



**DigiFIN**  
Embracing future

**LION GLOBAL FINANCIAL LIMITED**

SFC CE No. AEO 169  
Wholly owned by DigiFIN (Hong Kong) Limited

## Confirmation of Treatment as an Professional Investor

### 被視為專業投資者身份的確證書

Date 日期:

Customer Name 客戶姓名:

#### DECLARATION 聲明

- ☐ I / We hereby declare that I / we agree to be treated as Professional Investor of that type of relevant product and have read and understood the risk and consequence of being treated as professional investor(s).

本人/我們並茲聲明本人/我們同意被視為該相關投資產品種類的專業投資者及本人/我們已閱讀及明白成為專業投資者的風險及結果。

- ☐ I am / We are aware of the risks involved in trading in the relevant investment products and markets.

本人/我們瞭解在相關投資產品及市場上進行交易所涉及的風險。

- ☐ I / We hereby confirm that I / we have sufficient knowledge and expertise in relevant products and markets and able to bear the risk involved, I / we have the ability to study and have already studied on the relevant products, including the documents of the investment products which have not been approved by Securities and Futures Commission, namely "unauthorized products", and which includes relative risks disclosure and trading limitation, and can fully understand the investment targets, investment tactics and potential risks.

本人/我們茲聲明確認對有關產品及市場擁有足夠認識，投資經驗與及承擔險之能力，本人/我們有能力且已詳細審閱有關投資產品包含未經香港證監會認可之投資產品（以下簡稱「非認可投資產品」）的銷售文件，包括相關之風險揭露與銷售限制，並可充分瞭解非認可投資產品的投資目標與投資策略，及投資策略可能隱含之風險。

- ☐ I/We confirm that I/we understand the period for redeeming the principal of unauthorized investment products will be much longer than the traditional products, so I/We must consider carefully about my/our cashflow before investing on these unauthorized investment products.

本人/我們確實瞭解非認可投資產品贖回款項所需要的時間可能比傳統型產品長得多，故已於進行投資非認可投資產品之前仔細考慮本身資金周轉需要。

- ☐ I/We acknowledge and agree that Lion Global Financial is not required to provide us with contract notes, statements of accounts or receipt in accordance to the Securities and Futures (Contract Notes, Statements of Accounts and Receipts) Rules.

本人/我們知道並同意獅瀚環球金融不需要為本人/我們提供根據證券及期貨（成交單據、戶口結單及收據）規則發出的成交單據、戶口結單及收據。

- ☐ I/We agree that if there is any change of status or circumstance which may render any declarations or information indicated above, I/we will inform Lion Global Financial



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immediately and will update such information, complete such forms or provide such documents as Lion Global Financial may reasonably require.

本人/我們同意若上述的任何聲明或資料有任何更改，本人/我們將會立即通知獅瀚環球金融及更新相關資料並提供獅瀚環球金融合理要求的文件。

- ☐ I / We understand that we can withdraw this declaration by providing a written request to Lion Global Financial at least 14 business days beforehand, and it will be effective one business day after Lion Global Financial issue a withdrawal confirmation. Lion Global Financial will not treat me / us as Professional Investor after the withdrawal request is effective. I / we also agree that PSHK will carry out a confirmation exercise annually to ensure that I / we continue to fulfil the requisite asset or portfolio requirements in Securities and Futures (Professional Investor) Rule.

本人/我們瞭解本人/我們可以隨時撤回同意被視為專業投資者之聲明，客戶需在 14 個工作天前書面提出，在獅瀚環球金融發出撤回確認書後的一個工作天後生效。若本人/我們撤回生效後，獅瀚環球金融不應再視本人/我們為專業投資者。同時，獅瀚環球金融可每年進行一次確認，從而確保本人/我們繼續符合《證券及期貨(專業投資者)規則》所界定的有關規定。

- ☐ I/We acknowledge that the Client Agreement including the Risk Disclosure Statements in Point 22 attached were provided in a language English and Chinese. I /We further acknowledge that I /We was invited to read the risk disclosure statements, ask questions and take independent advice, if I wished.

本人確認獅瀚環球金融提供英文和中文客戶協議包括第 22 點的風險披露聲明，我進一步確認獅瀚環球金融已經邀請我閱讀風險披露聲明，提出問題及徵求獨立的意見（如我有此意願）。

- ☐ I / We confirm that the contents of this declaration and agree that the information in this declaration is true and complete.

本人/我們確實了解以上聲明之內容，並承諾本聲明書之內容均為真實及完整。

Signature by the Client 客戶簽署	Printed Name 姓名
	Account No. 客戶號碼
	Date 日期