

# Lecture 11: Priority Queues

## (Chapter 9)

Nov 15, 2016

Data Structures (CS 102)

# Overview

- I. Warmup, Review, etc
- II. Priority Queues
- III. Heaps
- IV. More Heaps

# Next...

I. Warmup, Review

II. Heaps

III. More on Heaps

[Start] [End]

# I. Warmup, Review

# Quiz of Last Thursday

Discussions

# Minute Quiz

Two questions on recursion

Q1: Write a recursive method with this header

```
int height(BinNode u);
```

- returns height of binary tree rooted at u
- May assume the method `int max(int x, int y)`

Q2: Write a recursive method

```
Node reverse(Node u);
```

- returns head of the reverse of the singly-linked list beginning at u.

## Minute Quiz

SOLUTION 1:

```
height height(BinNode u){  
    if (u == null) return -1;  
    return 1+max(height(u.left), height(u.right));  
}
```

– Note: the height of the empty tree is -1.

## Minute Quiz

### SOLUTION 2:

```
Node reverse(Node u){  
    if (u == null || u.next == null) return u;  
    Node v = u.next;  
    Node w = reverse(u.next); // w is non-null  
    v.next = u;  
    u.next = null; // (*)  
    return w;  
}
```

- Q: Isn't `line (*)` a bit redundant?
- A: Yes, except for the last call.



## Minute Quiz

Therefore: we can have a 20% speedup if we  
make `reverse` an `internal method`,  
omit `(*)`, and  
use an `external method` to call `reverse`.

# Next...

I. Warmup, Review

II. Heaps

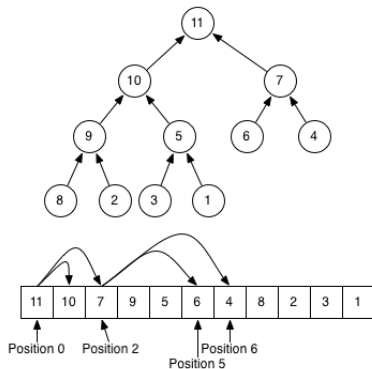
III. More on Heaps

[Start] [End]

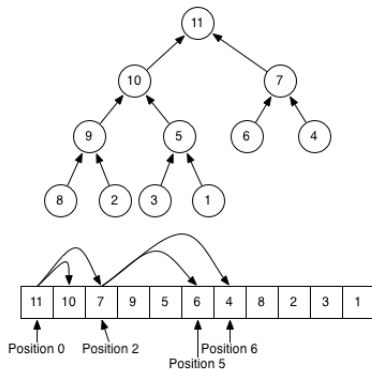
## II. Heaps

# Heaps

View an array as a binary tree

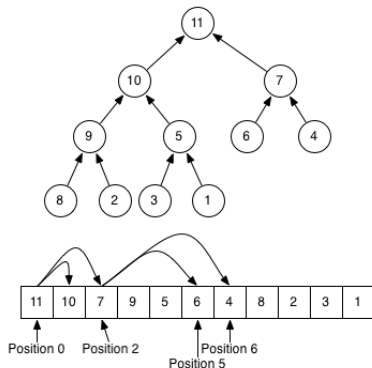


# Heaps



This is a **complete binary tree**

# Heaps



**Definition:** if height is  $h$ , then for each  $i = 0, \dots, h - 1$ ,

- level  $i$  is filled with  $2^i$  nodes, while
- level  $h$  is filled from left to right.

# Heaps

(Min) Heap Property

At each non-root  $u$ :

$$u.Key \leq u.Parent.Key$$

REMARK: compare to BST Property

# Heaps

## How to Enqueue

reheapUp(**k**)

- There is only ONE place to place key **k**.
- Place key there and “reheapUp”
- Blackboard Lecture



# Heaps

## How to Dequeue

reheapDown(**k**)

- There is only ONE place to remove the node
- Remove that node, put it at the root, and “reheapDown”
- Blackboard Lecture

# Heaps

Code Review

PQueueue.java (in Piazza Resources)

# Next...

I. Warmup, Review

II. Heaps

III. More on Heaps

[Start] [End]

## III. More on Heaps

# Hand Simulations

## Binary Heap Demo

# Hand Simulations

Blackboard Demo:

- \* Enqueue these items into an empty P-Queue:

3, 1, 4, 1, 5; 9, 2, 6, 5, 3

- \* Dequeue until empty

# Hand Simulations

Blackboard Demo:

\* The following array is not a heap: but fix it with one swap:

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12
Keys:	13	8	10	7	9	6	2	1	6	0	3	5	4

# Hand Simulations

Swap 8 and 9!

Position:	0	1	2	3	4	5	6	7	8	9	10	11	12
Keys:	13	8	10	7	9	6	2	1	6	0	3	5	4



# Hand Simulations

Put it all together

Code Review (eclipse)

# Complexity

Let  $ht(n)$  be the height of the complete binary tree of size  $n$ .

LEMMA:  $\lg(n+1) \leq ht(n) \leq \lg(n+1) + 1$

\* Proof: Let  $h = ht(n)$ . Then

$$n \geq \sum_{i=0}^{h-1} 2^i = 2^h - 1$$

$$\lg(n+1) \geq h$$

$$n \leq \sum_{i=0}^h 2^i = 2^{h+1} - 1$$

$$\lg(n+1) \leq h+1$$

\* reheapUp(k) takes  $\lg(n)$  time

\* reheapDown(k) takes  $\lg(n)$  time

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Complexity:

\* enqueue  $O(\lg n)$

\* dequeue  $O(\lg n)$

# Complexity

What is height when  $n=10$ ?

Answer: 3

... when  $n=100$ ?

NEED exact formula for height:  $ht(n) = \lceil \lg(1+n) \rceil - 1$

$$ht(n) = \lceil \lg(1+n) \rceil - 1$$

Answer:  $ht(100) = \lceil \lg(101) \rceil - 1 = 7 - 1 = 6$

... when  $n=1000$ ?

Answer:  $ht(1000) = \lceil \lg(1001) \rceil - 1 = 10 - 1 = 9$

... when  $n=1000,000$ ?

Answer:  $ht(1000,000) = 20 - 1 = 19$

... when  $n=1000,000,000$ ?

Answer:  $ht(1000,000,000) = 29$

# Complexity

Proof of  $ht(n) = \lceil \lg(n+1) \rceil - 1$

Level  $i$  has  $2^i$  nodes

So with height  $h$ , we have at most

$$1 + 2 + 4 + \dots + 2^h = 2^{h+1} - 1 \text{ nodes}$$

$$\text{Thus } n \leq 2^{h+1} - 1$$

$$\text{Or, } n+1 \leq 2^{h+1}$$

$$\text{Or, } \lg(n+1) \leq h+1$$

By the minimality of  $h$ , we conclude that  $\lceil \lg(n+1) \rceil = h+1$

$$\text{Thus } ht(n) = \lceil \lg(n+1) \rceil - 1$$

# Heap Sort

How does priority Queues lead to a sorting algorithm?

1. Insert all the keys into a priority queue
2. Dequeue all the keys in the Priority queue

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Heapsort:

Suppose the input keys are in an array

Organize so that we do not need a second array!

# Heap Sort

Heapsort:

Suppose the input keys are in an array

Organize so that we do not need a second array!

Two ideas:

1. Buildheap (by repeated enqueue-ing)
2. Dequeue but keep items in queue

**RESULT:** we can sort  $n$  items in  $O(n \log n)$  time.

Blackboard Demo.

[Web Animation](#)



# Heap Sort

## Heapsort Code Review

# BuildHeap (Advanced Topic)

How to do Buildheap

- \* Do this level-by-level

**RESULT:** Can do Buildheap in  $O(n)$  instead of  $O(n \log n)$

# BuildHeap (Advanced Topic)

Complexity?

Level  $h$ : 0

Level  $h-1$ :  $(n/2) \cdot 1$

Level  $h-2$ :  $(n/4) \cdot 2$

$\vdots$

Level  $h-i$ :  $(n/2^i) \cdot i$

$\vdots$

Level  $h-h=0$ :  $(n/2^h) \cdot h = h$

# BuildHeap (Advanced Topic)

CLAIM: BuildHeap(n) takes  $O(n)$  time

Proof:

$$T = n \sum_{i=0}^{h-1} \frac{i}{2^i}$$

But  $\frac{i}{2^i} < \frac{1}{2^{i/2}}$  for  $i \geq 4$

$$\begin{aligned} \text{So } T &= O(n) + n \sum_{i \geq 4} \frac{1}{2^{i/2}} \\ &= O(n) + n \sum_{i \geq 4} c^i \quad (\text{where } c = 2^{-1/2}) \\ &= O(n) \end{aligned}$$

# Thanks for Listening!

*“Algebra is generous,  
she often gives more than is asked of her.”*

— JEAN LE ROND D’ALEMBERT (1717-83)