

# Object Classification with SOTA Models

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#### **Content**

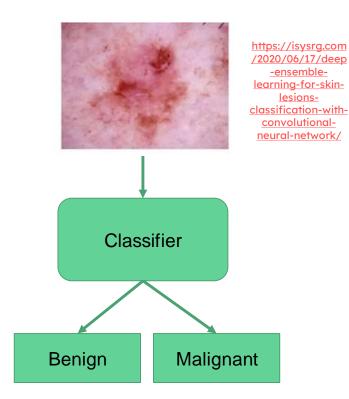


- Object classification problem
- SOTA CNN models
  - VGG
  - Res-Net
  - Mobile-Net
  - Efficient-Net
- Attention Mechanism
- Vision Transformer
- Swin Transformer

## **Object Classification Problem**



- Classification
  - Input: an image
  - Output: category/ID of the image
- Examples
  - Face recognition
  - Skin lesion classification
  - Fish classification
  - o etc.



## **Image Representation**



- Images are represented as matrices of pixels in computer.
  - Grayscale image → pixel is a single integer
  - Color image → pixel is a tuple of integers (Red, Green, Blue)
  - Other color spaces: HSV, CMYK, etc.
- Images are usually transformed to meet the structure of Machine Learning model input.
  - Flatten to a vector
  - Resize
  - Color space transformation



https://en.wikipedia.org/wiki/Lenna



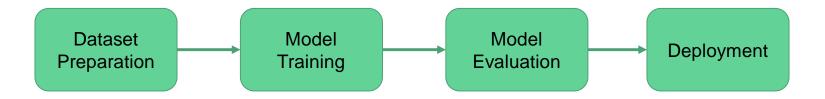
## **Classification Approaches**



- Handcrafted features
  - Extract discriminative visual pattern in the image → SIFT, SURF, BLOB, HOG, etc.
  - Represent the image as a set of extracted features
  - Apply Machine Learning models to classify these features → SVM, Random Forest, etc.
- Feature-learning
  - Utilize raw images → matrices of pixels
  - Feed the image to a neural network → convolutional neural network
  - Obtain the prediction

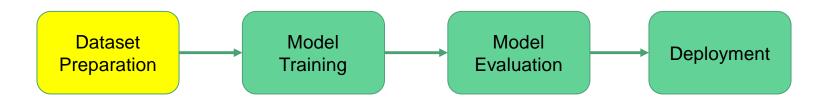


- Deep Learning based models, especially convolutional neural network, are common applied.
- Deep Learning pipeline for object classification

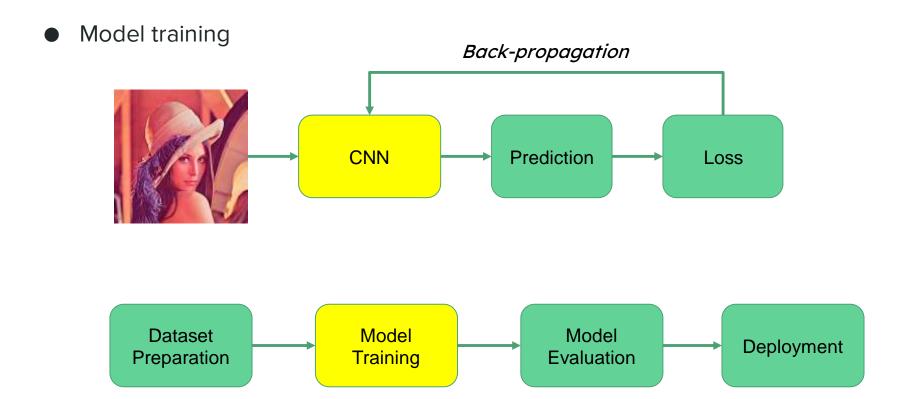




- Dataset Preparation:
  - Samples = {image, label}
  - Preprocessing: cropping, normalization, resizing, etc.

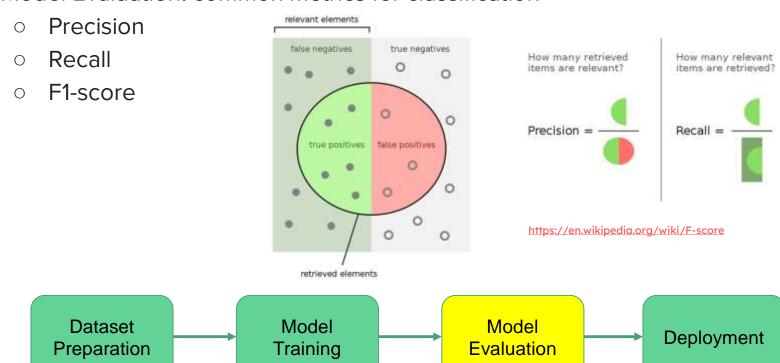








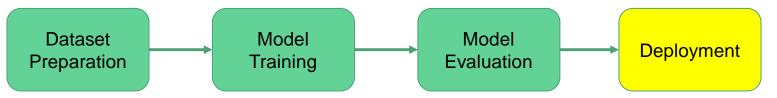
Model Evaluation: common metrics for classification





#### Deployment:

- Implement the pretrained model (with pretrained weights)
- Integrate into a software.
- Programming languages and frameworks are carefully considered to optimize performance.



#### **Convolutional Neural Network**



- CNN → end-to-end model for image classification
- General architecture
  - Input layer
  - Convolutional layer + ReLU → Max-Pooling layer
  - 0 ...
  - Convolutional layer + ReLU → Max-Pooling layer
  - Flattenning
  - Dense layer + Sigmoid + Dropout
  - O ...
  - Dense layer + Sigmoid + Dropout
  - Softmax layer
  - Output

Feature extraction phase

Classification phase

## **Convolutional layer**



Operation in a convolutional layer

Input tensor [H, W, D]

Filter size [H', W', D]

Filter banks N

Bias size

Output [H", W", N]

Input Volume (+pad 1) (7x7x3)					1) (7	x7x3)	Filter W0 (3x3x3)			Output Volume (3x3x2)		
	:,:		0	0	0	0	w0[:,:,0]	w1[:,:,0]		2	5	
0	0	0		0	0	0	0 1 -1		2			
0	0	0	0	2	0	0	0 1 -1	1 -1 1	3	-5	4	
0	0	0	0	2	1	0	1 1 0	0 -1 -1	4	-2	-2	
0	2	0	1	0	1	0	w0[:,:,1]	w1[:,:,1]	0[	:,:	,1]	
0	0	1	0	2	1	0	1 11	1 1 0	4	3	6	
0	2	1	2	1	9	0	0 -1 1	1 1 -1	-3	7	8	
0	0	0	2050	0	0	2201	-1 1 0	1 1 1	1	8	8	
	1 1880	/			/		w0[:,.2]	w1[:,:,2]				
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0	Z	0	1	1	2	0		(A) 3. DES				
0	0	2	2	0	0	0 /	Bias b0 (1x1x1)	Bias bl (1x1x1)				
0	1	2	1/	2	2	0//	b0(:,:,0]	b1[:,:,0]				
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## **History of CNNs**



History of CNNs with typical SOTA models

```
○ 2014 VGG → <a href="https://arxiv.org/abs/1409.1556">https://arxiv.org/abs/1409.1556</a>
```

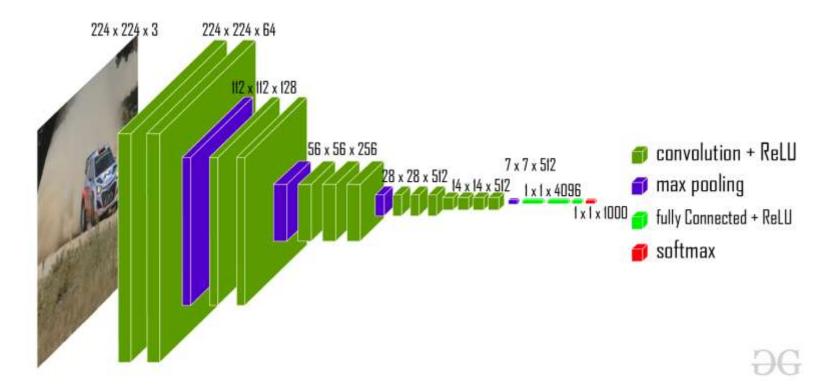
○ 2015 Res-Net → <a href="https://arxiv.org/abs/1512.03385">https://arxiv.org/abs/1512.03385</a>

O 2017 Mobile-Net → <a href="https://arxiv.org/abs/1704.04861">https://arxiv.org/abs/1704.04861</a>

○ 2019 Efficient-Net → <a href="https://arxiv.org/abs/1905.11946">https://arxiv.org/abs/1905.11946</a>

### **VGG-16**





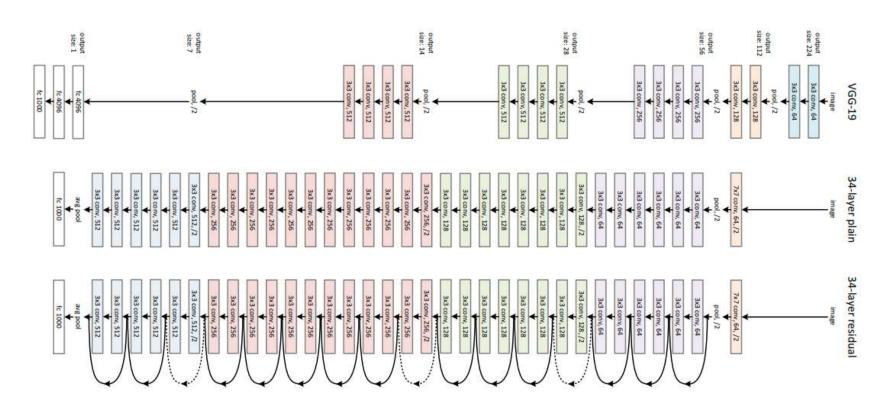
## **VGG**



- Depth of a convolutional neural network takes effect of its classification accuracy.
- Shadow networks (with fewer layers) have a smaller number of parameters → underfitting
- Deep networks (with more layers) have a larger number of parameters >
  overfitting
- VGG's authors: replace a conv. layer with a large kernel size, i.e. 5x5, by a stack of ones with smaller kernel size, i.e.  $3x3 \rightarrow$  maintain the same effect, but smaller number of parameters.

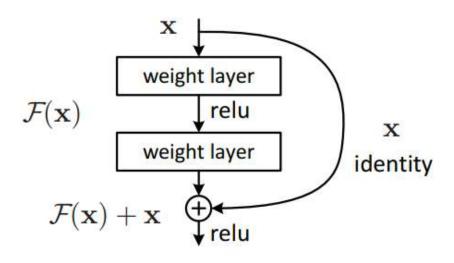
#### ResNet-34





### **Residual Block**





https://www.geeksforgeeks.org/residual-networks-resnet-deep-learning/?ref=lbp

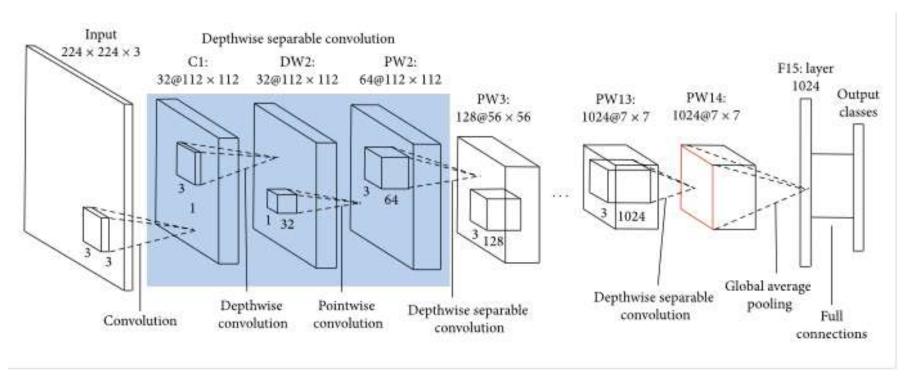
#### **Res-Net**



- If any layer hurts the performance of architecture, it will be skipped by regularization.
- Train a very deep neural network without vanishing/exploding gradient.
- The idea of residual blocks can be inherited and customized in later models.

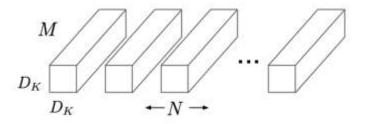
#### **Mobile-Net**



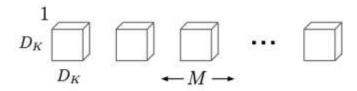


### **Mobile-Net**

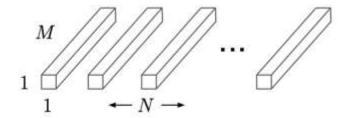




(a) Standard Convolution Filters



(b) Depthwise Convolutional Filters



https://arxiv.org/pdf/1704.04861.pdf

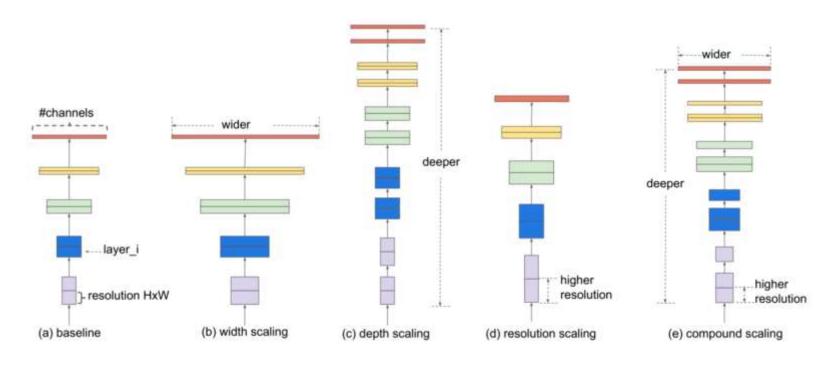
(c)  $1\times 1$  Convolutional Filters called Pointwise Convolution in the context of Depthwise Separable Convolution

#### **Mobile-Net**



- Streamlined architecture that uses depth-wise separable convolutions to build light weight deep neural networks
- Target to mobile and embed devices.
- Depth-wise convolutional filters reduce computation → lightweight model.







- EfficientNet's authors propose a systematic method for model scaling that helps improve accuracy and resource efficiency.
- Previous models are scaled randomly based on three major concepts: depth, width, and resolution → manually tuning
- Scales each dimension with a certain fixed set of scaling coefficients

depth: 
$$d=\alpha^{\phi}$$
 width:  $w=\beta^{\phi}$  resolution:  $r=\gamma^{\phi}$  s.t.  $\alpha\cdot\beta^2\cdot\gamma^2\approx 2$   $\alpha\geq 1, \beta\geq 1, \gamma\geq 1$ 



Table 1. EfficientNet-B0 baseline network – Each row describes a stage i with  $\hat{L}_i$  layers, with input resolution  $\langle \hat{H}_i, \hat{W}_i \rangle$  and output channels  $\hat{C}_i$ . Notations are adopted from equation 2.

Stage i	Operator $\hat{\mathcal{F}}_i$	Resolution $\hat{H}_i \times \hat{W}_i$	#Channels $\hat{C}_i$	$\hat{L}_i$
1	Conv3x3	$224 \times 224$	32	1
2	MBConv1, k3x3	$112 \times 112$	16	1
3	MBConv6, k3x3	$112 \times 112$	24	2
4	MBConv6, k5x5	$56 \times 56$	40	2
5	MBConv6, k3x3	$28 \times 28$	80	3
6	MBConv6, k5x5	$14 \times 14$	112	3
7	MBConv6, k5x5	$14 \times 14$	192	4
8	MBConv6, k3x3	7 × 7	320	1
9	Conv1x1 & Pooling & FC	7 × 7	1280	1



Model	Top-1 Acc.	Top-5 Acc.	#Params	Ratio-to-EfficientNet	#FLOPs	Ratio-to-EfficientNet
EfficientNet-B0	77.1%	93.3%	5.3M	1x	0.39B	1x
ResNet-50 (He et al., 2016)	76.0%	93.0%	26M	4.9x	4.1B	11x
DenseNet-169 (Huang et al., 2017)	76.2%	93.2%	14M	2.6x	3.5B	8.9x
EfficientNet-B1	79.1%	94.4%	7.8M	1x	0.70B	1x
ResNet-152 (He et al., 2016)	77.8%	93.8%	60M	7.6x	11B	16x
DenseNet-264 (Huang et al., 2017)	77.9%	93.9%	34M	4.3x	6.0B	8.6x
Inception-v3 (Szegedy et al., 2016)	78.8%	94.4%	24M	3.0x	5.7B	8.1x
Xception (Chollet, 2017)	79.0%	94.5%	23M	3.0x	8.4B	12x
EfficientNet-B2	80.1%	94.9%	9.2M	1x	1.0B	1x
Inception-v4 (Szegedy et al., 2017)	80.0%	95.0%	48M	5.2x	13B	13x
Inception-resnet-v2 (Szegedy et al., 2017)	80.1%	95.1%	56M	6.1x	13B	13x
EfficientNet-B3	81.6%	95.7%	12M	1x	1.8B	1x
ResNeXt-101 (Xie et al., 2017)	80.9%	95.6%	84M	7.0x	32B	18x
PolyNet (Zhang et al., 2017)	81.3%	95.8%	92M	7.7x	35B	19x
EfficientNet-B4	82.9%	96.4%	19M	1x	4.2B	1x
SENet (Hu et al., 2018)	82.7%	96.2%	146M	7.7x	42B	10x
NASNet-A (Zoph et al., 2018)	82.7%	96.2%	89M	4.7x	24B	5.7x
AmoebaNet-A (Real et al., 2019)	82.8%	96.1%	87M	4.6x	23B	5.5x
PNASNet (Liu et al., 2018)	82.9%	96.2%	86M	4.5x	23B	6.0x
EfficientNet-B5	83.6%	96.7%	30M	1x	9.9B	1x
AmoebaNet-C (Cubuk et al., 2019)	83.5%	96.5%	155M	5.2x	41B	4.1x
EfficientNet-B6	84.0%	96.8%	43M	1x	19B	1x
EfficientNet-B7	84.3%	97.0%	66M	1x	37B	1x
GPipe (Huang et al., 2018)	84.3%	97.0%	557M	8.4x	1.00	

https://arxiv.org/pdf/1905.11946.pdf

#### **Attention Mechanism**



- Attention mechanism
  - Enhance important parts and
  - Fade out non-relevant information
- Simple example in NLP:
  - Self-attention compares every word in a sentence to each other
  - Reweighting the embeddings of each word to include contextual relevance
- E.g.: bank of the river
  - "River" changes the contextual meaning of "bank"
  - If we do not see "river", then misunderstanding

#### **Basic Attention Module**



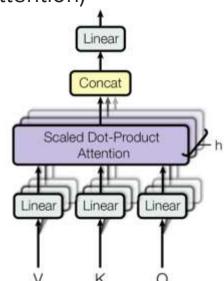
- Basic structure of an attention module
  - Tensor x1 → generates a "key" and a "value"
  - Tensor x2 → generates a "query"
  - Output is the weighted sum of "value"
  - Weights are computed by compatibility function of "query" and the corresponding "key"

Attention
$$(Q, K, V) = \operatorname{softmax}(\frac{QK^T}{\sqrt{d_k}})V$$

#### **Multi-head Attention**



- Multi-head attention:
  - runs an attention module several times and concatenate outputs.
  - o jointly attend to information from different representation subspaces at different positions (not able for single-head attention)



https://paperswithcode.com/method/multi-head-attention

#### **Convolutional Block Attention**



- Convolutional Block Attention: emphasizes meaningful features along
  - the channel and
  - spatial axes
- Applicable at every convolutional block

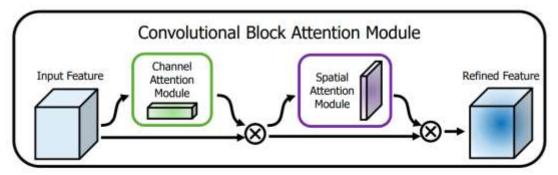


Fig. 1: **The overview of CBAM**. The module has two sequential sub-modules: channel and spatial. The intermediate feature map is adaptively refined through our module (CBAM) at every convolutional block of deep networks.

#### **Convolutional Block Attention**



- Channel Attention Module (CAM):
  - input tensor → 2 vectors (c × 1 × 1) (Global Average Pooling and Global Max Pooling)
  - output → a fully connected layer + ReLU

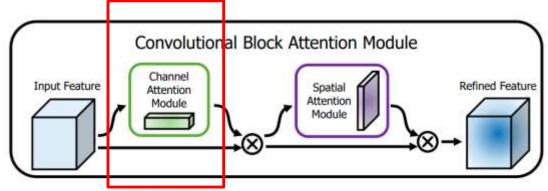


Fig. 1: **The overview of CBAM**. The module has two sequential sub-modules: channel and spatial. The intermediate feature map is adaptively refined through our module (CBAM) at every convolutional block of deep networks.

#### **Convolutional Block Attention**



- Spatial Attention Module (SAM):
  - Channel Pool: apply Max Pooling and Average Pooling across the channels
    - $(c \times h \times w) \rightarrow (2 \times h \times w).$
  - $\circ$  Conv. layer + BatchNorm + ReLU: (1 × h × w)
  - Sigmoid Activation layer

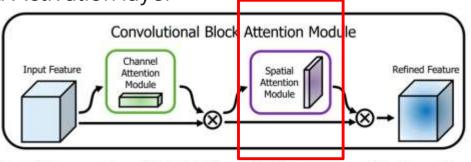


Fig. 1: The overview of CBAM. The module has two sequential sub-modules: channel and spatial. The intermediate feature map is adaptively refined through our module (CBAM) at every convolutional block of deep networks. https://arxiv.org/pdf/1807.06521.pdf

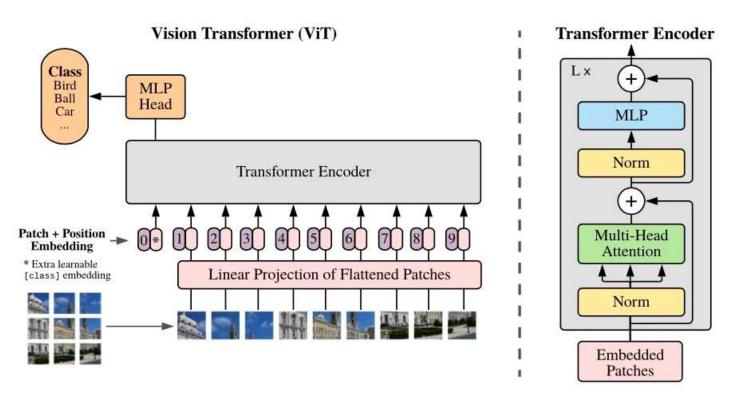
#### **Vision Transformer**



- Vision Transformer is a recent advanced technique without reliance on convolutional neural networks.
  - Empirical results surpass CNNs'
  - Lower computational cost
- Main idea:
  - Divide images into patches encoded with position information
  - Handle encodings using attention mechanism
  - Classify output tensors using MLP.

### **Vision Transformer**





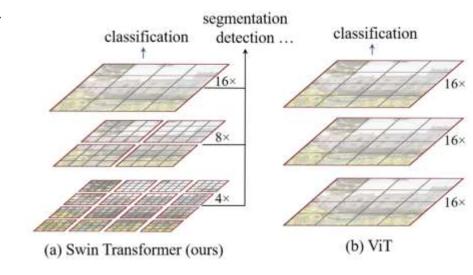
#### **Procedure of Vision Transformer**



- Divide the input image into patches of a fixed size
- Flatten patches
- Generate feature embeddings with lower dimensionality from patches
- Attach order (position) of patches in feature embeddings
- Feed embeddings to a transformer encoder
- Train and evaluate the model in the whole dataset
- Tune model hyperparameters regarding to individual problems/cases



- Image resolution is a challenge of ViT, which is different from texts.
- Swin transformer is a hierarchical transformer whose representation is computed with Shifted windows.
- Shifted window based self-attention → reduce computational cost.



https://arxiv.org/pdf/2103.14030.pdf



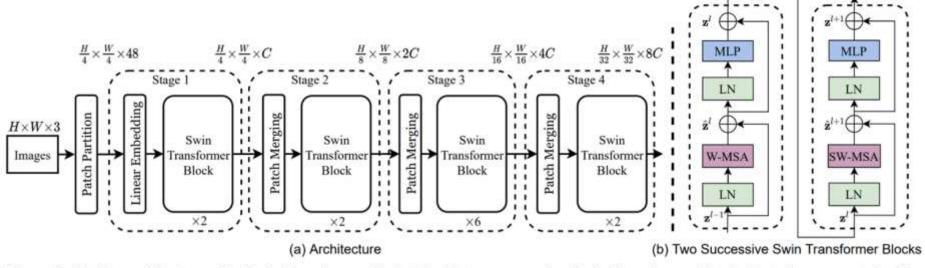


Figure 3. (a) The architecture of a Swin Transformer (Swin-T); (b) two successive Swin Transformer Blocks (notation presented with Eq. (3)). W-MSA and SW-MSA are multi-head self attention modules with regular and shifted windowing configurations, respectively.



- Images → non-overlapping patches
- Linear projection(patches) → features
- Swin transformer blocks
- Patch merging (neighbor patches of each group 2 x 2)
  - → patch size increases after each "stage"



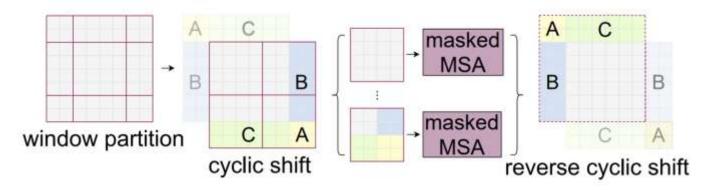


Figure 4. Illustration of an efficient batch computation approach for self-attention in shifted window partitioning.



- Shift the windows cyclically → windows with small size at borders and corners.
- Repeat the image periodically instead of zero-padding.
- Padded regions" are handled using masks → limit self-attention computation to within non-adjacent sub-windows.

