Data Dissemination with Ring-Based Index for Wireless Sensor Networks*

Wensheng Zhang, Guohong Cao and Tom La Porta
Department of Computer Science and Engineering, The Pennsylvania State University
University Park, PA 16802
E-mail: {wezhang, gcao, tlp}@cse.psu.edu

Abstract

In current sensor networks, sensor nodes are capable of not only measuring real world phenomena, but also storing, processing and transferring these measurements. Many data dissemination techniques have been proposed for sensor networks. However, these techniques may not work well in a large scale sensor network where a huge amount of sensing data are generated, but only a small portion of them are queried. In this paper, we propose an index-based data dissemination scheme to address the problem. This scheme is based on the idea that sensing data are collected, processed and stored at the nodes close to the detecting nodes, and the location information of these storing nodes is pushed to some index nodes, which act as the rendezvous points for sinks and sources. We further extend the scheme with an adaptive ring-based index (ARI) technique, in which the index nodes for one event type form a ring surrounding the location which is determined by the event type, and the ring can be dynamically reconfigured for fault tolerance and load balance. Analysis and simulations are conducted to evaluate the performance of the proposed index-based scheme. The results show that the index-based scheme outperforms the external storage-based scheme, the DCS scheme, and the local storage-based schemes with flood-response style. The results also show that using ARI can tolerate clustering failures and achieve load balance.

1. Introduction

Recent advances in electronic and communication technology have enabled the production of battery powered wireless sensor nodes. These nodes are capable of not only measuring real world phenomena, but also storing, processing and transferring these measurements. They are typically deployed in the physical world to form a wireless sensor network [2], which enables many applications such as monitoring the habitats of animals [4], gathering information in a disaster area, and providing traffic information. These

applications involve collecting, processing, storing and retrieving a large volume of sensing data generated by the sensor nodes. Since bandwidth and power are scarce resources in wireless sensor networks, it is critical to design scalable and energy efficient data dissemination schemes.

In the past several years, many data dissemination schemes [7, 8, 17, 11, 5, 6] have been proposed for sensor networks. One widely adopted scheme is the external storage-based (ES) data dissemination. It relies on a centralized base station, which is external to the sensor network, for collecting and storing sensing data. If many queries are issued from nodes within the network [17], this scheme is very inefficient since data must be sent back and forth between the sensors and the base station. Ratnasamy et al. [11] proposed a data-centric storage-based (DCS) data dissemination scheme, in which the sensing data of an event (e.g., elephant sightings) are stored at certain nodes within the network. In this scheme, however, data are still pushed in a predefined manner regardless of queries. Hence, it lacks flexibility and may introduce many unnecessary data transfers when the querying rate is low.

To avoid unnecessarily transferring the sensing data, local storage-based (LS) data dissemination schemes, e.g., directed diffusion [8] and two-tier data dissemination (TTDD) [17], have been proposed. In these schemes, a source sends data to a sink only when the sink has sent a query for the data. These schemes need a sink-source matching mechanism to facilitate a sink to find the source holding the data of interest. The matching mechanisms adopted by most LS schemes follow a flood-response pattern [6], which inherently needs to flood certain control messages. For example, in directed diffusion, a sink floods its query over the whole network; the source(s) with the requested data then knows where to send the data. In TTDD, the source detecting a certain event floods the advertisements of the event to the network, and the sinks interested in the event can send their queries directly to the source. Considering the large number of nodes in a sensor network, the network-wide flooding may introduce significant traffic.

This work was supported in part by the National Science Foundation (CAREER CCR-0092770 and ITR-0219711).

Due to the drawbacks mentioned above, most existing data dissemination schemes, which rely on an external base station, or advertise the availability of data, may not work well in large scale sensor networks, especially in scenarios where a large amount of sensing data are generated, but only a small portion of them will be queried. To address this problem, we propose an index-based data dissemination scheme. In this scheme, the sensing data of an event are stored at the detecting nodes themselves or some nodes close to them (these nodes are called storing nodes). A storing node only sends data to a sink when it receives a query from the sink. Also, the location information (called index) of the storing nodes are pushed to and maintained at some nodes (called index nodes) based on the event type related to the stored data. Hence, queries for a particular event are routed to the appropriate index nodes. The index-based scheme is more attractive than the existing data dissemination schemes since it avoids both unnecessarily transferring the sensing data and flooding control messages to the whole network. Certainly, this scheme introduces additional overhead for maintaining index nodes. However, as demonstrated by the analysis and evaluation results in this paper, this scheme can improve the overall system performance.

One major challenge of implementing the index-based data dissemination is how to maintain the indices in the network, such that the indices are highly accessible to sinks and the index nodes are not overloaded. To achieve these goals, we propose an implementation based on the ring structure, and call it the Adaptive Ring-based Index (ARI) scheme. In ARI, the index nodes for a particular event type are a set of nodes surrounding one or more particular locations (called index centers of the event type). The index centers are determined by applying a predefined hash function, e.g., Geographic Hash Table (GHT) [11], on the event type. Note that the hash function maps an event type to one or more locations within the detecting region of the sensor network. The index nodes for the same event type are connected via some forwarding nodes to form a ring (called index ring). The number and locations of the index nodes on an index ring, as well as the shape of the ring, can be adaptively changed to achieve load balance and optimize the system performance.

Extensive analysis and simulations are conducted to analyze and evaluate the performance of the proposed indexbased data dissemination scheme, and its performance is compared to the existing data dissemination schemes. The results show that, the index-based scheme outperforms external storage-based schemes, the DCS scheme, and local storage-based schemes in many scenarios. The results also show that using the ARI scheme can tolerate clustering failures and achieve load balance.

The remainder of the paper is organized as follows. Section II presents the system model. Section III describes the index-based data dissemination scheme, analyzes the over-

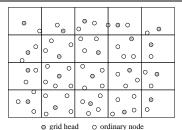


Figure 1. Dividing a sensor network into grids

head of several data dissemination schemes, and identifies the scenarios in which the index-based scheme performs the best. Section IV describes the proposed ARI scheme in detail. Performance evaluations are presented in Section V, and Section VI concludes the paper.

2. System Model

We consider an application scenario as follows: A sensor network is deployed for monitoring many targets moving within a vast region. The sensor nodes in the network detect the status of each target, and periodically generate sensing data. Many users are also moving within the region. From time to time, a user may issue a query via a sensor node (sink) for data about the current status of a target and/or a summary of the recent activities of the target.

We assume that the sensor nodes are stationary, and are aware of their own locations using GPS [1] or other techniques such as triangulation [3]. To save power, the nodes stay in the sleep mode most of the time based on the Geographical Adaptive Fidelity (GAF) protocol [16]. Using this protocol, as shown in Figure 1, the sensor network is divided into grids, where each pair of nodes in neighboring grids can communicate directly with each other. Grid heads are responsible for forwarding the messages, and other nodes only need to wake up periodically.

Our proposed data dissemination scheme is built on top of Greedy Perimeter Stateless Routing (GPSR) [9], a well-known geographic routing system for multi-hop wireless networks. GPSR uses two distinct algorithms for routing. One is a greedy forwarding algorithm which forwards packets progressively closer to the destination at each hop. The other is a perimeter forwarding algorithm that forwards packets where greedy forwarding is impossible. In this system, which uses both the GAF protocol and the GPSR protocol, packets are forwarded by grid heads. A grid head first tries to forward a packet to its neighboring grid head that is closest to the destination. On failing to find such a node, it forwards the packet to one of the neighboring grid heads based on the perimeter forwarding algorithm.

In our proposed data dissemination scheme, the targets of interest to the users are classified into several types, each

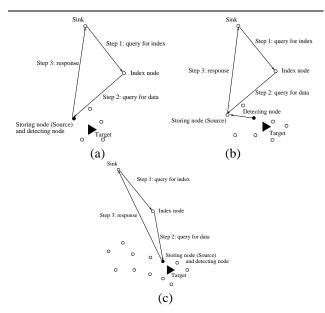


Figure 2. Index-based data dissemination

of which has a unique key. With a certain hash function H(.), a key is mapped to one or more locations within the detecting region of the sensor network. Formally, $(\forall e \in E)H(e) = L_e \in \mathcal{R}$, where E is the set of types and \mathcal{R} is the detecting region.

3. The Index-Based Data Dissemination

In this section, we first describe the basic idea of the index-based data dissemination. Then, we analytically compare the overhead of different data dissemination schemes, and identify the scenarios in which the index-based scheme performs the best.

3.1. Basic Idea of the Index-based Data Dissemination

The basic idea of the index-based data dissemination is illustrated in Figure 2 and is described as follows: A node detecting a target periodically generates sensing data about the target, and stores the data in a storing node, which can be itself or some node nearby. When the target moves, as shown in Figure 2 (b) and (c), the detecting node is changed accordingly. However, the new detecting node still stores the sensing data at the same storing node, until the storing node is far away from the detecting node, and then a new storing node is selected. When a new storing node of a target has been selected, the old storing node processes the data it previously stored and generates a summary of small size; sends the summary to the new storing node. Note that the aggregation is not required by the index-based data dissemination scheme. If the previous data are not summarized, the new storing node may keep a pointer which points to the old one, such that the previous data can also be accessed from the current storing node. Also, the new storing node should register its location at the index nodes for the target. When a sink wants to query the sensing data of a target, it sends a query message to an index node for the desired target. On receiving the message, the index node forwards the request to the storing node which sends a response directly to the querying sink.

3.2. Analytical Comparison of the Data Dissemination Methods

To compare the overhead of different data dissemination schemes, we introduce the following notations:

- N: the total number of sensor nodes in the network. Similar to [11, 5], we use O(N) to represent the message complexity of flooding a message to the whole network, and use O(√N) to represent the message complexity of sending a message between two nodes in the network.
- T, n: T is the number of types, and n is the number of targets of each type.
- r_d, r_q, r_c, r_s : r_d is the rate of updating the sensing data of a target. r_q is the rate of querying a target. r_c is the rate that the detecting node of a target is changed. r_s is the rate that the storing node of a target is changed, where $r_d \geq r_c \geq r_s$. In most cases, $r_d > r_c > r_s$.
- s_d, s_q, s_i : s_d is the size of a data report, s_q is the size of a query message, and s_i is the size of an index update message. In most cases, $s_d \gg s_q$ and $s_d \gg s_i$.

We consider the following metrics when comparing the overhead of the data dissemination schemes:

- Overall message complexity: the number of messages generated in the whole network.
- Hotspot message complexity: the maximum number of messages sent, received and forwarded by one single node in the network.
- Overall traffic: the amount of data transferred in the whole network.
- Hotspot traffic: the maximum amount of data sent, received, and forwarded by one single node in the network.

According to the basic operations of the index-based scheme and the other data dissemination schemes [11], we can estimate the overhead of the data dissemination schemes in terms of the overall message complexity, hotspot message complexity, overall traffic and hotspot traffic. The estimated results are shown in Table 1.

Based on the estimations, we can obtain the following observations:

	Overall message complexity
ES	$(1.1) O((\sqrt{N} * r_d + 2 * \sqrt{N} * r_q) * T * n)$
DCS	(2.1) $O((\sqrt{N} * r_d + 2 * \sqrt{N} * r_q) * T * n)$
LS	$(3.1) O((N * r_c + 2 * \sqrt{N} * r_q) * T * n)$
Index-	$(4.1) O((\sqrt{N} * r_s + 3 * \sqrt{N} * r_q) * T * n)$
based	
	Hotspot message complexity
ES	$(1.2) O((r_d + 2 * r_q) * T * n)$
DCS	$(2.2) O((r_d + 2 * r_q) * n)$
LS	$(3.2) O(r_c * T * n + 2 * r_q)$
Index-	$(4.2) O((r_s + 2 * r_q) * n)$
based	
	Overall traffic
ES	$(1.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$
ES DCS	0 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
	$(1.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$
DCS	$(1.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(2.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$
DCS LS	$(1.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(2.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(3.3) O(\{N * r_c * s_i + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$
DCS LS Index-	$(1.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(2.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(3.3) O(\{N * r_c * s_i + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(4.3) O(\{\sqrt{N} * r_s * s_i + \sqrt{N} * r_q * (2 * s_q + s_d)\} * n)$
DCS LS Index-	$(1.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(2.3) O(\{\sqrt{N} * r_d * s_d + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(3.3) O(\{N * r_c * s_i + \sqrt{N} * r_q * (s_q + s_d)\} * T * n)$ $(4.3) O(\{\sqrt{N} * r_s * s_i + \sqrt{N} * r_q * (2 * s_q + s_d)\} * T * n)$
DCS LS Index- based	$(1.3) O(\{\sqrt{N}*r_d*s_d+\sqrt{N}*r_q*(s_q+s_d)\}*T*n)$ $(2.3) O(\{\sqrt{N}*r_d*s_d+\sqrt{N}*r_q*(s_q+s_d)\}*T*n)$ $(3.3) O(\{N*r_c*s_i+\sqrt{N}*r_q*(s_q+s_d)\}*T*n)$ $(4.3) O(\{\sqrt{N}*r_s*s_i+\sqrt{N}*r_q*(2*s_q+s_d)\}*T*n)$ Hotspot traffic
DCS LS Index-based ES	$(1.3) O(\{\sqrt{N}*r_d*s_d+\sqrt{N}*r_q*(s_q+s_d)\}*T*n)$ $(2.3) O(\{\sqrt{N}*r_d*s_d+\sqrt{N}*r_q*(s_q+s_d)\}*T*n)$ $(3.3) O(\{N*r_c*s_i+\sqrt{N}*r_q*(s_q+s_d)\}*T*n)$ $(4.3) O(\{\sqrt{N}*r_s*s_i+\sqrt{N}*r_q*(2*s_q+s_d)\}*T*n)$ $(4.3) O(\{\sqrt{N}*r_s*s_i+\sqrt{N}*r_q*(2*s_q+s_d)\}*T*n)$ Hotspot traffic $(1.4) O(\{r_d*s_d+r_q*(s_q+s_d)\}*T*n)$
DCS LS Index-based ES DCS	$(1.3) O(\{\sqrt{N}*r_d*s_d + \sqrt{N}*r_q*(s_q + s_d)\}*T*n)$ $(2.3) O(\{\sqrt{N}*r_d*s_d + \sqrt{N}*r_q*(s_q + s_d)\}*T*n)$ $(3.3) O(\{N*r_c*s_i + \sqrt{N}*r_q*(s_q + s_d)\}*T*n)$ $(4.3) O(\{\sqrt{N}*r_s*s_i + \sqrt{N}*r_q*(2*s_q + s_d)\}*T*n)$ $(4.3) O(\{\sqrt{N}*r_s*s_i + \sqrt{N}*r_q*(2*s_q + s_d)\}*T*n)$ Hotspot traffic $(1.4) O(\{r_d*s_d + r_q*(s_q + s_d)\}*T*n)$ $(2.4) O(\{r_d*s_d + r_q*(s_q + s_d)\}*n)$

Table 1. Estimating the overhead of the data dissemination schemes

Observation 1: The index-based scheme has smaller overall message complexity than the other schemes, if:

- (1) N is large enough.
- (2) $r_s + r_q < r_d$, which can be derived by comparing (4.1) to (1.1) and (2.1).

Observation 2: The index-based scheme has smaller hotspot message complexity than the other schemes, if

- (1) $\frac{r_q}{r_c} < T/2$. This relationship is derived as follows: Let $(r_s+2*r_q)*n < r_c*T*n+2*r_q$ and $r_s=c*r_c$, where c<1. Thus, $\frac{r_q}{r_c} < \frac{n*(T-c)}{2*(n-1)} \approx T/2$.
- (2) $r_s < r_d$, which can also be derived by comparing (4.2) to (1.2) and (2.2).

Observation 3: The index-based scheme has smaller overall traffic than the other schemes, if:

- (1) N is large enough.
- (2) $r_s * s_i + r_q * s_q < r_d * s_d$, which can be derived by comparing (4.3) to (1.3) and (2.3).

Observation 4: The index-based scheme has smaller hotspot traffic than the other schemes, if:

	Overall msg (msg/s)	Hotspot msg (msg/s)
ES	175	3.5
DCS	175	1.05
LS	800	0.7
Index-based	90	0.35
	Overall trf $(bytes/s)$	Hotspot trf $(bytes/s)$
ES	Overall trf $(bytes/s)$ 7.75K	Hotspot trf $(bytes/s)$ 155
ES DCS	,	
	7.75K	155

Table 2. Numeric values of the Estimated overhead

- $\begin{array}{l} \textbf{(1)} \ \ \frac{r_q}{r_c} < \frac{s_i*T}{s_q+s_d}. \ \text{This relationship is derived as follows:} \\ \text{Let} \ \{r_s*s_i+r_q*(s_q+s_d)\}*n < r_c*s_i*T* \\ n+r_q*(s_q+s_d) \ \text{and} \ r_s=c*r_c, \ \text{where} \ c<1. \\ \text{Thus,} \ \frac{r_q}{r_c} < \frac{s_i*n*(T-c)}{(s_q+s_d)*(n-1)} \approx \frac{s_i*T}{s_q+s_d}. \end{array}$
- (2) $r_s * s_i < r_d * s_d$, which can be derived by comparing (4.4) to (1.4) and (2.4).

As an example, we assume that N=2500, $r_d=0.25$, $r_q=0.05$, $r_c=0.03$, $r_s=0.06$, T=4 and n=3. Based on Table 1, we can get estimated values that are listed in Table 2. From this table, we can see that the overall message complexity (overall traffic) of the index-based scheme is much lower than other schemes when N is very large and r_q is smaller than r_d . Under the same conditions, the hotspot message complexity (hotspot traffic) of the index-based scheme is also much smaller than the ES scheme and the DCS scheme.

4. An Adaptive Ring-based Index (ARI) Scheme

We now propose an adaptive ring-based index (ARI) scheme. We first present the motivations of the scheme, and then describe the operations including index querying/updating, failure management, and adaptive ring reconfiguration in detail.

4.1. Motivation

The index-based data dissemination is similar to the problem of locating contents in the peer-to-peer systems such as Chord [15], Pastry [14], Tapestry [18], CAN [12], etc. In theses systems, each node is assigned a numerical or a d-dimensional identifier, and it is responsible for owning pointers to objects (e.g., files), whose identifiers map to the node's identifier or region. The set of object identifiers assigned to a nodes is changed as some nodes join or leave the system. Compared with these systems, sensor networks have their unique characteristics. For example, sensor nodes do not have unique identifiers, but can be aware

of their own locations. Also, nodes are prone to failures and are constrained in energy supply. Consequently, one challenge of implementing the index-based data dissemination is how to distribute, update, maintain and query the indices in the network, such that the following requirements are satisfied:

- Fault tolerance: Since sensor nodes are prone to failures [2], we should not rely on a single node to maintain an index. Techniques such as replication should be employed to achieve a certain level of fault tolerance.
- Load balance: Some index nodes may become overloaded. The scheme should remove the overloaded index nodes and add some lightly-loaded index nodes.
- Efficiency: The scheme should not introduce too much overhead since bandwidth and energy are scarce resources in a sensor network.

One simple scheme can be derived directly from the basic DCS scheme. In this scheme, the index nodes for a target are the nodes which form the smallest perimeter surrounding the location calculated. However, the index nodes can not be changed except when they are failed, and all queries and index updates for the target are processed by these nodes. Hence, the index nodes may become overloaded soon, especially when the query rate or the index update rate for the target is very high. Also, since the index nodes for an event type are close to each other, the scheme can not tolerate clustering failures, which may destroy all the index nodes for an event type. A hierarchical scheme similar to the structured replication DCS (SR-DCS) [11] or the resilient DCS (R-DCS) [5] can be used to tolerate clustering failures. In these schemes, the whole detecting region is divided into several subregions, and there is one index node in each subregion. Using these schemes, the index nodes in some subregion can still be overloaded if the number of queries issued from that subregion is very high. In addition, a query may be routed through several levels of index nodes before reaching the valid index node. Tree-based replication of indices [13], which is already applied in peer-to-peer networks, may also be used in sensor networks. However, this scheme may introduce significant maintenance overhead. For example, the failure of the root or some intermediate nodes in the tree may cause the tree to be reconfigured. Furthermore, the nodes should be aware of the addition, removal and migration of index nodes. Thus, it is a challenge to design index-based schemes to satisfy the fault tolerance, load balance and efficiency requirements.

4.2. The ARI Scheme

To achieve the goals of fault tolerance, load balance and efficiency, we propose the *Adaptive Ring-based Index* (*ARI*) scheme. The ARI scheme is based on the structure of ring, which includes the index nodes for the targets of the

same type, and the forwarding nodes that connect the index nodes. The basic modules in the ARI scheme are ring initialization, index querying, index updating, failure management and ring reconfiguration, which will be explained in this section.

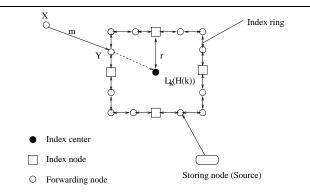


Figure 3. Initializing an Index Ring

4.2.1. Initializing an Index Ring We first describe how to initialize an index ring for the targets of a certain type. As mentioned in Section 2, the index center for the target is calculated using a hash function, which maps a target to a location within the detecting region. Initially, the index nodes for the targets are m selected nodes whose distance to the index center is equal to or larger than r, where m and r are system parameters. These nodes are connected via some forwarding nodes to form an index ring surrounding the index center. Each node has two pointers which point to its clockwise neighbor and its counterclockwise neighbor on the ring respectively. The index ring must satisfy the following **conditions**:

- (CI) The distance between the index center and any node on the ring should be larger than or equal to r.
- (C2) Any message sent by a node outside of the ringencircled region and destined to the index center, will be intercepted by some node on the ring.

Figure 3 shows an example of an initial index ring, where m=4. The ring satisfies (CI), since the distance between each node on the ring and the index center is at least r. Due to the assumption that each node can only forward messages to the nodes in its neighboring grids (refer to Section 2), a message sent by a node outside of the ring-encircled region and destined to the index center, must pass some nodes on the ring. For example, as shown in Figure 3, message m sent by node X can be intercepted by node Y. Thus, the ring also satisfies (C2). Note that, the index ring may be changed later, due to failures or load balance, but the resulted ring **must also** satisfy the above two conditions.

Parameters m and r affect the system performance. As m becomes large, the number of initial index nodes increases. Hence, the overhead for querying is decreased, at the cost that the overhead for storing and updating index is increased. When r becomes large, the overhead for queries issued by nodes far away from the index center is reduced. Also, more nodes are included in the ring, which improves the fault tolerance level. However, the overhead for queries issued by nodes within the region encircled by the ring is increased, as is the overhead for index update.

- **4.2.2.** Querying and Updating an Index The sink S_i can query the index of a target as follows: it first calculates the distance to the index center (denoted as L_k) of the target. If the distance is larger than r, the query message is forwarded along the direction of $S_i \to L_k$. Otherwise, the message is forwarded along the direction of $L_k \to S_i$. When a node receives a message, it performs differently based on the following cases:
- (1) If the node is an index node for the queried target: the query is forwarded to the storing node of the target if there exists such a storing node.
- (2) If the node is a forwarding node on the index ring for the queried target: the query is forwarded to its clockwise neighboring node on the ring.
- (3) If the forwarding direction of the message is $S_i \to L_k$, and the distance between the node and the index center of the target is smaller than r: the forwarding direction of the query message is changed to be $L_k \to S_i$, and the message is forwarded in the new direction.
- (4) Otherwise: the message is forwarded in the specified direction.

Next, we use Figure 4 to further illustrate the querying process. Figure 4 (a) shows the case in which sink S_i is outside of the region encircled by the index ring of the queried target. In this case, the query issued by the sink is forwarded along the direction of $S_i \to L_k$, until it is intercepted by some node on the index ring. The node intercepting the query passes the message on the index ring in the clockwise direction, and the query is finally received and served by an index node, which further forwards the message to the storing node of the queried target.

Figure 4 (b) shows the case in which S_i is within the ring-encircled region, and its distance to L_k is smaller than r. In this case, the query issued by the sink is forwarded along the direction of $L_k \to S_i$ before it is intercepted by some node on the ring. The subsequent process is the same as the previous case.

Figure 4 (c) shows a complicated case, where S_i is inside the ring-encircled region, and its distance to L_k is larger than r. In this case, the query is first forwarded along the direction of $S_i \to L_k$. When the message arrives at a node

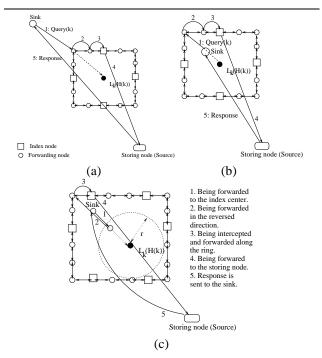


Figure 4. Querying an index

whose distance to L_k is less than r, the forwarding direction of the message is changed to $L_k \to S_i$. The subsequent process is the same as the previous case.

When the storing node of a target changes, the location of the new storing node must be updated at the index nodes for the target. The process of transferring an index update message to the index ring is similar to that of transferring an index query message. When the message arrives at an index node on the ring, the node updates its index, and forwards the message along the circle in the clockwise direction. If the node is a forwarding node, it is simply sent to the counterclockwise neighbor. The message is dropped when it is forwarded back to a node that has already received it.

4.2.3. Dealing with Node Failures To detect the failures of index nodes, neighboring nodes on an index ring should monitor each other by exchanging beacon messages periodically. In this paper, we consider the following failures:

Individual Node Failures:

Due to energy depletion or hardware faults, an individual node may fail. This kind of failures can be tolerated by the GAF protocol, in which a failed grid head is detected and replaced by other nodes in the same grid. When a new grid head is elected after the old grid head (which is on an index ring) fails, the information (e.g., some indices and pointers) held by the old grid head is lost. However, the new head can receive beacon messages from its neighboring nodes on the ring. From these messages, it knows that it is a node on the

index ring, and can get the lost information from the neighbors.

Clustering Failures:

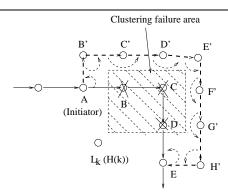


Figure 5. Dealing with clustering failures

Due to some environmental reasons, the nodes within a certain region may all fail or be isolated. This kind of failures is called *clustering failures*. Clustering failures may break an index ring. Figure 5 shows a scenario where an index ring is broken after a clustering failure occurs. In this scenario, nodes B, C and D are failed and can not be replaced by other nodes in their grids, since all the nodes in these grids are dead. The failure can be detected by the neighbors of the failed nodes (i.e., nodes A and E), who do not receive beacon messages from the failed nodes for certain time interval. The counterclockwise neighbor (i.e., node A) of the failed nodes initiates a process to repair the ring.

In the repairing process, the initiator A sends out a recovery message, and the message is forwarded around the failed region based on the right hand rule [9], until the message arrives at a functioning node on the ring. The process is depicted in Figure 5, and is further explained as follows: The initiator A forwards the recovery message to B', which is the next neighbor of A and is sequentially counterclockwise about A from edge $\langle A, B \rangle$. On receiving the message, B' forwards the message to its functioning neighbor C', which is the next one sequentially counterclockwise about B' from edge $\langle B', A' \rangle$. The process continues, until the message arrives at E, which is a functioning node on the ring. When E receives the recovery message, it consumes the message, and sends an ack message back to A along the reversed path $\langle E, H', G', F', E', D', C', B', A \rangle$. When A receives the ack message, the repairing process completes, and the broken fragment of the ring, i.e., $\langle A, B, C, D, E \rangle$, is replaced by a new fragment $\langle A, B', C', D', E', F', G', E \rangle$.

4.2.4. Ring Reconfiguration for Load Balance It is possible that some nodes on the index ring become overloaded compared to others. For the purpose of load balance, these

nodes should be replaced by some lightly-loaded nodes. In the ARI scheme, we propose a simple algorithm to support load balance-oriented ring reconfiguration: Each node maintains a willing flag to indicate whether it is willing to be a node on an index ring. Initially, the flag is turned on. Each node i periodically exchanges its residual energy level (denoted as e_i) with its neighbors, and calculates the average residual energy level of all its neighbors (denoted as $\overline{e(i)}$). Node i turns off its willing flag when: $e_i < \alpha * \overline{e(i)}$, where α is a system parameter. When a node on the ring turns off its willing flag, it sends a quit message to its counterclockwise neighbor. On receiving the message, the neighbor initiates a process similar to the ring repairing process (refer to 4.2.3) to remove the quitting node and reconfigure the ring.

5. Performance Evaluations

5.1. Simulation Model

We develop a simulator based on ns2 (version 2.1b8a) [10], to evaluate and compare the index-based data dissemination scheme (with ARI) to three data dissemination schemes: ES, DCS [11] and LS (with source-initiated sink-source matching mechanism) [17]. In this simulator, the MAC protocol is based on IEEE 802.11¹, and the transmission range of each node is 40m [8]. 2500 sensor nodes are distributed over a $850 \times 850m^2$ flat field, which is divided into $17 \times 17m^2$ GAF grids, such that there is one sensor node in each grid.

Several targets (the number of target is denoted as N_t) are deployed in the detecting region. Each target may move in any direction and its average velocity is denoted as v. When a target enters a grid, the sensor node located in that grid can detect it. If the index-based data dissemination scheme is simulated, the sensor node that first detects a target becomes the initial storing node of the target. When the distance between the current detecting node of a target and the storing node of the target is higher than a threshold θ_s , the storing node of the target is changed to be the current detecting node. Each target has a name, which is an integer between 0 and $N_t - 1$. We use a simple hash function to map the name of a target to one of N_i index centers, which are uniformly distributed in the detecting field. The mapping rule is as follows: $H(k) = L_{kMODN_i}$, where k is the name of a target and L_j is the location of the j^{th} index center. Any sensor node can be a sink which issues a query for the data of a certain target. Table 3 lists most of the simulation parameters.

In the simulation, considering the fact that a packet in sensor networks is typically very small, we modify the format of a packet, such that the packet size is much smaller than that specified by the IEEE 802.11 standard

Parameter	Value
field size (m^2)	850×850
number of nodes	2500
communication range (m)	40.0
grid side (m)	17.0
number of targets: N_t	10
data update rate: r_d (per target per second)	0.25
number of index centers: N_i	4
the migration threshold for a storing node: $\theta_s(m)$	34.0
initial radius of an index ring: $r(m)$	34.0
initial number of index nodes on a ring: m	4
simulation time for each experiment	300.0
average velocity of a mobile target: $v\left(m/s\right)$	1.0
size of an update message $(byte)$	10
size of a query message (byte)	10
size of a data message $(byte)$	50

Table 3. Simulation Parameters

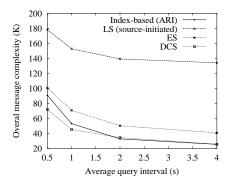


Figure 6. Comparing the overall message complexity

5.2. Simulation Results

The simulation includes two parts. In the first part, the overhead of four data dissemination schemes are evaluated and compared to verify the effectiveness of the analytical results presented in Section 3.2. In the second part, the ARI scheme is evaluated and some preliminary results are presented to show that this scheme is resilient to clustering failures and can achieve load balance.

5.2.1. Comparing the performance of data dissemination schemes We first evaluate and compare the overall message complexity of the data dissemination schemes, and the results are shown in Figure 6. LS is shown to have the highest message complexity, since it needs to flood control messages to the whole network. ES and DCS have the same order of message complexity in theory. However, ES pushes

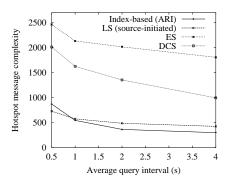


Figure 7. Comparing the hotspot message complexity

data to a base station outside of the network, and hence has larger overhead for pushing and retrieving data than DCS, which pushes data to nodes within the network. Figure 6 also shows that the index-based scheme and DCS has similar overall message complexity. When the query interval is small (i.e., the query rate is high), the index-based scheme has a little bit higher message complexity than DCS. The trend is reversed when the query interval is large (i.e., the query rate is low). This phenomenon is consistent with *Observation 1* presented in Section 3.2.

Figure 7 shows the hotspot message complexity of the data dissemination schemes. ES is shown to have the highest hotspot message complexity, since the hotspot nodes (i.e., the edge nodes close to the base station) need to forward all the messages related to storing and retrieving data, which include the data pushed from the detecting nodes, the queries from the sinks, and the responses sent from the base station. DCS has much smaller hotspot message complexity, because a hotspot node (i.e. a nodes surrounding a index center) only processes messages related to one type of targets whose data are stored there. LS is shown to have smaller hotspot message complexity than DCS. This is due to the fact that, in our simulations, the target does not move quickly and the number of index centers is small (i.e., 4). According to the theoretic estimation results (4.2) and (3.2) presented in Section 3.2, the index-based scheme has a smaller number of messages at hotspots than DCS. From Figure 7, we can also see that, the index-based scheme has lower hotspot message complexity than LS only when the query interval is large (i.e., the query rate is small), which is also consistent with *Observation 2* presented in Section 3.2.

The overall traffic of the data dissemination schemes are shown in Figure 8. From the figure, we can see that LS has very large traffic due to the fact that it floods control messages over the whole network. ES and DCS also cause large overall traffic, since they both push data from detecting nodes to storing nodes regardless of queries. ES has

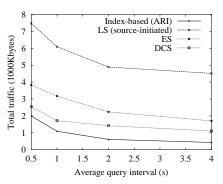


Figure 8. Comparing the overall traffic

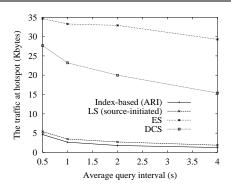


Figure 9. Comparing the hotspot traffic

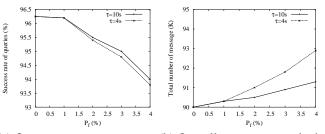
larger traffic than DCS, because it pushes data to nodes outside of the network, and hence brings more communication overhead. The index-based scheme has the smallest traffic among all the schemes. This is due to the fact that, in this scheme, data are sent from a source to a sink only when the data is queried, and the control messages (i.e., queries and index updates) are never flooded.

Figure 9 shows the hotspot traffic of the data dissemination schemes. From this figure, we can see that ES has the highest hotspot traffic, which is followed by DCS. The index-based scheme has the smallest hotspot traffic when the query interval is large (i.e., the query rate is high). The phenomenon is similar to that shown in Figure 7 due to the same reasons as explained before.

5.2.2. Preliminary Evaluations of ARI In following, we present some results of preliminary evalutions of ARI.

Tolerating Clustering Failures

We first show how the ARI scheme reacts to clustering failures. In this simulation, every certain time interval (τ) , a square region that has a side of 17m centered at a node on an index ring has a probability of p_f to fail. The average query interval is 0.5s, and other simulation parameters do not change.



(a) Query success rate

(b) Overall message complexity

Figure 10. Evaluating the fault tolerance feature of ARI

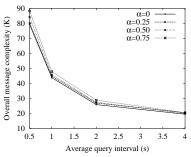
The success rate of the queries and the overall message complexity are measured as τ and p_f are being changed, and the results are shown in Figure 10. From Figure 10 (a), we can see that the query success rate decreases slightly when the frequency of clustering failures increases. This is due to the fact that, when the index ring is broken due to clustering failure, the ARI scheme guarantees that a query can still be routed to a normal node on the index ring, and routed to one functioning index node. Only when all index nodes are failed, or an index node or forwarding node receives a query but it fails before it has dealt with the request.

Figure 10 (b) shows that the overall message complexity is slightly increased as the frequency of clustering failures increases. This can be explained as follows. When an index ring is broken due to clustering failures, the ring should be repaired, and hence some communication overhead is introduced. Also, the repaired ring becomes longer, which increases the overhead for index updates.

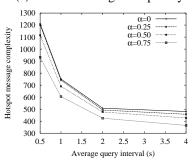
Load Balance

Next, we evaluate the ARI scheme's ability to achieve load balance. In this simulation, each node is initially equipped with an energy of 0.2J, and other simulation parameters are the same as the previous simulations. We measure the overall message complexity and the hotspot message complexity, when the load balance module is turned on (i.e., the load balance parameter is larger than 0) or off (i.e., the load balance parameter is set to 0).

Figure 11 (a) shows the overall message complexity. We can see that, the overall message complexity is increased by about 10% as α changes from 0 to 0.75. This is due to the reason that, the index ring has to be dynamically reconfigured when the load balance module works. With the dynamic reconfigurations, as shown in Figure 11 (b), the hotspot message complexity is decreased by around 25%.



(a) Overall message complexity



(b) Hotspot message complexity

Figure 11. Evaluating the load balance module of ARI

6. Conclusion

In this paper, we proposed an index-based data dissemination scheme with adaptive ring-based index (ARI). This scheme is based on the idea that sensing data are collected, processed and stored at the nodes close to the detecting nodes, and the location information of these storing nodes is pushed to some index nodes. The index nodes for the targets of one type form a ring surrounding the location which is determined based on the event type, and the ring can be dynamically reconfigured for load balance. Analysis and simulations were conducted to evaluate the performance of the proposed index-based scheme. The results show that the index-based scheme outperforms the ES scheme, the DCS scheme, and the LS scheme. The results also show that using the ARI scheme can tolerate clustering failures and achieve load balance.

References

- [1] US Naval Observatory (USNO) GPS Operations. http://tycho.usno.navy.mil/gps.html, April 2001.
- [2] I. Akyildiz, W. Su, Y. Sankarasubramaniam, and E.Cayirci. Wireless Sensor Networks: A Survey. *Computer Networks*, 38(4), March 2002.
- [3] N. Bulusu, J. Heidemann, and D. Estrin. GPS-less Low Cost Outdoor Location For Very Small Devices. *IEEE Personal*

- Communication, Special Issue on "Smart Space and Environments", October 2000.
- [4] A. Cerpa, J. Elson, M. Hamilton, and J. Zhao. Habitat Monitoring: Application Driver for Wireless Communications Technology. The Proceeding of the First ACM SIG-COMM Workshop on Data Communications in Latin America and the Caribbean, April 2001.
- [5] A. Ghose, J. Grobklags and J. Chuang. Resilient data-centric storage in wireless ad-hoc sensor networks. *Proceedings the* 4th International Conference on Mobile Data Management (MDM'03), pages 45–62, 2003.
- [6] B. Greenstein, D. Estrin, R. Govindan, S. Ratnasamy and S. Shenker. DIFS: A Distributed Index for Features in Sensor Networks. First IEEE International Workshop on Sensor Network Protocols and Applications, May 2003.
- [7] W. Heinzelman, A. Chandrakasan, and H. Balakrishnan. Energy-Efficient Communication Protocal for Wireless Microsensor network. *Proc. of the Hawaii International Conference on System Sciences*, January 2000.
- [8] C. Intanagonwiwat, R. Govindan, and D. Estrin. Directed Diffusion: A Scalable and Robust Communication. *Mobi-COM '00*, August 2000.
- [9] B. Karp and H. Kung. GPSR: Greedy Perimeter Stateless Routing for Wireless Networks. The Sixth Aunual ACM/IEEE International Conference on Mobile Computing and Networking (Mobicom 2000), Aug. 2000.
- [10] T. C. M. Project. The CMU Monarch Projects Wireless and Mobility Extensions to ns. http://www.monarch.cs.cmu.edu/cmu-ns.html, October 1999.
- [11] S. RatNasamy, B. karp, L. Yin, F. Yu, D. Estrin, R. Govindan, and S. Shenker. GHT: A Geographic Hash Table for Data-Centric Storage. *ACM International Workshop on Wireless Sensor Networks and Applications*, September 2002.
- [12] S. Ratnasamy, P. Francis, M. Handley, R. Karp, and S. Shenker. A Scalable Content-Addressable Network. ACM SIGCOMM, August 2001.
- [13] M. Roussopoulos and M. Baker. CUP: Controlled Update Propagation in Peer-to-Peer Networks. *Proceedings of the* 2003 USENIX Annual Technical Conference, June 2003.
- [14] A. Rowstron and P. Druschel. Pastry: Scalable, Distributed Object Location and Routing for Large-scale Peer-to-peer Systems. The 18th IFIP/ACM Symposium on Operating Systems Principles, August 2001.
- [15] I. Stoica, R. Morris, D. Karger, F. Kaashoek, and H. Balakrishnan. Chord: A Scalable Peer-to-peer Lookup Service for Internet Applications. ACM SIGCOMM, August 2001.
- [16] Y. Xu, J. Heidemann and D. Estrin. Geography Informed Energy Conservation for Ad Hoc Routing. ACM MOBI-COM'01, July 2001.
- [17] F. Ye, H. Luo, J. Cheng, S. Lu, and L. Zhang. A Two-Tier Data Dissemination Model for Large-scale Wireless Sensor Networks. ACM International Conference on Mobile Computing and Networking (MOBICOM'02), pages 148–159, September 2002.
- [18] B. Zhao, J. Kubiatowicz, and A. Joseph. Tapestry: An Infrastructure for Faul-tolerant Wide-area Location and Routing. *Technical Report UCB/CSD-01-1141*, 2001.