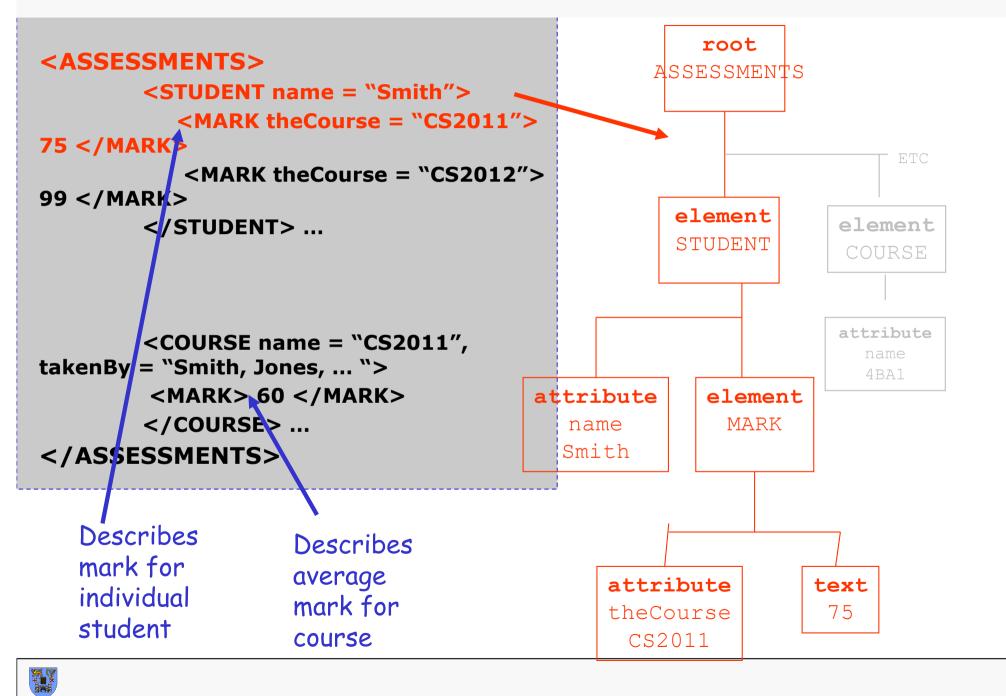
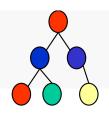
## Querying XML documents

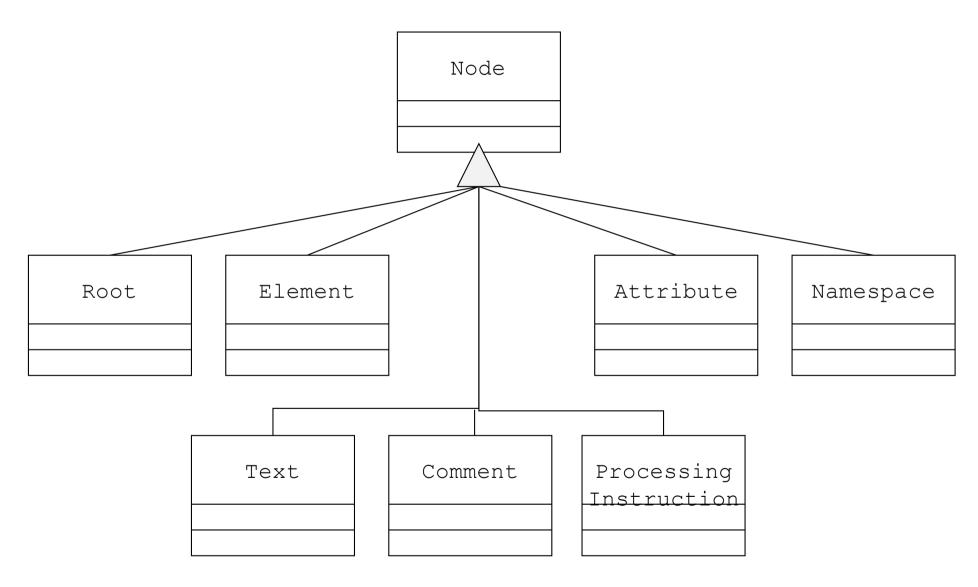
XML as a Tree structure and DOM/SAX APIs

### XML as a tree structure



### Nodes in a Tree Model





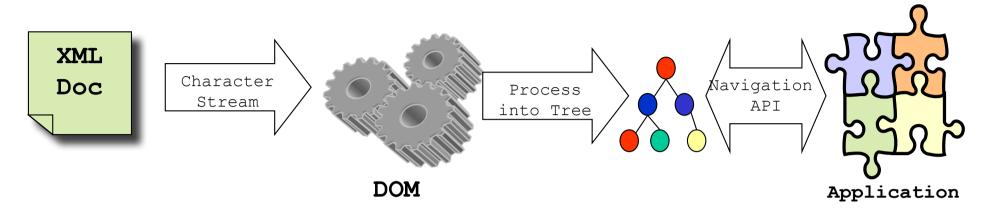


### Useful Properties of a Node

- Name
  - Except root, text and comment nodes
- String-value
  - E.g. text if text node, comment text if comment node, attribute value if attribute node
- Child
  - List of child nodes
- Parent
  - Every node except root
- Has-attribute
  - List of attribute nodes associated with element node
- Has-namespace
  - List of namespace nodes associated with element node



## XML Processing: DOM Processing



- DOM stands for Document Object Model
- It views an XML tree as a data structure
- It is quite large and complex...
  - Level 1 Core: W3C Recommendation, October 1998
    - primitive navigation and manipulation of XML trees
    - other Level 1 parts: HTML
  - Level 2 Core: W3C Recommendation, November 2000
    - adds Namespace support and minor new features
    - other Level 2 parts: Events, Views, Style, Traversal and Range
  - Level 3 Core: W3C Working Draft, April 2002
    - adds minor new features
    - other Level 3 parts: Schemas, XPath, Load/Save



# Example: A Recipe

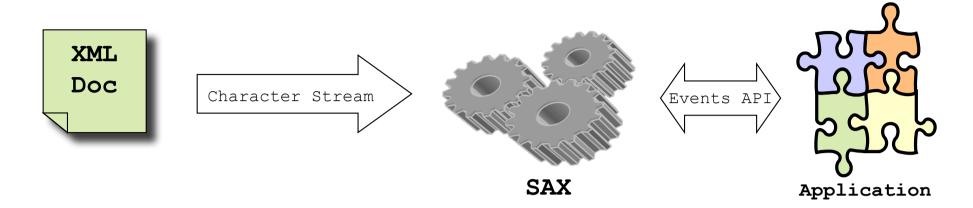
```
<recipe>
<title>Zuppa Inglese</title>
        <ingredient name="egg volks" amount="4" />
        <ingredient name="milk" amount="2.5" unit="cup" />
        <ingredient name="Savoiardi biscuits" amount="21" />
        <ingredient name="sugar" amount="0.75" unit="cup" />
        <ingredient name="Alchermes liquor" amount="1" unit="cup" />
        <ingredient name="lemon zest" amount="*" /> <ingredient name="flour"</pre>
amount="0.5" unit="cup" />
        <ingredient name="fresh whipping cream" amount="*" /> -
        preparation> <step>Warm up the milk in a nonstick sauce pan</step>
<step>In a large bowl beat the egg yolks with the sugar, add the flour and
combine the ingredients until well mixed.</step> <step>Add the milk, a little
bit at the time to the egg mixture, mixing well.</step> <step>Put the mixture
into the sauce pan and cook it on the stove at a medium low heat. Mix the cream
continuously with a wooden spoon. When it starts to thicken remove it from the
heat and pour it on a large plate to cool off.</step> <step>Stir the cream
now and then so that the top doesn't harden.</step> <step>Dip quickly both
sides of the lady fingers in the liquor. Layer them one at the time in a glass
bowl large enough to contain 7 biscuits.</step> <step>Spread 1/3 of the cream
and repeat the layer with lady fingers. Finish with the cream. </step>
        </preparation>
        <comment>Refrigerate for at least 4 hours better yet overnight. Before
serving decorate the zuppa inglese with whipped cream. 
        <nutrition calories="612" fat="49" carbohydrates="45" protein="4"</pre>
alcohol="2" />
</recipe>
```

# Example: Getting a Recipe

```
import java.io.*;
import org.apache.xerces.parsers.DOMParser;
import org.w3c.dom.*;
public class FirstRecipeDOM {
  public static void main(String[] args) {
    try {
      DOMParser p = new DOMParser();
      p.parse(args[0]);
      Document doc = p.getDocument();
      Node n = doc.getDocumentElement().getFirstChild();
      while (n!=null && !n.getNodeName().equals("recipe"))
        n = n.getNextSibling();
      PrintStream out = System.out;
      out.println("<?xml version=\"1.0\"?>");
      out.println("<collection>");
      if (n!=null)
        print(n, out);
      out.println("</collection>");
    } catch (Exception e) {e.printStackTrace();}}
```



## XML Processing: SAX Processing



- SAX stands for Simple API for XML
- An XML tree is not viewed as a data structure, but as a stream of events generated by the parser.
- The kinds of events are:
  - the start of the document is encountered
  - the end of the document is encountered
  - the start tag of an element is encountered
  - the end tag of an element is encountered
  - character data is encountered
  - a processing instruction is encountered
- Scanning the XML file from start to end, each event invokes a corresponding callback method that the programmer writes



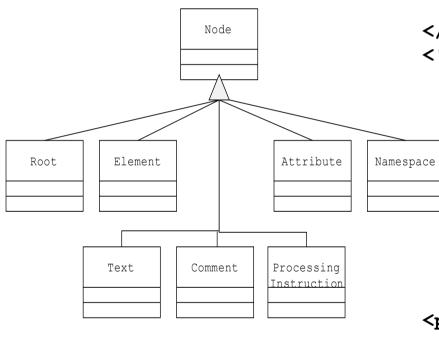
### Example: Getting total amount of Flour

```
import java.io.*;
import org.xml.sax.*;
import org.xml.sax.helpers.*;
import org.apache.xerces.parsers.SAXParser;
  public static void main(String[] args) {
    Flour f = new Flour():
    SAXParser p = new SAXParser();
    p.setContentHandler(f);
    try { p.parse(args[0]); }
    catch (Exception e) {e.printStackTrace();}
    System.out.println(f.amount);
public class Flour extends DefaultHandler {
  float amount = 0;
  public void startElement(String namespaceURI, String localName,
                           String qName, Attributes atts) {
    if (namespaceURI.equals("http://recipes.org") && localName.equals("ingredient")) {
       String n = atts.getValue("", "name");
       if (n.equals("flour")) {
         String a = atts.getValue("","amount"); // assume 'amount' exists
         amount = amount + Float.valueOf(a).floatValue();
```



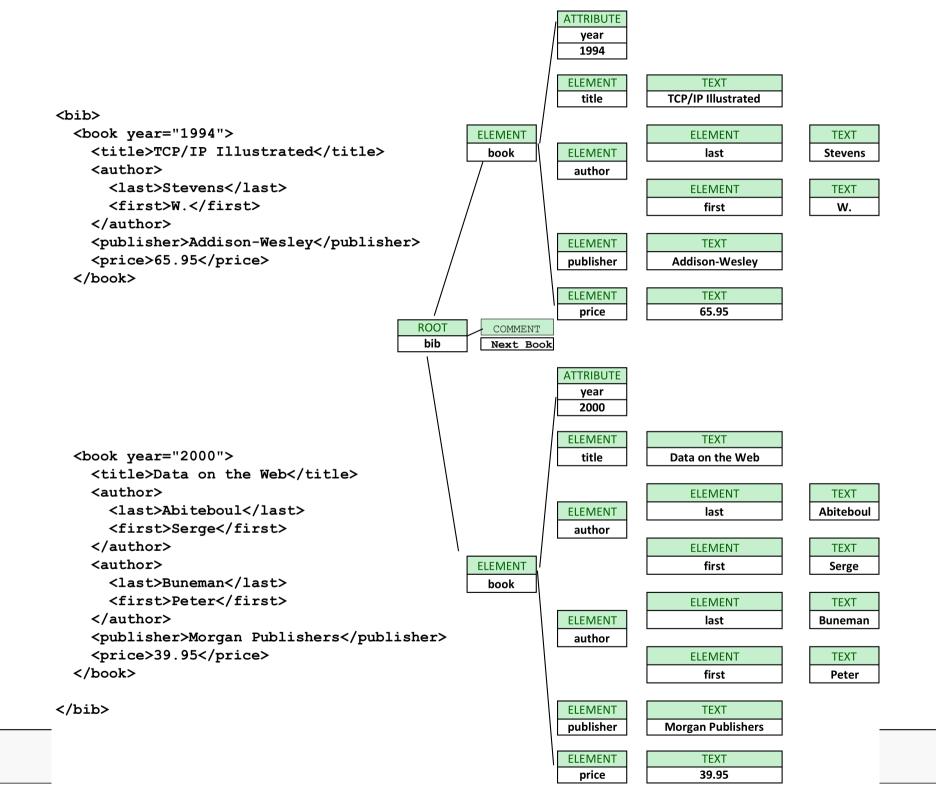
#### Exercise

 Create a XML Tree representation for the snippet of XML



```
<bi>hib>
 <book year="1994">
    <title>TCP/IP Illustrated</title>
    <author>
     <last>Stevens
     <first>W.</first>
    </author>
    <publisher>Addison-Wesley</publisher>
    <price>65.95</price>
</book>
<!-- Next Book --!>
 <book year="2000">
    <title>Data on the Web</title>
    <author>
     <last>Abiteboul</last>
     <first>Serge</first>
    </author>
    <author>
     <last>Buneman</last>
     <first>Peter</first>
    </author>
<publisher>Morgan Publishers
    <price>39.95</price>
 </book>
</bib>
```





# Querying XML Documents

### What is XPath?

- Addresses parts of an XML document
- W3C Recommendation
- Expression language
- Wildcards allowed
- Provides basic facilities for manipulation of strings, numbers and booleans
- Compact, non XML syntax for use within URIs and XML attribute values
- Operates on the abstract, logical structure of the XML document



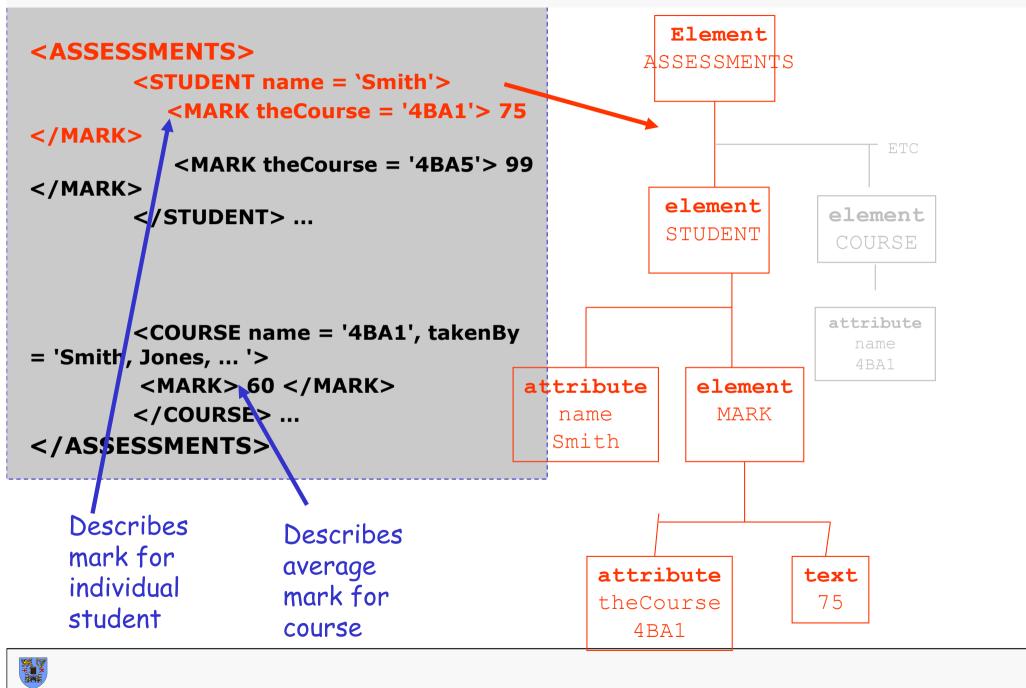
## Path Expression

 "Xpath, essentially specification of path for walking the XML tree"

- Simple path expression is a sequence of steps separated by slashes (/)
- More formally, "/" is a binary operator that applies the expression on its right-hand side to set of node selected by the expression on the left hand side
- Informally, try to find a match for what is right of the slash in the tree/set of nodes returned by sequence of operations to the left of the slash



# Example Document



#### Example: /ASSESSMENTS



#### Example: /ASSESSMENTS/STUDENT



#### Example: /ASSESSMENTS/STUDENT/MARK

```
<ASSESSMENTS>
      <STUDENT name = "Smith">
            <MARK theCourse = "4BA1"> 75 </MARK>
            <MARK theCourse = "4BA5"> 99 </MARK>
      </STUDENT> ...
      <COURSE name = "4BA1", takenBy = "Smith, Jones, ... ">
      </COURSE> ...
</ASSESSMENTS>
```

Describes the set with these two MARK element nodes as well as any other MARK elements nodes for any other STUDENT



### Some Defaults

- By default trying to apply expression against any immediate child nodes in the left hand side set of nodes
- Sequence begins with //
  - Short hand trying to match any descendent nodes in the set of nodes



## Example: //MARK

```
<ASSESSMENTS>
<STUDENT name = "Smith">

<MARK theCourse = "4BA1"> 75 </MARK>
<MARK theCourse = "4BA5"> 99 </MARK>
</STUDENT> ...

<COURSE name = "4BA1", takenBy = "Smith, Jones, ... ">

<MARK> 60 </MARK>
</COURSE> ...

</ASSESSMENTS>
```

Still returns set of nodes from the document with an element node named "MARK" but this time not just those noted in student assessment statements e.g. a mark allocated to a course by an external examiner



#### Example: /ASSESSMENTS

```
<ASSESSMENTS>
     <STUDENT name = "Smith">
          <MARK theCourse = "4BA1"> 75 </MARK>
          <MARK theCourse = "4BA5"> 99 </MARK>
     </STUDENT> ...
     <COURSE name = "4BA1", takenBy = "Smith, Jones,
           <MARK> 60 </MARK>
     </COURSE> ...
</ASSESSMENTS>
```



#### Example: /ASSESSMENTS//MARK

```
<ASSESSMENTS>
     <STUDENT name = "Smith">
           <MARK theCourse = "4BA1"> 75 </MARK>
           <MARK theCourse = "4BA5"> 99 </MARK>
     </STUDENT> ...
     <COURSE name = "4BA1", takenBy = "Smith, Jones,
... ">
            <MARK> 60 </MARK>
     </COURSE> ...
</ASSESSMENTS>
                Getting just the text from any "mark" elements
```

### Example: /ASSESSMENTS//MARK/string()

```
<ASSESSMENTS>
      <STUDENT name = "Smith">
           <MARK theCourse = "4BA1"> 75 </MARK>
           <MARK theCourse = "4BA5"> 99 </MARK>
     </STUDENT> ...
      <COURSE name = "4BA1", takenBy = "Smith, Jones,
            <MARK> 60 </MARK>
     </COURSE> ...
</ASSESSMENTS>
                 Getting just the text from any "mark" elements
                 Using the string() function
```

### Wildcard \*

- A asterix (\*) put in place in a tag represents any one tag
- Example /\*/\*/MARK will return any MARK object appearing at the third level of nesting in the document

### Attribute @

- Attributes are referred to by putting ampersand (@) before the name
- Appear in the path as if nested within the tag

#### Example:

/ASSESSMENTS/STUDENT/string(@name)

```
<ASSESSMENTS>
     <STUDENT name = "Smith">
           <MARK theCourse = "4BA1"> 75 </MARK>
           <MARK theCourse = "4BA5"> 99 </MARK>
     </STUDENT> ...
     <COURSE name = "4BA1", takenBy = "Smith, Jones,
... ">
           <MARK> 60 </MARK>
     </COURSE> ...
</ASSESSMENTS>
```

Getting at an attribute value, string() function



## Predicate Filters []

 A tag in a path that is followed by a condition [..] will ensure that only nodes that satisfy the condition are included in the resultant set



```
Example:
  /ASSESSMENTS/STUDENT[MARK > 80]
<ASSESSMENTS>
     <STUDENT name = "Smith">
          <MARK theCourse = "4BA1"> 75 </MARK>
          <MARK theCourse = "4BA5"> 99 </MARK>
     </STUDENT> ...
     <COURSE name = "4BA1", takenBy = "Smith, Jones,
           <MARK> 60 </MARK>
     </COURSE> ...
</ASSESSMENTS>
```



#### Example:

#### /ASSESSMENTS/STUDENT[MARK > 80]

```
<ASSESSMENTS>
      <STUDENT name = "Smith">
           <MARK theCourse = "4BA1"> 75 </MARK>
           <MARK theCourse = "4BA5"> 99 </MARK>
      </STUDENT> ...
     <COURSE name = "4BA1", takenBy = "Smith, Jones,"
            <MARK> 60 </MARK>
     </COURSE> ...
                            This set of nodes is returned
</ASSESSMENTS>
                            as it satisfies the condition
```



#### Example Attribute in the selection:

/ASSESSMENTS/STUDENT/MARK[@theCourse = "4BA1"]

```
<ASSESSMENTS>
      <STUDENT name = "Smith">
            <MARK theCourse = "4BA1"> 75 </MARK>
            <MARK theCourse = *\(^14BA5''> 99 < /MARK>
      </STUDENT> ...
      <COURSE name = "4BA1", takenBy = "Smith, Jones,
             <MARK> 60 </MARK>
      </COURSE> ...
                              This set is returned as well
</ASSESSMENTS>
                              as any other student
                              MARK subtree sets of nodes
                              for 4BA1 elsewhere in doc
```

#### Example Attribute in the selection:

/ASSESSMENTS/STUDENT/MARK[@theCourse = "4BA1"]

```
<ASSESSMENTS>
      <STUDENT name = "Smith">
            <MARK theCourse = "4BA1"> 75 </MARK>
            <MARK theCourse = *\(^14BA5''> 99 < /MARK>
      </STUDENT> ...
      <COURSE name = "4BA1", takenBy = "Smith, Jones,
             <MARK> 60 </MARK>
      </COURSE> ...
                              This set of nodes is returned
</ASSESSMENTS>
                              as well as any other student
                              MARK subtree nodes for
                              4BA1 elsewhere
```

```
<database>
<person age='34'>
   <name>
          <title> Mr </title>
          <firstname> John </firstname>
          <firstname> Paul </firstname>
          <surname> Murphy </surname>
   </name>
   <hobby> Football </hobby>
   <hobby> Racing </hobby>
</person>
<person >
   <name>
          <firstname> Mary </firstname>
          <surname> Donnelly </surname>
   </name>
</person>
</database>
```

- /database
- //surname
- /\*/person[@age]
- /\*/person/string(@age)



```
<database>
<person age='34'>
   <name>
          <title> Mr </title>
          <firstname> John </firstname>
          <firstname> Paul </firstname>
          <surname> Murphy </surname>
   </name>
   <hobby> Football </hobby>
   <hobby> Racing </hobby>
</person>
<person >
   <name>
          <firstname> Mary </firstname>
          <surname> Donnelly </surname>
   </name>
</person>
</database>
```

- /database
- //surname
- /\*/person[@age]
- /\*/person/string(@age)



```
<database>
<person age='34'>
   <name>
          <title> Mr </title>
          <firstname> John </firstname>
          <firstname> Paul </firstname>
          <surname> Murphy </surname>
   </name>
   <hobby> Football </hobby>
   <hobby> Racing </hobby>
</person>
<person >
   <name>
          <firstname> Mary </firstname>
          <surname> Donnelly </surname>
   </name>
</person>
</database>
```

- /database
- //surname
  - /\*/person[@age]
- /\*/person/string(@age)



```
<database>
<person age='34'>
   <name>
          <title> Mr </title>
          <firstname> John </firstname>
          <firstname> Paul </firstname>
          <surname> Murphy </surname>
   </name>
   <hobby> Football </hobby>
   <hobby> Racing </hobby>
</person>
<person >
   <name>
          <firstname> Mary </firstname>
          <surname> Donnelly </surname>
   </name>
</person>
</database>
```

- /database
- //surname
- /\*/person[@age]
- /\*/person/string(@age)



```
<database>
<person age='34'>
   <name>
          <title> Mr </title>
          <firstname> John </firstname>
          <firstname> Paul </firstname>
          <surname> Murphy </surname>
   </name>
   <hobby> Football </hobby>
   <hobby> Racing </hobby>
</person>
<person >
   <name>
          <firstname> Mary </firstname>
          <surname> Donnelly </surname>
   </name>
</person>
</database>
```

- /database
- //surname
- /\*/person[@age]
- /\*/person/string(@age)



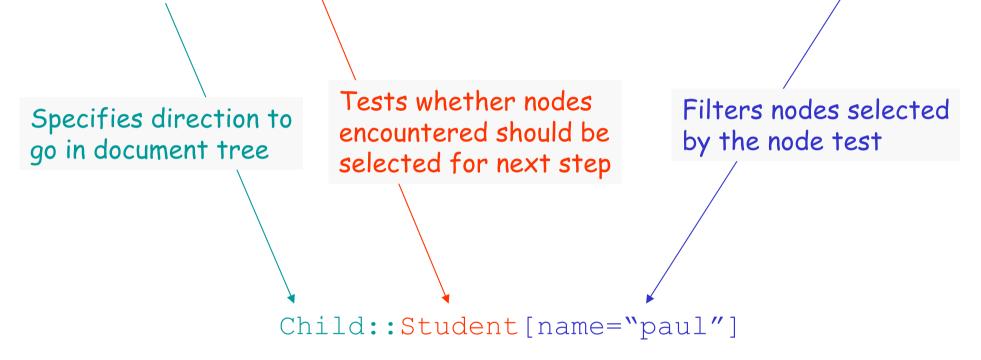
# Selecting Several steps

- By using the operator in an XPath expression you can select several steps.
- //book/title | //book/price
  - Selects all the title together with price elements of all book elements
- //title | //price
  - Selects all the title together with price elements in the document
- //book/title | //price
  - Selects all the title elements of the book element together with all the price elements in the document



# More Generally: Location Steps

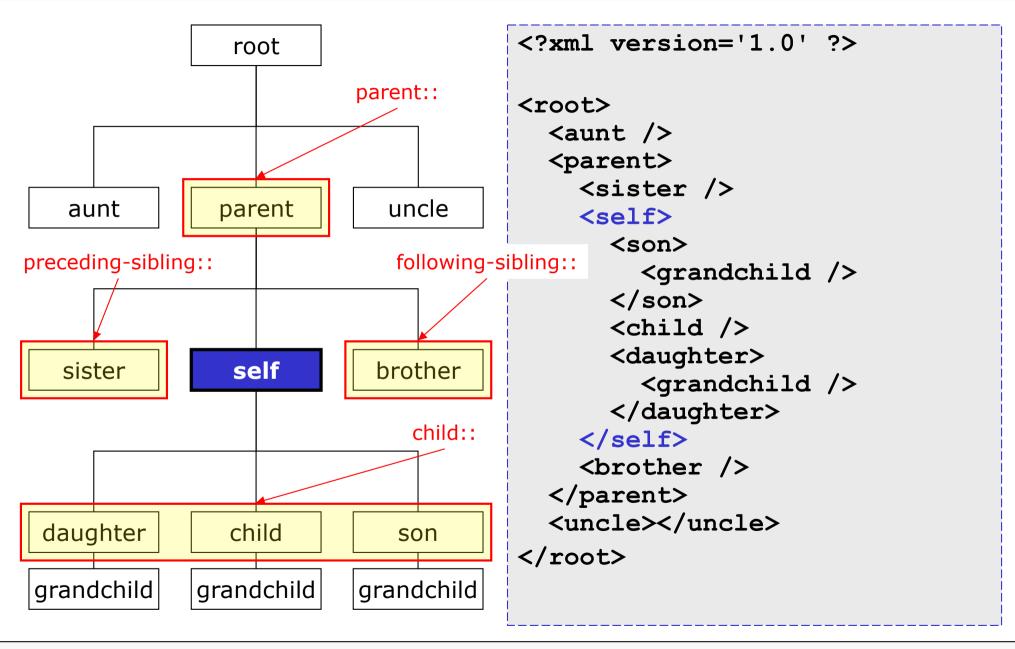
 A step in an XPath expression consists of three parts: an axis, a node test, and zero or more predicate tests





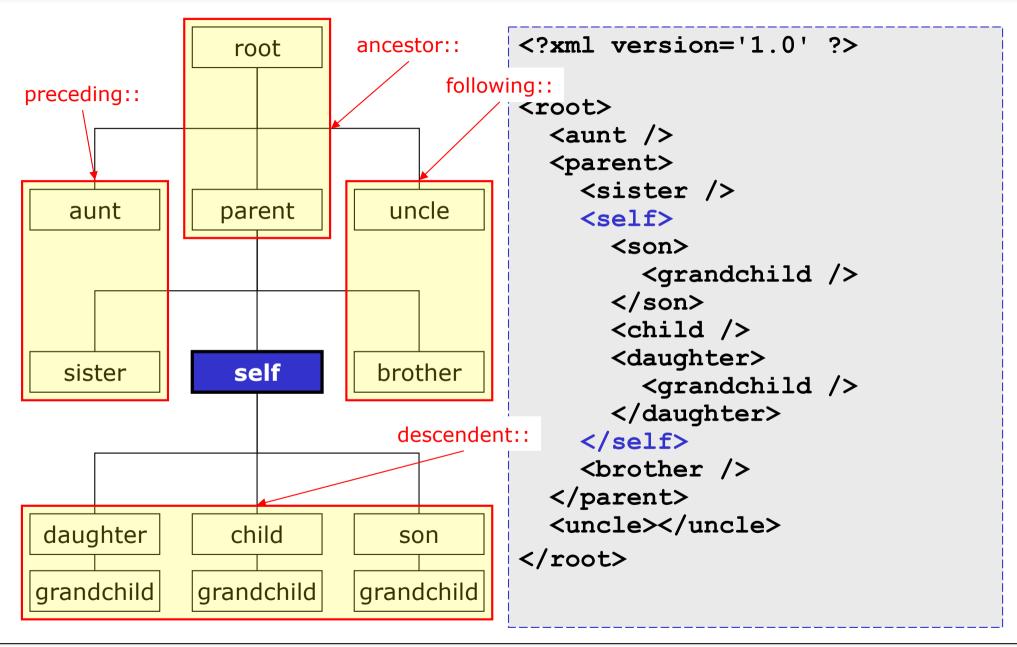
# Axes spec (1)

There are several directions/axes we can traverse from a node





# Axes spec (2)





### Node tests

- The default is to test the node to see if it has an element name the same as that specified
  - E.g. child::Student would test if the child node has an element named "Student"
- Tests for checking element, attribute, and namespace name
- Tests for checking if the node is a text, comment, or processing instruction node
  - E.g. text()



### Predicate Filters

- [] are used to hold predicates (conditions)
  - Attribute Tests
    - @ indicates attribute
  - Boolean Tests (Functions)
    - boolean, true, false, not, ...
  - Node Set Tests (Functions)
    - count, id, position, last, ...
  - Number Tests (Functions)
    - ceiling, floor, round, sum, ...
  - String Tests (Functions)
    - concat, contains, string-length, substring, translate, ...
  - Multiple Tests
    - Keywords (and, or), consecutive predicates [][]



### **Built-In Functions**

- Accessor Functions
  - e.g. fn:node-name(node) Returns the node-name of the argument node
- Functions on Numeric Values
- Functions on Strings
- Functions on Durations, Dates and Times
- Functions on Nodes
- Functions on Sequences
- Aggregate Functions
- Context Functions

http://www.w3schools.com/xpath/xpath\_functions.asp



# XPath Operators

An XPath expression returns either a node-set, a string, a Boolean, or a number.

Operator	Description	Example	Return value
I	Computes two node-sets	//book   //cd	Returns a node-set with all book and cd elements
+	Addition	6 + 4	10
-	Subtraction	6 - 4	2
*	Multiplication	6 * 4	24
div	Division	8 div 4	2
=	Equal	price=9.80	true if price is 9.80 false if price is 9.90
!=	Not equal	price! = 9.80	true if price is 9.90 false if price is 9.80
<	Less than	price<9.80	true if price is 9.00 false if price is 9.80
<=	Less than or equal to	price<=9.80	true if price is 9.00 false if price is 9.90
>	Greater than	price>9.80	true if price is 9.90 false if price is 9.80
>=	Greater than or equal to	price>=9.80	true if price is 9.90 false if price is 9.70
or	or	price=9.80 or price=9.70	true if price is 9.80 false if price is 9.50
and	and	price>9.00 and price<9.90	true if price is 9.80 false if price is 8.50
mod	Modulus (division remainder)	5 mod 2	1



# Summary XPath example

```
<doc type="book" isbn="1-56592-796-9">
 <title>A Guide to XML</title>
 <author>Norman Walsh</author>
 <chapter>[...]</chapter>
 <chapter>
    <title>What Do XML Documents Look
     Like?</title>
    <paragraph>If you are [...]</paragraph>
    <paragraph>A few things [...]</paragraph>
    <01>
      <item><paragraph>The document begins
         [...]</paragraph></item>
      <item><paragraph type="warning">There's
         no document [...] </paragraph></item>
      <item><paragraph>Empty elements have
         [...]</paragraph>
         <paragraph>In a very
           [...]</paragraph></item>
    </01>
    <paragraph>XML documents are
      [...]</paragraph>
    <section>[...]</section>
    [...]
 </chapter>
</doc>
```

#### //paragraph

```
<paragraph>If you are [...]</paragraph>
<paragraph>A few things[...]</paragraph>
<paragraph>The document begins
  [...]</paragraph>
<paragraph type="warning">There's
  no document [...]</paragraph>
<paragraph>Empty elements have
  [...]</paragraph>
<paragraph>In a very [...]</paragraph>
<paragraph>XML documents are
  [...]</paragraph></paragraph>
```

#### //ol//paragraph[@type='warning']

```
<paragraph type="warning">
  There's no document [...]
</paragraph>
```

#### /doc/chapter[2]/ol/item[position()=last()]

```
<item><paragraph>Empty elements have
  [...]</paragraph>
  <paragraph>In a very [...]</paragraph>
</item>
```



#### Design XPath queries for

- 2. Get all the titles of books in the file (without using //)
- 3. Get just the text from the first name elements of author
- 4. Return only the book elements that has an editor
- 5. Return only the books that are published after 1998
- 6. Return the entire book element whose title is "Data on the Web"
- 7. Alter the last query to just return the second author
- 8. Return those books which are priced between 50 and 100 only
- 9. Return all those books that are NOT published by Addison-Wesley

```
<?xml version="1.0" ?>
  <?xml version="1.0"?>
  <hih>
    <book year="1994">
       <title>TCP/IP Illustrated</title>
       <author><last>Stevens</last><first>W.</first></author>
       <publisher>Addison-Weslev</publisher>
       <price>65.95</price>
    </book>
    <book vear="1992">
       <title>Advanced
                           Programming
                                                          Unix
                                            in
                                                  the
  environment</title>
       <author><last>Stevens</last><first>W.</first></author>
       <publisher>Addison-Wesley</publisher>
       <price>65.95</price>
    </book>
    <book year="2000">
       <title>Data on the Web</title>
 <author><last>Abiteboul</last><first>Serge</first></author>
  <author><last>Buneman</last><first>Peter</first></author>
       <author><last>Suciu</last><first>Dan</first></author>
       <publisher>Morgan Kaufmann Publishers/publisher>
       <price>39.95</price>
    </hook>
    <book year="1999">
       <title>The Economics of Technology and Content for
 Digital TV</title>
       <editor>
            <last>Gerbarg/last><first>Darcy</first>
             <affiliation>CITI</affiliation>
       </editor>
          <publisher>Kluwer Academic Publishers/publisher>
       <price>129.95</price>
    </book>
```

# Sample Solution

- 2. Get all the titles of books in the file (without using //) /bib/book/title
- 3. Get just the text from the first name elements of author
  //first/string()
- 4. Return only the book elements that has an editor //book[editor]
- 5. Return only the books that are published after 1998 //book[@year>=1998]
- 6. Return the entire book element whose title is "Data on the Web"

```
//book[title/string()="Data on the Web"]
```

- 7. Alter the last query to just return the second author //book[title/string()="Data on the Web"]/author[2]
- 8. Return those books which are priced between 50 and 100 only //book[price>50][price<100]
- 9. Return all those books that are NOT published by Addison-Wesley

```
//book[publisher!="Addison-Wesley"]
```



# Summary

- Selects (a set of) nodes within an XML document based on
  - Conditions
  - Hierarchy
- Usage
  - Retrieving info from a single XML document
  - Applying XSL style sheet rules
  - Making XQuerys

```
Tutorial available at: http://www.w3schools.com/xml/xpath_intro.asp
```



# Querying XML Documents

# What is XQuery?

- Originally focused on retrieval of information from XML documents
  - Update features added in 2011 https://www.w3.org/TR/xquery-update-10/
- XQuery is a language for finding and extracting elements and attributes from XML documents.
  - Here is an example of a question that XQuery could solve:
  - "Select all CD records with a price less than 10 euro from the CD collection stored in the XML document called cd\_catalog.xml"
- Used in conjunction with XPath
- Latest version W3C recommendation "XQuery 3.0" – April 2014 https://www.w3.org/TR/xquery-30/



# For-Let-Where-OrderBy-Return: "FLWOR" expressions

(pronounced "FLOWER")

#### 1. One or more FOR and/or LET expressions

 For gathering nodes into sets from a series of XPath queries to operate upon in other clauses

#### 2. Optional WHERE clause

 For filtering nodes in the sets to be operated upon in other clauses

#### 3. Optional ORDER BY clause

For returning nodes in the sets in particular order in other clauses

#### 4. RETURN clause

How to return the identified nodes in the sets



### LET Clause

- LET <variable> := <xpath expression>, <xpath expression>, ...
  - Variable (starting with \$) "binds to" the set returned by xpath expression
  - Does not iterate over set like the FOR clause does
  - It cannot be redefined within the scope of the function
  - More than one variable/path expression binding can be specified by separating with comma (,)



# Example LET Clause

```
<?xml version="1.0"?>
                          XMI Source
<assessments>
  <student name="Smith">
      <mark thecourse="4BA5"> 99
        </mark>
      <mark thecourse="4BA1"> 75
        </mark>
  </student>
  <course name="4BA1"</pre>
           takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
           takenby="Smith, Bord">
     <mark>70</mark>
  </course>
</assessments>
```

```
XQuery
let $c:=
doc("data/tcd.xml")/assessments/co
urse/mark
return
  t of avg course marks>
   {$c}
  </list of avg_course_marks>
```

Curly brackets {} are used for enclosed expressions and indicate that the expression enclosed in the return clause needs to be evaluated by the Xquery processor



#### FOR Clause

FOR <variable> IN <xpath expression>, <xpath expression>,

- Variable (starting with \$) "binds to" in turn each member in the set returned by Xpath expression(s)
- For each variable binding the rest of FLOWR expression is executed
- More than one variable/path expression binding can be specified by separating with comma (,)



# Example FOR Clause

```
<?xml version="1.0"?>
                          XMI Source
<assessments>
  <student name="Smith">
      <mark thecourse="4BA5"> 99
        </mark>
      <mark thecourse="4BA1"> 75
        </mark>
  </student>
  <course name="4BA1"</pre>
           takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
           takenby="Smith, Bord">
     <mark>70</mark>
  </course>
</assessments>
```

Round Brackets useful for grouping sequence of Operations.

```
for $j in doc("data/tcd.xml")/assessments/course return ("Course Node:",$j)
```



### RETURN Clause

- One limitation of Xpath is that it can only operate on existing elements/attributes within the document
- XQuery allows the generation of new elements/attributes nodes
  - The element's content (if any) is either literally given between start- and end-tag, or provided as an "enclosed expression", or as a mixture of both.
  - Curly brackets {} are used for enclosed expressions in the return clause and indicate that the expression enclosed needs to be evaluated by the Xquery processor



# Example RETURN Clause

```
<?xml version="1.0"?>
                           XMI Source
<assessments>
  <student name="Smith">
      <mark thecourse="4BA5"> 99
        </mark>
      <mark thecourse="4BA1"> 75
        </mark>
  </student>
  <course name="4BA1"</pre>
           takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
           takenby="Smith, Bond">
     < mark > 70 < / mark >
  </course>
</assessments>
```

```
XQuery
for $i in
       doc("data/tcd.xml")/assess
ments/course/@name
return
       <one of courses is>
       {$i}
       </or>
    Example of Xquery
    node generation
```

```
Result
<one_of_courses_is name="4BA1"/>
<one_of_courses_is name="4BA5"/>
```



## WHERE Clause

- Filters the binding tuples produced by the FOR and LET clauses
- If the filter expression evaluates to true then the RETURN clause is executed



# Example WHERE Clause

```
<?xml version="1.0"?>
                          XMI Source
<assessments>
  <student name="Smith">
      <mark thecourse="4BA5"> 99
        </mark>
      <mark thecourse="4BA1"> 75
        </mark>
  </student>
  <course name="4BA1"</pre>
           takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
           takenby="Smith, Bond">
     <mark>70</mark>
  </course>
</assessments>
```

```
XQuery
for $i in
doc("data/tcd.xml")/assessments/co
urse
where contains ($j/@takenby, "Bond")
return
       <Bond courses is>
        {string($j/@name)}
       </Bond courses is>
```

```
Result
```

<Bond\_courses\_is>4BA5</Bond\_courses\_is>



### Querying over several interlinked documents

```
<?xml version="1.0"?>
                              XMI Source
<assessments>
                                Tcd.xml
  <student name="Smith">
      <mark thecourse="4BA5"> 99
         </mark>
      <mark thecourse="4BA1"> 75
         </mark>
  </student>
  <course name="4BA1"</pre>
takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
            takenby="Smith, Bond">
     < mark > 70 < / mark >
  </course>
</assessments>
                             XML Source
                              details.xml
<?xml version="1.0"?>
<studentdetails>
 <student name="Smith">
    <address> 101 Pine </address>
    <enrolled> 2001 </enrolled>
  </student>
<student name="Bond">
    <address> 007 Fleming </address>
    <enrolled> 2002 </enrolled>
  </student>
```

```
XQuery
for Sw in
doc("data/details.xml")/studentdet
ails/student,
$x in
doc("data/tcd.xml")/assessments/st
udent
where x/\theta_n = w/\theta_n
return
<studentpercourse>
   {$w/@name}
   {$w/address}
   {$x/mark/@thecourse}
</studentpercourse>
```

# Over to you...

#### Source

```
<database>
<person age='34'>
   <name>
          <title> Mr </title>
          <firstname> John </firstname>
          <firstname> Paul </firstname>
          <surname> Murphy </surname>
   </name>
   <hobby> Football </hobby>
   <hobby> Racing </hobby>
</person>
<person >
   <name>
          <firstname> Mary </firstname>
          <surname> Donnelly </surname>
   </name>
</person>
</database>
```

#### Example syntax

Define a query which will return an element called "paul\_hobbys" which contains the hobby elements for each of person elements who have "Paul" as a firstname



# Over to you...

#### Source

```
<database>
<person age='34'>
   <name>
          <title> Mr </title>
          <firstname> John </firstname>
          <firstname> Paul </firstname>
          <surname> Murphy </surname>
   </name>
   <hobby> Football </hobby>
   <hobby> Racing </hobby>
</person>
<person >
   <name>
          <firstname> Mary </firstname>
          <surname> Donnelly </surname>
   </name>
</person>
</database>
```

#### XQuery

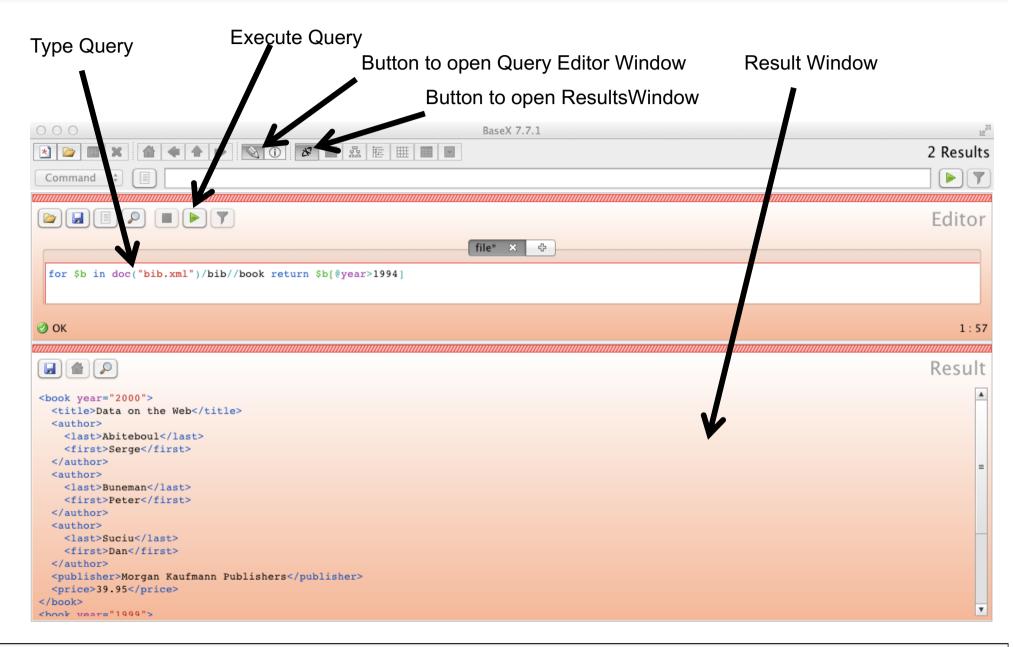
```
for $p in
    doc("persondb.xml")/database/person
where $p/name/firstname=" Paul "
return
<paul_hobbys>
{$p/hobby}
</paul_hobbys>
```

#### Result

```
<paul_hobbys>
  <hobby> Football </hobby>
  <hobby> Racing </hobby>
</paul_hobbys>
```

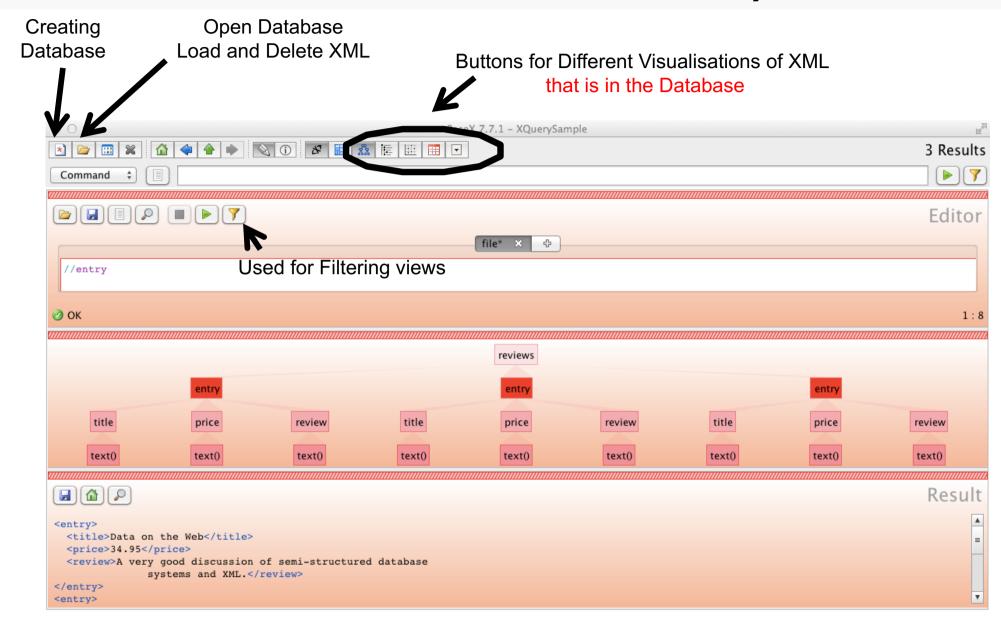


### BaseX: Xqueries upon XML files on Filesystem





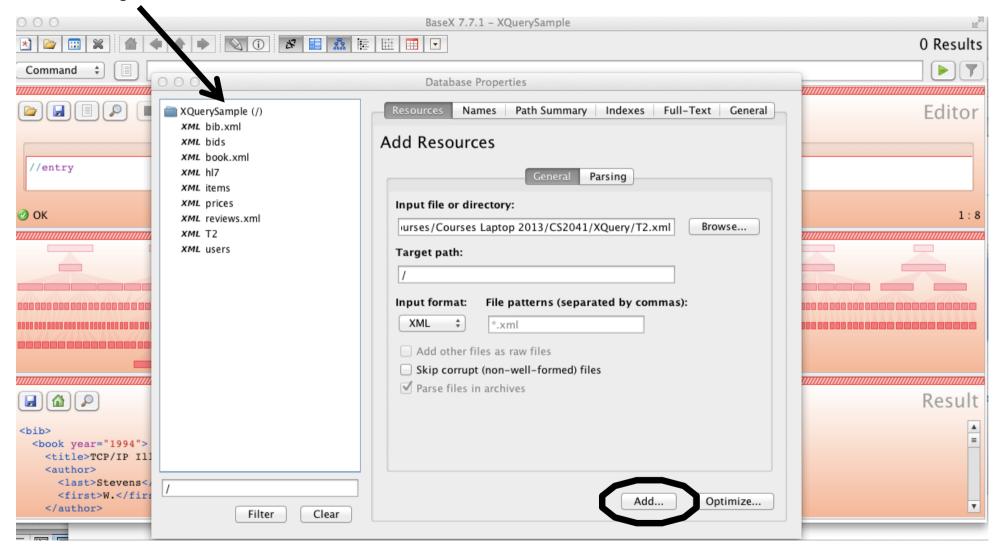
# BaseX: Database functionality





# BaseX: Database functionality

XML files that were added to the Database using Add button below





# Programmatic APIs

- Different programming APIs to connect to BaseX XML database
  - REST-Style Web API
  - Variety of Client APIs for different programming languages
- See http://docs.basex.org/wiki/Developing



# Sorting

- The return clause of a FLWOR expression is evaluated once for each tuple in the tuple stream, and the results of these evaluations are concatenated to form the result of the FLWOR expression.
  - If no order by clause is present, the order of the tuple stream is determined by the orderings of the sequences returned by the expressions in the for clauses.
  - If an order by clause is present, it determines the order of the tuple stream



# Example ORDER BY clause

# Sequence Operations

- A union of two node sequences is a sequence containing all the nodes that occur in either of the operands.
- The intersect operator produces a sequence containing all the nodes that occur in both of its operands.
- The except operator produces a sequence containing all the nodes that occur in its first operand but not in its second operand.



# Example UNION clause

```
<?xml version="1.0"?>
                              XMI Source
<assessments>
                                Tcd.xml
  <student name="Smith">
      <mark thecourse="4BA5"> 99
         </mark>
      <mark thecourse="4BA1"> 75
         </mark>
  </student>
  <course name="4BA1"</pre>
takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
            takenby="Smith, Bond">
     < mark > 70 < / mark >
  </course>
</assessments>
                             XML Source
                               tcd2.xml
<?xml version="1.0"?>
<assessments>
  <student name="Ledwidge">
      <mark thecourse="4BA5"> 45
</mark>
      <mark thecourse="4BA1"> 55
</mark>
  </student>
  <student name="ONeill">
      <mark thecourse="4BA5"> 85
</mark> </student> </assessments>
```

### Conditional Clauses

- if (test expression) then expression else expression
- The result of a conditional expression depends on the value of the test expression in the if clause
  - If the value of the test expression is the Boolean value true, or a sequence containing at least one node (serving as an "existence test"), the then clause is executed.
  - If the value of the test expression is the Boolean value false or an empty sequence, the else clause is executed.
- All three clauses (if, then, and else) are required
- Nesting of if clauses possible



# Example Conditional clause

Result

```
<status name="Smith">
student</status>
  <status name="Bond">
new student</status>
```

# Quantified Expression

- Allows a variable to iterate over the items in a sequence.
  - For each variable binding, a test expression is evaluated.
    - A quantified expression that begins with some keyword returns the value true if the test expression is true for some variable binding
    - A quantified expression that begins with every keyword, returns the value true if the test expression is true for every variable binding

# Example Quantified Expression clauses

```
XQuery
<results>
{if (every $m in
doc("data/tcd2.xml")/assessments/s
tudent satisfies $m/mark > 60)
then "excellent results"
else if (some $t in
doc("data/tcd2.xml")/assessments/s
tudent satisfies $t/mark > 50)
then "average results"
else "bad results"
</results>
```

Result

<results>average results</results>



#### **Built-in Functions**

- Over 100 XPath built-in functions (see last lecture)
- Functions that operate on Basic Types
  - manipulation of dates, strings, numbers etc
  - E.g. fn:string-join for joining strings together
- Functions that operate on Nodes
  - E.g. fn:name() returns name of node
- Functions that operate on Sequences
  - A sequence is an ordered collection of zero or more items
  - E.g. fn:distinct-values returns sequence with all duplicates removed
- Functions that operate on Context
  - obtain information from the evaluation context
  - E.g. fn:last returns the number of items in the sequence being processed



Function	Commentary
Math: +, -, *, div, idiv, mod, =, !=, <, >, <=, >= floor(), ceiling(), round(), count(), min(), max(), avg(), sum()	Division is done using div rather than a slash because a slash indicates an XPath step expression. idiv is a special operator for integer-only division that returns an integer and ignores any remainder.
Strings and Regular Expressions: compare(), concat(), starts-with(), ends-with(), contains(), substring(), string-length(), substring-before(), substring-after(), normalize-space(), upper-case(), lower-case(), translate(), matches(), replace(), tokenize()	compare() dictates string ordering. translate() performs a special mapping of characters. matches(), replace(), and tokenize() use regular expressions to find, manipulate, and split string values.
Date and Time: current-date(), current-time(), current-dateTime() +, -, div eq, ne, lt, gt, le, gt	XQuery has many special types for date and time values such as duration, dateTime, date, and time. On most you can do arithmetic and comparison operators as if they were numeric. The two-letter abbreviations stand for equal, not equal, less than, greater than, less than or equal, and greater than or equal.
XML node and QNames: node-kind(), node-name(), base-uri() eq, ne, is, isnot, get-local-name-from-QName(), get-namespace-from-QName() deep-equal() >>, <<	node-kind() returns the type of a node (i.e. "element"). node-name() returns the QName of the node, if it exists. base-uri() returns the URI this node is from. Nodes and QName values can also be compared using eq and ne (for value comparison), or is and isnot (for identity comparison). deep-equal() compares two nodes based on their full recursive content.  The << operator returns true if the left operand preceeds the right operand in document order. The >> operator is a following comparison.
Sequences: item-at(), index-of(), empty(), exists(), distinct-nodes(), distinct-values(), insert(), remove(), subsequence(), unordered().position(), last()	item-at() returns an item at a given position while index-of() attempts to find a position for a given item. empty() returns true if the sequence is empty and exists() returns true if it's not. dictinct-nodes() returns a sequence with exactly identical nodes removed and distinct-values() returns a sequence with any duplicate atomic values removed. unordered() allows the query engine to optimize without preserving order. position() returns the position of the context item currently being processed. last() returns the index of the last item.
Type Conversion: string(), data(), decimal(), boolean()	These functions return the node as the given type, where possible. data() returns the "typed value" of the node.
Booleans: true(), false(), not()	There's no "true" or "false" keywords in XQuery but rather true() and false() functions. not() returns the boolean negation of its argument.
Input: document(), input(), collection()	document() returns a document of nodes based on a URI parameter. collection() returns a collection based on a string parameter (perhaps multiple documents). input()
	returns s general engine-provided set of input nodes.

#### **User Functions**

- XQuery allows users to define functions of their own
  - A function may take zero or more parameters.
  - A function definition must specify the name of the function and the names of its parameters if they exist
    - It may optionally specify types for the parameters
      - If no type is specified for a function parameter, that parameter accepts values of any type.
    - It may optionally specify types for the result of the function.
      - If no type is specified for the result of the function, the function may return a value of any type.
  - Body of the function is an expression enclosed in curly braces.



### Example Function clause

```
<?xml version="1.0"?>
                              XMI Source
<assessments>
                                Tcd.xml
  <student name="Smith">
      <mark thecourse="4BA5"> 99
         </mark>
      <mark thecourse="4BA1"> 75
         </mark>
  </student>
  <course name="4BA1"</pre>
takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
            takenby="Smith, Bond">
     < mark > 70 < / mark >
  </course>
</assessments>
                             XML Source
                             tcd2002.xml
<?xml version="1.0"?>
<assessments>
  <student name="Ledwidge">
      <mark thecourse="4BA5"> 45
</mark>
      <mark thecourse="4BA1"> 55
</mark>
  </student>
  <student name="ONeill">
      <mark thecourse="4BA5"> 85
</mark> </student> </assessments>
```

```
XQuery
declare function local:all students()
for $s in
doc("data/tcd.xml")/assessments/student
union
doc("data/tcd2.xml")/assessments/studen
return
<student>
         {$s/@name}
         {$s/mark/@thecourse}
</student>
   };
<a11>
{local:all students()}
</all>
```

# Type References

- Sometimes necessary to refer to a particular type in query
- One way to refer to a type is by its qualified name, or QName.
  - A QName may refer to a built-in type such as xs:integer or to a type that is defined in some schema, such as abc:student.
  - If the QName has a namespace prefix (the part to the left of the colon), that prefix must be bound to a specific namespace URI using the "declare namespace" clause



# Example Function clause with param

```
<?xml version="1.0"?>
                              XMI Source
<assessments>
                                Tcd.xml
  <student name="Smith">
      <mark thecourse="4BA5"> 99
         </mark>
      <mark thecourse="4BA1"> 75
         </mark>
  </student>
  <course name="4BA1"</pre>
takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
            takenby="Smith, Bond">
     < mark > 70 < / mark >
  </course>
</assessments>
                             XML Source
                               tcd2.xml
<?xml version="1.0"?>
<assessments>
  <student name="Ledwidge">
      <mark thecourse="4BA5"> 45
</mark>
      <mark thecourse="4BA1"> 55
</mark>
  </student>
  <student name="ONeill">
      <mark thecourse="4BA5"> 85
</mark> </student> </assessments>
```

```
XQuery
declare function local:
find students($stuname as xs:string)
for $s in
doc("data/tcd.xml")/assessments/student
union
doc("data/tcd2.xml")/assessments/studen
where $stuname = string($s/@name)
return
<student>
         {$s/@name}
         {$s/mark/@thecourse}
</student>
local:find students("Smith")
                                     Result
<student name="Smith" thecourse="4BA5"</pre>
thecourse="4BA1"/>
```

### Type References

- Another way to refer to a type is by a generic keyword such as element or attribute.
  - May optionally be followed by a QName that further restricts the name or type of the node.
  - For example,
    - element denotes any element;
    - element student denotes an element whose name is *student*;
    - element of type abc:student denotes an element whose type is *student* as declared in the namespace *abc*.
  - A reference to a type may optionally be followed by one of three occurrence indicators:
    - "\*" means "zero or more";
    - "+" means "one or more,"
    - "?" means "zero or one."
    - The absence of an occurrence indicator denotes exactly one occurrence of the indicated type.



# Example Function clause with output type declared

```
<?xml version="1.0"?>
                              XMI Source
<assessments>
                                Tcd.xml
  <student name="Smith">
      <mark thecourse="4BA5"> 99
         </mark>
      <mark thecourse="4BA1"> 75
         </mark>
  </student>
  <course name="4BA1"</pre>
takenby="Smith, Jones">
     <mark>60</mark>
  </course>
  <course name="4BA5"</pre>
            takenby="Smith, Bond">
     < mark > 70 < / mark >
  </course>
</assessments>
                             XML Source
                               tcd2.xml
<?xml version="1.0"?>
<assessments>
  <student name="Ledwidge">
      <mark thecourse="4BA5"> 45
</mark>
      <mark thecourse="4BA1"> 55
</mark>
  </student>
  <student name="ONeill">
      <mark thecourse="4BA5"> 85
</mark> </student> </assessments>
```

```
declare function local:all students()
as element() *
for $s in
doc("data/tcd.xml")/assessments/student
union
doc("data/tcd2.xml")/assessments/studen
return
<student>
         {$s/@name}
         {$s/mark/@thecourse}
</student>
   };
<a11>
{local:all students()}
</all>
```

XQuery

# Type References

- Not only occur in function definitions
- Can test for type using instanceof operator, e.g.
  - 49 instance of xs:integer returns true
  - "Hello" instance of xs:integer returns false
- Can convert result of an expression into one of XML schema built-in types using cast operator, e.g.
  - xs:double(11 div 5) returns 2.0
  - xs:string(11 div 5) returns "2"



#### Updating

- XQuery Update Facility (XQUF)
- All examples assume the data is imported into the XBase database
- High level declaration of manipulation of XML
- insert, delete, replace, rename, and transform experressions

#### Examples

 Insert node/nodes [after, before, after, into, last, first] TargetExpression

insert node <year> 2005</year> after
/bib/books/book[1]/publisher

- Delete node/nodes
   delete node /bib/book[1]/year
- Replace [value of] node TargetExpression with TargetExpression

Replace value of node /bib/book[1]/price with /bib/book[1]/price \* 1.1

Rename node as TargetExpression

Rename node /bib/book[2]/author[1] as "principal-author"

### Transform Expression

- Can be used to create modified copies of existing node
- Three clauses, denoted by the keywords copy, modify, and return
- Example

```
for $e in //employee[skill = "Java"]
return
  copy $je := $e
  modify delete node $je/salary
  return $je
```

Note that BaseX has a convenience operator called "update" to replace "copy, modify, return". See http://docs.basex.org/wiki/XQuery\_Update

#### XQuery and other XML technologies

- XQuery versus XPath
  - Operates over several documents
  - Allows the construction of new nodes
  - Has mechanism for variables
  - Has mechanism for user defined functions
- XQuery versus XSLT
  - Less verbose
  - Less document-centric
  - But does not have XML vocabulary for expressing
    - (although there is XQueryX vocabulary proposed but not high take up)



#### Summary

- Basis of XQuery is the FLOWR expression
  - 1. One or more FOR and/or LET expressions
  - 2. Optional WHERE clause
  - 3. Optional ORDER BY clause
  - 4. RETURN clause
- XQuery uses XPath in its clauses in order to identify individual parts of a document



#### References

•W3C site <a href="http://www.w3.org/XML/Query">http://www.w3.org/XML/Query</a>

•W3 Schools Tutorial

http://www.w3schools.com/xml/xquery\_intro.asp



#### Over to you...

NOW using bib.xml (from earlier Xpath exercise),
 List books published by Addison-Wesley after 1991, including their year and title.

```
You should get
<bib>
<book year="1994">
<title>TCP/IP Illustrated</title>
</book>
<book year="1992">
<title>Advanced Programming in the Unix environment</title>
</book>
</bib>
```

#### Example syntax

#### Over to you...

NOW using bib.xml (from earlier Xpath exercise),
 List books published by Addison-Wesley after 1991, including their year and title.

```
You should get
<bib>
<book year="1994">
<title>TCP/IP Illustrated</title>
</book>
<book year="1992">
<title>Advanced Programming in the Unix environment</title>
</book>
</bib>
```

#### Sample Solution

#### Review

```
<bib>
                                       XMI Source
    <book year="1994">
                                           hih.xml
        <title>TCP/IP Illustrated</til
<author><last>Stevens</last><first>W.</first></author>
        <publisher>Addison-Weslev</publisher>
        <price> 65.95</price> </book>
    <book year="1992">
        <title>Advanced Programming in the Unix
environment</title>
<author><last>Stevens</last><first>W.</first></author>
        <publisher>Addison-Wesley</publisher>
        <price>65.95</price></book>
    <book year="2000">
        <title>Data on the Web</title>
<author><last>Abiteboul</last><first>Serge</first></auth</pre>
or>
<author><last>Buneman</last><first>Peter</first></author</pre>
<author><last>Suciu</last><first>Dan</first></author>
        <publisher>Morgan Kaufmann
Publishers</publisher>
        <price>39.95</price>
                                </book>
    <book year="1999">
        <title>The Economics of Technology and Content
for Digital TV</title>
        <editor>
               <last>Gerbarg</last><first>Darcy</first>
                <affiliation>CITI</affiliation>
        </editor>
            <publisher>Kluwer Academic
Publishers</publisher>
        <price>129.95</price></book>
</bib>
```

#### Review

```
<bib>
                                        XMI Source
    <book year="1994">
                                            hih.xml
        <title>TCP/IP Illustrated</tit</pre>
<author><last>Stevens</last><first>W.</first></author>
        <publisher>Addison-Wesley</publisher>
        <price> 65.95</price> </book>
    <book year="1992">
        <title>Advanced Programming in the Unix
environment</title>
<author><last>Stevens</last><first>W.</first></author>
        <publisher>Addison-Wesley</publisher>
        <price>65.95</price></book>
    <book year="2000">
        <title>Data on the Web</title>
<author><last>Abiteboul</last><first>Serge</first></auth</pre>
or>
<author><last>Buneman</last><first>Peter</first></author</pre>
<author><last>Suciu</last><first>Dan</first></author>
        <publisher>Morgan Kaufmann
Publishers</publisher>
        <price>39.95</price>
                                </book>
    <book year="1999">
        <title>The Economics of Technology and Content
for Digital TV</title>
        <editor>
               <last>Gerbarg</last><first>Darcy</first>
                <affiliation>CITI</affiliation>
        </editor>
            <publisher>Kluwer Academic
Publishers</publisher>
        <price>129.95</price></book>
</bib>
```

```
<reviews>
                                        XML Source
   <entrv>
       <title>Data on the Web</title>
                                         reviews.xml
       <price>34.95</price>
       <review>
         A very good discussion of semi-structured database
              systems and XML.
       </review>
   </entry>
   <entrv>
       <title>Advanced Programming in the Unix
environment</title>
       <price>65.95</price>
       <review>
         A clear and detailed discussion of UNIXprogramming.
       </review>
   </entry>
   <entry>
       <title>TCP/IP Illustrated</title>
       <price>65.95</price>
       <rewiew>
              One of the best books on TCP/IP.
       </review>
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