

# Heap and Priority Queues

College of Computer Science, CQU

#### **Priority Queues**

- There are many situations, where we wish to choose the next "most important" from a collection of people, tasks, or objects.
- When a collection of objects is organized by importance or priority, we call this a priority queue.
- A normal queue data structure will not implement a priority queue efficiently because search for the element with highest priority will take  $\Theta(n)$  time.

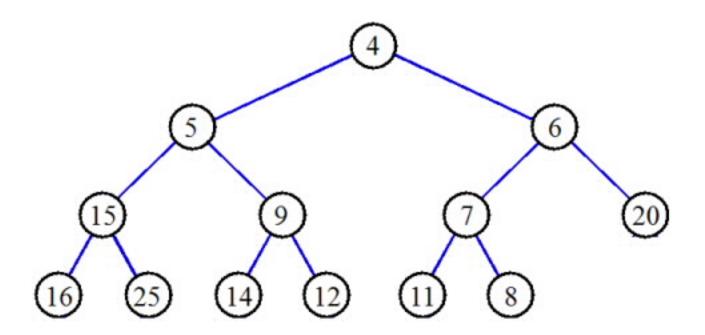
#### **Heaps**

- A heap is a data structure that defined by two properties:
- 1. it is a complete binary tree
  - its height is guaranteed to be the minimum possible. In particular, a heap containing n nodes will have a height of log₂n +1
- the values stored in a heap are partially ordered. This means that there is a relationship between the value stored at any node and the values of its children.
- There are two variants of the heap, depending on the definition of this relationship:
  - MinHeap: key(parent) key(child)
  - 2. MaxHeap: key(parent) >= key(child)]
- Note: there is no necessary relationship between the value of a node and that of its sibling in either the min-heap or the max-heap.



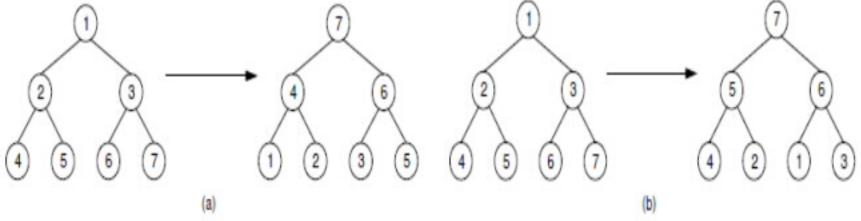
#### **Heap: Example**

#### Minheap



## Building a heap (a faster way)

all n values are available at the beginning of the building process.



- (a) This heap is built by a series of nine exchanges in the order (4-2), (4-1), (2-1), (5-2), (5-4), (6-3), (6-5), (7-5), (7-6).
- (b) This heap is built by a series of four exchanges in the order(5-2), (7-3), (7-1), (6-1).

different heaps

different arrangement

How do we pick the best rearrangement?

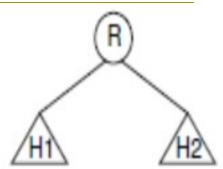


#### A good arrangement algorithm(call siftdown())

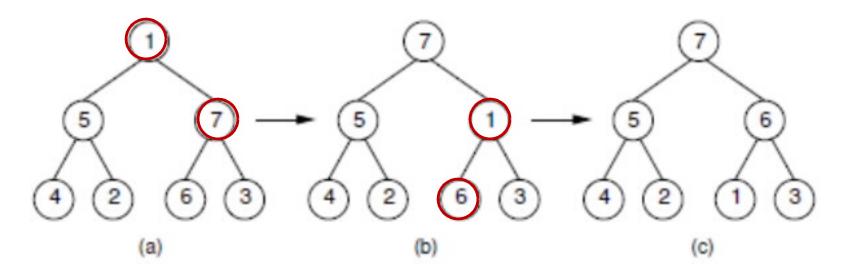
Suppose that the left and right subtrees of the root are already heaps, and R is the name of the element at the root.

In this case there are two possibilities.

- (1) Value(R) ≥ Value(children) :construction is complete.
- (2) Value(R) <one or both of Value(children): R should be exchanged with the child that has greater value.
  - The result will be a heap, except that R might still be less than one or both of its (new) children.
  - In this case, we simply continue the process of "pushing down" R until it reaches a level where it is greater than its children, or is a leaf node. This process is implemented by the private method siftdown(next slide).



#### Siftdown operation



The subtrees of the root are assumed to be heaps.

- (a) The partially completed heap.
- (b) Values 1 and 7 are swapped.
- (c) Values 1 and 6 are swapped to form the final heap.

#### siftdown ()

```
// Helper function to put element in its correct place
void siftdown(int pos) {
  while (!isLeaf(pos)) { // Stop if pos is a leaf
    int j = leftchild(pos); int rc = rightchild(pos);
    if ((rc < n) && Comp::prior(Heap[rc], Heap[j]))</pre>
                         // Set j to greater child's value
      j = rc;
    if (Comp::prior(Heap[pos], Heap[j])) return; // Done
    swap (Heap, pos, j);
                          // Move down
    pos = j;
```

#### The cost of buildHeap

- Cost(buildheap)=is the sum of all cost(siftdown)
- Each siftdown operation can cost at most the number of levels it takes for the node being sifted to reach the bottom of the tree.
- So, this algorithm takes ⊕(n) time in the worst case.

#### **Heap: Class**

```
// Heap class
template <typename E, typename Comp> class heap {
private:
 E* Heap; // Pointer to the heap array
 int maxsize; // Maximum size of the heap
                     // Number of elements now in the heap
 int n;
  // Helper function to put element in its correct place
 void siftdown(int pos) {
   while (!isLeaf(pos)) { // Stop if pos is a leaf
     int j = leftchild(pos); int rc = rightchild(pos);
     if ((rc < n) && Comp::prior(Heap[rc], Heap[j]))
                         // Set j to greater child's value
       j = rc;
     if (Comp::prior(Heap[pos], Heap[j])) return; // Done
     swap(Heap, pos, j);
     pos = j;
                         // Move down
```

#### **Heap: Class**

```
public:
 heap (E* h, int num, int max) // Constructor
    { Heap = h; n = num; maxsize = max; buildHeap(); }
  int size() const // Return current heap size
   { return n; }
 bool isLeaf(int pos) const // True if pos is a leaf
    { return (pos >= n/2) && (pos < n); }
  int leftchild(int pos) const
    { return 2*pos + 1; } // Return leftchild position
  int rightchild(int pos) const
    { return 2*pos + 2; } // Return rightchild position
  int parent(int pos) const // Return parent position
    { return (pos-1)/2; }
 void buildHeap() // Heapify contents of Heap
    { for (int i=n/2-1; i>=0; i--) siftdown(i); }
```

### Building a heap (call insert())

insert the elements one at a time.

- Each call to insert takes  $\Theta(\log n)$  time in the worst case, because the value being inserted can move at most the distance from the bottom of the tree to the top of the tree.
- Thus, to insert n values into the heap, if we insert them one at a time, will take  $\Theta(n \log n)$  time in the worst case.

#### Heap removal

- Removing the maximum (root) value from a heap containing n elements requires
  - maintain the complete binary tree shape,
    - by moving the element in the last position in the heap (the current last element in the array) to the root position.
  - the remaining n-1 node values conform to the heap property.
    - If the new root value is not the maximum value in the new heap, use siftdown to reorder the heap.
- the cost of deleting the maximum element is  $\Theta(\log n)$  in the average and worst cases, since the heap is log n levels deep,

#### removefirst()& remove()

```
// Remove first value
E removefirst() {
 Assert (n > 0, "Heap is empty");
 swap(Heap, 0, --n); // Swap first with last value
 if (n != 0) siftdown(0); // Siftdown new root val
 return Heap[n];
                         // Return deleted value
// Remove and return element at specified position
E remove (int pos) (
 Assert ((pos >= 0) && (pos < n), "Bad position");
 if (pos == (n-1)) n--; // Last element, no work to do
 alsa
   swap(Heap, pos, --n);  // Swap with last value
   while ((pos != 0) &&
          (Comp::prior(Heap[pos], Heap[parent(pos)]))) {
     swap(Heap, pos, parent(pos)); // Push up large key
     pos = parent(pos);
   if (n != 0) siftdown(pos); // Push down small key
 return Heap[n];
```