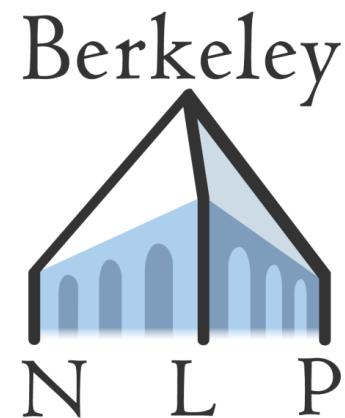


# Learning Grounded Pragmatic Communication



Daniel Fried



# Natural Language Interfaces

## Science Fiction *Her*, 2013

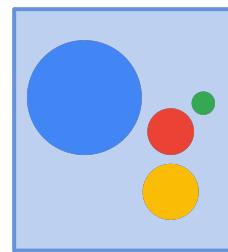


Let's start with your emails. You have several thousand emails regarding LA Weekly, but it looks like you haven't worked there in many years.

Oh yeah, I guess I was saving those because in some of them I thought I might have written some funny stuff.

Yeah, there are some funny ones. I'd say there are about 86 that we should save. We can delete the rest.

## In Reality Google Assistant, 2017



Who are you?

I'm your Google Assistant.

And I can let you know if you'll need a jacket today.

Do I?

Sorry, I don't understand.



# Context in NLP

## Other Language

Language Modeling,  
Structure & Semantics



Write With Transformer distil-gpt2 ⓘ

Understanding searches better  
than ever before

Pandu Nayak  
Google Fellow and Vice President, Search

## This Talk

The World  
Grounding



*“Take me to the airport”*

## Intents and Effects

Pragmatics



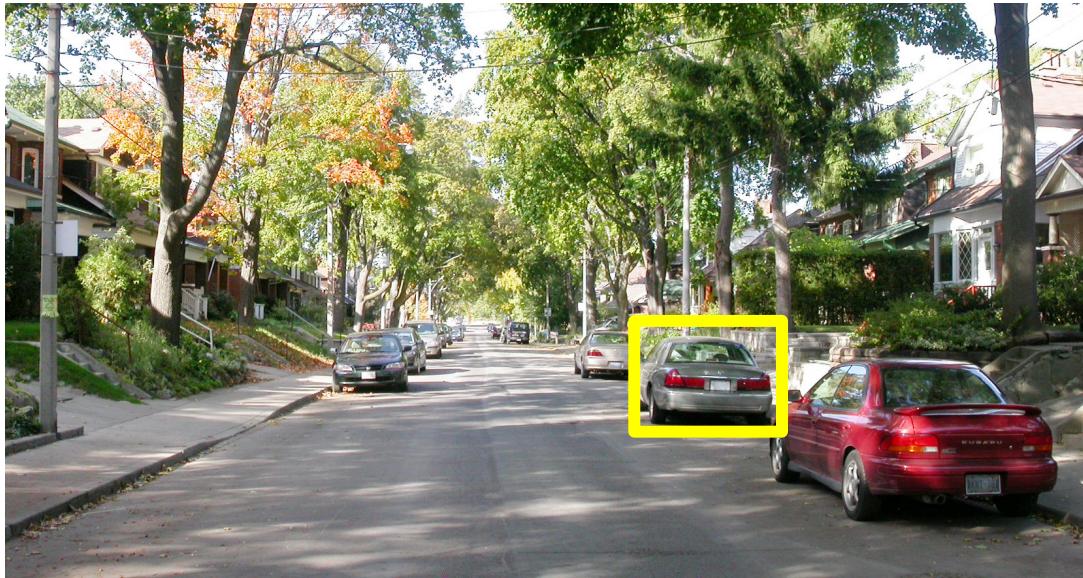
*“My neck hurts”*



# Grounding and Pragmatics

Grounding

*“Stop at the second car”*



Pragmatics

*“Stop at the car”*





# Pragmatics and Reasoning

---

Saying something will often... produce certain consequential effects upon the feelings, thoughts, or actions of the audience.

[*How to Do Things with Words*. Austin, 1962]

Our talk exchanges ... are cooperative efforts... One of my avowed aims is to see talking as purposive, indeed rational, behavior.

[*Logic and Conversation*. Grice, 1975]

**Language is an act people take to produce effects on others and the world!**

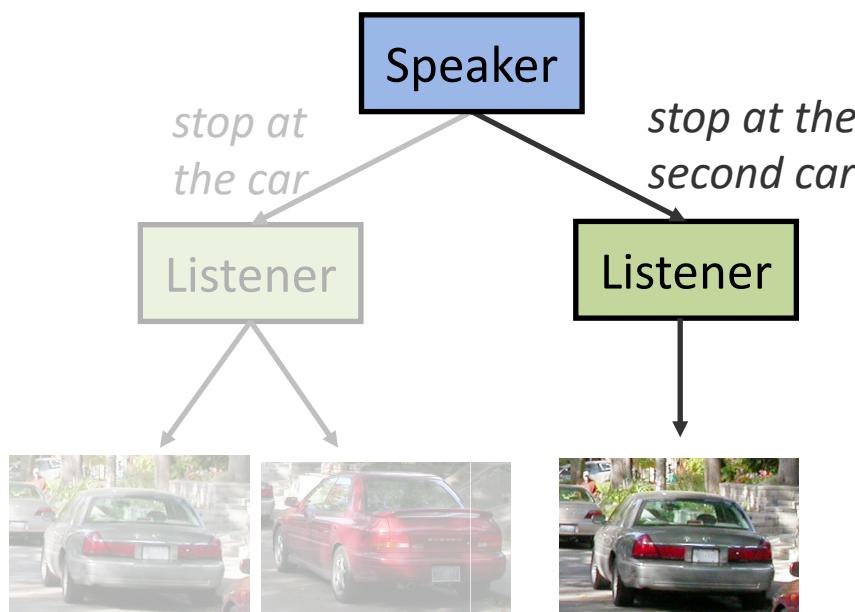


# Pragmatics and Reasoning

## Generation



## Interpretation

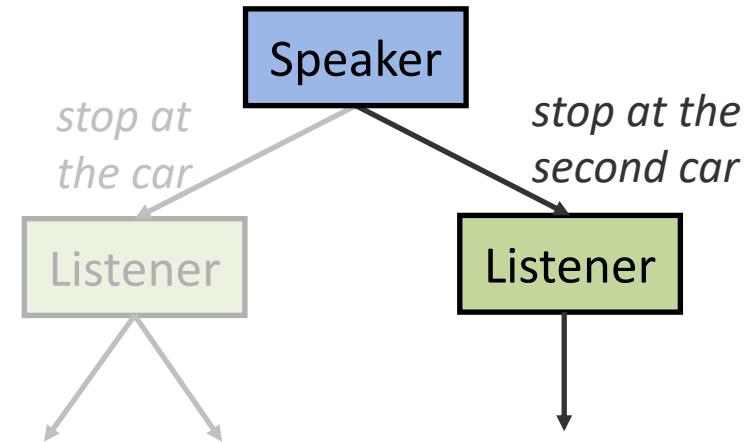


[e.g. Lewis 1969; Golland et al. 2010;  
Frank and Goodman 2012; Degen et al. 2013]

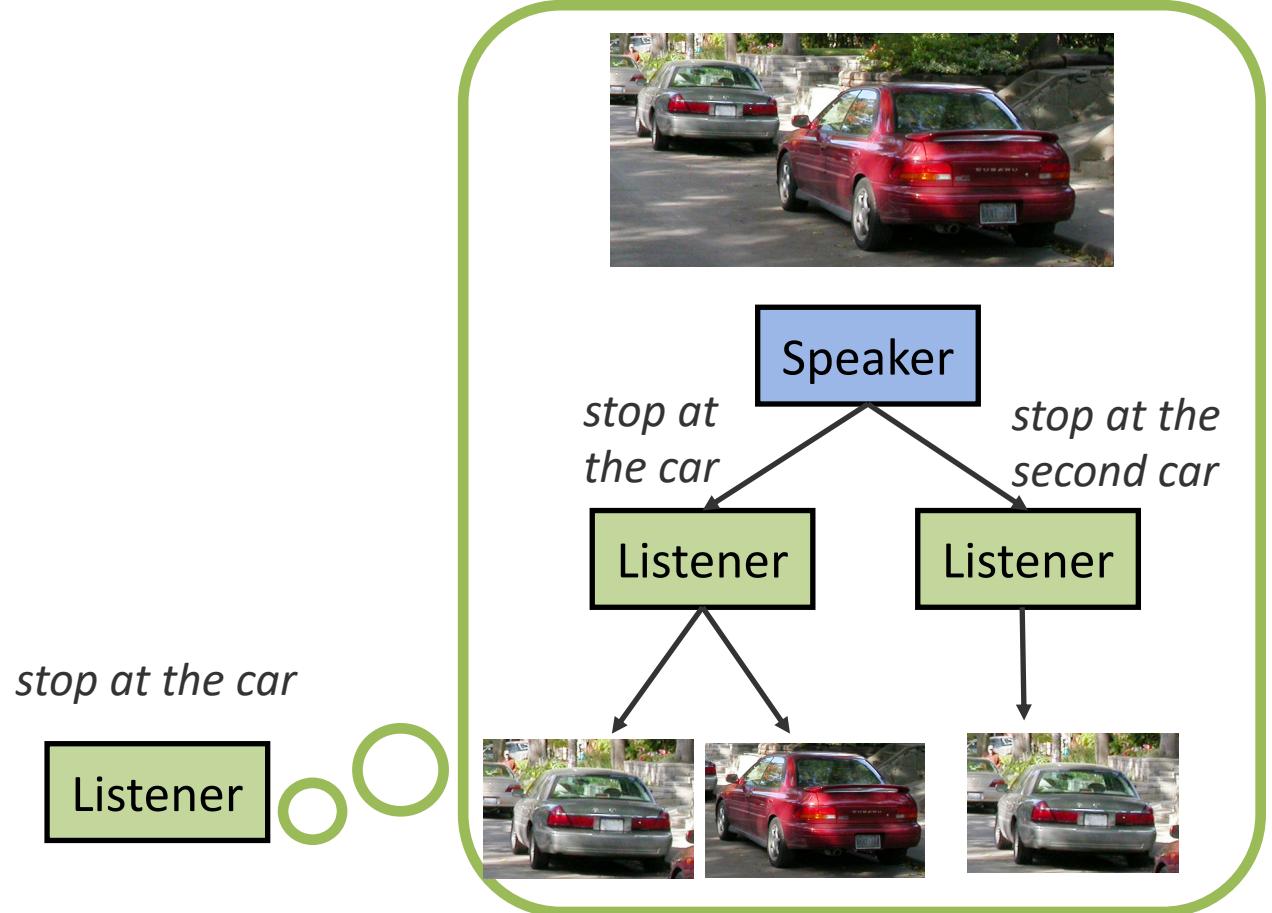


# Pragmatics and Reasoning

Generation



Interpretation

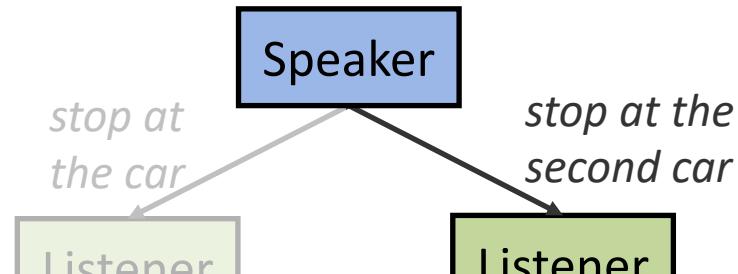


[e.g. Lewis 1969; Golland et al. 2010;  
Frank and Goodman 2012; Degen et al. 2013]

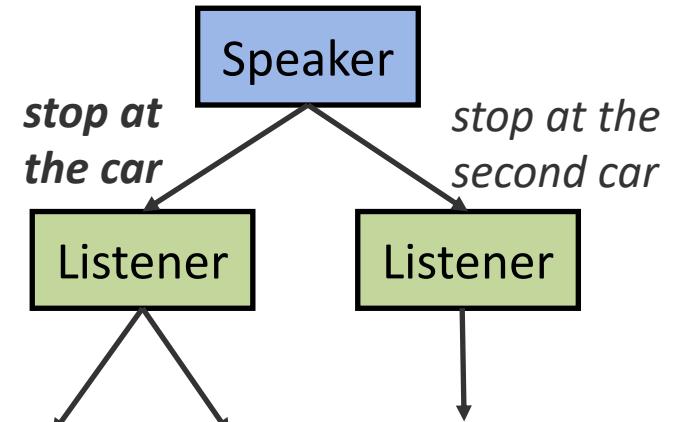


# Pragmatics and Reasoning

Generation



Interpretation



[e.g. Lewis 1969; Golland et al. 2010;  
Frank and Goodman 2012; Degen et al. 2013]



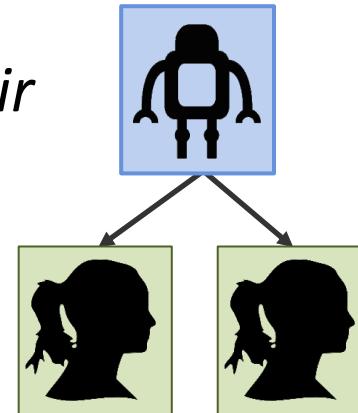
# Reasoning with Speakers and Listeners



# Pragmatics and Generation

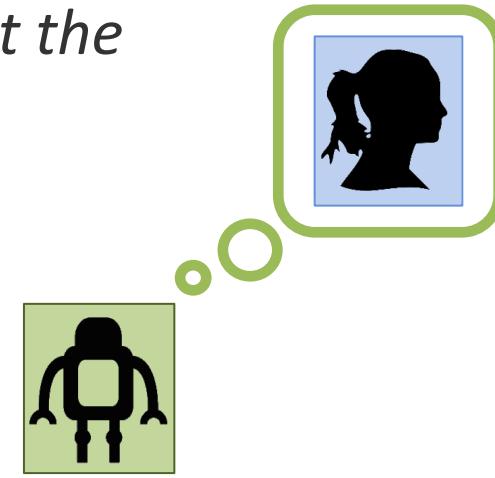


*walk along the wood path to the chair*

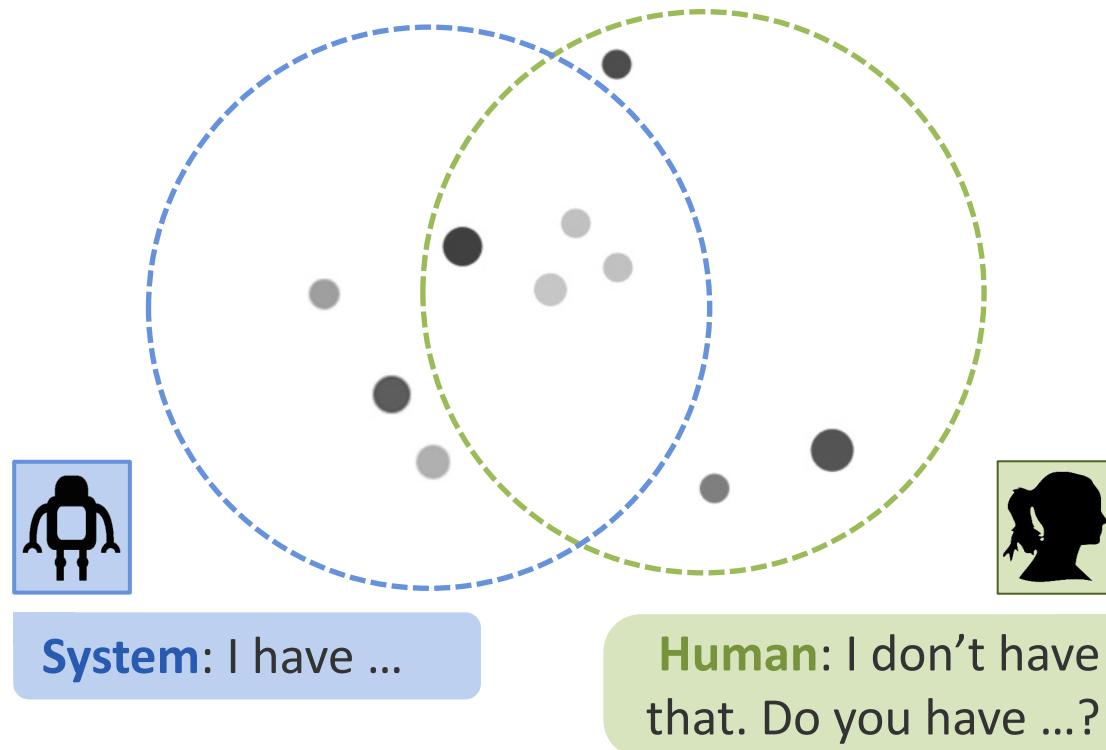


# Pragmatics and Interpretation

*Turn left and take a right at the table. Take a left at the painting and then take your first right.*



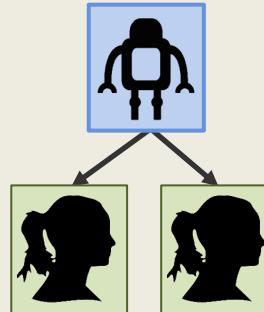
# Pragmatics and Dialogue



# *Pragmatics and...*

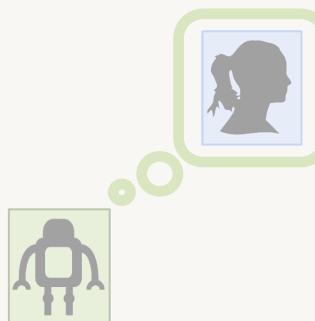
## Generation

[Fried, Andreas, & Klein. NAACL 2018]



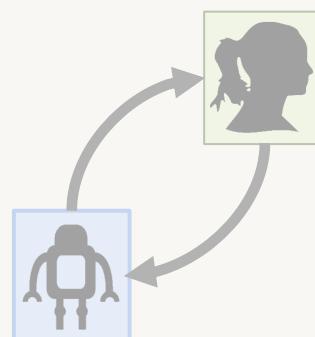
## Interpretation

[Fried\*, Hu\*, Cirik\* et al. NeurIPS 2018]



## Dialogue

[Fried, Chiu, & Klein. In submission]



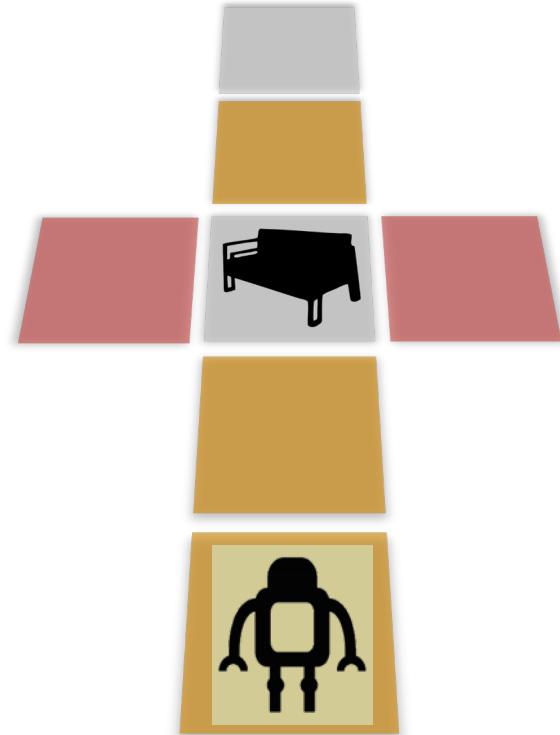


# To Start: Virtual Environments

Human View:



Agent View:



SAIL [MacMahon et al., 2006; Chen and Mooney, 2011]



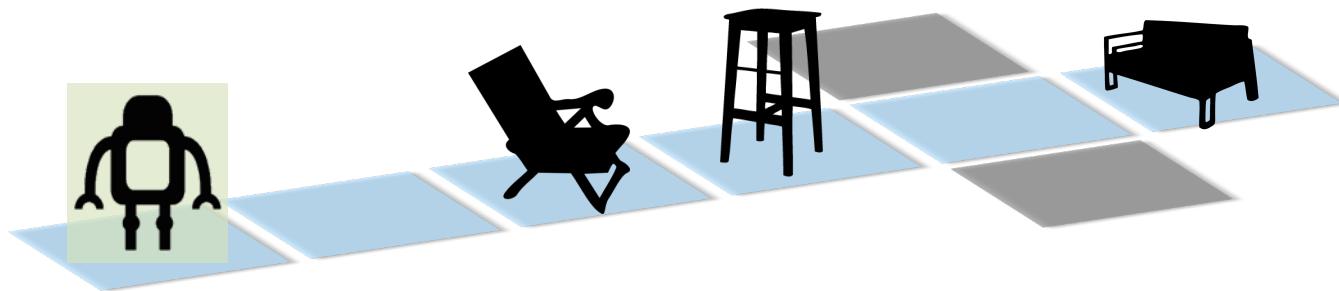
# Interpretation Task

---

Input  
instruction:

*go forward to the grey hallway*

Output  
actions:



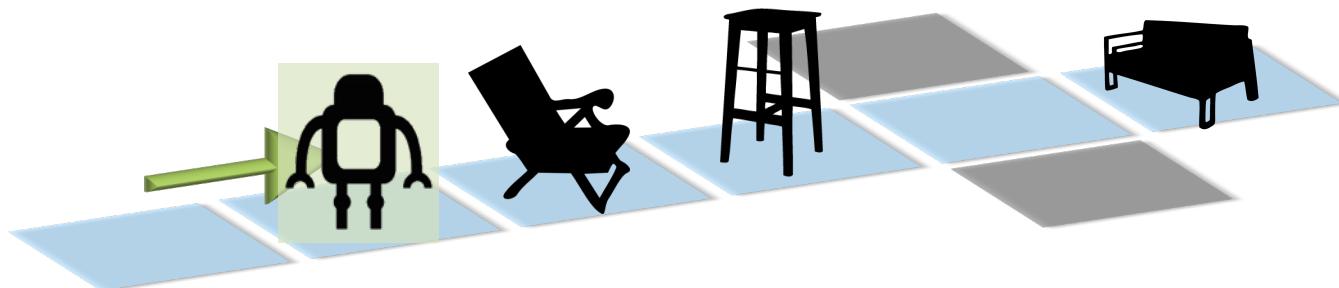


# Interpretation Task

Input  
instruction:

*go forward to the grey hallway*

Output  
actions:



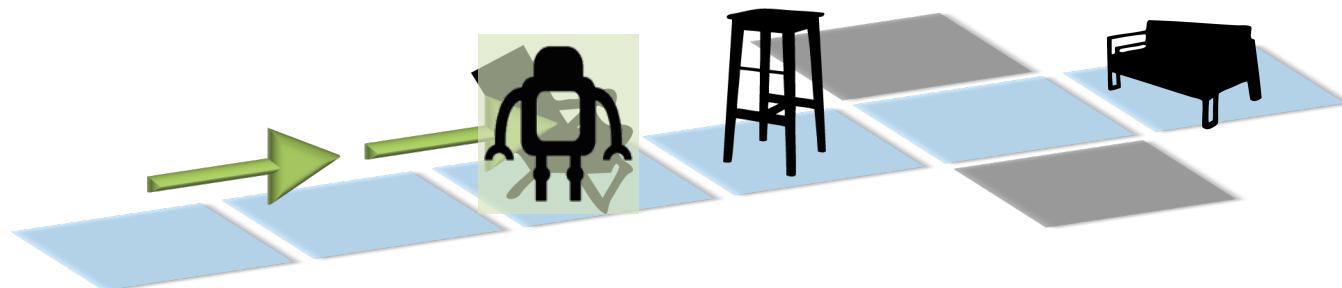


# Interpretation Task

Input  
instruction:

*go forward to the grey hallway*

Output  
actions:



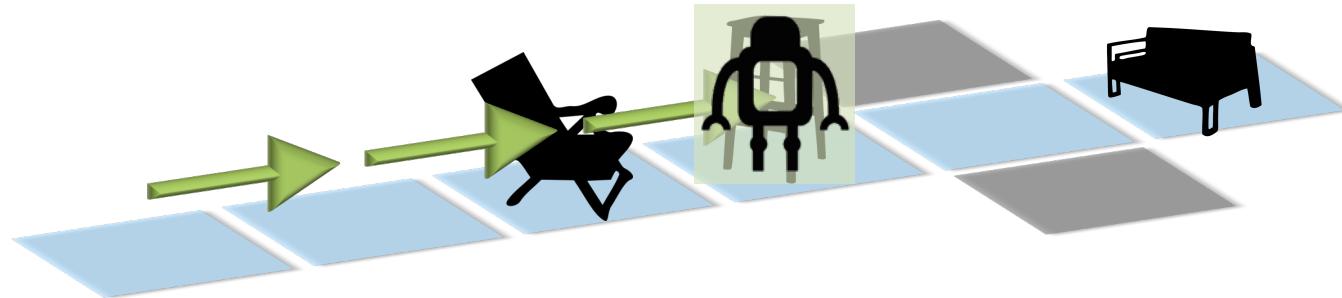


# Interpretation Task

Input  
instruction:

*go forward to the grey hallway*

Output  
actions:



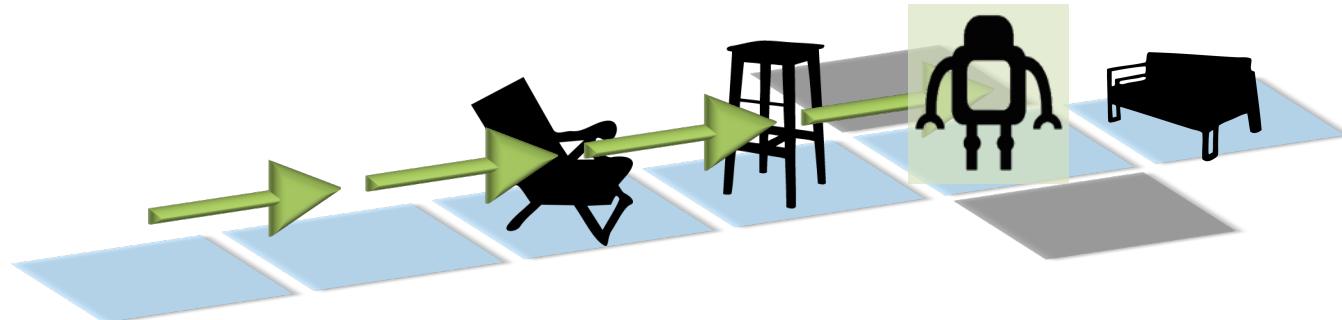


# Interpretation Task

Input  
instruction:

*go forward to the grey hallway*

Output  
actions:



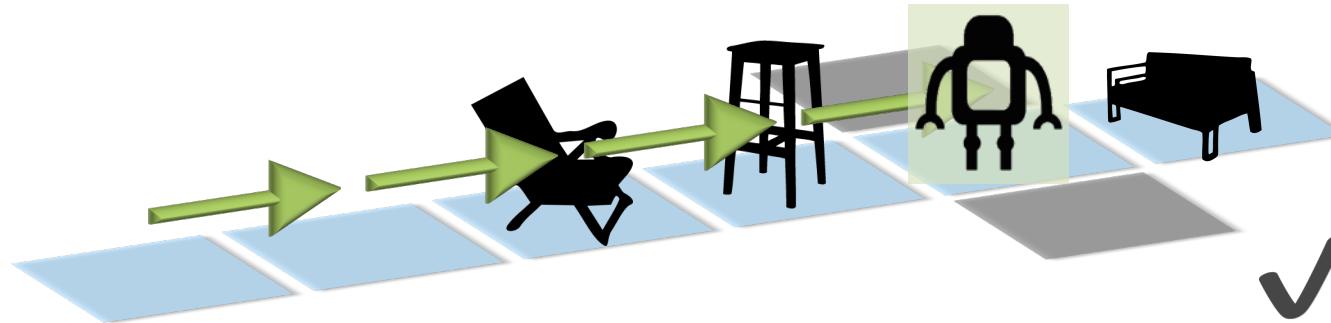


# Interpretation Task

Input  
instruction:

*go forward to the grey hallway*

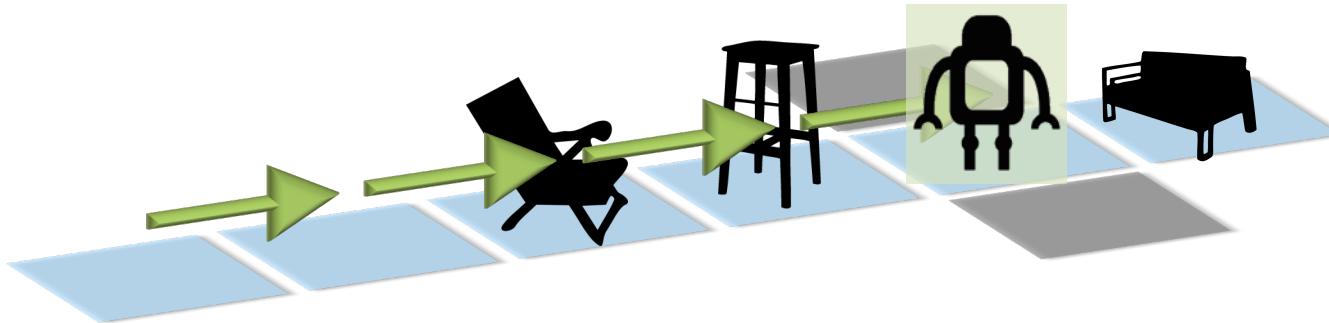
Output  
actions:





# Generation Task

Input  
actions:

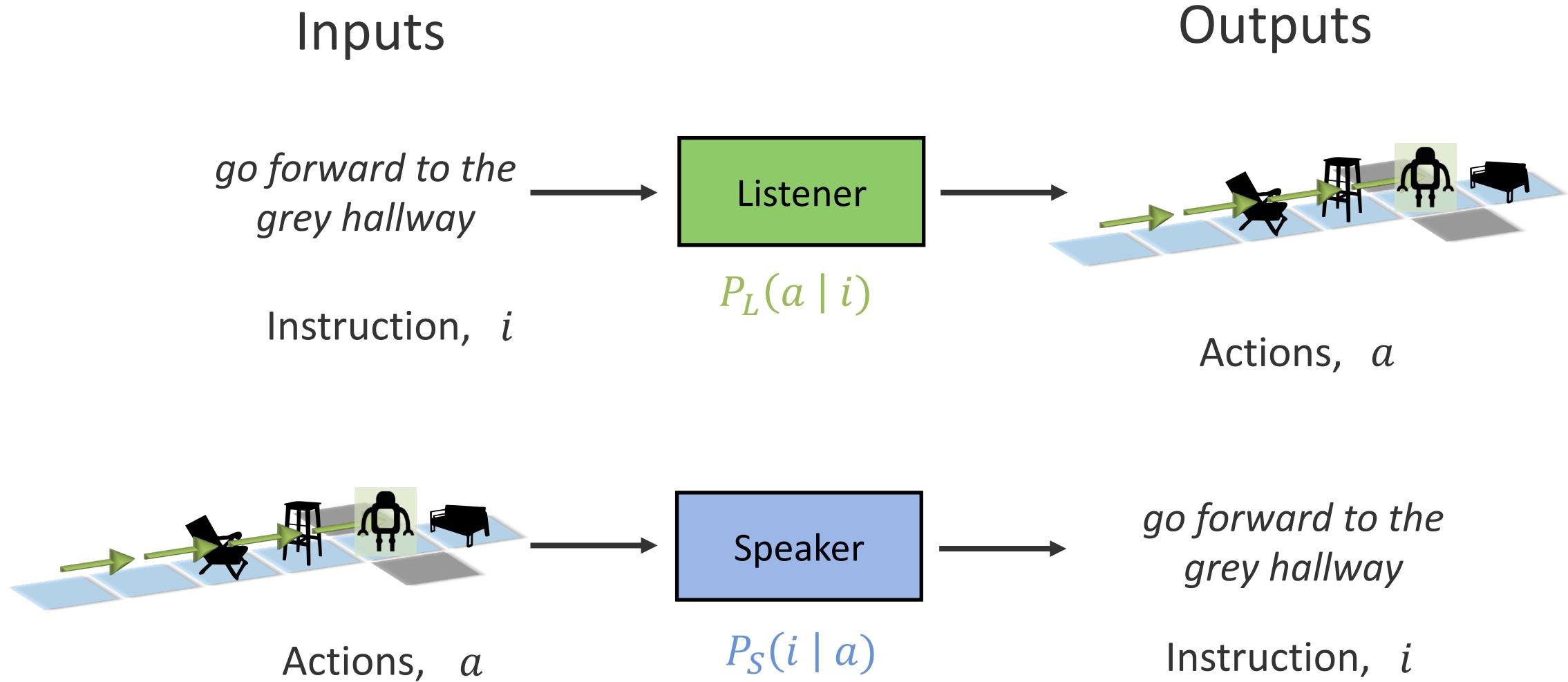


Output  
Instruction:

*go forward to the grey hallway*



# Models of Listeners and Speakers



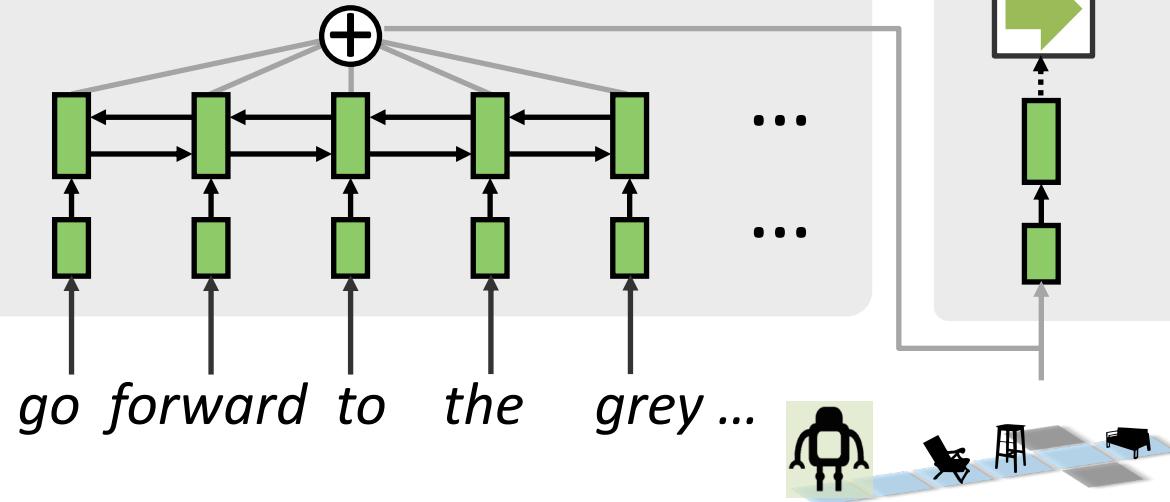


# Base Models

Base  
Listener

$$P_L(a | i) = \prod_t P_L(a_t | a_{1:t-1}, i)$$

LSTM Encoder



LSTM Decoder

$$P_L(a_1 | i)$$



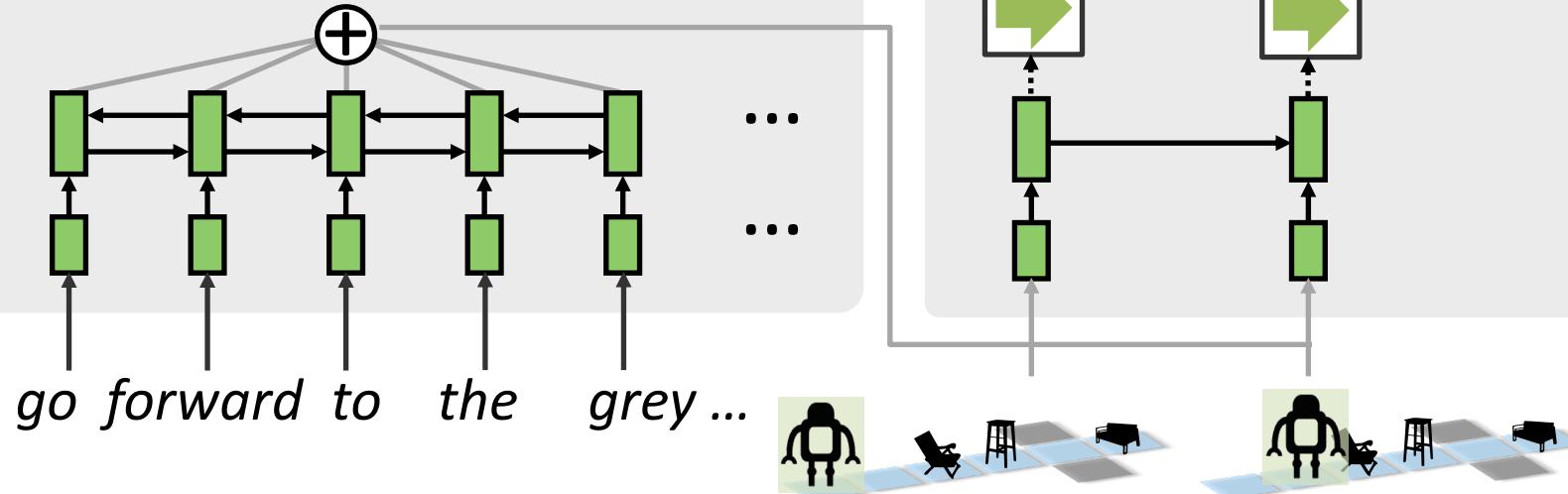


# Base Models

Base  
Listener

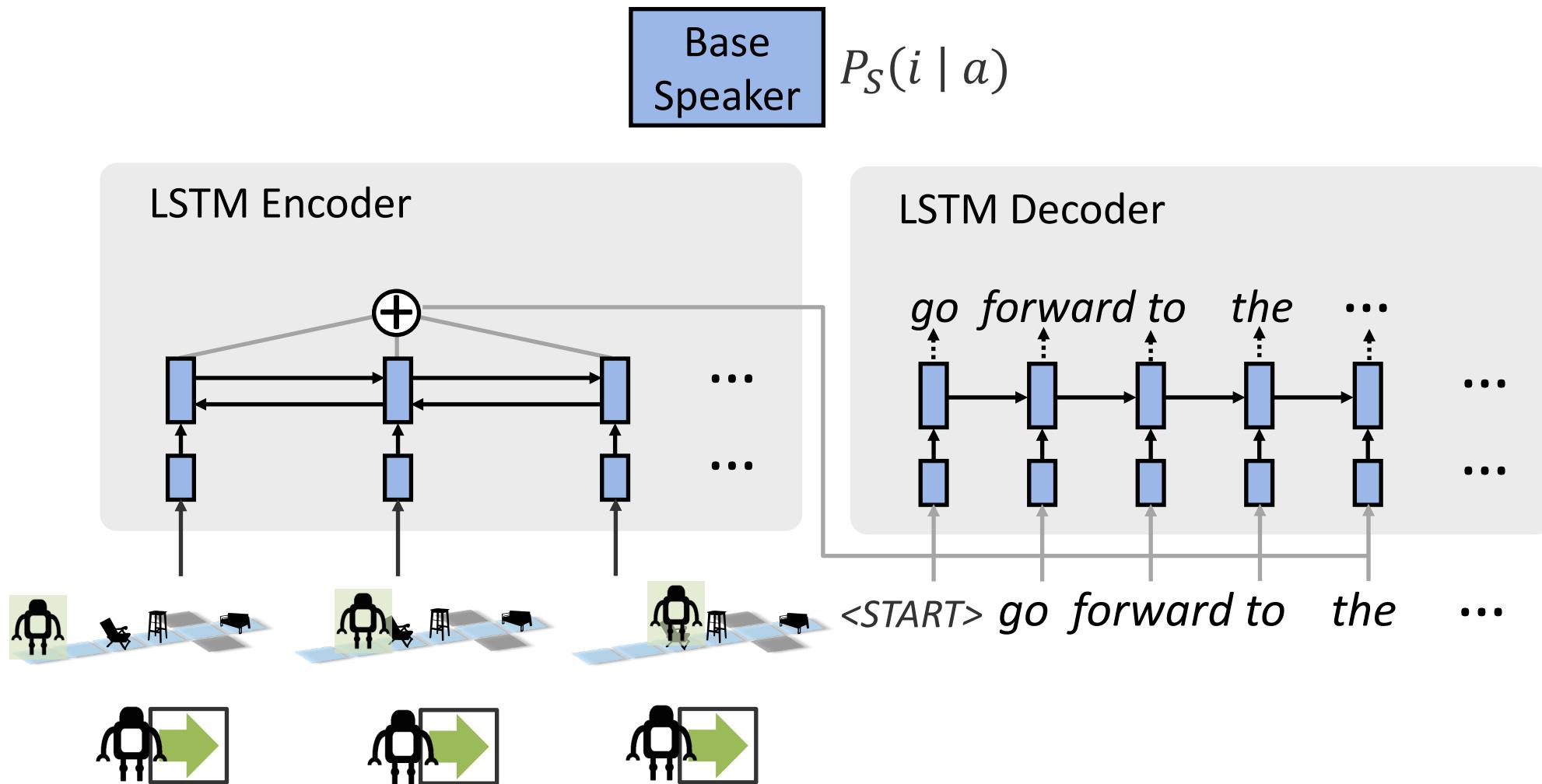
$$P_L(a | i) = \prod_t P_L(a_t | a_{1:t-1}, i)$$

LSTM Encoder



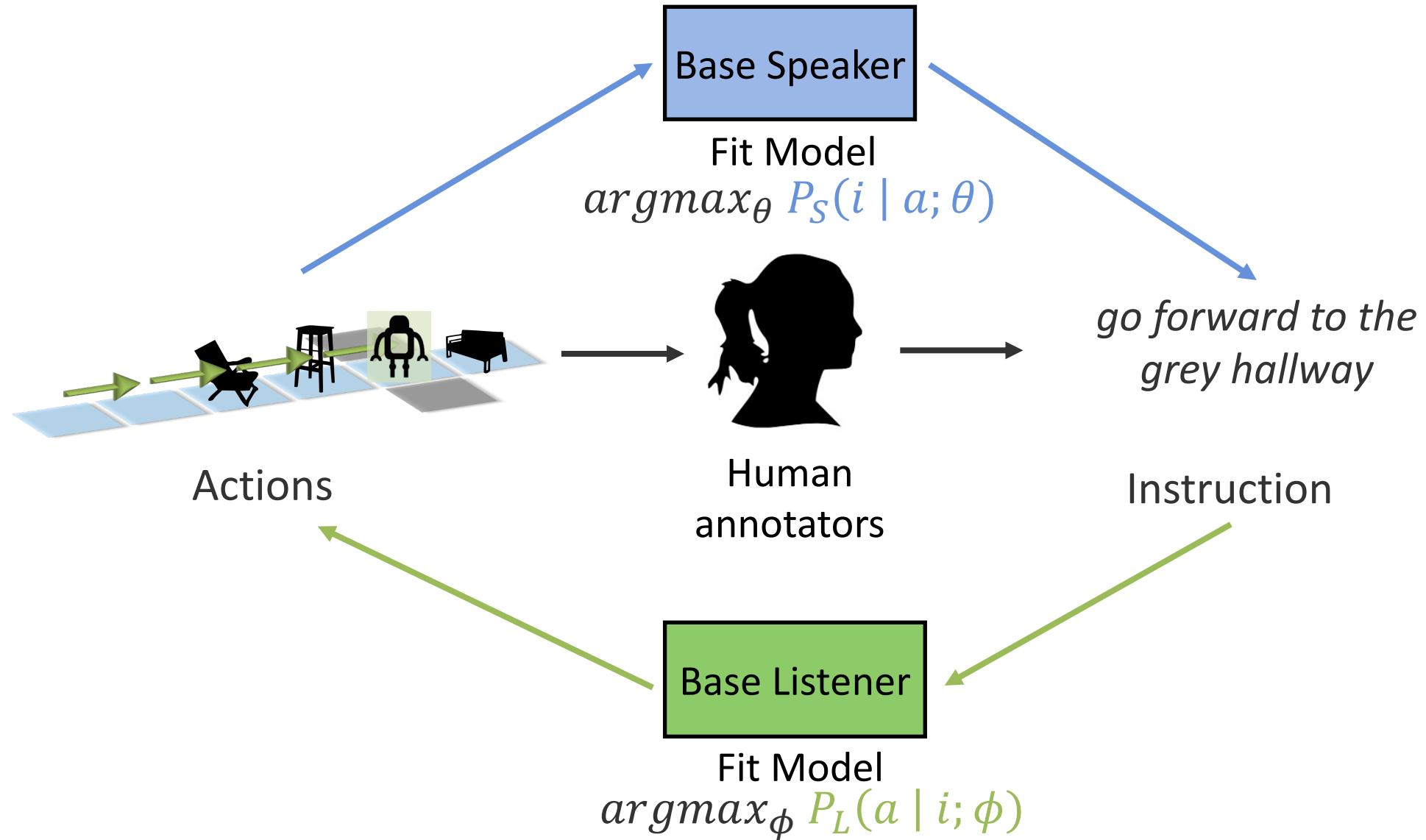


# Base Models





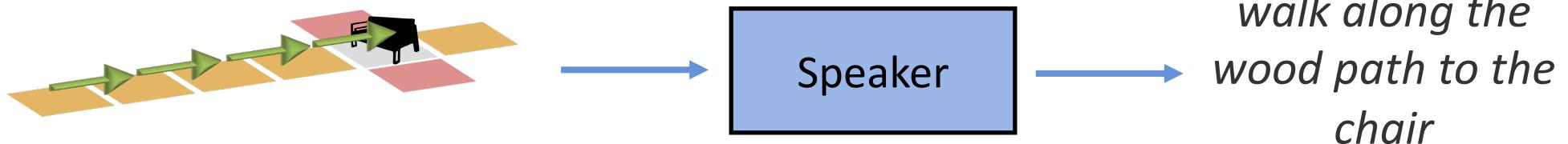
# Training Models on Human Instructions



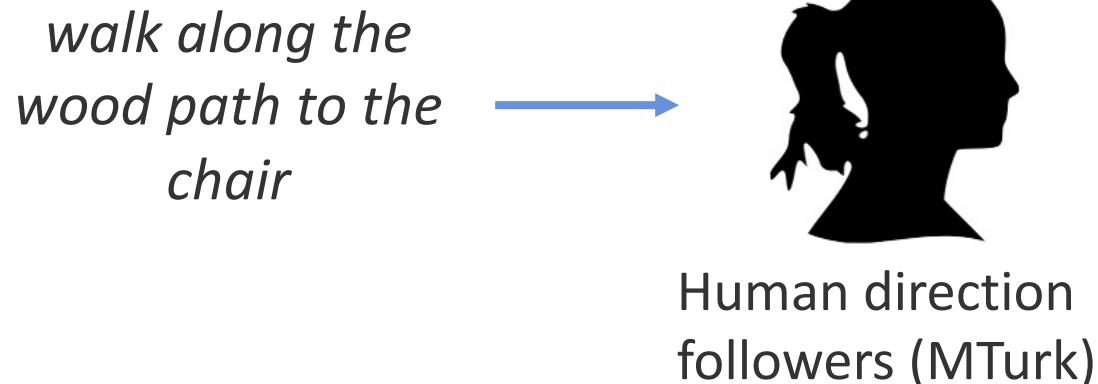


# Speaker Tasks and Evaluation

Speaker produces an instruction



Humans try to interpret it

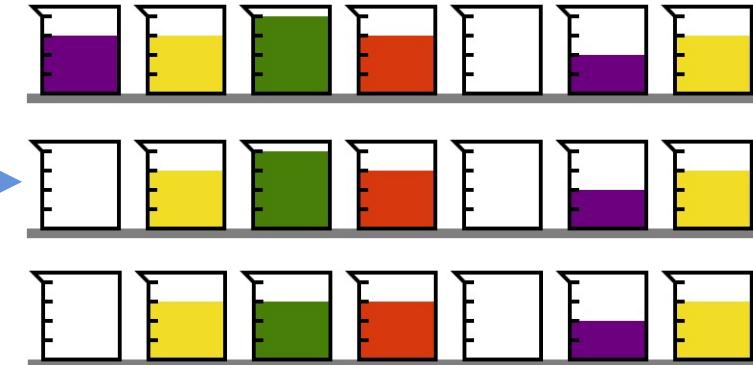




# Speaker Tasks and Evaluation

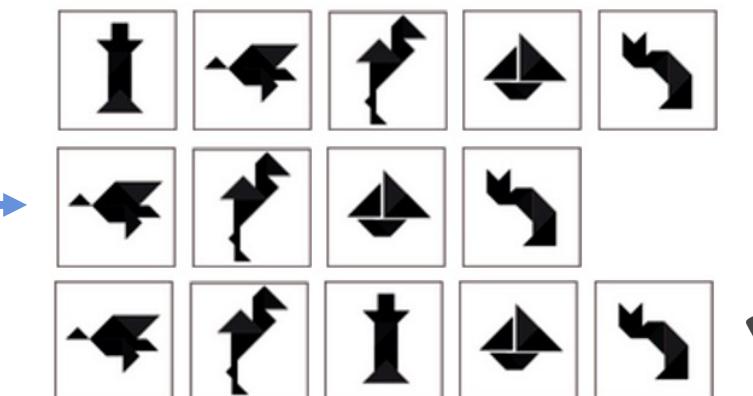
## Alchemy

1. *remove all the purple chemical from the beaker on the far left*
2. *do the same with one unit of green chemical*
3. ...



## Tangrams

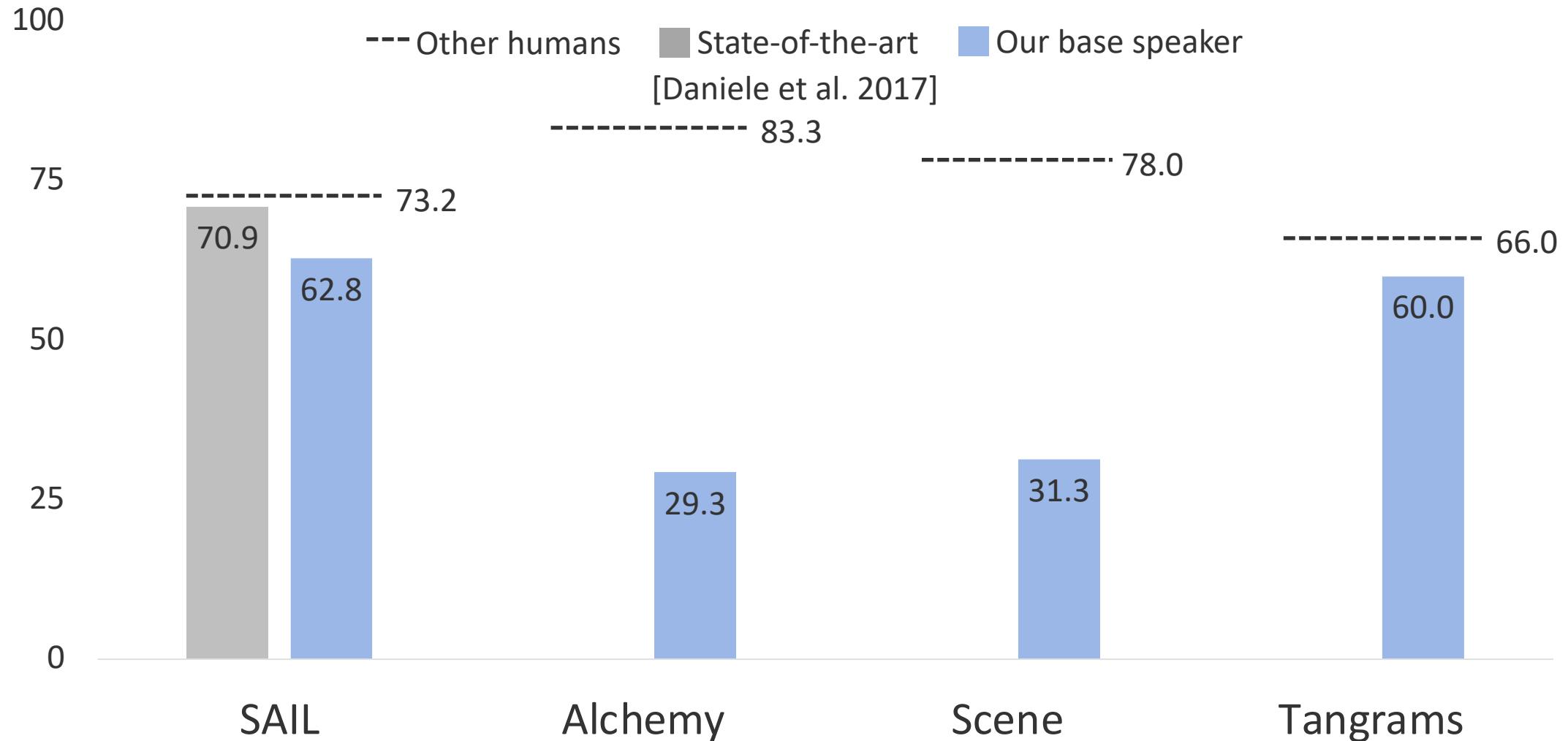
1. *remove first figure*
2. *add it back into middle spot*
3. ...





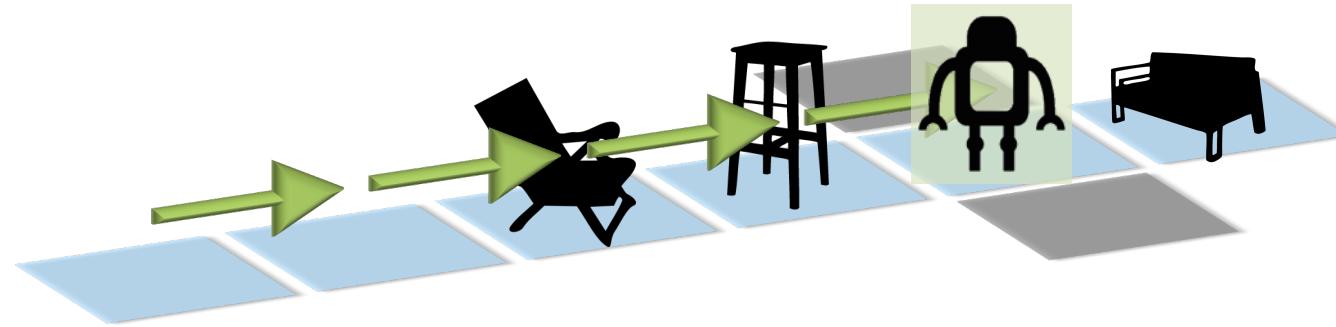
# Generation is Hard to Imitate!

Human accuracy at following instructions from:





# A Failure Mode: Underspecification

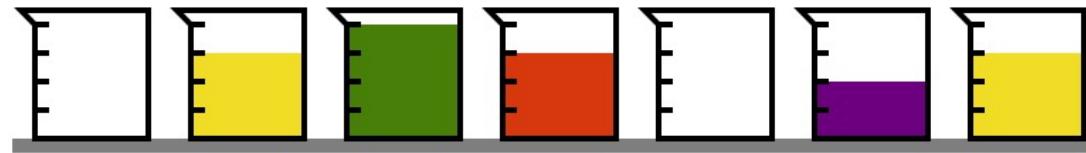


Base  
Speaker

*go forward past the stool ?*

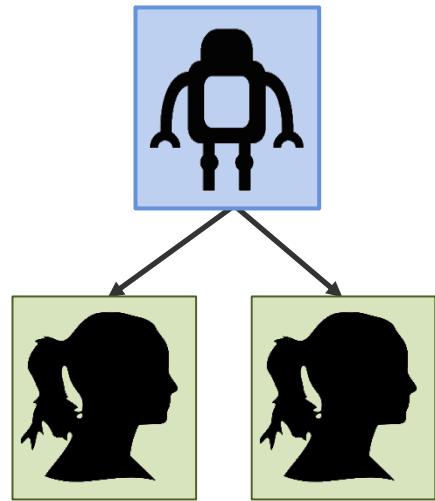


# A Failure Mode: Contextual Ambiguity



Base  
Speaker

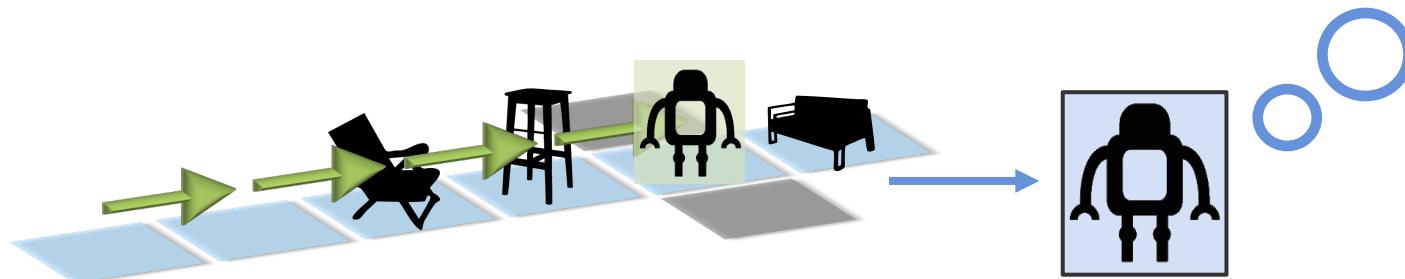
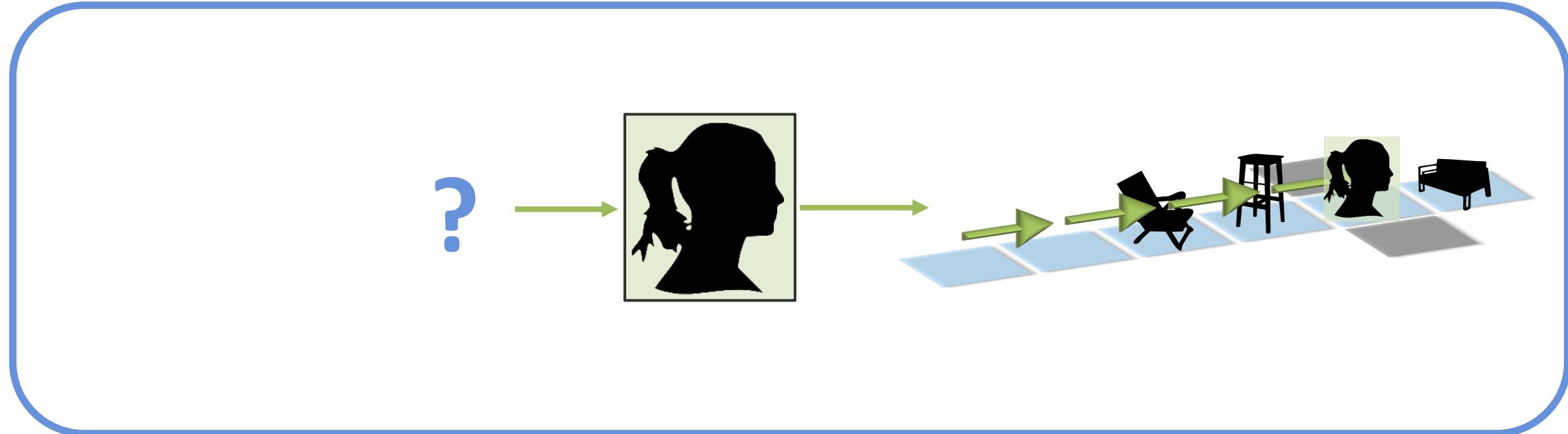
*throw out the purple chemical* X



*Making Text Informative  
with Pragmatic Speakers*



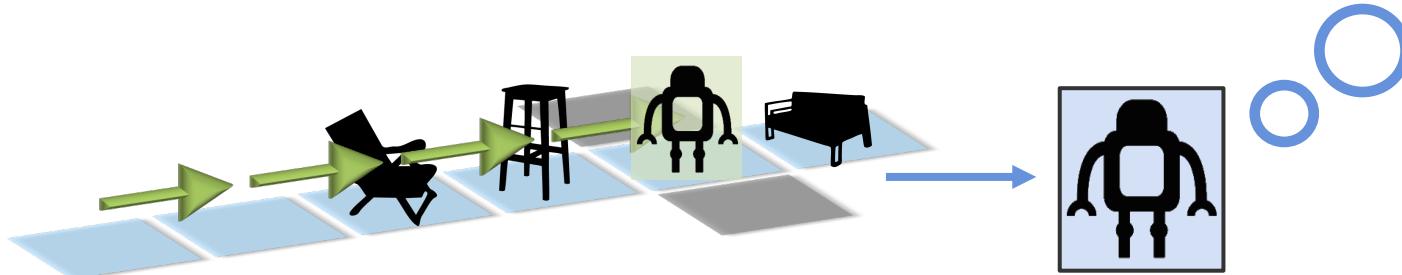
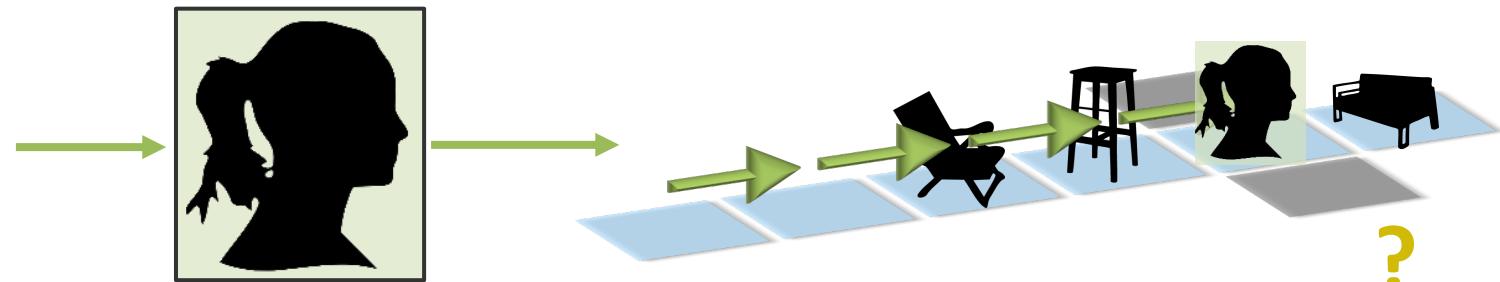
# Pragmatic Speakers Simulate Interpretation





# Pragmatic Speakers Simulate Interpretation

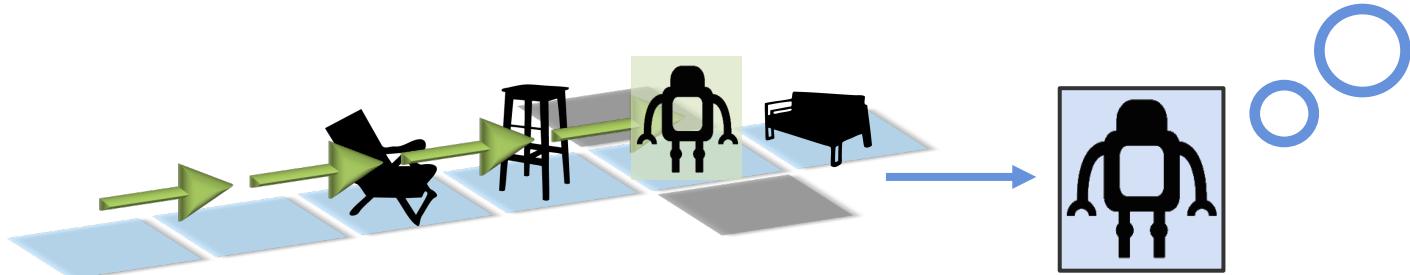
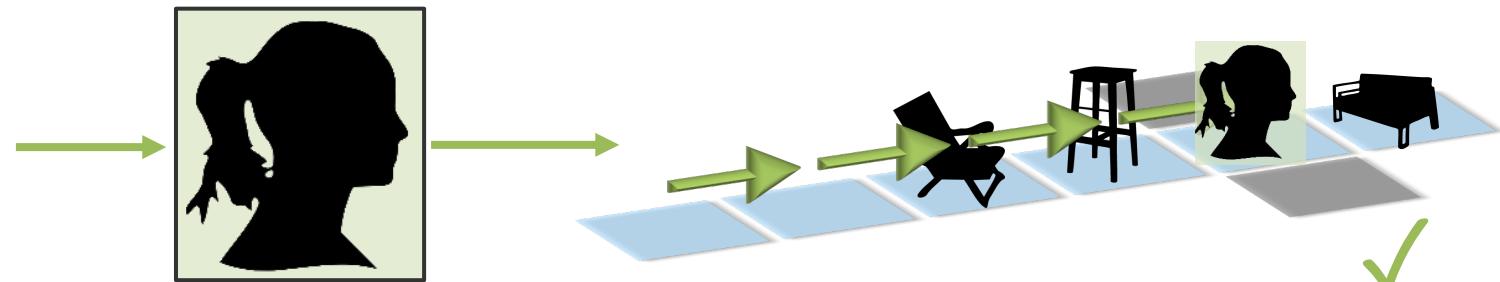
*go forward  
past the stool*





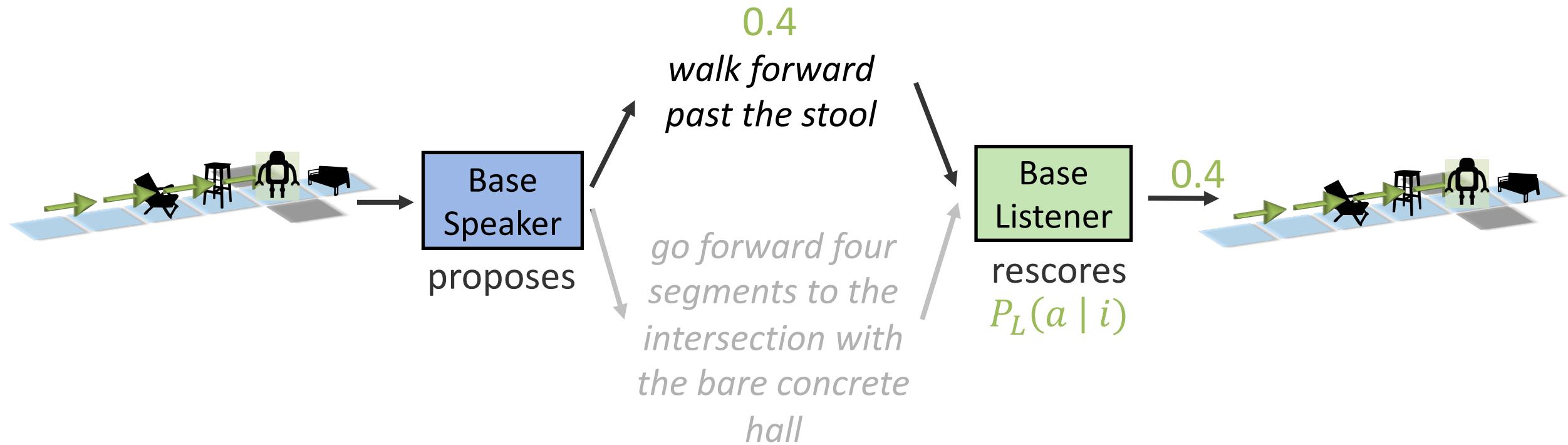
# Pragmatic Speakers Simulate Interpretation

*go forward four segments to the intersection with the bare concrete hall*



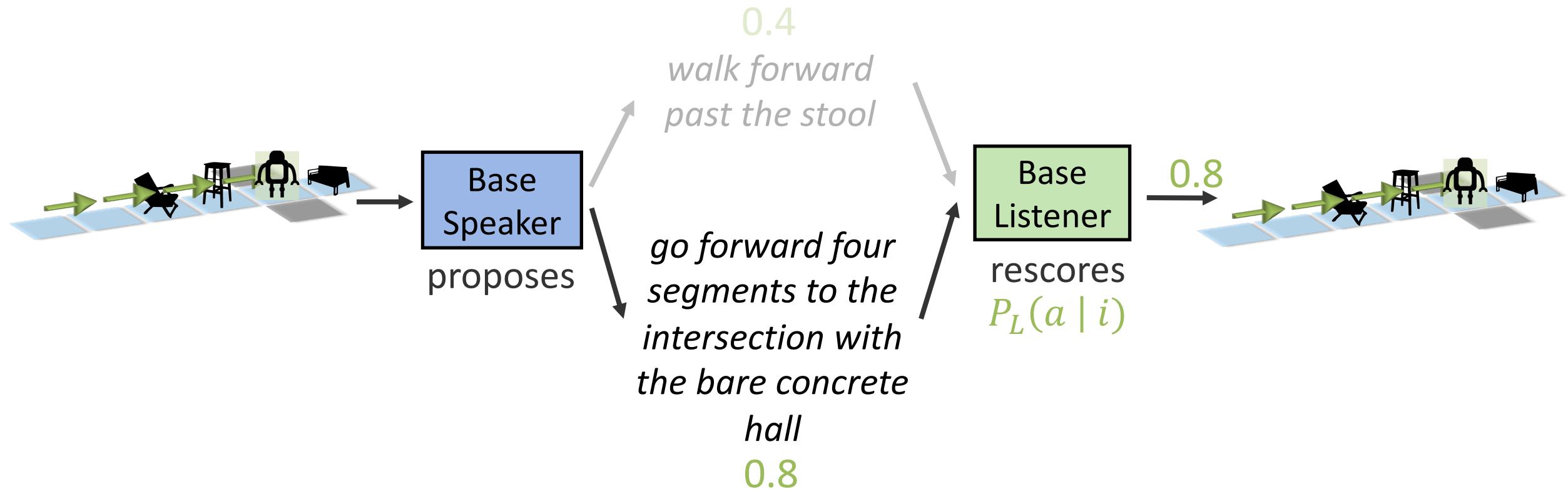


# Building a Pragmatic Speaker



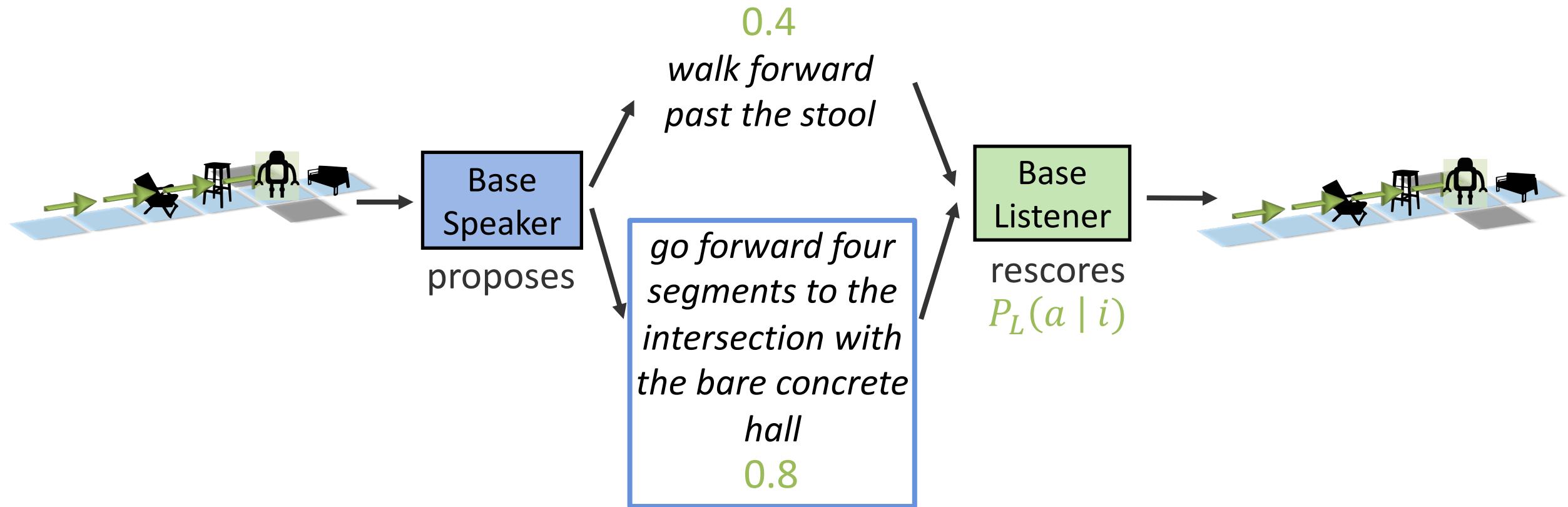


# Building a Pragmatic Speaker





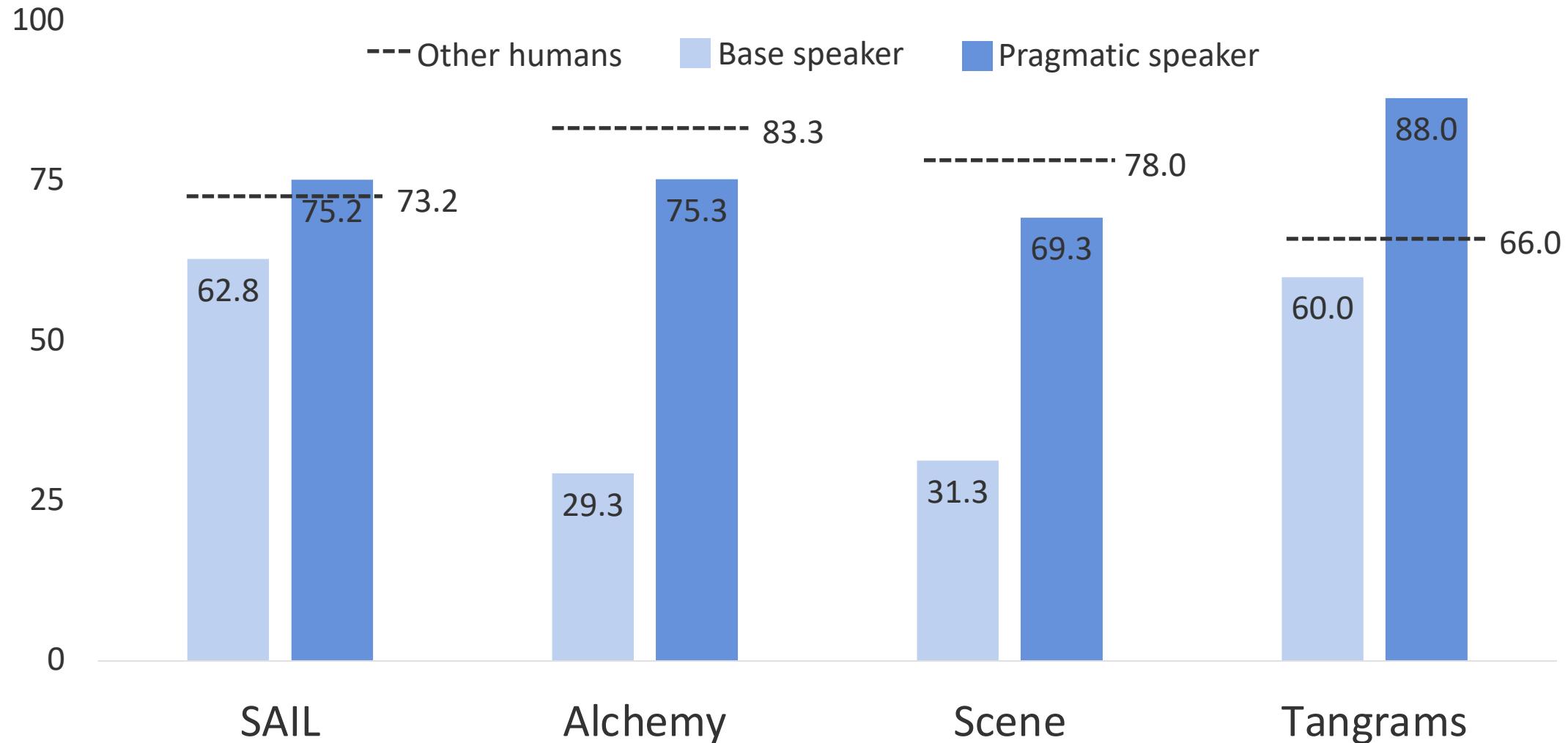
# Building a Pragmatic Speaker





# Speaker Results

Human accuracy at following instructions from:





# Pragmatics and Communicative Success



Base  
Speaker

*throw out the purple chemical*

X

Pragmatic  
Speaker

*throw out the first purple chemical*

✓

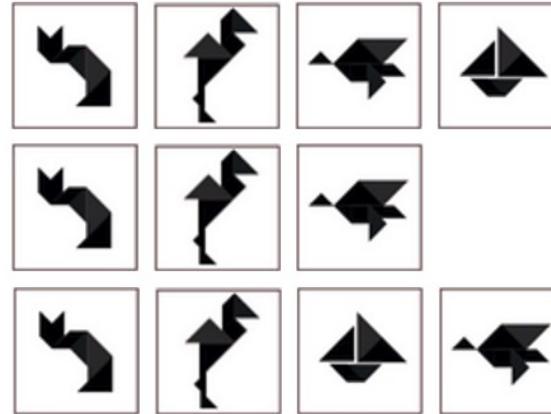
Human

*remove all the purple chemical  
from the beaker on the far left*

✓



# Pragmatics and Communicative Success



Base  
Speaker

*remove the last figure  
add it back*

X

Pragmatic  
Speaker

*remove the last figure  
add it back in the 3rd position*

✓

Human

*take away the last item  
undo the last step*

X



# Pragmatic Speakers in Other Domains

## Document Summarization

**Input:**

*... The 1-0 scoreline that took Barcelona through to the Champions League quarterfinals made their clash with Manchester City all seem rather academic. ....*

**Pragmatic Output:**

*Barcelona beat Manchester City 1-0 in the Champions League.*

## Image Captioning

**Input:**



**Pragmatic Output:**

*two giraffes standing in a large enclosure with a building in the background*

## Visual Navigation

**Input:**



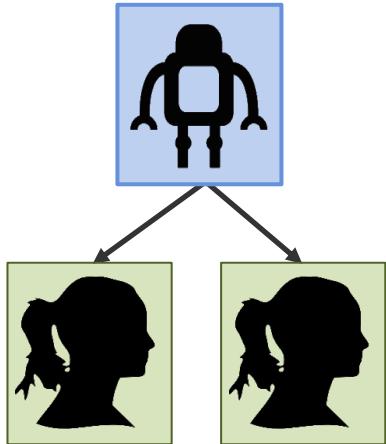
**Pragmatic Output:**

*walk past the dining room table and chairs and take a right into the living room. stop once you are on the rug.*



# Takeaways

---



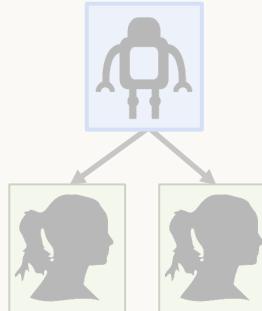
*Simulating people's interpretations makes language more informative.*

*Pragmatics allows models to sometimes outperform their training data.*

# *Pragmatics and...*

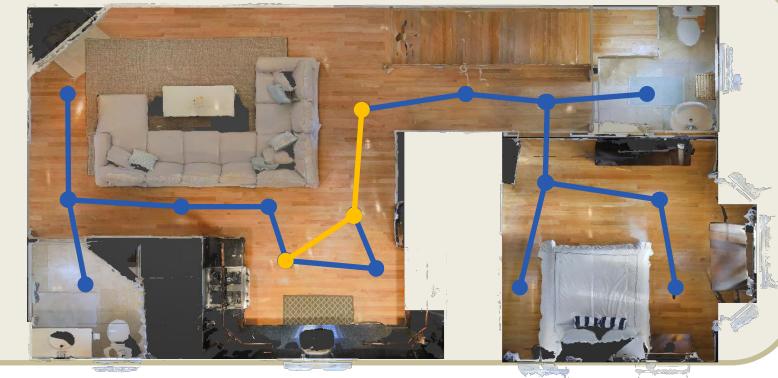
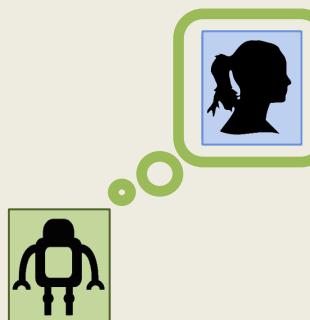
## Generation

[Fried, Andreas, & Klein. NAACL 2018]



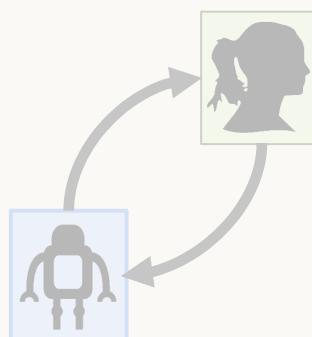
## Interpretation

[Fried\*, Hu\*, Cirik\* et al. NeurIPS 2018]



## Dialogue

[Fried, Chiu, & Klein. In submission]

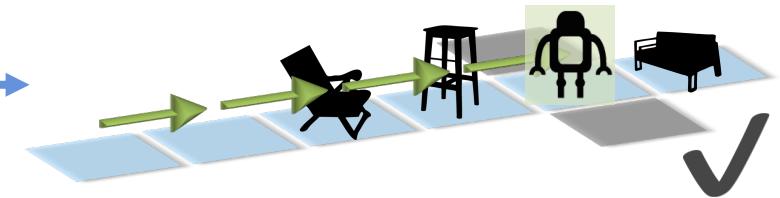




# Listener Tasks

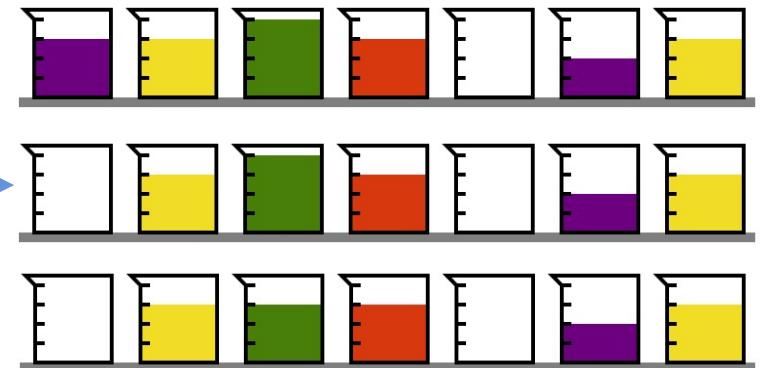
## Navigation

*go forward to the  
grey hallway*



## Contextual Execution: Alchemy

- remove all the purple  
chemical from the  
beaker on the far left*
- do the same with one  
unit of green chemical*





# Strong Listener Models

90

Accuracy at following human instructions

■ State-of-the-art ■ Our base listener

[Artzi and Zettlemoyer '13,  
Suhr and Artzi '18]

80

70

60

50



SAIL

Alchemy

Scene

Tangrams

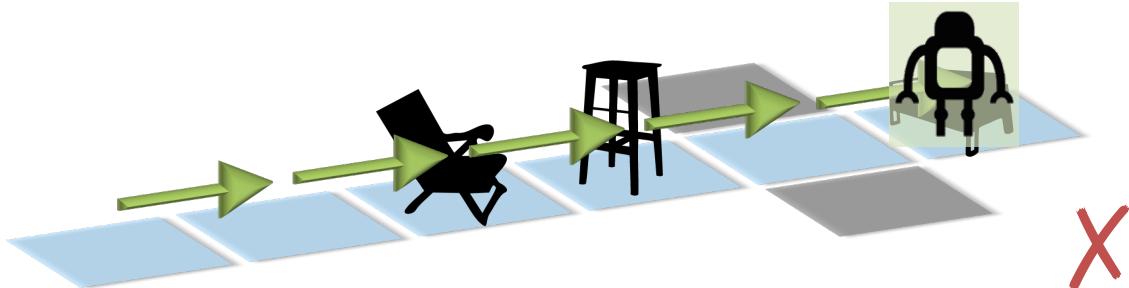


# A Failure Mode for Listeners: Ambiguity

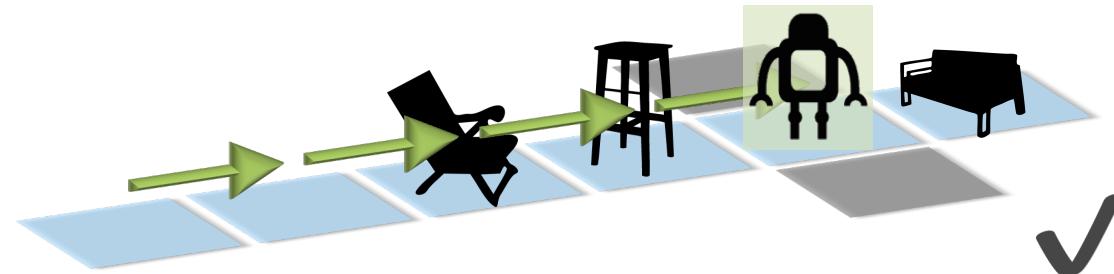
Instruction

*walk along the blue carpet and you pass  
two objects*

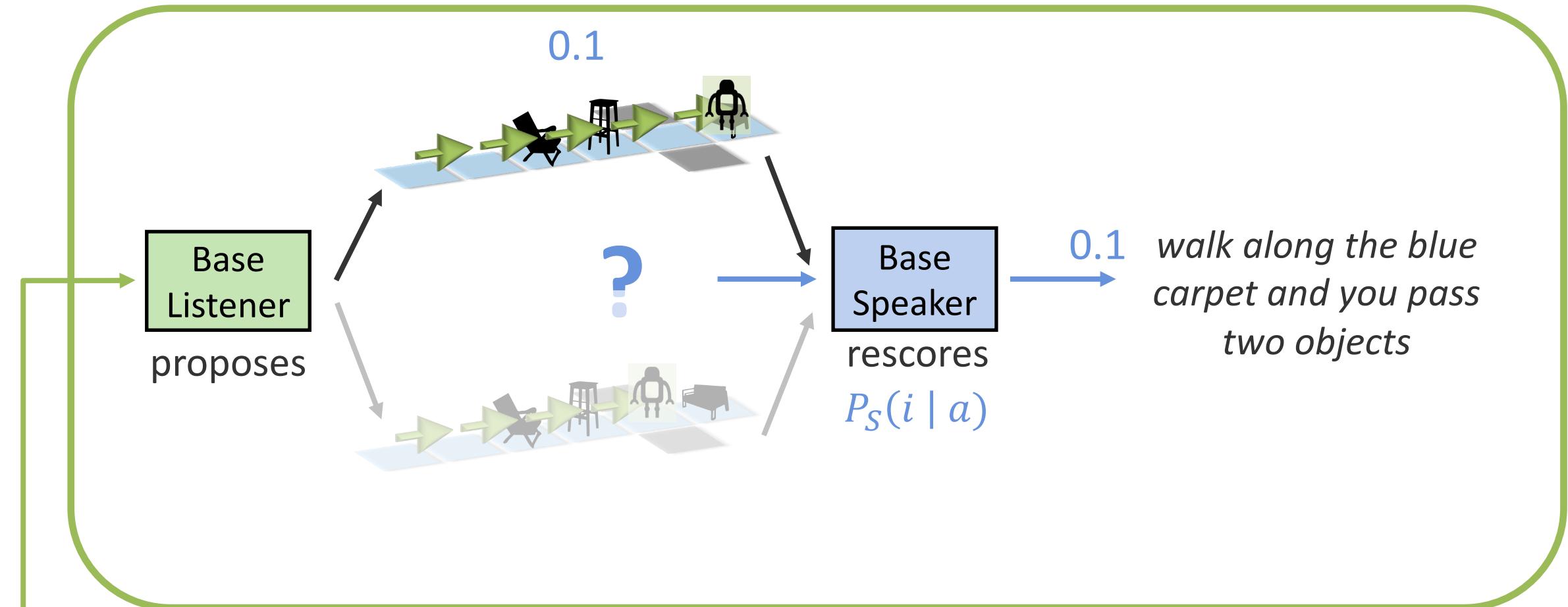
Base  
Listener



Correct



# Building a Pragmatic Listener



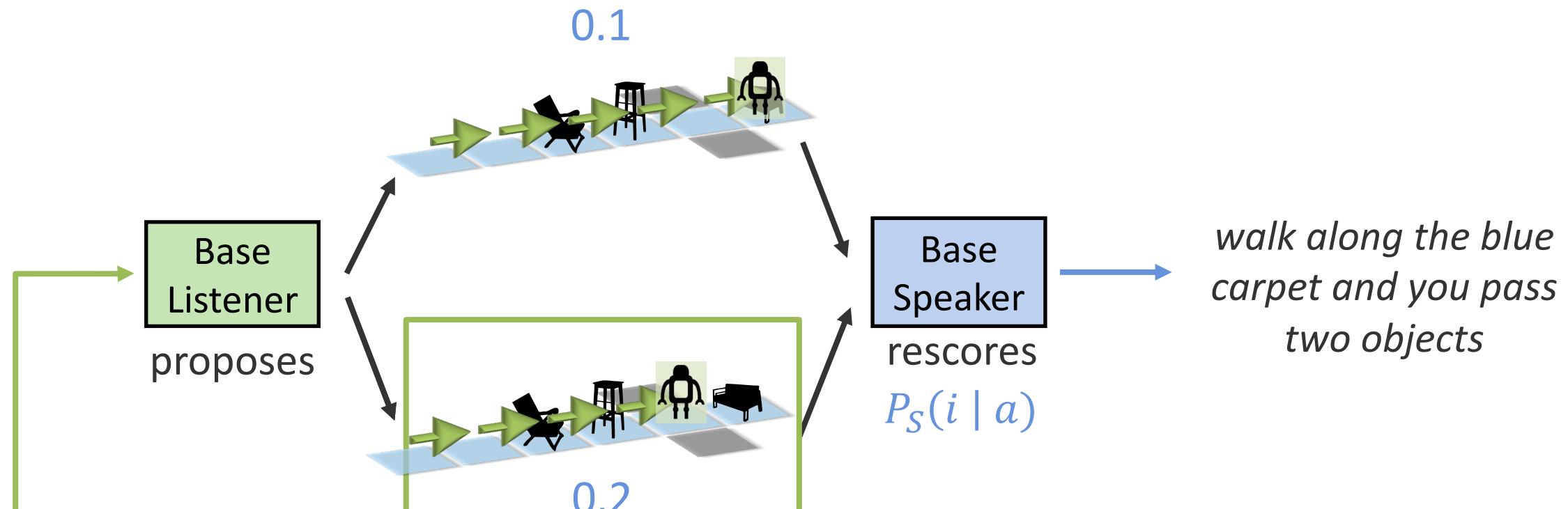
*walk along the blue carpet and you pass two objects*

Pragmatic Listener

*walk along the blue carpet and you pass two objects*



# Building a Pragmatic Listener



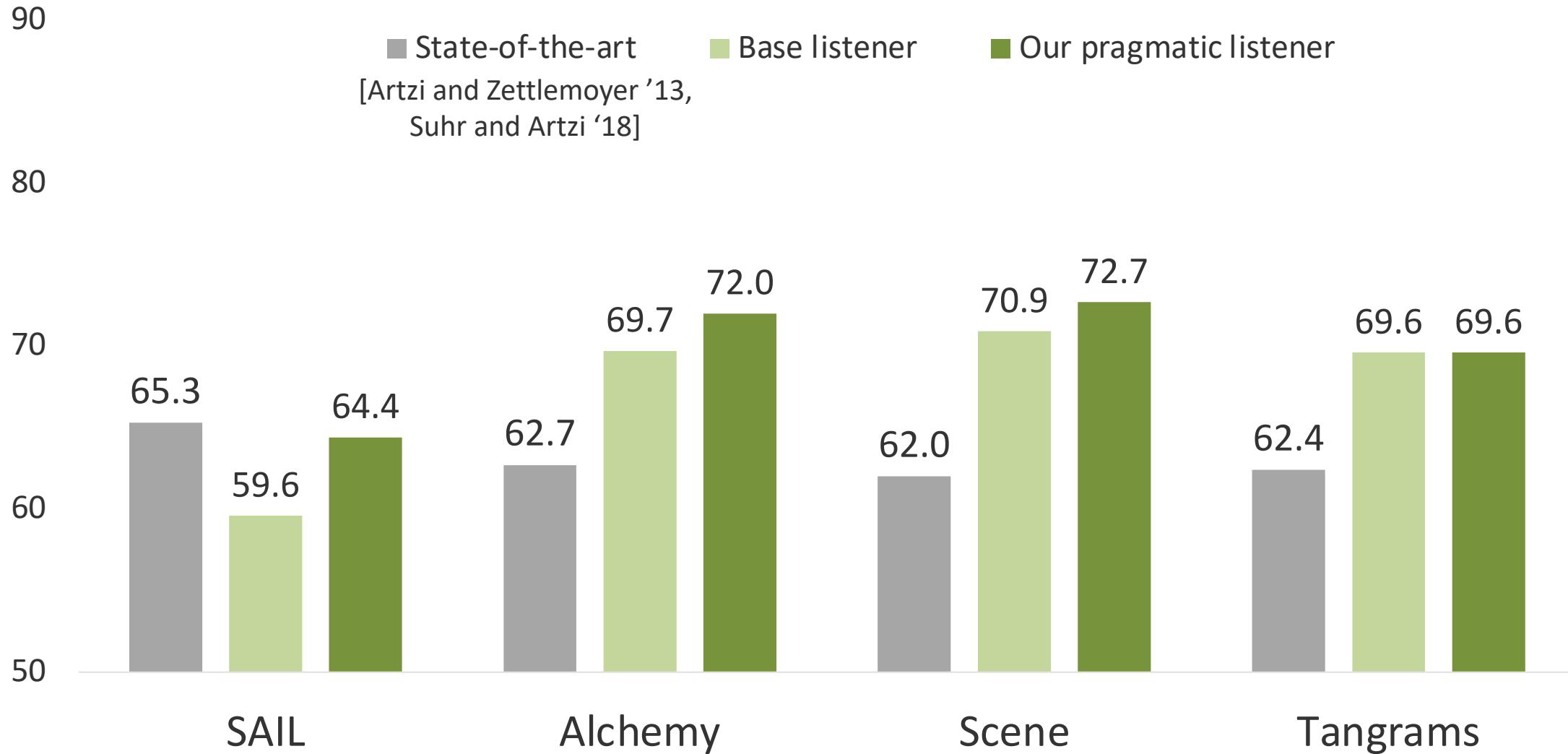
*walk along the blue carpet and you pass two objects*

*walk along the blue carpet and you pass two objects*



# Listener Results

## Accuracy at following human instructions



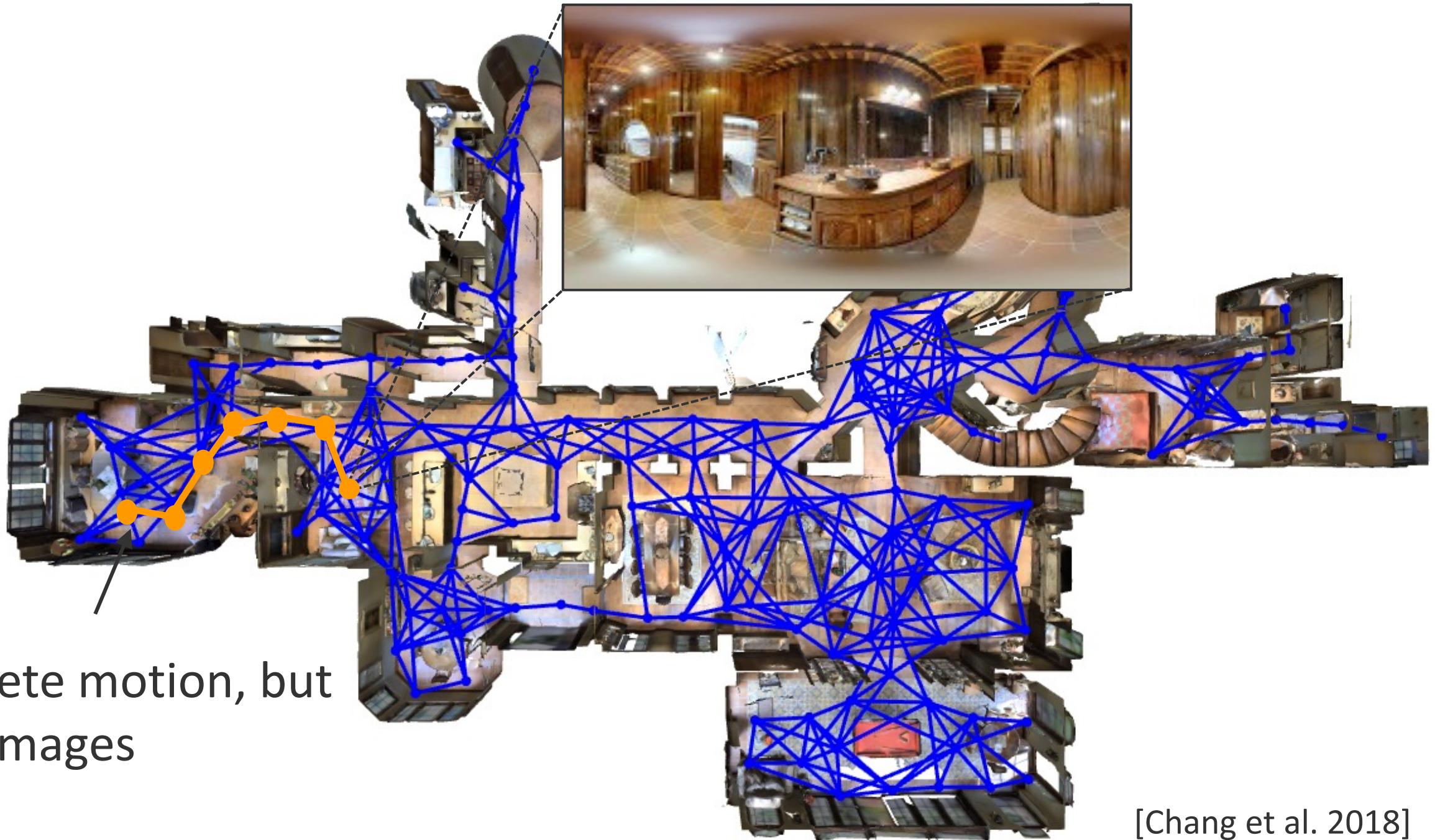


# Visually-Grounded Listeners



*Turn left and take a right at the table. Take a left at the painting and then take your first right. Wait next to the exercise equipment.*

[Vision-and-Language Navigation Task. Anderson et al., 2018]

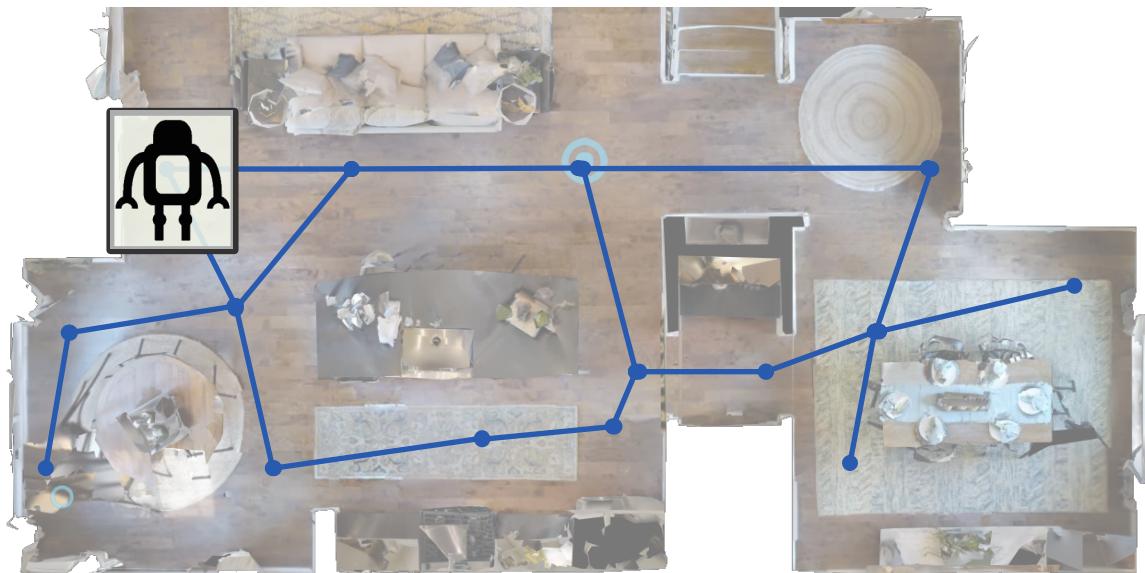
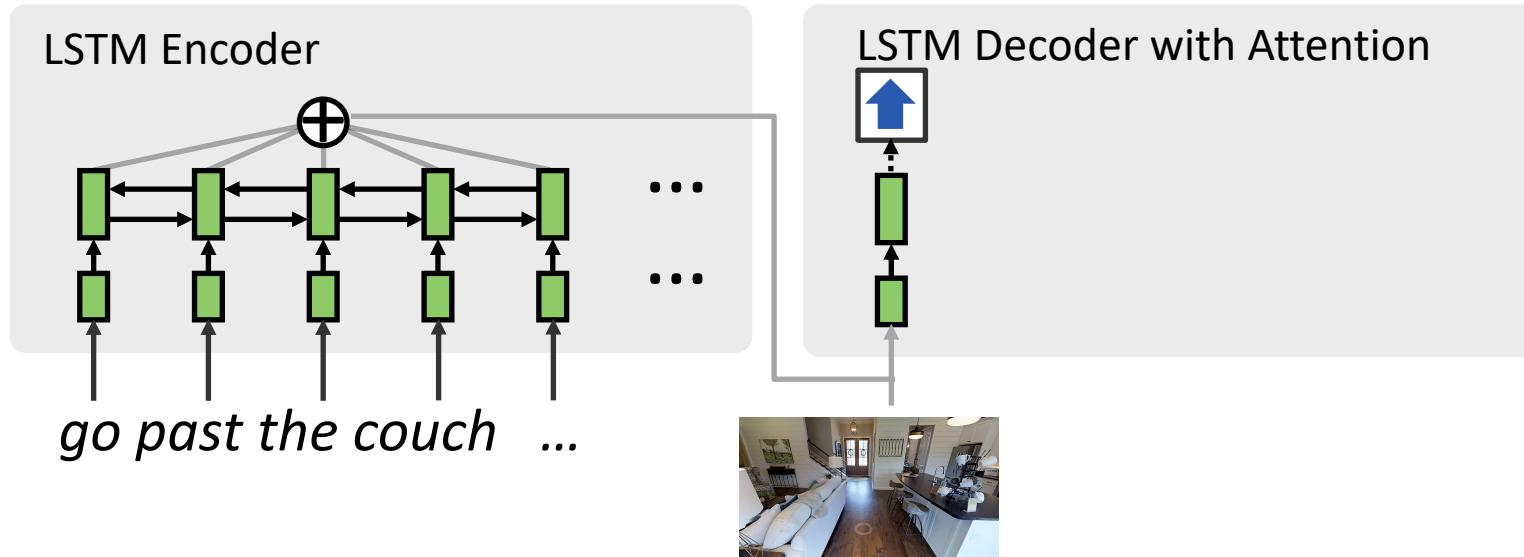


Discrete motion, but  
real images

[Chang et al. 2018]



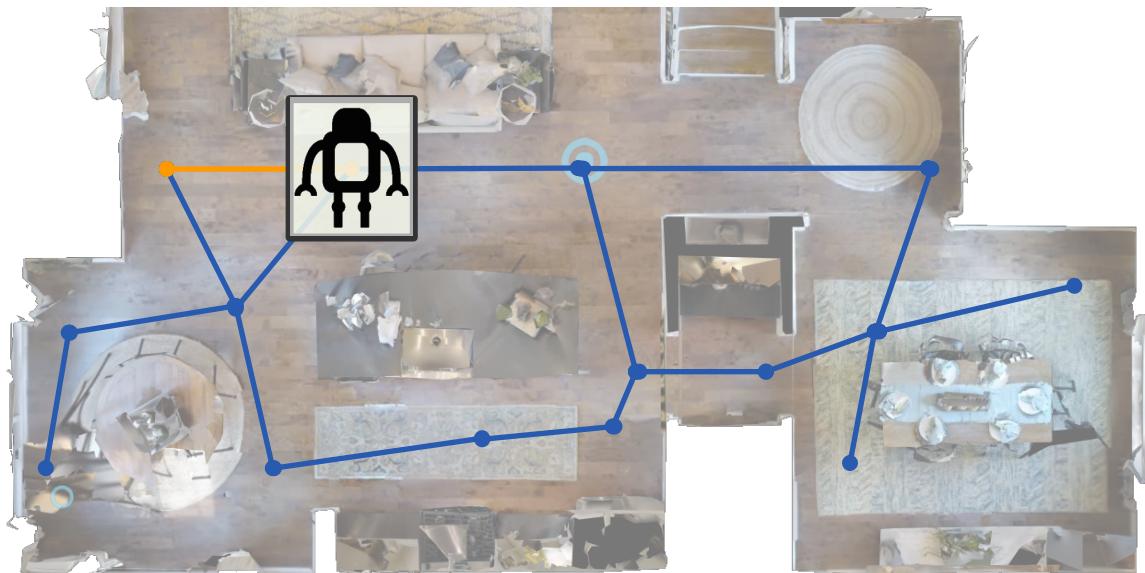
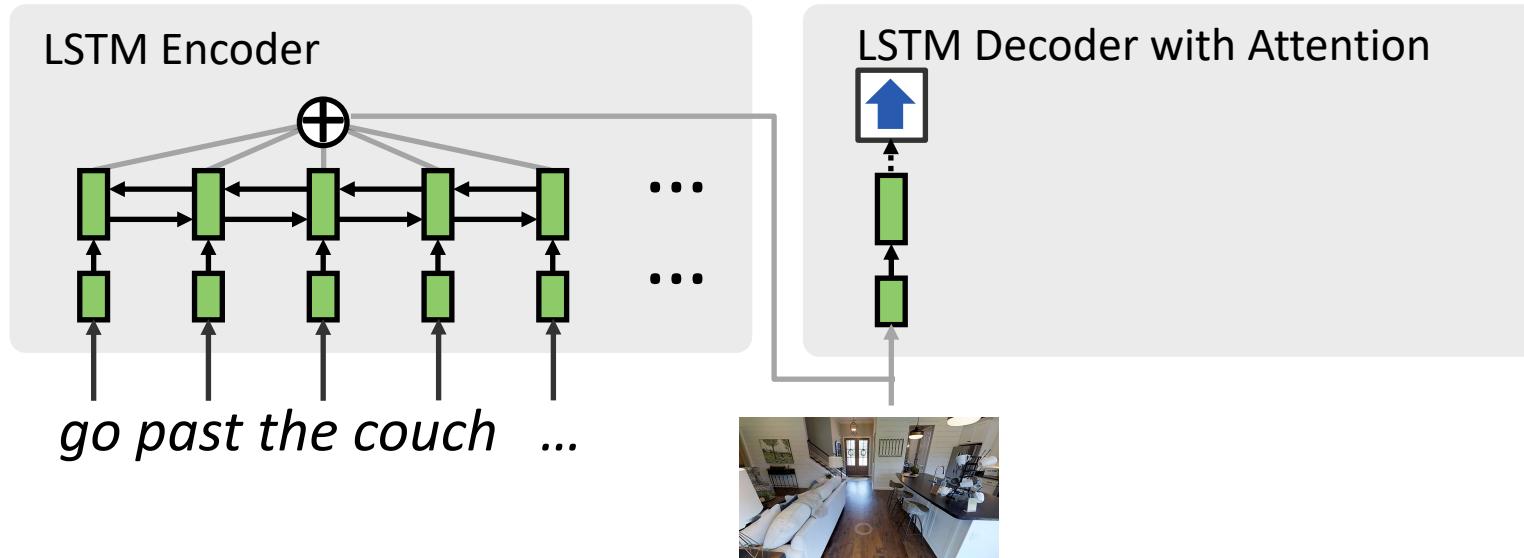
# Base Listener Model



[Anderson et al., 2018]



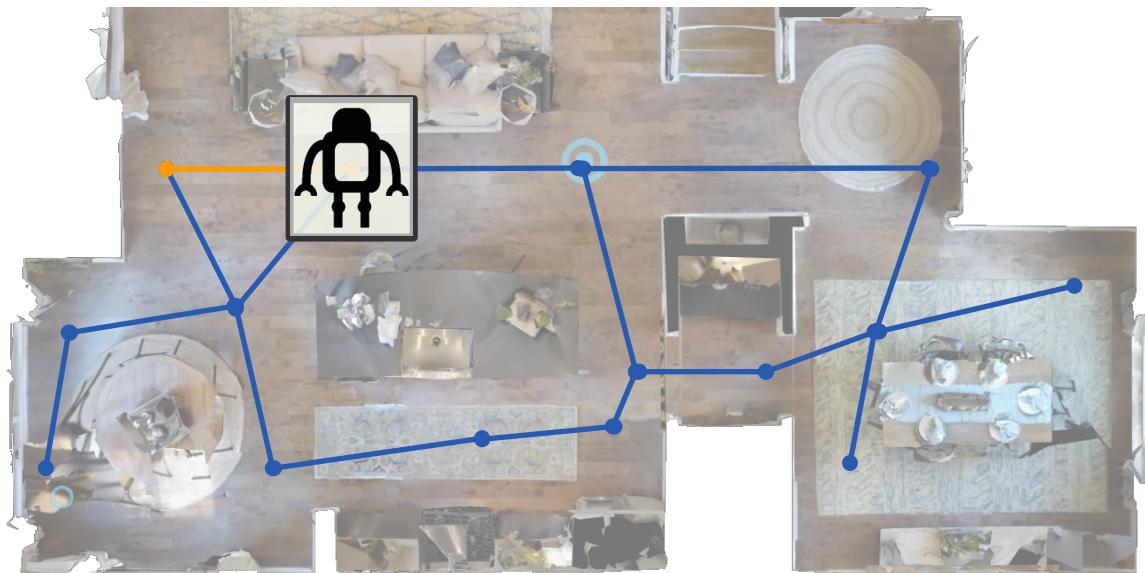
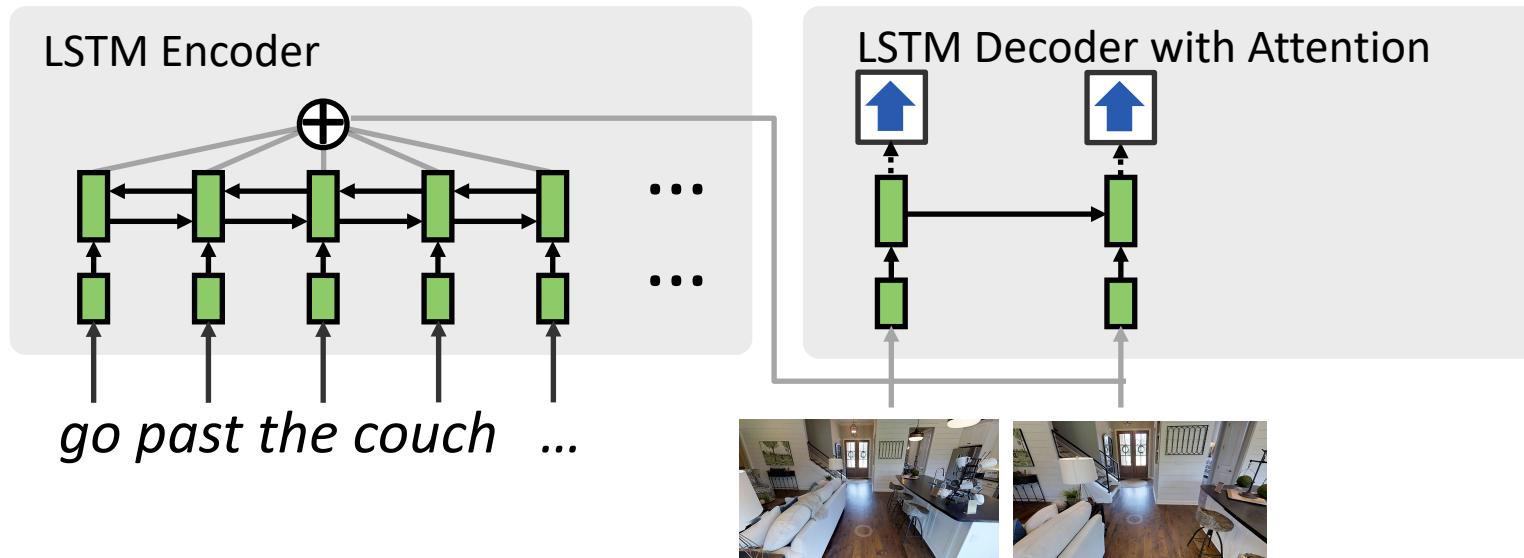
# Base Listener Model



[Anderson et al., 2018]



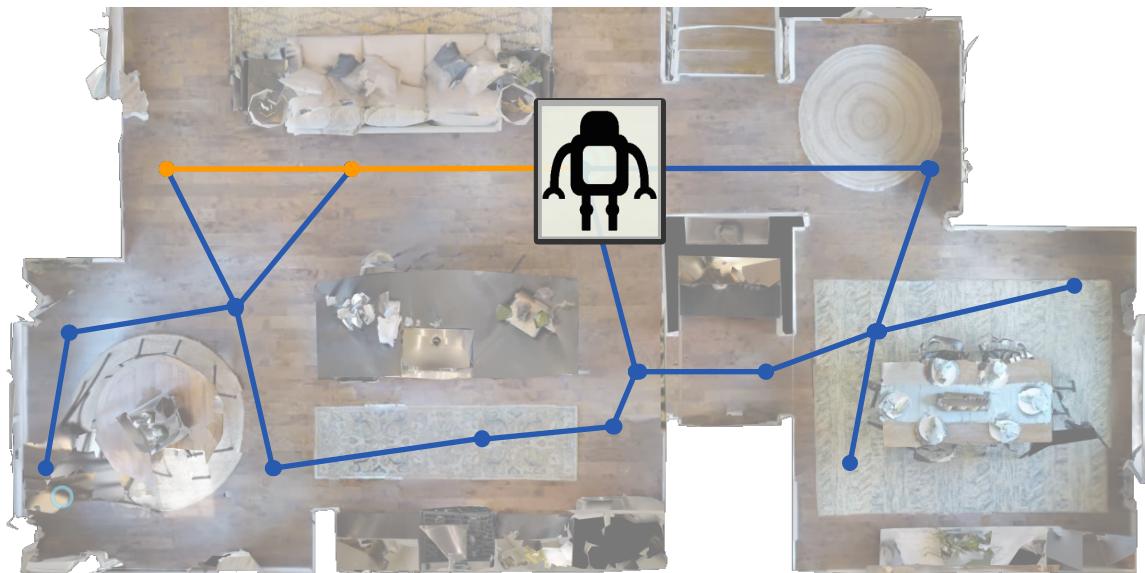
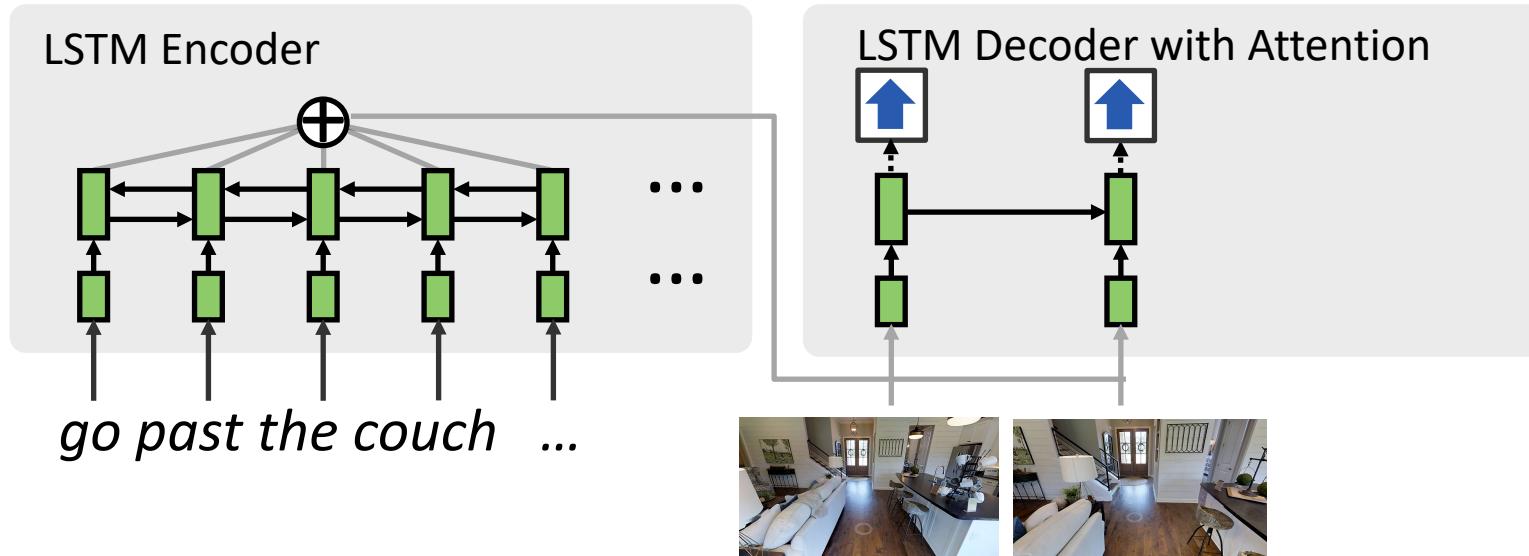
# Base Listener Model



[Anderson et al., 2018]



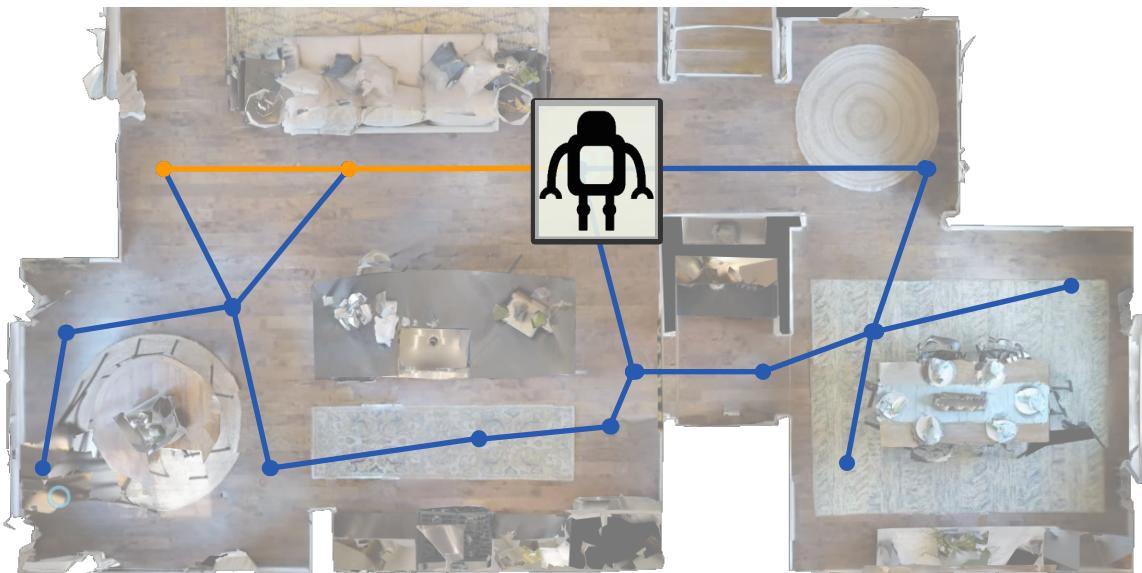
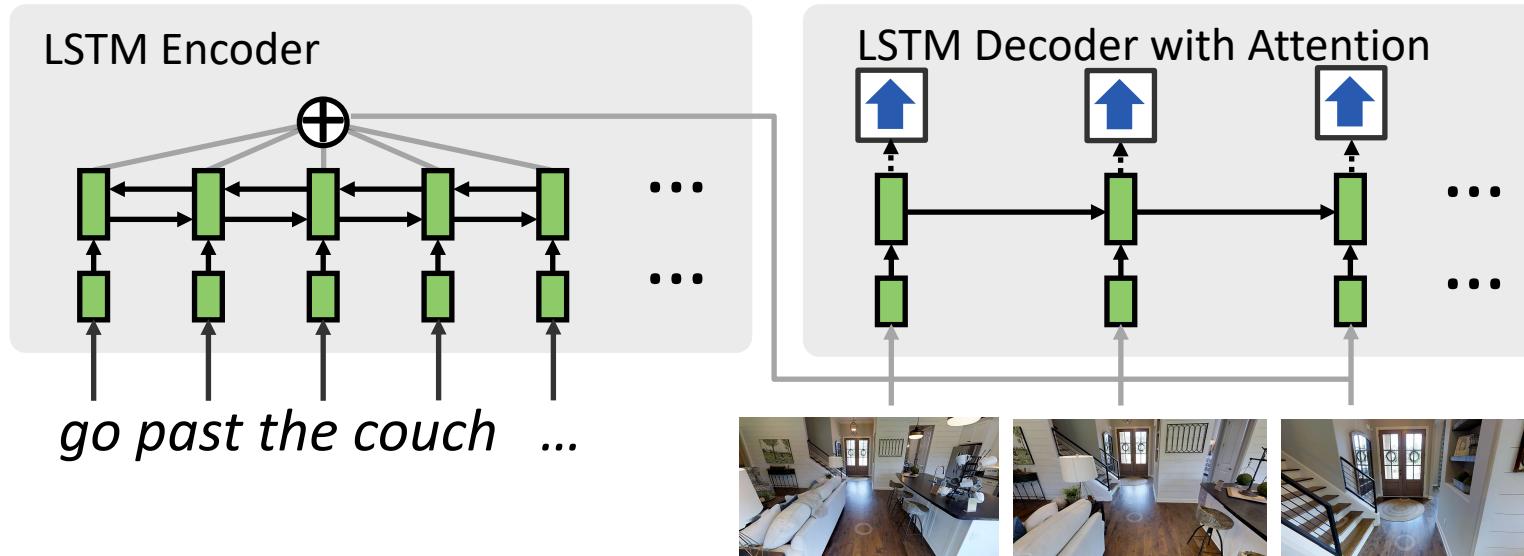
# Base Listener Model



[Anderson et al., 2018]



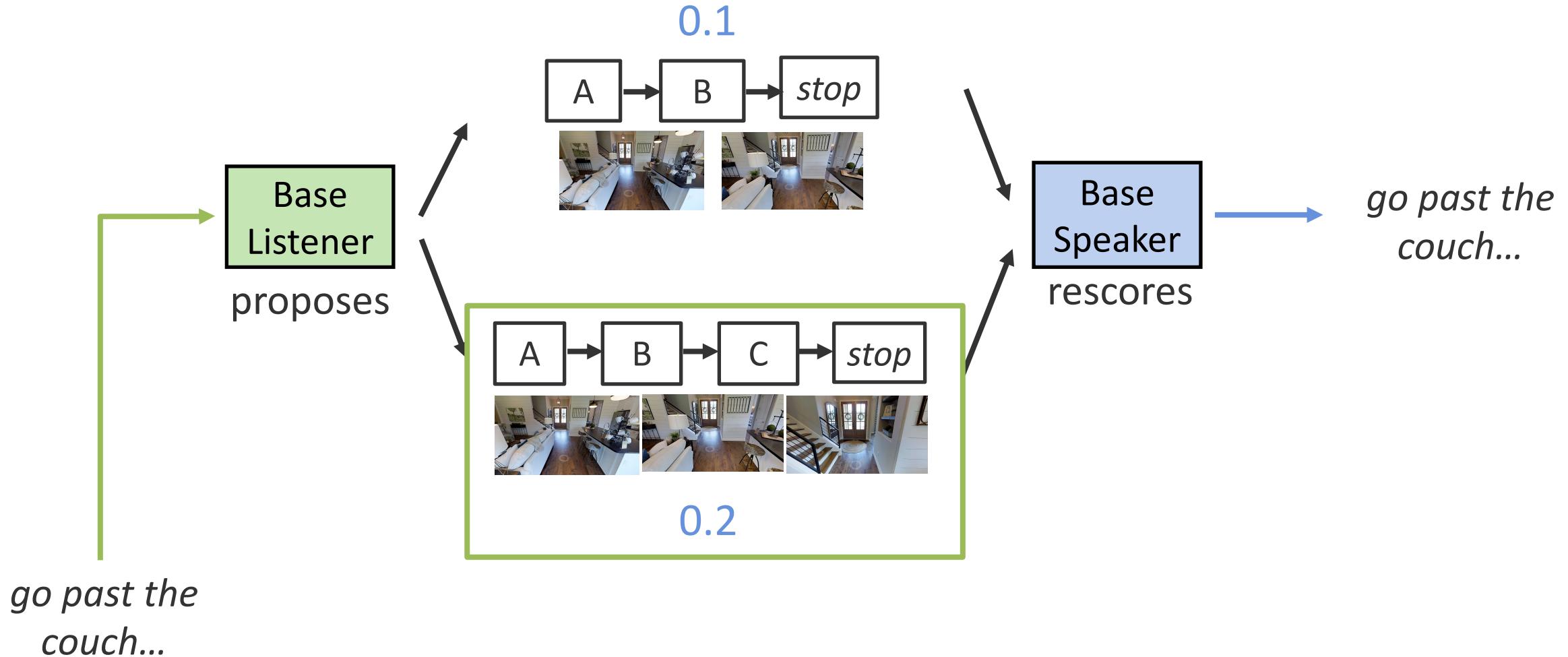
# Base Listener Model



[Anderson et al., 2018]

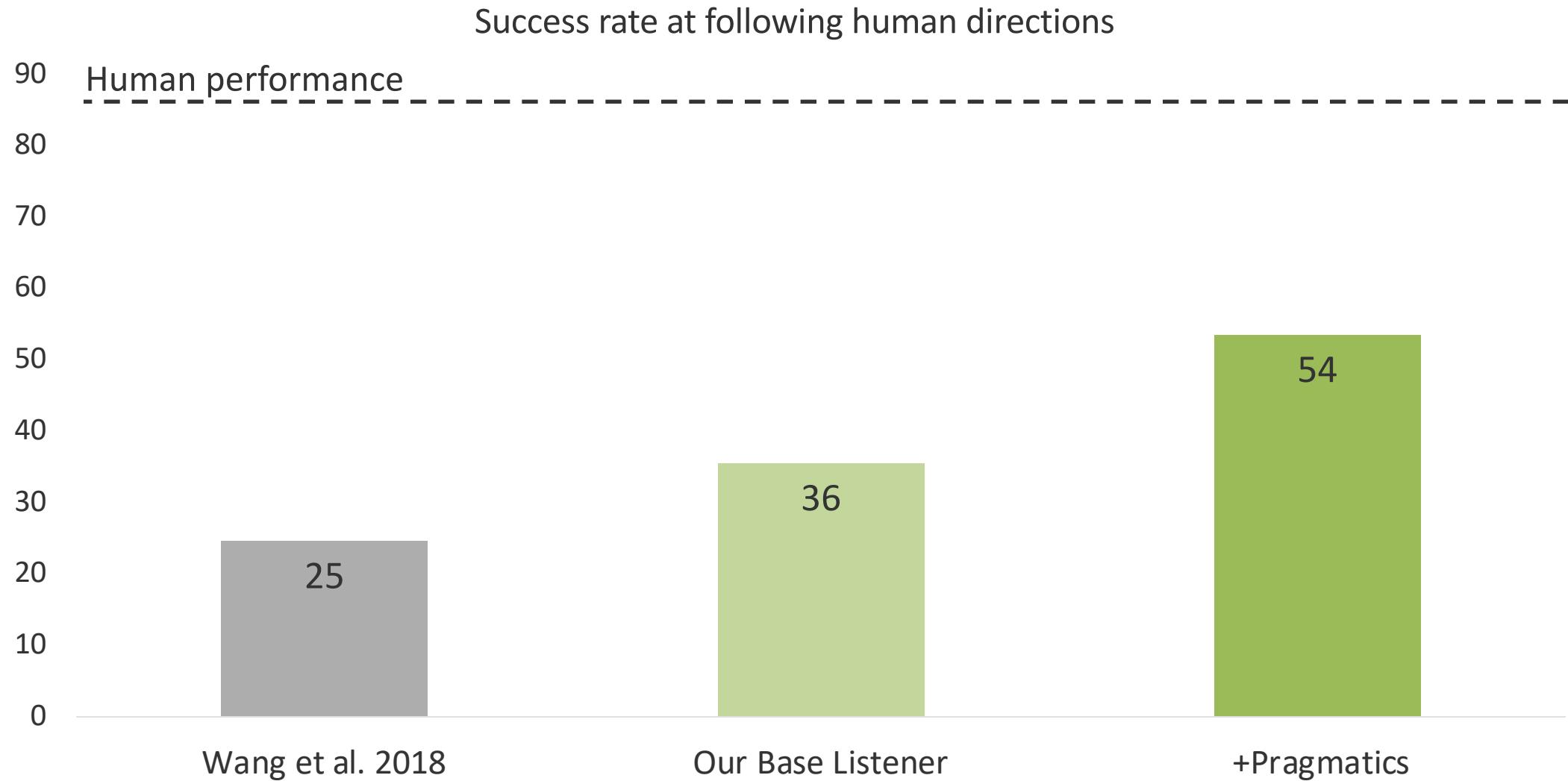


# Pragmatics for Visual Navigation

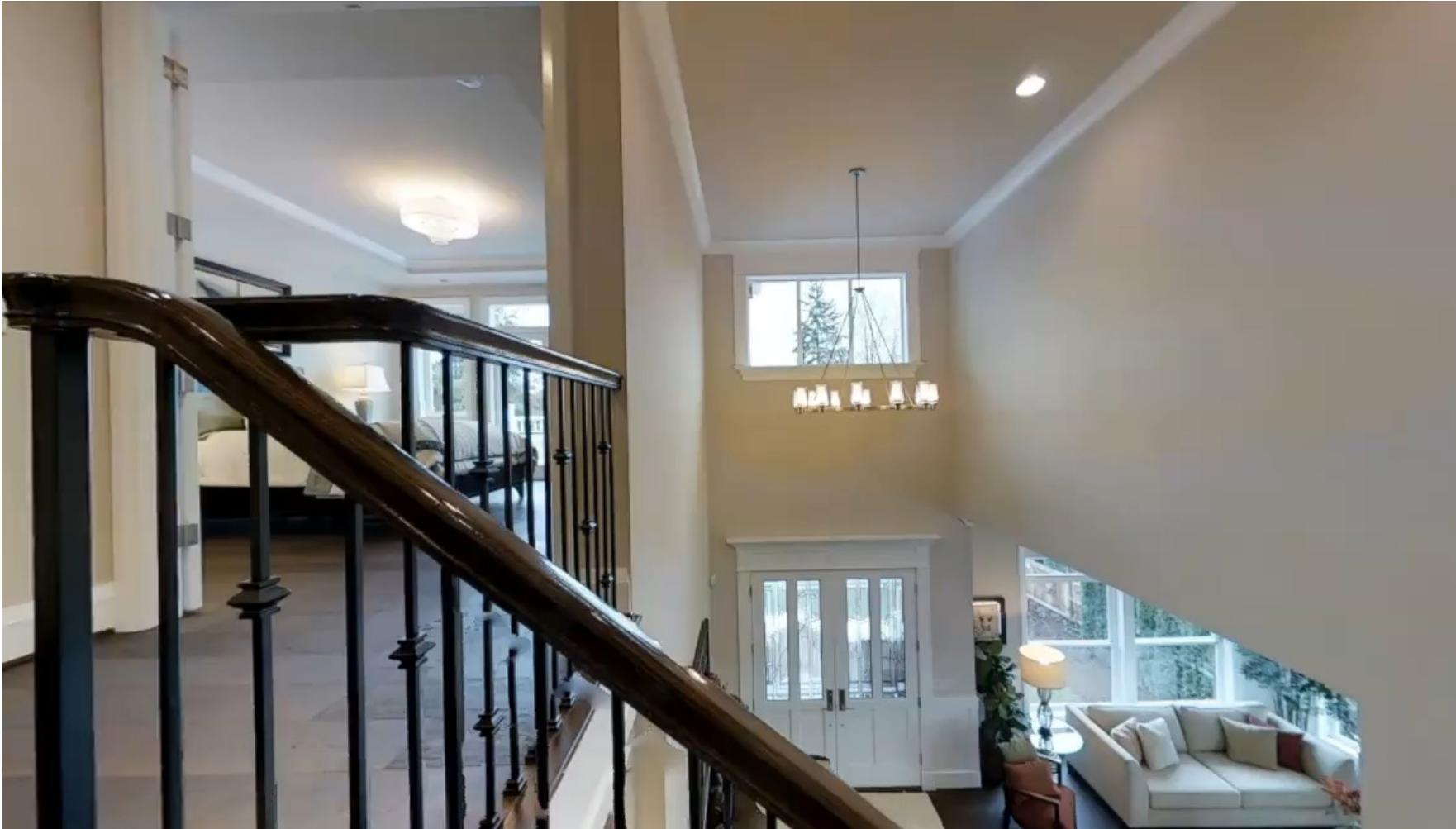




# Comparison to Prior Work



*Walk past hall table. Walk into bedroom. Make left at table clock.  
Wait at bathroom door threshold.*



Base listener

*Walk past hall table. Walk into bedroom. Make left at table clock.  
Wait at bathroom door threshold.*



Pragmatic listener



# Our Work in Context

## Computational Pragmatics

Golland et al. '10; Frank and Goodman '12;  
Degen '13; Vogel et al. '13; Tellex et al. '14;  
Monroe et al. '17; Luo & Shakhnarovich '17 ...

## Instruction Following

MacMahon et al. '06; Vogel and Jurafsky '10;  
Tellex et al. '11; Chen and Mooney '11;  
Artzi et al. '14; Mei et al. '16 ...

## Pragmatic Instruction Following

Fried et al. 2018

Fried\*, Hu\*, Cirik\* et al. 2018

## Speaker in Training

Tan et al. 2019  
Wang et al. 2019  
Zhu et al. 2020

## Speaker in Inference

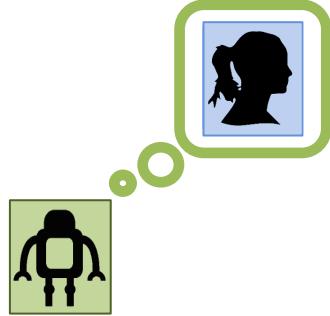
Hu et al. 2019  
Cideron et al. 2020  
Roman et al. 2020

## Improved Search

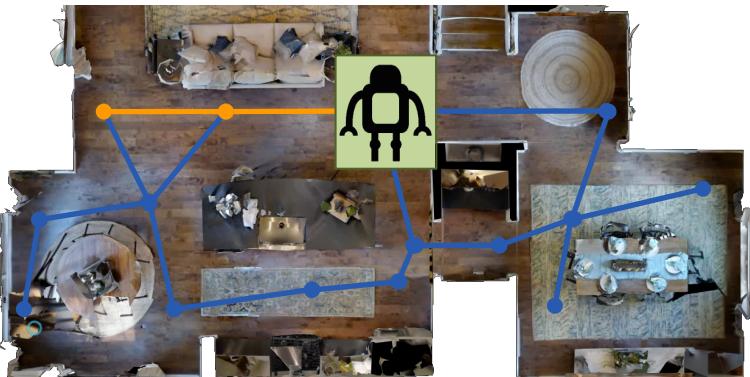
Ke et al. 2019  
Kurita and Cho 2021



# Takeaways



*Simulating why a speaker said what they did helps resolve ambiguity.*

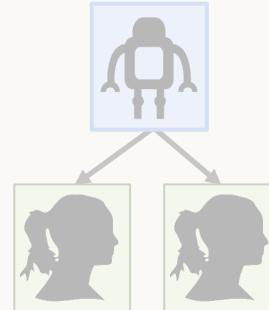


*Pragmatics improves most in complex environments where grounding is harder.*

# *Pragmatics and...*

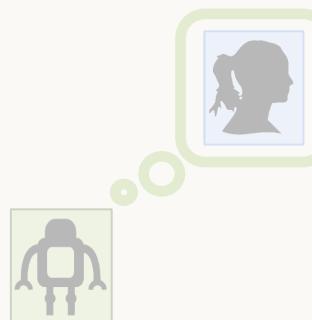
## Generation

[Fried, Andreas, & Klein. NAACL 2018]



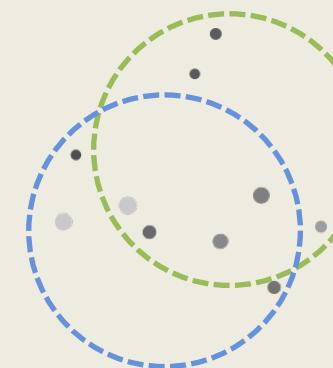
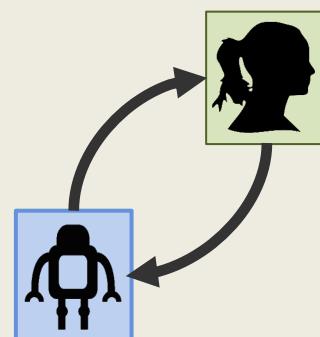
## Interpretation

[Fried\*, Hu\*, Cirik\* et al. NeurIPS 2018]



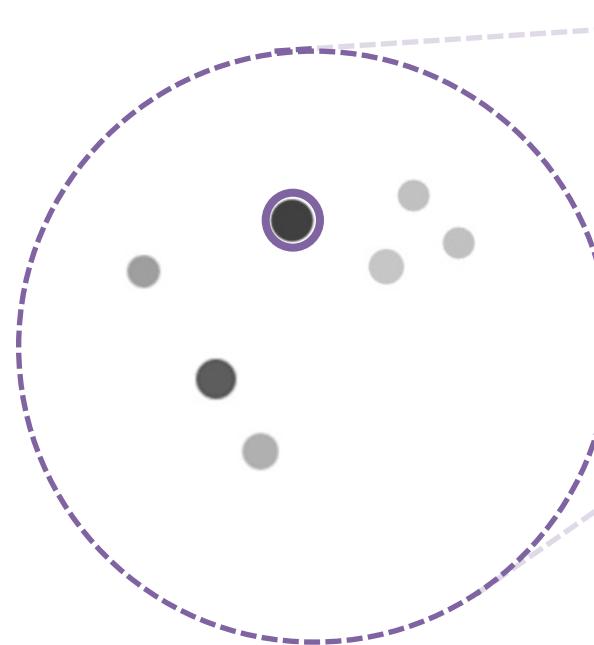
## Dialogue

[Fried, Chiu, & Klein. In submission]



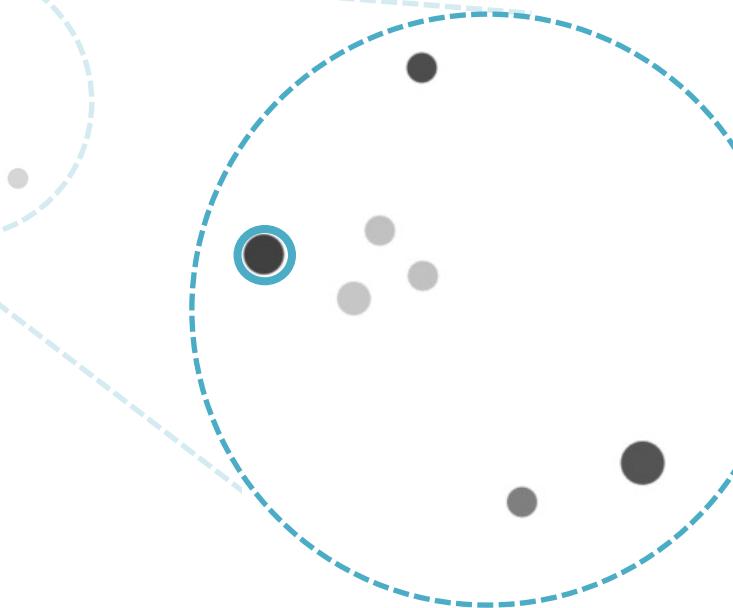


# Grounded Collaborative Dialogue



A: I have three dots in a line with a dark one in the center.

A: Is there a large black dot to the left of the three grey dots?

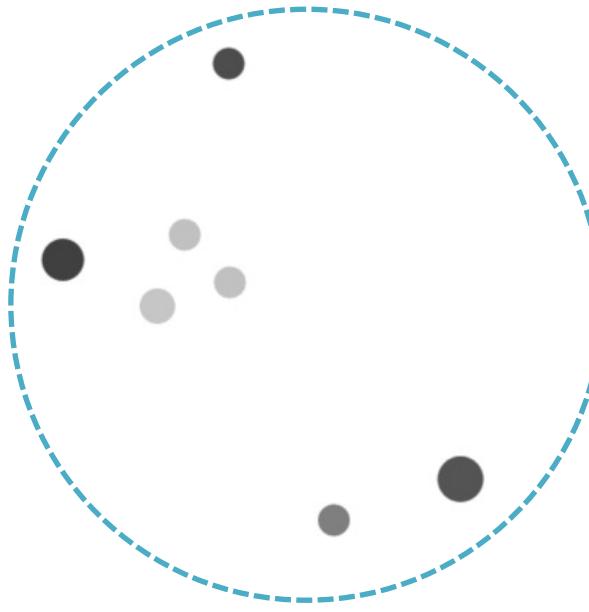


B: I don't have that. Do you have a cluster of three grey dots in a triangle?

B: Yes, let's select the black one.



# Decomposing Into Subtasks



A: I have three dots  
in a line with a dark →  
one in the center.

B: I don't have that. Do  
you have a group of →  
three grey dots?

A: Is there a large  
black dot to the left of → B:???  
the three grey dots?



# Decomposing Into Subtasks

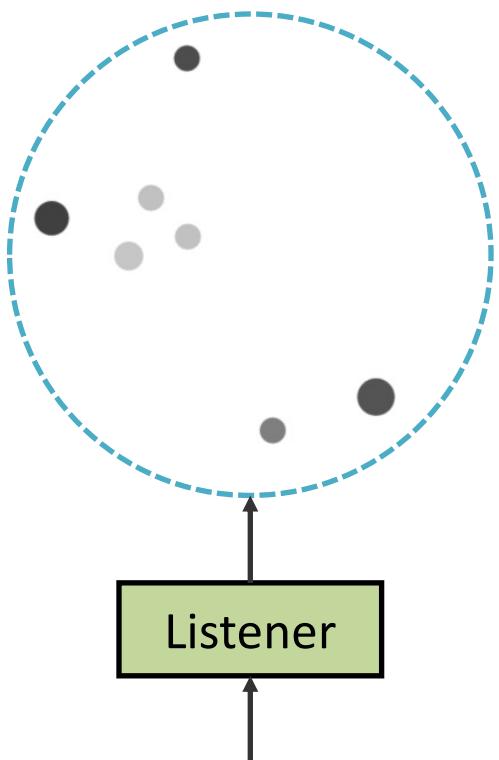
---

don't have A that have three dots there B all don't have that. Do you have a group with black dot to the left of a group? If → three grey dots? the center three grey dots?

A: Is there a large black dot to the left of → B: ???  
the three grey dots?



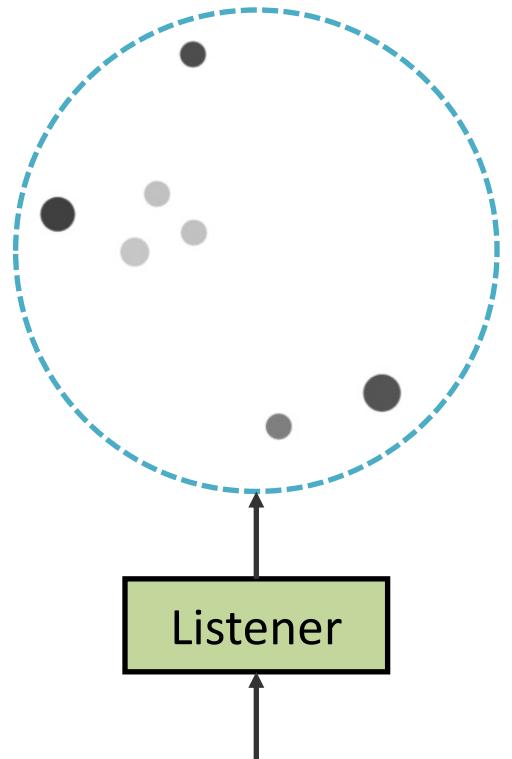
# Decomposing Into Subtasks



don't have that. Do you have a group of three grey dots? → black dot to the left of → B:???  
A: Is there a large  
the three grey dots?



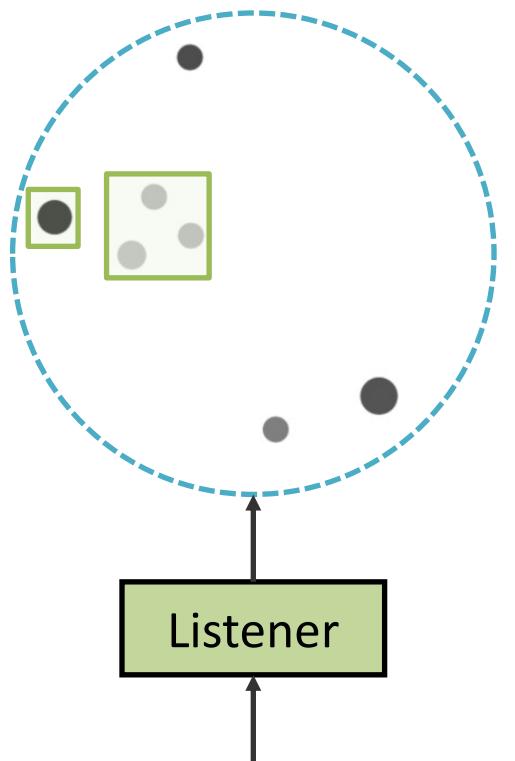
# Decomposing Into Subtasks



don't have that. Do  
you have a group of → A: Is there a large  
three grey dots? → black dot to the left of → B:???  
the three grey dots?



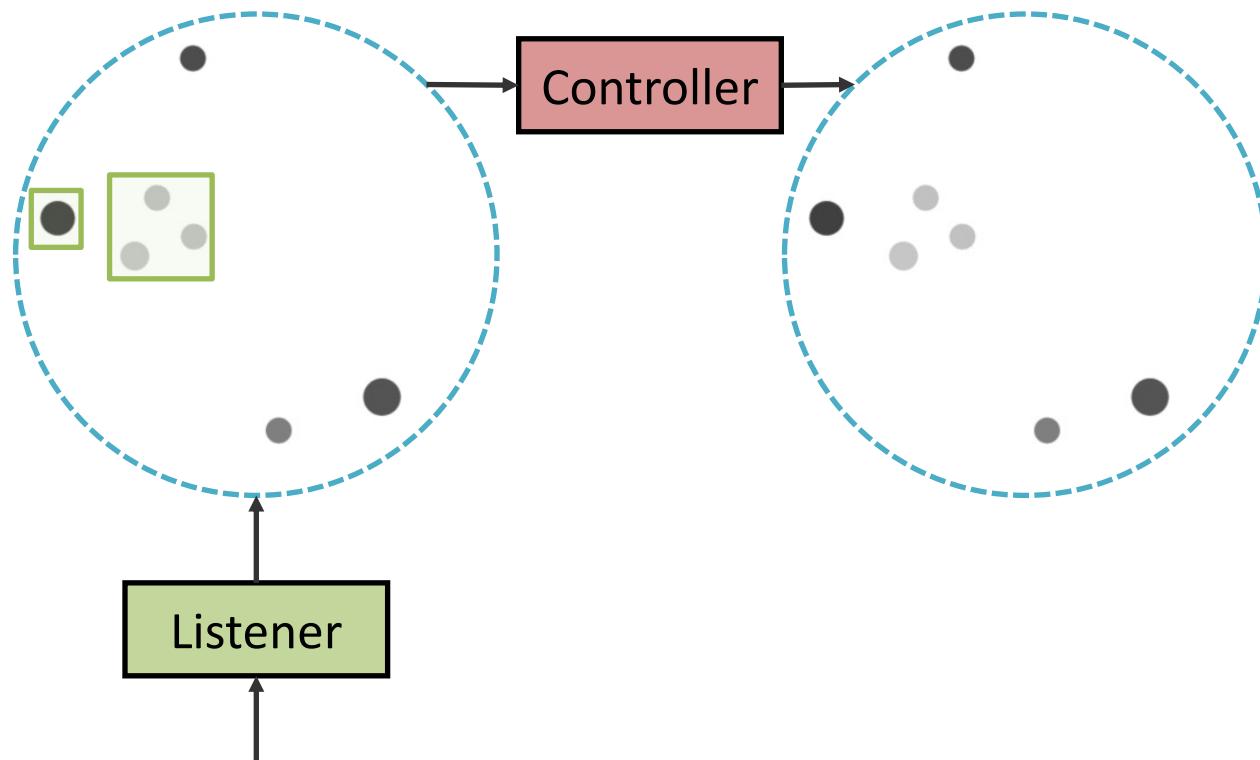
# Decomposing Into Subtasks



don't have that. Do you have a group of three grey dots? → black dot to the left of the three grey dots? **A:** Is there a large **B:** ???



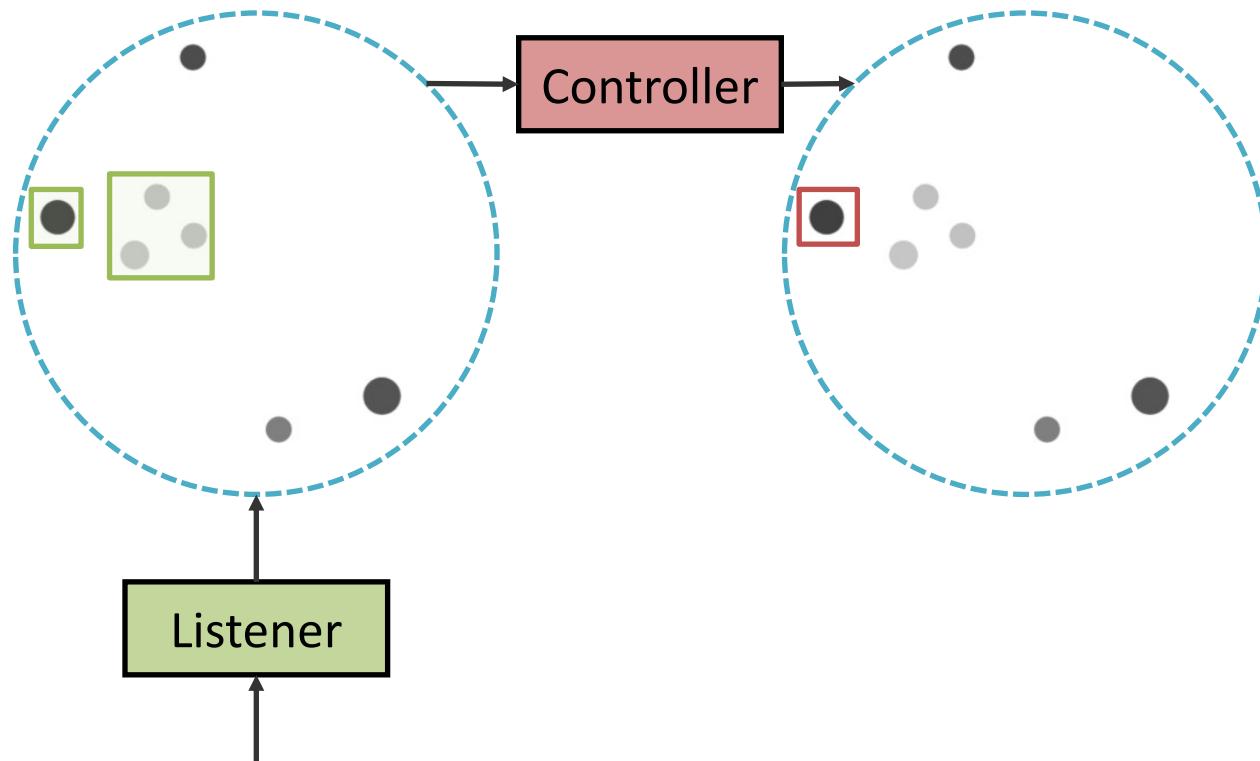
# Decomposing Into Subtasks



don't have that. Do  
you have a group of → A: Is there a large  
three grey dots? → black dot to the left of → B:???  
the three grey dots?



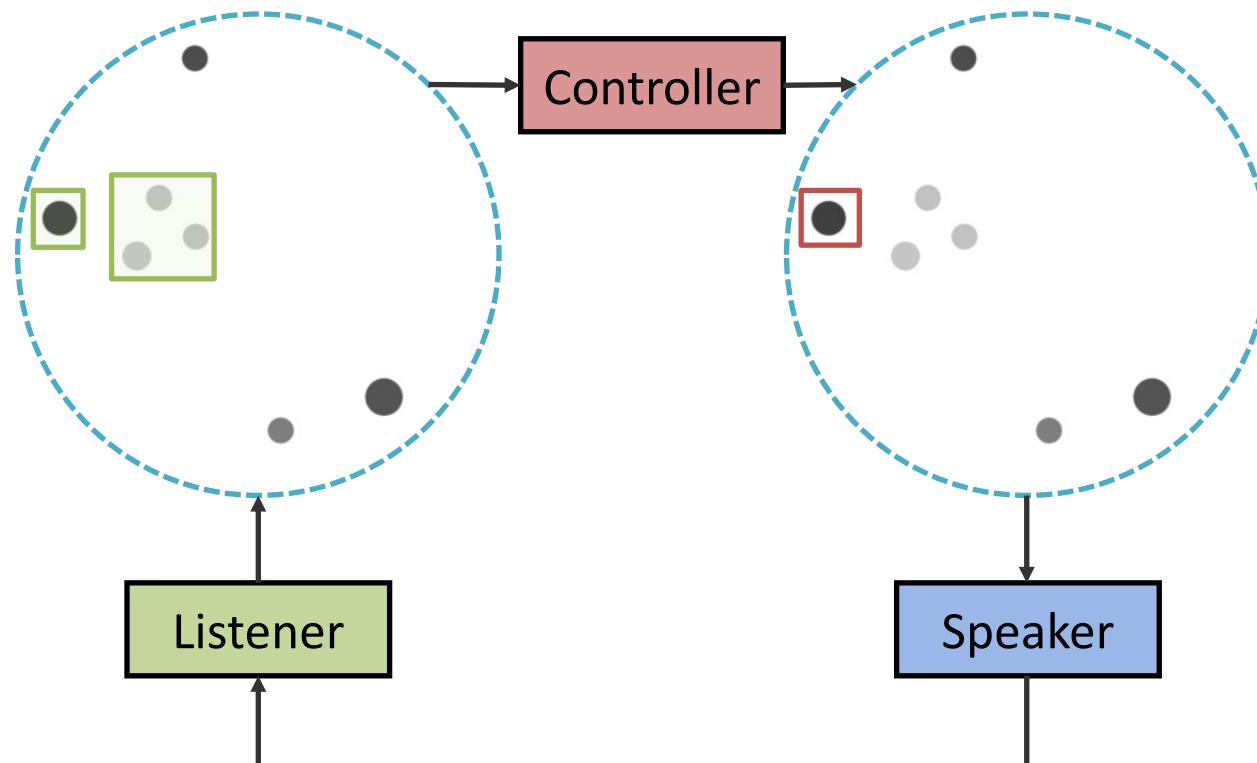
# Decomposing Into Subtasks



don't have that. Do  
you have a group of → A: Is there a large  
three grey dots? → black dot to the left of → B:???  
the three grey dots?



# Decomposing Into Subtasks



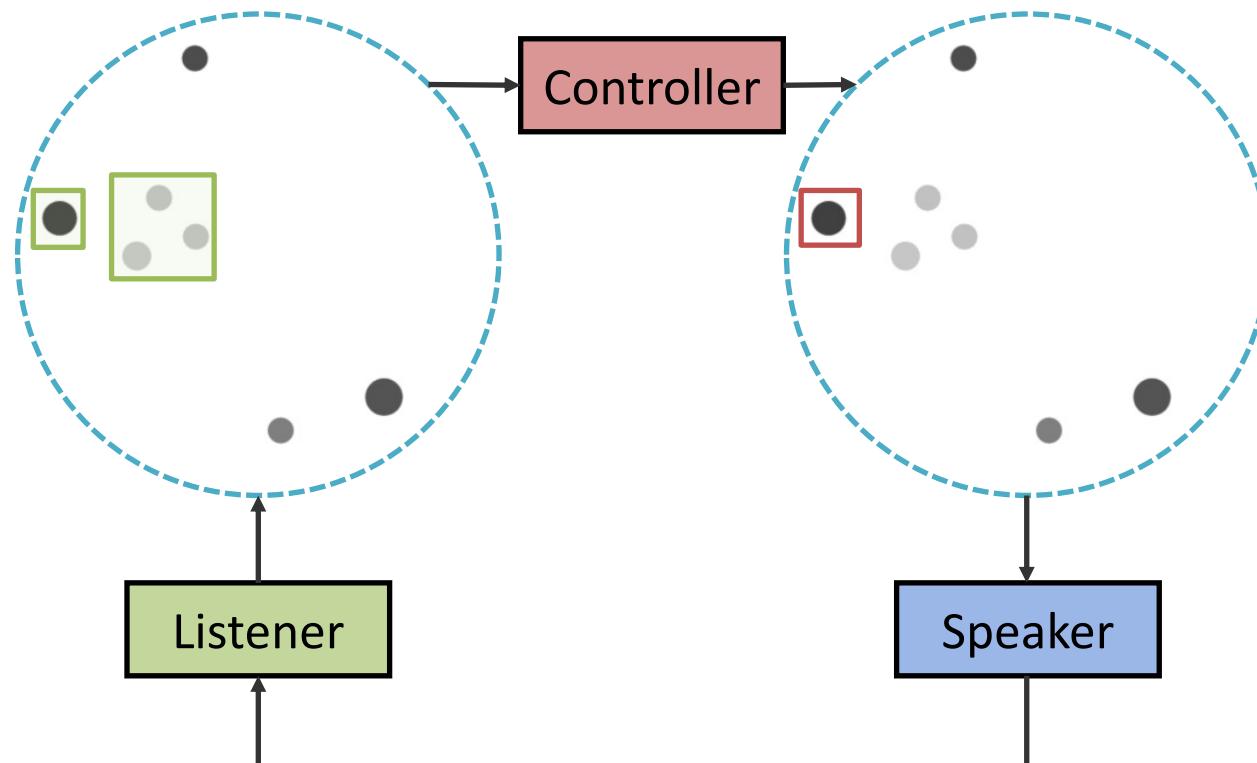
don't have that. Do  
you have a group of → black dot to the left of →  
three grey dots?

A: Is there a large  
black dot to the left of →  
the three grey dots?

B: Yes, let's select  
the black one.



# Decomposing Into Subtasks



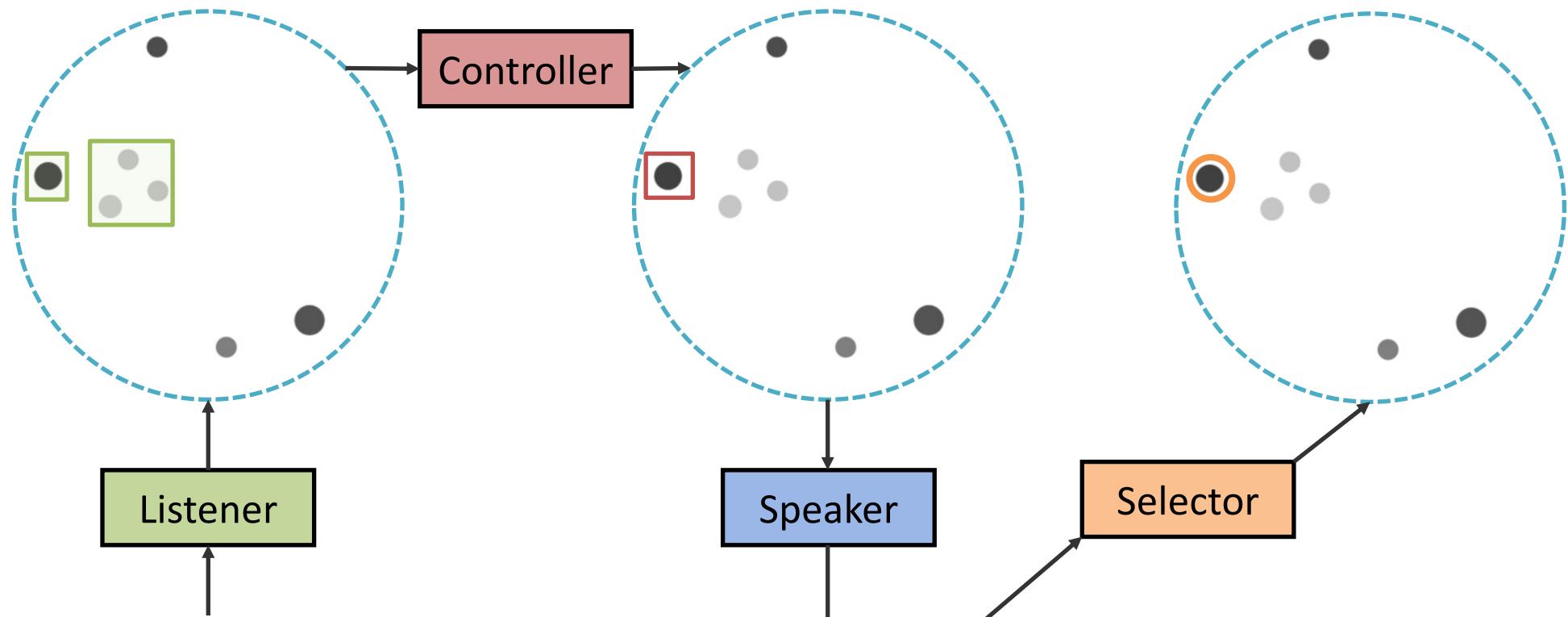
don't have that. Do  
you have a group of → black dot to the left of →  
three grey dots?

A: Is there a large  
black dot to the left of →  
the three grey dots?

B: Yes, let's select  
the black one.



# Decomposing Into Subtasks



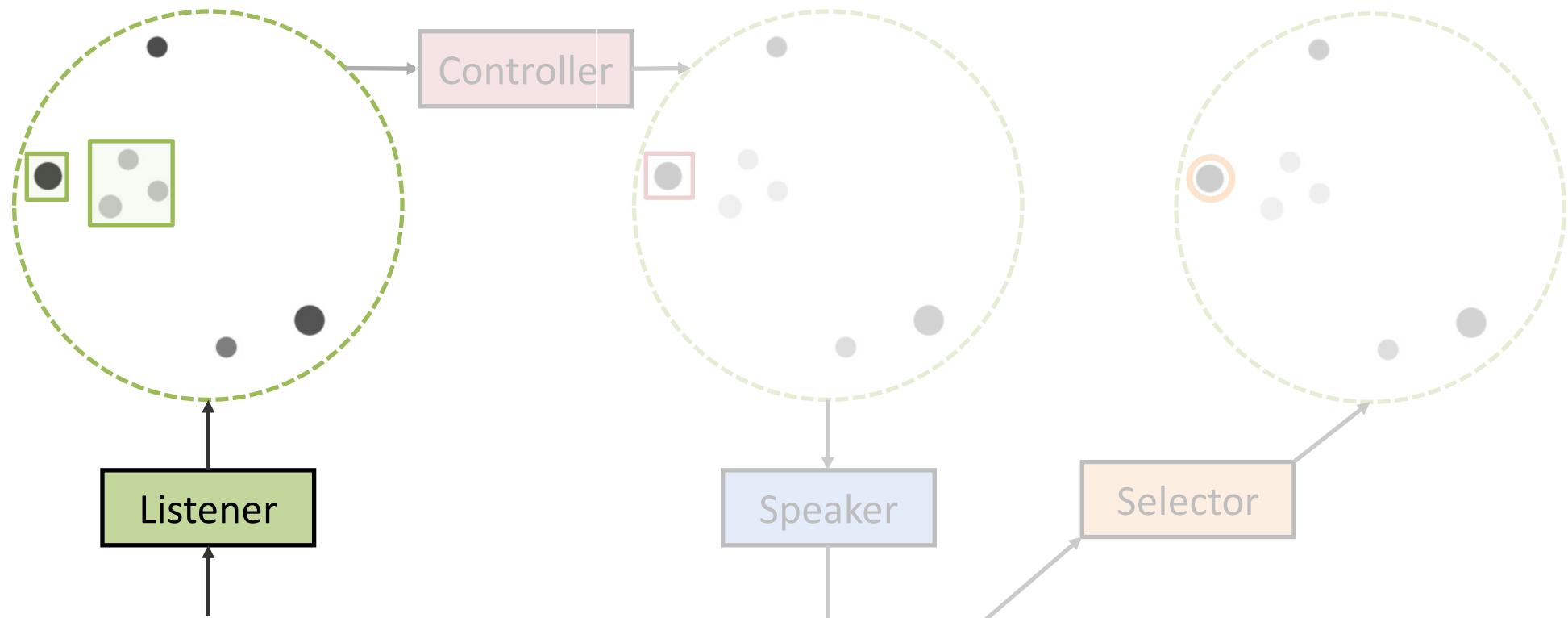
don't have that. Do  
you have a group of → black dot to the left of →  
three grey dots?

A: Is there a large  
black dot to the left of →  
the three grey dots?

B: Yes, let's select  
the black one.



# Decomposing Into Subtasks



don't have that. Do  
you have a group of → black dot to the left of →  
three grey dots?

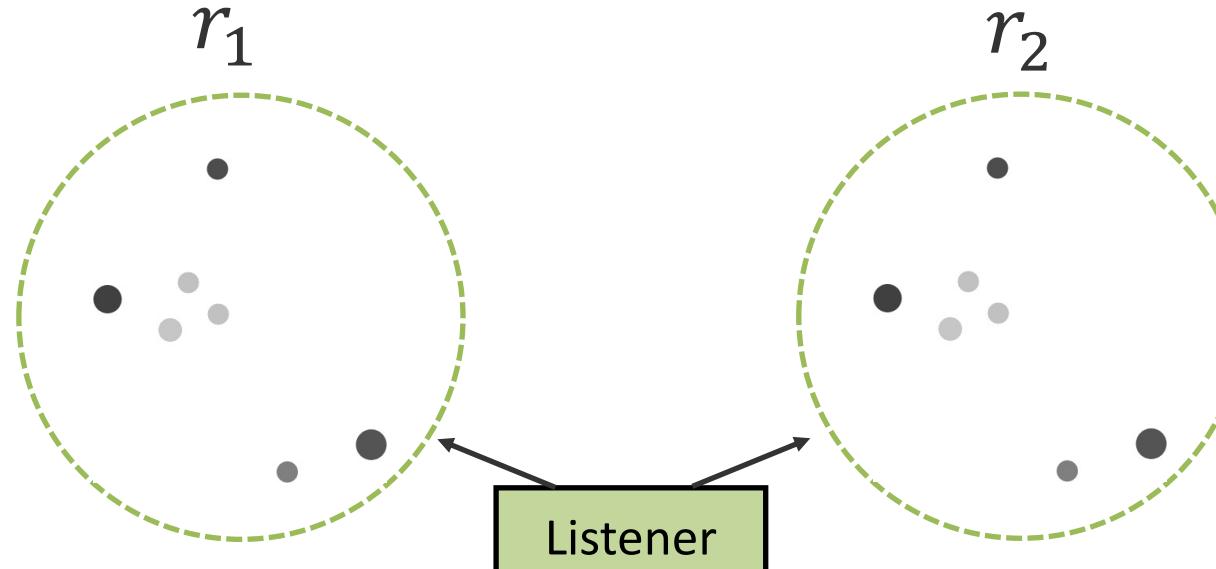
A: Is there a large  
black dot to the left of →  
the three grey dots?

B: Yes, let's select  
the black one.



# A Structured Listener Module

Referents:



Utterance,  
 $u$

*Is there a large black dot to the left of the three grey dots?*

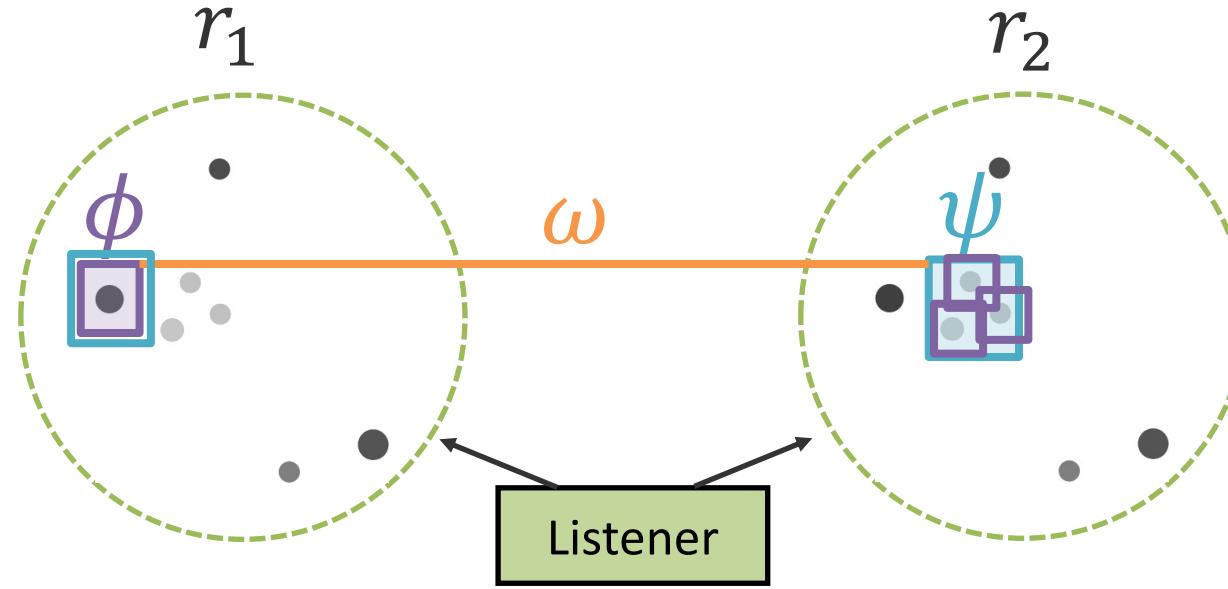
Neural Conditional  
Random Field (CRF):

$$P_L(r_1, r_2 | u)$$



# A Structured Listener Module

Refers:



Utterance,  
 $u$

*Is there a large black dot to the left of the three grey dots?*

Neural Conditional  
Random Field (CRF):

$P_L(r_1, r_2 | u) \propto \exp$

$$\sum_{k \in \{1, 2\}} \left( \sum_{d \in r_k} \phi(d, u) \right)$$

a large  
black dot

Single Dots

the three  
grey dots

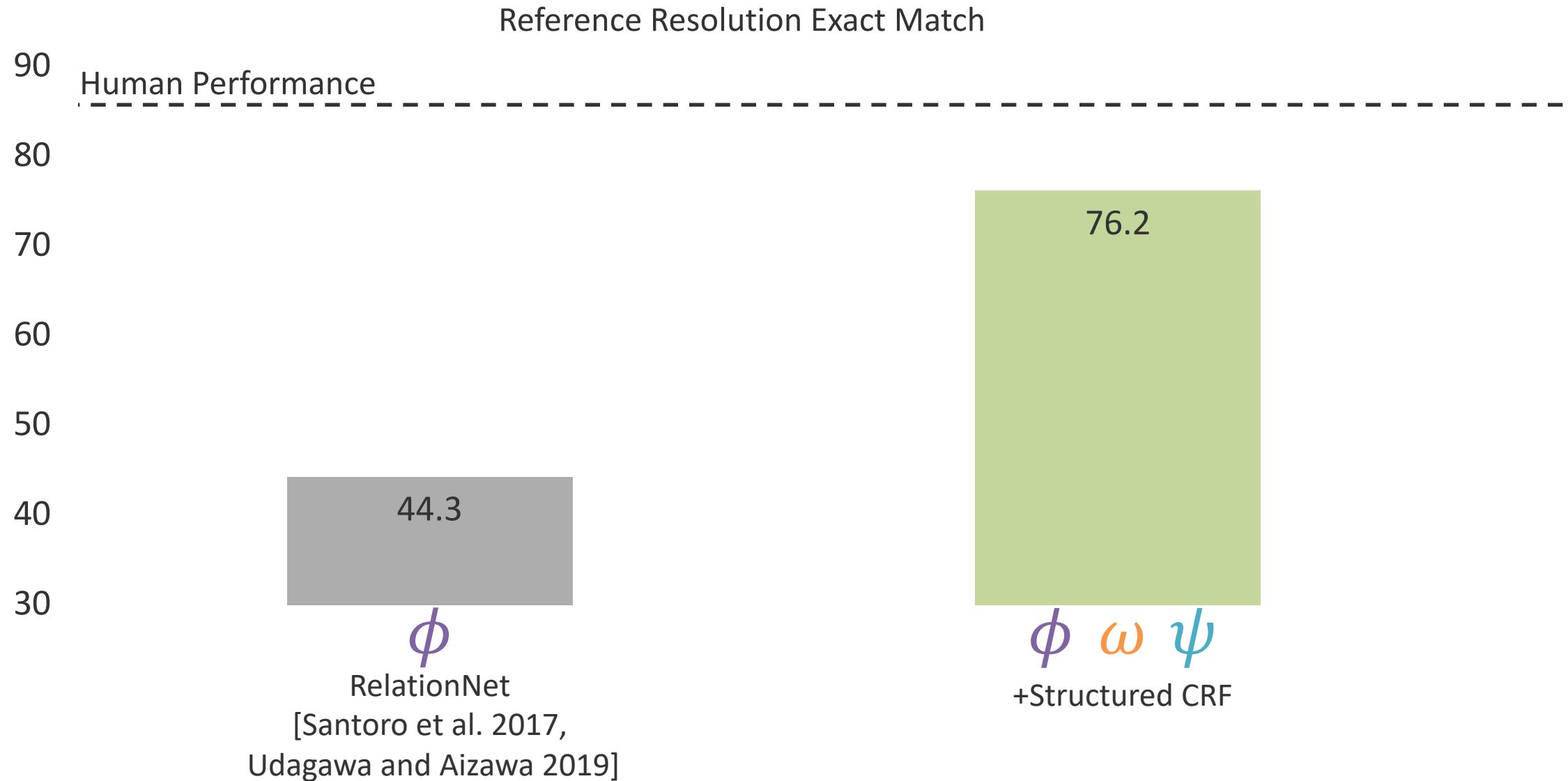
Groups

... to the  
left of ...

Relations

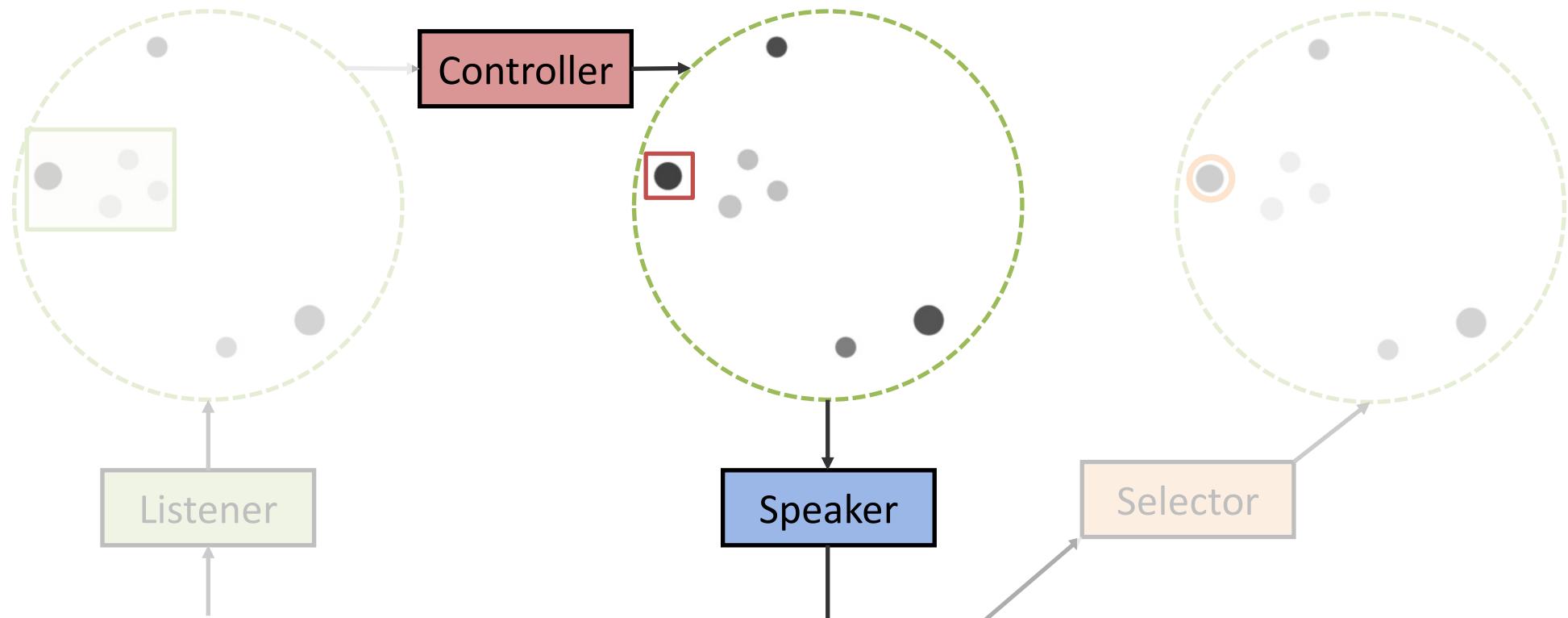


# Listener Results





# Decomposing Into Subtasks



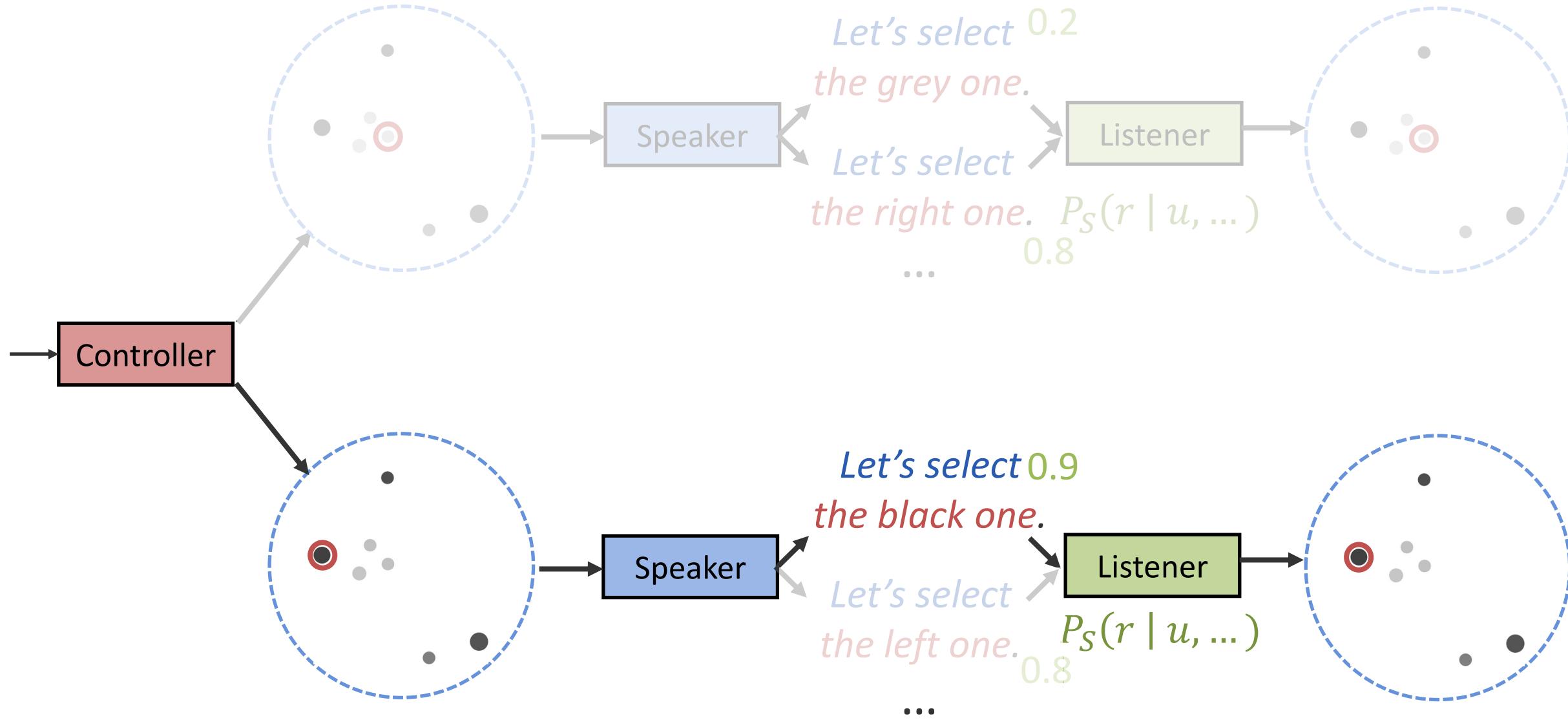
don't have that. Do  
you have a group of  
three grey dots?

A: Is there a large  
black dot to the left of  
the three grey dots? →

B: Yes, let's select  
the black one.



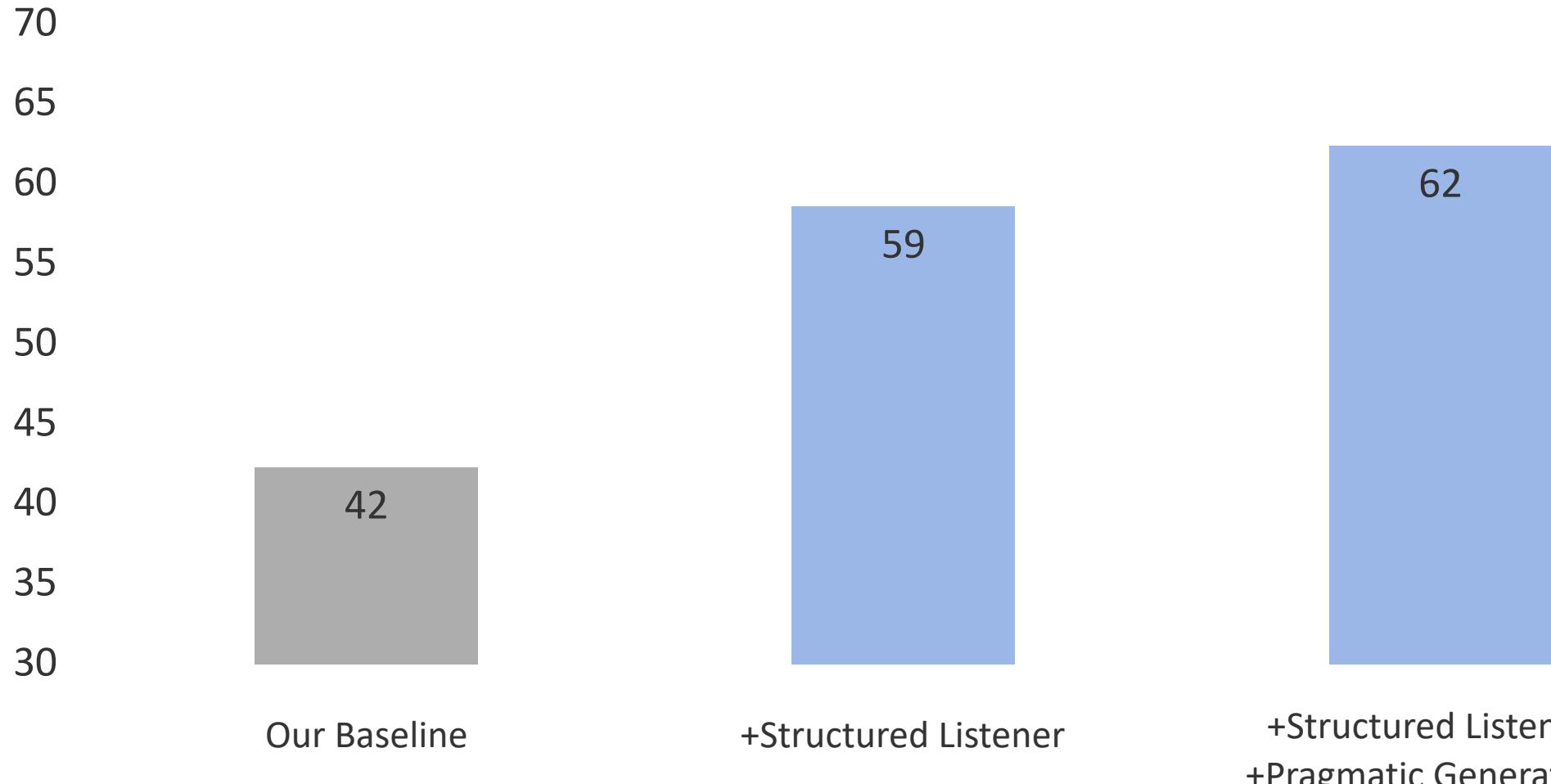
# Pragmatic Generation





# Automatic Evaluation Results

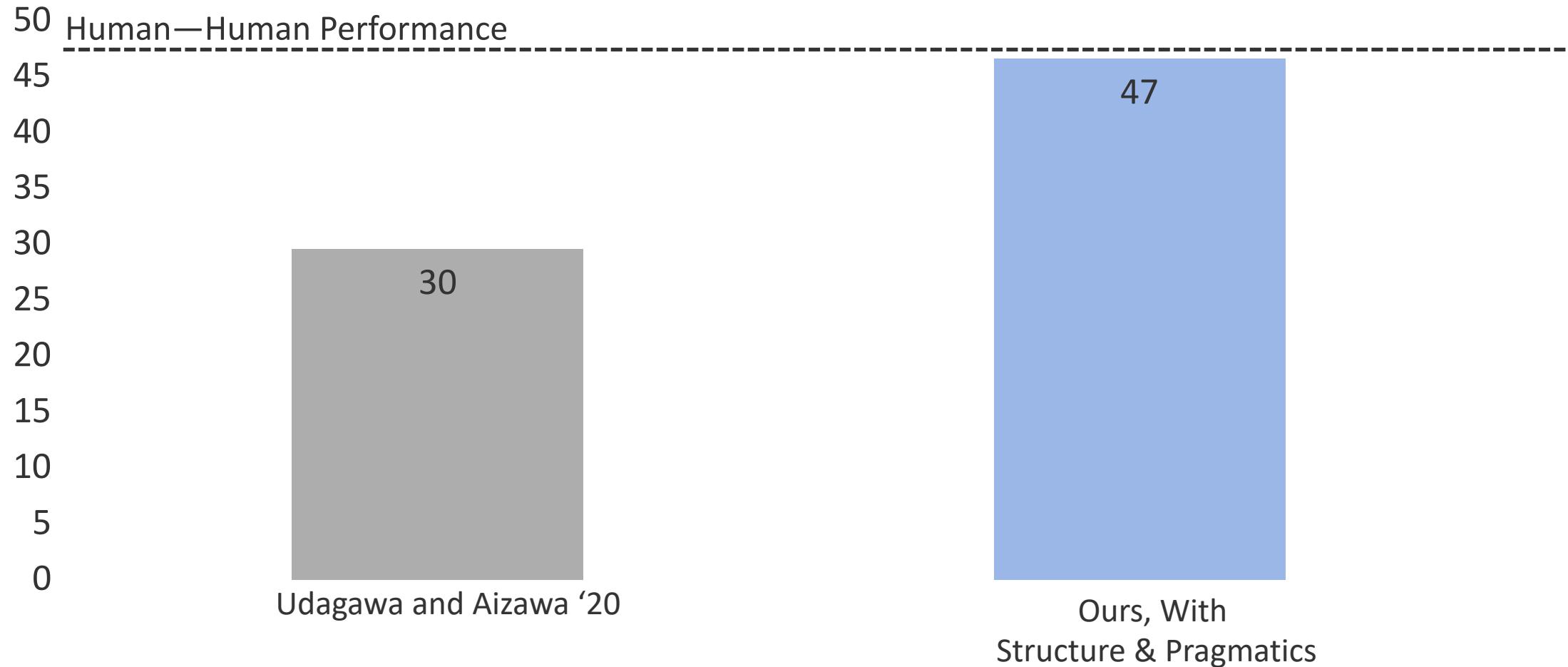
Game Success in Self-Play Evaluation





# Human Evaluation Results

Game Success in Pairings with Humans





# Demo

Find One In Common! x +  
localhost:5000/dialogue/?uid=U\_97547191ca4347b884ec3fd41df531a3

Time Remaining: 6:00

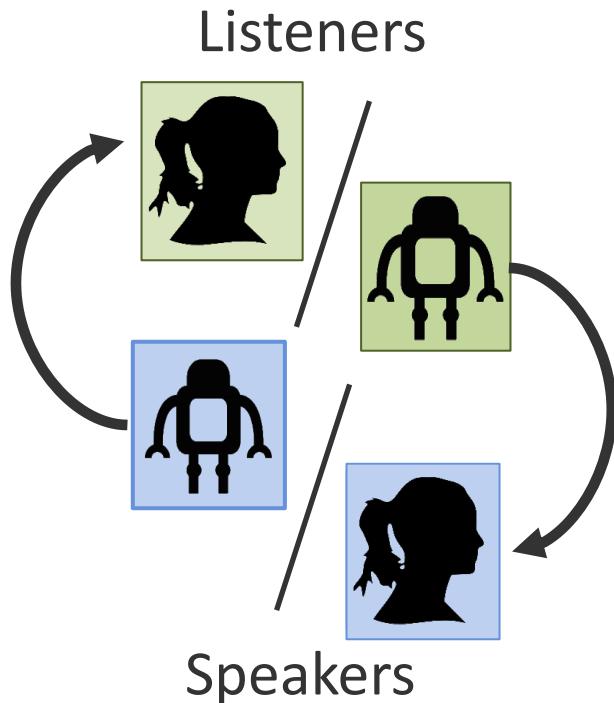
[02/12/21 08:57:44] <You entered the room.>  
[02/12/21 08:57:46] <Your partner has joined the room.>

Your view

Waiting on your partner to take a turn...



# Final Takeaways



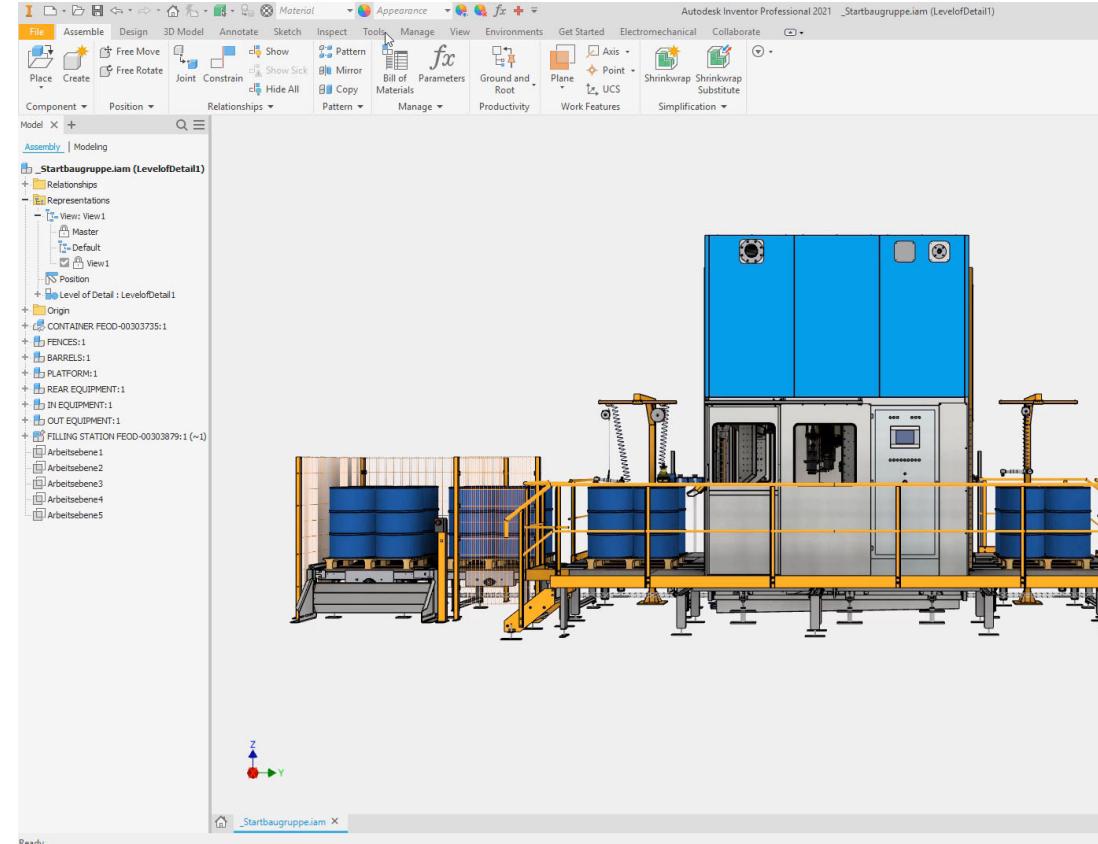
*Language is a cooperative,  
multiagent process.*

*Language systems improve when they  
plan against simulated humans.*



# Future Work

## Adaptive pragmatics



*“Let’s call that collection of barrels a ‘pod’. Add a ‘pod’ on the platform”*



# Future Work

---

Broadening grounding in NLP: perception and action



*The blue cups are fragile*

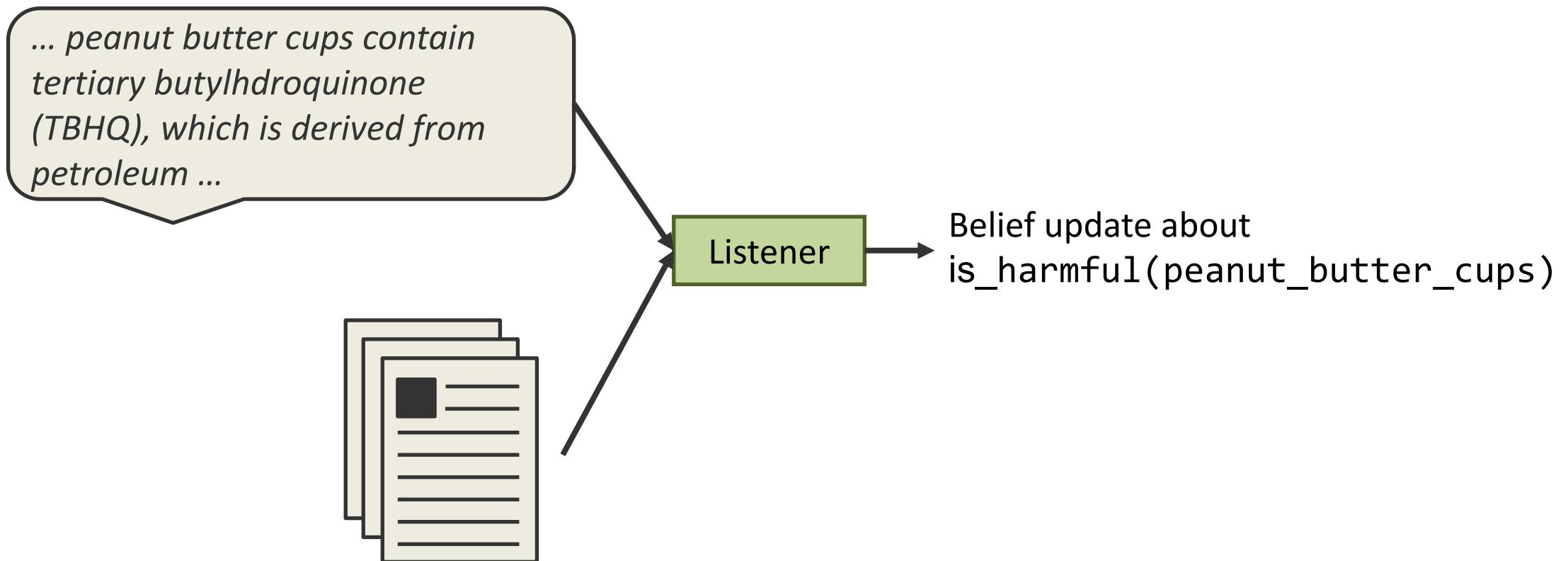


*Be careful around Grandpa*



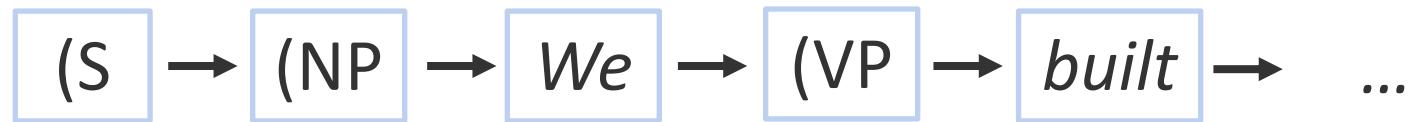
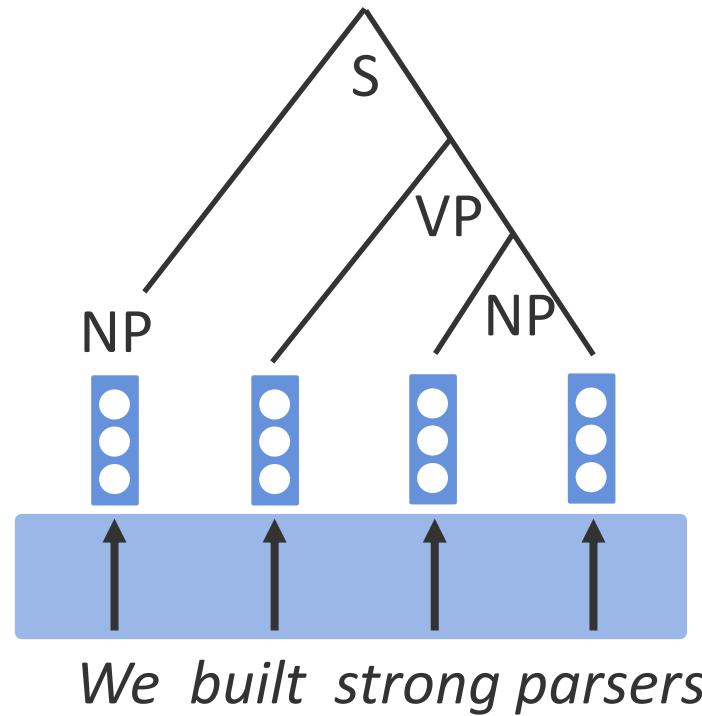
# Future Work

## Broadening grounding in NLP: intents and interpretations





# Other Work: Structured Prediction & Core NLP



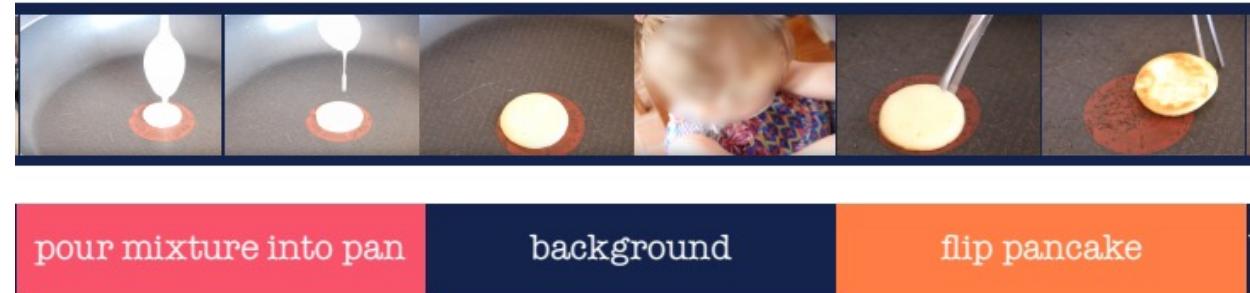
- [Fried\*, Stern\*, and Klein. ACL 2017]
- [Stern, Fried, and Klein. EMNLP 2017]
- [Fried and Klein. ACL 2018]
- [Fried\*, Kitaev\*, and Klein. ACL 2019]
- [Kuncoro\*, Kong\*, Fried\*, Yogatama, Rimell, Dyer, and Blunsom. TACL 2020]



# Other Work: Learning and Using Task Structure

In instructional videos:

*Folks my pan is nice and hot... I'll pour all the batter in there and let it cook... then flip it over once it starts to set ...*

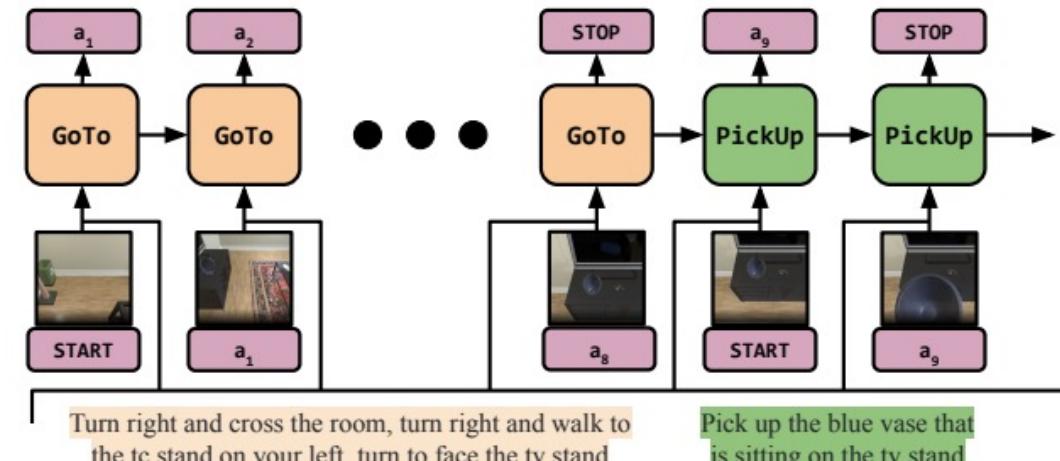


[Fried, Alayrac, Blunsom, Dyer, Clark, and Nematzadeh. ACL 2020]

For embodied instruction following:



*Turn right and cross the room... Pick up the blue vase that is sitting on the tv stand ...*



[Corona, Fried, Devin, Klein, and Darrell. NAACL 2021]



# Collaborators

---



Jacob Andreas



Taylor Berg-Kirkpatrick



Justin Chiu



Volkan Cirik



Trevor Darrell



Ronghang Hu



Dan Klein



Louis-Philippe  
Morency



Anna Rohrbach

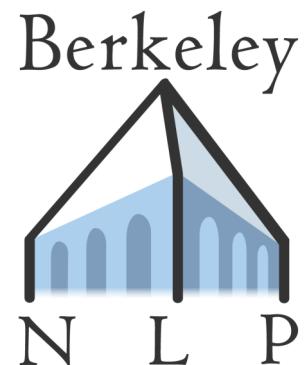


Kate Saenko



Sheng Shen

# Thank you!



[dfried@berkeley.edu](mailto:dfried@berkeley.edu)  
[cs.berkeley.edu/~dfried/](http://cs.berkeley.edu/~dfried/)



# Outperforming Training Data (Toy Example)

## Training Data

Context → “Language”

$$AX \rightarrow x$$

$$AX \rightarrow x$$

$$BX \rightarrow x$$

$$BX \rightarrow x$$

$$AX \rightarrow ax$$

$$BX \rightarrow bx$$

True, but  
under-informative

True and informative

Pragmatics as best response [Franke 2009; Jäger 2014]

Other formalisms:

Recursive Bayesian agents [Frank and Goodman 2012; Jeon et al. 2020]

Optimal transport of beliefs [Wang et al. 2020]

Rate-distortion communication [Zaslavsky et al. 2020]

## Base Speaker

$$P_S(x | AX) = 2/3$$

$$P_S(ax | AX) = 1/3$$

## Base Listener

$$P_L(AX | x) = 1/2$$

$$P_L(AX | ax) = 1$$

