

# SUMMATIONS, RUNNING TIME, ASYMPTOTIC NOTATION

RECITATION WEEK 1



WHAT IS THE VALUE RETURNED BY THE FOLLOWING FUNCTION? EXPRESS YOUR ANSWER AS A FUNCTION OF  $N$ . FROM THIS, DEDUCE THE WORST-CASE RUNNING TIME USING BIG OH NOTATION. FOR FULL CREDIT, PROVIDE A LOWER BOUND AS WELL, THUS LEADING TO A BOUND WITH  $\Theta$  NOTATION.

```
1 function mystery( $n$ )  
2  $r := 0$   
3 for  $i := 1$  to  $n - 1$  do  
4     for  $j := i + 1$  to  $n$  do  
5         for  $k := 1$  to  $j$  do  
6              $r := r + 1$   
7 return  $r$ 
```

## The upper bound

```
1 function mystery(n)
2   r := 0
3   for i := 1 to n - 1 do
4     for j := i + 1 to n do
5       for k := 1 to j do
6         r := r + 1
7   return r
```

Outer loop runs fewer than  $n$  times  
Second loop runs fewer than  $n$  times  
Inner loop runs fewer than  $n$  times

Therefore, the body of the loop runs at most  $O(n^3)$  times and the running time is therefore  $O(n^3)$ .

## The lower bound

Let's think what would happen if the outer loop only ran from  $i = n/4$  to  $3n/4$

```
1 function mystery(n)
2   r := 0
3   for i := n/4 to 3n/4 do
4     for j := i + 1 to n do
5       for k := 1 to j do
6         r := r + 1
7   return r
```

Outer loop runs at least  $n/2$  times  
Second loop runs at least  $n/4$  times  
Inner loop runs at least  $n/4$  times

Therefore, the body of our smaller program runs at least  $n^3/32 = \Omega(n^3)$  times. So the running time of our original function is  $\Omega(n^3)$ .



# FINDING THE RETURN VALUE

- BASED ON THE CODE, FINDING THE RETURN VALUE WOULD ALSO IMPLY THE RUNNING TIME
- WE REPRESENT THE RETURN VALUE AS A SUMMATION

$$r = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \sum_{k=1}^j 1$$

```
1 function mystery(n)  
2 r := 0  
3 for i := 1 to n - 1 do  
4     for j := i + 1 to n do  
5         for k := 1 to j do  
6             r := r + 1  
7 return r
```



# SOLVING THE SUMMATION

$$\begin{aligned}r &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \sum_{k=1}^j 1 \\&= \sum_{i=1}^{n-1} \sum_{j=i+1}^n j \\&= \sum_{i=1}^{n-1} (n + (i + 1)) \cdot \frac{n - (i + 1) + 1}{2} \\&= \frac{1}{2} \sum_{i=1}^{n-1} (n - i)(n + i + 1) \\&= \frac{1}{2} \sum_{i=1}^{n-1} n^2 + in + n - in - i^2 - i \\&= \frac{1}{2} (n^2 + n)(n - 1) - \frac{1}{2} \sum_{i=1}^{n-1} i - \frac{1}{2} \sum_{i=1}^{n-1} i^2\end{aligned}$$

$$\begin{aligned}&= \frac{(n^2 + n)(n - 1)}{2} - \frac{n(n - 1)}{2 \cdot 2} - \frac{1}{2} \sum_{i=1}^{n-1} i^2 \\&= \frac{(2n^2 + n)(n - 1)}{4} - \frac{(n - 1)(n)(2n - 1)}{2 \cdot 6} \\&= \frac{(6n^2 + 3n - 2n^2 + n)(n - 1)}{12} \\&= \frac{(4n^2 + 4n)(n - 1)}{12} \\&= \frac{4n^3 + 4n^2 - 4n^2 - 4n}{12} \\&= \frac{n^3 - n}{3}\end{aligned}$$

WHAT IS THE VALUE RETURNED BY THE FOLLOWING FUNCTION? EXPRESS YOUR ANSWER AS A FUNCTION OF  $n$ . FROM THIS, DEDUCE THE WORST-CASE RUNNING TIME USING BIG  $O$  NOTATION. FOR FULL CREDIT, PROVIDE A LOWER BOUND AS WELL, THUS LEADING TO A BOUND WITH  $\Theta$  NOTATION.

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```
1 function mystery3( $n$ )
2  $r := 0$ 
3 for  $i := 1$  to  $n$  do
4     for  $j := 1$  to  $n$  do
5         for  $k := j$  to  $n - j$  do
6              $r := r + 1$ 
7 return  $r$ 
```

---



## The upper bound

```
1 function mystery3(n)
2   r := 0
3   for i := 1 to n do
4     for j := 1 to n do
5       for k := j to n - j do
6         r := r + 1
7   return r
```

Outer loop runs fewer than  $n$  times  
Second loop runs fewer than  $n$  times  
Inner loop runs fewer than  $n$  times

Therefore, the body of the loop runs at most  $O(n^3)$  times and the running time is therefore  $O(n^3)$ .

## The lower bound

```
1 function mystery3(n)
2   r := 0
3   for i := 1 to n/4 do
4     for j := 1 to n/4 do
5       for k := j to n - j do
6         r := r + 1
7   return r
```

Let's think what would happen if the first loop ran from  $i = 1$  to  $n/4$  and the second loop only ran from  $j = 1$  to  $n/4$

Outer loop runs at least  $n/4$  times  
Second loop runs at least  $n/4$  times  
Inner loop runs at least  $n/2$  times

Therefore, the body of our smaller program runs at least  $n^3/32 = \Omega(n^3)$  times. So the running time of our original function is  $\Omega(n^3)$ .



# FINDING THE RETURN VALUE

- BASED ON THE CODE, FINDING THE RETURN VALUE WOULD ALSO IMPLY THE RUNNING TIME
- WE REPRESENT THE RETURN VALUE AS A SUMMATION

$$r = \sum_{i=1}^n \sum_{j=1}^n \sum_{k=j}^{n-j} 1$$

```
1 function mystery3(n)
2   r := 0
3   for i := 1 to n do
4     for j := 1 to n do
5       for k := j to n - j do
6         r := r + 1
7   return r
```



# SOLVING THE SUMMATION

$$\begin{aligned}r &= \sum_{i=1}^n \sum_{j=1}^{n/2} \sum_{k=j}^{n-j} 1 \\&= \sum_{i=1}^n \sum_{j=1}^{n/2} n - 2j + 1 \\&= \sum_{i=1}^n n^2/2 - n/2 \cdot (n/2 + 1) + n/2 \\&= \sum_{i=1}^n n^2/4 \\&= \frac{n^3}{4}\end{aligned}$$



Is  $\max(f(n), g(n)) = \Theta(f(n) + g(n))$  for all nonnegative functions  $f(n)$  and  $g(n)$  of  $n$ ? Formally justify your answer.

- RECALL THAT  $F(N) = \Theta(N)$  IS EQUIVALENT TO  $F(N) = \Omega(G(N))$  AND  $F(N) = O(G(N))$
- DO WE THINK THE EQUALITY IS TRUE?
- WHICH IS THE “EASIER” OF THE TWO?

$$\max(f(n), g(n)) = O(f(n) + g(n))$$

Suppose  $\max(f(n), g(n)) = g(n)$  (the other case will be symmetric). Then, since  $g(n) \leq 1 \cdot (f(n) + g(n))$ ,  $\max(f(n), g(n)) = O(f(n) + g(n))$ .

$$\max(f(n), g(n)) = \Omega(f(n) + g(n))$$

Suppose  $\max(f(n), g(n)) = g(n)$  (the other case will be symmetric). Then,  $\frac{1}{2}(f(n) + g(n)) \leq \frac{1}{2}(2g(n)) \leq g(n)$ . Finally, this means that  $\max(f(n), g(n)) = \Omega(f(n) + g(n))$ .



Is  $\min(f(n), g(n)) = \Theta(f(n) + g(n))$  for all nonnegative functions  $f(n)$  and  $g(n)$  of  $n$ ? Formally justify your answer.

- RECALL THAT  $F(N) = \Theta(N)$  IS EQUIVALENT TO  $F(N) = \Omega(G(N))$  AND  $F(N) = O(G(N))$
- DO WE THINK THE EQUALITY IS TRUE?
- PROOF BY CONTRADICTION

We will show that  $\min(f(n), g(n)) = \Omega(f(n) + g(n))$  does not hold by contradiction. Suppose  $\min(f(n), g(n)) = \Omega(f(n) + g(n))$  for all  $f(n)$  and  $g(n)$ . Let  $f(n) = n$  and  $g(n) = 1$ . Then we have that  $\min(f(n), g(n)) = g(n) = 1$ . By the definition of  $\Omega$ , there must exist some constants  $c$  and  $n_0$  such that  $n' + 1 \leq c \cdot 1$  for all  $n' > n_0$ . Take  $n_0 = c$ . But then we have  $n' + 1 > c + 1 > c$ , a contradiction.



Show that  $\Theta(\cdot)$  is transitive. That is, suppose  $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n))$ . Show that  $f(n) = \Theta(h(n))$ .

- LET'S JUST BEGIN WITH BIG OH
- RECALL THE DEFINITIONS, ALL WE NEED TO DO IS FIND CONSTANTS!

Suppose  $f(n) = O(g(n))$  and  $g(n) = O(h(n))$ . Then, by definition, there exist  $c_1, c_2, n_0, n_1$  such that  $0 \leq f(n') \leq c_1 g(n')$  for all  $n' > n_0$  and  $0 \leq g(n'') \leq c_2 h(n'')$  for all  $n'' > n_1$ . We want to find some  $c_3$  and  $n_2$  such that  $0 \leq f(n''') \leq c_3 h(n''')$  for all  $n''' > n_2$ .

Let  $n_2 = \max(n_0, n_1)$ . Since our inequalities hold for all sufficiently large  $n$ ,  $0 \leq f(n') \leq c_1 g(n')$  for all  $n' > n_2$  and  $0 \leq g(n'') \leq c_2 h(n'')$  for all  $n'' > n_2$ .

Let  $c_3 = c_1 \cdot c_2$ . We know that  $0 \leq g(n'') \leq c_2 h(n'')$  for all  $n'' > n_2$  so multiplying both sides by  $c_1$  we have  $0 \leq c_1 g(n'') \leq c_3 h(n'')$  for all  $n'' > n_2$ . We also know that  $0 \leq f(n') \leq c_1 g(n')$  for all  $n' > n_2$ , so combining inequalities we have  $0 \leq f(n') \leq c_3 h(n')$  for all  $n' > n_2$ , completing the proof.