# Handwriting-to-Personality Prediction Model Using a CNN

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#### **Abstract**

In this project, we use a convolutional neural network (CNN) to predict one of the five OCEAN personality traits (Agreeableness, Conscientiousness, Extraversion, Neuroticism, or Openness) based on handwriting images. Our study uses 3,432 labeled samples, each augmented with small rotations, translations, and brightness variations to increase diversity. To avoid data leakage, we split writers into an 80% training set and a 20% test set so that no writer's samples appear in both. We implement a custom three-block custom CNN alongside transfer-learning models (ResNet18, ResNet34, ResNet50), freezing all pretrained layers except the final classification layer. The best result was achieved by ResNet50 with 48.64% accuracy, slightly outperforming our custom CNN (44.85%). These results demonstrate that both custom and pretrained CNNs capture some handwriting cues related to personality, though overall performance remains limited by dataset size and label variability.

## I. Introduction

In recent years, researchers have been interested in using handwriting to understand personality traits. Instead of looking at things like slant or pressure by hand, deep learning models, especially convolutional neural networks (CNNs), can now find patterns directly from handwriting images. In our project, we build on this idea by comparing a lightweight custom CNN with deeper, pre-trained ResNet variants, we investigate how model capacity interacts with the handwriting data. We aim to test how well the model can predict personality traits and whether including pre-trained ResNet variants helps improve its accuracy.

## II. Related Work

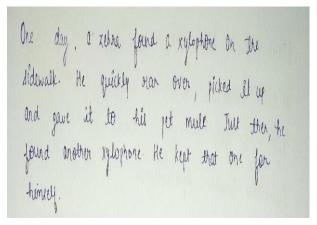


Figure 1. Input example

Earlier handwriting analysis used simple machine learning models like decision trees or support vector machines. These models needed people to manually choose features like how slanted the writing is or how hard the pen was pressed. They worked moderately well but weren't very accurate. More recent work uses deep learning, especially convolutional neural networks (CNNs), to look at handwriting images directly. These models can learn useful patterns by themselves and usually perform better.

More recent approaches apply CNNs directly to scanned handwriting, sometimes augmenting input channels with edge or gradient information. Also, transfer learning from large image datasets has also shown promise when labeled handwriting samples are scarce. In this project, we explore this transfer learning by fine-tuning the final layer of pre-trained ResNet18, ResNet34, and ResNet50 models, comparing it to the original CNN model.

## III. Method

Dataset	Agreeableness	Conscientiousness	Extraversion	Neuroticism	Openness
Augmented Train	720	648	576	864	608
Augmented Test	137	131	112	136	144

Table 1. Augmented Dataset Sample Counts by Personality Trait

In this work, the dataset for this study was sourced from a public Kaggle competition on handwriting-based personality detection, comprising 3,432 images labeled with one of the five OCEAN traits. We randomly split the data into an 80 % training set (2,745 images) and a 20 % validation set (687 images).

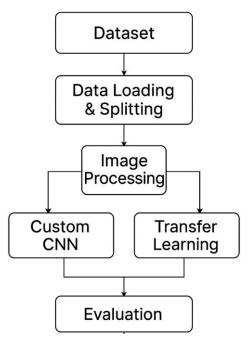


Figure 2. CNN and Transfer Learning Pipeline Flowchart

#### 3.1 First Step

First, each image was resized to  $224 \times 224$  pixels to match the input dimensions required by our CNN. The images were then converted to tensors and normalized using channel-wise means [0.485, 0.456, 0.406] and standard deviations [0.229, 0.224, 0.225], which are standard values from the ImageNet dataset. These preprocessing steps ensured consistent input scaling and facilitated effective training of the model.

## 3.2 Second Step

Following preprocessing, we trained the model using the

cross-entropy loss function and the Adam optimizer with a fixed learning rate of 0.0005. Training was conducted in minibatches of 32. For transfer learning, we adopted a pre-trained CNN, replacing its final fully connected layer with a new layer for five-way classification while keeping the remaining layers frozen.

## 3.3 Third Step<sup>1</sup>

We evaluated both a custom CNN and several transfer learning models. Our custom CNN consists of two convolutional blocks (Conv → GELU → BatchNorm → MaxPool) with increasing channel depths of 32 and 64, followed by two fully connected layers: a hidden layer with 64 units and a final five-unit softmax output for classification. This simplified architecture was deliberately chosen to reduce the risk of overfitting given the limited dataset size.

For transfer learning, we employed ResNet18, ResNet34, and ResNet50 architectures pre-trained on ImageNet. In each case, we replaced the final fully connected layer with a new five-unit classifier to match our personality trait classes. All other layers remained frozen to retain the pretrained features.

#### 3.4 Last Step

Lastly, for evaluation, we measured the test loss, overall accuracy, and per-class precision, recall, and F1-score. In addition, we plotted the training and validation loss curves to monitor learning progress and computed a confusion matrix to visualize misclassification patterns across the five personality traits.

IV. Result

Model	Test Accuracy		
Custom CNN	44.85%		
ResNet18	39.55%		
ResNet34	41.97%		
ResNet50	48.64%		

Table 2. Model Comparison Based on Accuracy

 $<sup>1 \\</sup> Code and Models are available at https://github.com/douyoung89/COSE471-02-Final-Project and Models are available at https://github.$ 

## **4.1 Custom CNN Performance**

Test Accuracy: 0.4485						
,	precision	recall	f1-score	support		
Agreeableness	0.24	0.11	0.15	137		
Conscientiousness	0.67	0.27	0.39	131		
Extraversion	0.83	0.91	0.87	112		
Neuroticism	0.32	0.82	0.46	136		
0penness	0.41	0.22	0.29	144		
accuracy			0.45	660		
macro avo		0.47	0.43	660		
weighted avo		0.45	0.41	660		

Figure 3. Classification Result for the Custom CNN Model

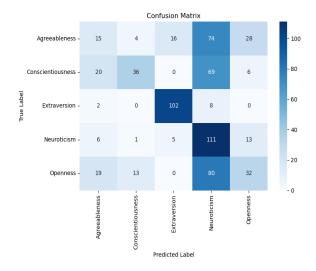


Figure 4. Classification Result for the Custom CNN Model

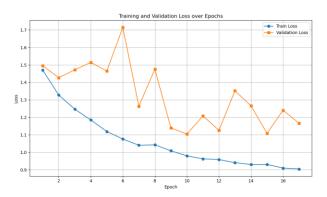


Figure 5. Classification Result for the Custom CNN Model

The custom CNN achieved a test accuracy of 44.85%, with the highest F1-score on Extraversion (0.87), followed by Neuroticism (0.46) and Conscientiousness (0.39). Performance on Openness (0.29) and Agreeableness (0.15) was notably lower. This suggests that basic CNN filters can capture distinct features for Extraversion and Conscientiousness—perhaps due to more consistent handwriting patterns for those traits—while traits like Agreeableness and Openness remain

harder to detect, possibly due to more subtle or inconsistent visual cues.

As shown in Figure 5, the model was trained up to 50 epochs and early stopped at epoch 17. The training loss decreased steadily, while the validation loss fluctuated, indicating potential overfitting and less stable generalization.

#### 4.2 ResNet18 Results

Test Accuracy: 0.3955					
	precision	recall	f1-score	support	
				407	
Agreeableness	0.35	0.16	0.22	137	
Conscientiousness	0.45	0.54	0.49	131	
Extraversion	0.66	0.54	0.59	112	
Neuroticism	0.24	0.26	0.25	136	
0penness	0.36	0.50	0.42	<u>144</u>	
accuracy			0.40	660	
macro avg	0.41	0.40	0.39	660	
weighted avg	0.40	0.40	0.39	660	

Figure 6. Classification Result for ResNet18

ResNet18 records 39.55% accuracy. And the model performed best on Extraversion (0.56), followed by Conscientiousness (0.49), Openness (0.42), and Neuroticism (0.25), while performance on Agreeableness was the weakest with an F1-score of 0.22. Despite having pretrained convolutional filters from ImageNet, its comparatively shallow depth limits its ability to refine representations for handwriting-specific features. The slight drop relative to the custom CNN highlights that generic image filters alone do not guarantee better handwriting trait discrimination.

## 4.3 ResNet34 Results

Test Accuracy: 0.4197						
	precision	recall	f1-score	support		
Agreeableness	0.41	0.42	0.42	137		
Conscientiousness	0.40	0.64	0.49	131		
Extraversion	0.78	0.50	0.61	112		
Neuroticism	0.23	0.22	0.23	136		
0penness	0.46	0.34	0.39	144		
accuracy			0.42	660		
macro avg	0.46	0.43	0.43	660		
weighted avg	0.44	0.42	0.42	660		

Figure 7. Classification Result for ResNet34

With increased depth, ResNet34 attains 41.97% accuracy. And the model showed the best performance on Extraversion (0.61), followed by Conscientiousness (0.49), Agreeableness (0.42), and Openness (0.39), while Neuroticism remained the most difficult to predict with an F1-score of 0.23. The additional layers enable learning more complex feature hierarchies, but improvements are marginal, implying that data volume, rather than model capacity, is the primary bottleneck.

#### 4.4 ResNet50 Results

Test Accuracy: 0.4864						
	precision	recall	f1-score	support		
Agreeableness	0.55	0.64	0.59	137		
Conscientiousness	0.33	0.78	0.39	137		
Extraversion	0.94	0.78	0.85	112		
Neuroticism	0.18	0.04	0.06	136		
0penness	0.57	0.28	0.37	144		
accuracy			0.49	660		
macro avg	0.51	0.50	0.47	660		
weighted avg	0.50	0.49	0.45	660		

Figure 8. Classification Result for ResNet50

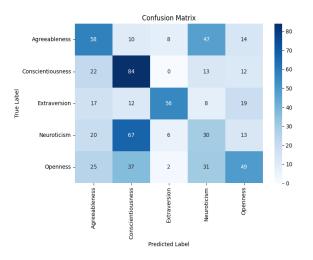


Figure 9. Confusion Matrix for ResNet34

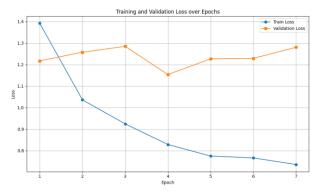


Figure 10. Loss Graph for ResNet34

Among all models, ResNet50 achieves the highest accuracy at 48.64%, slightly outperforming the custom CNN (44.85%). And the model achieved the highest F1-score on Extraversion (0.85), followed by Agreeableness (0.59), Conscientiousness (0.46), and Openness (0.37), while Neuroticism remained the weakest with an F1-score of 0.06. This was the best result among ResNet method. Although this model slightly outperforms shallower variants, the gains are modest. The fact that only the final layer was tuned may limit ResNet50's potential, but fully fine-tuning risks overfitting

given the dataset size.

Figure 10 presents the loss curve for the ResNet34 model, which stopped early at epoch 7. While the training loss decreased rapidly, the validation loss rise after over epochs, suggesting early signs of overfitting.

## V. Conclusion and Limitations

Our dataset, though augmented, remains limited in both quantity and diversity. Each writer is associated with only five trait labels, which may oversimplify the continuous nature of personality. Augmentation cannot substitute for genuine variability in handwriting styles, and label quality remains a concern due to the subjectivity of self-reports and categorical reduction of nuanced traits.

Model-wise, our custom CNN captured only coarse stroke and texture patterns, achieving modest performance with a test accuracy of 44.85%. While it performed reasonably well on traits like Extraversion, it struggled with traits such as Agreeableness and Openness. ResNet50, the deepest model tested, slightly outperformed the CNN, but the improvement was limited. This suggests that pretrained deep models may offer better generalization, though freezing all layers except the final one likely constrained their adaptability to handwriting-specific features. Conversely, fully fine-tuning such models could lead to overfitting given the limited dataset size.

A broader issue is the inherent difficulty of the task: the scientific relationship between handwriting and personality traits remains unclear. It is possible that CNNs cannot consistently learn such patterns if they do not strongly exist.

Future improvements will require collecting larger, more diverse, and higher-quality handwriting datasets, ideally with richer annotations and additional modalities such as time-based dynamics from digital writing tools.

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