

How can we sort a deck of cards?



InsertionSort

- Video: <http://www.youtube.com/watch?v=cFoLbjGUKWs>
- What is the algorithm?

6 5 3 1 8 7 2 4

InsertionSort – Specification

First let's make sure we know what we want to do:

- The **specification** of the algorithm
- AKA the **problem we are trying to solve**

Input: sequence of **n** numbers

$$A = (a_1, \dots, a_n)$$

Output: a permutation (reordering) of the input

$$(a'_1, \dots, a'_n)$$

Such that

$$a'_1 \leq a'_2 \leq \dots \leq a'_n$$

InsertionSort

- Algorithm (in English)
 1. Start from 1st element of the array (optimisation: start from 2nd)
 2. Shift element back until you find a smaller element – maintain the array from 0 to (current position) sorted.
 3. Continue to next element
 4. Repeat (2) and (3) until the end of the array



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InsertionSort

- Algorithm (in pseudocode)
 1. **for** ($j = 1; j < A.length; j++$) {
 2. *//shift $A[j]$ into the sorted $A[0..j-1]$*
 3. $i = j - 1$
 4. **while** $i \geq 0$ **and** $A[i] > A[i+1]$ {
 5. **swap** $A[i], A[i+1]$
 6. $i = i - 1$
 7. **}**
 8. **return** A

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InsertionSort

- Algorithm (in Java)
 - *left as an exercise.*

We are skipping the first
number A[0]

A.length == n

```
1.  for (j = 1; j<A.length; j++) {  
2.    //shift A[j] into the sorted A[0..j-1]  
3.    i=j-1  
4.    while i>=0 and A[i]>A[i+1] {  
5.      swap A[i], A[i+1]  
6.      i=i-1  
7.    }  
8.  return A
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```

How to calculate runtime performance?

Calculating Approximate Performance

- Assume basic operations cost 1
- Then, choose one:
 - Count all operations (if uncertain, it's the safer choice)
 - Count only some operations (which ones?)
- Then, keep only highest-order terms (\sim notation)

		cost	no of times
1.	for (j = 1; j<A.length; j++) {	1	
2.	<i>//shift A[j] into the sorted A[0..j-1]</i>		
3.	i=j-1	1	
4.	while i>=0 and A[i]>A[i+1] {	1	
5.	swap A[i], A[i+1]	1	
6.	i=i-1	1	
7.	}}		
8.	return A	1	

Method of Calculations

- Assume basic operations cost 1
- Then, choose one:
 - Count all operations (if uncertain, it's the safer choice)
 - Count only some operations (which ones?)
 - We will count only array comparisons and array swaps
 - It is equivalent to counting **array accesses**
- Then, keep only highest-order terms (\sim notation)

	cost	no of times
1. for (j = 1; j<A.length; j++) {		
2. <i>//shift A[j] into the sorted A[0..j-1]</i>		
3. i=j-1		
4. while i>=0 and A[i]>A[i+1] {	1	
5. swap A[i], A[i+1]	1	
6. i=i-1		
7. }}		
8. return A		

	cost	no of times
1. for (j = 1; j<A.length; j++) {		
2. <i>//shift A[j] into the sorted A[0..j-1]</i>		
3. i=j-1		
4. while i>=0 and A[i]>A[i+1] {	1	
5. swap A[i], A[i+1]	1	
6. i=i-1		
7. }}		
8. return A		

The input is A, an array of size N.

Besides the size N, is the “no of times” dependent of the **actual** ints in A?

Best Case

	cost	no of times
1. for (j = 1; j<A.length; j++) {		
2. <i>//shift A[j] into the sorted A[0..j-1]</i>		
3. i=j-1		
4. while i>=0 and A[i]>A[i+1] {	1	
5. swap A[i], A[i+1]	1	
6. i=i-1		
7. }}		
8. return A		

In the best case the array is **already sorted**.

Best Case

	cost	no of times
1. for (j = 1; j<A.length; j++) {		
2. <i>//shift A[j] into the sorted A[0..j-1]</i>		
3. i=j-1		
4. while i>=0 and A[i]>A[i+1] {	1	(1+1+...+1) _{n-1 times}
5. swap A[i], A[i+1]	1	0
6. i=i-1		
7. }}		
8. return A		

In the best case the array is already sorted.
The time (as a function of the input size n):

$$T(n) = n - 1$$

Worst Case

	cost	no of times
1. for (j = 1; j<A.length; j++) {		
2. <i>//shift A[j] into the sorted A[0..j-1]</i>		
3. i=j-1		
4. while i>=0 and A[i]>A[i+1] {	1	
5. swap A[i], A[i+1]	1	
6. i=i-1		
7. }}		
8. return A		

Worst Case

	cost	no of times
1. for (j = 1; j<A.length; j++) {		
2. <i>//shift A[j] into the sorted A[0..j-1]</i>		
3. i=j-1		
4. while i>=0 and A[i]>A[i+1] {	1	
5. swap A[i], A[i+1]	1	
6. i=i-1		
7. }}		
8. return A		

In the worst case the array is in reverse sorted order.

Worst Case

	cost	no of times
1. for (j = 1; j<A.length; j++) {		
2. <i>//shift A[j] into the sorted A[0..j-1]</i>		
3. i=j-1		
4. while i>=0 and A[i]>A[i+1] {	1	2+...+n
5. swap A[i], A[i+1]	1	1+...+(n-1)
6. i=i-1		
7. }}		
8. return A		

In the worst case the array is in reverse sorted order.

$$\begin{aligned}
 T(n) &= \sum_{i=2..n}(i) + \sum_{i=1..n-1}(i) = \sum_{i=1..n}(i) - 1 + \sum_{i=1..n-1}(i) \\
 &= (n(n+1)/2 - 1) + 2n(n-1)/2 \\
 &\sim (5/2)n^2
 \end{aligned}$$

Closed-form Expressions for Some Commonly Encountered Series

$$\sum_{n=0}^{N-1} a^n = \frac{1 - a^N}{1 - a}$$

$$\sum_{n=0}^{N-1} na^n = \frac{(N-1)a^{N+1} - Na^N + a}{(1-a)^2}$$

$$\sum_{n=0}^{N-1} n = \frac{1}{2}N(N-1)$$

$$\sum_{n=0}^{\infty} a^n = \frac{1}{1-a} \quad |a| < 1$$

$$\sum_{n=0}^{\infty} na^n = \frac{a}{(1-a)^2} \quad |a| < 1$$

$$\sum_{n=0}^{N-1} n^2 = \frac{1}{6}N(N-1)(2N-1)$$

Average Case

	cost	no of times
1. for (j = 1; j<A.length; j++) {		
2. <i>//shift A[j] into the sorted A[0..j-1]</i>		
3. i=j-1		
4. while i>=0 and A[i]>A[i+1] {	1	(2+...+n)/2
5. swap A[i], A[i+1]	1	(1+...+(n-1))/2
6. i=i-1		
7. }}		
8. return A		

In the worst case the array is in reverse sorted order.

$$T(n) = \dots(\text{same calculations})\dots \sim (5/4)n^2$$

InsertionSort – three types of analyses

- With best case input of size n :
 - $T(n) \sim 3n$
- with the worst case input of size n :
 - $T(n) \sim (5/2)n^2$
- with average input of size n :
 - $T(n) \sim (5/4)n^2$

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InsertionSort – three types of analyses

- With best case input of size n :
 - $T(n) \sim 3n$
 - Order of growth: **n (linear)**
- with the worst case input of size n :
 - $T(n) \sim (5/2)n^2$
 - Order of growth: **n^2 (quadratic)**
- with average input of size n :
 - $T(n) \sim (3/4)n^2$
 - Order of growth: **n^2**

6 5 3 1 8 7 2 4

InsertionSort

- With best case input of size n :
 - $T(n) \sim 3n$
 - Order of growth: **n (linear)**
- with the worst case input of size n :
 - $T(n) \sim (5/2)n^2$
 - Order of growth: **n^2 (quadratic)**
- with average input of size n :
 - $T(n) \sim (3/4)n^2$
 - Order of growth: **n^2**

Which case analysis would you pick?

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InsertionSort

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 - Order of growth: **n (linear)**
- with the worst case input of size n :
 - $T(n) \sim (5/2)n^2$
 - Order of growth: **n^2 (quadratic)**
- with average input of size n :
 - $T(n) \sim (3/4)n^2$
 - Order of growth: **n^2**

We will focus on **Worst Case**:
“InsertionSort is quadratic”

=

“The worst case running time
of InsertionSort is quadratic”

=

“InsertionSort with the worst
case input of size N runs in
 $\Theta(N^2)$ time”

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InsertionSort

- With best case input of size n :
 - $T(n) \sim 3n$
 - Order of growth: **n (linear)**
- with the worst case input of size n :
 - $T(n) \sim (5/2)n^2$
 - Order of growth: **n^2 (quadratic)**
- with average input of size n :
 - $T(n) \sim (3/4)n^2$
 - Order of growth: **n^2**

Sometimes we will talk about
on **Average Case**:

"The average case running
time of InsertionSort is
quadratic"

=

"InsertionSort with the
average case input of size N
runs in $\Theta(N^2)$ time"

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