

# A Dependently-Typed Zipper over GADT-Embedded ASTs

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# Preliminaries

- ▶ **Slides and examples available at:**

<https://github.com/donovancrichton/talkdepzip.git>

- ▶ **About me:**

- ▶ Honours 'year' student at Griffith University.
- ▶ Working towards a type-correct genetic program through dependently-typed functional programming.
- ▶ About 18 months experience in FP, just under 12 with dependent types.

# Acknowledgements

A sincere thank you to..:

- ▶ Mr Isaac Elliot (LightAndLight)
- ▶ Mr Alex Gyzlov (clayrat)
- ▶ The kind people from the Idris channel on the discord functional programming server!

# A refresher on dependent types 1.

## A note on types and values.

- ▶ Types can be functions and functions can be types!
- ▶ This takes some getting used to!

```
-- n is a value in the type signature
-- n is also used as an argument to refer to that value.
len : {a : Type} -> {n : Nat} -> Vec n a -> Nat
len xs {n} = n
```

## A refresher on dependent types 2.

### A note on totality

- ▶ Functions can be types.
- ▶ Functions need to be evaluated.
- ▶ We need a guarantee that our type-checker will eventually stop and give us a type.
- ▶ Any functions that are used as a type must be **total**!
- ▶ Total functions are defined for all cases and are guaranteed to terminate in some finite time.

## A refresher on dependent types 3.

- ▶ The most basic definition is a dependent data type (GADT in Haskell).
- ▶ Dependent data types (DDT) depend on being parameterised over a type for their construction.
- ▶ Distinguished from parameterised ADTs by the ability to specify the return type parameter of each data constructor.

A vector dependent on a length value.

```
data Nat = Z | S Nat
```

```
data Vec : (n : Nat) -> (e : Type) -> Type where  
  Nil : Vec Z e  
  (::) : (x : e) -> (xs : Vec n e) -> Vec (S n) e
```

## A refresher on dependent types 4.

### Why is this 'good'?

If our length forms part of our type, we gain the ability to write correct functions with respect to vector length, without having to explicitly check.

### Adding some vectors.

```
-- Is this total? Defined for all cases and terminating?  
(+) : Num a => Vec n a -> Vec n a -> Vec n a  
(+) [] [] = []  
(+) (x :: xs) (y :: ys) = x + y :: xs + ys
```

## A refresher on dependent types 5.

### $\Pi$ types.

- ▶ The  $\Pi$  type is a family of types that are indexed by a value (hence type families in Haskell).
- ▶  $\Pi$  types are used to calculate correct return types when given a specified value.
- ▶ In Idris  $\Pi$  types only evaluate if the functions requiring them are marked as total.
- ▶ In Idris functions that return  $\Pi$  types don't always evaluate in function composition, recursive calls or let bindings.



## A refresher on dependent types 6.

An example of using  $\Pi$  types in Idris.

```
Age : Type
```

```
Age = Nat
```

```
Name : Type
```

```
Name = String
```

```
data Material = Plastic | Wood | Metal | Cheese
```

```
data Person = P Name Age
```

```
data Object = O Material
```

```
IsPerson : Bool -> Type
```

```
IsPerson True = Person
```

```
IsPerson False = Object
```

```
isPerson : (x : Bool) -> IsPerson x
```

```
isPerson True = P "Donovan Crichton" 33
```

```
isPerson False = O Cheese
```

## A refresher on dependent types 7.

### $\Sigma$ types.

- ▶  $\Sigma$  types are a pairing of a value, and a type that depends on that value (They are also called dependent pairs).
- ▶  $\Sigma$  types are useful when you want some basic type calculation around dependent types!
- ▶ Idris defines two functions for  $\Sigma$  types: `fst` and `snd` for extracting the first and second elements of the pair. Similar to an ordinary product type (tuple).

## A refresher on dependent types 8.

An example using  $\Sigma$  types in Idris.

```
data DPair : (a : Type) -> (P : a -> Type) -> Type where
  MkDPair : (x : a) -> (pf : P x) -> DPair a P
```

```
-- also has some syntactic sugar in Idris.
```

```
f : (x : Bool ** IsPerson x)
```

```
f = (_ ** isPerson True)
```

```
g : Num a => (n : Nat ** Vec n a)
```

```
g = (_ ** [1, 2, 3])
```

```
-- this is particularly useful if we are passing a vector  
-- of unknown length in as an argument.
```

```
len : Num a => Vec n a -> (x : Nat ** Vec x a)
```

```
len x = (_ ** x)
```

```
-- len [1, 2, 3] returns
```

```
-- (3 ** [1, 2, 3]) : (x : Nat ** Vec x Integer)
```

## A refresher on dependent types 9.

### The Curry-Howard Isomorphism.

The CH Isomorphism shows the relationship between mathematical proofs under logic, and computer programs. In Idris this relationship can be expressed as follows:

Logic Term	Logic Symbol	Idris Symbol	Idris Type
Implication	$p \Rightarrow q$	$p \rightarrow q$	Function Arrow
Conjunction	$p \wedge q$	$(p, q)$	Pair (Product)
Disjunction	$p \vee q$	$\text{Either } p \ q$	Enum (Sum)
Negation	$\neg p$	$p \rightarrow \text{Void}$	Void Type
IFF	$p \iff q$	$(p \rightarrow q, q \rightarrow p)$	Pair Arrows
Universal	$\forall x. P \ x$	$p \rightarrow \text{Type}$	$\Pi$ Type
Existential	$\exists x. P \ x$	$(x \ ** \ P \ x)$	$\Sigma$ Type
Equivalence	$p \equiv q$	$p = q$	Type Equality

## A refresher on dependent types 9.

Why are  $\Sigma$  and  $\Pi$  'good'?

- ▶  $\Pi$  types let us map types to values.
- ▶ We can now be more precise about function values.
- ▶  $\Sigma$  types let us specify properties of types, even when we may not know the exact return type at compile time.
- ▶ 'properties' includes both  $\Pi$  types, and types that are parameterised over other types.
- ▶ This will become much clearer later!

# Motivation

- ▶ Came from a research project on the automatic generation of well-typed functions to solve a given problem.
- ▶ We'd like to substitute values at specific positions on the expression tree.
- ▶ We may not know the value at the position during run-time (but we may know its type).
- ▶ A zipper allows the specification of a position in a tree via a path of transformations or rotations.
- ▶ We'd like to exchange values of the same type at different positions between two trees.

# Dependent data type embedded DSLs 1.

## A quick review of DSLs

- ▶ Short for Domain Specific Language.
- ▶ Used in lots of places, salary calculations, query and markup languages, business logic, etc.

## A DDT (or GADT) embedded DSL

```
data Expr : (a : Type) -> Type where
  Lit      : a -> Expr a
  Add      : Num a => Expr a -> Expr a -> Expr a
  Const    : Expr a -> Expr b -> Expr a
```

## Dependent data type embedded DSLs 2.

An embedded DSL and its interpreter.

```
data Expr : (a : Type) -> Type where
  Lit      : a -> Expr a
  Add      : Num a => Expr a -> Expr a -> Expr a
  Const    : Expr a -> Expr b -> Expr a

interp : Expr a -> a
interp (Lit x)      = x
interp (Add x y)    = (interp x) + (interp y)
interp (Const x y) = const (interp x) (interp y)
```

Why is an embedded DSL 'good'?

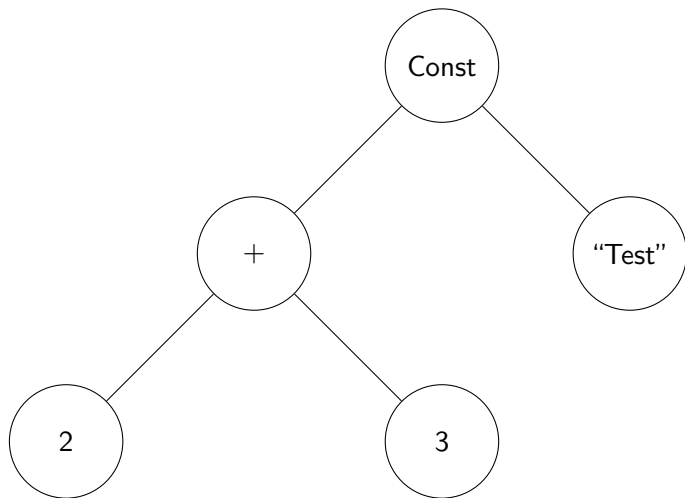
- ▶ It was very easy to write that interpreter.
- ▶ The type checker over the meta language takes care of type checking the DSL.
- ▶ The meta language also takes care of variable binding.



## Dependent data type embedded DSLs 3.

### Expressions as Trees

```
Const (Add (Lit 2) (Lit 3)) (Lit "Test")
```



## Zippping over embedded DSLs LYAH style (Naive).

```
data Expr : Type -> Type where
  Lit      : a -> Expr a
  Add      : Num a => Expr a -> Expr a -> Expr a
  Const    : Expr a -> Expr b -> Expr a
```

```
data Context = Root
  | L (Expr a) Context
  | R (Expr a) Context
```

```
left : (Expr a, Context) -> (Expr a, Context)
left (Lit x, c)      = (Lit x, c)
left (Add x y, c)    = (x, L (Add x y) c)
left (Const x y, c) = (x, L (Const x y) c)
```

```
-- the problem comes when trying to write right
right : (Expr a, Context) -> (Expr a, Context)
right (Lit x, c)      = (Lit x, c)
right (Add x y, c)    = (y, R (Add x y) c)
right (Const x y, c) = (y, R (Const x y) c)
```

## Zipper over embedded DSLs LYAH style 2 (Naive).

### Why doesn't this work?

- ▶ 'left' works fine because the compiler can see that all instances of left result in an 'Expr a'.
- ▶ 'right' cannot type-check because the compiler sees that it returns an 'Expr b' in the 'Const' case.

### Where to from here?

- ▶ There is nothing stopping us from writing a nonsensical context and pairing it up with some expression. We'd like some stronger guarantees here.
- ▶ Lets try to get some stronger intuition of what needs to happen!
- ▶ Lets also see how far we can get with dependent types!

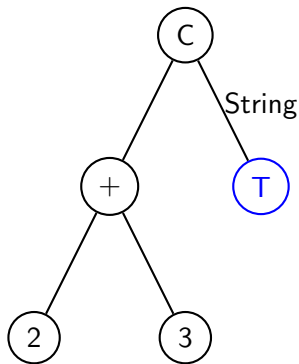
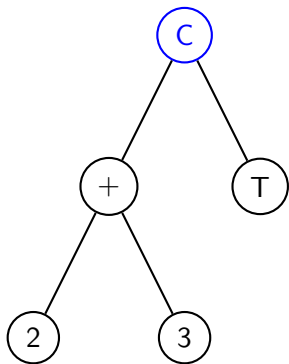
## Some Intuition.

Going right down the expression tree.

**Const** (**Add** (**Lit** 2) (**Lit** 3)) (**Lit** "Test")

Let C represent Const. Let T represent "Test".

Integer



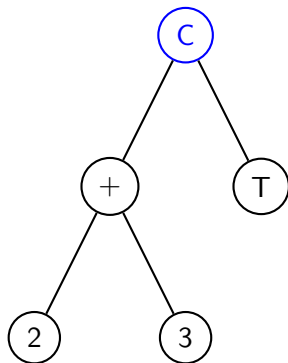
## More Intuition.

Going left down the expression tree.

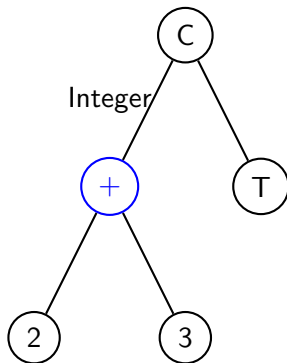
**Const** (**Add** (**Lit** 2) (**Lit** 3)) (**Lit** "Test")

Let C represent Const. Let T represent "Test".

Integer



Integer



# A dependently typed zipper 1.

Let's start with  $\Pi$  types.

- ▶ These  $\Pi$  types will allow us to calculate the correct type of the context, and keep us honest when developing the zipper.
- ▶ Have a look at the 'Maybe Type'. It doesn't make sense for us to build a type from going left or right on the 'Lit x' case.

```
GoLeft : Expr a -> Maybe Type
GoLeft (Lit x) = Nothing
GoLeft (Add {a} x y) = Just a
GoLeft (Const {a} x y) = Just a
```

```
GoRight : Expr a -> Maybe Type
GoRight (Lit x) = Nothing
GoRight (Add {a} x y) = Just a
GoRight (Const {b} x y) = Just b
```

## A dependently typed zipper 2.

Let's re-define the context.

- ▶ By parameterising the context over our  $\Pi$  types, we ensure that the type checker will fail when we try to build invalid contexts.
- ▶ Moving from an ADT to a DDT (GADT) gives us a lot more expressivity here! This is also a strong example of the dependence relationship in the type parameter.

```
data Context : Maybe Type -> Type where
  Root : Context (Just a)
  L : (x : Expr a) -> Context (Just a) -> Context (GoLeft x)
  R : (x : Expr a) -> Context (Just a) -> Context (GoRight x)
```

## A dependently typed zipper 3.

Let's re-define the zipper.

- ▶ We'd like to parameterise the zipper so that we can perform operations on zippers that have holes (or focii) of the same type.
- ▶ 'wrap' is provided to give us an easy way of creating a  $\Sigma$  type from a zipper, we wrap all this up in a 'Maybe' as some zipping operations may fail.

```
data Zipper : Type -> Type where
  Zip : Expr a -> Context (Just a) -> Zipper a

wrap : Zipper a -> Maybe (a : Type ** Zipper a)
wrap x = Just (_ ** x)
```



## A dependently typed zipper 4.

Re-defining the direction functions.

```
left : Maybe (a : Type ** Zipper a)
      -> Maybe (b : Type ** Zipper b)
left Nothing = Nothing
left (Just (x ** pf)) =
  case pf of
    (Zip p@(Lit x) c) => Nothing
    (Zip p@(Add x y) c) => Just (_ ** Zip x (L p c))
    (Zip p@(Const x y) c) => Just (_ ** Zip x (L p c))

right : Maybe (a : Type ** Zipper a)
       -> Maybe (b : Type ** Zipper b)
right Nothing = Nothing
right (Just (x ** pf)) =
  case pf of
    (Zip p@(Lit x) c) => Nothing
    (Zip p@(Add x y) c) => Just (_ ** Zip y (R p c))
    (Zip p@(Const x y) c) => Just (_ ** Zip y (R p c))
```

## A dependently typed zipper 5.

### Notes on the left and right directions.

- ▶ Thanks to the 'GoLeft' and 'GoRight'  $\Pi$  types we defined earlier. It's not possible to accidentally produce a focus of the incorrect type when implementing 'left' and 'right'.
- ▶ We can say that the type is now correct by construction.

## A dependently typed zipper 6.

### Gotchas in the context?

- ▶ Do we need to store the full parent expression?
- ▶ Can we get away with returning a partially applied function?
- ▶ What would we gain or lose? There is more to think about!
- ▶ The left and right constructors are used in the next direction case.

## A dependently typed zipper 7.

More direction functions.

```
up : Maybe (a : Type ** Zipper a)
    -> Maybe (b : Type ** Zipper b)
up Nothing = Nothing
up (Just (x ** pf)) =
  case pf of
    (Zip e Root) => Just (_ ** Zip e Root)
    (Zip e (R (Lit x) pc)) impossible
    (Zip e (R (Add x y) pc)) => Just (_ ** Zip (Add x e) pc)
    (Zip e (R (Const x y) pc)) => Just (_ ** Zip (Const x e) pc)
    (Zip e (L (Lit x) pc)) impossible
    (Zip e (L (Add x y) pc)) => Just (_ ** Zip (Add e y) pc)
    (Zip e (L (Const x y) pc)) => Just (_ ** Zip (Const e y) pc)
```

## A dependently typed zipper 8.

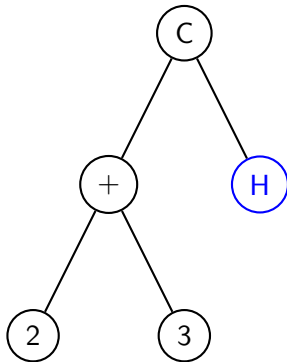
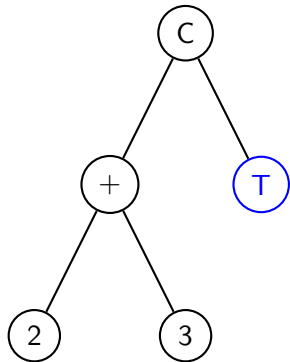
up and  $\Sigma$  types.

- ▶ Remember, we say a function is total when it is defined for all cases and is guaranteed to terminate in finite time.
- ▶  $\Pi$  and  $\Sigma$  types do not refine if used to define non-total functions in Idris.
- ▶ To make 'up' total, we must list all the cases!
- ▶ Some cases are clearly nonsense though!
- ▶ We can mark those as impossible in Idris to keep the function total.

## A dependently typed zipper 9.

A graphical representation of 'subst'

**Const** (**Add** (**Lit** 2) (**Lit** 3)) (**Lit** "Test") Let C represent Const. Let T represent "Test". Let H represent "Hello".



## A dependently typed zipper 9.

Substitution and evaluation.

```
subst : (x : (a : Type ** Zipper a))  
  -> Expr (fst x)  
  -> Maybe (b : Type ** Zipper b)  
subst (x ** (Zip x' c)) e = Just (_ ** Zip e c)  
  
data NotNothing : Maybe a -> Type where  
  IsNotNothing : NotNothing (Just x)  
  
fromMaybe : (input : Maybe (a : Type ** Zipper a))  
  -> {auto prf : NotNothing input}  
  -> (a : Type ** Zipper a)  
fromMaybe (Just z) = z  
  
interp : (x : (a : Type ** Zipper a)) -> (fst x)  
interp (x ** (Zip e c)) = eval e
```

## But...does it actually work?

```
ex1 : Num a => Zipper a
ex1 = Zip (Const (Lit 2) (Lit "Test")) Root
-- Zip (Const (Lit 2) (Lit "Test")) Root

ex2 : Maybe (a : Type ** Zipper a)
ex2 = wrap ex1
-- Just (Integer ** Zip (Const (Lit 2) (Lit "Test")) Root)

ex3 : Maybe (a : Type ** Zipper a)
ex3 = right ex2
-- Just (String **
--      Zip (Lit "Test") (R (Const (Lit 2) (Lit "Test")) Root))

ex4 : Maybe (a : Type ** Zipper a)
ex4 = up (subst (fromMaybe ex3) (Lit "Hello"))
-- Just (Integer ** Zip (Const (Lit 2) (Lit "Hello")) Root)

ex5 : (DPair.fst (fromMaybe Main.ex4))
ex5 = interp (fromMaybe ex4)
-- 2
```



## Gotchas! (Further work).

- ▶ The  $\Sigma$  type `Maybe (a : Type ** Zipper a)` is not really idiomatic.
- ▶ It's more correct to have  
`(a : Type ** Zipper a ** Maybe (Zipper a))`
- ▶ This is more cumbersome in some ways to work with, and harder to grasp if unfamiliar with  $\Sigma$  types.
- ▶ How necessary is it to parameterise the context over a 'Maybe Type'?
- ▶ This is in no way generic! Our zipping functions, and our context are both tightly coupled to the structure of our DSL.

## In summary.

- ▶ We've shown the implementation of a method to correctly traverse a DDT-embedded DSL, where the types can be calculated at run-time.
- ▶ This is working towards the automated generation of well-typed expressions.
- ▶ Dependent types allow us to use our type-checker as a proof-checker.
- ▶ Dependent types also allow us to reduce the number of invalid programs.

# Resources.

## Dependent Types

- ▶ B-trees with GADTS by Matthew Brecknel:  
<https://www.youtube.com/watch?v=VleZW4TSSHg> (Talk)
- ▶ Type-Driven Development with Idris - Edwin Brady (Book).
- ▶ The Little Typer - Daniel P. Friedman and David Thrane Christiansen (Book).

## Curry Howard Isomorphism

- ▶ Propositions as Types - Phillip Wadler (Paper).

## Theorem Proving with Dependent Types

- ▶ Software Foundations  
<https://softwarefoundations.cis.upenn.edu/> (Book Series)