```
GIVE-LINES(p, j)
i \leftarrow p[j]
if i = 1
then k \leftarrow 1
else k \leftarrow \text{GIVE-LINES}(p, i - 1) + 1
print (k, i, j)
return k
```

The initial call is GIVE-LINES (p, n). Since the value of j decreases in each recursive call, GIVE-LINES takes a total of O(n) time.

## **Solution to Problem 15-3**

**a.** Dynamic programming is the ticket. This problem is slightly similar to the longest-common-subsequence problem. In fact, we'll define the notational conveniences  $X_i$  and  $Y_j$  in the similar manner as we did for the LCS problem:  $X_i = x[1..i]$  and  $Y_j = y[1..j]$ .

Our subproblems will be determining an optimal sequence of operations that converts  $X_i$  to  $Y_j$ , for  $0 \le i \le m$  and  $0 \le j \le n$ . We'll call this the " $X_i \to Y_j$  problem." The original problem is the  $X_m \to Y_n$  problem.

Let's suppose for the moment that we know what was the last operation used to convert  $X_i$  to  $Y_j$ . There are six possibilities. We denote by c[i, j] the cost of an optimal solution to the  $X_i \to Y_j$  problem.

- If the last operation was a copy, then we must have had x[i] = y[j]. The subproblem that remains is converting  $X_{i-1}$  to  $Y_{j-1}$ . And an optimal solution to the  $X_i \to Y_j$  problem must include an optimal solution to the  $X_{i-1} \to Y_{j-1}$  problem. The cut-and-paste argument applies. Thus, assuming that the last operation was a copy, we have  $c[i, j] = c[i-1, j-1] + \cos(copy)$ .
- If it was a replace, then we must have had  $x[i] \neq y[j]$ . (Here, we assume that we cannot replace a character with itself. It is a straightforward modification if we allow replacement of a character with itself.) We have the same optimal substructure argument as for copy, and assuming that the last operation was a replace, we have  $c[i, j] = c[i 1, j 1] + \cos(\text{replace})$ .
- If it was a twiddle, then we must have had x[i] = y[j-1] and x[i-1] = y[j], along with the implicit assumption that  $i, j \ge 2$ . Now our subproblem is  $X_{i-2} \to Y_{j-2}$  and, assuming that the last operation was a twiddle, we have c[i, j] = c[i-2, j-2] + cost(twiddle).
- If it was a delete, then we have no restrictions on x or y. Since we can view delete as removing a character from  $X_i$  and leaving  $Y_j$  alone, our subproblem is  $X_{i-1} \to Y_j$ . Assuming that the last operation was a delete, we have c[i, j] = c[i-1, j] + cost(delete).
- If it was an insert, then we have no restrictions on x or y. Our subproblem is  $X_i \to Y_{j-1}$ . Assuming that the last operation was an insert, we have  $c[i, j] = c[i, j-1] + \cos(insert)$ .

• If it was a kill, then we had to have completed converting  $X_m$  to  $Y_n$ , so that the current problem must be the  $X_m o Y_n$  problem. In other words, we must have i = m and j = n. If we think of a kill as a multiple delete, we can get any  $X_i o Y_n$ , where  $0 \le i < m$ , as a subproblem. We pick the best one, and so assuming that the last operation was a kill, we have

$$c[m, n] = \min_{0 \le i < m} \{c[i, n]\} + \operatorname{cost}(\text{kill}) .$$

We have not handled the base cases, in which i = 0 or j = 0. These are easy.  $X_0$  and  $Y_0$  are the empty strings. We convert an empty string into  $Y_j$  by a sequence of j inserts, so that  $c[0, j] = j \cdot \cos(\text{insert})$ . Similarly, we convert  $X_i$  into  $Y_0$  by a sequence of i deletes, so that  $c[i, 0] = i \cdot \cos(\text{delete})$ . When i = j = 0, either formula gives us c[0, 0] = 0, which makes sense, since there's no cost to convert the empty string to the empty string.

For i, j > 0, our recursive formulation for c[i, j] applies the above formulas in the situations in which they hold:

$$c[i,j] = \min \begin{cases} c[i-1,j-1] + \cos(\text{copy}) & \text{if } x[i] = y[j] \,, \\ c[i-1,j-1] + \cos(\text{replace}) & \text{if } x[i] \neq y[j] \,, \\ c[i-2,j-2] + \cos(\text{twiddle}) & \text{if } i,j \geq 2, \\ x[i] = y[j-1], & \text{and } x[i-1] = y[j] \,, \\ c[i-1,j] + \cos(\text{delete}) & \text{always} \,, \\ c[i,j] = c[i,j-1] + \cos(\text{insert}) & \text{always} \,, \\ \min_{0 \leq i < m} \{c[i,n]\} + \cos(\text{kill}) & \text{if } i = m \text{ and } j = n \,. \end{cases}$$

Like we did for LCS, our pseudocode fills in the table in row-major order, i.e., row-by-row from top to bottom, and left to right within each row. Column-major order (column-by-column from left to right, and top to bottom within each column) would also work. Along with the c[i, j] table, we fill in the table op[i, j], holding which operation was used.

```
EDIT-DISTANCE (x, y, m, n)
for i \leftarrow 0 to m
     do c[i, 0] \leftarrow i \cdot \text{cost(delete)}
         op[i, 0] \leftarrow \text{DELETE}
for j \leftarrow 0 to n
     do c[0, j] \leftarrow j \cdot \text{cost(insert)}
         op[0, j] \leftarrow INSERT
for i \leftarrow 1 to m
     do for j \leftarrow 1 to n
              do c[i, j] \leftarrow \infty
                  if x[i] = y[j]
                     then c[i, j] \leftarrow c[i-1, j-1] + cost(copy)
                            op[i, j] \leftarrow COPY
                  if x[i] \neq y[j] and c[i-1, j-1] + cost(replace) < c[i, j]
                     then c[i, j] \leftarrow c[i-1, j-1] + cost(replace)
                            op[i, j] \leftarrow REPLACE(by y[j])
                  if i \ge 2 and j \ge 2 and x[i] = y[j-1] and
                              x[i-1] = y[j] and
                              c[i-2, j-2] + cost(twiddle) < c[i, j]
                     then c[i, j] \leftarrow c[i-2, j-2] + \operatorname{cost}(\text{twiddle})
                            op[i, j] \leftarrow TWIDDLE
                   if c[i-1, j] + cost(delete) < c[i, j]
                     then c[i, j] \leftarrow c[i-1, j] + cost(delete)
                            op[i, j] \leftarrow \text{DELETE}
                  if c[i, j-1] + cost(insert) < c[i, j]
                     then c[i, j] \leftarrow c[i, j-1] + \text{cost(insert)}
                            op[i, j] \leftarrow INSERT(y[j])
for i \leftarrow 0 to m-1
     do if c[i, n] + cost(kill) < c[m, n]
            then c[m, n] \leftarrow c[i, n] + \operatorname{cost}(\text{kill})
                   op[m, n] \leftarrow KILL i
return c and op
```

The time and space are both  $\Theta(mn)$ . If we store a KILL operation in op[m, n], we also include the index i after which we killed, to help us reconstruct the optimal sequence of operations. (We don't need to store y[i] in the op table for replace or insert operations.)

To reconstruct this sequence, we use the op table returned by EDIT-DISTANCE. The procedure OP-SEQUENCE(op, i, j) reconstructs the optimal operation sequence that we found to transform  $X_i$  into  $Y_j$ . The base case is when i = j = 0. The first call is OP-SEQUENCE(op, m, n).

```
OP-SEQUENCE (op, i, j)
if i = 0 and j = 0
  then return
if op[i, j] = COPY \text{ or } op[i, j] = REPLACE
  then i' \leftarrow i - 1
         j' \leftarrow j - 1
elseif op[i, j] = TWIDDLE
  then i' \leftarrow i - 2
         j' \leftarrow j - 2
elseif op[i, j] = DELETE
  then i' \leftarrow i - 1
         j' \leftarrow j
elseif op[i, j] = INSERT
                               ▷ Don't care yet what character is inserted.
  then i' \leftarrow i
        j' \leftarrow j - 1
else
                 \triangleright Must be KILL, and must have i = m and j = n.
        let op[i, j] = KILLk
        i' \leftarrow k
         j' \leftarrow j
OP-SEQUENCE(op, i', j')
print op[i, j]
```

This procedure determines which subproblem we used, recurses on it, and then prints its own last operation.

b. The DNA-alignment problem is just the edit-distance problem, with

```
cost(copy) = -1,

cost(replace) = +1,

cost(delete) = +2,

cost(insert) = +2,
```

and the twiddle and kill operations are not permitted.

The score that we are trying to maximize in the DNA-alignment problem is precisely the negative of the cost we are trying to minimize in the edit-distance problem. The negative cost of copy is not an impediment, since we can only apply the copy operation when the characters are equal.

## **Solution to Problem 15-6**

Denote each square by the pair (i, j), where i is the row number, j is the column number, and  $1 \le i, j \le n$ . Our goal is to find a most profitable way from any square in row 1 to any square in row n. Once we do so, we can look up all the most profitable ways to get to any square in row n and pick the best one.

A subproblem is the most profitable way to get from some square in row 1 to a particular square (i, j). We have optimal substructure as follows. Consider a subproblem for (i, j), where i > 1, and consider the most profitable way to (i, j).