

# Additional Definitions and Examples of the Paper

## Symbolic State Estimation in Bounded Timed Labeled Petri Nets<sup>1</sup>

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In this note, we formally define multi-valued decision diagrams (MDDs) and matrix diagrams as well as the paths in them, and show some definitions with respect to the operations about MDDs and matrix diagrams that are critical for the paper entitled “Symbolic State Estimation in Bounded Timed Labeled Petri Nets”. Then, some examples about those operations for the timed labeled Petri net (TLPN) are presented.

### 1 Multi-valued decision diagrams and matrix diagrams

**Definition 1** A multi-valued decision diagram that is a rooted directed acyclic graph is defined as a six-tuple  $F = (Q, D, q_0, q_t, q_f, \delta_t)$ , where  $Q$  is a finite set of vertexes,  $D$  is a set of labels,  $q_0 \in Q$  is the root vertex,  $q_t \in Q$  is the terminal vertex valued with **True**,  $q_f \in Q$  is the terminal vertex valued with **False**, and  $\delta_t : Q_n \times D \rightarrow Q$  is the labeling function, where  $Q_n = Q \setminus \{q_t, q_f\}$  is said to be the set of non-terminal vertexes.  $\diamond$

**Definition 2** A matrix diagram that is a rooted directed acyclic graph is defined as a six-tuple  $H = (Q, \mathcal{D}, q_0, q_t, q_f, \delta_t)$ , where  $Q$  is a finite set of vertexes,  $\mathcal{D} = D \times D$  is a set of label pairs,  $q_0 \in Q$  is the root vertex,  $q_t \in Q$  is the terminal vertex valued with **True**,  $q_f \in Q$  is the terminal vertex valued with **False**, and  $\delta_t : Q_n \times \mathcal{D} \rightarrow Q$  is the labeling function with  $Q_n = Q \setminus \{q_t, q_f\}$ .  $\diamond$

By Definitions 1 and 2, an MDD and a matrix diagram can be graphically represented in a hierarchical way: The root vertex  $q_0$  is in the top and the terminal vertexes  $q_t$  and  $q_f$  are in the bottom. Let the terminal vertexes  $q_t$  and  $q_f$  be in level 0. The set  $Q_1 = \cup_{q \in \{q_t\}} \bullet q$  defines the vertexes in level 1 and the set  $Q_2 = \cup_{q \in Q_1} \bullet q$  defines the vertexes in level 2 (note that the notation  $\bullet q$  in an MDD (resp., a matrix diagram) represents the preset of  $q$ , i.e.,  $\{q' \in Q_n \mid (\exists d \in D) \delta_t(q', d) = q\}$  (resp.,  $\{q' \in Q_n \mid (\exists (d, d') \in \mathcal{D}) \delta_t(q', (d, d')) = q\}$ ). Without loss of generality, let an MDD have  $r + 1$  levels where  $Q_i$  denotes the set of vertexes in level  $i$ . Then we have  $Q_0 = \{q_t, q_f\}$ ,  $Q_1 = \cup_{q \in \{q_t\}} \bullet q$ ,  $Q_r = \{q_0\}$ , and  $Q_i = \cup_{q \in Q_{i-1}} \bullet q$ , where  $i = 2, 3, \dots, r$ .

**Definition 3** A path  $\xi$  (resp.,  $\eta$ ) in an MDD (resp., a matrix diagram) is a sequence of vertexes and labels (resp., label pairs) starting and ending with vertexes, defined as  $\xi = q_{jr} d_{jr} \cdots q_{j1} d_{j1} q_{j0}$  (resp.,  $\eta = q_{jr} (d_{jr}^u, d_{jr}^v) \cdots q_{j1} (d_{j1}^u, d_{j1}^v) q_{j0}$ ). If  $q_{jr} = q_0$  and  $q_{j0} = q_t$ ,  $\xi$  (resp.,  $\eta$ ) is said to be a top-bottom path ending with the true terminal vertex, denoted by  $\xi_t$  (resp.,  $\eta_t$ ). If  $q_{jr} = q_0$  and  $q_{j0} = q_f$ , we say that  $\xi$  (resp.,  $\eta$ ) is a top-bottom path ending with the false terminal vertex, denoted by  $\xi_f$  (resp.,  $\eta_f$ ). The label sequence of a top-bottom path ending with the true terminal vertex is a string consisting of the labels in the path. Formally, given a top-bottom path  $\xi_t = q_{jr} d_{jr} \cdots q_{j1} d_{j1} q_{j0}$  (resp.,  $\eta_t = q_{jr} (d_{jr}^u, d_{jr}^v) \cdots q_{j1} (d_{j1}^u, d_{j1}^v) q_{j0}$ ), where  $q_{jr} = q_0$  and  $q_{j0} = q_t$ , its

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label sequence is  $\varrho = d_{jr} \cdots d_{j1}$  (resp.,  $\kappa = (d_{jr}^u, d_{jr}^v) \cdots (d_{j1}^u, d_{j1}^v)$ ). The set of the label sequences of all top-bottom paths ending with the true terminal vertex in an MDD  $F$  (resp., a matrix diagram  $H$ ) is denoted by  $\mathcal{K}(F)$  (resp.,  $\mathcal{K}(H)$ ).  $\diamond$

## 2 Operations about MDDs and matrix diagrams

Before the definitions of union of two MDDs (Definition 4), union of two matrix diagrams (Definition 5), and relational product of an MDD and a matrix diagrams (Definition 6), we introduce some notations pertaining to labeling function  $\delta_t$ . Let  $F = (Q, D, q_0, q_t, q_f, \delta_t)$  be an MDD. Given a non-terminal vertex  $q_i \in Q_i$  ( $i = 1, 2, \dots, r$ ) in an MDD and a label  $d \in D$ ,  $q' = \delta_t(q_i, d) \in Q$  is said to be the child vertex of  $q_i$  with respect to  $d$ , denoted as  $q_i[d]$ , i.e.,  $q' = q_i[d]$ . If  $\delta_t(q_i, d) = q_{i-1}$  ( $i = 1$  implies  $q_{i-1} = q_t$ ), the vertex  $q_i$  is said to be extensible with respect to a true child vertex  $q_{i-1}$ , denoted as  $q_i[d]_t$ ; otherwise,  $\delta_t(q_i, d) = q_f$ , and  $q_i$  is said to be extensible with respect to the false child vertex  $q_f$ , denoted as  $q_i[d]_f$ . Specifically, for the terminal vertexes  $q_t$  and  $q_f$ , their child vertexes are themselves, i.e.,  $q_t = q_t[d]$  and  $q_f = q_f[d]$ .

Analogously, in a matrix diagram  $H = (Q, \mathcal{D}, q_0, q_t, q_f, \delta_t)$ , we use the notation  $q_i[(d_u, d_v)]$  ( $(d_u, d_v) \in \mathcal{D}$ ) to denote the child vertex of  $q_i$  with respect to  $(d_u, d_v)$ . Also, we write  $q_i[(d_u, d_v)]_t$  and  $q_i[(d_u, d_v)]_f$  to denote that a non-terminal vertex  $q_i$  is extensible with respect to a true child vertex and the false child vertex, respectively.

**Definition 4** Let  $F' = (Q', D, q'_0, q'_t, q'_f, \delta'_t)$  and  $F'' = (Q'', D, q''_0, q''_t, q''_f, \delta''_t)$  be two MDDs with the same label set  $D$  and the same number of levels ( $r+1$  levels). The union of  $F'$  and  $F''$  (denoted as  $F' \cup F''$ ) is defined as  $F_u = (Q, D, (q'_0, q''_0), (q'_t, q''_t), (q'_f, q''_f), \delta_t)$  such that the set of vertexes  $Q$  is  $Q \subseteq Q' \times Q'' = Q_r \cup Q_{r-1} \cup \cdots \cup Q_0$ , where  $Q_r = \{(q'_0, q''_0)\}$ ,

$$Q_{i-1} = \begin{cases} \cup\{(q'_i[d], q''_i[d])\} & \text{if } (\forall d \in D) \neg(q'_i[d]_f \ \& \ q''_i[d]_f); \\ \emptyset & \text{otherwise,} \end{cases}$$

and

$$\delta_t((q'_i, q''_i), d) = \begin{cases} (q'_i[d], q''_i[d]) & \text{if } (\forall d \in D) \neg(q'_i[d]_f \ \& \ q''_i[d]_f); \\ (q'_f, q''_f) & \text{otherwise,} \end{cases}$$

( $i = r, r-1, \dots, 1$ ,  $(q'_t, q''_t) = (q'_f, q''_f) = (q'_t, q''_t)$ , and if  $i = 1$ ,  $Q_{i-1} = \{(q'_t, q''_t), (q'_f, q''_f)\}$ ).  $\diamond$

For the union of two MDDs, i.e.,  $F_u$ , its non-terminal vertexes in  $Q = Q_r \cup Q_{r-1} \cup \cdots \cup Q_1$  are recursively defined from  $Q_r$  to  $Q_1$ . We now explain the details of the union of two MDDs  $F'$  and  $F''$ . Given two non-terminal vertexes  $q'_i \in Q'_i$  and  $q''_i \in Q''_i$ , if for all  $d \in D$ , the child vertex of  $q'_i$  or  $q''_i$  with respect to  $d$  is extensible with respect to a true child vertex, i.e.,  $q'_i[d]_t$  or  $q''_i[d]_t$ , the vertex  $(q'_i[d], q''_i[d])$  is added to  $Q_{i-1}$ .

As for the labeling function  $\delta_t$ , if for all  $d \in D$ , a vertex  $(q'_i[d], q''_i[d])$  is added to set  $Q_{i-1}$ ,  $\delta_t((q'_i, q''_i), d) = (q'_i[d], q''_i[d])$  is defined; otherwise,  $\delta_t((q'_i, q''_i), d) = (q'_f, q''_f)$  is defined. At the level 1 of the recursive definition, if  $q'_1[d]$  or  $q''_1[d]$  is extensible with respect to the terminal vertex  $q_t$ , i.e.,  $q'_1[d]_t$  or  $q''_1[d]_t$ , we have  $\delta_t((q'_1, q''_1), d) = (q'_t, q''_t)$ .

**Definition 5** Let  $H' = (Q', \mathcal{D}, q'_0, q'_t, q'_f, \delta'_t)$  and  $H'' = (Q'', \mathcal{D}, q''_0, q''_t, q''_f, \delta''_t)$  be two matrix diagrams with the same label pair set  $\mathcal{D}$  and the same number of levels ( $r+1$  levels). The union of  $H'$  and  $H''$  (denoted as  $H' \cup H''$ ) is defined as  $H_u = (Q, \mathcal{D}, (q'_0, q''_0), (q'_t, q''_t), (q'_f, q''_f), \delta_t)$  such that the set of vertexes  $Q$  is  $Q \subseteq Q' \times Q'' = Q_r \cup Q_{r-1} \cup \cdots \cup Q_0$ , where  $Q_r = \{(q'_0, q''_0)\}$ ,

$$Q_{i-1} = \begin{cases} \cup\{(q'_i[(d, d')], q''_i[(d, d')])\} & \text{if } (\forall (d, d') \in \mathcal{D}) \neg(q'_i[(d, d')]_f \ \& \ q''_i[(d, d')]_f); \\ \emptyset & \text{otherwise,} \end{cases}$$

and

$$\delta_t((q'_i, q''_i), (d, d')) = \begin{cases} (q'_i[(d, d')], q''_i[(d, d')]) & \text{if } (\forall (d, d') \in \mathcal{D}) \neg(q'_i[(d, d')]_f \ \& \ q''_i[(d, d')]_f); \\ (q'_f, q''_f) & \text{otherwise,} \end{cases}$$

$(i = r, r-1, \dots, 1, (q'_t, q''_t) = (q'_f, q''_t) = (q'_t, q''_t), \text{ and if } i = 1, Q_{i-1} = \{(q'_t, q''_t), (q'_f, q''_f)\})$ .  $\diamond$

**Definition 6** Let  $F' = (Q', D, q'_0, q'_t, q'_f, \delta'_t)$  be an MDD and  $H'' = (Q'', \mathcal{D}, q''_0, q''_t, q''_f, \delta''_t)$  be a matrix diagram ( $\mathcal{D} = D \times D$ ) with the same number of levels ( $r+1$  levels). The relational product of  $F'$  and  $H''$  (denoted as  $F' \otimes H''$ ) is defined as  $F_r = (Q, D, (q'_0, q''_0), (q'_t, q''_t), (q'_f, q''_f), \delta_t)$  such that the set of vertexes  $Q$  is  $Q \subseteq Q' \times Q'' = Q_r \cup Q_{r-1} \cup \dots \cup Q_0$ , where  $Q_r = \{(q'_0, q''_0)\}$ ,

$$Q_{i-1} = \begin{cases} \cup\{(q'_i[d], q''_i[(d, d')])\} & \text{if } (\forall d \in D) (\forall (d, d') \in \mathcal{D}) q'_i[d]_t \ \& \ q''_i[(d, d')]_t; \\ \emptyset & \text{otherwise,} \end{cases}$$

and

$$\delta_t((q'_i, q''_i), d') = \begin{cases} (q'_i[d], q''_i[(d, d')]) & \text{if } (\forall d \in D) (\forall (d, d') \in \mathcal{D}) q'_i[d]_t \ \& \ q''_i[(d, d')]_t; \\ (q'_f, q''_f) & \text{otherwise,} \end{cases}$$

$(i = r, r-1, \dots, 1, (q'_t, q''_t) = (q'_f, q''_t) = (q'_t, q''_t), \text{ and if } i = 1, Q_{i-1} = \{(q'_t, q''_t), (q'_f, q''_f)\})$ .

Delete the vertexes  $q_n \in Q_n$  such that (for all  $d \in D$ )  $\delta_t(q_n, d) = q_f$  (when such a vertex is deleted, its out-edges are removed as well, and the labeling function  $\delta_t(q'_n, d') = q_n$  is changed to  $\delta_t(q'_n, d') = q_f$ , where  $q'_n \in \bullet q_n$ ). If there exist two vertexes  $q_{i-1}^1 \in Q_{i-1}$  and  $q_{i-1}^2 \in Q_{i-1}$  that are the child vertexes of  $q_i \in Q_i$  where  $\delta_t(q_i, d) = q_{i-1}^1$  and  $\delta_t(q_i, d') = q_{i-1}^2$ , such that  $d = d'$  holds, merge vertexes  $q_{i-1}^1$  and  $q_{i-1}^2$ , and keep  $\delta_t(q_i, d) = q_{i-1}^1$  or  $\delta_t(q_i, d') = q_{i-1}^2$ .  $\diamond$

Definition 6 describes the behavior of a timed next-state function. Namely, given a set of states represented by an MDD, under the transition relations represented by a matrix diagram, an MDD that represents the output set of states of the timed next-state function is generated.

### 3 Examples of operations

Examples in this section are derived from the TLPN in Fig. 1. We show the examples of operations about MDDs and matrix diagrams.

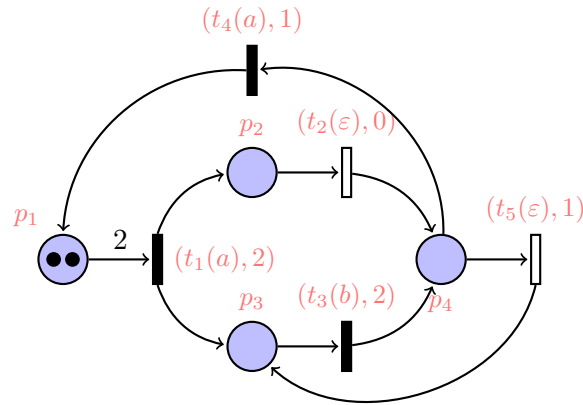


Figure 1: A TLPN.

**Example 1** Fig. 2(a) shows an MDD  $F = (Q, D, q_0, q_t, q_f, \delta_t)$ , where  $Q = \{q_0, q_4^0, q_4^1, q_3^0, q_3^1, q_2^0, q_2^1, q_1^0, q_1^1, q_t, q_f\}$  is a set of vertexes,  $D = \{0, 1, 2\}$  is a set of labels, vertexes  $q_0, q_t$ , and  $q_f$  are the root vertex, terminal vertex valued with **True**, and terminal vertex valued with **False**,

respectively. The labeling function  $\delta_t$  is defined by  $\delta_t(q_0, 0) = q_4^0$ ,  $\delta_t(q_0, 1) = q_4^1$ ,  $\delta_t(q_0, 2) = q_f$ ,  $\dots$ ,  $\delta_t(q_1^1, 1) = q_t$ ,  $\delta_t(q_1^1, 0) = q_f$ , and  $\delta_t(q_1^1, 2) = q_f$ . For the sake of brevity, when we graphically represent an MDD (or a matrix diagram), the out-edges directed to the terminal vertex  $q_f$ , labels on these edges, and the vertex  $q_f$  are omitted. The simplified form of the MDD in Fig. 2(a) is portrayed in Fig. 2(b).

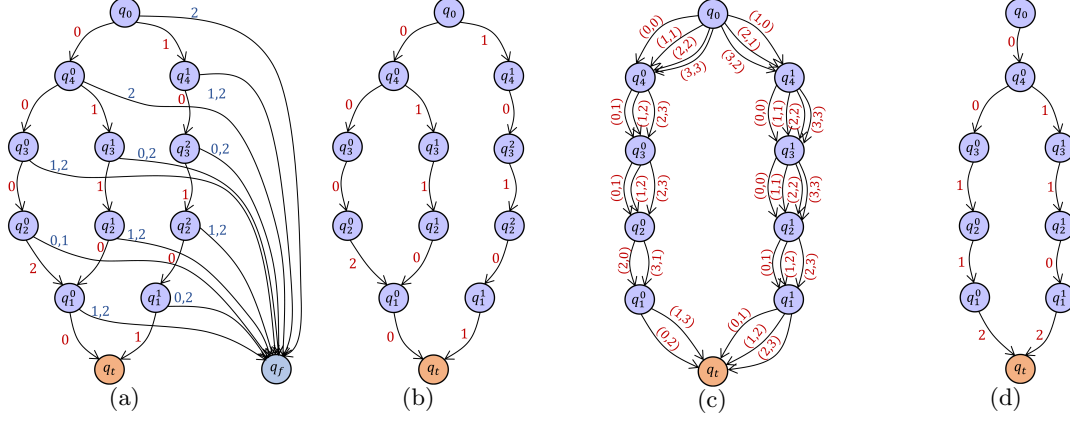


Figure 2: (a) An MDD, (b) the simplified representation of the MDD in Fig. 2(a), (c) a matrix diagram, and (d) the MDD generated from the MDD in Fig. 2(b) and the matrix diagram in Fig. 2(c) under the relational product operation.

Fig. 2(c) shows a matrix diagram  $H = (Q, \mathcal{D}, q_0, q_t, q_f, \delta_t)$ , where  $Q = \{q_0, q_4^0, q_4^1, q_3^0, q_3^1, q_2^0, q_2^1, q_1^0, q_1^1, q_t, q_f\}$  (note that vertex  $q_f$  is omitted graphically) is a set of vertexes,  $\mathcal{D} = D \times D$  ( $D = \{0, 1, 2, 3\}$ ) is a set of label pairs, vertexes  $q_0$ ,  $q_t$ , and  $q_f$  are the root vertex, terminal vertex valued with **True**, and terminal vertex valued with **False**, respectively. The function  $\delta_t$  is defined by  $\delta_t(q_0, (0, 0)) = q_4^0$ ,  $\delta_t(q_0, (0, 1)) = q_f$ ,  $\delta_t(q_0, (0, 2)) = q_f$ ,  $\delta_t(q_0, (0, 3)) = q_f$ ,  $\delta_t(q_0, (1, 0)) = q_4^1$ ,  $\delta_t(q_0, (1, 1)) = q_4^0$ ,  $\dots$ ,  $\delta_t(q_1^1, (0, 0)) = q_f$ ,  $\delta_t(q_1^1, (0, 1)) = q_t$ ,  $\dots$ ,  $\delta_t(q_1^1, (3, 2)) = q_f$ , and  $\delta_t(q_1^1, (3, 3)) = q_f$ .  $\square$

**Example 2** Consider the TLPN  $G$  in Fig. 1, where transitions  $t_1$ ,  $t_3$ , and  $t_4$  are observable and labeled with  $a$ ,  $b$ , and  $a$ , respectively, while transitions  $t_2$  and  $t_5$  are unobservable. The timed function  $\pi$  is defined by  $\pi(t_1) = 2$ ,  $\pi(t_2) = 0$ ,  $\pi(t_3) = 2$ ,  $\pi(t_4) = 1$ , and  $\pi(t_5) = 1$ . The reachable markings of  $G_n$  with the initial marking  $M_0 = [2, 0, 0, 0]^T$  are listed in Table 1. We assume that there exists a set of states  $\mathcal{M}_t = \{([2, 0, 0, 0]^T, 0), ([0, 1, 1, 0]^T, 0), ([0, 1, 0, 1]^T, 1)\}$ . The state set can be represented by the MDD portrayed in Fig. 2(b). There are three top-bottom paths ending with  $q_t$ , i.e.,  $\xi_{t1} = q_0 0 q_4^0 0 q_3^0 0 q_2^0 2 q_1^0 0 q_t$ ,  $\xi_{t2} = q_0 0 q_4^1 1 q_3^1 1 q_2^0 0 q_1^0 0 q_t$ , and  $\xi_{t3} = q_0 1 q_4^0 0 q_3^1 1 q_2^0 0 q_1^1 1 q_t$ . The label sequences of  $\xi_{t1}$ ,  $\xi_{t2}$ , and  $\xi_{t3}$  are  $\varrho_1 = 00020$ ,  $\varrho_2 = 01100$ , and  $\varrho_3 = 10101$  that represent states  $([2, 0, 0, 0]^T, 0)$ ,  $([0, 1, 1, 0]^T, 0)$  and  $([0, 1, 0, 1]^T, 1)$ , respectively.  $\square$

Table 1: Reachable markings of the TLPN shown in Fig. 1 with the initial marking  $M_0 = [2, 0, 0, 0]^T$ .

$M_0 = [2, 0, 0, 0]^T$	$M_1 = [0, 1, 1, 0]^T$
$M_2 = [0, 0, 1, 1]^T$	$M_3 = [0, 1, 0, 1]^T$
$M_4 = [0, 0, 0, 2]^T$	$M_5 = [1, 0, 1, 0]^T$
$M_6 = [0, 0, 2, 0]^T$	$M_7 = [1, 1, 0, 0]^T$
$M_8 = [1, 0, 0, 1]^T$	-

**Example 3** Let us consider the TLPN  $G$  in Fig. 1 and a set of transition-time pairs  $\mathcal{T}_t = \{(t_1, 2), (t_4, 1)\}$ . Given a set of states  $\mathcal{M}_t = \{([2, 0, 0, 0]^T, 0), ([0, 1, 1, 0]^T, 0), ([0, 1, 0, 1]^T, 1)\}$ , we

have  $\mathcal{M}'_t = \mathcal{N}(\mathcal{M}_t, \mathcal{T}_t) = \{([1, 1, 0, 0]^T, 2), ([0, 1, 1, 0]^T, 2)\}$ . The set of states  $\mathcal{M}_t$  is represented by the MDD  $\hat{\mathcal{M}}_t$  shown in Fig. 2(b) (here  $D = \{0, 1, 2, 3\}$ , and the out-edges labeled with 3 are directed to  $q_f$ , i.e.,  $\delta_t(q_n, 3) = q_f$  ( $q_n \in Q_n$ ), which are omitted graphically), and the matrix diagram  $H$  decided by  $\mathcal{T}_t$  is portrayed in Fig. 2(c). Then, under the relational product of  $\hat{\mathcal{M}}_t$  and  $H$ , we have  $\hat{\mathcal{M}}'_t = \hat{\mathcal{M}}_t \otimes H$ . The MDD  $\hat{\mathcal{M}}'_t$  that represents the set of states  $\mathcal{M}'_t$  is illustrated in Fig. 2(d).  $\square$