

Exercise Sheet 10

Handout: November 11th — **Deadline:** November 25th before 4pm

Question 10.1 (0.5 Marks)

Insert the keys 8, 2, 1, 3, 6, 10, 9 in this order into an empty AVL tree. Draw the tree constructed after each insertion and after each (double-)rotation (cf. the example in the lecture notes). Write down the balance degree for each node next to the node as shown in the lecture notes.

$8(0) \xrightarrow{\text{--insert } 2} \begin{array}{c} 8(1) \\ / \\ 2(0) \end{array} \xrightarrow{\text{--insert } 1} \begin{array}{c} 8(2) \\ / \\ 2(1) \\ / \\ 1(0) \end{array} \xrightarrow{\text{--R}} \begin{array}{cc} 2(0) & \\ / & \backslash \\ 1(0) & 8(0) \end{array}$

$\begin{array}{c} 2(-1) \\ / \quad \backslash \\ 1(0) \quad 8(1) \end{array} \xrightarrow{\text{--insert } 3} \begin{array}{c} 2(-1) \\ / \quad \backslash \\ 1(0) \quad 8(1) \\ / \\ 3(0) \end{array} \xrightarrow{\text{--insert } 6} \begin{array}{c} 2(-2) \\ / \quad \backslash \\ 1(0) \quad 8(2) \\ / \\ 3(-1) \\ \backslash \\ 6(0) \end{array}$

$\begin{array}{c} 2(-1) \\ / \quad \backslash \\ 1(0) \quad 6(0) \end{array} \xrightarrow{\text{--LR}} \begin{array}{c} 2(-1) \\ / \quad \backslash \\ 1(0) \quad 6(0) \\ / \quad \backslash \\ 3(0) \quad 8(0) \end{array} \xrightarrow{\text{--insert } 10} \begin{array}{c} 2(-2) \\ / \quad \backslash \\ 1(0) \quad 6(-1) \\ / \quad \backslash \\ 3(0) \quad 8(-1) \\ \backslash \\ 10(0) \end{array}$

$\begin{array}{c} 6(0) \\ / \quad \backslash \\ 2(0) \quad 8(-1) \end{array} \xrightarrow{\text{--R}} \begin{array}{c} 6(0) \\ / \quad \backslash \\ 2(0) \quad 8(-1) \\ / \quad \backslash \quad \backslash \\ 1(0) \quad 3(0) \quad 10(0) \end{array} \xrightarrow{\text{--insert } 9} \begin{array}{c} 6(-1) \\ / \quad \backslash \\ 2(0) \quad 8(-2) \\ / \quad \backslash \quad \backslash \\ 1(0) \quad 3(0) \quad 10(1) \\ / \\ 9(0) \end{array}$

$\begin{array}{c} 6(0) \\ / \quad \backslash \\ 2(0) \quad 9(0) \\ / \quad \backslash \quad / \quad \backslash \\ 1(0) \quad 3(0) \quad 8(0) \quad 10(0) \end{array} \xrightarrow{\text{--RL}}$

Question 10.2 (0.5 marks)

Say the minimum number of nodes that an AVL tree of height $h = 10$ must contain.

Answer:

Due to the theorem discussed in the lecture, we know that the minimum number of nodes $A(h)$ in an AVL tree of height h satisfies the recurrence relation:

$$A(h) = A(h - 1) + A(h - 2) + 1$$

with base cases:

- $A(0) = 1$ (an AVL tree of height 0 has 1 node)
- $A(1) = 2$ (an AVL tree of height 1 has 2 nodes)

Calculating the values up to $h = 10$:

- $A(2) = A(1) + A(0) + 1 = 2 + 1 + 1 = 4$
- $A(3) = A(2) + A(1) + 1 = 4 + 2 + 1 = 7$
- $A(4) = A(3) + A(2) + 1 = 7 + 4 + 1 = 12$
- $A(5) = A(4) + A(3) + 1 = 12 + 7 + 1 = 20$
- $A(6) = A(5) + A(4) + 1 = 20 + 12 + 1 = 33$
- $A(7) = A(6) + A(5) + 1 = 33 + 20 + 1 = 54$
- $A(8) = A(7) + A(6) + 1 = 54 + 33 + 1 = 88$
- $A(9) = A(8) + A(7) + 1 = 88 + 54 + 1 = 143$
- $A(10) = A(9) + A(8) + 1 = 143 + 88 + 1 = 232$

Therefore, an AVL tree of height $h = 10$ must contain at least 232 nodes.