this establishment introduced new or improved products or services?

Yes	1	
No	2	<b>GO TO H.5</b>
DON'T KNOW (SPONTANEOUS)	-9	<b>GO TO H.5</b>
,		h1

**H.2** Were any of the new or improved products or services also new for the establishment's main market?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

**h2** 

**H.3** Please describe in detail the <u>main</u> new or improved product or service that this establishment introduced during the last three years.

The main new or improved product or service is the one that represented the largest proportion of this establishment's sales in value (not volume) during the last three years.

#### **Description**

DESCRIPTION SHOULD BE AS DETAILED AS POSSIBLE

h3x

**H.4** Please describe in detail how the <u>main</u> new or improved product or service is different than the most similar product or service, if any, previously produced by this establishment.

INTERVIEWER: IF THERE IS NOTHING SIMILAR ENTER "COMPLETELY NEW"

#### **Description**

DESCRIPTION SHOULD BE AS DETAILED AS POSSIBLE

h4x

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During the last three years, has this establishment introduced any new or improved process? These include:

 methods of manufacturing products or offering services;
 logistics, delivery, or distribution methods for inputs, products, or services;
 or supporting activities for processes?

Yes	1	1	
No	2	GO TO H.	.8
DON'T KNOW (SPONTANEOUS)	-9	GO TO H.	8
		h5	

**H.6** Please describe in detail the <u>main</u> new or improved process that this establishment introduced during the last three years. The main innovative process is the innovative process that had the largest impact on the operations of the establishment during the last three years.

#### **Description**

DESCRIPTION SHOULD BE AS DETAILED AS POSSIBLE

h6x

**H.7** Please describe in detail how the <u>main</u> new or improved process is different than the most similar process that is or was used by this establishment.

IF THERE IS NOTHING SIMILAR ENTER "COMPLETELY NEW"

#### **Description**

DESCRIPTION SHOULD BE AS DETAILED AS POSSIBLE

h7x

H.8 During fiscal year [Insert last complete fiscal year], did this establishment spend on research and development activities, either in-house or contracted with other companies, excluding market research surveys?

Yes	1		
No	2	GO TO G	.6
DON'T KNOW (SPONTANEOUS)	-9	GO TO G	.6
		h8	

**H.9** How much did this establishment spend on research and development activities that year, either inhouse or contracted with other companies?

	[Insert LCUs]
Cost of research and development activities	<b>h9</b>
DON'T KNOW (SPONTANEOUS)	-9

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#### G. LAND AND PERMITS

**G.6** Of the **buildings** occupied by this establishment, what percentage is owned by this establishment?

	Percent	DON'T KNOW (SPONTANEOUS)
Owned by this establishment	<b>g6a</b> %	<b>-9</b>

**G.1** Of the **land** occupied by this establishment, what percentage is owned by this establishment?

	Percent	DON'T KNOW (SPONTANEOUS)	DOES NOT APPLY IS A FLOOR IN A BUILDING(SPONT ANEOUS)
Owned by this establishment	<b>g1a</b> %	-9	-7

**G.2** Over the last two years, did this establishment apply to obtain a construction-related permit?

Yes	1	
No	2	<b>GO TO G.5</b>
DON'T KNOW (SPONTANEOUS)	<b>-9</b>	<b>GO TO G.5</b>
		<b>g2</b>

G.3 In reference to that application, approximately how many days did it take to obtain it from the day of the application to the day the permit was granted?

	Days
Wait for a construction-related permit	g3
LESS THAN ONE DAY	1
STILL IN PROCESS (SPONTANEOUS)	-6
APPLICATION DENIED (SPONTANEOUS)	-5
DON'T KNOW (SPONTANEOUS)	<b>-9</b>

**G.4** In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

g4

INTERVIEWER: ASK THE FOLLOWING QUESTION ONLY FOR RETAIL ESTABLISHMENTS. OTHERWISE GO TO  $G.30\,$ 

## QUESTIONNAIRE NUMBER id

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G.5 What is the total selling area of this establishment?

DOTT I II. (STOTTILLEOUS)		σ5a
DON'T KNOW (SPONTANEOUS)	_9	GO TO G30
Area		

#### **SPECIFY UNITS**

Square Feet	1
Square Yards	2
Square Meters	3
OTHER (SPECIFY) g5bx	4

g5b

Using the response options on the card; To what degree is **Access to Land** an obstacle to the current operations of this establishment?

SHOW CARD 13

						(SPONTA	NEOUS)
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Access to land g30a	0	1	2	3	4	<b>-9</b>	<del>-7</del>

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#### I. CRIME

## READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

We now turn to another topic.

I.1 In fiscal year [Insert last complete fiscal year], did this establishment pay for security, for example equipment, personnel, or professional security services including internet security?

Yes	1	
No	2	<b>GO TO 1.3</b>
DON'T KNOW (SPONTANEOUS)	<b>-9</b>	<b>GO TO 1.3</b>
	·	i1

**I.2** What percentage of this establishment's total annual sales was paid for security, or what was the total annual cost of security?

	As a percentage of sales
Annual cost of security	i2a %

OR

[Insert	<b>DON'T</b>
LCUs]	KNOW
	(SPONTA
	<b>NEOUS</b> )
i2b	-9

I.3 In fiscal year [Insert last complete fiscal year], did this establishment experience losses as a result of theft, robbery, vandalism, arson on this establishment's premises or from internet hacking or fraudulent internet transactions?

Yes	1	
No	2	GO TO 1.30
DON'T KNOW (SPONTANEOUS)	-9	GO TO 1.30
		i3

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**I.4** Please estimate those losses, either as a percentage of total annual sales or as total annual losses?

	As a percentage of sales
Annual losses	i4a %

OR

[Insert	<b>DON'T</b>
LCUs]	KNOW
	(SPONT
	<b>ANEOUS</b>
	)
i4b	-9

Using the response options on the card; To what degree is **Crime**, **Theft and Disorder** an obstacle to the current operations of this establishment?

SHOW CARD 13

						(SPONTA	NEOUS)
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Crime, theft and disorder i30	0	1	2	3	4	-9	<b>-7</b>

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#### K. FINANCE

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

I would like to ask you a few questions about how you finance the operations of this establishment.

K.3 Over fiscal year [Insert last complete fiscal year], please estimate the proportion of this establishment's working capital, that is the funds available for day-to-day operations, that were financed from each of the following sources?
SHOW CARD 14

	Percent	DON'T KNOW (SPONTANEOUS)
Internal funds or retained earnings	k3a %	-9
Borrowed from banks: private and state-owned	<b>k3bc</b> %	-9
Borrowed from non-bank financial institutions which include		-9
microfinance institutions, credit cooperatives, credit unions, or	<b>k3e</b> %	
finance companies		
Purchases on credit from suppliers and advances from customers	<b>k3f</b> %	-9
Other, moneylenders, friends, relatives, etc.	<b>k3hd</b> %	-9
	100%	

# INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% UNLESS RESPONDENT DOES NOT KNOW

**K.4** In fiscal year [Insert last complete fiscal year], did this establishment purchase any new or used fixed assets, such as machinery, vehicles, equipment, land or buildings, including expansion and renovations of existing structures?"

Yes	1	
No	2	<b>GO TO K.6</b>
DON'T KNOW (SPONTANEOUS)	-9	<b>GO TO K.6</b>
		k4

N.5 In fiscal year [Insert last complete fiscal year], how much did this establishment spend on purchases of:

INTERVIEWER: READ OUT

	[Insert LCUs]	DON'T KNOW (SPONTANEOUS)
New or used machinery, vehicles, and equipment?	n5a	-9
Land and buildings, including expansion and renovations of existing structures?	n5b	-9

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**OR** 

W.5 Over fiscal year [Insert last complete fiscal year], please estimate the proportion or [Insert LCU] amount of this establishment's total purchases of fixed assets that were financed from the following sources:
 SHOW CARD 15

	Percent
Internal funds or retained earnings	<b>k5a</b> %
Owners' contribution or issued new equity shares	<b>k5i</b> %
Borrowed from banks: private and state-owned	k5bc%
Borrowed from non-bank financial institutions, which include microfinance institutions, credit cooperatives, credit unions, or finance companies	k5e %
Purchases on credit from suppliers and advances from customers	k5f %
Other, moneylenders, friends, relatives, bonds, etc.	k5hdj%
	100%

Amount	DON'T
[Insert	KNOW
LCUs]	(SPONTA
	NEOUS)
<b>k5</b> a1	-9
<b>k5i</b> 1	-9
k5bc1	-9
<b>k5e</b> 1	-9
k5f1	-9
k5hdj1	-9
n5a+n5b	

# INTERVIEWER: CHECK THAT TOTAL SUMS TO 100% OR THAT TOTAL SUM EQUALS TOTAL PURCHASES IN N5 UNLESS RESPONDENT DOES NOT KNOW

# **READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:**Now let's talk about the establishment's present situation.

**K.6** At this time, does this establishment have a checking (current) or savings account?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

**k6** 

**K.7** Does this establishment have an overdraft facility?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

**k7** 

**K.8** Does this establishment have a line of credit or a loan from a financial institution?

Yes	1	
No	2	GO TO K.15d
DON'T KNOW (SPONTANEOUS)	-9	GO TO K.15d
		k8

#### QUESTIONNAIRE NUMBER id

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**K.9** Referring to the most recent line of credit or loan, what type of financial institution granted this loan? **SHOW CARD 16** 

Private commercial banks		
State-owned banks or government agency	2	
Non-bank financial institutions		
Other		
DON'T KNOW (SPONTANEOUS)	-9	

**k9** 

**K.10** In what year was this most recent line of credit or loan approved?

	Year
Year most recent line of credit or loan	k10
approved	
DON'T KNOW (SPONTANEOUS)	-9

INTERVIEWER: PROVIDE FOUR DIGITS FOR YEAR

## **K.11** What was its value at the time of approval?

	[Insert LCUs]
Size of most recent line of credit or loan	k11
approved	
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

## **K.13** Did this financing require collateral?

Yes	1	
No	2	GO TO K.15b
DON'T KNOW (SPONTANEOUS)	<b>-9</b>	<b>GO TO K.15b</b>
		k13

**K.14** What type of collateral was required? **INTERVIEWER: READ OUT** 

Collateral	Yes	No	DON'T KNOW	
				(SPONTANEOUS)
Land, buildings under ownership of the establishment	k14a	1	2	-9
Machinery and equipment including movables	k14b	1	2	-9
Accounts receivable and inventories	k14c	1	2	-9
Personal assets of owner (house, etc.)	k14d	1	2	-9
Other forms of collateral not included in the categories above	k14e	1	2	-9

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**K.15a** What was the approximate value of the collateral required?

	[Insert LCUs]
Value of collateral	k15a
DON'T KNOW (SPONTANEOUS)	-9

**K.15b** What is the total number of open lines of credit and outstanding loans held by this establishment?

	Number
Total number of open lines of credit and	k15b
outstanding loans	
DON'T KNOW (SPONTANEOUS)	<b>-9</b>

GO TO K.15d

**K.15c** What is the total outstanding balance of all open lines of credit and loans held by this establishment?

	[Insert LCUs]
Total outstanding balance of all open lines	k15c
of credit and loans	
REFUSAL (SPONTANEOUS)	-8
DON'T KNOW (SPONTANEOUS)	-9

**K.15d** At this time, does the owner or owners of this establishment have any outstanding personal loans that are used to finance this establishment's business activities?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

k15d

**K.16** Referring again to fiscal year [Insert last complete fiscal year], did this establishment apply for any lines of credit or loans?

Yes	1	GO TO K.20
No	2	
DON'T KNOW (SPONTANEOUS)	-9	<b>GO TO K.2</b>
		k16

What was the main reason why this establishment did not apply for any line of credit or loan?SHOW CARD 17

#### QUESTIONNAIRE NUMBER id

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No need for a loan - establishment had enough capital	1	GO TO K.21
Application procedures were complex	GO TO K.21	
Interest rates were not favorable	3	GO TO K.21
Collateral requirements were too high	4	GO TO K.21
Size of loan and maturity were insufficient	5	GO TO K.21
Did not think it would be approved	6	GO TO K.21
Other	7	GO TO K.21
DON'T KNOW (SPONTANEOUS)	<b>-9</b>	GO TO K.21
		k17

Referring only to this most recent application for a line of credit or loan, what was the outcome of that application?
 INTERVIEWER: READ OUT

Application was approved in full	1
Application was approved in part	2
Application was rejected	3
Application was withdrawn	4
APPLICATION STILL IN PROCESS	-6
DON'T KNOW (SPONTANEOUS)	-9

k20a1

**K.21** In fiscal year [Insert last complete fiscal year], did this establishment have its annual financial statements checked and certified by an external auditor?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9

k21

Wing the response options on the card; To what degree is Access to Finance an obstacle to the current operations of this establishment?
 SHOW CARD 18

							NEOUS)
	No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Access to finance k30	0	1	2	3	4	<b>-9</b>	<b>-7</b>

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#### J. BUSINESS-GOVERNMENT RELATIONS

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

The following questions assess how establishments, such as this one, deal with government officials and their agencies.

J.1 Please tell me if you Strongly disagree, tend to disagree, tend to agree, or Strongly agree with the statement: "The court system is fair, impartial and uncorrupted".

SHOW CARD 19

						(SPONTANEOUS)	
		Strongly disagree	Tend to disagree	Tend to agree	Strongly agree	DON'T KNOW	DOES NOT APPLY
"The court system is fair, impartial and uncorrupted."	h7a	1	2	3	4	-9	<del>-7</del>

J.2 In a typical week over the last year, what percentage of total senior management's time was spent on dealing with requirements imposed by government regulations?

(By senior management I mean managers, directors, and officers above direct supervisors of sales workers.)

	Percent
Senior management's time spent on dealing with regulations	<b>j2</b> %
NO TIME WAS SPENT	0
DON'T KNOW (SPONTANEOUS)	-9

J.3 Over the last year, was this establishment visited or inspected by tax officials or required to meet with them?

Yes	1		
No	2	GO TO	) J.6a
DON'T KNOW (SPONTANEOUS)	<b>-9</b>	GO TO	) J.6a
		j3	

**J.4** How many times over the last year?

	Number
Times inspected or met with tax officials	j4
DON'T KNOW (SPONTANEOUS)	-9

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**J.5** In any of these inspections or meetings was a gift or informal payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j5

**J.6a** Over the last year, has this establishment secured or attempted to secure a government contract?

Yes	1	1
No	2	<b>GO TO J.7</b>
DON'T KNOW (SPONTANEOUS)	-9	<b>GO TO J.7</b>
		j6a

When establishments like this one do business with the government, what percent of the contract value would be typically paid in informal payments or gifts to secure the contract?

	Percent
Percent of the contract value paid as informal payments or gifts	<b>j6</b> %
NO PAYMENTS	0
DON'T KNOW (SPONTANEOUS)	<b>-9</b>
REFUSAL (SPONTANEOUS)	-8

It is said that establishments are sometimes required to make gifts or informal payments to public officials to "get things done" regarding customs, taxes, licenses, regulations, services etc. On average, what percentage of total annual sales, or estimated total annual value, do establishments like this one pay in informal payments or gifts to public officials for this purpose?

	As a percentage of sales
Informal payments or gifts	j7a %

[Insert NO DON'T REFUSAL KNOW (SPONTA NEOUS)

J7b 0 -9 -8

**J.10** Over the last two years, did this establishment apply to obtain an import license?

OR

Yes	1	1
No	2	GO TO J.13
DON'T KNOW (SPONTANEOUS)	-9	GO TO J.13
		j10

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**J.11** Approximately how many days did it take to obtain it from the day of the application to the day it was granted?

	Days
Wait for import license	j11
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

**J.12** In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j12

**J.13** Over the last two years, did this establishment apply to obtain an operating license?

Yes	1	
No	2	GO TO J.30
DON'T KNOW (SPONTANEOUS)	-9	<b>GO TO J.30</b>
	_	j13

**J.14** Approximately how many days did it take to obtain it from the day of the application to the day it was granted?

	Days
Wait for operating license	j14
LESS THAN ONE DAY	1
STILL IN PROCESS	-6
APPLICATION DENIED	-5
DON'T KNOW (SPONTANEOUS)	-9

J.15 In reference to that application, was an informal gift or payment expected or requested?

Yes	1
No	2
DON'T KNOW (SPONTANEOUS)	-9
REFUSAL (SPONTANEOUS)	-8

j15

#### QUESTIONNAIRE NUMBER id

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J.30	Using the response options on the card; To what degree are each of the following an obstacle to the
	current operations of this establishment?
	INTERVIEWER: READ OUT OPTIONS
	SHOW CARD 20

							(SPONT	ANEOUS)
	POSITION	No obstacl e	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Tax rates j30a	j30_taxrate_po s	0	1	2	3	4	-9	-7
Tax administration j30b	j30_taxadmin_ pos	0	1	2	3	4	-9	-7
Business licensing and permits j30c	j30_permit_pos	0	1	2	3	4	-9	-7
Political instability j30e	j30_instability_ pos	0	1	2	3	4	-9	-7
Corruption j30f	j30_corruption _pos	0	1	2	3	4	-9	-7
Courts h30	j30_courts_pos	0	1	2	3	4	-9	-7

#### NOTE: ROTATION POSITIONS VARY AS THE LIST OF OPTIONS IS RANDOMIZED

#### **INTERVIEWER READ OUT:**

I would like to ask you a few questions about this establishment's labor force. Should I continue with you or with the Human Resources department?

IF YES, CONTINUE WITH SECTION L WITH CURRENT RESPONDENT

IF NO, CONTINUE WITH SECTION M WITH CURRENT RESPONDENT AND ASK L SECTION TO A HUMAN RESOURCES REPRESENTATIVE

0					

#### L. LABOR

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

Now I would like to ask you a few questions about this establishment's labor force.

At the end of fiscal year [Insert last complete fiscal year], how many permanent, full-time individuals worked in this establishment? Please include all workers and managers.

Permanent, full-time workers are defined as all workers that work for a term of one or more fiscal years and/or have a guaranteed renewal of their employment and that work a full shift.

INTERVIEWER: INCLUDE INTERVIEWEE IF APPLICABLE

	Number
Permanent, full-time workers at the end of last fiscal year	11
DON'T KNOW (SPONTANEOUS)	-9

L.2 Looking back, at the end of fiscal year [Insert last complete fiscal year minus two], how many permanent, full-time individuals worked in this establishment? Please include all workers and managers.

INTERVIEWER: INCLUDE INTERVIEWEE IF APPLICABLE

	Number
Permanent, full-time workers in [Insert last complete	12
fiscal year minus two]	
IF ESTABLISHMENT WAS NOT IN BUSINESS IN	-7
[Insert last complete fiscal year minus two]	
DON'T KNOW (SPONTANEOUS)	-9

L.4 At the end of fiscal year [Insert last complete fiscal year], how many permanent, full-time workers in this establishment were:

SHOW CARD 22

	Number	DON'T KNOW
		(SPONTANEOUS)
In highly skilled jobs, that is <b>professionals</b> or technicians whose	l4a1	<b>-9</b>
tasks require extensive theoretical and technical knowledge		
In <b>semi-skilled</b> jobs, that is workers whose tasks	14a2	<b>-9</b>
require some level of mechanical or technical knowledge		
In <u>low-skilled</u> jobs, those whose tasks involve	14b	<b>-9</b>
no specialized knowledge		

L.5 At the end of fiscal year [Insert last complete fiscal year], in this establishment how many permanent, full-time workers were female?

	Number	DON'T KNOW (SPONTANEOUS)
Female permanent full-time workers	15	<b>-9</b>

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How many full-time seasonal or temporary workers did this establishment employ during [Insert last complete fiscal year]?

Full-time, seasonal or temporary workers are all short-term, that is for less than a year, workers with no guarantee of renewal of employment and work full-time

	Number
Full-time seasonal or temporary workers employed last	16
fiscal year	
NO FULL-TIME SEASONAL OR TEMPORARY	0
WORKERS	
DON'T KNOW (SPONTANEOUS)	-9

GO TO L.9b

GO TO L.9

**L.6a** How many were female?

	Number
Full-time female seasonal or temporary workers employed last fiscal year	l6a
DON'T KNOW (SPONTANEOUS)	<b>-9</b>

L.8 What was the average length of employment of all full-time temporary workers in fiscal year [Insert last complete fiscal year]?

	Months
Average length full-time seasonal or temporary employment	18
last fiscal year, in months	
LESS THAN ONE MONTH	1
DON'T KNOW (SPONTANEOUS)	-9

L.9b What percentage or how many of the full-time permanent workers employed at the end of fiscal year [Insert last complete fiscal year] completed secondary school?

Please provide the percentage or number, not both.

	Percent
Percentage or number of full-time permanent	<b>19b</b> %
workers who completed secondary school	
DON'T KNOW (SPONTANEOUS)	-9

OR

Number	
l9b1	
-9	

#### QUESTIONNAIRE NUMBER id

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L.10 Over fiscal year [Insert last complete fiscal year], did this establishment have formal training programs for its permanent, full-time workers?

Yes	1		
No	2	GO TO	<i>l30a</i>
DON'T KNOW (SPONTANEOUS)	-9	GO TO	<i>130a</i>
		110	

**L.12** Referring to the training programs run over fiscal year [Insert last complete fiscal year], what percentage of female, permanent, full-time workers received formal training? If easier please provide the total numbers (provide one or the other but not both).

	Percent
Female full-time permanent workers	l12a %
trained	

OR	Number	IF NO FEMALE WORKERS WERE TRAINED	DON'T KNOW (SPONTANE OUS)
	l12a1	0	-9

L.30 Using the response options on the card; To what degree are each of the following an obstacle to the current operations of this establishment?

INTERVIEWER: READ OUT OPTIONS
SHOW CARD 20

							(SPONT	ANEOUS)
		No obstacle	Minor obstacle	Moderate obstacle	Major obstacle	Very Severe Obstacle	DON'T KNOW	DOES NOT APPLY
Labor regulations	130a	0	1	2	3	4	-9	-7
Inadequately educated workfor 130b	orce	0	1	2	3	4	-9	-7

<b>QUESTIONNAIRE NUMBER</b>	id
QUEDITOTIC TITLE	

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#### M. BUSINESS ENVIRONMENT

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

M.1	By looking at the list of elements of the business environment please tell me which one, if any,				
	currently represents the biggest obstacle faced by this establishment.				
	INTERVIEWER: SHOW RANDOMIZED LIST TO THE RESPONDENT. DO NOT READ OPTIONS.				
	READ OF HONS.				

	POSITION
1-Access to finance	m1a_finance_pos
2-Access to land	m1a_land_pos
3-Business licensing and permits	m1a_permit_pos
4-Corruption	m1a_corruption_pos
5-Courts	m1a_courts_pos
6-Crime, theft and disorder	m1a_crime_pos
7-Customs and trade regulations	m1a_trade_pos
8-Electricity	m1a_electricity_pos
9-Inadequately educated workforce	m1a_workforce_pos
10-Labor regulations	m1a_labor_pos
11-Political instability	m1a_instability_pos
12-Practices of competitors in the informal sector	m1a_informal_pos
13-Tax administration	m1a_taxadmin_pos
14-Tax rates	m1a_taxrate_pos
15-Transport	m1a_transport_pos

Biggest obstacle	m1a
DON'T KNOW (SPONTANEOUS)	-9
DOES NOT APPLY (SPONTANEOUS)	-7

NOTE: ROTATION POSITIONS VARY AS THE LIST OF OPTIONS IS RANDOMIZED

#### **INTERVIEWER READ OUT:**

I would like to ask you a few questions about this establishment's financial results. All these responses will be anonymized, and neither you nor the firm will be identified. Should I continue with you or with the financial department or accounting?

IF YES, CONTINUE WITH SECTION N WITH CURRENT RESPONDENT

IF NO, CONCLUDE WITH THE RESPONDENT AND ENSURE THAT ALL SECTIONS (INLCUDING L AND N) ARE COMPLETE.

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#### N. PERFORMANCE

#### READ THE FOLLOWING TO THE RESPONDENT BEFORE PROCEEDING:

Now, we would like to ask you a few questions about the financial results of this establishment. It is important that this information be as accurate as possible. All information you provide will be anonymized, and neither your name nor the name of your establishment will be used in any document based on this survey.

N.2	From this establishment's Income Statement for fiscal year [Insert last complete fiscal year], please
	provide the following information:
	SHOW CARD 21

INTERVIEWER: READ OUT	[Insert LCUs]	DON'T KNOW (SPONTANEOUS)
Total annual cost of labor including wages, salaries, bonuses, social security payments	n2a	-9
Total annual cost of electricity	n2b	-9

#### INTERVIEWER: ONLY ASK THE FOLLOWING QUESTION TO RETAIL ESTABLISHMENTS:

Total annual cost of finished goods and materials purchased to resell	n2i	-9
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INTERVIEWER: IF SECTION L OR N WILL BE COMPLETED WITH A DIFFERENT RESPONDENT, PLEASE ARRANGE FOR THOSE TO BE COMPLETED.

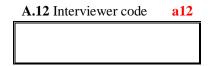
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## POST-INTERVIEW INFORMATION

#### INTERVIEWER READ AT THE END OF THE INTERVIEW:

The survey ends here. I would like to gather a few final details. Thank you for your time and cooperation.



#### A.15 Time face-to-face interview ends:

Day (dd)	Month (mm)	Year (yyyy)	Hour (00 to 23)	<b>Minutes (00 to 59)</b>
a15d	a15m	a15y	a15h	a15min

**A.15a1** Please indicate which option best describes the main respondent's position:

Owner	1
President, Executive Director, or CEO	2
Chief Financial, Chief Operational Officer	3
(CFO/COO)	
Operational or plant manager	4
Sales or marketing manager	5
Accountant	6
Lawyer	7
Other Administrative	8
Other Management	9
Other (Specify)a15a1ax	10

a15a1

#### A.15a Please complete the following information about the interviewee(s)

	Position in the establishment	Years with the establishment	Gender	Email
Main respondent		a15a2a	a15a3	Email1x
Second respondent	a15a1bx	a15a2b	a15b3	Email2x
Third respondent	a15a1cx	a15a2c	a15c3	Email3x

ENTER 1 WHEN YEARS WITH THE ESTABLISHMENT IS LESS THAN ONE. FOR GENDER 1: MALE, 2: FEMALE, -8: REFUSAL

INTERVIEWER: THE SURVEY ENDS HERE. PLEASE FILL OUT THER REMAINING INFORMATION ON YOUR OWN

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**A.16** It is my perception that the responses to the questions regarding opinions and perceptions were:

Truthful	1	
Somewhat truthful	2	
Not truthful	3	
		Г

a16

**A.17** The responses to the questions regarding figures (productivity and employment numbers) were:

Taken directly from establishment records	1
Estimates computed with some precision	2
Arbitrary and unreliable numbers	3
In some case taken from books and in some case estimates	4

a17

#### **INTERVIEWER COMMENTS:**

a17x

(Problems occurred/extraordinary circumstances which could influence results)

**A.18** This questionnaire was completed in:

One visit in face-to-face interview with one person	1	STOP HERE
One visit in face-to-face interview with different managers/staff	2	
Several visits	3	
	_	a18

**A.19** If option 2 or 3 in **A.18**, estimate duration of the whole interview

Hour	Minutes
a19h	a19m

QUESTIONNA	IRE NUMBER	id
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#### **SUPERVISOR SECTION**

A.13 Supervisor code	a13		

# INFORMATION BASED ON LOCATION OF INTERVIEW (a3x). ENSURE A3X IS THE NAME OF AN OFFICIAL LOCALITY AND NOT A NEIGHBORHOOD

Is this city the official capital city?	a3b	Is this city the main business city?	a3c	Size of locality	a3
Yes	1	Yes	1	City with population over 1 million	2
No	2	No	2	Over 250.000 to 1 million	3
				50.000 to 250.000	4
				Less than 50.000	5

SUPERVISOR: THE DESCRIPTION OF THE ESTABLISHMENT'S MAIN PRODUCT AND ACTIVITY IS PROVIDED IN d1a1x. PLEASE REVIEW d1a1x IN ORDER TO IDENTIFY THE CORRESPONDING SECTOR CODE IN d1a2

PLEASE CHOOSE THE 4-DIGIT ISIC REV. 3.1 SECTOR CODE THAT BEST APPLIES
TO THE ESTABLISHMENT'S MAIN ACTIVITY AND PRODUCT.

	Code
CODE OF THE MAIN PRODUCT AND ACTIVITY	d1a2