

# Lecture 9: Dijkstra's Shortest Path Algorithm

CLRS 24.3

## Outline of this Lecture

Recalling the BFS solution of the shortest path problem for unweighted (di)graphs.

The shortest path problem for **weighted** digraphs.

Dijkstra's algorithm.

Given for digraphs but easily modified to work on undirected graphs.

### Recall: Shortest Path Problem for Graphs

Let  $G$  be a (di)graph.

The shortest path between two vertices is a path with the shortest **length** (least number of edges). Call this the **link-distance**.

Breadth-first-search is an algorithm for finding shortest (link-distance) paths from a **single source vertex** to all other vertices.

BFS processes vertices in increasing order of their distance from the root vertex.

BFS has running time  $O(V + E)$ .

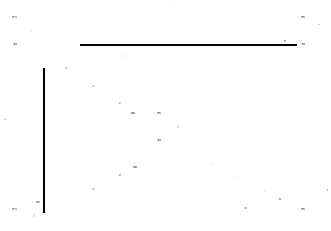
## Shortest Path Problem for **Weighted** Graphs

Let  $G$  be a **weighted digraph**, with weight function  $w$  mapping edges to real-valued weights. If  $P$  is a path in  $G$ , we write  $\text{length}(P)$  for  $\sum_{e \in P} w(e)$ .

The **length** of a path  $P$  is the **sum** of the weights of its constituent edges:

$$\text{length}(P) = \sum_{e \in P} w(e)$$

The **distance** from  $u$  to  $v$ , denoted  $d(u, v)$ , is the length of the **minimum length path** if there is a path from  $u$  to  $v$ ; and is  $\infty$  otherwise.



$\text{length}(P)$   
distance from  $u$  to  $v$  is

## Single-Source Shortest-Paths Problem

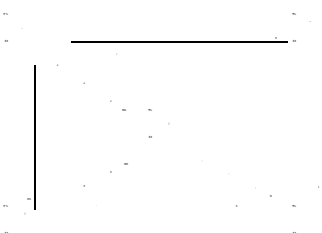
**The Problem:** Given a digraph with **positive** edge weights and a distinguished **source vertex** , determine the **distance** and a **shortest path** from the source vertex to every vertex in the digraph.

**Question:** How do you design an efficient algorithm for this problem?

## Single-Source Shortest-Paths Problem

**Important Observation:** Any subpath of a shortest path must also be a shortest path. Why?

**Example:** In the following digraph,  $s \rightarrow t$  is a shortest path. The subpath  $s \rightarrow u$  is also a shortest path.



length(  
distance from  $s$  to  $t$  is

**Observation** Extending this idea we observe the existence of a **shortest path tree** in which distance from source to vertex  $v$  is length of shortest path from source to vertex  $v$  in original tree.

## Intuition behind Dijkstra's Algorithm

Report the vertices in increasing order of their distance from the source vertex.

Construct the shortest path tree edge by edge; at each step adding one new edge, corresponding to construction of shortest path to the current new vertex.

## The Rough Idea of Dijkstra's Algorithm

Maintain an **estimate** of the length of the shortest path for each vertex .

Always and equals the length of a known path ( if we have no paths so far).

Initially and all the other values are set to . The algorithm will then **process** the vertices one by one in **some order**.

**The processed vertex's estimate will be validated as being real shortest distance, i.e.**

Here “processing a vertex ” means **finding** new paths and **updating** for all if necessary. The process by which an estimate is updated is called **relaxation**.

When all vertices have been processed,  
for all .

### The Rough Idea of Dijkstra's Algorithm

**Question 1:** How does the algorithm find new paths and do the **relaxation**?

**Question 2:** In which order does the algorithm **process** the vertices one by one?



**Answer to Question 1**

**Finding new paths.** When processing a vertex  $u$ , the algorithm will examine all vertices  $v$  adjacent to  $u$ . For each vertex  $v$ , a new path from  $s$  to  $v$  is found (path from  $s$  to  $u$  + new edge).

**Relaxation.** If the new path from  $s$  to  $v$  is shorter than the current value of  $d[v]$ , then update  $d[v]$  to the length of this new path.

**Remark:** Whenever we set  $d[v]$  to a finite value, there exists a path of that length. Therefore  $d[v]$  is always the length of the shortest path from  $s$  to  $v$ .

(Note: If  $d[v] = \infty$ , then further relaxations cannot change its value.)

## Implementing the Idea of Relaxation

Consider an edge from a vertex  $u$  to  $v$  whose weight is  $w(u, v)$ .  
 Suppose that we have already processed  $u$  so that we know  $d[u]$   
 and also computed a current estimate for  $d[v]$ .  
 Then

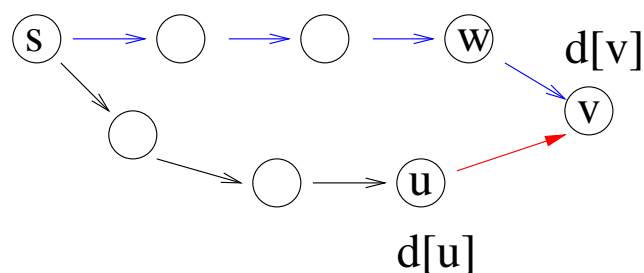
There is a (shortest) path from  $s$  to  $u$  with length  $d[u]$ .

There is a path from  $u$  to  $v$  with length  $w(u, v)$ .

Combining this path from  $s$  to  $u$  with the edge  $(u, v)$ , we obtain  
 another path from  $s$  to  $v$  with length  $d[u] + w(u, v)$ .

If  $d[u] + w(u, v) < d[v]$ , then we replace the old path  $P$  from  $s$  to  $v$   
 with the new shorter path  $P \cup (u, v)$ . Hence we update

(originally,  $d[v]$ ).



<b>The Algorithm for Relaxing an Edge</b>
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Relax( $u, v$ )

```
    if (  $d[u] + w(u, v) < d[v]$  )  
         $d[v] = d[u] + w(u, v)$ ;  
         $p[v] = u$ ;
```

**Remark:** The predecessor pointer  $p[v]$  is for determining the shortest paths.

**Idea of Dijkstra's Algorithm: Repeated Relaxation**

Dijkstra's algorithm operates by maintaining a subset of vertices,  $S$ , for which we **know** the true distance, that is  $d_S(v) = d(v, s)$ .

Initially  $S = \{s\}$ , the empty set, and we set  $d_S(v) = \infty$  for all other vertices  $v$ . One by one we **select** vertices from  $V \setminus S$  to add to  $S$ .

The set  $S$  can be implemented using an array of vertex colors. Initially all vertices are white, and we set  $s$  black to indicate that  $s \in S$ .

**The Selection in Dijkstra's Algorithm**

**Recall Question 2:** What is the best order in which to process vertices, so that the estimates are guaranteed to converge to the true distances.

That is, how does the algorithm **select** which vertex among the vertices of  $V$  to process next?

**Answer:** We use a **greedy** algorithm. For each vertex in  $V$ , we have computed a distance estimate  $d[v]$ . The next vertex processed is always a vertex  $u$  for which  $d[u]$  is minimum, that is, we take the unprocessed vertex that is closest (by our estimate) to  $s$ .

**Question:** How do we implement this selection of vertices **efficiently**?

### The Selection in Dijkstra's Algorithm

**Question:** How do we perform this selection efficiently?

**Answer:** We store the vertices of  $V$  in a priority queue where the key value of each vertex  $v$  is  $d[v]$ .

[Note: if we implement the priority queue using a heap, we can perform the operations **Insert()**, **Extract Min()**, and **Decrease\_Key()**, each in  $O(\log V)$  time.]

## Review of Priority Queues

A **Priority Queue** is a data structure (can be implemented as a heap) which supports the following operations:

**insert(            ):** **Insert** with the key value            in            .

**u = extractMin():** **Extract** the item with the minimum key value in            .

**decreaseKey(            -            ):** **Decrease** 's key value to            .

**Remark:** Priority Queues can be implemented such that each operation takes time            . See CLRS!

<b>Description of Dijkstra's Algorithm</b>
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Dijkstra( $G, w, s$ )

```

    for (each  $v \in V$ )
         $d[v] = \infty$ ;
         $color[v] = white$ ;
     $d[s] = 0$ ;
     $Q = NIL$ ;
     $Q = (queue\ with\ all\ vertices)$ ;

    while (Non-Empty( $Q$ ))
         $u = Extract-Min(Q)$ ;
        for (each  $v \in Adj[u]$ )
            if ( $d[v] > d[u] + w(u, v)$ )
                 $d[v] = d[u] + w(u, v)$ ;
                 $Decrease-Key(Q, v)$ ;
             $color[v] = black$ ;

```

% Initialize

% Process all vertices

% Find new vertex

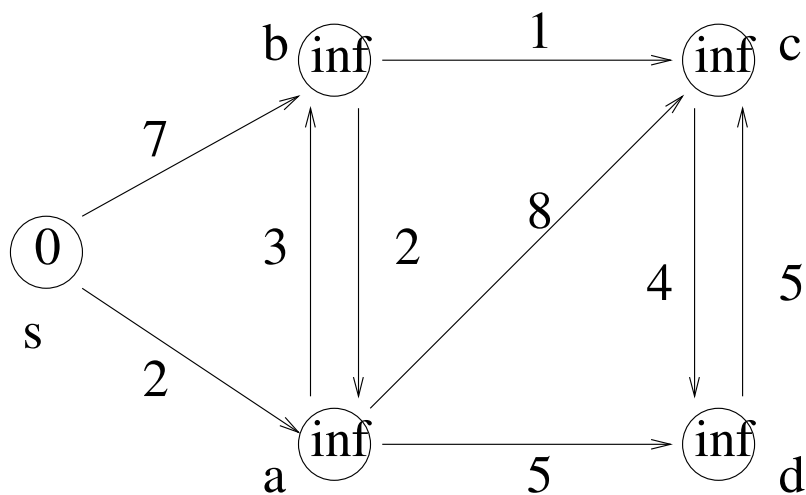
% If estimate improves

relax



## Dijkstra's Algorithm

**Example:**



**Step 0: Initialization.**

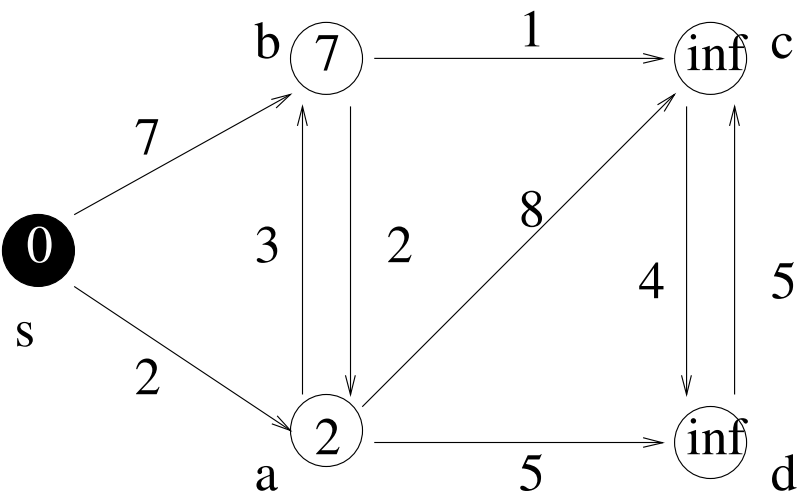
	s	a	b	c	d
	0				
	nil	nil	nil	nil	nil
	W	W	W	W	W

**Priority Queue:**

s	a	b	c	d
0				

# Dijkstra's Algorithm

Example:



**Step 1:** As a, work on b and c and update information.

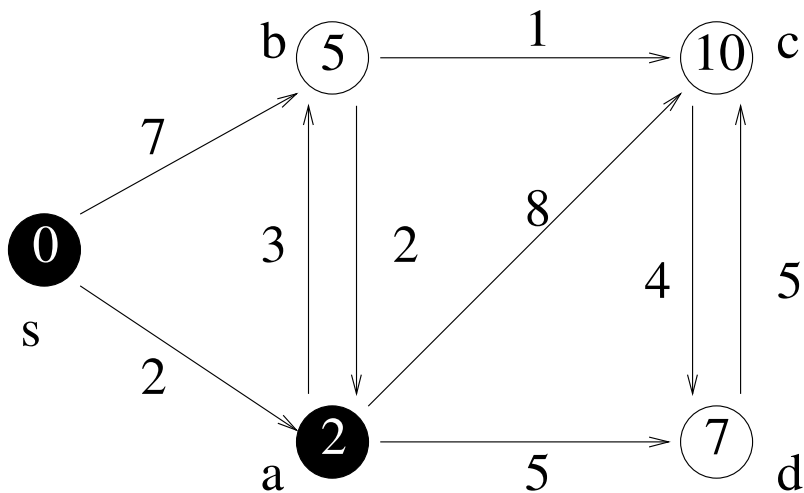
	s	a	b	c	d
	0				
	nil	s	s	nil	nil
	B	W	W	W	W

**Priority Queue:**

a	b	c	d
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## Dijkstra's Algorithm

**Example:**



**Step 2:** After Step 1, **s** has the minimum key in the priority queue. As **s** is the source node, work on **a**, **b**, and update information.

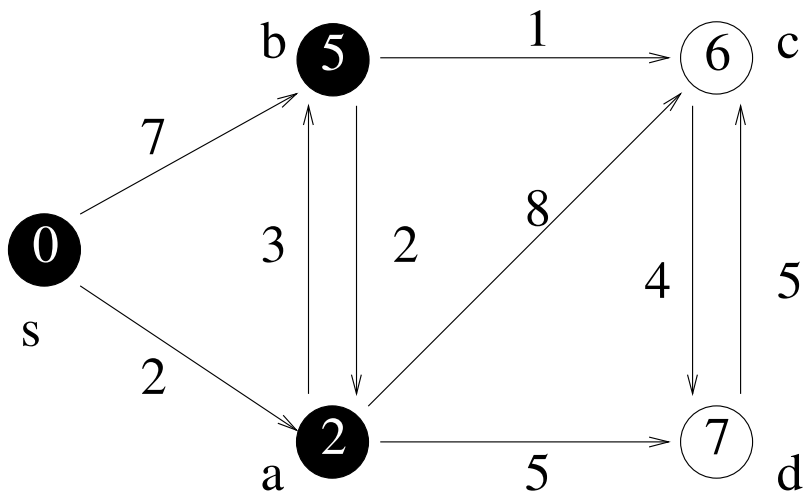
	s	a	b	c	d
	0				
	nil	s	a	a	a
	B	B	W	W	W

**Priority Queue:**

b	c	d
---	---	---

## Dijkstra's Algorithm

**Example:**



**Step 3:** After Step 2, **2** has the minimum key in the priority queue. As **2** is not the destination, work on **2**, **5** and update information.

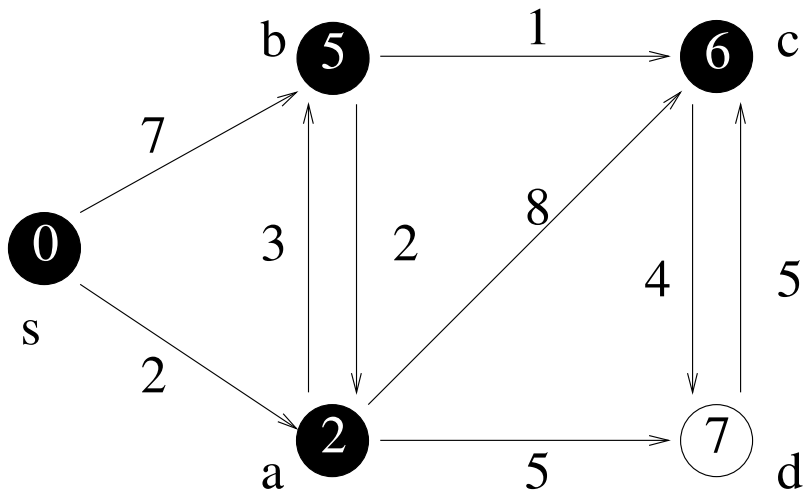
	s	a	b	c	d
0					
2	nil	s	a	b	a
5	B	B	B	W	W

**Priority Queue:**

c	d
---	---

## Dijkstra's Algorithm

**Example:**



**Step 4:** After Step 3, **2** has the minimum key in the priority queue. As **2** is not the destination, work on **2** and update information.

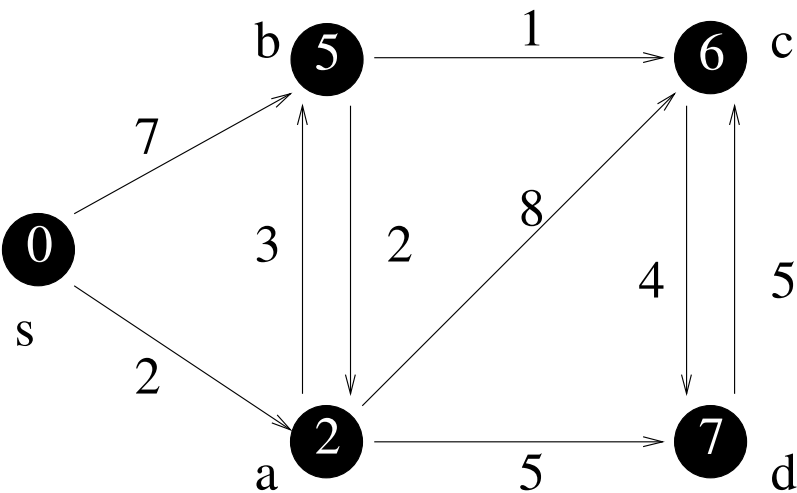
	s	a	b	c	d
	0				
	nil	s	a	b	a
	B	B	B	B	W

**Priority Queue:**

d
---

Dijkstra's Algorithm

Example:



**Step 5:** After Step 4, `b` has the minimum key in the priority queue. As `b` is not the destination, work on `b` and update information.

	s	a	b	c	d
0					
nil	s	a	b	b	a
B	B	B	B	B	B

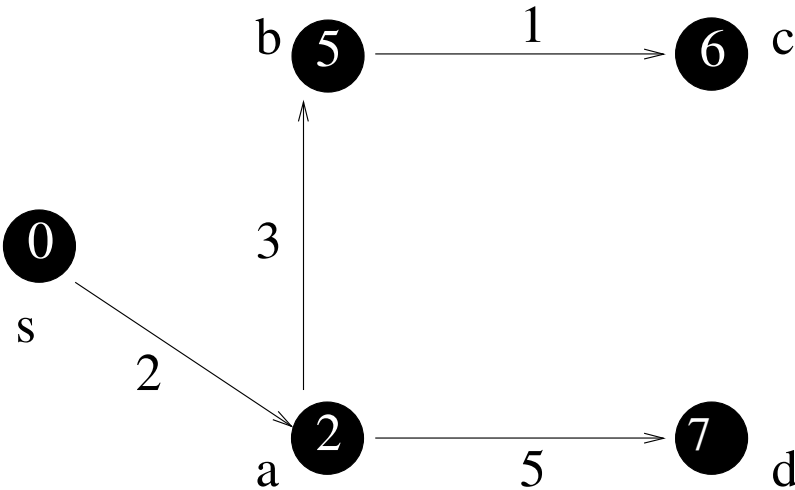
**Priority Queue:** `nil`.

We are done.

# Dijkstra's Algorithm

Shortest Path Tree: , where

The array is used to build the tree.



Example:

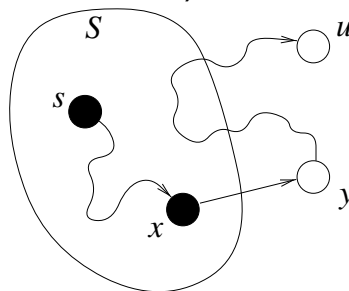
	s	a	b	c	d
	0				
	nil	s	a	b	a

## Correctness of Dijkstra's Algorithm

**Lemma:** When a vertex  $v$  is added to  $S$  (i.e., dequeued from the queue),

**Proof:** Suppose to the contrary that at some point Dijkstra's algorithm **first** attempts to add a vertex  $v$  to  $S$  for which  $d[v] > d^*(v)$ . By our observations about relaxation,

Consider the situation just prior to the insertion of  $v$ . Consider the **true shortest path** from  $s$  to  $v$ . Because  $s \in S$  and  $v \notin S$ , at some point this path must first take a jump out of  $S$ . Let  $(x, y)$  be the edge taken by the path, where  $x \in S$  and  $y \notin S$  (it may be that  $x = s$  and/or  $y = v$ ).





## Correctness of Dijkstra's Algorithm – Continued

We now prove that  $\dots$ . We have done relaxation when processing  $u$ , so

(1)

Since  $u$  is added to  $S$  earlier, by hypothesis,

(2)

Since  $\dots$  is subpath of a shortest path, by (2)

(3)

By (1) and (3),

Hence

So  $\dots$  (because we suppose  $\dots$ ).

Now observe that since  $u$  appears midway on the path from  $s$  to  $t$ , and all subsequent edges are positive, we have  $\dots$ , and thus

Thus  $u$  would have been added to  $S$  before  $v$ , in contradiction to our assumption that  $v$  is the next vertex to be added to  $S$ .

## **Proof of the Correctness of Dijkstra's Algorithm**

By the lemma,  $d[u]$  is the shortest distance from  $s$  to  $u$  when  $u$  is added into  $S$ , that is when we set  $u$  black.

At the end of the algorithm, all vertices are in  $S$ , then all distance estimates are correct.

**Analysis of Dijkstra's Algorithm:**

The **initialization** uses only                      time.

Each vertex is processed exactly once so `Non-Empty()` and `Extract-Min()` are called exactly once, e.g.,                      times in total.

The inner loop **for (each                      )** is called once for each edge in the graph. Each call of the inner loop does                      work plus, possibly, one `Decrease-Key` operation.

Recalling that all of the priority queue operations require                      time we have that the algorithm uses

time.

Prove: Dijkstra's algorithm processes vertices in non-decreasing order of their actual distance from the source vertex.