

# CS 330: Network Applications & Protocols

## Transport Layer

---

Galin Zhelezov  
Department of Physical Sciences  
York College of Pennsylvania



# Overview of Transport Layer

---

- **Transport-layer Services**
- **Multiplexing and Demultiplexing**
- **Connectionless Transport: UDP**
- **Principles of Reliable Data Transfer**
- **Connection-oriented Transport: TCP**
  - Segment Structure
  - Reliable Data Transfer
  - Flow Control
  - Connection Management
- **Principles of Congestion Control**
- **TCP Congestion Control**

# Principles of Congestion Control

---

- **What is congestion?**

- Informally: “too many sources sending too much data too fast for the *network* to handle”
- Different from flow control
  - Flow control used to ensure buffers at receiver do not overflow
  - Flow control does nothing to prevent router buffers from overflowing

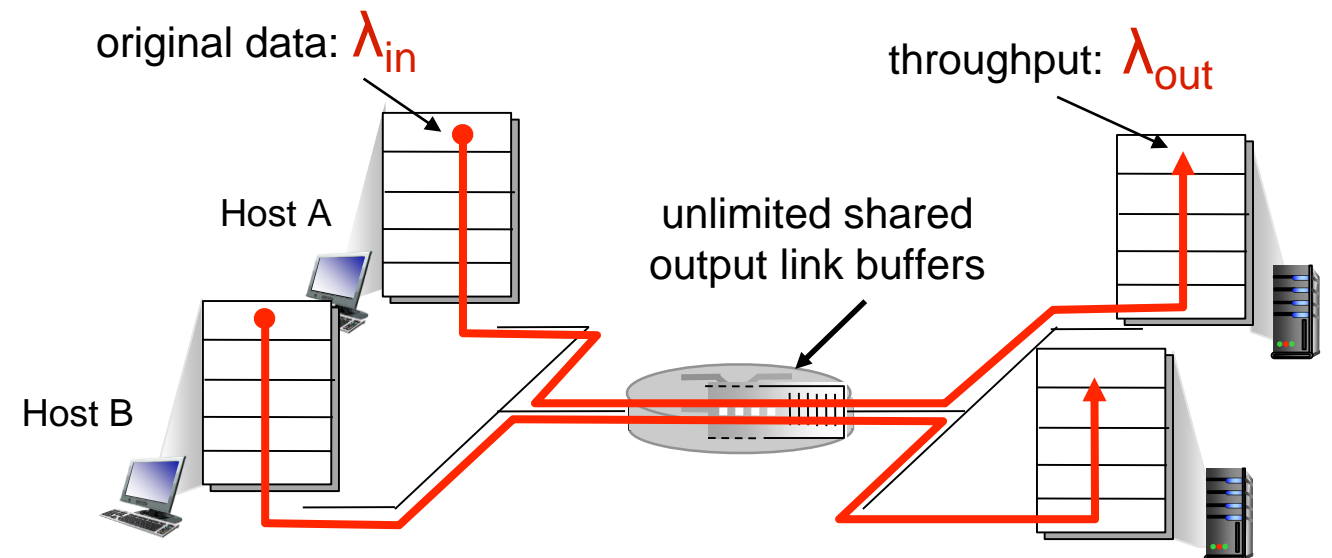
- **What problems can congestion cause?**

- Lost packets (buffer overflow at routers)
- Long delays (queueing in router buffers)

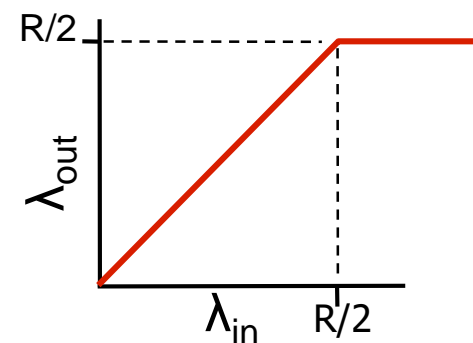
# Causes/Costs of Congestion: Scenario #1

- **Consider the following scenario**

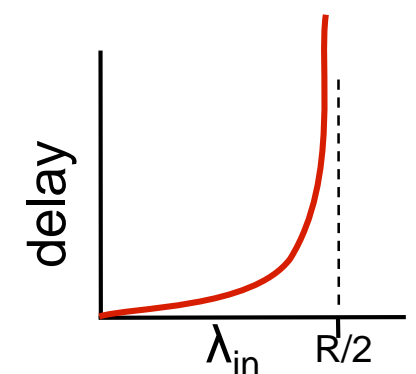
- Two senders, two receivers
- One router with infinite buffers
- Single output link capacity shared by senders with capacity  $R$
- Assume no retransmission necessary



- **Senders cannot send at a rate higher than  $R/2$  since they are sharing single link**
- **As senders max out the output link, the delay between source and destination increases**



maximum per-connection throughput is  $R/2$



large delays as arrival rate,  $\lambda_{in}$ , approaches capacity

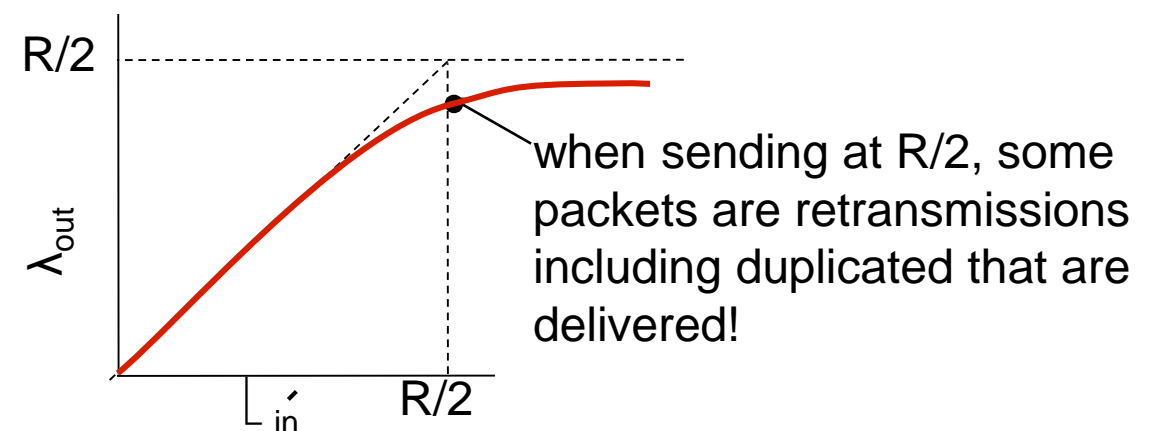
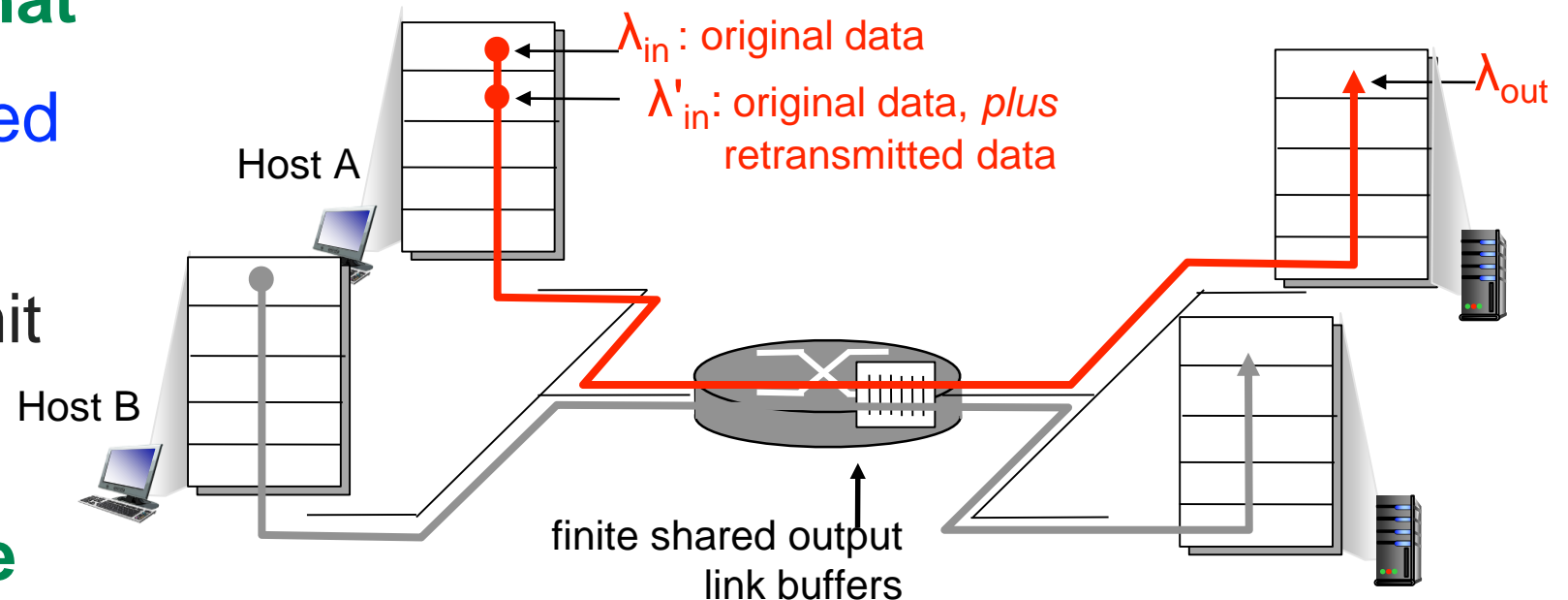
# Causes/Costs of Congestion: Scenario #2

- **Modify scenario #1 such that**

- The router has **finite shared buffers**
- The sender may retransmit packets

- **Retransmission reduce the throughput**

- Packets can be dropped at router if buffers are full
- Sender may timeout prematurely due to delay in router; send multiple copies of same data



# Two Approaches Towards Congestion Control

---

- **End-to-end congestion control**

- No explicit feedback from network
- Congestion inferred from end-system observed loss, delay
- Approach taken by TCP

- **Network-assisted congestion control**

- Routers provide feedback to end systems
- Single bit indicating congestion (SNA, DECbit, TCP/IP ECN, ATM)
- Explicit rate for sender to send at

# Overview of Transport Layer

---

- **Transport-layer Services**
- **Multiplexing and Demultiplexing**
- **Connectionless Transport: UDP**
- **Principles of Reliable Data Transfer**
- **Connection-oriented Transport: TCP**
  - Segment Structure
  - Reliable Data Transfer
  - Flow Control
  - Connection Management
- **Principles of Congestion Control**
- **TCP Congestion Control**

# TCP Congestion Control

---

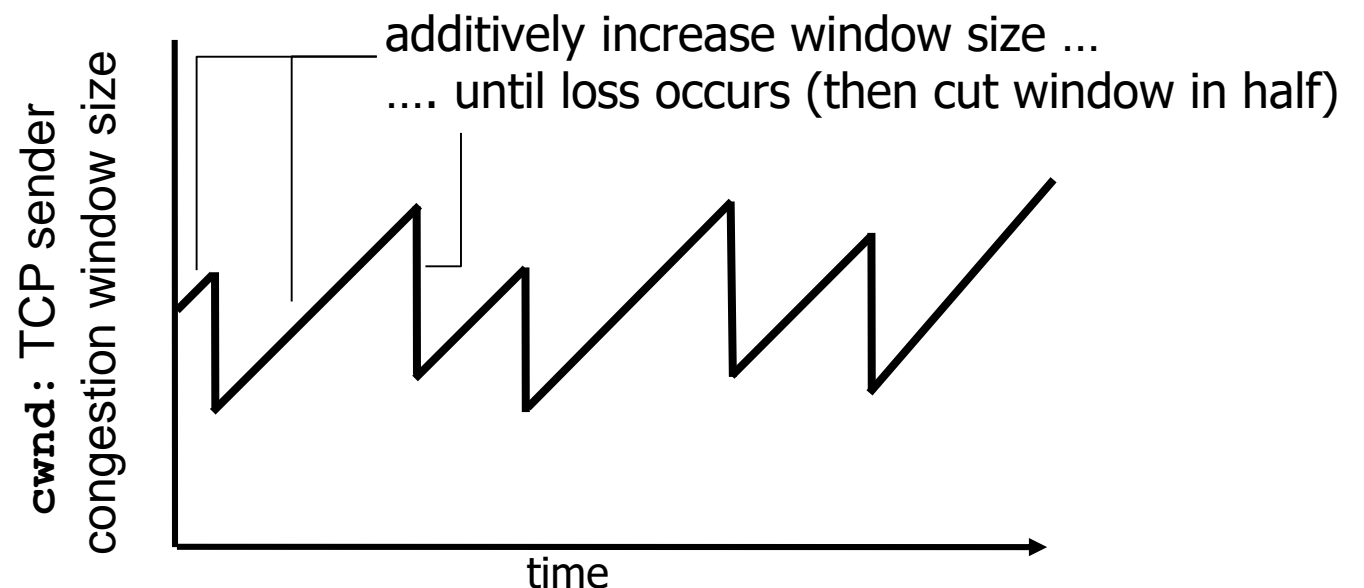
- **Must have each sender limit the rate at which it sends traffic as a function of the network congestion**
  - Too much congestion? Send less data.
  - Not much congestion? Full speed ahead!
- **How does a TCP sender limit the rate at which it sends data?**
- **How does a TCP sender detect congestion between itself and the destination?**
- **How should the sender change the rate at which it sends data based on the network congestion?**



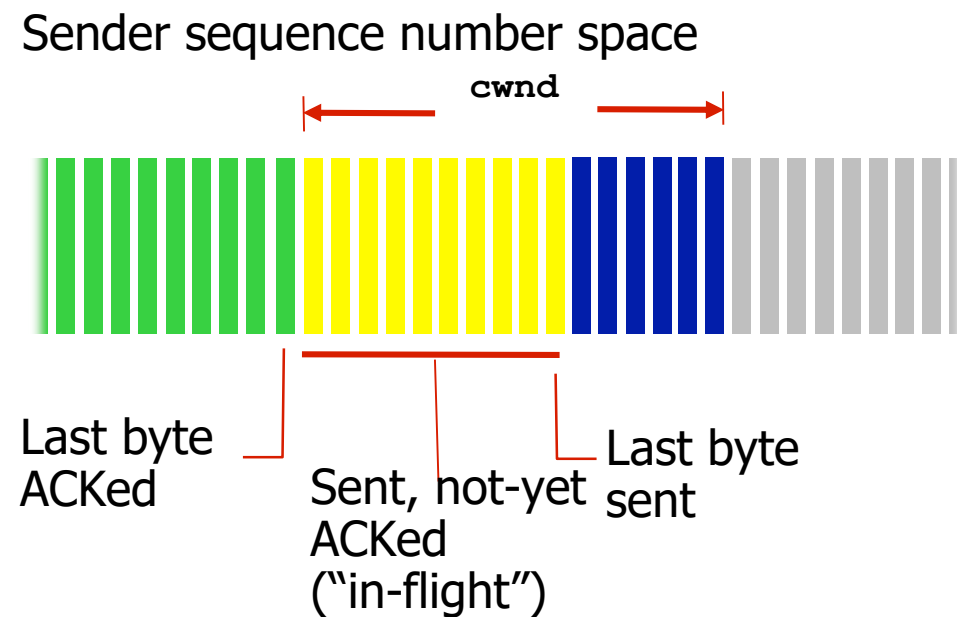
# TCP Congestion Control: AIMD

- **Additive Increase Multiplicative Decrease (AIMD)**

- **Approach**: sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs
  - **Additive increase**: increase congestion window (**cwnd**) by 1 MSS (Maximum Segment Size) every RTT until loss detected
    - ACKs arriving at sender signal the sender to increase its window
  - **Multiplicative decrease**: cut congestion window in half after a packet loss occurs



# TCP Congestion Control (Cont.)



- **TCP sending rate (assuming no limit on receiver's buffer (rwnd))**

- Send `cwnd` bytes, wait RTT for ACKS, then send more bytes

$$\text{rate} \approx \frac{\text{cwnd}}{\text{RTT}} \text{ bytes/sec}$$

- **Congestion window (cwnd) is a dynamic, function of perceived network congestion**
  - Sender is limited by both `cwnd` and `rwnd`
- **Amount of unacked data at sender may not exceed the minimum of `cwnd` and `rwnd`**

$$\text{LastByteSent} - \text{LastByteAcked} \leq \min\{\text{cwnd}, \text{rwnd}\}$$

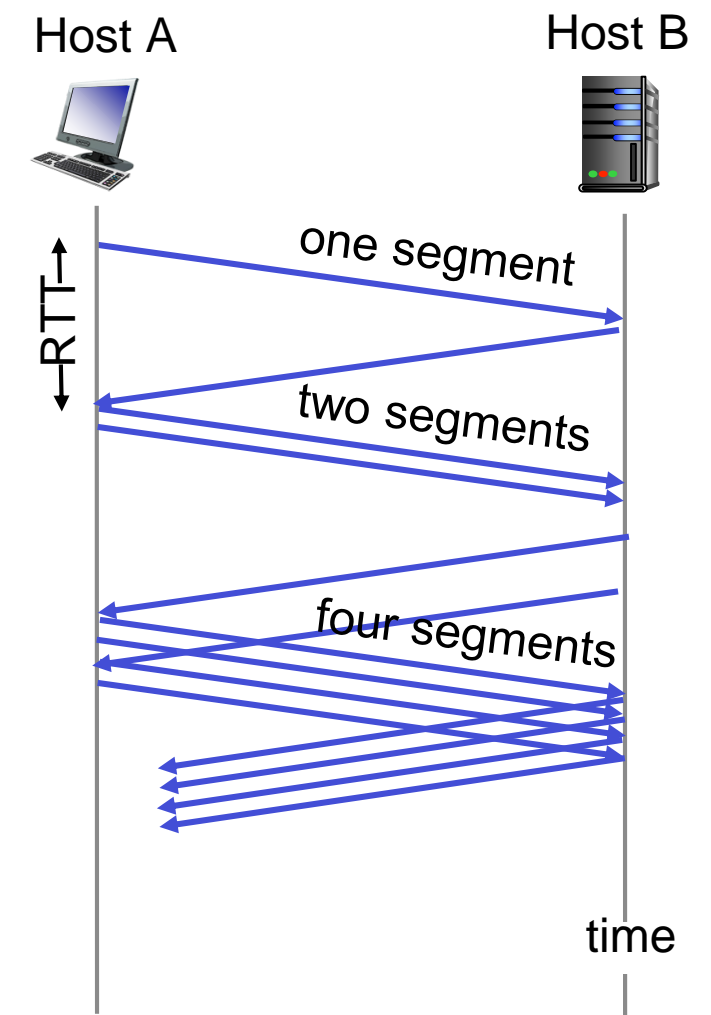
# TCP Congestion-Control Algorithm

---

- **TCPs congestion control algorithm contain three main components**
  - Slow-start
  - Congestion Avoidance
  - Fast Recovery

# TCP Slow-Start

- **When connection begins, increase rate exponentially until first loss event:**
  - Initially, `cwnd` = 1 MSS
  - Double `cwnd` every RTT
    - Done by incrementing `cwnd` for every ACK received
  - Initial rate is slow but ramps up exponentially fast
- **When first loss occurs, store ( $.5 * \text{cwnd}$ ) as `SSThresh` and restart slow-start**
- **When `cwnd` reaches `SSThresh`, switch from slow-start mode to congestion avoidance mode**



# Loss During Slow-Start

---

- **If loss is indicated by a timeout**

- Store  $(.5 * \text{cwnd})$  as **SSThresh** and restart slow-start
- Set **cwnd** set to 1 MSS
- **cwnd** then grows exponentially (as in slow start) to threshold value **SSThresh**, then grows linearly in congestion avoidance phase

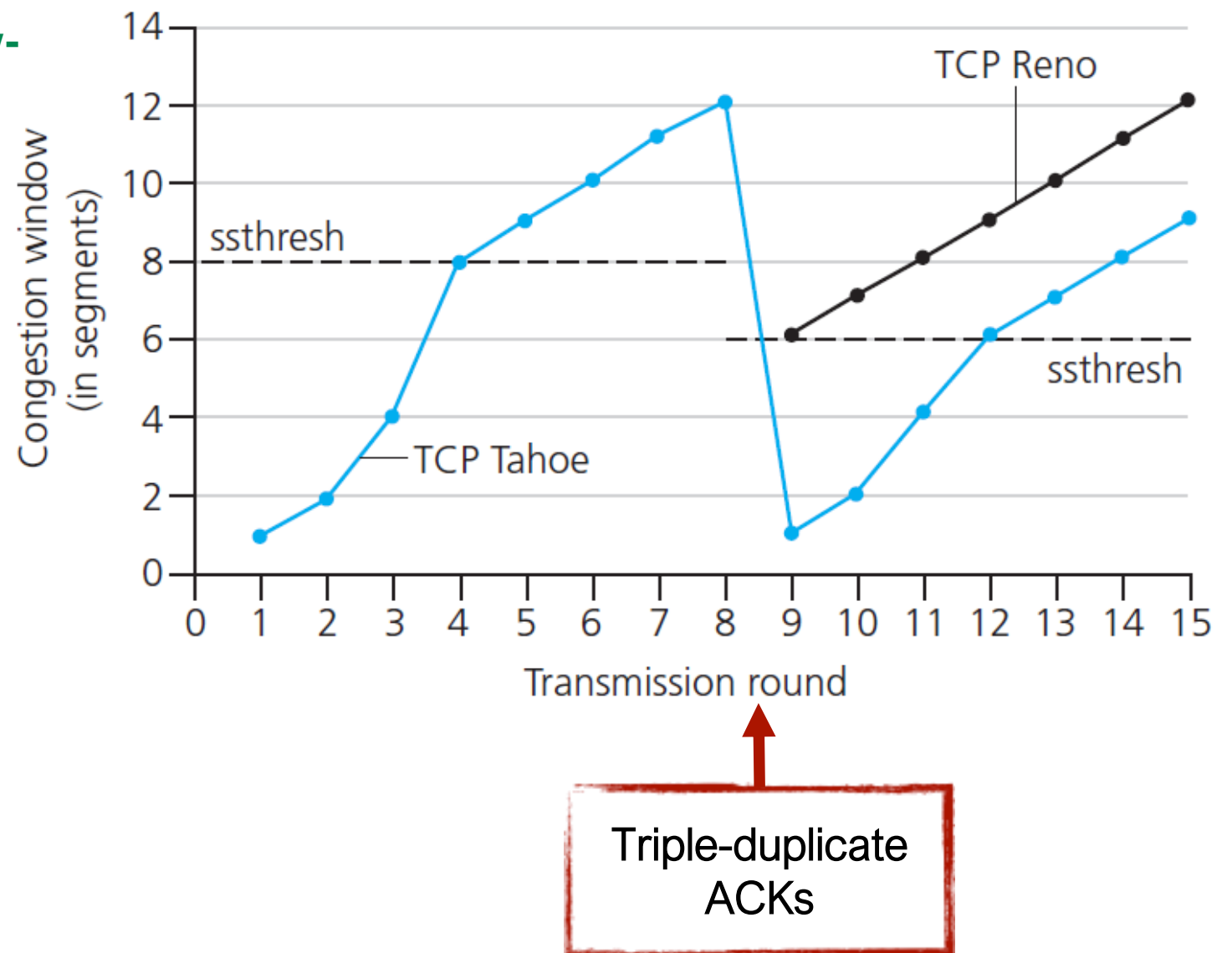
- **If loss is indicated by 3 duplicate ACKs (only in TCP Reno)**

- Duplicate ACKs indicate network capable of delivering some segments, so don't drop **cwnd** all the way down to 1 MSS
- Store  $(.5 * \text{cwnd})$  as **SSThresh**
- **cwnd** is also set to  $(.5 * \text{cwnd})$ , but will be increment for each duplicate ACK

- **TCP Tahoe always sets cwnd to 1 (for either timeout or 3 duplicate acks)**

# Switch from Slow Start to Congestion Avoidance

- Exponential growth phase shows TCP slow-start
- Linear phase after crossing over `ssThresh` shows the congestion avoidance phase
- TCP Tahoe
  - Set `cwnd` = 1 for both a timeout and for triple duplicate ACKs
  - Set `ssThresh` = `cwnd`/2
  - Re-enters slow-start phase
- TCP Reno
  - Implements Fast Recovery
  - Retransmits missing segment
  - Set `ssThresh` = `cwnd`/2
  - Set `cwnd` = `ssThresh` + 3
  - In congestion avoidance phase



# Chapter 3: summary

---

- **principles behind transport layer services:**
  - multiplexing, demultiplexing
  - reliable data transfer
  - flow control
  - congestion control
- **instantiation, implementation in the Internet**
  - UDP
  - TCP

## next:

- **leaving the network “edge” (application, transport layers)**
- **into the network “core”**
- **two network layer chapters:**
  - data plane
  - control plane