

# Chapter 3 | Private Key Encryption and Pseudorandomness

Wednesday, April 26, 2023 10:16 AM

## Story

- We study the notion of pseudorandomness  
idea, things can "look" random (in a precise sense), even though they are not
- secure long messages
- bypass inherent limitations of perfect secrecy by instead achieving a weaker (but sufficient) notion of computational security
- Examine computational approach to cryptography first and then look at private-key encryption

## 3.1 A computational approach to cryptography

- Recall
  - Ch 1
    - classical crypto
    - historical ciphers—how to break
  - Ch 2
    - Crypto schemes that are mathematically secure relative to some definition
    - adversary can be computationally unbounded
    - such schemes are called, information theoretically secure
      - because adversary doesn't have enough information to break i.e. ciphertext contains no information about the plaintext (assume key is hidden)
- Information theoretic security—stark contrast to computational security (aim of modern cryptographic construction)
  - Restrict to private-key (although holds generally)
    - modern schemes can be broken with enough time and resource
    - Don't satisfy perfect secrecy
  - Nonetheless, under assumptions, amount of computation is beyond many lifetimes
  - for all practical purpose, this level of security is enough
- Computational security—relies on assumptions (unlike information theoretic)
- Why give up on perfect security?
  - Recall: the key length must be as long as the combined length of all messages ever encrypted using this key
  - Similar results hold for other tasks when information theoretic security is needed
  - To get practical schemes, we abandon perfect security
  - Stress: everything is still rigorous—the definitions are weaker

### § 3.1.1 The basic idea of computational security

- Kerckhoffs
  - had stated many principles
  - Recall: cryptographic designs should be made public
  - Another principle:
    - A [cipher] must be practically, if not mathematically, indecipherable
- In modern language:
  - not necessary to use a perfectly secret encryption scheme but instead
  - use a scheme that cannot be broken in "reasonable time" with any "reasonable probability of success"
  - or concretely, the scheme can be broken (in theory) but cannot be broken with probability better than  $10^{-30}$  in 200 years using the fastest available supercomputer.
- Here's the framework for making formal statements about computationally secure schemes
- We incorporate two relaxations of the notion of perfect security:
  1. Security is only preserved against efficient adversaries, and
  2. Adversaries can potentially succeed with some very small probability (small enough so that we are not concerned that it will ever really happen).
- We need to make the notions above precise.

- There are two approaches
  - Concrete
  - Asymptotic

## Concrete Approach

Idea: quantifies the security of a given scheme  
by bounding the max. prob. of success of any adversary  
running for at most a specified amount of time.

More formally:

- Let  $t, \epsilon$  be positive constants with  $\epsilon \leq 1$
- Roughly,

A scheme  $(t, \epsilon)$ -secure if every adversary running for time at most  $t$  succeeds in breaking the scheme with probability at most  $\epsilon$

- Why rough?
  - e.g. we need to specify what it means to "break" the scheme
  - E.g.
    - running for at most 200 years, using the fastest hardware, cannot succeed with prob.  $\geq 10^{-30}$
    - or with CPU cycles as a measure—with at most  $2^{30}$  cycles, cannot break with prob. more than  $2^{-64}$
  - It is instructive to get a feel for the values of  $t, \epsilon$   
(that are typical of modern schemes)

### Example 3.1

Modern private-key encryption schemes are generally assumed to give almost optimal security in the following sense: when the key has length  $n$ , an adversary running in time  $t$  (measured in, say, computer cycles) can succeed in breaking the scheme with probability  $t/2^n$ . (We will see later why this is indeed optimal.) Computation on the order of  $t = 2^{60}$  is barely in reach today. Running on a 1GHz computer,  $2^{60}$  CPU cycles require  $2^{60}/10^9$  seconds, or about 35 years. Using many supercomputers in parallel may bring this down to a few years.

A typical value for the key length, however, might be  $n = 128$ . The difference between  $2^{60}$  and  $2^{128}$  is a multiplicative factor of  $2^{68}$  which is a number containing about 21 decimal digits. To get a feeling for how big this is, note

that according to physicists' estimates the number of seconds since the big bang is on the order of  $2^{58}$ .

An event that occurs once every hundred years can be roughly estimated to occur with probability  $2^{-30}$  in any given second. Something that occurs with probability  $2^{-60}$  in any given second is  $2^{30}$  times less likely, and might be expected to occur roughly once every 100 billion years. ◇

### Limitations

- What hardware was used (to we account for Moore's Law)
- What algorithm is used (do we account for innovations in algorithm design)
- We can at best say  $(t, \epsilon)$ -secure but never just secure
  - What range of  $(t, \epsilon)$  should one use?

Written on

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## The Asymptotic Approach

Idea

- It is rooted in complexity theory
- Views success probability of the adversary as functions of some parameter, rather than concrete numbers
- Specifically, it incorporates a security parameter—an integer  $n$ 
  - Honest parties use this to initialise the scheme (e.g. when they generate the key)
  - value is publicly known

Then we use the following "definitions"

1. "efficient algorithm" = poly time in  $n$   
NB: It could still be that the adversary runs for much longer than the honest party (e.g. honest party could be linear but adversary takes  $n^3$  for instance)
2. "small prob. of success" = smaller than any inverse poly in  $n$   
i.e. for every constant  $c$ ,  
probability is smaller than  $n^{-c}$  for a large enough  $n$  = "negligible"

Notation:

PPT: probabilistic poly time

Informal Definition:

"secure scheme" in the asymptotic setting

A scheme is secure if every PPT adversary succeeds

in breaking the scheme with  
only negligible probability

### Remarks

1. Example 3.2 shows that the guarantees hold for large enough  $n$ s only
2. Typically, the security parameter determines the length of the key
3. Larger the security parameter, more secure the scheme
4. Example 3.3: shows the effect of computers getting faster  
(the honest parties use a higher  $n$  when this happens; the scheme stays secure)
5. Relation to (extended) Church-Turing Thesis (recall: it essentially says relative speeds of sufficiently powerful computing devices are polynomially related):  
The asymptotic approach does not rely on any type of computer
6. Choosing  $n$  is not as obvious—but one can convert it into a concrete security guarantee and proceed (Example 3.4)

### Example 3.2

Say we have a scheme that is secure. Then it may be the case that an adversary running for  $n^3$  minutes can succeed in “breaking the scheme” with probability  $2^{40} \cdot 2^{-n}$  (which is a negligible function of  $n$ ). When  $n \leq 40$  this means that an adversary running for  $40^3$  minutes (about 6 weeks) can break the scheme with probability 1, so such values of  $n$  are not going to be very useful in practice. Even for  $n = 50$  an adversary running for  $50^3$  minutes (about 3 months) can break the scheme with probability roughly  $1/1000$ , which may not be acceptable. On the other hand, when  $n = 500$  an adversary running for more than 200 years breaks the scheme only with probability roughly  $2^{-500}$ .  $\diamond$

### Example 3.3

Let us see the effect that the availability of faster computers might have on security in practice. Say we have a cryptographic scheme where honest parties are required to run for  $10^6 \cdot n^2$  cycles, and for which an adversary running for  $10^8 \cdot n^4$  cycles can succeed in “breaking” the scheme with probability  $2^{20} \cdot 2^{-n}$ . (The numbers in this example are designed to make calculations easier, and are not meant to correspond to any existing cryptographic scheme.)

### Example 3.4

A typical proof of security for a cryptographic scheme might show that any adversary running in time  $p(n)$  succeeds with probability at most  $p(n)/2^n$ . This implies that the scheme is (asymptotically) secure, since for any polynomial  $p$ , the function  $p(n)/2^n$  is eventually smaller than any inverse-polynomial in  $n$ . Moreover, it immediately gives a concrete security result for any desired value of  $n$ ; e.g., the scheme with  $n$  fixed to 50 is  $(50^2, 50^2/2^{50})$ -secure (note that for this to be meaningful we need to know the units of time with respect to which  $p$  is being measured).  $\diamond$

### Notation (technical remark).

Recall: The standard convention in algorithms (and complexity theory) is to measure the running time as a function of the length of input

Thus: To be consistent, we provide the adversary/honest party with the security parameter  $n$  in unary as input:  $1^n$   
(by  $1^n$  we mean 11 ... 1, i.e.  $n$  many 1s) as input

Why couldn't we give a binary encoding?

Well, in binary, we would need only  $\log n$  bits and we want the input to be  $n$  bits.

### Necessity of the Relaxations

#### Motivation:

- We made two relaxations in our security
  - adversary was PPT (instead of unbounded)
  - success probability was allowed to be negligible (instead of zero)
- Are these necessary? Yes—we discuss informally

#### Discussion:

- Consider an encryption scheme with  $|\mathcal{K}| \ll |\mathcal{M}|$ , i.e. # keys is much smaller than the number of messages
- Here are two attacks

[If the adversary was required to succeed with probability 1, but could take unbounded time]

#### Attack 1: Brute-force search

- Given a ciphertext  $c$ , adversary can decrypt  $c$  using all keys  $k \in \mathcal{K}$   
Yields a set of messages which cannot possibly exhaust  $\mathcal{M}$   
Thus, some information is leaked
- One can even learn the exact key when carrying out a known-plaintext attack
  - Suppose  $c_1 \dots c_l$  are known to correspond to messages  $m_1 \dots m_l$
  - Run the procedure above until the same key  $k$  decrypts all messages
  - This works with high probability

[either that or there's another attack] don't know the details here, just asserting what the book

said].

Allows the adversary to succeed with probability essentially 1 in time linear in  $|\mathcal{K}|$ .

[If the adversary was required to take polynomial time, but we would say the scheme is broken if it succeeded with non-zero probability]

#### Attack 2: Guess

- Again, suppose  $c_1 \dots c_l$  corresponds to the message  $m_1 \dots m_l$ 
  - Now simply "guess a key"  $k \in \mathcal{K}$  and check if it decrypts all ciphertexts
  - It succeeds with probability  $1/|\mathcal{K}|$  and takes polynomial time to run

- Consequence:
  - The two relaxations (stated at the beginning) are necessary (mostly a restatement).
    - the adversary should be limited to running in a certain time (else brute-force search is possible)
    - allow the adversary to succeed with a small prob (without considering the scheme broken)
  - The key space must therefore depend on  $n$ .
    - i.e. one must associate a sequence  $\{\mathcal{K}_n\}$  s.t.
      - the key is chosen from  $\mathcal{K}_n$  when the security parameter is  $n$
    - if want poly adversaries to achieve only negligible success,
      - the key space must grow super-polynomially in  $n$
      - otherwise, brute-force can be carried out in poly time & random guessing would work with non-negligible prob.

### 3.1.2 Efficient Algorithms and Negligible Success

Revision of algorithms/complexity theory notation about "poly time" etc.

[This section is not written properly]

#### Efficient Computation

Randomised algorithm:

gets random bits on a special tape.  
equivalently, give randomness in the input

Why poly?

closed under composition  
Nothing inherently special—e.g. could take time  $n^{O(\log n)}$

Why probabilistic (and not just deterministic)

First, randomness is essential to crypto (the honest party already needs this to generate keys)  
Second, randomness may give more power to computation (and we want to model the adversary as powerful as we can)  
Whether randomness helps is not known yet (but complexity theory results indicate it helps)

Generating randomness.

How does a computer "toss coins"

- (a) Hardware random number generator
- (b) Software (use unpredictable events, like key-strokes, movements of the mouse etc.)
  - These may not be uniform—need processing further (but these are not well understood)

Caveat: random() in C is not random at all (for crypto purposes) so one must be careful.

#### Negligible Success Probability

- Just as we consider poly time to be feasible  
we consider inverse poly probabilities to be significant
- i.e. if an adversary succeeds (in breaking a crypto scheme) with  $1/p(n)$  for some poly  $p$   
the scheme would not be considered secure
  - [basically, one can usually repeat the algorithm poly many times and make the success prob. constant]
- if it is smaller than  $1/p$  for every polynomial, then we say the probability is too small—it is negligible

**Definition 3.5** A function  $f$  is negligible if for every poly  $p(\cdot)$

there is an  $N$  s.t. for all integers  $n > N$   
it holds that  $f(n) < 1/p(n)$

Equivalent condition: require for all constants  $c > 0$ ,

$$f(n) < n^{-c}$$

**Notation:** Negligible functions are typically denoted by negl

E.g.  $2^{-n}$ ,  $2^{-\sqrt{n}}$ ,  $n^{-\log n}$  are all negligible

Remark: for large enough  $n$ , it holds that  $2^{-\sqrt{n}} < n^{-\log n}$   
(but this happens for  $n > 65536$ ; so for lower  $n$ s, the other one might be better)

**Remark about negligible:**

They are closed under composition!

**Proposition 3.7** Let negl and negl' be negligible functions

1. Their sum is also a negligible function
2.  $p \cdot \text{negl}$  is also negligible where  $p$  is a positive polynomial.

**Remark:**

Point 2 above says that if something happens with negligible prob  
then even if the process is repeated poly many times,  
the prob. of the event occurring stays negligible

**Discussion:**

Is it ok to ignore negligible probability?

For all practical purposes, yes!

Why?

Insane events can happen with non-zero probability

E.g. Asteroid hits earth

E.g. the disk storing the secret of honest parties gets erased

## Asymptotic Security: A Summary

[repetition] The general template for asymptotic security is:

A scheme is secure if for every probabilistic poly-time adversary  $\mathcal{A}$   
(carrying out an attack), the probability that  $\mathcal{A}$  succeeds (in the attack)  
is negligible.

NB: Guarantees only hold for large enough  $n > N$ .

### 3.1.3 Proofs by Reduction

Story:

- Proving a scheme is secure unconditionally  
would mean showing lower bounds on time (needed to break the scheme)  
this would require breakthroughs in complexity theory (far out of reach today)  
[In particular, this would mean  $P \neq NP$ ]
- Instead, assume some low-level problem is hard to solve  
and then prove the construction is secure given that assumption
- How do these proofs typically work?
  - By "reduction"
    - it shows that  
any PPT adversary  $\mathcal{A}$  that  
breaks the scheme  
can be converted into  
another PPT adversary  $\mathcal{A}'$  that  
solves the problem assumed to be hard
- This scheme is important—look at it in more detail

Typical template for "reductions"

**Assumption:**

Some problem  $X$  cannot be solved (in some precise sense)  
by any PPT algorithm  
except with negligible probability

**Goal:** To prove that some crypto construction  $\Pi$   
is secure (in some precise sense)

Here's the template:

1. Fix some PPT adversary  $\mathcal{A}$  attacking  $\Pi$ .  
Let  $\epsilon(n)$  denote its success probability
2. Construct another PPT adversary  $\mathcal{A}'$  that  
attempts to solve problem  $X$  with  
 $\mathcal{A}$  as a subroutine

NB:  $\mathcal{A}'$  need not know how  $\mathcal{A}$  works—it is only required that  
 $\mathcal{A}$  is trying to break  $\Pi$

Given an input instance  $x$  of problem  $X$   
 $\mathcal{A}'$  will simulate an execution of  $\Pi$  s.t.

(a) As far as  $\mathcal{A}$  can tell, it interacts with  $\Pi$

More formally,

the view of  $\mathcal{A}$  when it is run as a sub-routine by  $\mathcal{A}'$   
should be distributed identically to (or close)

the view of

$\mathcal{A}$  when it interacts with  $\Pi$  itself.

(b) Further, if  $\mathcal{A}$  succeeds in "breaking" the execution of  $\Pi$

(that is being simulated by  $\mathcal{A}'$ ),

this should allow  $\mathcal{A}'$  to solve

the instance  $x$  it was given

at least with  $1/\text{poly}(n)$  probability.

3. Taken together

2(a) and 2(b) imply that

if  $\epsilon(n)$  is not negligible, then

$\mathcal{A}'$  solves problem  $X$  with

non-negl probability  $\epsilon(n)/p(n)$ .

Also, since  $\mathcal{A}'$  is efficient (assuming calls to  $\mathcal{A}$  are free) and runs  $\mathcal{A}$  (also PPT) as a subroutine, effectively  $\mathcal{A}'$  is PPT.

Then,  $\mathcal{A}'$  contradicts the assumption (that  $X$  is hard to solve).

4. Conclusion: Since  $X$  is assumed hard (no PPT algorithm  $\mathcal{A}$  can succeed with non-negl probability)

therefore,  $\Pi$  is computationally secure.

Story:

- We now look at some examples to clarify how this works in practice

## 3.2 A definition of Computationally Secure Encryption

Story:

- We are now ready to present a definition

of computational security for private-key encryption.

- First, we define the syntax of a private-key encryption essentially the same as the syntax in Ch 2 except—security parameter
- Later, we define the notion of "security".

Definition 3.8

A **private-key encryption scheme** is a tuple of PPT algorithms  $(\text{Gen}, \text{Enc}, \text{Dec})$  s.t.

1. Gen

Input: security parameter  $1^n$

Output: a key  $k$

Invocation:  $k \leftarrow \text{Gen}(1^n)$

Assumption (wlog):  $|k| \geq n$  [why without loss of generality? are we just padding with zeros?]

2. Enc

Input:  $k$  (a key) and  $m \in \{0,1\}^*$  (plaintext; assume  $m$  to have length poly in  $n$ )

Output:  $c$

Invocation:  $c \leftarrow \text{Enc}_k(m)$

3. Dec

Input:  $k$  and  $c$

Output:  $m$

Invocation:  $m := \text{Dec}_k(c)$  (because Dec is assumed to be deterministic)

**Correctness:** For every  $n$  and  $k$  produced by Gen

require that  $\text{Dec}_k(\text{Enc}_k(m)) = m$  for all  $m \in \{0,1\}^*$

[me: why can't we add the length of the message to the generator?; maybe because it won't work with public key]

**Fixed length private-key encryption:**

If the scheme is only defined for messages of length  $\ell(n)$  for some fixed poly bounded function  $\ell$

### 3.2.1 A definition of Security for Encryption

Story:

- There are many ways of defining security for private-key encryption
  - Primarily wrt the power of the adversary
- Begin with the simplest—
  - security against a **weak form of ciphertext-only attack**  
adversary only observes a single ciphertext

Motivating the definition

Recall from Ch 1: Security definition consists of two parts

- (a) power of adversary
  - (b) what does it mean to "break" the scheme?

- (a) adversary (weak form of cipher-text only attack)

- Sees only ciphertext (cannot choose which—like its eavesdropping)
  - Wishes to crack it
  - It is **PPT**

(Recall: we never assume any restrictions on the strategy; crucial because one cannot predict all possible strategies to give a meaningful notion of security)

## Story:

- Recall (from Section 1.4.1), we said we want to ensure "no partial information about the plaintext" can be learnt  
It is formalised as "**Semantic Security**"  
This is involved—not covered here
  - Instead, we work with an equivalent definition  
in terms of **indistinguishability**

- Recall:  $\text{PrivK}^{eav}_{\mathcal{A}, \Pi}$

We make two modifications to the requirements on the adversary

- adversaries run in PPT
  - even if the adversary succeeds with  $\frac{1}{2} + \text{negl}$ , we don't consider the scheme broken  
(must be non-negl more than  $1/2$ )

## Two modifications to the security game

1. The game depends on the security parameter  $n$   
As do success probabilities etc.
  2. Require  $m_0, m_1$  are of equal length

NB: The book is using the same name, i.e.  $\text{PrivK}$ , as the information theoretic version.

Remark: (About point 2 above)—this is necessary because our scheme allows us

to encrypt messages of arbitrary length  
Otherwise, from the length of the ciphertexts, the messages would become trivially distinguishable.

[Me: Why didn't we have this issue in the perfect secrecy case? In the formalism at least, we simply fixed the number of keys and number of messages; so the bits in the keys determined the length of the ciphertext. This is no longer the case; maybe for fixed length private key encryption schemes]

NB: Most schemes don't hide the length of the message (not discussed further)

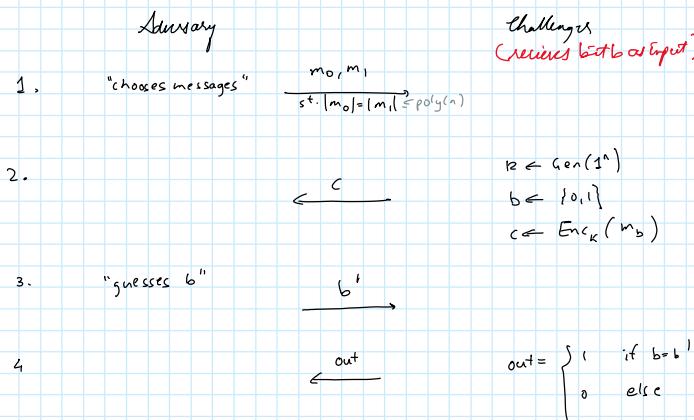
Notation:  $\Pr[\text{PrivK}_{\mathcal{A}, \Pi}^{eav}(n) = 1]$  denotes the probability that the adversary  $\mathcal{A}$  outputs 1 in the game

Indistinguishability in the presence of an eavesdropper

Story: We now give the formal definition.

**Adversarial Indistinguishability Game**  $\text{PrivK}_{\mathcal{A}, \Pi}^{eav}(n)$ :

(both the adversary & the challenger receive inputs  $1^n$ )



NB: If  $\Pi$  is a fixed-length scheme with parameter  $\ell$  then in the game, require  $m_0, m_1 \in \{0,1\}^{\ell(n)}$ .

**Definition 3.9:** A private key scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has

indistinguishable encryptions in presence of an eavesdropper if for all PPT adversaries  $\mathcal{A}$ , there is a negligible function  $\text{negl}$  s.t.

$$\Pr[\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}}(n) = 1] \leq \frac{1}{2} + \text{negl}(n)$$

where the probability is over randomness of  $\mathcal{A}$ , and over that used in the game (e.g. by Gen, Enc etc.)

Remarks:

- Note the security covers "all strategies"
- Adversary is allowed to choose  $m_0, m_1$ —  
e.g. it could know the message is "attack today" or "don't attack"  
but the security guarantee guarantees  
it cannot know which among the two was sent
- No restriction on the length of messages (as long as lengths are same and poly in  $n$ )

### Equivalent formulation

Story:

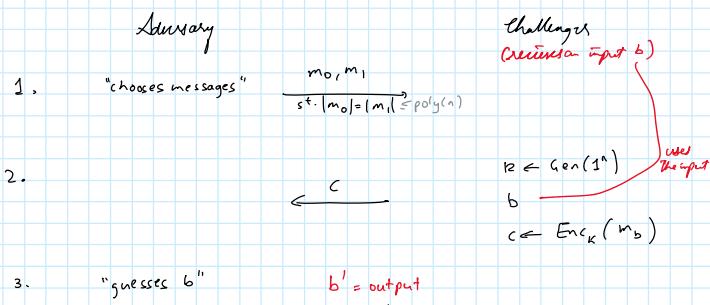
- There is another way of formalising that
  - "every adversary  $\mathcal{A}$  behaves the same way when it's given encryptions of  $m_0$  and  $m_1$  (of same length)"
- NB:  $\mathcal{A}$  outputs a bit so by "behave" we can imagine, the probability of outputting 1
- Therefore, one formalises "same behaviour" by requiring the probability of outputting 1 to be the same in both cases
- To formalise this, we consider a close variant of the  $\text{PrivK}^{\text{eav}}(n)$  game

**Informal Definition:**  $\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}}(n, b)$

- Same as game  $\text{PrivK}^{\text{eav}}(n)$ , except that the challenger receives  $b$  as an input (instead of choosing it randomly).

- The output of the game now, is the output of  $\mathcal{A}$

(both the adversary & the challenger receive inputs  $1^n$ )



**Definition 3.10** A private-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has

indistinguishable encryptions in the presence of an eavesdropper if for all PPT machines  $\mathcal{A}$ , there's a negligible function  $\text{negl}$  s.t.

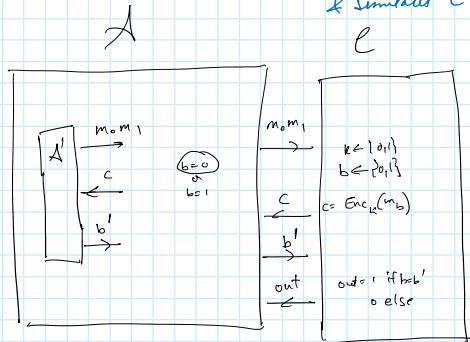
$$\Pr[\text{Priv}_{A, \Pi}^{\text{cov}}(n, 0) = 1] - \Pr[\text{Priv}_{A, \Pi}^{\text{cov}}(n, 1) = 1] \leq \text{negl}$$

**Claim:** Definitions 3.9 and 3.10 are equivalent.

(trivial direction)

(4) suppose 3.10 can be broken by  $\mathcal{A}'$  against  $\mathcal{C}'$   
challenger for eg 3.10.

Show 3.9 can be broken using  $\mathcal{A}'$  that uses  $\mathcal{A}'$   
 $\mathcal{A}'$  simulates  $\mathcal{C}'$ .



$$\begin{aligned} \underbrace{\Pr_{\mathcal{A}, 0}[G(\mathcal{A}', \mathcal{C}'(0)) = 0]}_{P_{0,0}} &\geq \underbrace{\Pr_{\mathcal{A}, 0}[G(\mathcal{A}', \mathcal{C}'(1)) = 0]}_{P_{1,0}} + \underbrace{\eta}_{\text{non-negl.}} \\ P_{1,0} &= 1 - P_{0,0} \\ P_{0,0} &\geq P_{1,0} + \eta \\ -P_{1,0} &> -P_{0,0} + \eta \\ \Pr_{\mathcal{A}, 0}[\mathcal{A}(\mathcal{A}, \mathcal{C}).\text{out} = 1] &= \frac{1}{2} \cdot P_{0,0} + \frac{1}{2} P_{1,1} \\ &= \frac{1}{2} (P_{0,0} + P_{1,0}) \\ &= \frac{1}{2} (P_{0,0} + 1 - P_{0,0}) \\ &\geq \frac{1}{2} (1 + P_{0,0} - P_{0,0} + \eta) \\ &\geq \frac{1}{2} + \frac{1}{2} \eta \end{aligned}$$

(2) other direction

Proof Idea:

- Suppose  $\mathcal{A}'$  wins w.p.  $\frac{1}{2} + \eta$  in Def 3.9.

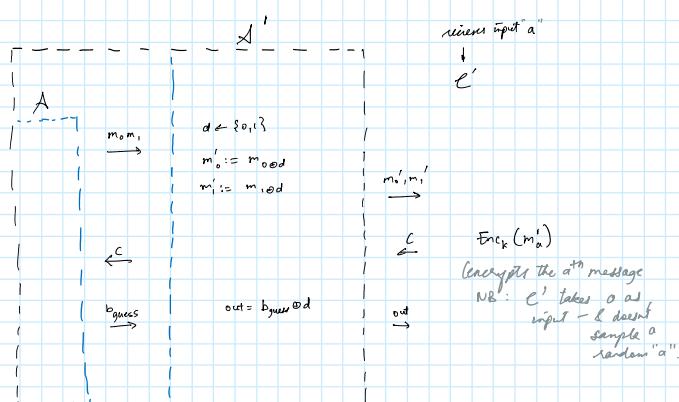
• We construct an  $\mathcal{A}'$  that interacts with the challenger  $\mathcal{C}'$  in Def 3.10.

• NB

(a)  $\mathcal{A}'$  uses  $\mathcal{A}$

(b)  $\mathcal{A}'$  simulates a challenger  $\mathcal{C}$  for  $\mathcal{A}$   
(that behaves as  $\mathcal{A}$  expects)

construction for  $\mathcal{A}'$



NB:  $c = \begin{cases} \text{Enc}_K(m_0) & \text{if } Y_2 \\ \text{Enc}_K(m_1) & \text{if } Y_2 \end{cases}$   $\therefore A$  sees the "challenge" it expected.

$\therefore \Pr[\text{Enc}_K(m_0) = Y_2 + n] = \Pr[Y_2]$  i.e.  $A'$  has a way of "knowing"  $a$ .

$\therefore$  the algorithm's output is correlated to  $a$

$$\Pr[A' \rightarrow 1; c_0] = Y_2 - n \quad \therefore A' \text{ breaks} \\ \Pr[A' \rightarrow 1; c_1] = Y_2 + n$$

where  $C$  chose  $b$  at random  
sample  $a$  random "a".  
security condition (3.9) message to encrypt

$\exists \text{ negl s.t. } \forall A \text{ PPT it holds that}$

$$\lambda[A \text{ wins}] \leq \frac{1}{2} + \text{negl}.$$

(negation)

$\forall \text{ negl } \exists A \text{ PPT s.t.}$

$$\lambda[A \text{ wins}] > \frac{1}{2} + \text{negl}$$

$\therefore \exists \text{ nonnegl, } \exists A \text{ PPT s.t.}$

$$\Pr[A \text{ wins}] = \frac{1}{2} + \text{nonnegl}.$$

### 3.2.2 Properties of the Definition

Story:

- We motivated the definition of secure encryption by saying it should be *infeasible* to learn any partial information about the plaintext from the ciphertext
- Our discussion so far, does not seem to have anything to do with it
  - that definition is called *semantic security* (quite involved)
- We now *prove two claims* that show weaker versions of semantic security (starting from our definition)
- Later, we present the "essence" of the definition of semantic security (details in Ch 5)

#### Claim 3.11

Let  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  be a private-key encryption scheme that has *indistinguishable encryptions* in the presence of an eavesdropper.

Then,

for all PPT adversaries  $\mathcal{A}$  and all  $i$ , there is a negligible function  $\text{negl}$  s.t.

$$\Pr[\mathcal{A}(1^n, \text{Enc}_k(m)) = m^i] \leq \frac{1}{2} + \text{negl}(n),$$

where  $m$  is chosen uniformly at random and

probability is taken over

- the random coins of  $\mathcal{A}$
- the choice of  $m$  and
- the key  $k$
- (and any randomness in the encryption process)

(I am guessing that  $m^i$  means the  $i$ th bit of  $m$ )

#### Proof idea:

if it is possible to guess the  $i$ th bit of  $m$  given  $\text{Enc}_k(m)$  then it is also possible to distinguish between encryptions of  $m_0$  and  $m_1$  where the  $i$ th bit of  $m_0$  equals 0 and that of  $m_1$  equals 1.

Formally, we show

if there is an adversary  $\mathcal{A}$  that can guess the  $i$ th bit of  $m$  given  $\text{Enc}_k(m)$  with prob at least  $\frac{1}{2} + \epsilon$  for some function  $\epsilon$

then there is an adversary  $\mathcal{A}'$  that

succeeds in the security game for  $\Pi$  with probability  $\frac{1}{2} + \epsilon$ .

Thus,  $\epsilon$  must be negligible.

#### Proof:

Let:  $\mathcal{A}$  be a probabilistic poly-time adversary

Defn:

$$\epsilon(n) := \Pr[\mathcal{A}(1^n, \text{Enc}_k(m)) = m^i] - \frac{1}{2}$$

where  $m$  is chosen uniformly at random from  $\{0,1\}^n$ .

(Me: What about  $i$ ? Is  $\epsilon$  a function of  $i$ ?

It's me again:  $i$  is fixed; negation of the statement says there is some  $i$  for which there is an adversary that does better than random guessing)

Notation: We drop  $1^n$  for visual clarity

Defn: For  $n \geq i$ ,

$I_0^n$  be the set of all strings of length  $n$  whose  $i^{th}$  bit is 0  
 $I_1^n$  be the set of all strings of length  $n$  whose  $i^{th}$  bit is 1

$$\begin{aligned} \text{NB: } \Pr[\mathcal{A}(\text{Enc}_k(m)) = m^i] &= \frac{1}{2} \cdot \Pr[\mathcal{A}(\text{Enc}_k(m_0)) = 0] + \\ &\quad \frac{1}{2} \cdot \Pr[\mathcal{A}(\text{Enc}_k(m_1)) = 1] \end{aligned} \quad (\star)$$

where  $m_0$  is chosen uniformly from  $I_0^n$  (and similarly  $m_1$  and  $I_1^n$ )

(NB: prob. that a uniformly random string is in  $I_0^n$  or  $I_1^n$  is the same.)

Story: Now consider the following adversary  $\mathcal{A}'$  (designed to break  $\Pi$  using  $\mathcal{A}$ ) who eavesdrops on the encryption of a single message:

Defn: Adversary  $\mathcal{A}'$

- a. On input  $1^n$ ,  
choose  $m_0 \leftarrow I_0$  and  $m_1 \leftarrow I_1$  uniformly at random from the indicated sets  
(Notation: I started dropping the  $n$  superscript)  
Output  $m_0, m_1$
- b. On ciphertext  $c$ ,  
invoke  $\mathcal{A}$  on input  $c$   
Output  $b' = 0$  if  $\mathcal{A}$  outputs 0 and  
output  $b' = 1$  otherwise.

NB1: (trivial)  $\mathcal{A}'$  runs in poly time since  $\mathcal{A}$  does

NB2: (using the definition of the security game for  $\Pi$ ), it holds that  $b' = b \Leftrightarrow$  the game outputs 1

NB3:

$$\begin{aligned} \Pr[\mathcal{A}'(\text{priv}_{\mathcal{A}', \Pi}^{cov}(n)) = 1] &= \Pr[\mathcal{A}(\text{Enc}_k(m_0)) = b] && \text{(using } (\star) \text{ above)} \\ &= \frac{1}{2} \cdot \Pr[\mathcal{A}(\text{Enc}_k(m_0)) = 0] + \frac{1}{2} \cdot \Pr[\mathcal{A}(\text{Enc}_k(m_1)) = 1] \\ &= \Pr[\mathcal{A}(\text{Enc}_k(m)) = m^i] \\ &= \frac{1}{2} + \epsilon(n). \end{aligned}$$

By the assumption that  $\Pi$  is secure,  $\epsilon$  must be negligible

(NB: having  $n > i$  is not an issue—the guarantee holds asymptotically)

□

Story:

[TODO: Write this better; two generalisations

- Make the distribution over  $m$  different from uniform
- Allow arbitrary poly time functions
- Clarify how we need to change the notion slightly

]

- We now want to show no PPT adversary can

learn any function of the plaintext

given the cipher text

- This is problematic to define!

E.g. consider  $f(m) = m^i$

Recall: showed up, when  $m$  is uniform,  
no adversary can succeed at this  
wp more than  $1/2 + \text{negl}$   
(given the ciphertext)

- How do we generalise?
  - One direction:  
Have the "bit prediction" impossibility for all distributions  
However, if  $m$  is not uniform, then it may be possible to predict the  $i$ th bit  
E.g. distribution s.t.  $i$ th bit of  $m$  is fixed; then  $i$  is easy to predict
  - What we want is,  
if  
an adversary receiving  $c = \text{Enc}_k(m)$   
can compute  $f(m)$  for some function  $f$ ,  
then  
there exists an adversary that  
can compute  $f(m)$  (with the same probability of being correct)  
without given the ciphertext  $c$   
(but only the prior distribution over  $m$ )

- In the next claim, we show the above when  
 $m$  is chosen uniformly at random from set  $S \subseteq \{0,1\}^n$   
(in fact, we consider an infinite set  $S \subseteq \{0,1\}^*$   
and the set of interest  $S_n := S \cap \{0,1\}^n$ , subset of  $n$  bit strings from  $S$ )

We assume  $S_n$  is  
never empty and  
efficiently samplable (i.e. given  $1^n$ , there's a PPT algorithm sampling from  $S_n$ )

### Claim 3.12

Let  $\Pi$  be a private-key encryption scheme that has  
*indistinguishable encryptions* (in the presence of an eavesdropper).

Then,

- for every PPT adversary  $\mathcal{A}$  there is  
PPT algorithm  $\mathcal{A}'$ 's.t.  
for every PPT computable function  $f$  and every efficiently samplable set  $S$   
there is a negligible function  $\text{negl}$  s.t.

$$\left| \Pr_{k \sim \mathcal{K}} [\mathcal{A}(1^n, \text{Enc}_k(m)) = f(m)] - \Pr_{k \sim \mathcal{K}} [\mathcal{A}'(1^n) = f(m)] \right| \leq \text{negl}(n)$$

where  $m$  is chosen uniformly at random from  $S_n := S \cap \{0,1\}^n$ ,

and the probabilities are taken over

- the choices of  $m$
- the key  $k$
- and any other random coins used by  $\mathcal{A}, \mathcal{A}'$  and
- the encryption process

Proof (sketch).

- Informal sketch
- Assume  $\Pi$  has indistinguishable encryption
  - This means no PPT can distinguish between  $\text{Enc}_k(m)$  and  $\text{Enc}_k(1^n)$  for any  $m \in \{0,1\}^n$ .
- We claim that the probabilities of the following two events are almost the same
  - $\mathcal{A}$  (correctly) computes  $f(m)$  given  $\text{Enc}_k(m)$
  - $\mathcal{A}$  (correctly) computes  $f(m)$  given  $\text{Enc}_k(1^n)$
- Why?  
otherwise  $\mathcal{A}$  could be used to distinguish encryptions of  $m$  and  $1^n$   
(here's the distinguisher (algorithm for breaking  $\Pi$ ):

sample  $m$  uniformly from  $S_n$  and  
sends  $m_0 = m$  and  $m_1 = 1^n$  to the challenger  
Given  $c$  (that is an encryption of either  $m$  or  $1^n$ ),  
Invoke  $\mathcal{A}$  on  $c$   
Output  $0 \Leftrightarrow \mathcal{A}$  outputs  $f(m)$

If  $\mathcal{A}$  outputs  $f(m)$  wp non-negligibly different from  
whether it got encryption of  $m$  or  $1^n$   
then security of  $\Pi$  is violated  
)

- Define  $\mathcal{A}'$  is designed to compute  $f(m)$  with input only  $1^n$ . [grammar]  
Here's the construction:
  - Sample  $k' \leftarrow \text{Gen}(1^n)$  and compute  $\text{Enc}_{k'}(1^n)$
  - Invoke  $\mathcal{A}$  on input  $\text{Enc}_{k'}(1^n)$  and output whatever it outputs

- Claim:
    - Probability that  $\mathcal{A}'$  computes  $f(m)$  correctly
      - $\approx$
      - probability  $\mathcal{A}$  computes  $f(m)$  correctly given  $\text{Enc}_k(1^n)$   
(here  $k$  is generated externally; nothing to do with the  $k'$  generated by  $\mathcal{A}'$ )
    - (and by the "claim" above)
      - $\approx$
      - probability  $\mathcal{A}$  computes  $f(m)$  correctly given  $\text{Enc}_k(m)$
- 

## Semantic Security

Story:

- Full definition of semantic security  
is considerably more general (than what we proved)
- In particular
  - arbitrary distributions* over plaintext and
  - arbitrary "external" information* about chosen plaintexts  
are also taken into consideration

Notation:

- As above,  
denote by  $f$  the function of the plaintext (that needs to be computed)
- Denote by  $h$   
the "*external*" knowledge the adversary may have regarding the plaintext

Story:

- In addition to the encryption of  $m$ ,  
the adversary is also given  $h(m)$

TODO:

- there is an additional function  $h$  of messages that is given as an input
- Distributions are now arbitrary but samplable
  - for every  $n$ , there's a distribution  $X_n$  from which messages are sampled
  - Constraint: Messages have equal length

### Definition 3.13

A private key encryption scheme  $\Pi$  is **semantically secure** in the presence of an eavesdropper if for every PPT algorithm  $\mathcal{A}$ 

- there is a PPT algorithm  $\mathcal{A}'$  s.t.
- for every efficiently-samplable distribution  $X = (X_1 \dots)$  and
- all PPT computable functions  $f$  and  $h$ ,
- there is a negligible function  $\text{negl}$  such that

$$\left| \Pr[A(1^n, \text{Enc}_k(m), h(m)) = f(m)] - \Pr[\mathcal{A}'(1^n, h(m)) = f(m)] \right| \leq \text{negl}$$

where  $m$  is chosen according to  $X_n$ , and

the probabilities are taken over

- the choices of  $m$  and the key  $k$
- and any randomness used by  $\mathcal{A}, \mathcal{A}'$  and
- the encryption process

### Theorem 3.14

A private-key encryption scheme has **indistinguishable encryptions** in the presence of an eavesdropper iff it is **semantically secure** in the presence of an eavesdropper

### Remark:

- A similar equivalence is known for all definitions of indistinguishability that we present
- We therefore use indistinguishability as our working definition

## 3.3 Pseudorandomness

Story:

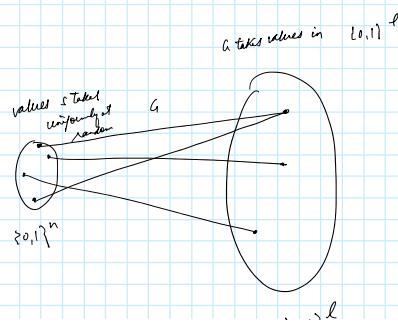
- Instead of going into constructions of secure encryption schemes, we introduce the notion of pseudorandomness
- Pseudorandomness
  - plays a fundamental role in cryptography in general, and
  - private-key encryption in particular
- Loosely speaking
  - a pseudorandom string is a string that looks like a uniformly distributed string as long as the entity that is looking runs in poly time
  - Just as indistinguishability is a relaxation of perfect secrecy, pseudorandomness is a computational relaxation of true randomness
- Conceptual point (important)
  - No fixed string can be said to be pseudorandom in the same way that no fixed string is random
  - Rather, pseudorandomness refers to distributions over strings
  - When we say distribution  $\mathcal{D}$  over strings (of length  $\ell$ ) is pseudorandom we mean  $\mathcal{D}$  is indistinguishable from the uniform distribution over strings of length  $\ell$  (strictly, since we are in the "asymptotic setting", we'll need a sequence of distributions, one for each value of the security parameter; we ignore this for now)
  - More precisely,
    - it is infeasible for any poly-time alg to tell whether it is given a string sampled from  $\mathcal{D}$  or from an  $\ell$ -bit string, chosen uniformly at random
- The specific distributions  $\mathcal{D}$  we will be interested in are those
  - that are defined by a short random seed  $s \in \{0,1\}^n$  uniformly at random
  - and then outputting  $G(s) \in \{0,1\}^\ell$ .
- The distribution  $\mathcal{D}$  thus defined, outputs the string  $y \in \{0,1\}^\ell$  w.p. exactly

$$\frac{|\{s \in \{0,1\}^n \mid G(s) = y\}|}{2^n}$$

which will, in general, not be the uniform distribution.

NB: In fact, we will only be interested in the case

$\ell > n$  in which case,  
the distribution will be  
very far from uniform





$$l > n$$

- Even given the above discussion  
we frequently abuse the notation and  
call a string sampled from a uniform distribution a "random string" and  
call a string sampled from a pseudorandom distribution a "pseudo-random string"

- [skipped a remark]
- random string**. This is only useful shorthand, and it should be noted in particular that if  $y = G(s)$  for some  $s$  then in fact  $y$  can occur as either a random or a pseudorandom string.

- Intuition about
  - why pseudorandomness helps in construction of secure private-key encryptions
  - Simplistic level
    - if a ciphertext looks random,  
no adversary can learn anything about the plaintext
    - To some extend, this is the intuition behind the perfect secrecy of the one time pad
    - (Recall: ciphertext was uniformly distributed, assuming key is unknown—thus reveals nothing about the plaintext)
    - Caveat: this is only intuitive
  - One time pad worked by XORing the random string (key) with the plaintext
  - If one uses a pseudorandom string instead  
this should not make any difference to a poly-time adversary  
thus security should still hold.
- We see below  
this idea can be implemented.
- But why bother with this?  
So that one can use a **shorter key (seed)** and still encrypt long messages

## Pseudorandom generators.

- We now formally define pseudorandom generators

Verbose Definition:

A pseudorandom generator is a deterministic algorithm that receives a **short truly random seed** and stretches it into a **long string** that is **pseudorandom**.

Informal: it takes a short amount of randomness and stretches it into a long string that is pseudorandom

Notation:

We set  $n$  to be the length of the seed  
that is input to the generator and  
 $\ell(n)$  is the output length

Remark: Clearly, the generator is only interesting if  $\ell(n) > n$   
(otherwise, it does not generate any new "randomness")

### Definition:

Let

- $\ell(\cdot)$  be a polynomial and
- $G$  be a deterministic poly-time alg,

s.t.

for any  $s \in \{0,1\}^n$ ,  
 $G(s) \in \{0,1\}^{\ell(n)}$ .

We say  $G$  is a **pseudorandom generator** if the following two conditions hold:

- (1) Expansion:  
For every  $n$ ,  $\ell(n) > n$

- (2) Pseudorandomness:  
For all PPT distinguishers  $D$   
there is a negligible function  $\text{negl}$  s.t.

$$|\Pr_{\mathcal{A}}[D(x)=1] - \Pr_{\mathcal{A}}[D(c(s))=1]| \leq \text{negl}(n)$$

where

- $r$  is chosen uniformly at random from  $\{0,1\}^{\ell(n)}$ ,
- the seed  $s$  is chosen uniformly at random from  $\{0,1\}^n$
- probabilities are taken over random coins used by  $D$  and the choice of  $r$  and  $s$ .

The function  $\ell(\cdot)$  is called the **expansion factor** of  $G$ .

## Discussion

- Notice that the output of pseudorandom generator is actually very far from random  
(e.g. for  $\ell(n) = 2n$ , there are exponentially many strings that never appear, for any fixed  $G$ .)
- Thus, it is trivial to distinguish b/w a random string and a pesudorandom string given unlimited time  
Construction for a distinguisher:  
 $D$  outputs 1 iff there is some  $s \in \{0,1\}^n$  s.t  $G(s) = w$

It is not hard to see that

$$|\Pr[D(r)=1] - \Pr[D(G(s))=1]| = 1 - 2^{-n}$$

when  $\ell(n) = 2n$ .

This is huge!

This is called a **brute force attack**  
because it tries all possible seeds

But it applies to all generators, irrespective of how they work

- The discussion above shows,  
 $G$  is very far from random
- But the key point is, **PPT distinguishers**  
don't have enough time to distinguish them  
if  $G$  is a pesudorandom generator
- This means that  
pseudorandom strings are  
just as good as truly random ones  
as long as the seed is kept secret  
(and we restrict to PPT adversaries).

## The seed and its length

### Discussion

- The seed for a pseudorandom generator must be chosen **uniformly at random** and be kept entirely **secret** from the distinguisher
- Another point  $s$  must be long enough so that no "efficient" algorithm has time to **traverse through all seeds**
- Technically, this is not an issue—seeds are taken to be  **$n$  bit strings** so there are  $2^n$  seeds

## Existence of pseudorandom generators

- Question: Does any entity satisfying Definition 3.15 even exist?
  - Unfortunately, we don't have an unequivocal proof of existence of pseudorandom generators
  - Nevertheless, they are believed to exist  
Why?

They can be constructed from a rather weak assumption  
**one-way functions exist**

These in turn can be constructed from

problems such as **integer factoring**  
(that have been studied for years and  
no poly-time algorithm is known)

- Discussed in greater detail in Chapter 6

- In practice, various constructions believed to act as  
pseudorandom generators are known

## 3.4 Constructing Secure Encryption Schemes

### 3.4.1 A secure fixed-length encryption scheme

We are now ready to construct  
fixed-length encryption schemes that  
has indistinguishable encryptions  
in the presence of an eavesdropper

The encryption scheme is  
very similar to the one-time pad encryption scheme (as we alluded to earlier)

#### The encryption scheme

Let  $G$  be a pseudorandom generator  
with expansion factor  $\ell$  (i.e.  $|G(s)| = \ell(|s|)$ )

Recall, an encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  is made of three algorithms

#### Construction 3.16

Define a private-key encryption scheme for **messages of length  $\ell$**  as follows:

1. Gen: on input  $1^n$ ,  
choose  $k \leftarrow \{0,1\}^n$  uniformly at random  
output  $k$
2. Enc: on input  $k \in \{0,1\}^n$  and a message  $m \in \{0,1\}^{\ell(n)}$ ,  
output the ciphertext  
 $c := G(k) \oplus m$
3. Dec: on input  $k \in \{0,1\}^n$  and ciphertext  $c \in \{0,1\}^{\ell(n)}$ ,  
output the plaintext  
 $m := G(k) \oplus c.$

We now prove the encryption scheme satisfies  
the security of **indistinguishable encryption** in the presence of an eavesdropper  
under the *assumption* that  
 $G$  is a pseudorandom generator

NB: The claim is not **unconditional**—we prove it by reduction

We reduce the security of the encryption scheme  
to the properties of  $G$  as a pseudorandom generator

Story: This is an important technique. Discussed further later.

#### Theorem 3.17

If  $G$  is a pseudorandom generator then

**Construction 3.16** is a  
private-key encryption that has  
indistinguishable encryptions in the presence of an eavesdropper

#### Proof

##### Idea

- Let  $\Pi$  denote the scheme in Construction 3.16
- We show  
if there's a PPT adversary  $\mathcal{A}$  for which Definition 3.9 breaks then  
we can construct a PPT algorithm that

distinguishes  $G$  from a truly random string.

- Intuition:
  - If the encryption in 3.16 used truly random instead of pseudorandom then the scheme would be just the one-time pad
  - And  $\mathcal{A}$  would be unable to guess which message was encrypted (wp greater than  $1/2$ )
  - So if Definition 3.9 does not hold then  $\mathcal{A}$  must implicitly be distinguishing output of  $G$  from a random string
  - The reduction below, makes it explicit

Reduction:

- Let  $\mathcal{A}$  be PPT and define  $\epsilon$  as

$$\epsilon(n) := \Pr_{\lambda} \left[ \Pr_{k \in \mathbb{Z}_2^{\ell(n)}} K_{\lambda, k}^{\text{can}}(n) = 1 \right] - \frac{1}{2}$$

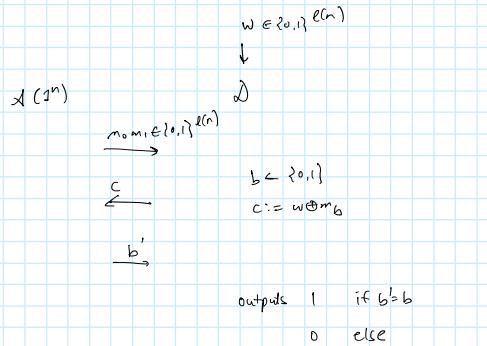
- We use  $\mathcal{A}$  to construct a distinguisher  $D$  for  $G$  (the pseudorandom generator) that succeeds with prob.  $\epsilon(n)$
- What does it mean to succeed?
  - $D$  is given a string  $w$  and it has to determine whether  $w$  is a random string OR  $w := G(k)$  for  $k$  uniformly randomly chosen
  - $D$  simulates the "challenger" for  $\mathcal{A}$  (in the security game for  $\Pi$ )

If  $\mathcal{A}$  succeeds,  $D$  guesses  $w$  is pseudorandom  
otherwise, it guesses  $w$  is random

Distinguisher  $D$ :

Input:  $w \in \{0,1\}^{\ell(n)}$

1. Run  $\mathcal{A}(1^n)$  to obtain  $m_0, m_1 \in \{0,1\}^{\ell(n)}$
2. Choose a random bit  $b \leftarrow \{0,1\}$ .  
Set  $c := w \oplus m_b$
3. Give  $c$  to  $\mathcal{A}$  and obtain output  $b'$ .  
Output 1 if  $b' = b$  and  
output 0 otherwise.



Story:

Before analysing the behaviour of  $D$ , we define a modified encryption scheme  $\Pi'$  that is exactly the one-time pad encryption scheme except that we now incorporate a security parameter that determines the length of the message to be encrypted.

i.e.  $\text{Gen}'(1^n)$  outputs a completely random key  $k$  of length  $\ell(n)$  and the encryption of a message  $m \in \ell(n)$  using the key  $k \in \{0,1\}^{\ell(n)}$  is the ciphertext  $c := k \oplus m$  (decryption can be performed analogously but not needed here)

NB: By perfect secrecy of the one-time pad  
we have that

$$\Pr[\text{Priv } K_{A, \Pi}^{eav}(n) = 1] = \frac{1}{2} \quad [3.3]$$

The main observations are as follows:

- if  $w$  is chosen uniformly at random from  $\{0,1\}^{\ell(n)}$ , then  
the view of  $\mathcal{A}$  when run as  
a sub-routine by  $D$  is  
distributed identically to  
the view of  $\mathcal{A}$  in experiment.

$$\text{Priv } K_{A, \Pi}^{eav}(n)$$

(this is because  $\mathcal{A}$  is given a ciphertext  $c = w \oplus m_b$   
where  $w \in \{0,1\}^{\ell(n)}$  is a completely random string)

- If  $w$  equals  $G(k)$  for  $k \leftarrow \{0,1\}^n$  then  
the view of  $\mathcal{A}$  when run as  
a sub-routine by  $D$  is  
distributed identically to  
the view of  $\mathcal{A}$  in experiment,

$$\text{Priv } K_{A, \Pi}^{eav}(n)$$

(this is because  $\mathcal{A}$  is given a ciphertext  $c = w \oplus m_b$   
where  $w = G(k)$  for  $k \leftarrow \{0,1\}^n$ ).

NB: It follows that for  $w \leftarrow \{0,1\}^{\ell(n)}$

$$\Pr[D(w) = 1] = \Pr[\text{Priv } K_{A, \Pi}^{eav}(n) = 1] = \frac{1}{2}$$

where the second equality follows from equation (3.3).

NB2: In contrast, when  $w = G(k)$  for  $k \leftarrow \{0,1\}^n$ , one has

$$\begin{aligned} \Pr[D(w) = 1] &= \Pr[D(G(k)) = 1] \\ &= \Pr[\text{Priv } K_{A, \Pi}^{eav}(n) = 1] \\ &= \frac{1}{2} + \epsilon(n) \end{aligned}$$

(by definition of  $\epsilon$ )

NB3: Thus, (changing the variables slightly), one has

$$|\Pr[D(w) = 1] - \Pr[D(G(s)) = 1]| = \epsilon(n)$$

where  $w \leftarrow \{0,1\}^{\ell(n)}$  (uniform) and  $s \leftarrow \{0,1\}^n$  (again, uniform).

NB4: Since  $G$  is a pseudorandom generator (by assumption),  
it must be that  $\epsilon$  is negligible.

NB5: Since  $\epsilon$  is negligible,  $\Pi$  has  
indistinguishable encryptions in the presence of an eavesdropper.

□

Story:

- It is easy to get lost in the details of the proof
- Did we really gain anything over the one time pad?
- Afterall, Construction 3.16 also encrypts by XORing
- The point is that  $\ell$  can be much larger than  $n$
- In particular,  
it is possible to encrypt megabytes long file  
using only a 128 bit key
- Stark contrast to perfect secrecy—there we would need the key to be as long as the message

## Reductions—a discussion.

- We do not prove unconditionally that  
Construction 3.16 is secure
- Rather, we prove it is secure  
under the assumption that  $G$  is a pseudorandom generator
- This is of great importance because
  - We don't know how to prove existence of such a scheme  
such a proof would have implications on complexity/algorithms [already mentioned]
  - Given this, reducing security to a lower-level primitive  
has a bunch of advantages
  - In general,

- it is easier to design lower level primitives (e.g. pseudorandom gen) (than the higher level ones, (e.g. private key encr) )
- also, easier to check lower level definitions
- NB: doesn't mean lower level schemes are easy to construct  
but likely easier than directly constructing a higher level primitive

### 3.4.2 Handling Variable length messages

Story:

- In the previous section,  
we only allowed encryptions of  
fixed-length messages  
(i.e. for any fixed  $n$ , only  $\ell(n)$  lengthed messages could be encrypted)
- This is easily fixed by  
using a variable length pseudorandom generator (defined next)

#### Variable output-length pseudorandom generators

- We would like  $G$  to receive two inputs
  - $s$  the seed
  - $\ell$  the length ( $\ell$  in unary; just as the security parameter)
- It should output a pseudorandom string of length  $\ell$

#### Definition 3.18

A deterministic poly-time algorithm  $G$  is a  
**variable output-length pseudorandom generator**  
if the following hold:

1. Input:  
 $s$  be a string and  
 $\ell > 0$   
Then  $G(s, 1^\ell)$  outputs a string of length  $\ell$
  2. For all  $s, \ell, \ell'$  with  $\ell < \ell'$ , the  
string  $G(s, 1^\ell)$  is a prefix of  $G(s, 1^{\ell'})$ .
  3. Define  $G_{\ell''}(s) := G(s, 1^{\ell''(|s|)})$ .  
Then, for every polynomial  $\ell''(\cdot)$  it holds that  
 $G_\ell$  is a pseudorandom generator with  
expansion factor  $\ell$ .
- I'm guessing this means that  
if  $G(s, 1^\ell) = a_1 \dots a_\ell$   
 $G(s, 1^{\ell'}) = b_1 \dots b_\ell \dots b_{\ell'}$   
then  $b_1 \dots b_\ell$   
 $a_1 \dots a_\ell$*

#### Remark:

- Any standard pseudorandom generator  
(as in Definition 3.15)  
can be converted into a variable output-length one.
- Construction 3.16 can be naturally modified: encrypt using  $c := G(k, 1^{|m|}) \oplus m$   
(and similarly decryption)

### 3.4.3 Stream Ciphers and Multiple Encryptions

Story:

- (variable length) pseudorandom generator is also called a "stream cipher"  
because it can generate a stream of pseudorandom bits
- A "secure stream cipher" means a secure variable length pseudorandom generator
- (there is some confusion in the literature—sometimes the stream cipher  
refers to the full private key encryption scheme)

#### Stream ciphers in practice

- Many practical constructions are known
- They are typically, very fast

- E.g. RC4
  - Widely considered to be secure (when used appropriately)
- Remark:
  - Security of practical stream ciphers is not well understood
    - particularly in comparison to block ciphers (introduced later in this chapter)
  - Thus, there's no standardised popular stream cipher whose security has not come into question
  - E.g. "Plain" RC4 that was considered secure now is known to have weaknesses
    - The first few bits are known to be biased
    - WEP encryption can be broken because of this
    - If RC4 is to be used, one must discard the first 1024 bits of the output stream

- Linear Feedback Shift Registers (LFSRs)
  - have historically also been popular as stream ciphers
  - However, they have been shown to be horribly insecure
    - (to the extend that the key can be completely recovered, given enough bytes of the output)
  - It should never be used today.
- Therefore, the book advocates the use of Block ciphers
  - they can be used to build stream ciphers
  - However, while they are efficient enough they're not as efficient as dedicated stream ciphers

## Security for Multiple Encryptions

Story:

- Definition 3.9 (and all our discussion so far)

has dealt with the case where  
the adversary receives a single ciphertext

- In reality, many ciphertexts will be exchanged and the adversary can see them

- Thus, it's important to ensure security can be established in this setting as well

- Let's first give a security definition.

$\text{PrivK}_{A, \Pi}^{\text{cov}}$   
The multi-message indistinguishability experiment game

$A$   
( $\lambda$ )

$C$

1.  $\bar{m}_0 = (m_0^1, m_0^2, \dots)$   
 $\bar{m}_1 = (m_1^1, m_1^2, \dots)$

s.t.  $|m_0| = |m_1|$

&  $|m_0^i| = |m_1^i| \quad \forall i$

$\xrightarrow{\bar{m}_0, \bar{m}_1}$

2.

$k \leftarrow \text{Gen}(\lambda)$   
 $b \leftarrow \{0, 1\}$

$c \leftarrow \text{Enc}_k(m_b^i)$

$\bar{c} = (c^1, c^2, \dots)$

$\xleftarrow{\bar{c}}$

3. guess  $b$  as  $b'$

$\xrightarrow{b'}$

$\xleftarrow{\text{out}}$

$\text{out} = \begin{cases} 1 & \text{if } b = b' \\ 0 & \text{else} \end{cases}$

Story:

### Definition 3.19

A private key encryption scheme  $\Pi$  has

- **indistinguishable multiple encryptions** in the presence of an eavesdropper if for all PPT  $\mathcal{A}$ , there is a negligible function  $\text{negl}$  s.t.

$$\Pr_{\mathcal{A}, \mathsf{K}} [\Pr_{\mathsf{K}^{\text{mult}}(n)}[\mathcal{A}] \geq \frac{1}{2} + \text{negl}(n)]$$

where the probability is taken over .... [the usual]

### Remark

- Security for a *single* encryption (as in Definition 3.9) does NOT imply security under *multiple* encryptions.

### Claim 3.20

There exist private-key encryption schemes that are secure wrt Def 3.9 but are not secure wrt Def 3.19.

### Proof

- Construction 3.16 is not secure for multiple encryptions
- Same reason why multiple use of one time pad
- Here's how you construct  $\mathcal{A}$ 
  - use  $\bar{m}_0 = (0^n, 0^n); \bar{m}_1 = (0^n, 1^n)$
  - if the first two parts of the ciphertext are the same, guess 0  
else, guess 1

□

### Remark

- Proof of Claim 3.20 used the fact that
  - encrypting the same message, always yields the same ciphertext
- Thus, any deterministic scheme must be insecure for multiple encryptions!

### Theorem 3.21

Let  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  be an encryption scheme for which  $\text{Enc}$  is a deterministic function of the key and message. Then,  $\Pi$  does not satisfy Definition 3.19.

Story:

- We thus must construct encryptions such that
  - multiple encryptions of the same message yield different ciphertexts each time.
- This may seem slightly impossible,  
however, we see how to achieve this later.

### Multiple encryptions using a stream cipher (a common error)

Story:

- Incorrect implementations of crypto constructs are frequent
- A common error
  - use a stream cipher (in its naïve form as in Construction 3.16) to encrypt multiple messages.
- E.g. Microsoft did that in an implementation of an encryption in Word/Excel
- This is not just a "theoretical artefact"—even if
  - the same message is *not* encrypted twice,  
various attacks are possible.

### Secure multiple encryptions using a stream cipher

Story:

- There are typically two ways
  - in which a stream cipher (pseudorandom generator) can be used to securely encrypt multiple plaintexts

## 1. Synchronised mode

- Communicating parties use a different part of the stream cipher to encrypt each message
- "Synchronised" because both parties need to know which parts of the stream have already been used to avoid re-use
- This mode is useful where the parties communicate in a single session (i.e. the first party encrypts using the first part of the stream the second party encrypts using the second part of the stream etc)

The important point to notice is

since each part of the stream is used only once  
one can view the concatenation of all messages  
as a single long message

Security therefore follows from Theorem 3.17

(I am guessing the one that reduces to pseudorandom gen security)

- This mode is not suitable in all applications because parties need to "maintain state" between encryptions thus it is not even an encryption scheme according to Definition 3.8

## 2. Unsynchronous mode:

- In this mode encryptions are carried out independently of one another and the parties don't need to "maintain state".
- To obtain security, our notion of pseudorandom generator must be significantly strengthened
- Now, we view a pseudorandom generator as taking two inputs a seed  $s$  and an initial vector IV of length  $n$ .

The requirement, (roughly) is that

- (a)  $G(s, IV)$  is pseudorandom even when IV is known (but  $s$  stays secret)

- (b) for two randomly chosen initial vectors  $IV_1$  and  $IV_2$  the streams  $G(s, IV_1)$  and  $G(s, IV_2)$  are pseudorandom even when viewed together (NB: the same seed  $s$  is used)  
i.e. no poly-time distinguisher  $D$  can distinguish  $(IV_1, G(s, IV_1), IV_2, G(s, IV_2))$  and  $(IV_1, r_1, IV_2, r_2)$  where  $r_1$  and  $r_2$  are independently-chosen uniformly-distributed strings of appropriate length

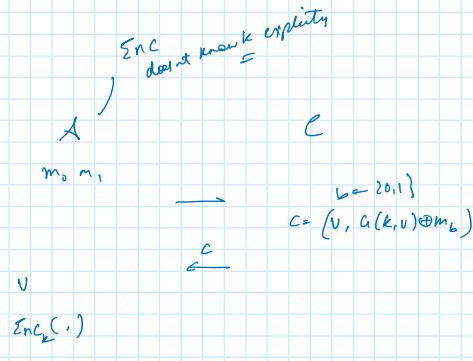
- Given a generator as above one can define the encryption as  $\text{Enc}_k(m) := (IV, G(k, IV) \oplus m)$

where IV is chosen at random  
(by the encryption algorithm;  
for simplicity, restrict to fixed length messages)

NB1: For each encryption, IV is sampled again  
thus each stream is pseudorandom  
even if the previous streams are known.

NB2: IV is sent as a part of the plaintext  
(to allow decryption;  $m := c \oplus G(s, IV)$ )

- Many stream ciphers in practice are assumed to have the "augmented pseudorandomness" property



Warning: A standard pseudorandom generator  
 may not have this property—this assumption is strong  
 (in fact, such a generator, is almost a pseudorandom function—see  
 Section 3.6.1)

### 3.5 Security under Chosen-Plaintext Attacks (CPA)

- So far, we only considered a relatively weak adversary who only passively eavesdrops (on the communication b/w the honest parties)
 

(NB: our actual definition of  $\text{PrivK}$  allows the adversary to choose which message to be encrypted—but beyond that it is passive)
- Here, we formally introduced a more powerful type of adversarial attack called "Chosen Plaintext Attack" (CPA)
 

Compared to Definition 3.9 (recall, definition of computational security) the definition of a break remains the same but the adversary's capabilities are strengthened.
- Basic idea:  
 Adversary  $\mathcal{A}$  is allowed to ask for encryptions of multiple messages that it chooses "on-the-fly" in an adaptive manner
- Formalised as:  
 allowing  $\mathcal{A}$  to interact freely with an encryption oracle viewed as a "black-box" that encrypt messages of  $\mathcal{A}$ 's choice but the secret key  $k$  remains hidden
- Following standard notation in CS,  
 denote by  $\mathcal{A}^{\text{Enc}(\cdot)}$   
 the computation of  $\mathcal{A}$  given access to an oracle  $O$   
 and thus in this case we denote by  $\mathcal{A}_k^{\text{Enc}(\cdot)}$   
 the computation of  $\mathcal{A}$  with access to an encryption oracle

NB: When  $\text{Enc}$  is randomised, each query is returned with a fresh response

- The definition of security requires that  $\mathcal{A}$  should not be able to distinguish encryptions of two arbitrary messages even when  $\mathcal{A}$  is given access to an encryption oracle.
- We first give the formal definition and then describe what real-world adversarial attacks the definition is meant to model

$\text{PrivK}_{\mathcal{A}, \Pi}^{\text{CPA}}(n)$ :

$$1. \quad k \leftarrow \text{gen}(1^n)$$

$\mathcal{A}$   
 $1^n, \text{oracle: } \text{Enc}_k(\cdot)$

$\xrightarrow{m_0, m_1}$   
 $c$   
 $1^n, k$

$b \in \{0,1\}$   
 $c \leftarrow \text{Enc}_k(m_b)$

$\xleftarrow{c}$

4.  $\xrightarrow{\text{Guessed } b \text{ as } b'}$   
 $\xrightarrow{\text{can access } \text{Enc}_k(\cdot) \text{ as an oracle}}$   
 $b'$   
 $\xrightarrow{\text{and } c = 1 \text{ if } b' = b}$

4. Guesses  $b$  as  $b'$   
 (can access  $\text{Enc}_k(\cdot)$   
 as an oracle)

$\xrightarrow{b'}$

out = 1    if     $b' \neq b$   
               0    else

### Definition 3.22

A private key encryption scheme  $\Pi$  has  
**indistinguishable encryptions under a chosen-plaintext attack**  
 (or is **CPA-secure**)

if for all PPT  $\mathcal{A}$  there is a negligible function  $\text{negl}$  s.t.

$$\Pr[\text{PrivK}_{\mathcal{A}, \Pi}^{\text{CPA}}(n) = 1] \leq \frac{1}{2} + \text{negl}(n)$$

where the probability is taken over ... (the usual)

**NB:** Any scheme secure in CPA,  
 is secure in the presence of "eavesdropping adversary".

Story:

- It may appear that Definition 3.22 is impossible
  - In particular, consider an adversary that outputs  $(m_0, m_1)$  then receives  $c \leftarrow \text{Enc}_k(m_b)$
- Since the adversary has oracle access to  $\text{Enc}_k(\cdot)$  it can have this oracle encrypt the messages to obtain  $c_0 \leftarrow \text{Enc}_k(m_0)$  and  $c_1 \leftarrow \text{Enc}_k(m_1)$ . It can then compare  $c_0$  and  $c_1$  to the "challenge"  $c$  and guess accordingly ( $b$  if it matches  $c_b$ )
- Why doesn't this strategy allow  $\mathcal{A}$  to determine  $b$  w.p. 1?

**NB:** As with security under multiple encryptions  
 no **deterministic** encryption scheme  
 can be secure under CPA attacks.

[just as we saw, in the synchronised mode for stream ciphers  
 if the scheme "maintains state" (i.e. remembers prior messages etc)  
 then randomness may not be necessary  
 ME: but then in that case,  
 one has to define CPA accordingly]

### Chosen-plaintext attacks in the real world

- Here's a real world example of chosen plain-text attack from World War II
- The Japanese were planning an attack on "Midway Island"
  - The US had intercepted a message saying attack on "AF"
  - But they weren't sure what "AF" corresponded to for the Japanese
  - The US sent a "plaintext" saying Midway is low on water
  - The Japanese sent (the equivalent of) "AF" is low on water
- Therefore, it always advised to use CPA security
- Not only military, e.g.
  - many servers communicate with each other using encryption
  - And, these messages are based on internal/external requests
  - Attackers may pretend to be users and make such requests

### CPA security for multiple encryptions

- Extension of Definition 3.22 [CPA security]  
 to the case of multiple encryptions  
 is straightforward
- and is the same as the extension of Def 3.9 [eavesdropper security]  
 to Def 3.19 [multiple encryptions, eavesdropper security]

(i.e. define it to be the same as  $\text{PrivK}^{\text{CPA}}$  except that

the adversary outputs a pair of vectors of plaintext—  
require the adversary cannot distinguish wp greater than 1/2)

- However, here's a major difference:  
CPA security for a single encryption  
automatically implies CPA security for  
multiple encryptions

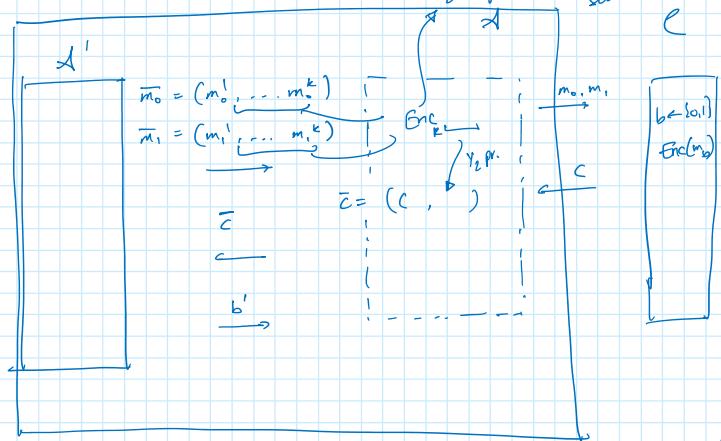
→ Trying to prove that claim

Suppose:  $A'$  breaks the multimeg message security  
We construct  $A$  that breaks CPA security

Encryption oracle

$\therefore$  we're in CPA  
security

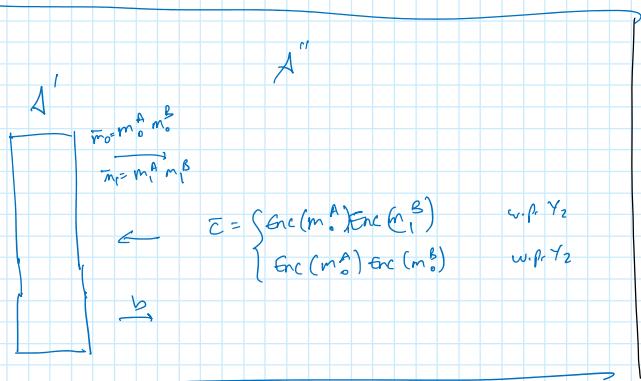
query  
to  
tried &  
failed



$\bar{c}$  well formed w.p.  $\gamma_2$   $\xrightarrow{A' \text{ guesses better than } b}$   $\left(\frac{1}{2} + \epsilon\right)$  nonneg!

$\bar{c}$  not well formed w.p.  $\gamma_2$   $\xrightarrow{A' \text{ guesses...}}$

$$\Pr[A' \text{ guess}] = \frac{1}{2} \left( \frac{1}{2} + \epsilon \right) + \frac{1}{2} \cdot \frac{1}{2} = \frac{1}{2} + \frac{\epsilon}{2}$$



limit to 2-message  $A'$ .

Suppose  $A'$  guessed correctly when interacting with the following kind of challenger  $C_1$ .

to show there are indistinguishable for  $a, b$ , say  $\delta$

Perhaps this is equivalent to  $C_2$  +  $\delta$ .

then use this distinguisher

$$\bar{m}_i = (m_i^A, m_i^B)$$

$i \in \{0, 1\}$

$$\bar{c} = \left( \text{Enc}_k(m_b^A), \underbrace{\text{Enc}_k(m_{b \oplus 1}^B)}_{b' = b \text{ else}} \right)$$

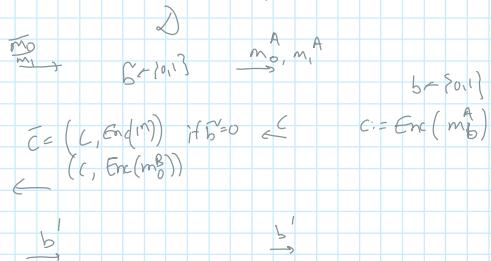


$$\text{out} = \begin{cases} 1 \\ 0 \end{cases}$$

$$b' = b \\ \text{else}$$

If  $A'$ 's behaviour changes,  
it can distinguish  
encryptions of  $0^n$  from  
encryptions of  $m_0^n$

Let's construct the distinguisher using  $A'$

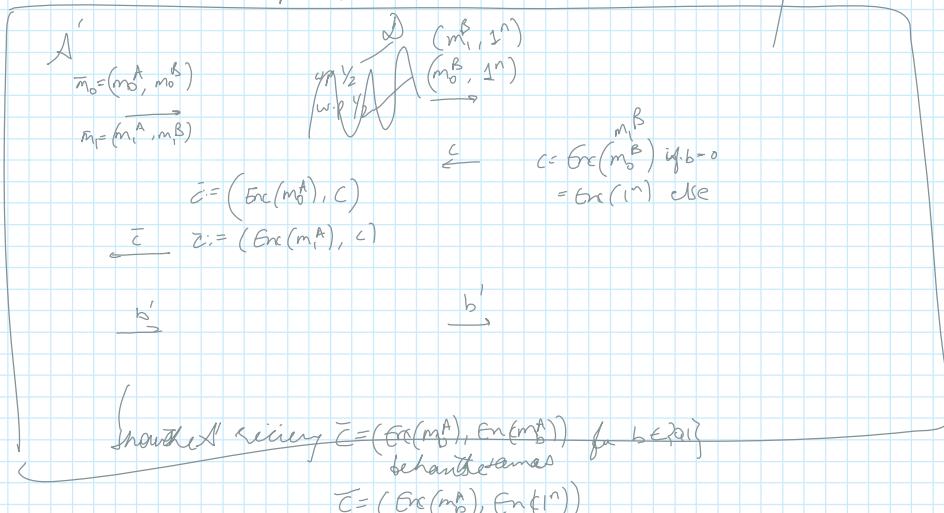


w.p.  $\frac{1}{2}$  and  $\bar{c} = (\text{Enc}(m_0^A), \text{Enc}(m_0^B))$   
 $\bar{c} = (\text{Enc}(m_1^A), \text{Enc}(m_1^B))$   
 $\bar{c} = (\text{Enc}(m_0^A), \text{Enc}(1^n))$   
 $\bar{c} = (\text{Enc}(m_1^A), \text{Enc}(1^n))$

Now the advantage is  
 $\frac{1}{2} + \epsilon$  in  $\text{win}(A', \mathcal{E})$   
 this directly yields an  
 adversary that breaks  
 CPA



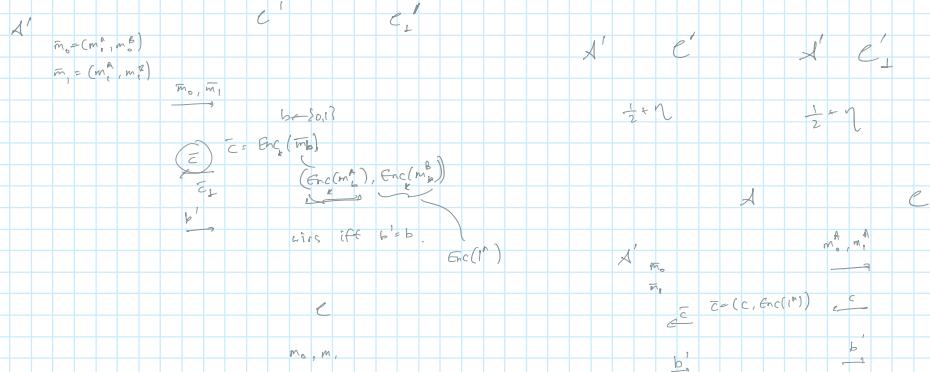
So in case I & III, the view of  $A'$  can be seen as  
 the following:



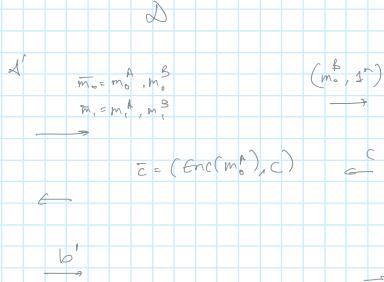
Then  $A'$  can simply input this  $c = (1^n)$  into the  $\text{Enc}(\cdot)$  oracle  
 to break CPA security

$$\text{CPA multiple } \leftarrow \text{ CPA} \\ \text{CPA multiple } A' \Rightarrow \text{ CPA } A$$

Formally, assume  $\min [d', c'] = \frac{1}{2} + \eta$   
 $\downarrow$  non-neg



NB: Instead of  $\bar{C} = (\text{Enc}(m^A), \underline{\text{Enc}(m^B)})$  —  
 if one gives  $\bar{C}_1 = (\text{Enc}(m^A), \underline{\text{Enc}(t^*)})$  —  
 then the behaviour of  $t'$  cannot change. } CPA attack with  
 $m^B$



end try

**Claim 3.23**

Any private-key encryption scheme that has  
indistinguishable encryptions  
under a CPA attack  
also has indistinguishable *multiple* encryptions  
under a CPA attack.

## Story:

- [in the public-key setting—a similar claim is stated and proved in § 10.2.2]
  - Thus, it suffices to show CPA security  
and one gets multiple encryption security for free

## Fixed-length vs arbitrary-length messages

Story:

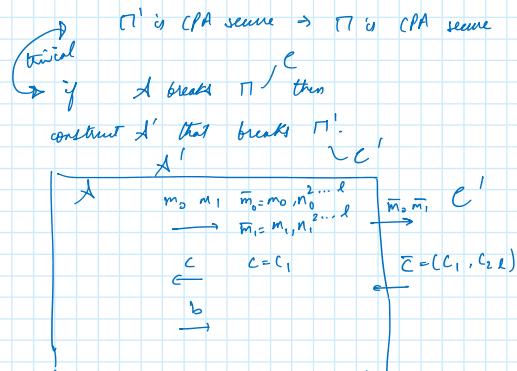
- Another advantage with CPA security  
can treat fixed-length encryptions  
without much loss of generality
  - Claim:  
Given any CPA-secure fixed length encryption scheme  
 $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$   
one can construct a variable-length CPA secure encryption scheme  
 $\Pi' = (\text{Gen}', \text{Enc}', \text{Dec}')$   
quite easily.
  - For simplicity  
suppose  $\Pi$  has length parameter 1  
(so that it only encrypts messages of 1 bit)

- Construction:
  - Let  $\text{Gen}' = \text{Gen}$
  - Define:

$$\text{Enc}'_k(m) := \underbrace{\text{Enc}_k(m_1), \dots, \text{Enc}_k(m_l)}_{\text{where } m = m_1 \dots m_l \text{ & } m_i \in \{0,1\}^n \forall i}$$

- Decryption: naturally extended
- Claim:  $\Pi'$  is CPA secure  $\Leftrightarrow \Pi$  is CPA secure.

"Our Proof"  $\Pi$  is CPA secure  $\Rightarrow \Pi'$  is CPA secure  
by Claim 3.23



□

Story:

- There may, in practice, be more efficient ways to encrypt messages of arbitrary length than by adapting the scheme above
- These other ways are discussed in §3.6.4

## 3.6 Constructing CPA-Secure Encryption Schemes

Story

- We construct CPA secure encryption schemes
- First, we introduce pseudorandom functions

### 3.6.1 Pseudorandom Functions

Story:

- Recall, pseudorandom generators can be used to get security in the presence of eavesdropping adversaries
- Notion of pseudorandomness is also crucial for constructing security against CPA attacks
- Instead of working with pseudorandom strings we consider pseudorandom functions.
- Specifically, we consider pseudorandom functions from  $\{0,1\}^n \rightarrow \{0,1\}^n$
- Recall, it does not make much sense to talk about a fixed function being pseudorandom (just as one doesn't talk about a fixed string being random)
- Thus, we must refer to the pseudorandomness of a distribution on functions.

- An easy way to do this,  
is to consider *keyed functions*.
- Keyed function  $F$   
is a two-input function  $F: \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}^*$   
where the first input is called the key  $k$   
and the second input is just called the input (haha).

- In general,  
the key  $k$  will be chosen and  
then *fixed*  
and we will then be interested in the  
(single-input) function  
 $F_k: \{0,1\}^* \rightarrow \{0,1\}^*$   
defined by  $F_k(x) := F(k, x)$ .

- For simplicity,  
we assume that  $F$  is *length preserving*  
so that the key input and output lengths of  $F$   
are all the same  
i.e.  $F$  is only defined when  $|F_k(x)| = |x| = |k|$ .

- Therefore,  
by fixing a key  $k \in \{0,1\}^n$   
we obtain a function  $F_k(\cdot): \{0,1\}^n \rightarrow \{0,1\}^n$

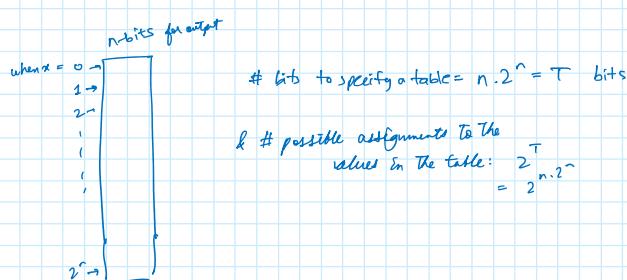
- We will only be interested in function  $F$   
that are efficient—i.e. there's a  
deterministic poly-time algo  
that computes  $F(k, x)$   
given  $k$  and  $x$  as input.

- A keyed function  $F$   
induces a natural distribution on functions  
given by choosing a random key  $k \leftarrow \{0,1\}^n$   
and then considering the resulting  
single-input function  $F_k$ .

- Intuitively,  
we call  $F$  pseudorandom if  
 $F_k$  (for randomly-chosen key  $k$ )  
is indistinguishable from  
a function chosen uniformly at random  
(from the set of all functions having the same domain and range)  
i.e. no PPT adversary can distinguish which function it is interacting with  
— $F_k$  (for a randomly chosen  $k$ ) or  
 $f$  (where  $f$  is chosen at random).

- Let's understand the space of these functions better
- From a mathematical point of view,  
we consider the set  $\text{Func}_n$   
of all functions mapping  $\{0,1\}^n \rightarrow \{0,1\}^n$   
and so randomly selecting a function  
mapping  $n$ -bit strings to  $n$ -bit strings  
corresponds exactly to choosing  
an element uniformly at random  
from this set.

- How large is the set  $\text{Func}_n$ ?
  - A function  $f$  is exactly specified  
by its value on each point in its domain
  - One can view  $f$  as a large look-up table.  
The look-up table can be specified using  $T = n \cdot 2^n$  bits  
Thus, there can be  $2^T$  possible different tables, i.e.  
 $\text{Func}_n$  has size  $2^T = 2^{n \cdot 2^n}$



- Viewing a function as a look-up table

provides another way of thinking about  
selecting a function  $f_n \in \text{Func}_n$   
uniformly at random.

This is how: picking  $f_n$  uniformly at random is equivalent to  
picking each row of the look-up table of  $f_n$   
uniformly at random.

i.e. the values of  $f_n(x)$  and  $f_n(y)$  (for  $x \neq y$ )  
are completely independent and uniformly distributed.

- Returning to pseudorandom functions  
recall: we want to construct a keyed function  $F$  s.t.  
 $F_k$  (for  $k \leftarrow \{0,1\}^n$ )  
is indistinguishable from  
 $f_n$  (for  $f_n \leftarrow \text{Func}_n$ ).
  - NB: the former is chosen from a distribution of  $2^n$  distinct functions while the latter is chosen from all  $2^{n \cdot 2^n}$  functions
- Despite this,  
the "behaviour" of these functions must look the same to any PPT distinguisher.
- A first attempt at formalising the notion of a pseudorandom function would be as we did in Definition 3.15
  - require that every PPT distinguisher  $D$  that receives a description of the pseudorandom function  $F_k$  outputs 1 with almost the same probability as when it receives a description of a random function  $f_n$
- Issue:  
the description of a random function has "exponential length"  
(i.e. given by its look-up table, that has length  $n \cdot 2^n$ ) while  $D$  is limited to poly-time so it cannot even view the look-up table.
- The actual definition, therefore gives  $D$  (the distinguisher) **oracle access** to the function in question (either  $f_n$  or  $F_k$ )
  - $D$  is allowed to query the oracle at any point  $x$  in response to which the oracle returns the value of the function evaluated at  $x$
  - We treat this oracle as a black-box (in the same way, as we did for the encryption oracle in the CPA definition)
  - NB: Here the oracle is deterministic (i.e. once  $k$  is fixed)

Here's the definition

#### Definition 3.24

Let  $F: \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}^*$  be an efficient, length-preserving, keyed function.

We say  $F$  is a **pseudorandom function** if

for all PPT distinguishers  $D$ , there is a negligible function  $\text{negl}$  s.t.

$$\left| \Pr[D^{F_k(\cdot)}(1^n) = 1] - \Pr[D^{f_n(\cdot)}(1^n) = 1] \right| \leq \text{negl}(n)$$

where  $k \leftarrow \{0,1\}^n$  is chosen uniformly at random and  $f_n$  is chosen uniformly at random from  $\text{Func}_n$  (the set of functions mapping  $n$ -bit strings to  $n$ -bit strings).

Remarks:

- Notice that  $D$  interacts freely with its oracle
  - It can therefore ask queries adaptively i.e. it can choose its next input based on the previous outputs etc.
  - However,

since  $D$  runs in poly time  
it can only ask a  
poly number of queries

- A pseudorandom function must inherit any efficiently checkable property of a random function
  - E.g. even if  $x, x'$  differ in only a single bit the outputs  $F_k(x)$  and  $F_k(x')$  must (with overwhelming probability over  $k$ ) look completely uncorrelated.
  - This tells us why pseudorandom functions are useful for constructing secure encryption schemes
- Note:  
the distinguisher  $D$  is not given the key  $k$ 
  - It is meaningless to require that  $F_k$  be pseudorandom if  $k$  is known  
Because  $f_n$  is easily distinguished from  $F_k$  in that case  
E.g. query the oracle at  $0^n$ , and compute  $F_k(0^n)$  and see if they match.  
An oracle for  $F_k$  will always match while a random oracle will match with prob  $2^{-n}$
  - In practice,  
e.g. it could be that given  $k$ ,  
it is easy to find the pre-image of  $0^n$   
but for random functions, this is provably hard (given only oracle access)

## On the existence of pseudorandom functions

Story:

- It is natural to ask: do such entities exist?
- For now, we note that there exist efficient primitives called "block ciphers" that are believed to act as *pseudorandom functions*.
- From a theoretical point of view pseudorandom functions exist iff pseudorandom generators exist
  - Thus, PRFs can be generated from any hard problem that allow for the construction of PRGs
- These are discussed later (in Ch 5 and 6)

Emotion:

- Existence of PRFs is very surprising
  - the fact that these can be constructed based on hard problems (of certain types) is one of the truly amazing contributions of modern cryptography.

## Using pseudorandom functions in cryptography

Story:

- PRFs turn out to be useful for various crypto constructions
  - Below, we use them to obtain CPA-secure encryption
    - and later in Ch 4 to obtain MACs (message authentication codes)
  - Why are they so useful?
    - allow for a clean and elegant analysis of the constructions that use them
    - i.e. given a scheme that is based on PRFs a general way of analysing the scheme is to first prove under the assumption that a truly random function is used instead
- This step relies on probabilistic arguments (nothing to do with computational)  
Next, the security is derived by proving that if an adversary can break the scheme when a PRF is used then

### § 3.6.2 CPA-Secure Encryption Schemes from Pseudorandom Functions

We focus here on  
constructing a fixed-length encryption scheme.

Recall:

CPA secure for fixed-length also yields CPA secure for arbitrary length (as we saw in Section 3.5)  
In §3.6.4, we consider more efficient constructions.

A naïve attempt (for constructing encryption from PRFs):  $\text{Enc}_k(m) = F_k(m)$

- This cannot be CPA secure because
  - it is a deterministic encryption scheme
  - (Me: go back and revise if this is not clear)

Our actual construction is probabilistic:

$$c := (r, F_k(r) \oplus m); r \leftarrow \{0,1\}^n$$

Remark:

This can be viewed as an instance of XORing  
a pseudorandom "pad" with a plaintext  
major difference being  
that we are choosing an "independent" pseudorandom string  
each time

#### Construction 3.25

Let  $F$  be a pseudorandom function. For length  $n$ , define it as follows:

- Gen: on  $1^n$ , choose  $k \leftarrow \{0,1\}^n$  uniformly at random and output as key
- Enc: on  $k, m \in \{0,1\}^n$ , choose  $r \leftarrow \{0,1\}^n$  uniformly at random and ...

#### CONSTRUCTION 3.25

Let  $F$  be a pseudorandom function. Define a private-key encryption scheme for messages of length  $n$  as follows:

- Gen: on input  $1^n$ , choose  $k \leftarrow \{0,1\}^n$  uniformly at random and output it as the key.
- Enc: on input a key  $k \in \{0,1\}^n$  and a message  $m \in \{0,1\}^n$ , choose  $r \leftarrow \{0,1\}^n$  uniformly at random and output the ciphertext

$$c := \langle r, F_k(r) \oplus m \rangle.$$

- Dec: on input a key  $k \in \{0,1\}^n$  and a ciphertext  $c = \langle r, s \rangle$ , output the plaintext message

$$m := F_k(r) \oplus s.$$

#### Story:

- Intuitively, security holds because
  - $F_k(r)$  looks completely random
    - to an adversary who observes a ciphertext  $(r, s)$
    - (and thus the encryption scheme is similar to the one-time pad)
    - granted  $r$  was not used in a previous encryption
    - (specifically, it wasn't used by the encryption oracle at any of the adversary's query)
  - Moreover, this "bad event" (i.e. repeating value of  $r$ ) occurs with only negligible probability.

#### Theorem 3.26

If  $F$  is a pseudorandom function, then

Construction 3.25 is CPA secure, i.e.

fixed-length private key encryption scheme with  
length parameter  $\ell(n) = n$   
that has indistinguishable encryptions under a  
chosen plaintext attack

#### Proof

##### Story:

- Follows a generalise paradigm
  - Analyse using an idealised world where  $f_k$  (truly random function) is used instead of  $F_k$

- Then we claim if the scheme were insecure when  $F_k$  was used  
it would mean  $F_k$  can be distinguished from a truly random function
- Let  $\tilde{\Pi}$  be an encryption scheme that is  
exactly the same as  $\Pi$   
except that  $f_n$  instead of  $F_k$

(i.e.  $\tilde{\text{Gen}}(1^n)$  chooses a random function  $f_n \leftarrow \text{Func}_n$

&  $\tilde{\text{Enc}}$  encrypts just like  $\text{Enc}$   
except using  $f_n$  (instead of  $F_k$ ).

(NB: This is not a "legal encryption"  
 $\because$  it's not efficient — still well-defined  
& enough for our purpose.)

- Claim:  $\mathcal{A}$  making at most  $q(n)$  queries  
(to the  $\text{Enc}$  oracle),

one has that

$$\Pr_{\substack{K \\ A, \Pi}}^{\text{CPA}}[A = 1] \leq \frac{1}{2} + \frac{q(n)}{2^n}. \quad (3-4)$$

(NB: We don't assume anything about the computational power of  $\mathcal{A}$ )

[Proof]

- Every time  $m$  is encrypted  
(either by the  $\text{Enc}$  oracle or  
the challenger)  
a random  $x \in \{0,1\}^n$  is chosen &  
the ciphertext equals  
 $(x, f_n(x) \oplus m)$ .

- Let  $x_c$  denote a random string  
used by the challenger,  
i.e.  $c = (x_c, \underbrace{f_n(x_c) \oplus m_b}_{:= s_c})$

- There are two sub cases:

- (a) the value  $x_c$  is used by the encryption oracle  
(at least once, over the many times it invokes  
the encryption oracle).

In this case,  $\mathcal{A}$  can trivially tell  
which message  $c$  corresponds to.

(suppose  $\mathcal{A}$  had queried  $\text{Enc}(m)$   
& it got  $(x_c, f_n(x_c) \oplus m)$ ;  
so it can learn  
 $f_n(x_c)$ .

Now it easily computes  $m_b = c_c \oplus f_n(x_c)$ )

NB: Prob. of learning the value of  $f_n(x_c)$   
with  $q(n)$  queries is at most  
 $q(n)/2^n$ .

- (b) The value  $x_c$  is never used by the  $\text{Enc}(\cdot)$  oracle

$q(n)/2^n$

- (b) The value  $x_c$  is never used by the Enc( $\cdot$ ) oracle for answering  $A$ 's queries.  
 (In this case  $A$  learns nothing about the value of  $f_n(x_c)$  from the interaction.)  
 $\therefore A^c$  view is that of a PPT  
 $\ell \leq b = b$  w.p.  $\frac{1}{2}$ )

Denote by rep  $P_X(A) = P_X(A \wedge B) + P_X(A \wedge \neg B)$

the event that (a) happens.

$$\begin{aligned} \text{Then } P_X[\Pr_{A, \tilde{M}}^{CPA}(n)=1] &= P_X[\Pr_{A, \tilde{M}}^{CPA}(n)=1 \mid \text{rep}] P_X[\text{rep}] \\ &\quad + P_X[\Pr_{A, \tilde{M}}^{CPA}(n)=1 \mid \neg \text{rep}] P_X[\neg \text{rep}] \\ &\leq \frac{1}{2} + q(n)/2^n \end{aligned}$$

Part II of the proof:

- Now fix some PPT Adversary  $A$  &  
 define the  $\epsilon$  by  
 $\epsilon(n) := P_X[\Pr_{A, \tilde{M}}^{CPA}(n)=1] - \frac{1}{2}$ . (25)

NB: # calls  $A$  makes is upper bounded by  
 its running time & that by  
 some poly  $g(\cdot)$ .

NB2: (24) applies to  $A$ .

Summary: At this point we have

$$P_X[\Pr_{A, \tilde{M}}^{CPA}(n)=1] \leq \frac{1}{2} + \frac{g(n)}{2^n} \sim \text{as already shown.}$$

$$P_X[\Pr_{A, \tilde{M}}^{CPA}(n)=1] = \frac{1}{2} + \epsilon(n) \sim (\text{by def.})$$

NB: if  $\epsilon$  is not negl,  
 then their diff. is not negl either.

Intuition: Such a gap should allow us to  
 distinguish random from pseudorandom  
 Has the "red".

Informal description of the Distinguisher  $D$ .

- We use  $A$  to construct a distinguisher  $D$  for the pseudorandom function  $F$ .
- $D$  is given access to some function and it has to say whether the function is pseudorandom (i.e. equal of  $F_k$  for some  $k \in \{0,1\}^n$ ) or random (equal to  $f_n$  for randomly chosen  $f_n \leftarrow \text{Func}_n$ ).
- To do this,  $D$  emulates the CPA indistinguishability experiment for  $A$ . If  $A$  succeeds, then  $D$  guesses the oracle is pseudorandom otherwise it guesses the oracle is random

Given  $1^n$  as input, and access to an oracle  $\mathcal{O}$ 

1. Run
- $\mathcal{A}(1^n)$
- .

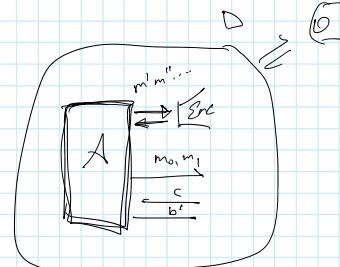
Whenever  $\mathcal{A}$  queries its encryption oracle on a message  $m$ ,  
do the following:

return  $\text{Enc}(m)$  when $\text{Enc}(m) :=$ (a) Choose  $s \leftarrow \{0,1\}^n$  uniformly at random(b) Query to obtain  $c = \mathcal{O}(s)$ (c) Return  $(s, s' \oplus m)$  to  $\mathcal{A}$ 

2. When
- $\mathcal{A}$
- outputs messages
- $m_0, m_1 \in \{0,1\}^n$
- ,
- 
- choose a random bit
- $b \leftarrow \{0,1\}$
- and then

return  $\text{Enc}(m_b)$ .

3. Continue answering any encryption oracle queries

of  $\mathcal{A}$  as beforeEventually,  $\mathcal{A}$  outputs a bit  $b'$ .Output 1 if  $b' = b$ ; otherwise  
output 0

Observations:

1. If
- $D$
- 's oracle is a pseudorandom function

then the view of  $\mathcal{A}$  (when run as a subroutine of  $D$ ) is  
distributed identically to the view of  $\mathcal{A}$   
in experiment  $\text{PrivK}_{\mathcal{A}, \Pi}^{\text{cpa}}$

This is because

a key  $k$  is chosen at random and then every encryption  
is carried out by choosing a random  $r$   
computing  $s' = F_k(r)$   
and setting the ciphertext equal to  
 $(r, s' \oplus m)$ .

Thus,

$$\Pr[\mathcal{D}^{F_k(\cdot)}(1^n) = 1] = \Pr[\text{PrivK}_{\mathcal{A}, \Pi}^{\text{cpa}}(n) = 1]$$

where  $k \leftarrow \{0,1\}^n$  is chosen uniformly at random.

2. If
- $D$
- 's oracle is a random function

then the view of  $\mathcal{A}$  (when run as a subroutine of  $D$ ) is  
distributed identically to the view of  $\mathcal{A}$   
in experiment ( $\text{privK}$  with  $\Pi$ ).

This can be seen exactly as above,

the only difference is that  $f_n$  (a random function) is used  
instead of  $F_k$ .

Thus,

$$\Pr[\mathcal{D}^{f_n(\cdot)}(1^n) = 1] = \Pr[\text{privK}_{\mathcal{A}, \Pi}^{f_n}(n) = 1]$$

where  $f_n \leftarrow \text{Func}_n$  is chosen uniformly at random.Since  $F$  is a pseudorandom function and $D$  runs in probabilistic poly timethere exists a negligible function  $\text{negl}$ 

s.t.

$$\left| \Pr[\mathcal{D}^{F_k(\cdot)}(1^n) = 1] - \Pr[\mathcal{D}^{f_n(\cdot)}(1^n) = 1] \right| \leq \text{negl}$$

Combining with the above observations &amp;

$$\text{Eq } (3.4) \quad (3.5)$$

Combining into the above observations &

$$\text{Eq } (3.4) \quad (3.5) \\ \text{bound with } \tilde{f} \text{ def of } \epsilon(n).$$

$$\begin{aligned} \text{negl}(n) &\geq \left| \Pr[\mathcal{D}^{f_k(\cdot)}(1^n) = 1] - \Pr[\mathcal{D}^{f_{\tilde{k}}(\cdot)}(1^n) = 1] \right| \\ &= \left| \Pr[\mathsf{PrivK}_{A, n}^{\text{CPA}}(n) = 1] - \Pr[\mathsf{PrivK}_{A, \tilde{n}}^{\text{CPA}}(n) = 1] \right| \\ &\geq \Pr[\mathsf{PrivK}_{A, n}^{\text{CPA}}(n) = 1] - \Pr[\mathsf{PrivK}_{A, \tilde{n}}^{\text{CPA}}(n) = 1] \\ &\rightarrow \frac{1}{2} + \epsilon(n) - \frac{1}{2} - \frac{g(n)}{2^n} \\ &= \epsilon(n) - \frac{g(n)}{2^n} \end{aligned}$$

& from this, it's clear that  $\epsilon(n) \leq \text{neglible}$   
 $\because g \text{ is poly.}$

□

### Story

- Recall: CPA secure fixed length implies CPA secure arbitrary length
- Applying the discussion in Section 3.5, we obtain the following scheme for variable length encryption

$$\langle r_1, F_k(r_1) \oplus m_1, r_2, F_k(r_2) \oplus m_2, \dots, r_\ell, F_k(r_\ell) \oplus m_\ell \rangle.$$

- The scheme can handle messages whose length is not an exact multiple of  $n$

### Corollary 3.27

If  $F$  is a pseudorandom function, the scheme above is a private-key encryption scheme for arbitrary-length messages that has indistinguishable encryptions under a chosen-plaintext attack.

### Efficiency of Construction 3.25

- CPA secure encryption above
  - and its extension
  - has the drawback that length of ciphertext is at least double that of the plaintext
- In § 3.6.4 we will show how longer plaintexts can be encoded more efficiently.

### 3.6.3 Pseudorandom Permutations and Block Ciphers

#### Def definition:

Let  $F: \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}^*$  be an efficient, length-preserving, keyed function.

We call  $F$  a **keyed permutation** if for every  $k$ , the function  $F_k(\cdot)$  is one-to-one (and therefore, since  $F$  is length-preserving, a bijection).

We call  $F$  a **keyed permutation** if for every  $k$ ,  
 the function  $F_k(\cdot)$  is one-to-one  
 (and therefore, since  $F$  is length-preserving, a bijection).

We say a keyed permutation is efficient  
 if there is a poly-time algorithm computing  
 $F_k(x)$  given  $k$  and  $x$   
 as well as  
 poly-time algorithm computing  $F_k^{-1}(x)$  given  $k$  and  $x$ .

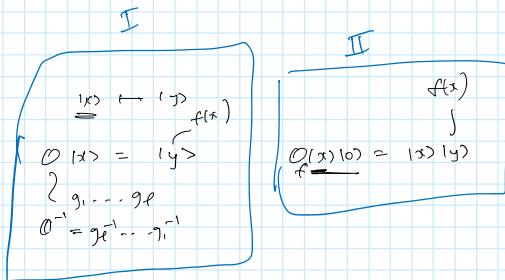
Story:

- We define what it means for a keyed permutation  $F$  to be pseudorandom in a manner analogous to Def 3.24 but with two differences.

$$\begin{aligned}
 & U(x \rightarrow y) \\
 & U_F(x \rightarrow y) = (x \rightarrow f(x)) \\
 & U_F(x \rightarrow y) \xrightarrow{\text{def}} (x \rightarrow y \rightarrow f(x)) \\
 & U_F := u_1 \dots u_2 u_3 \\
 & U_F^{-1} = u_1^{-1} \dots u_2^{-1} u_3^{-1} \\
 & U_F^{-1}(y \rightarrow z) = (f_x^{-1}(z) \rightarrow f_y^{-1}(z)) \\
 & U_F^{-1} \neq U_F
 \end{aligned}$$

$f$

$$\begin{array}{ccc}
 x & \mapsto & y \\
 & & |x \rightarrow y|
 \end{array}$$



First,

we require that  $F_k$  (for a randomly chosen  $k$ )  
 be indistinguishable from a random permutation  
 rather than a random function.

Second,

more significant and is motivated by the fact that  
 cryptographic schemes  
 using a keyed permutation may utilise  
 the inverse  $F_k^{-1}$  in addition to  $F_k$

Thus, we require  $F_k$  to be indistinguishable from a random permutation  
 even if the distinguisher is given oracle access to the  
 inverse of the permutation.

[in other words, what is termed a pseudorandom permutation,  
 is referred to as a strong or super pseudorandom (because we are adding conditions about its  
 inverse)]

### Definition 3.28

Let  $F: \{0,1\}^* \rightarrow \{0,1\}^* \rightarrow \{0,1\}^*$  be an efficient,  
 keyed permutation.

We say  $F$  is a pseudorandom permutation if

- PPT distinguishes  $\mathbb{D}$ ,
- $\exists \text{ negl } f^{\text{?}} \text{ negl } s.t.$

$$\left| \Pr_{k \in \{0,1\}^n} [F_k(\cdot), F_k^{-1}(\cdot) (1^n) = 1] - \Pr[\mathbb{D}[f_n(\cdot), f_n^{-1}(\cdot) (1^n) = 1]] \right| \leq \text{negl}(n)$$

where  $k \in \{0,1\}^n$  is chosen uniformly at random

$f_n$  is chosen uniformly at random from the set of all permutations

Story:

- A pseudorandom permutation can be used in place of a pesudorandom function in any cryptographic construction.
- This is due to the fact that to any PPT observer a pseudorandom permutation cannot be distuished from a pseudorandom function.
- Intuitively,  
this is due to the fact  
that a random function  $f_n$  looks  
identical to a random permutation  
unless a distinct pair of values  
 $x$  and  $y$  are found for  
each  $f_n(x) = f_n(y)$   
(since in such a case,  
the function cannot be a permutation)

The probability of finding such points  $x, y$   
using a poly number of queries, is negligible.  
[proof is an exercise]

### Proposition 3.29

If  $F$  is a **pseudorandom permutation** then  
it is also a **pseudorandom function**.

Story

- We noted earlier  
that a **stream cipher** can be modelled as a **pseudorandom generator**
- The analogue for the case of **pseudorandom permutations** is  
a **block cipher**.
- We stress that,  
as with stream ciphers,  
block ciphers themselves are not encryption schemes  
Rather, they are bulding blocks  
that can be used to construct secure encryption schemes.
- E.g. Construction 3.25 yields a CPA secure private key encryption scheme  
In contrast, an encryption scheme that  
works by just computing  
 $c = F_k(m)$   
where  $F_k$  is a pseudorandom permutation (block cipher)  
yields a scheme that is not CPA
- Story: constructions of block ciphers in Ch 5  
Remark:  
conventions that lengths of key, input and output are not always the same  
the keys can be smaller  
input/output must have the same length, of course—permutation  
typically called the "block size".

### 3.6.4 Modes of Operation

#### Informal defn:

Mode of operation

is essentially a way of encrypting arbitrary-length messages  
using a block cipher (i.e. pseudorandom permutation).

Story:

- In Corollary 3.27  
we have already seen one example of a mode of encryption  
albeit one that is not  
very efficient in terms of  
the length of the ciphertext.
- In this section  
we will see a number of modes  
of encryption having  
improved ciphertext expansion  
(defined to be the difference b/w length of  
ciphertext and message)

- Note
    - arbitrary-length messages  
can be unambiguously padded  
to a total length that is  
a multiple of any desired block size  
by appending a 1 following by sufficiently many 0
- (and adding a block in case the length of the message is already a  
multiple of the block size).

**Assumption:**

For most constructions  
we therefore just assume  
that the length of the plaintext  
is exactly a multiple of the block size.

Story:

- Through out this section,  
we refer to a pseudorandom permutation/block cipher  $F$   
with block length  $n$  (i.e. input output size)  
and we consider  
encryptions of messages consisting of  $\ell$  blocks  
each of length  $n$ .
- We present four modes of operation and discuss their security.

### Mode 1 — Electronic Code Book (ECB) mode [INSECURE! DON'T USE]

Story: This is the most naïve mode of operation

Encryption:

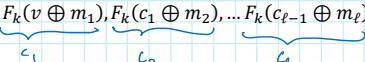
- Given  $m = m_1 \dots m_\ell$   
 $c = (F_k(m_1) \dots F_k(m_\ell))$   
where  $F$  is a pseudorandom permutation
- To decrypt, use  $F_k^{-1}$  is used  
(and that is also efficient to compute by  
definition of pseudorandom permutations)

NB:

- Encryption is deterministic  
Therefore, this scheme cannot be CPA-secure
- Even worse, it does not have IND (indistinguishable encryptions)  
in the presence of eavesdropper  
even if it is only used once.
- E.g. have  $m_1 = m_2$  one can already distinguish it from a  
message where  $m_1 \neq m_2$ .

### Mode 2 — Cipher Block Chaining (CBC) mode.

Encryption:

- $v \leftarrow \{0,1\}^n$
  - $c = (v, F_k(v \oplus m_1), F_k(c_1 \oplus m_2), \dots, F_k(c_{\ell-1} \oplus m_\ell))$
- 

NB:  $v$  is sent with the encryption—otherwise decryption  
by the honest party is also unclear

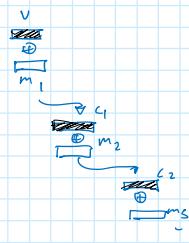
NB2: Encryption in CBC is probabilistic

**Claim:** If  $F$  is a pseudorandom permutation,  
then CBC-mode encryption is CPA secure

Me: In case you're an idiot like me, the point of this scheme is that  
the length of the ciphertext here is only  $\ell(n + 1)$   
while the scheme based on  
pseudorandom functions needed  
 $2\ell n$  length ciphertexts.

Remark:

- Main drawback of this mode:  
Encryption must be carried out in a sequence.



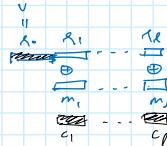
### Mode 3—Output Feedback (OFB) mode.

Story:

- Using a block-cipher
  - to generate a pseudorandom stream
    - and this is then XORed with the message

Encryption:

- $v \leftarrow \{0,1\}^n$
- generate a stream  $v$  as follows
  - $r_0 := v$
  - $r_i := F_k(r_{i-1})$
- $c_i := m_i \oplus r_i$



NB: We don't need  $F$  to be invertible to decrypt

**Claim:** This scheme is CPA secure if  $F$  is a pseudorandom function

NB: Encryption and decryption must still be computed sequentially

However, the sequential computation can be done  
is independently of the encrypted message.

i.e. one can do pre-processing and then  
the encryption can be done in parallel (therefore "really fast").

### Mode 4—Counter (CTR) mode.

Story:

- This mode of operation is less common than CBC  
but has many advantages
- There are different variants of counter modes  
We focus on the "randomised counter mode".
- As with OFB  
this mode can also be viewed as a  
way to "generate pseudorandom stream"  
from a block cipher"

Encryption:

- $\text{ctr} \leftarrow \{0,1\}^n$
- $r_i := F_k(\text{ctr} + i)$  (addition is modulo  $2^n$ )
- $c_i := r_i \oplus m_i$

NB:

- The encryption scheme (above) is CPA secure  
(we prove this below)
- Both encryption and decryption  
can be fully parallelised
- Also admits pre/post processing independent of the message
- Encryption can be randomly accessed—  
Can decrypt the  $i$ th block  
without decrypting anything else.

### Theorem 3.30

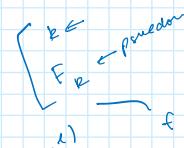
If  $F$  is a pseudorandom function

then randomised counter mode (as defined above)  
has IND (indistinguishable) encryptions under CPA attacks.

## Proof.

Strategy:

- As in the proof of Thorem 3.26
  - we prove the present theorem by first showing that the randomised counter mode is CPA secure when a truly random function is used we then prove that replacing the random function by a pseudorandom function cannot make the scheme insecure.



$\mathcal{E} = \langle n, m \rangle$

$\text{Enc}(\bar{m}) :$

$\text{ctr} \leftarrow R_0, R^1$

return  $c_0, c_1, \dots, c_n$

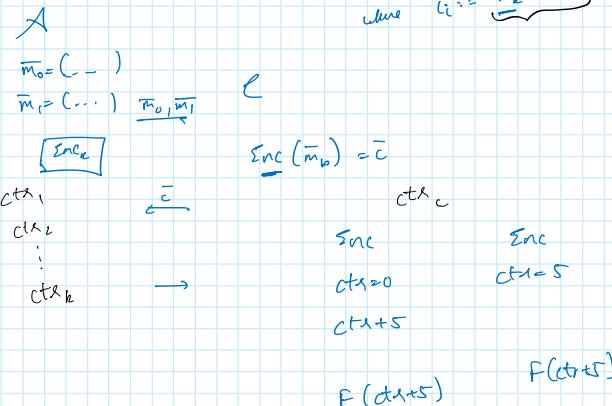
where  $c_i = \underline{F_k}(\text{ctr} + i) \oplus m_i$

## Notation:

Let  $\text{ctr}_c$  denote  
the initial value  $\text{ctr}$  used when  
the challenge ciphertext is encrypted.  
(basically the counter used by the challenger)

## Intuition:

When a random function  $f_n$  is used in randomised counter mode security is achieved so long as each block  $c_i$  of the challenge ciphertext is encrypted using  $\text{ctr}_c + i$  that was never used by the encryption oracle for answering any previous queries



Why is it secure?

Because if  $\text{ctr}_c + i$  was never used to answer a previous encryption query, then the value of  $f_n(\text{ctr}_c + i)$  is completely random and so XORing it with a block of the plaintext has the same effect as encrypting with a one-time pad.



Proving that a randomised counter mode is CPA secure boils down to bounding the probability that  $\text{ctr}_c + i$  was previously used.

## Notation (cont.):

Let  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  denote the randomised counter mode encryption scheme.

$\tilde{\Pi} = (\tilde{\text{Gen}}, \tilde{\text{Enc}}, \tilde{\text{Dec}})$  denote the same as above, except a truly random  $f_n$  (instead of pseudorandom  $F_k$ ) is used.

i.e.  $\tilde{\text{Gen}}(1^n)$  chooses  $f_n \leftarrow \text{Func}_n$  at random  
 $\tilde{\text{Enc}}$  encrypts as  $\text{Enc}$  except  $f_n$  is used instead of  $F_k$ .  
 $\tilde{\text{Dec}}$  similarly

NB: Neither  $\tilde{\text{Gen}}$  nor  $\tilde{\text{Enc}}$  nor  $\tilde{\text{Dec}}$  are efficient

This does not matter for the purposes of defining an experiment with  $\tilde{\Pi}$ .

Goal:  $\exists \text{negl}$  negligible  $\gamma$  s.t.

$$\Pr_{A, \tilde{\Pi}}[\text{Adv}_{\text{CPA}}^{\text{CPA}}(n) = 1] \leq \frac{1}{2} + \text{negl} \quad [3.6]$$

NB: Don't require  $A$  to be computationally bounded but only require

- it makes poly many queries  
(to the encryption oracle)  
(each query is on a poly sized message)
- outputs  
 $m_0, m_1$  of poly length.

Notation:

Let:  $g$  be a poly upper-bound on  
the #queries made by  $\mathcal{A}$   
oracle  
(as well as max length of  $m_0, m_1$ )

Fix:  $n$  (security parameter).

Oracle:  $\text{ctr}_c$  denote the counter used by the challenger  
(corresponding to the exponentiation).

Let:  $\text{ctr}_i$  denote the counter for the  $i^{\text{th}}$  query  
to the encryption oracle.

NB: When the exponent is encrypted,  
 $f_n$  is applied to the value

$$\text{ctr}_c, (\text{ctr}_c + 1), \dots, \text{ctr}_c + l_c$$

where  $l_c \leq g(n)$  is the length of  
 $m_0$  &  $m_1$  (in "blocks")

NB: When the  $i^{\text{th}}$  (encryption) oracle is queried,  
 $f_n$  is evaluated at

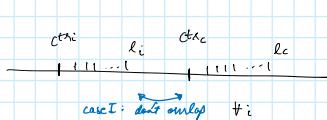
$$\text{ctr}_{c+1}, \dots, \text{ctr}_{c+l_i}$$

where  $l_i \leq g(n)$  is the length  
(in blocks) of the message whose  
encryption was requested.

case I: There do not exist any  $i, j, j' \geq 1$

(with  $j \leq l_i$  &  $j' \leq l_c$ ) for which

$$\text{ctr}_{c+j} = \text{ctr}_c + j'.$$



NB: this case is exactly like the OTR  
so the PRF A outputs b'=b is exactly 1/2.

case II: There exist  $i, j, j' \geq 1$   
(with  $j \leq l_i$ ,  $j' \leq l_c$ ) for which

$$\text{ctr}_{c+j} = \text{ctr}_c + j'$$

(i.e. the value of  $t$  used for encrypting the  
 $i^{\text{th}}$  block of the message by C  
is the same as the value used for  
encrypting the  $j^{\text{th}}$  block of the message by A  
match)

Thus, the adversary knows  $f_n(\text{ctr}_{c+j})$   
 $\rightarrow f_n(\text{ctr}_c + j')$

$\mathcal{A}$  can therefore decrypt the  $j^{\text{th}}$  block

of  $\bar{C}$  & distinguishes whether  $\bar{m}_i$  was encrypted  
(sometimes we drop the bar)  
or  $\bar{m}_i$  was encrypted.

$\therefore$  As  $\mathcal{A}$  guesses  $b'$  correctly is almost 1.

Goal: Evaluate the prob. that case II happens.

NB: The probability is maximised when  
 $l_c$  &  $l_i$  are each as large as possible.

$\therefore$  we assume that  $l_c - l_i = g(n) + i$ .

Let:  $\text{Overlap}_i$  denote the event that

the sequence:

$$ctr_i + 1, \dots, ctr_i + g$$

overlaps with the sequence:

$$ctr_i + 1, \dots, ctr_i + g(n) \wedge$$

let Overlap denote the event that

Overlap<sub>i</sub> occurs for some i.

NB: since there are at most  $g(n)$  queries,

$$Pr[\text{Overlap}] \leq \sum_{i=1}^{g(n)} Pr[\text{Overlap}_i].$$

NB2: Fixing  $ctr_i$ , Overlap<sub>i</sub> occurs

exactly when  $ctr_i$  satisfies

$$ctr_i + 1 - g(n) \leq ctr_i \leq ctr_i + g(n) - 1.$$

NB3: There are  $2g(n)-1$  values of  $ctr_i$ .

$$\text{⑥ } ctr_i \leftarrow \{0, 1\}^n$$

$$\text{thus, } Pr[\text{Overlap}_i] = \frac{2g(n)-1}{2^n}$$

NB4: combining, we obtain

$$Pr[\text{Overlap}] \leq \frac{2g(n)^2}{2^n}$$

NB5: given the above, one can bound the success prob. of  $\mathcal{A}$ :

$$\begin{aligned} Pr[\text{Priv}_{A, \tilde{\Pi}}^{CPA}(n)=1] &= Pr[\text{Priv}_{A, \tilde{\Pi}}^{CPA}(n)=1 \wedge \text{Overlap}] \\ &\quad + Pr[\text{Priv}_{A, \tilde{\Pi}}^{CPA}(n)=1 \wedge \overline{\text{Overlap}}] \\ &\leq \underbrace{Pr[\text{Overlap}]}_{\text{negl}} + \underbrace{Pr[\text{Priv}_{A, \tilde{\Pi}}^{CPA}(n)=1 \mid \overline{\text{Overlap}}]}_{\text{negl}} \\ &\leq \frac{2g^2}{2^n} + \frac{1}{2} \end{aligned}$$

i.e. we proved eq<sup>7</sup> (3.6).

Conclusion:  $\tilde{\Pi}$  (the "imaginary scheme") is CPA secure.

Me:

It remains to show that  $\Pi$  is also secure.

The idea is the same as that in Theorem 3.26

Construct a distinguisher that

uses the given function

(it could be pseudorandom or random)

to interact as a challenger

with the adversary for  $\Pi$  or  $\tilde{\Pi}$  (they have the same syntax)  
and also simulate the encryption calls

Output 1 if the adversary correctly guesses

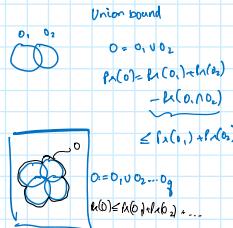
If the adversary could do any better at scheme  $\Pi$  (compared to  $\tilde{\Pi}$ )

this would mean the distinguisher

behaves differently,

when the function is pseudorandom or random

□



$$\text{Now } \underbrace{Pr[\text{Overlap}]}_{\text{Union bound}} \leq \underbrace{\Pr[\{ctr_i \in \{0, 1\}^n \mid ctr_i + 1 - g(n) \leq ctr_i \leq ctr_i + g(n) - 1\}]}_{\text{Union bound}} \cdot \underbrace{2g^2}_{2^n}$$

$$\begin{aligned} &\text{# values of } ctr_i \leq a_{\max} - a_{\min} + 1 \quad 1 \leq a \leq 1 \\ &a_{\max} - a_{\min} + 1 = g(n) \\ &ctr_i + 1 - g(n) \leq ctr_i \leq ctr_i + g(n) - 1 \\ &ctr_i + 1 \leq ctr_i + g(n) \\ &ctr_i + 1 \leq g(n) \end{aligned}$$



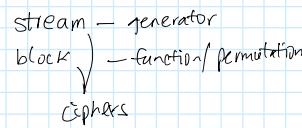
$$\begin{aligned} Pr[A] &= Pr[A \wedge C] + Pr[A \wedge \neg C] \\ Pr[A \wedge \neg C] &\leq Pr[A] \\ Pr[A \wedge B] &= Pr[A \mid B] \cdot Pr[B] \\ &\leq Pr[A \mid B] \\ \therefore Pr[B] &\leq 1 \end{aligned}$$

## Block length and security

### Story

- All of the above modes  
(with the exception of ECB that is anyway insecure)  
use a random initial vector (IV)
- The IV has the effect of randomising the encryption process  
and ensures (whp)  
the block cipher is always evaluated  
on a new input  
that was never used before.

### Working knowledge



- This is important because  
in the proofs of Thm 3.26  
(simplest scheme that was CPA secure,  
using pseudorandom functions) and  
Thm 3.30  
(CTR is CPA secure)  
if the input to the block cipher is used more than once  
then security can be violated

(e.g. in the CTR mode, the same pseudorandom key will get  
XORed with two different plaintext blocks).

- This shows that  
the **security** in these cases  
depends on both the **key length and the block length**  
(e.g. if we use a block cipher with 64 bit block length,  
we showed in the proof above  
in CTR mode,  
even if a completely random function  
with this block length is used  
the success prob for  
an adversary is at about  $\frac{1}{2} + \frac{q^2}{2^{64}}$   
(when it makes  $q$  queries to the encryption oracle  
(of  $q$  length blocks))

While this is asymptotically negligible  
(i.e. when the block length grows with  $n$ )

However, for this specific setting,  
there's no security when the number of blocks is  $q \approx 2^{30}$

$$\underbrace{\text{64 bits}}_{\text{q - many}} \rightarrow \dots \rightarrow \frac{1}{2} + \frac{q^2}{2^{64}} \approx 1 \quad \text{when } q = 2^{30}.$$

## Other modes of operation

### Story:

- In recent years  
many different modes of operations have been introduced  
offering certain advantages for certain settings
- In general, CBC, OFB, and CTR modes  
suffice for most applications where  
CPA security is needed

NB: **none of these modes are secure against CCA** (chosen ciphertext) attack.

## Modes of encryption and message tampering.

### Story:

- In many texts on cryptography  
modes of operation are also compared based on  
how well they protect against adversarial modifications of  
ciphertexts
- We do *not* include such a comparison here  
Because, issue of **message integrity/authentication**  
should be dealt with separately from  
encryption  
This is done in the next chapter.

- In fact,

none of the modes above, achieve  
full message integrity  
in the sense we define there.

## Stream ciphers vs Block ciphers.

### Remarks:

- It is possible to work in "stream cipher mode" using a "block-cipher"  
(i.e. generating a stream of pseudorandom bits and XORing it with the plaintext)
- A block cipher can be used to generate multiple (independent) pseudorandom streams while a stream cipher is limited to generating a single such stream.

Question: Which is preferable? A block cipher or a stream cipher?

### Answer:

- The only advantage of stream ciphers is relative efficiency (e.g. on resource-constrained devices)  
(RC4 (stream cipher) only twice as fast as AES (block cipher))
- Stream ciphers are much less well understood, compared to block ciphers  
Block ciphers are better understood—many excellent block ciphers are known efficient and believed to be secure (details in Chapter 5)
- Stream ciphers get broken more often,  
thus our confidence in their security is lower
- Furthermore, it is more likely that  
stream ciphers will be misused in such a way that  
the same pseudorandom stream will be used twice

**Bottom line:** Use of block ciphers is recommended (unless it's not possible for some reason).

## § 3.7 Security Against Chosen-Ciphertext Attacks (CCA)

### Story:

- So far we have considered two types of adversaries
  - (a) passive adversary that only eavesdrops
  - (b) active adversary that carries out a chosen-plaintext attack
- We now consider a third type of attack called a chosen-ciphertext attack is even more powerful than these two

Intuitive definition: Chosen Ciphertext attack:

We provide the adversary with the following abilities:

- (a) encrypt any messages of its choice as in a chosen-plaintext attack &
- (b) decrypt any ciphertext of its choice (except the ones it must distinguish—details later)

### Story:

- Formally, the adversary is given access to a decryption oracle in addition to the encryption oracle.

### Formal Definition

#### Let

$\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  be a private-key encryption scheme  
 $\mathcal{A}$  be an adversary  
 $n$  for the security parameter

The CCA indistinguishability game/experiment  $\text{PrivK}^{cca}_{\mathcal{A}, \Pi}(n)$

1.  $\mathbb{R} \xrightarrow{\text{Gen}} \{0,1\}^n$

$\mathcal{A}$

$\mathcal{C}$

2.  $\{0,1\}^n, \text{Dec}_k(\cdot), \text{Enc}_k(\cdot)$

$\{0,1\}^n, k$

$m_0, m_1$   
 $\xrightarrow{\text{Oracle}}$

2.  $I^n, \text{Dec}_k(\cdot), \text{Enc}_k(\cdot)$

$I^n, k$

$$\begin{array}{c} m_0, m_1 \\ \xrightarrow{\quad} \\ |m_0| = |m_1| \end{array}$$

3.

$$\begin{array}{c} b \leftarrow \{0,1\} \\ c \leftarrow \text{Enc}_k(m_b) \\ \xleftarrow{c} \end{array}$$

4. A cannot ask for  $\text{Dec}_k(c)$  but otherwise, interacts freely with the oracle, to guess  $b$

$$\begin{array}{c} b' \\ \xrightarrow{b'} \\ \text{out} := \begin{cases} 1 & b = b' \\ 0 & \text{else} \end{cases} \\ \xleftarrow{\text{out}} \end{array}$$

5.

### Definition 3.31

A private-key encryption scheme  $\Pi$  has

indistinguishable encryptions under a chosen-ciphertext attack (**CCA secure**)  
if for all probabilistic poly-time adversaries  $\mathcal{A}$  there exists a negligible function  $\text{negl}$   
such that

$$\Pr_{A, \Pi}^{\text{CCA}}[A(n) = 1] \leq \frac{1}{2} + \text{negl}(n)$$

where the probability is taken over all random coins used in the experiment.

Remarks:

- The restriction on access to the decryption oracle is necessary  
otherwise no scheme can be secure

Question: Are CCA attacks realistic?

Response:

- As in the CPA attack,  
we don't expect honest parties to decrypt arbitrary ciphertexts (chosen by the adversary)
- Yet, there may be scenarios where  
an adversary may be able to influence what gets decrypted  
and learn partial information about the result

E.g.

- In the case of Midway (as in Section 3.5)  
it is conceivable that the US cryptanalysts might also have tried sending encrypted messages to the Japanese and then tried monitoring their behaviour.  
Such behaviour (e.g. movement of forces, etc) could have provided important information about the underlying plaintext.
- Suppose a user is communicating with their bank where all communication is encrypted.

If this communication is not authenticated  
then an adversary may be able to send certain ciphertexts on behalf of the user

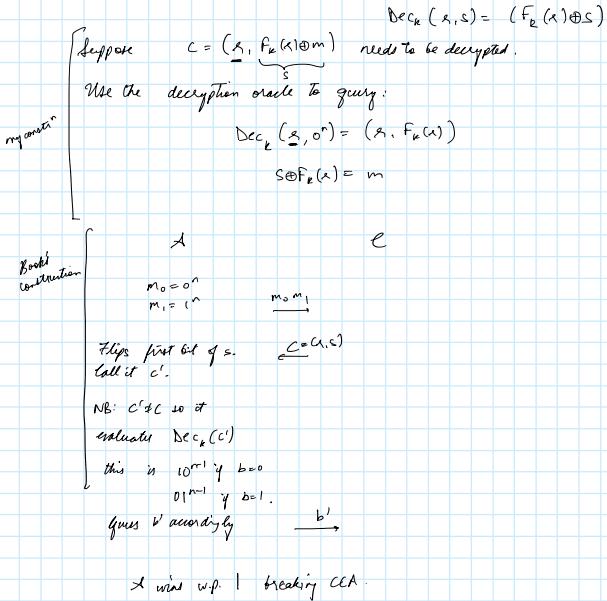
The bank will decrypt these ciphertexts  
and the adversary may learn something about the result  
E.g. if a ciphertext corresponds to an ill-formed plaintext  
the adversary may be able to deduce this from the pattern of the subsequent communication.

- Encryption is often used in higher-level protocols  
e.g. an encryption scheme might be used as part of an authentication protocol where one party sends a ciphertext to the other who decrypts it and returns the result  
(NB: we don't recommend such a protocol—but such protocols are sometimes suggested)  
In this case, one of the honest parties may exactly act like a decryption oracle.

### Insecurity of the schemes we have studied.

Story:

- None of the encryption schemes we have seen is CCA secure
- Recall (in particular), in Construction 3.25,  
the encryption is carried out as  $\text{Enc}_k(m) = (r, F_k(r) \oplus m)$



Remark:

This example demonstrates why CCA security is so stringent

- Any encryption that allows ciphertexts to be manipulated in a "logical way" cannot be CCA secure
- Thus, CCA security implies a very important property **non-malleability**.

Informal Definition: Non-malleability:

If the adversary tries to modify a given ciphertext  
the result is either an illegal ciphertext or  
one that encrypts a plaintext having  
no relation to the original one

Exercise: Show that none of the modes of encryption we saw are CCA secure.

### Construction of a CCA-secure encryption scheme.

- Deferred to Section 4.8 (because we need tools from Chapter 4)

### References and Additional Reading

- **Goldwasser Micali**—computational approach to cryptography  
notion of semantic security  
showed this goal could be achieved in the public key setting
- Formal definitions of security against CPA  
**Luby and Bellare et al**  
CCA (in public key) were formally defined by **Noar-Yung and Rackoff-Simon**
- Other notions of cryptography
- Pseudorandomness was first introduced by **Yao**  
Pseudorandom generators were defined and  
constructed by **Blum and Micali**  
who also pointed out their connection  
to encryption via stream ciphers  
(use of stream ciphers for encryption pre-dated the formal notion of  
pseudorandom generators)
- Pseudorandom functions  
were defined and constructed by **Goldreich et al**  
and their application to encryption was demonstrated  
in subsequent work by the same authors  
Pseudorandom permutations were studied by **Luby and Rackoff**