

**Definition 1 (DFA (Def. 1.5))** Deterministic finite automaton is defined by 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

- $Q$  is a finite set of states
- $\Sigma$  is a finite alphabet
- $\delta : Q \times \Sigma \rightarrow Q$  is the transition function
- $q_0$  is the start state
- $F \subseteq Q$  is the set of accept states

**Definition 2 (NFA (Def. 1.37))** A nondeterministic finite automaton (NFA) is a 5-tuple  $(Q, \Sigma, \delta, q_0, F)$ , where

- $Q$  is a finite set of states
- $\Sigma$  is a finite alphabet
- $\delta : Q \times \Sigma \rightarrow \mathcal{P}(Q)$  is the transition function
- $q_0 \in Q$  is the start state
- $F \subseteq Q$  is the set of accept states.

**Definition 3 (RE (Def. 1.52))**  $R$  is a regular expression (RE) if

1.  $R = a$  for some  $a$  in the alphabet  $\Sigma$ ,
2.  $R = \epsilon$ ,
3.  $R = \emptyset$
4.  $R = (R_1 \cup R_2)$ , where  $R_1$  and  $R_2$  are regular expressions
5.  $R = (R_1 \circ R_2)$ , where  $R_1$  and  $R_2$  are regular expressions, OR
6.  $R = (R_1^*)$ , where  $R_1$  is a regular expression.

Note:

- $\epsilon$  represents a language with one string; **empty string**
- $\emptyset$  represents a language with **no strings**
- Regular expressions get defined recursively!

**Theorem 1 (Pumping Lemma (Def. 1.70))** If  $A$  is a regular language, then there is a number  $p$ , referred to as the pumping length, where if  $s$  is any string in  $A$  with  $|s| \geq p$ , then  $s$  may be divided into three parts,  $s = xyz$ , satisfying the following conditions:

1. For each  $i \geq 0$ ,  $xy^iz \in A$
2.  $|y| > 0$
3.  $|xy| \leq p$

**Definition 4 (CFG (Def. 2.2))** A context-free grammar is a 4-tuple  $(V, \Sigma, R, S)$ , where

- $V$  is a finite set called the variables,
- $\Sigma$  is a finite set, disjoint from  $V$ , called the terminals,
- $R$  is a finite set of rules, with each rule being a variable and a string of variables and terminals, and
- $S \in V$  is the start variable

**Definition 5 (Def. 2.8)** A context-free grammar is in **Chomsky normal form** if every rule is of the form

$$\begin{aligned} A &\rightarrow BC \\ A &\rightarrow a \end{aligned}$$

where  $a$  is any terminal and  $A, B$ , and  $C$  are any variables – except that  $B$  and  $C$  may not be the start variable. In addition, we permit the rule  $S \rightarrow \epsilon$ , where  $S$  is the start variable.

**Definition 6 (Def. 2.13)** A pushdown automata is a 6-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, F)$ , where

- $Q$  is the finite set of states
- $\Sigma$  is the finite input alphabet
- $\Gamma$  is the finite stack alphabet
- $\delta : Q \times \Sigma \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma)$  is the transition function
- $q_0 \in Q$  is the start state
- $F \subseteq Q$  is the set of accept states

**Theorem 2 (Thm. 2.34: Pumping lemma for CFL)** If  $A$  is a context-free language, then there is a number  $p$  (the pumping length) where, if  $s$  is any string in  $A$  of length at least  $p$ , then  $s$  may be divided into five pieces,  $s = uvxyz$ , satisfying the conditions

1.  $uv^ixy^iz \in A$  for each  $i \geq 0$
2.  $|vy| > 0$
3.  $|vxy| \leq p$ .

**Definition 7 (Turing machine TM (Def. 3.3))** Turing machine is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ :

- $Q$  is the finite set of states
- $\Sigma$  is the finite input alphabet, not containing the blank symbol  $\sqcup$
- $\Gamma$  is the finite tape alphabet,  $\sqcup \in \Gamma$  and  $\Sigma \subset \Gamma$
- $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  is the transition function
- $q_0, q_{\text{accept}}, q_{\text{reject}} \in Q$  are the start, accept and reject states,  $q_{\text{accept}} \neq q_{\text{reject}}$

**Definition 8 (Def. 3.5)** Language  $L$  is **Turing-recognizable** if Turing machine  $M$  exists that recognizes  $L$ .

**Definition 9 (Def. 3.6)** A language is **Turing-decidable** (or decidable) if a Turing Machine exists that decides it.

**Definition 10** Set  $\mathbb{X}$  is countable if it is finite, or a bijection between  $\mathbb{X}$  and  $\mathbb{N} = \{1, 2, \dots\}$  exists

**Theorem 3 (Theorem 4.22)** A language is decidable iff it is Turing-recognizable and co-Turing-recognizable.

**Definition 11** A function  $f : \Sigma^* \rightarrow \Sigma^*$  is a computable function if some TM, on every input  $w$ , halts with just  $f(w)$  on its tape.

**Definition 12 (Def. 5.20)** Language  $A$  is mapping reducible to language  $B$ , written  $A \leq_m B$ , if there is a computable function  $f : \Sigma^* \rightarrow \Sigma^*$ , where for every  $w$ ,  $w \in A \iff f(w) \in B$ . The function  $f$  is called the reduction from  $A$  to  $B$ .

**Definition 13 (Def. 7.7)** Let  $t : \mathbb{N} \rightarrow \mathbb{R}^+$  be a function. Define the **time complexity class**,  $TIME(t(n))$ , to be the collection of all languages that are decidable by an  $O(t(n))$  time Turing machine.

**Definition 14 (Def. 7.12)**  $P$  is the class of languages that are decidable in polynomial time on a deterministic single-tape Turing machine,

$$P = \bigcup_k TIME(n^k)$$

**Definition 15 (Hamiltonian Path problem)**  $HAMPATH \triangleq \{\langle G, s, d \rangle \mid G \text{ is a directed graph with h. p. } s \rightarrow d\}$

**Definition 16 (Def. 7.19)**  $NP$  is the class of languages that have polynomial time verifiers.

**Theorem 4 (Thm. 7.20)** A language is in NP iff it is decided by some nondeterministic polynomial time Turing machine.

**Definition 17 (Subset-Sum Problem)** Given  $n$  items with weights  $w_i$ , is there a subset of items with a total weight of  $W$ .

**Definition 18 (Def. 7.28)** A function  $f : \Sigma^* \rightarrow \Sigma^*$  is a **polynomial time computable function** if some polynomial time Turing machine  $M$  exists that halts with just  $f(w)$  on its tape, when started on any input  $w$ .

**Definition 19 (Def. 7.29)** Language  $A$  is **polynomial time (mapping) reducible** to language  $B$ , written  $A \leq_P B$ , if a polynomial time computable function  $f : \Sigma^* \rightarrow \Sigma^*$  exists, where for every  $w$ ,

$$w \in A \iff f(w) \in B.$$

The function  $f$  is called the polynomial time reduction of  $A$  to  $B$ .

**Definition 20**

$$SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula}\}$$

**Definition 21 (Def. 7.34)** A language  $B$  is NP-complete if it satisfies two conditions:

1.  $B$  is in NP
2. Every  $A$  in NP is polynomial time reducible to  $B$

**Definition 22 (NP-hard)** A language  $B$  is NP-hard if every  $A \in NP$  is polynomial time reducible to  $B$ .

**Corollary 5** A language  $B$  is NP-complete if it is (i) NP and (ii) NP-hard.

Decision problem Function problem	Problem name	Problem description	NP-complete NP-hard
✓	Shortest path	Find a shortest path from $i$ to $j$	✓
✓	Min. spanning tree	Find the minimum spanning tree	✓
✓	Vertex cover	Does $G$ have a size $k$ vertex cover	✓
✓	Minimum vertex cover	Determine a smallest possible v.c.	✓
✓	Clique	Does $G$ contain a clique of size $k$	✓
✓	$k$ -clique	Determine a size $k$ clique in $G$	✓
✓	Maximum clique	Determine a largest clique in $G$	✓
✓	Independent set decision	Does $G$ have a size $k$ i.s.	✓
✓	Maximum independent set	Determine a largest possible i.s.	✓

- *Decision problem*: output is True or False
- *Function problem*: output is a string, e.g., a list of nodes
  - Instead of P and NP, we have FP and FNP, ...

Table 1: Time complexity of common graph problems.

**Definition 23 (Def. 8.1)** Let  $M$  be a deterministic TM that halts on all inputs. The **space complexity** of  $M$  is the function  $f : \mathbb{N} \rightarrow \mathbb{N}$ , where  $f(n)$  is the maximum number of tape cells that  $M$  scans on any input of length  $n$ .

If  $M$  is a NTM wherein all branches halt on all inputs, we define the space complexity to be the maximum number of tape cells  $M$  scans on any branch for any input of length  $n$ .

**Theorem 6 (Savitch's theorem (Thm. 8.5))** For any function  $f : \mathbb{N} \rightarrow \mathbb{R}^+$ , where  $f(n) \geq n$

$$NSPACE(f(n)) \subseteq SPACE(f^2(n)).$$

**Definition 24 (PSPACE and NPSpace)** PSPACE is the class of languages that are decidable in polynomial space

$$PSPACE = \cup_k SPACE(n^k)$$

and similarly for the NPSpace.

**Definition 25 (Probabilistic Turing Machine (PTM))** Probabilistic TMs are like NTM, but

1. Single branch with two possible moves in every step
  - 50:50 probability, known as the coin-flip
  - independent events!
2. Outcome quantified using probabilities

$$P\{\text{accept}\} = \sum_{b \in \mathcal{B}_A(w)} 2^{-k_b},$$

$$P\{\text{reject}\} = \sum_{b \in \mathcal{B}_R(w)} 2^{-k_b},$$

where  $\mathcal{B}_A(w)$  and  $\mathcal{B}_R(w)$  denote the sets of branches with accepting and rejecting final state, and  $k_b$  is the number of steps in branch  $b$ .

**Definition 26** Time complexity of PTM is according to the worst case of all branches.

**Definition 27 (Probabilistic TM and Decidability)**

Probabilistic TM  $M$  decides on language  $A$  with error probability  $\epsilon$  iff

1.  $P\{\text{accept}\} \geq 1 - \epsilon$  for all  $w \in A$
2.  $P\{\text{reject}\} \geq 1 - \epsilon$  for all  $w \notin A$

**Definition 28 (Class BPP)** Language  $A \in BPP$  if there is a probabilistic polynomial time TM  $M$  (PPT-TM) that decides on language  $A$  with error probability  $\epsilon = 1/3$ .

		DFA	CFG	TM
Accept	$A_x$	✓	✓	✗
Empty	$E_x$	✓	✓	✗
Equivalent	$EQ_x$	✓	✗	✗
Halting	$HALT_{TM}$			✗
Regular L.	$REGULAR_{TM}$			✗

Table 2: Decidability results for DFAs, PDAs, and TMs

Problem	context
SAT and 3SAT	boolean expressions
3COLOR	graph node coloring
CLIQUE	size $k$ cliques in a graph
HAMPATH	Hamiltonian path from $s$ to $d$
SUBSET-SUM	list of integers and their subsets

Table 3: Some NP-complete problems.