

# 1 Sets Continued

## 1.1 Set Properties

### Set Properties

### Set Properties

Sets have properties similar but not the same as Arithmetic.

Let  $U$  be the Universal set of elements of interest.

Let  $X, Y, Z \subseteq U$  The basic operators on sets are:

- Complement:  $\overline{X}$
- Intersection:  $X \cap Y$
- Union  $X \cup Y$

### Set Props. Cont'd

### Fundamental Properties of Set Theory Operators

#### Identity

$$X \cap U = X \quad X \cup \{\} = X$$

#### Anihilation

$$X \cap \{\} = \{\} \quad X \cup U = U$$

#### Complement

$$X \cap \overline{X} = \{\} \quad X \cup \overline{X} = U$$

#### Idempotent

$$X \cap X = X \quad X \cup X = X$$

#### Commutativity

$$X \cap Y = Y \cap X \quad X \cup Y = Y \cup X$$

### Set Props Cont'd

#### Associativity

$$(X \cap Y) \cap Z = X \cap (Y \cap Z) \quad (X \cup Y) \cup Z = X \cup (Y \cup Z)$$

#### Distributivity: $\cap$ over $\cup$

$$X \cap (Y \cup Z) = (X \cap Y) \cup (X \cap Z)$$

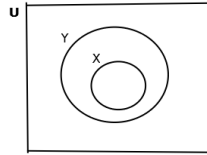
#### Distributivity: $\cup$ over $\cap$

$$X \cup (Y \cap Z) = (X \cup Y) \cap (X \cup Z)$$

### Elementary Properties of Sets

- $\overline{\overline{X}} = X$
- $X \cap Y \subseteq X$  and  $X \cap Y \subseteq Y$

- $X \subseteq X \cup Y$  and  $Y \subseteq X \cup Y$
- $X \subseteq Y \equiv \overline{Y} \subseteq \overline{X}$



- Also  $Y \subseteq X \equiv \overline{X} \subseteq \overline{Y}$

### Elementary Properties (Cont'd)

- $X = Y \equiv \overline{X} = \overline{Y}$

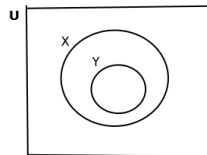
**Proof:**

$$\begin{aligned} X = Y &\equiv X \subseteq Y \text{ and } Y \subseteq X \\ &\equiv \overline{Y} \subseteq \overline{X} \text{ and } \overline{X} \subseteq \overline{Y} \\ &\equiv \overline{X} = \overline{Y} \end{aligned}$$

### Set Theory Theorems

#### Set Theory Theorems

- $Y \subseteq X \equiv X \cup Y = X$
- $Y \subseteq X \equiv X \cap Y = Y$



$$Y \subseteq X \equiv X \cup Y = X$$

**Show**  $Y \subseteq X \equiv X \cup Y = X$

1.  $Y \subseteq X \rightarrow X \cup Y = X$
2.  $X \cup Y = X \rightarrow Y \subseteq X$

*Proof.* (1.)

Assume  $Y \subseteq X$ ,

show  $X \cup Y = X$  i.e.  $X \cup Y \subseteq X$  and  $X \subseteq X \cup Y$

**Show**  $X \cup Y \subseteq X$

let  $z \in X \cup Y$

$\therefore z \in X$  or  $z \in Y$

□

**Cont'd**

*Proof.* Case  $z \in X$

$\therefore z \in X$

Case  $z \in Y$

{assuming  $Y \subseteq X$ }

$\therefore z \in X$ .

**Show**  $X \subseteq X \cup Y$

True, from properties of  $\cup$ . □

$Y \subseteq X \equiv X \cup Y = X$  (**Cont'd**)

**Show(2.)**  $X \cup Y = X \rightarrow Y \subseteq X$

*Proof.* (2.)

Assume  $X \cup Y = X$ , show  $Y \subseteq X$

let  $z \in Y$ ,

$\therefore z \in X \cup Y$

{assuming  $X \cup Y = X$ }

$\therefore z \in X$  □

$Y \subseteq X \equiv X \cap Y = Y$

Show  $Y \subseteq X \equiv X \cap Y = Y$

i.e. Show

1.  $Y \subseteq X \rightarrow X \cap Y = Y$

2.  $X \cap Y = Y \rightarrow Y \subseteq X$

*Proof.* Exercise □

$X \subseteq Y \equiv X \cap \bar{Y} = \{\}$

**Theorem 1.**  $X \subseteq Y \equiv X \cap \bar{Y} = \{\}$

Diagram:



**Show**  $X \subseteq Y \rightarrow X \cap \bar{Y} = \{\}$

Assume  $X \subseteq Y$  i.e. from above (swapping  $X$  and  $Y$ ):  $X \cap Y = X \therefore X \cap \bar{Y} = (X \cap Y) \cap \bar{Y} = X \cap (Y \cap \bar{Y}) = X \cap \{\} = \{\}$

**Show**  $X \cap \bar{Y} = \{\} \rightarrow X \subseteq Y$

**Show**  $X \cap \bar{Y} = \{\} \rightarrow X \subseteq Y$

Assume  $X \cap \bar{Y} = \{\}$  As  $X \subseteq Y \equiv X \cap Y = X$ , show  $X = X \cap Y$

$$\begin{aligned}
 X &= X \cap U \\
 &= X \cap (Y \cup \bar{Y}) \\
 &= (X \cap Y) \cup (X \cap \bar{Y}) \\
 &= (X \cap Y) \cup \{\} \\
 &= X \cap Y
 \end{aligned}$$

### De Morgan's Laws

### De Morgan's Laws

1.  $\overline{(X \cap Y)} = \bar{X} \cup \bar{Y}$  – De Morgan 1
2.  $\overline{(X \cup Y)} = \bar{X} \cap \bar{Y}$  – De Morgan 2

### De Morgan 1 Veitch Diagram

$$\begin{aligned}
 X \cap Y &= \begin{array}{c} \text{Y} \\ X \begin{array}{|c|c|} \hline 0 & 0 \\ \hline 0 & 1 \\ \hline \end{array} \end{array} \therefore \overline{X \cap Y} = \begin{array}{c} \text{Y} \\ X \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 1 & 0 \\ \hline \end{array} \end{array} \\
 \bar{X} &= \begin{array}{c} \text{Y} \\ X \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 0 & 0 \\ \hline \end{array} \end{array} \quad \bar{Y} = \begin{array}{c} \text{Y} \\ X \begin{array}{|c|c|} \hline 1 & 0 \\ \hline 1 & 0 \\ \hline \end{array} \end{array} \\
 \therefore \overline{X \cap Y} &= \begin{array}{c} \text{Y} \\ X \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 1 & 0 \\ \hline \end{array} \end{array} = \overline{X \cap Y}
 \end{aligned}$$

### Proof of De Morgan's Law 1

**Proof of De Morgan 1**  $\overline{X \cap Y} = \bar{X} \cup \bar{Y}$

1. Show  $\bar{X} \cup \bar{Y} \subseteq \overline{X \cap Y}$
2. Show  $\overline{X \cap Y} \subseteq \bar{X} \cup \bar{Y}$

**Show 1.**  $\bar{X} \cup \bar{Y} \subseteq \overline{X \cap Y}$

**Theorem 2.**  $\bar{X} \cap \overline{X \cap Y} = \bar{X}$

*Proof.*

$$\begin{aligned}
& X \cap Y \subseteq X \\
& \{ A \subseteq B \equiv \overline{B} \subseteq \overline{A} \} \\
& \equiv \overline{X} \subseteq \overline{X \cap Y} \\
& \{ A \subseteq B \equiv A \cap B = A \} \\
& \equiv \overline{X \cap X \cap Y} = \overline{X}
\end{aligned}$$

□

**Corollary 3.**  $\overline{Y} \cap \overline{X \cap Y} = \overline{Y}$

**Show 1.**  $\overline{X} \cup \overline{Y} \subseteq \overline{X \cap Y}$  (Cont'd)

**Theorem 4.**  $\overline{X} \cup \overline{Y} \subseteq \overline{X \cap Y}$

Recall:  $A \subseteq B \equiv A \cap B = A$

*Proof.*

$$\begin{aligned}
& \overline{X} \cup \overline{Y} \subseteq \overline{X \cap Y} \\
& \equiv (\overline{X} \cup \overline{Y}) \cap \overline{X \cap Y} = \overline{X} \cup \overline{Y} \\
& \{ \cap \text{Distributes over } \cup \} \\
& \equiv (\overline{X} \cap \overline{X \cap Y}) \cup (\overline{Y} \cap \overline{X \cap Y}) = \overline{X} \cup \overline{Y} \\
& \{ \text{by Thms. } \overline{X} \cap \overline{X \cap Y} = \overline{X} \text{ and } \overline{Y} \cap \overline{X \cap Y} = \overline{Y} \} \\
& \equiv \overline{X} \cup \overline{Y} = \overline{X} \cup \overline{Y} \\
& \equiv \text{True}
\end{aligned}$$

□

**Show 2.**  $\overline{X \cap Y} \subseteq \overline{X} \cup \overline{Y}$

**Theorem 5.**  $\overline{\overline{X} \cup \overline{Y}} \cup X = X$

*Proof.*

$$\begin{aligned}
& \overline{X} \subseteq \overline{X} \cup \overline{Y} \\
& \equiv \overline{\overline{X} \cup \overline{Y}} \subseteq \overline{\overline{X}} \\
& \equiv \overline{\overline{X} \cup \overline{Y}} \subseteq X \\
& \equiv \overline{\overline{X} \cup \overline{Y}} \cup X = X
\end{aligned}$$

□

**Corollary 6.**  $\overline{\overline{X} \cup \overline{Y}} \cup Y = Y$

**Show 2.**  $\overline{X \cap Y} \subseteq \overline{X} \cup \overline{Y}$  (Cont'd)

**Theorem 7.**  $\overline{X \cap Y} \subseteq \overline{X} \cup \overline{Y}$

*Proof.*

$$\begin{aligned}
& \overline{X \cap Y} \subseteq \overline{X} \cup \overline{Y} \\
& \{ A \subseteq B \equiv \overline{B} \subseteq \overline{A} \} \\
& \equiv \overline{\overline{X} \cup \overline{Y}} \subseteq \overline{X \cap Y} \\
& \equiv \overline{\overline{X} \cup \overline{Y}} \subseteq X \cap Y \\
& \equiv \overline{\overline{X} \cup \overline{Y}} \cup (X \cap Y) = X \cap Y \\
& \equiv (\overline{\overline{X} \cup \overline{Y}} \cup X) \cap (\overline{\overline{X} \cup \overline{Y}} \cup Y) = X \cap Y \\
& \equiv X \cap Y = X \cap Y \\
& \equiv \text{True}
\end{aligned}$$

□

**Prove De Morgan 2**  $\overline{X \cup Y} = \overline{X} \cap \overline{Y}$

**Theorem 8.**  $\overline{X \cup Y} = \overline{X} \cap \overline{Y}$

*Proof.*

$$\begin{aligned}
 \overline{X \cup Y} &= \overline{X} \cap \overline{Y} \\
 \{ A = B &\equiv \overline{A} = \overline{B} \} \\
 &\equiv \overline{\overline{X \cup Y}} = \overline{\overline{X} \cap \overline{Y}} \\
 &\equiv X \cup Y = \overline{\overline{X} \cap \overline{Y}} \\
 &\{ \text{De Morgan 1} \} \\
 &\equiv X \cup Y = \overline{\overline{X}} \cup \overline{\overline{Y}} \\
 &\equiv X \cup Y = X \cup Y \\
 &\equiv \text{True}
 \end{aligned}$$

□

## 1.2 Cardinality of Sets

### Cardinality of Sets

#### Disjoint Sets

Sets  $X$  and  $Y$  are disjoint iff  $X \cap Y = \{\}$ .

We define  $|X|$  as the size of set  $X$ ,

i.e.  $|X|$  is the number of elements in  $X$ .

Sometimes  $\#X$  is used instead of  $|X|$ .

With  $A = \{2, 3, 5, 7, 11, 13, 17, 19\}$ ,  $|A| = 8$ .

#### Lemma 1

$$B \subseteq A \rightarrow |A - B| = |A| - |B|$$

#### Lemma 2

$$A \cap B = \{\} \rightarrow |A \cup B| = |A| + |B|$$

### Cardinality Cont'd

**Theorem**  $|A \cup B| = |A| + |B| - |A \cap B|$

We can split  $A \cup B$  into disjoint sets:

i.e.  $A \cup B = (A - (A \cap B)) \cup (B - (A \cap B)) \cup (A \cap B)$

*Proof.*

$$\begin{aligned}
 |A \cup B| &= |(A - (A \cap B)) \cup (B - (A \cap B)) \cup (A \cap B)| \\
 &\quad \{all\ these\ disjoint\} \\
 &= |A - (A \cap B)| + |B - (A \cap B)| + |A \cap B| \\
 &\quad \{A \cap B \subseteq A\ and\ A \cap B \subseteq B\} \\
 &= |A| - |A \cap B| + |B| - |A \cap B| + |A \cap B| \\
 &= |A| + |B| - |A \cap B|
 \end{aligned}$$

□

## Cardinality of Sets

### Cardinality $A \cup B \cup C$

$$\begin{aligned}|A \cup B \cup C| &= |(A \cup B) \cup C| \\&= |A \cup B| + |C| - |(A \cup B) \cap C| \\&= \{Set Theory distributive law\} \\&\quad |A \cup B| + |C| - |(A \cap C) \cup (B \cap C)| \\&= |A| + |B| - |A \cap B| + |C| \\&\quad - (|A \cap C| + |B \cap C| - |(A \cap C) \cap (B \cap C)|) \\&= |A| + |B| + |C| \\&\quad - (|A \cap B| + |A \cap C| + |B \cap C|) \\&\quad + |A \cap B \cap C|\end{aligned}$$

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

### Example

Students pass the year if they pass all 3 exams A, B, C.

For a particular year it was found that

- 3% failed all 3 papers
  - 9% failed papers B and C
  - 10% failed papers A and C
  - 12% failed papers A and B
  - 32% failed paper A
  - 30% failed paper B
  - 46% failed paper C
1. What percentage of students passed the year
  2. What percentage failed exactly one paper.

### Solution

#### Solution:

		B			
		20	30	6	12
A		13	7	3	9
		C			

### 1.3 Power Set

#### Power Set

The Power Set,  $P(S)$ , of a set  $S$ , is the set of subsets of  $S$ ,

i.e.  $x \in P(S) \equiv x \subseteq S$ .

If  $|S| = n$  then  $|P(S)| = 2^n$ .

*Example 9.*  $S = \{a, b, c\}$

$P(S) = \{\emptyset, \{a\}, \{b\}, \{c\}, \{a, b\}, \{a, c\}, \{b, c\}, \{a, b, c\}\}$

where  $\emptyset$  is the empty set, i.e.  $\emptyset = \{\}$ .

In forming the subsets of  $S$  e.g.  $S = \{0, 1, 2, 3, \dots, n-1\}$ , we have 2 choices for each element; to include it or exclude it.

2 choices for 0, 2 choices for 1, 2 choices for 2 etc.

Total #choices =  $2 * 2 * \dots * 2$  ( $n$  times) =  $2^n$ .

There is a natural correspondence between the subsets of  $0, 1, 2, 3, \dots, n-1$  and binary numbers.

#### Subsets and Binary

subset	$n-1$	...	$k$	...	3	2	1	0
$\{\}$	0	...	0	...	0	0	0	0
$\{0\}$	0	...	0	...	0	0	0	1
$\{1\}$	0	...	0	...	0	0	1	0
$\{0, 1\}$	0	...	0	...	0	0	1	1
$\vdots$								
$\{\dots, k, \dots\}$			1					
$\vdots$								
$\{0, 1, 2, \dots, k, \dots, n\}$	1	...	1	...	1	1	1	1

- 0 in column,  $k$ , indicates that  $k$  is not in the subset
- 1 in column,  $k$ , indicates that  $k$  is in the subset.

#### Binary and Decimal

Binary	decimal
0...0	$0 = 0 * 2^{n-1} + \dots + 0 * 2^2 + 0 * 2^1 + 0 * 2^0$
0...1	$1 = 0 * 2^{n-1} + \dots + 0 * 2^2 + 0 * 2^1 + 1 * 2^0$
0...10	$2 = 0 * 2^{n-1} + \dots + 0 * 2^2 + 1 * 2^1 + 0 * 2^0$
0...11	$3 = 0 * 2^{n-1} + \dots + 0 * 2^2 + 1 * 2^1 + 1 * 2^0$
	$\vdots$
1...1	$2^n - 1 = 1 * 2^{n-1} + \dots + 1 * 2^2 + 1 * 2^1 + 1 * 2^0$



$|P(S)| = 2^{|S|}$  **Proof by Induction**

$$|P(S)| = 2^{|S|}$$

Let  $|S| = n$ . Proof by induction on  $n$ .

**Base Case:**

$$n = 0$$

If  $|S| = 0$  then  $S = \emptyset \therefore P(S) = \{\emptyset\}$ .

$$|\{\emptyset\}| = 1 \text{ tf } |P(S)| = 1 = 2^0 = 2^{|S|}.$$

**Induction Step:**

Assume true for  $n$ , show true for  $n + 1$ .

i.e. Assume (if  $|A| = n$  then  $|P(A)| = 2^n$ ),

show (if  $|S| = n + 1$  then  $|P(S)| = 2^{n+1}$ ).

**Induction Step**

Assume  $|S| = n + 1$ .

Consider an element,  $x$ , of  $S$ , i.e.  $x \in S$ .

Discard  $x$ , then we have  $S - \{x\}$  and  $\therefore |S - \{x\}| = n$ .

By induction,  $|P(S - \{x\})| = 2^n$ .

The original subsets of  $S$  consist of

- those that do not have the element,  $x$ , i.e. the subsets of  $S - \{x\}$ . and  $|P(S - \{x\})| = 2^n$ .
- those that do have the element,  $x$ , which are the subsets of  $S - \{x\}$  with the element,  $x$ , added in, giving  $2^n$  subsets.

$$\therefore |P(S)| = 2^n + 2^n = 2^{n+1}.$$

**Cantor's Theorem**,  $|\mathbb{N}| \neq |P(\mathbb{N})|$

**Cardinality of Sets**

Let  $S = \{0, 1, 2\}$  and  $P(S) = \{\emptyset, \{0\}, \{1\}, \{2\}, \{0, 1\}, \{0, 2\}, \{1, 2\}, \{0, 1, 2\}\}$ ,

$\therefore$

$|S| = 3$  and  $|P(S)| = 8$  and in this case  $|S| \neq |P(S)|$ . For a finite set,  $S$ ,  $|S| \neq |P(S)|$ .

**Sets with same Cardinality**

Two sets have the same cardinality iff there is a one to one (1-1) correspondence between both sets.

Let  $A = \{a, b, c, d, e, \dots, x, y, z\}$  and  $B = \{1, 2, 3, \dots, 26\}$  then  $|A| = |B|$  as we have the 1-1 correspondence

$a$	$b$	$c$	$\dots$	$y$	$z$
1	2	3	$\dots$	25	26

$$|\mathbb{N}| = |Even|$$

$$|\mathbb{N}| = |Even|$$

Consider infinite sets:

Infinite sets  $S_1$  and  $S_2$  have the same cardinality if there is a one to one (1-1) correspondence between both sets.

Let *Even* be the set of even natural numbers then  $|\mathbb{N}| = |Even|$  as:

<i>Even</i>	0	2	4	6	...	$2 * n$	...
$\mathbb{N}$	0	1	2	3	...	$n$	...

There is a (1-1) correspondence between the two sets  $\mathbb{N}$  and *Even*. The sets  $\mathbb{N}$  and *Even* have the same cardinality i.e.  $|\mathbb{N}| = |Even|$ , even though  $Even \subseteq \mathbb{N}$  and  $Even \neq \mathbb{N}$ .

$$|\mathbb{N}| = |\mathbb{Z}|$$

$$|\mathbb{N}| = |\mathbb{Z}|$$

Consider a 1-1 correspondence between  $\mathbb{N}$  and  $\mathbb{Z}$ ,

$\mathbb{N}$	...	$-(2 * n + 1)$	...	3	1	0	2	4	...	$2 * n$	...
$\mathbb{Z}$	...	$-n$	...	-2	-1	0	1	2	...	$n$	...

The negative integers are in (1-1) correspondence with the odd natural numbers and the positive integers are in (1-1) correspondence with the even natural numbers.

**Proof of Cantor's Theorem**  $|\mathbb{N}| \neq |P(\mathbb{N})|$

**Cantor's Theorem**

$$|\mathbb{N}| \neq |P(\mathbb{N})|$$

Proof is by contradiction.

Assume  $|\mathbb{N}| = |P(\mathbb{N})|$ ,  $\therefore$  there is a (1-1) correspondence between  $\mathbb{N}$  and  $P(\mathbb{N})$ .

$\mathbb{N}$	0	1	...	$n$	...
$P(\mathbb{N})$	$sub(0)$	$sub(1)$	...	$sub(n)$	...

where  $sub(n)$  is the subset corresponding to  $n$ .

Also, for each subset,  $S$ , of  $\mathbb{N}$  there is a matching element in  $\mathbb{N}$ ,

i.e. for each element  $S \in P(\mathbb{N})$ , there is an element,  $k \in \mathbb{N}$ , such that  $sub(k) = S$ . **Note:**  $S \in P(\mathbb{N})$  iff  $S \subseteq \mathbb{N}$ .

**Cantor's Thm. (Cont'd)**

For each subset,  $sub(n)$ , of  $\mathbb{N}$ , either  $n \in sub(n)$  or  $n \notin sub(n)$ .

Define a subset  $D$  of  $\mathbb{N}$ , such that

$$D = \{k \in \mathbb{N} : k \notin sub(k)\}$$

i.e. for  $k \in \mathbb{N}$ ,

$$k \in D \equiv k \notin sub(k)$$

Note similarity with Russell Set,  $R$ , where

$$R = \{x \mid x \notin x\}$$

i.e.  $x \in R \equiv x \notin x$ .

**Cantor's Thm. (Cont'd)**

Since  $D \subseteq \mathbb{N}$ , i.e.  $D \in P(\mathbb{N})$ ,

there is an element,  $d \in \mathbb{N}$ , such that  $sub(d) = D$ ,  $\therefore$

$$d \in sub(d) \equiv d \in D$$

but from the definition of  $D$ ,

$$d \in D \equiv d \notin sub(d)$$

and so  $d \in sub(d) \equiv d \notin sub(d)$ , a contradiction. This contradiction arose due to assuming that  $|\mathbb{N}| = |P(\mathbb{N})| \therefore |\mathbb{N}| \neq |P(\mathbb{N})|$ .