# THE LANCET

### Supplementary appendix

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Supplement to: Kim B-K, Hong S-J, Lee Y-J, at al. Long-term efficacy and safety of moderate-intensity statin with ezetimibe combination therapy versus high-intensity statin monotherapy in patients with atherosclerotic cardiovascular disease (RACING): a randomised, open-label, non-inferiority trial. *Lancet* 2022; published online July 18. https://doi.org/10.1016/S0140-6736(22)00916-3.

### **Supplementary Appendix**

Long-term efficacy and safety of moderate-intensity statin with ezetimibe combination therapy versus high-intensity statin monotherapy in patients with atherosclerotic cardiovascular disease (RACING):

A randomised, open-label, non-inferiority trial

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#### Table S1. Inclusion and exclusion criteria

#### **Inclusion criteria**

- 1. Age 19-80 years
- 2. Documented cardiovascular disease (at least one of the following):

Previous myocardial infarction, acute coronary syndrome, coronary revascularisation (percutaneous coronary intervention or coronary artery bypass graft) or other arterial revascularisation procedures, ischaemic stroke, and peripheral artery disease

#### **Exclusion criteria**

- 1. Active liver disease or persistent unexplained elevated AST or ALT levels more than two-fold the normal upper limit
- 2. Allergy or hypersensitivity to any statin or ezetimibe
- 3. Solid-organ transplantation recipient
- 4. History of any adverse drug reaction requiring discontinuation of statins
- 5. Pregnant women, potential childbearing women, or lactating women
- 6. Life expectancy of less than 3 years
- 7. Inability to follow-up the patient over a period of 1 year after enrollment, as assessed by the investigator
- 8. Inability to understand or read the informed consent forms

AST, aspartate aminotransferase; ALT, alanine aminotransferase.

Table S2. Number of enrolled patients according to the participating centres

Centre	Moderate-intensity statin with ezetimibe combination therapy (N=1894)	High-intensity statin monotherapy (N=1886)
A	39 (2)	38 (2)
В	103 (5)	104 (6)
$\mathbf{C}$	26 (1)	25 (1)
D	10 (1)	11 (1)
${f E}$	102 (5)	100 (5)
${f F}$	345 (18)	345 (18)
$\mathbf{G}$	102 (5)	103 (6)
Н	3 (<1)	2 (<1)
I	99 (5)	101 (5)
J	11 (<1)	15 (<1)
K	74 (4)	73 (4)
L	14 (1)	15 (1)
$\mathbf{M}$	566 (30)	563 (30)
N	5 (<1)	2 (<1)
O	150 (8)	150 (8)
P	9 (<1)	8 (<1)
Q	33 (2)	34 (2)
R	6 (<1)	6 (<1)
$\mathbf{S}$	7 (<1)	5 (<1)
T	21 (1)	20 (1)
$\mathbf{U}$	37 (2)	35 (2)
${f v}$	76 (4)	75 (4)
$\mathbf{W}$	10 (1)	10 (1)
X	17 (1)	16 (1)
Y	14 (1)	16 (1)
${f Z}$	15 (1)	14 (1)

Data are shown in numbers (%).

Table S3. Statin and ezetimibe medication during the study period

	0–2 n	nonths	2–6 n	nonths	6 mont	hs–1 year	1–2	years	2–3	years
	Moderate- intensity statin with ezetimibe combination therapy	High- intensity statin monotherapy								
	1894 patients	1886 patients	1878 patients	s 1870 patients	1862 patients	s 1862 patients	1849 patients	1856 patients	1828 patients	s 1835 patients
None	0	0	1 (<1)	0	2 (<1)	0	6 (<1)	14 (1)	12 (1)	13 (1)
Ezetimibe 10 mg	0	0	2 (<1)	0	1 (<1)	0	2 (<1)	0	0	0
Rosuvastatin 5 mg + ezetimibe 10 mg	0	1 (<1)	19 (1)	0	35 (2)	3 (<1)	35 (2)	3 (<1)	41 (2)	7 (<1)
Rosuvastatin 10 mg + ezetimibe 10 mg	1873 (99)	3 (<1)	1848 (98)	0	1777 (95)	13 (1)	1736 (94)	15 (1)	1706 (93)	18 (1)
Rosuvastatin 20 mg + ezetimibe 10 mg	9 (<1)	0	0	0	13 (1)	1 (<1)	13 (1)	17 (1)	17 (1)	16 (1)
Atorvastatin 10 mg + ezetimibe 10 mg	2 (<1)	0	1 (<1)	0	0	1 (<1)	6 (<1)	2 (<1)	6 (<1)	0
Atorvastatin 20 mg + ezetimibe 10 mg	0	0	0	0	0	0	0	1 (<1)	0	0
Atorvastatin 40 mg + ezetimibe 10 mg	0	0	0	0	0	1 (<1)	0	1 (<1)	1 (<1)	0
Simvastatin 10 mg + ezetimibe 10 mg	0	0	0	0	0	0	1 (<1)	0	0	0
Simvastatin 20 mg + ezetimibe 10 mg	0	0	0	1 (<1)	0	1 (<1)	0	1 (<1)	2 (<1)	2 (<1)
Rosuvastatin 5 or 10 mg	0	26 (1)	0	21 (1)	1 (<1)	78 (4)	6 (<1)	97 (5)	13 (1)	103 (6)
Rosuvastatin 20 mg	5 (<1)	1853 (98)	2 (<1)	1841 (98)	8 (<1)	1752 (94)	15 (1)	1691 (91)	10(1)	1657 (90)
Atorvastatin 10 or 20 mg	2 (<1)	1 (<1)	1 (<1)	4 (<1)	11 (1)	9 (<1)	13 (1)	9 (1)	7 (<1)	12 (1)
Atorvastatin 40 mg or 80 mg	3 (<1)	2 (<1)	0	1 (<1)	6 (<1)	0	8 (<1)	3 (<1)	7 (<1)	4 (<1)
Pitavastatin 2 or 4 mg	0	0	4 (<1)	2 (<1)	7 (<1)	2 (<1)	6 (<1)	2 (<1)	6 (<1)	3 (<1)
Pravastatin 40 mg	0	0	0	0	0	1 (<1)	0	0	0	0
Simvastatin 20 mg	0	0	0	0	1 (<1)	0	1 (<1)	0	0	0
Fluvastatin XL 80 mg	0	0	0	0	0	0	1 (<1)	0	0	0

Data are shown in numbers (%).

Table S4. Other cardiovascular medications during the study period

	Before randomization		0–2 m	onths	2–6 m	onths	6 months–1 year		1–2 years		2–3 years	
	Moderate- intensity statin with ezetimibe	High- intensity statin										
	1894 patients	1886 patients	1894 patients	1886 patients	1878 patients	1870 patients	1862 patients	1862 patients	1849 patients	1856 patients	1828 patients	1835 patients
Aspirin	1153 (61)	1135 (60)	1114 (59)	1104 (59)	1124 (60)	1112 (60)	1079 (58)	1078 (58)	976 (53)	1006 (54)	884 (48)	886 (48)
Clopidogrel	986 (52)	980 (52)	1069 (56)	1093 (58)	1087 (58)	1113 (60)	1088 (58)	1117 (60)	1133 (61)	1156 (62)	1114 (61)	1148 (63)
Ticagrelor or prasugrel	153 (8)	158 (8)	166 (9)	160 (9)	155 (8)	143 (8)	138 (7)	129 (7)	70 (4)	58 (3)	36 (2)	34 (2)
Aspirin with P2Y <sub>12</sub> inhibitor	670 (35)	655 (35)	673 (36)	676 (36)	670 (34)	673 (36)	633 (34)	641 (34)	519 (28)	550 (30)	411 (23)	426 (23)
Warfarin or direct oral anticoagulant	33 (2)	37 (2)	32 (2)	39 (2)	33 (2)	45 (2)	40 (2)	49 (3)	43 (2)	50 (3)	44 (2)	59 (3)
Beta-blocker	989 (52)	976 (52)	1001 (53)	1018 (54)	1010 (54)	1022 (55)	992 (53)	1002 (54)	982 (53)	974 (53)	952 (52)	926 (51)
Angiotensin-converting enzyme inhibitor	202 (11)	174 (9)	215 (11)	199 (11)	205 (11)	206 (11)	197 (11)	194 (10)	174 (9)	178 (10)	165 (9)	152 (8)
Angiotensin receptor blocker	878 (46)	892 (47)	880 (47)	911 (48)	893 (48)	932 (50)	888 (48)	934 (50)	911 (49)	948 (51)	898 (49)	941 (51)
Calcium-channel blocker	718 (38)	751 (40)	686 (36)	718 (38)	727 (39)	759 (41)	711 (38)	757 (41)	696 (38)	747 (40)	710 (39)	751 (41)
Aldosterone antagonist	54 (3)	50 (3)	53 (3)	58 (3)	54 (3)	61 (3)	57 (3)	59 (3)	58 (3)	55 (3)	47 (3)	54 (3)
Thiazide or loop diuretics	193 (10)	197 (10)	185 (10)	178 (9)	189 (10)	174 (9)	183 (10)	183 (10)	193 (10)	193 (1)	198 (11)	201 (11)

Data are shown in numbers (%).

Table S5. Baseline characteristics of the per-protocol population

	Moderate-intensity statin with ezetimibe combination therapy (N=1758)	High-intensity statin monotherapy (N=1682)
Age, years	$64 \pm 10$	$64 \pm 10$
Male sex	1315 (75)	1257 (75)
Height, cm	$165 \pm 8$	$165 \pm 8$
Weight, kg	$68 \pm 11$	$68 \pm 11$
Body mass index, kg/m <sup>2</sup>	$25.0 \pm 3.2$	$25{\cdot}1\pm3{\cdot}0$
Prior myocardial infarction	684 (39)	667 (40)
Prior percutaneous coronary intervention	1174 (67)	1118 (67)
Prior coronary bypass graft surgery	123 (7)	108 (6)
Acute coronary syndrome	27 (2)	18 (1)
Prior ischaemic stroke	94 (5)	102 (6)
Chronic kidney disease*	172 (10)	179 (11)
End-stage kidney disease on dialysis	10 (1)	14 (1)
Peripheral artery disease	60 (3)	65 (4)
Hypertension	1154 (66)	1133 (67)
Diabetes mellitus	643 (37)	618 (37)
Diabetes mellitus with insulin treatment	42 (2)	60 (4)
Current smoker	297 (17)	268 (16)
Medication for dyslipidemia before randomization		
High-intensity statin	666 (38)	661 (39)
High-intensity statin with ezetimibe	81 (5)	61 (4)
Moderate-intensity statin	631 (36)	610 (36)
Moderate-intensity statin with ezetimibe	236 (13)	227 (14)
Low-intensity statin	6 (<1)	4 (<1)
None	138 (8)	119 (7)
Serum LDL-C level, mg/dL	80 (64 – 100)	80 (64 – 100)
No. of patients with LDL-C levels <70 mg/dL	616 (35)	560 (33)

Data are mean  $\pm$  SD, median (interquartile range) or number (%).

<sup>\*</sup>Chronic kidney disease was defined as an estimated glomerular filtration rate of less than 60 ml per min per 1.73 m<sup>2</sup> of body-surface area. LDL-C = low-density lipoprotein cholesterol

Table S6. Three-year clinical endpoint of the per-protocol population

	Moderate-intensity statin with ezetimibe combination therapy (N=1758)	High-intensity statin monotherapy (N=1682)	Absolute difference (90% confidence Interval)	Hazard (95% confidence interval)	p value
Primary endpoint Composite of cardiovascular death, major cardiovascular event, or nonfatal stroke	160 (9·1)	158 (9·4)	-0·29 (-1·97 to 1·37)	0.97 (0.78 to 1.21)	0.807
Secondary efficacy endpoint Composite of all death, major cardiovascular event, or nonfatal stroke	173 (9·8)	167 (9.9)	-0.09 (-1.81 to 1.63)	1.00 (0.81 to 1.23)	0.965
Individual clinical endpoint					
Cardiovascular death	7 (0.4)	6 (0.4)	0.04 (-0.38 to 0.46)	1·12 (0·38 to 3·34)	0.835
All-cause of death	24 (1·4)	20 (1.2)	0·18 (-0·51 to 0·86)	1·16 (0·64 to 2·09)	0.631
Major cardiovascular events	141 (8.0)	144 (8.6)	-0·54 (-2·14 to 1·04)	0.94 (0.75 to 1.19)	0.603
Coronary artery revascularisation	83 (4.7)	76 (4.5)	0·20 (-1·03 to 1·42)	1.05 (0.77 to 1.44)	0.744
Percutaneous coronary intervention	80	76			
Coronary artery bypass surgery	3	0			
Peripheral artery revascularisation	7 (0.4)	6 (0.4)	0.04 (-0.38 to 0.46)	1·13 (0·38 to 3·35)	0.833
Hospitalisation for ischaemic heart disease	130 (7.4)	130 (7.7)	-0·33 (-1·87 to 1·19)	0.96 (0.75 to 1.22)	0.742
Stable angina or unstable angina	109	114			
Acute myocardial infarction	21	16			
Hospitalisation for heart failure	14 (0.8)	15 (0.9)	-0·10 (-0·68 to 0·48)	0.90 (0.43 to 1.86)	0.776
Hospitalisation for peripheral artery disease	7 (0.4)	6 (0.4)	0.04 (-0.38 to 0.46)	1·13 (0·38 to 3·35)	0.833
Nonfatal stroke	15 (0.9)	9 (0.5)	0·32 (-0·21 to 0·86)	1.61 (0.70 to 3.67)	0.261
Ischaemic stroke	11 (0.6)	6 (0.4)	0·27 (-0.19 to 0.74)	1·77 (0·65 to 4·77)	0.263
Hemorrhagic stroke	4 (0.2)	3 (0.2)	0.05 (-0.29 to 0.39)	1·29 (0·29 to 5·74)	0.743

Data are the number of events and percentages.

Table S7. Proportion of patients with LDL cholesterol levels <55 mg/dL of the intention-to-treat population

	Moderate-intensity statin with ezetimibe combination therapy	High-intensity statin monotherapy	Absolute differences (95% confidence interval)
1 year			
No. of patients	1675	1673	
No. of patients with LDL-C levels <55 mg/dL (%)	695 (42)	415 (25)	17·5 (14·3 to 20·7)
2 years			
No. of patients	1558	1539	
No. of patients with LDL-C levels <55 mg/dL (%)	708 (45)	451 (29)	14·9 (11·7 to 18·2)
3 years			
No. of patients	1349	1315	
No. of patients with LDL-C levels <55 mg/dL (%)	563 (42)	330 (25)	14·8 (11·2 to 18·3)

Data are number (%). LDL-C = low-density lipoprotein cholesterol

Table S8. Serial changes in other lipid profiles of intention-to-treat population

	Moderate-intensity statin with ezetimibe combination therapy	High-intensity statin monotherapy	p value
Baseline			
No. of patients	1894	1886	
Total cholesterol, mg/dL	152·5±36·1	153·7±37·6	0.310
Triglyceride, mg/dL	$140.3 \pm 81.2$	139·4±76·2	0.717
HDL cholesterol, mg/dL	47·5±12·6	47·8±13·5	0.402
1 year			
No. of patients	1675	1673	
Total cholesterol, mg/dL	126·2±27·5	136·8±28·3	< 0.001
Triglyceride, mg/dL	126·2±77·3	137·1±77·8	< 0.001
HDL cholesterol, mg/dL	47·6±11·0	48·0±11·5	0.240
2 years			
No. of patients	1558	1539	
Total cholesterol, mg/dL	128·2±29·0	138·3±29·6	< 0.001
Triglyceride, mg/dL	129·2±88·4	139·7±94·5	0.002
HDL cholesterol, mg/dL	47·9±12·7	48·4±13·1	0.283
3 years			
No. of patients	1349	1315	
Total cholesterol, mg/dL	126·8±27·5	138·0±27·9	< 0.001
Triglyceride, mg/dL	126·0±80·9	135·5±79·4	0.002
HDL cholesterol, mg/dL	46·7±11·4	47·8±11·7	0.013

Values are mean  $\pm$  standard deviation. HDL = high-density lipoprotein cholesterol

Figure S1. Kaplan-Meier curves of the primary endpoint of the per-protocol population

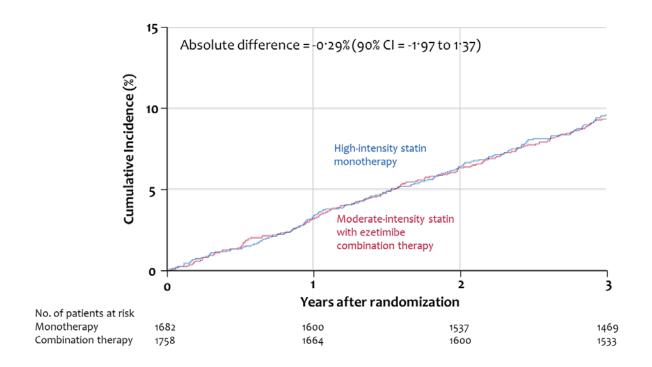


Figure S2. Kaplan-Meier curves of discontinuation or dose reduction of the study drugs by intolerance of the saftey population

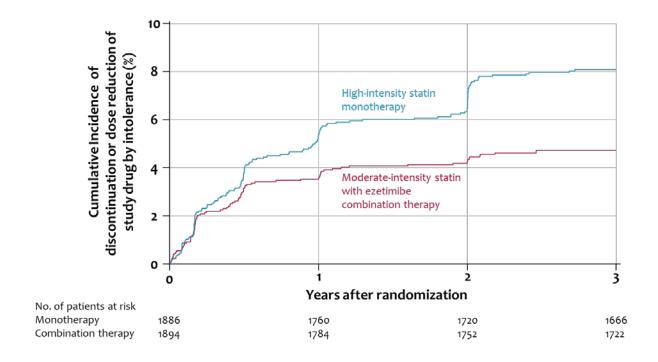
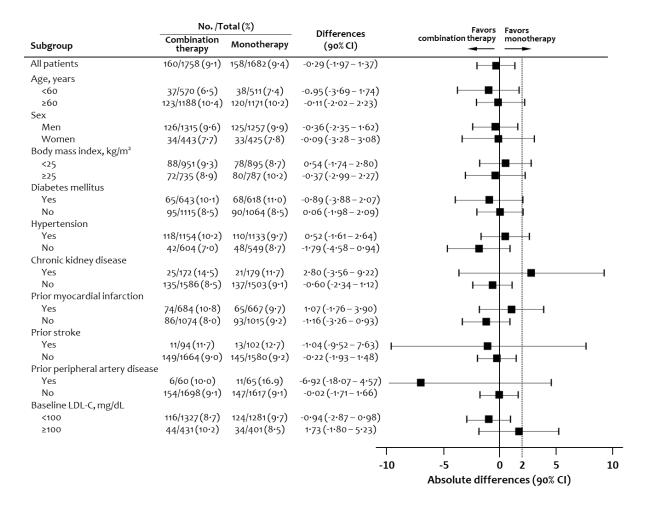


Figure S3. Subgroup analyses for the primary endpoint of the per-protocol population



The vertical dashed line indicates the prespecified absolute difference 2.0% noninferiority margin. CI = confidence interval; LDL-C = low-density lipoprotein cholesterol.

# RAndomized Comparison of Efficacy and Safety of Lipid-lowerING With Statin Monotherapy Versus Statin/Ezetimibe Combination for High-risk Cardiovascular Diseases: RACING Trial

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## 1. Protocol Summary

Trial Name	RAndomized Comparison of Efficacy and Safety of Lipid-lowerING With Statin Monotherapy Versus Statin/Ezetimibe Combination for High-risk Cardiovascular Diseases: RACING Trial
Main Center	Severance Hospital, Yonsei University College of Medicine
Trial Phase	Phase IV
Objective	To compare the clinical efficacy and safety of combination therapy with moderate-intensity statin and ezetimibe versus high-intensity statin monotherapy in patients with high-risk cardiovascular diseases
Method	Prospective, multicenter, randomized, open-label study
Number of patients	3780 high-risk cardiovascular disease requiring high intensity statin
Study Design	<ul> <li>Prospective, open label, randomized, multicenter study</li> <li>Patients will be randomized 1:1 to either of ezetimibe/moderate-intensity statin combination therapy or high-intensity statin monotherapy</li> <li>A stratification of LDL-cholesterol and diabetes will be used for an randomization.</li> <li>Patients will be followed-up for 36 months.</li> </ul>
Main Inclusion Criteria	<ul> <li>Age 19-80 years</li> <li>High-risk cardiovascular disease (meeting at least one): Previous myocardial infarction, acute coronary syndrome (Unstable angina or myocardial infarction), coronary revascularisation or other arterial revascularisation procedures, ischaemic stroke, peripheral artery disease</li> </ul>
Study Endpoint	Primary Endpoint: Composite of cardiovascular death, major cardiovascular event (coronary or peripheral revascularisation, or hospitalisation for cardiovascular events), or nonfatal stroke within 3 years

	Secondary Endpoint:
	1) Proportion of patients with LDL-cholesterol <70 mg/dL at 1, 2,
	and 3 years
	2) Composite of all death, major cardiovascular event, or nonfatal
	stroke
	3) Discontinuation or dose-reduction of study drug by intolerance
	4) Clinical adverse events (new-onset diabetes mellitus,
	muscle-related adverse events, gastrointestinal symptoms,
	gallbladder-related adverse events, major bleeding, cancer
	diagnosis, new-onset neurocognitive disorder, or cataract
	surgery)
Statistical	Cumulative incidence using Kaplan-Meier method
Methods	Log-rank test
	Cox proportional hazard regression model
Study	Overall study will be completed in Jan 4th, 2023, including 2 years of
Duration	recruitment and the duration of clinical follow-up and data analyses.
Participating	26 centers including Severance Hospital
Sites	50-1 Yonsei-ro, Seodaemun-gu, Shinchondong, Seoul, South Korea
	03722

#### 2. BACKGROUND

The major treatable causes of atherosclerotic cardiovascular disease (ASCVD) include hypercholesterolemia, hypertension, diabetes, and an unhealthy lifestyle. Because low-density lipoprotein-cholesterol (LDL-C) plays a significant role in the promotion, development, and progression of vascular atherosclerosis, a primary strategy in these efforts has been lowering of LDL-C in at-risk populations. Statin, has beneficial properties include atherosclerotic plaque stabilization, oxidative stress reduction, enhancement of endothelial function and a decrease in vascular inflammation beyond their lipid-lowering effect. In various clinical trials, statins have shown clinical benefits in primary and secondary prevention. Epidemiological studies and recent randomized clinical trials demonstrate a continuous relationship between cholesterol levels and ASCVD risk: the more LDL-C is lowered, the greater the risk reduction. In addition, most recent study, IMPROVE-IT proved clinical efficacy of additive ezetimibe which targets the Niemann-Pick C1-like 1 (NPC1L1) protein, thereby reducing absorption of cholesterol from the intestine. Ezetimibe with simvastatin was effective to reduce clinical events with lower LDL-C in patients with acute coronary syndrome. Thus, it can be said that "the lower, the better" is true for cholesterol reduction.

The NCEP ATP III guideline and 2004 update have served as the standard of care for at-risk patients with hyperlipidemia for nearly a decade. Guideline focused on the fasting lipid panel as the initial evaluation of lipid-related CVD risk. Within each category of ASCVD risk, targets of treatment are then specified in these recommendations. In the ATP III guidelines, cardiovascular disease (CVD) and diabetes mellitus as a coronary heart disease risk equivalent were considered as high-risk category. LDL-C was considered the primary target of therapy and an optional goal of LDL-C <70 mg/dl in these high-risk patients. The European Society of Cardiology and the European Atherosclerosis Society guidelines for the management of dyslipidemias define documented cardiovascular disease, previous myocardial infarction, coronary revascularisation, ischaemic stroke, DM with target organ damage, or moderate to severe CKD as very high risk group and recommended target LDL -C level of <70mg/dL and/or ≥50% LDL-C reduction. Contrast to previous guidelines focused on targeting LDL-C level for optimal treatment in high-risk patients, most recent ACC/AHA Guideline on the Treatment of

Blood Cholesterol to Reduce Atherosclerotic Cardiovascular Risk in Adult in 2013 suggested that primary focus of treatment in such high-risk patients should be *intensity-directed statin treatment itself*. On the basis of evidence, 4 major statin benefit groups were identified: 1) with clinical ASCVD, 2) primary elevations of LDL-C ≥190 mg/dL, 3) diabetes age 40-75 years with LDL-C 70-189 and without clinical ASCVD, or 4) estimated 10-year ASCVD risk ≥7.5%. For these groups, the new guideline proposes that implementation of cholesterol-lowering treatment using evidenced-based intensity of statin therapy should be treated in patients without such targets.

The clinical efficacy of LDL-lowering therapy have been proven with strong evidences and more emphasized. However, there are also growing concerns that high-intensity statin would be related to increased risk of adverse effects. For example, statin therapy modestly increases the risk for developing type 2 diabetes. Conventional strategies for lowering LDL-cholesterol was focused on statins, therefore doubling of previously described dose of statin would be common way in patients with inadequate lowering LDL-cholesterol level. Additive ezetimibe will also an alternative strategy not only to lower LDL-cholesterol level and also to reduce the need of dosage of high-intensity statin to fulfill sufficient LDL-cholesterol lowering effect. However, these two different treatment strategies will be more evaluated in regard to lipid-lowering efficacy and clinical outcomes including occurrence of cardiovascular events.

We will evaluate whether additive ezetimibe with rosuvastatin will have comparable clinical efficacy in terms of clinical outcomes and goal attainment of LDL-C compared to rosuvastatin monotherapy in patients with high-risk cardiovascular disease.

### 3. Study Objectives and Endpoint

To compare the clinical efficacy and safety of combination therapy with moderate-intensity statin and ezetimibe versus high-intensity statin monotherapy in patients with high-risk cardiovascular diseases

#### 3.1. Primary Endpoint

The occurrence of cardiovascular death, major cardiovascular events, or nonfatal

stroke within 3 years. Major cardiovascular events included coronary or peripheral revascularisation and hospitalisation for cardiovascular events

#### 3.2. Secondary Endpoint

- 1. Proportion of patients with LDL-cholesterol <70 mg/dL at 1, 2, and 3 years
- 2. Composite of all death, major cardiovascular event, or nonfatal stroke
- 3. Discontinuation or dose-reduction of study drug by intolerance
- Clinical adverse events (new-onset diabetes mellitus, muscle-related adverse events, gastrointestinal symptoms [dyspepsia or abdominal pain not explainable with other cause], gallbladder-related adverse events, major bleeding, cancer diagnosis, new-onset neurocognitive disorder, or cataract surgery)

### 4. Methods and Design

#### 4.1. Study Patients

#### 4.1.1. Inclusion Criteria

- 1. Age 19 80 years
- 2. High-risk cardiovascular disease (meeting at least one):
  - 1) Previous myocardial infarction
  - 2) Acute coronary syndrome (Unstable angina or myocardial infarction)
  - 3) Coronary revascularisation or other arterial revascularisation procedures
  - 4) Ischaemic stroke
  - 5) Peripheral artery disease

#### 4.1.2. Exclusion criteria

- Active liver disease or persistent unexplained serum AST or ALT elevation more than 2 times the upper limit of normal range
- 2. Allergy or hypersensitivity to any statin or ezetimibe
- 3. Solid organ transplantation recipient
- 4. History of any adverse drug reaction requiring discontinuation of statin
- 5. Pregnant women, women with potential childbearing, or lactating women
- 6. Life expectancy less than 3 years
- 7. Inability to follow the patient over the period of 1 year after enrollment, as

assessed by the investigator

8. Inability to understand or read the informed content

#### 4.2. Sample Size Calculation

It will be tested whether the combination therapy group is noninferior to the statin monotherapy group in terms of the primary endpoint at 3 years. We assumed that the expected primary endpoint will occur 13% in the combination therapy group and 14% in the statin monotherapy group, according to the result of IMPROVE-IT trial (Primary endpoint: 34.7% vs. 32.7% in simvastatin vs. simvastatin plus ezetimibe group with 6 years of mean follow-up duration). A non-inferiority margin of 2.0% is selected. With a one-sided type I error of 0.05 and 80% power, a sample size of 1605 patients in each arm is required (total 3210 patients). Assuming around 15% loss to follow-up, a total of 3780 patients will be randomized. The sample size determination was based on a pure frequency analysis, whereas the endpoint analysis will use the Kaplan-Meier estimates. Since the two methods are equivalent in the absence of censoring, and a sufficient number of uncensored patients is anticipated, the sample size should be adequate.

Attainment with LDL-cholesterol less than 70 mg/dL at 1 year was 30.5% vs. 50.6% in simvastatin vs. simvastatin plus ezetimibe group from IMPROVE-IT. LDL-cholesterol lowering effect of simvastatin 40 mg plus ezetimibe 10 mg was known to be similar to rosuvastatin 20 mg. Therefore, we assumed that goal attainment with lowering LDL-cholesterol would be about 50% vs. 70%. With superiority hypothesis with 5% alpha error rate, 80% power and estimated 15% of loss to follow-up, a total of 220 patients were required, which was sufficiently fulfilled by the sample size of 3780 patients as per the primary objective of this trial, suggesting sufficient power.

#### 4.3. Statistical Analyses Plan

#### 4.3.1. Analysis of the Primary Endpoint

Our hypothesis is that the combination therapy will be non-inferior to the statin monotherapy with the non-inferiority margin of 2.0%. The null hypothesis for this analysis is that the three-year rate of primary endpoint is at least 2.0% higher in the combination therapy group versus statin monotherapy group. The alternative for this

analysis is that absolute risk difference at three years is less than 2.0%.

 $H_0$ : (Event rate)combination – (Event rate)monotherapy  $\geq 2.0\%$ 

H<sub>A</sub>: (Event rate)<sub>combination</sub> – (Event rate)<sub>monotherapy</sub> <2.0%

The test is performed at a point in time T, using the Kaplan-Meier estimates for freedom from the primary endpoint being evaluated, and the Greenwood standard errors for these estimates. The null hypothesis will be rejected if the upper limit of the 95% CI for the absolute risk difference in the event rate of the primary endpoint at 3 years is less than 2.0%. The primary analysis will be performed according to the intention to treat principle. Analysis of the primary endpoint also will be performed on the per-protocol population. In the per-protocol population, the following patients with protocol deviations will be excluded: (1) The patients found to be ineligible; (2) Informed consent not obtained; (3) Randomized therapy not implemented (a total period of the discontinued the allocated treatment >5% of a total follow-up period).

#### 4.3.2. Analysis of the Secondary Endpoint

As for the clinical outcomes during 3 years, cumulative event rate during the clinical follow-up will be estimated using the Kaplan-Meier method and will be compared with the log-rank test. If needed, Cox proportional hazard regression analysis will be used after testing proportional hazard assumption. As for the comparison of the proportions, Chi-square test will be performed.

#### 4.3.3. Other analyses

A subgroup analysis will be performed for clinically relevant factors such as age, sex, body mass index, hypertension, diabetes mellitus, chronic kidney disease, previous MI, ACS, stroke, PAD, and baseline LDL-Cholesterol <100 mg/dL.

### 5. Study Procedure

#### 5.1. Subject screening, consent, and randomization

All eligible patients who are at high-risk of cardiovascular disease will be screened according to inclusion and exclusion criteria. A qualified member of the investigational site's research team will review the subject's medical history and screen for the study eligibility.

All subjects must complete a Subject Informed Consent Form prior to undergoing randomization. In advance of the consent discussion, the subject should receive the IRB-approved Subject Informed Consent Form. During the consent

discussion, the investigator or his/her designee must fully inform the subject of all pertinent aspects and risks of the study. All items discussed in the Subject Informed Consent Form must be explained by research site staff. Neither the investigator nor the investigation site staff shall coerce or unduly influence a subject to participate or to continue to participate in the clinical study. The informed consent process shall not waive or appear to waive the subject's rights. The subject will be provided ample time to read and understand the Subject Informed Consent Form and to consider participation in the study. When the subject decides to participate in the clinical study, the site's current IRB-approved Subject Informed Consent Form must be signed and personally dated by the subject and investigator designee.

After voluntary agreement with informed consent. The patients will be randomized 1:1 to either of ezetimibe/moderate-intensity statin combination therapy group or high-intensity statin monotherapy. A stratification of the baseline LDL-cholesterol level and diabetes will be performed. Permuted block randomization 4 to 6 will be used for allocation.

#### 5.2. Study Drug

Categories	Study drugs	Dosage
HMG-CoA reductase inhibitor	Rosuvastatin	20 mg
HMG-CoA reductase inhibitor plus NPC1L1 antagonist	Rosuvastatin plus ezetimibe	Rosuvastatin 10 mg plus ezetimibe 10 mg

During the study period, the patients who allocated to the combination therapy group will be given rosuvastatin 10 mg with ezetimibe 10 mg, and those who allocated to the statin monotherapy group will be given rosuvastatin 20 mg for 1 year.

After 1 year of randomization, the dose of rosuvastatin can be decreased at physicians' discretion when the LDL cholesterol level is maintained less than 50 mg/dL and the patients do not have any adverse clinical events. The reasons of the dose reduction of statin should be recorded in detail.

#### 5.3. Follow-up

Baseline characteristics, laboratory findings including lipid profiles will be obtained at

enrollment. If statin dosage was adjusted, the patient will visit to outpatient clinic and laboratory assessment (lipid profile and liver enzyme) after 4-12 weeks. Clinical check-up with laboratory exam including lipid profile and liver enzyme will be followed at 2, 6 and 12 months after enrollment. After 12 months from enrollment, we will follow clinical check-up and laboratory evaluation will be conducted every year until the end of 3-year follow-up.

Table. Schedules for follow-up

Measurement	Baseline	Follow-up					
Measurement	Daseille	8W±4W	6M±1M	12M±2M	24M±2M	36M±2M	
Informed consent	0						
Inclusion/Exclusion	0						
Clinical history	0						
Vital sign/ Physical exam	0	O (option)	O (option)	0	0	0	
Height and Weight	0	O (option)	O (option)	0	0	0	
Waist circumference	0			0	0	0	
ECG (12 lead)	0			0	0	0	
CBC, Routine chemistry, Lipid profile	O <sup>1</sup>	O <sup>2</sup> (option)	O <sup>2</sup> (option)	0	0	0	
Creatine kinase (CK)	O <sup>1</sup> (option)			O (option)		O (option)	
HbA1C, AC insulin <sup>3</sup> hs-CRP	O <sup>1</sup>			0		0	
Urine protein/creatinine or albumin/creatinine	O (option)			O (option)	O (option)	O (option)	
Pregnancy test (if needed)	0						
Medication	0	0	0	0	0	0	
Clinical adverse events	0	0	0	0	0	0	

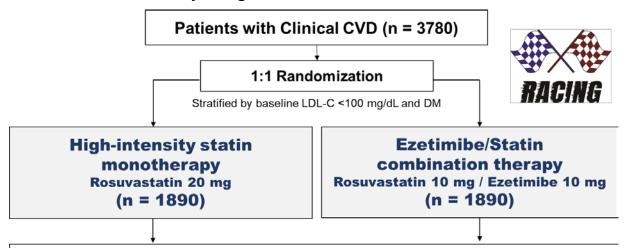
<sup>1.</sup> If the patients who had not given the statin, it is recommended to examine the baseline lab at least 8 weeks before.

- 2. It is strongly recommended to examine the test 8 weeks and 6 months after the enrollment and after the drug dose changes.
- 3. If the patients had no diabetes or impaired glucose tolerance, this test will be covered by study fund.
- 4. If the patients had diabetes or chronic kidney disease, this test will be performed by the current clinical guidelines.
- 5. If the patients had muscle-relate adverse events, this test is strongly recommended. Otherwise, it will be performed by physicians' discretion.

#### 5.4. General guideline for concomitant treatment

Risk factor modification for cardiovascular disease should be initiated for all patients as recommended. All medication except statin will be used according to current guidelines. Non-statin lipid-lowering drugs can be concurrently administered with study drugs at the physician's discretion.

#### 5.5. Protocol of the study at a glance



#### Primary endpoint:

Composite of cardiovascular death, major cardiovascular events (any revascularization and hospitalization for cardiovascular events), and nonfatal stroke within 3 years

#### Secondary Endpoint:

- Proportions of patients with LDL-cholesterol <70 mg/dL</li>
- 2. Composite of all death, major cardiovascular event, or nonfatal stroke
- 3. Discontinuation or dose-reduction of study drug by intolerance
- 4. Clinical adverse events

### 6. Study Quality Management

#### 6.1. Ethical Issue

The primary investigator (PI) has the responsibility to abide by ethical requirements

related to this study. This study will be conducted with approval of institutional review board (IRB) and after voluntary agreements with informed consent from all patients. In addition, we disclose that this study is not contrary to Helsinki declaration and ICH/GCP.

Data collected on each subject will be recorded on a web-based eCRF. Each enrolled subject is assigned a unique study ID number. Records of the subject/subject ID relationship will be maintained by the study site. Individual subject medical information obtained as a result of this study will be considered confidential. Authorized site personnel will record the required data on eCRFs. Study personnel delegated for eCRF completion and/or approval will be trained on the use of the eCRF system and thereafter be provided with a user name and password to access the system. Passwords are individual and cannot be shared. The eCRFs must be completed and updated to reflect the latest observations on the subjects participating in the study. The investigator (or approved sub-investigator) will electronically sign the appropriate pages of each eCRF. All study-related data will be stored for 10 years after the complete of the study.

#### 6.2. Data and safety monitoring

The Principal Investigator (PI) will be responsible for ensuring participants' safety. The Data and Safety Monitoring Board (DSMB) will act in an advisory capacity to monitor participant safety, evaluate the progress of the study, to review procedures for maintaining the confidentiality of data, the quality of data collection, management, and analyses.

	Centers	Members
	Yonsei Cardiovascular Hospital	Jae Sun Uhm
Data Safety	Catholic University, Seoul	Jong-Chan Youn
Monitoring Board	Ewha Womans University	Junbeom Park
	Severance Hospital	Dong-Ho Shin

The PI will be informed of serious adverse events as soon as they occur and will notify the DSMB within 24 hours of notification. DSMB will meet twice annually, either in-person or by teleconference call to review study progress, data quality, and participant's safety. The content of the data and safety monitoring report will include

study status, participant descriptive information, safety information, and study quality

#### 6.3. Informed consent

The Principal Investigator will ensure that the patient is given full and adequate oral and written information about the nature, purpose, possible risk and benefit of the study. Patients must also be notified that they are free to discontinue from the study at any time. The patient should be given the opportunity to ask questions and allowed time to consider the information provided. The Principal Investigator must store the original, signed Informed Consent Form. Finally, the investigators will repeatedly confirm the patient's intention whether to continue or withdraw this study every follow-up.

#### 6.4. Safety management

#### 6.4.1. Definition

Adverse Event is defined as follows. Any untoward or unfavorable medical occurrence in a human study participant, including any abnormal sign (e.g. abnormal physical exam or laboratory finding), symptom, or disease, temporally associated with the participants' involvement in the research, whether or not considered related to participation in the research.

Serious Adverse Event is defined as follows. Results in death; life threatening, or places the participant at immediate risk of death from the event as it occurred; requires or prolongs hospitalisation; causes persistent or significant disability or incapacity; condition which investigators judge to represent significant hazards; MACE including Death, MI, target lesion and vessel revascularisation, or stent thrombosis will be classified as SAE

#### 6.4.2. Classification of Adverse Events

Adequate review, assessment, and monitoring of adverse events require that they be classified as to severity, expectedness, and potential relatedness to the study intervention.

#### 6.4.2.1. Severity

**Mild:** Awareness of signs or symptoms, but easily tolerated and are of minor irritant type causing no loss of time from normal activities. Symptoms do not require therapy or a medical evaluation; signs and symptoms are transient.

**Moderate:** Events introduce a low level of inconvenience or concern to the participant and may interfere with daily activities, but are usually improved by simple therapeutic measures; moderate experiences may cause some interference with functioning

**Severe:** Events interrupt the participant's normal daily activities and generally require systemic drug therapy or other treatment; they are usually incapacitating

#### 6.4.2.2. Expectedness

**Unexpected:** Nature or severity of the event is not consistent with information about the condition under study or intervention in the protocol, consent form, product brochure, or investigator brochure.

**Expected:** Event is known to be associated with the intervention or condition under study.

#### 6.4.2.3. Relatedness

**Definitely Related:** The adverse event is clearly related to the investigational agent/procedure – i.e. an event that follows a reasonable temporal sequence from administration of the study intervention, follows a known or expected response pattern to the suspected intervention, that is confirmed by improvement on stopping and reappearance of the event on repeated exposure and that could not be reasonably explained by the known characteristics of the subject's clinical state.

**Possibly Related:** An adverse event that follows a reasonable temporal sequence from administration of the study intervention follows a known or expected response pattern to the suspected intervention, but that could readily have been produced by a number of other factors.

**Not Related:** The adverse event is clearly not related to the investigational agent/procedure. i.e. another cause of the event is most plausible; and/or a clinically plausible temporal sequence is inconsistent with the onset of the event and the study intervention and/or a causal relationship is considered biologically implausible.

#### 6.4.3. Reporting Process

AEs and/or laboratory abnormalities must be reported. All AEs experienced by the participant during the time frame specified in the protocol (e.g., from the time study drug administration through the end of the study) are to be reported, as outlined in the protocol.

Serious Adverse Event Reporting: All SAEs require expedited reporting by the PI to

DSMB within 24 hours of the event being reported to the investigator. The expedited report should be followed by detailed, written SAE report as soon as possible. Follow up information may be required and asked for by the independent safety monitoring body directly.

Unanticipated Problems (UP): UPs require expedited reporting by the PI to DSMB within 48 hours of the event being reported to the investigator unless they are also SAEs. UP reporting procedures must include a corrective plan and measures to prevent reoccurrence. It is recommended that such events be reported within 48 hours to NIA unless they are also SAEs. Follow up information may be required and asked for by the independent safety monitoring body directly.

### 7. Study Definition

#### @ Cardiovascular Death

Defined as 1) due to myocardial infarction, cardiac perforation or tamponade, arrhythmia, heart failure, aortic cause and stroke (including ischaemic and hemorrhagic) within 30 days of the procedure; 2) related to the procedure; 3) due to a complication of the procedure; 4) any death in which a cardiac cause cannot be excluded, as adjudicated by blinded clinical events committee.

#### @ Major cardiovascular event

Defined as coronary or peripheral revascularisation, or hospitalisation for cardiovascular events.

#### @ Coronary or peripheral revascularisation

Defined as an endovascular and surgical revascularisation of the coronary artery, carotid artery, or lower extremity artery. The need for coronary revascularisation is based on typical symptoms and signs of electrocardiographic changes, exercise or pharmacological stress study evidence for inducible myocardial ischaemia, angiographic evidence for new or worsening coronary artery disease and/or intracoronary thrombus. The need for lower extremity artery revascularisation is based on the presence of intermittent claudication, rest pain, and /or ischaemic ulceration in addition to stenosis or total occlusions. The need for carotid artery revascularisation is based on the presence of symptoms or carotid artery stenosis greater than 80% in patients without symptoms at a discretions of neurologist.

#### @ Hospitalisation for cardiovascular events

Defined as a hospitalisation for ischaemic heart disease, heart failure, or peripheral artery disease.

#### @ Hospitalisation for ischaemic heart disease

Defined as a hospitalisation due to the need for coronary revascularisation based on typical symptoms and signs of electrocardiographic changes, exercise or pharmacological stress study evidence for inducible myocardial ischaemia, angiographic evidence for new or worsening coronary artery disease and/or intracoronary thrombus, or a hospitalisation requiring at least an overnight stay due to substantial worsening of ischaemic symptoms and signs (electrocardiographic, echocardiographic or biomarker changes).

#### @ Hospitalisation for Heart Failure

Defined as an event that meets ALL of the following criteria:

- 1) The patient is admitted to the hospital with a primary diagnosis of HF
- 2) The patient's length-of-stay in hospital extends for at least 24 hours
- 3) The patient exhibits documented new or worsening symptoms due to HF on presentation, including at least ONE of the following:
  - a. Dyspnea (dyspnea with exertion, dyspnea at rest, orthopnea, paroxysmal nocturnal dyspnea)
  - b. Decreased exercise tolerance
  - c. Fatigue
  - d. Other symptoms of worsened end-organ perfusion or volume overload (must be specified and described by the protocol)
- 4) The patient has objective evidence of new or worsening HF, consisting of at least TWO physical examination findings OR one physical examination finding and at least ONE laboratory criterion, including:
  - a. Physical examination findings considered to be due to heart failure, including new or worsened:
    - i. Peripheral edema
    - ii. Increasing abdominal distention or ascites (in the absence of primary hepatic disease)
    - iii. Pulmonary rales/crackles/crepitations
    - iv. Increased jugular venous pressure and/or hepatojugular reflux
    - v. S3 gallop

- vi. Clinically significant or rapid weight gain thought to be related to fluid retention
- b. Laboratory evidence of new or worsening HF, if obtained within 24 hours of presentation, including:
  - i. Increased B-type natriuretic peptide (BNP)/ N-terminal pro-BNP (NT-proBNP) concentrations consistent with decompensation of heart failure (such as BNP > 500 pg/mL or NT-proBNP > 2,000 pg/mL). In patients with chronically elevated natriuretic peptides, a significant increase should be noted above baseline.
  - ii. Radiological evidence of pulmonary congestion
  - iii. Non-invasive diagnostic evidence of clinically significant elevated left- or right-sided ventricular filling pressure or low cardiac output. For example, echocardiographic criteria could include: E/e' > 15 or D-dominant pulmonary venous inflow pattern, plethoric inferior vena cava with minimal collapse on inspiration, or decreased left ventricular outflow tract (LVOT) minute stroke distance (time velocity integral (TVI))

OR

iv. Invasive diagnostic evidence with right heart catheterization showing a pulmonary capillary wedge pressure (pulmonary artery occlusion pressure) ≥18 mmHg, central venous pressure ≥12 mmHg, or a cardiac index < 2.2 L/min/m²

Note: All results from diagnostic tests should be reported, if available, even if they do not meet the above criteria, because they provide important information for the adjudication of these events.

- 5) The patient receives initiation or intensification of treatment specifically for HF, including at least ONE of the following:
  - a. Augmentation in oral diuretic therapy
  - b. Intravenous diuretic or vasoactive agent (e.g., inotrope, vasopressor, or vasodilator)
  - c. Mechanical or surgical intervention, including:
    - Mechanical circulatory support (e.g., intra-aortic balloon pump, ventricular assist device, extracorporeal membrane oxygenation,

total artificial heart)

ii. Mechanical fluid removal (e.g., ultrafiltration, hemofiltration, dialysis)

#### @ Hospitalisation for peripheral artery disease

Defined as a hospitalisation due to revascularisation and/or major or minor amputations.

#### @ Nonfatal stroke

Defined as a sudden focal neurologic deficit of presumed cerebrovascular etiology that persisted beyond 24 hours and is not due to another identifiable cause. An event matching this definition but lasting less than 24 hours is considered to be a transient ischaemic attack. Brain imaging (computed tomography or magnetic resonance imaging) is recommended for all suspected strokes.

#### @ New-onset diabetes mellitus

Defined as initiating antidiabetic medication during study period, or in-study fasting plasma glucose >125 mg/dL.

#### @ Muscle-relate adverse events

Muscle-related adverse events include myalgia, myopathy, myositis, myonecrosis, and rhabdomyolysis with or without acute kidney injury according to the the 2014 National Lipid Association Statin Muscle Safety Task Force.

- Myalgia: A symptom of muscle-discomfort, including muscle aches, soreness, stiffness, tenderness, or cramps with or soon after exercise, with a normal creatine kinase (CK) level. Myalgia symptoms can be described as similar to what would be experienced with a viral syndrome such as influenza.
- Myopathy: Muscle weakness (not due to pain), with or without an elevation in CK level.
- Myonecrosis: Elevation in muscle enzymes compared with either baseline CK levels (while not on statin therapy) or the upper limit of normal: classified with mild (3-10x ULN), moderate (10-50x ULN), severe (>50x ULN).

#### @ Aminotransferase elevation

Increase from baseline and  $> 3 \times ULN$  (upper limit of normal).

#### @ Creatinine kinase elevation

Definition of Creatine kinase elevation was Creatine kinase increase from baseline and > 5 x ULN (upper limit of normal).

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**Appendix 1. List of Committees and Participating Centers** 

Principal Investigator	Severance Cardiovascular Hospital, Seoul	Myeong-Ki Hong
Executive Committee	Severance Cardiovascular Hospital, Seoul Severance Cardiovascular Hospital, Seoul Korea University, Anam Hospital, Seoul Wonkwang University Hospital, Iksan, Korea Gangnam Severance Hospital, Seoul	Myeong-Ki Hong Byeong-Keuk Kim Soon Jun Hong Kyeong Ho Yun Bum-Kee Hong
Data Safety Monitoring Board	Yonsei Cardiovascular Hospital, Yongin Catholic University, St. Mary's Hospital, Seoul Ewha Womans University Hospital, Seoul Severance Hospital, Seoul	Jae Sun Uhm Jong-Chan Youn Jumbeom Park Dong-Ho Shin
Clinical Event Adjudication Committee	Severance Cardiovascular Hospital, Seoul Seoul Ewha Womans University Hospital, Seoul Kangwon National University Hospital, Chuncheon Gangnam Severance Hospital, Seoul	Sang Hak Lee Choongki Kim Ae-Young Her Jong-Youn Kim
Participating Centers	Severance Cardiovascular Hospital, Seoul Korea University, Anam Hospital, Seoul Wonkwang University Hospital, Iksan, Korea Gangnam Severance Hospital, Seoul Kosin University Gospel Hospital, Busan Korea University, Kuro Hospital, Seoul Myongji Hospital, Hanyang University Soonchunhyang University Cheonan Hospital CHA University Hospital, Bundang Gachon University Gil Hospital, Incheon Kyungsang University Hospital, Changwon Inje University Paik Hospital, Ilsan Konkuk University Hospital, Chungju Chung Ang University Hospital, Seoul Chungnam University Hospital, Daejeon Kangnam Sacred Hanlim University Hospital Soonchunhyang University Bucheon Hospital Halim University, Sungsim Hospital Seoul National University, Bundang Hospital Keimyung University Dongsan Hospital Chuncheon Sungsim Hospital Ehwa University Womans hospital, Seoul Cheonnam National University Hospital Chosun University Hospital Yeoungnam University Hospital, Daegu Euiji University Daejon Hospital, Daejon	Myeong-Ki Hong Soon Jun Hong Kyeong Ho Yun Bum-Kee Hong Jung Ho Heo Won Young Jang Yun-Hyeong Cho Won-Yong Shin Sang Wook Im Woong Chol Kang Young Hoon Jung Sung Yoon Lee Woong Gil Choi Wang Soo Lee Jinok Jung Sunghoon Choi Youn Haeng Cho Woo Jung Park Changhwan Youn Seung Ho Hur Hyun Hee Choi Kyoung Jin Kim Ju Han Kim Hyun Kuk Kim Jung Hee Lee Yu-Jung Choi