

1 Four types of fourier transform

$$\frac{1}{2\pi} \int_{-\infty}^{\infty} dx e^{ikx} = \delta(k) \quad (1)$$

$$\frac{1}{2\pi} \int_0^{2\pi} dx e^{ikx} = \delta_{k0}, \quad k \text{ integer} \quad (2)$$

$$\frac{1}{N} \sum_{m=0}^{N-1} e^{2\pi i k m / N} = \sum_{i=-\infty}^{\infty} \delta_{k, iN}, \quad k \text{ integer} \quad (3)$$

$$\frac{1}{L} \sum_{k=-\infty}^{\infty} e^{2\pi i k x / L} = \sum_{i=-\infty}^{\infty} \delta(x - kL) \quad (4)$$

$$(5)$$

2 Fourier transform of operators

$$\int d\alpha d\beta \exp(i\alpha\hat{p} + i\beta\hat{x}) \text{tr}(f(\hat{x}, \hat{p}) \exp(-i\alpha\hat{p} - i\beta\hat{x}))|x\rangle \quad (6)$$

$$= \int d\alpha d\beta \text{tr}(f(\hat{x}, \hat{p}) \exp(-i\alpha\hat{p} - i\beta\hat{x})) \exp(i\alpha\hat{p} + i\beta\hat{x})|x\rangle \quad (7)$$

$$= \int d\alpha d\beta \text{tr}(f(\hat{x}, \hat{p}) \exp(-i\alpha\hat{p}) \exp(-i\beta\hat{x})) \exp(i\beta\hat{x}) \exp(i\alpha\hat{p})|x\rangle \quad (8)$$

$$= \int d\alpha d\beta \text{tr}(f(\hat{x}, \hat{p}) \exp(-i\alpha\hat{p}) \exp(-i\beta\hat{x})) \exp(i\beta\hat{x})|x + \alpha\rangle \quad (9)$$

$$= \int d\alpha d\beta \text{tr}(f(\hat{x}, \hat{p}) \exp(-i\alpha\hat{p}) \exp(-i\beta\hat{x})) \exp(i\beta(x + \alpha))|x + \alpha\rangle \quad (10)$$

$$= \int d\alpha d\beta dx' \langle x'| f(\hat{x}, \hat{p}) \exp(-i\alpha\hat{p}) \exp(-i\beta\hat{x})|x'\rangle \exp(i\beta(x + \alpha))|x + \alpha\rangle \quad (11)$$

$$= \int d\alpha d\beta dx' \langle x'| f(\hat{x}, \hat{p}) \exp(-i\alpha\hat{p}) \exp(-i\beta x')|x'\rangle \exp(i\beta(x + \alpha))|x + \alpha\rangle \quad (12)$$

$$= \int d\alpha d\beta dx' \langle x'| f(\hat{x}, \hat{p}) \exp(-i\beta x')|x' - \alpha\rangle \exp(i\beta(x + \alpha))|x + \alpha\rangle \quad (13)$$

$$= \int d\alpha d\beta dx' \langle x'| f(\hat{x}, \hat{p})|x' - \alpha\rangle \exp(i\beta(x - x' + \alpha))|x + \alpha\rangle \quad (14)$$

$$= \int d\alpha dx' \langle x'| f(\hat{x}, \hat{p})|x' - \alpha\rangle \delta(x - x' + \alpha)|x + \alpha\rangle \quad (15)$$

$$= \int dx' \langle x'| f(\hat{x}, \hat{p})|x' - x' + x\rangle \delta(x - x' + \alpha)|x + x' - x\rangle \quad (16)$$

$$= \int dx' \langle x'| f(\hat{x}, \hat{p})|x\rangle |x'\rangle \quad (17)$$

$$= \int dx' |x'\rangle \langle x'| f(\hat{x}, \hat{p})|x\rangle \quad (18)$$

$$= f(\hat{x}, \hat{p})|x\rangle \quad (19)$$

$$(20)$$

3 Fermi-Dirac Statistics

$$g_i = \text{number of possible states at level } i \quad (21)$$

$$n_i = \text{number of particles at level } i \quad (22)$$

$$n_i \text{ particles into } g_i \text{ states} = \frac{g_i!}{n_i!(g_i - n_i)!} \quad (23)$$

$$Z = \prod_i \frac{g_i!}{n_i!(g_i - n_i)!} \quad (24)$$

$$\log Z = \text{const} - \sum_i (\log n_i! - \log(g_i - n_i)!) \quad (25)$$

$$\approx \text{const} - \sum_i (n_i \log n_i - n_i + (g_i - n_i) \log(g_i - n_i) - g_i + n_i) \quad (26)$$

$$(27)$$

$$W = \text{const} - \sum_i (n_i \log n_i - n_i + (g_i - n_i) \log(g_i - n_i) - g_i + n_i) + \alpha(N - \sum_i n_i)$$

$$\frac{\partial W}{\partial n_i} = -(\log n_i + 1 - 1 - \log(g_i - n_i) - 1 + 1) - \alpha - \beta \epsilon_i$$

$$\log(g_i - n_i) - \log n_i = \alpha + \beta \epsilon_i$$

$$\log(g_i/n_i - 1) = \alpha + \beta \epsilon_i$$

$$g_i/n_i - 1 = \exp(\alpha + \beta \epsilon_i)$$

$$n_i = \frac{g_i}{1 + \exp(\alpha + \beta \epsilon_i)}$$

4 The Adiabatic Theorem

Schrödinger equation:

$$i\hbar \partial_t |\psi_n(t)\rangle = H(t) |\psi_n(t)\rangle \quad (35)$$

Consider $e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle$. Not a solution as

$$i\partial_t e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle \neq H(t) e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle$$

LHS:

$$\begin{aligned} & (i \cdot (-i) E_n(t) e^{-i \int_0^t E_n(t') dt'} + i e^{-i \int_0^t E_n(t') dt'} \partial_t) |\psi_n(t)\rangle \\ &= e^{-i \int_0^t E_n(t') dt'} (E_n(t) + i \partial_t) |\psi_n(t)\rangle \end{aligned}$$

RHS:

$$E_n(t) e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle$$

Mismatch of: $e^{-i \int_0^t E_n(t') dt'} i \partial_t |\psi_n(t)\rangle$.

Expand wavefunction $|\Psi(t)\rangle$ in terms of $e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle$ anyway:

$$|\Psi(t)\rangle = \sum_n c_n(t) e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle$$

Substitute in 35:

LHS:

$$\sum_n c_n(t) e^{-i \int_0^t E_n(t') dt'} E_n(t) |\psi_n(t)\rangle$$

RHS:

$$\begin{aligned} & i\hbar \sum_n ((\partial_t c_n(t)) e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle + c_n(t) (-i E_n(t)) e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle + \\ & + c_n(t) e^{-i \int_0^t E_n(t') dt'} \partial_t |\psi_n(t)\rangle) \\ &= (i\hbar \partial_t c_n(t) + c_n(t) E_n(t)) e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle + c_n(t) e^{-i \int_0^t E_n(t') dt'} \partial_t |\psi_n(t)\rangle \end{aligned}$$

$$\sum_n c_n(t) e^{-i \int_0^t E_n(t') dt'} i\hbar |\psi_n(t)\rangle + i\hbar (\partial_t c_n(t)) e^{-i \int_0^t E_n(t') dt'} |\psi_n(t)\rangle = 0$$

4.1 Differentiating the energy

$$H(t) |\psi_n(t)\rangle = E_n(t) |\psi_n(t)\rangle$$

Differentiating this:

$$(\partial_t H(t)) |\psi_n(t)\rangle + H_n(t) \partial_t |\psi_n(t)\rangle = (\partial_t E_n(t)) |\psi_n(t)\rangle + E_n(t) \partial_t |\psi_n(t)\rangle$$

LHS:

$$\begin{aligned}
& \langle \psi_n(t) | (\partial_t H(t)) | \psi_n(t) \rangle + \langle \psi_n(t) | H_n(t) \partial_t | \psi_n(t) \rangle \\
= & \langle \psi_n(t) | (\partial_t H(t)) | \psi_n(t) \rangle + E_m(t) \langle \psi_n(t) | \partial_t | \psi_n(t) \rangle
\end{aligned}$$

RHS:

$$\partial_t E_n(t) + E_n(t) \langle \psi_n(t) | \partial_t | \psi_n(t) \rangle$$

Therefore:

$$\langle \psi_n(t) | (\partial_t H(t)) | \psi_n(t) \rangle + (E_m(t) - E_n(t)) \langle \psi_n(t) | \partial_t | \psi_n(t) \rangle = \delta_{mn} \partial_t E_n(t)$$

If $m \neq n$:

$$\langle \psi_n(t) | \partial_t | \psi_n(t) \rangle = \frac{\langle \psi_n(t) | (\partial_t - H(t)) | \psi_n(t) \rangle}{E_n(t) - E_m(t)}$$

5 Kubo Formula

$$\begin{aligned}
i \frac{\partial}{\partial t} |\psi\rangle &= H |\psi\rangle \\
i \frac{\partial}{\partial t} |\psi'\rangle &= H' |\psi'\rangle \\
|\psi'\rangle &= |\psi\rangle + |\delta\psi\rangle \\
H' &= H + \delta H(t) \\
i \frac{\partial}{\partial t} (|\psi\rangle + |\delta\psi\rangle) &= (H + \delta H(t)) (|\psi\rangle + |\delta\psi\rangle) \\
i \frac{\partial}{\partial t} (|\psi\rangle + |\delta\psi\rangle) &= H (|\psi\rangle + |\delta\psi\rangle) + \delta H(t) (|\psi\rangle + |\delta\psi\rangle) \\
i \frac{\partial}{\partial t} |\delta\psi\rangle &= H |\delta\psi\rangle + \delta H(t) |\psi\rangle + O(\delta^2) \\
i \left(\frac{\partial}{\partial t} + iH \right) |\delta\psi\rangle &= \delta H(t) |\psi\rangle \\
\exp(iHt) i \left(\frac{\partial}{\partial t} + iH \right) |\delta\psi\rangle &= \exp(iHt) \delta H(t) |\psi\rangle \\
i \frac{\partial}{\partial t} \exp(iHt) |\delta\psi\rangle &= \exp(iHt) \delta H(t) |\psi\rangle \\
i \exp(iHt) |\delta\psi\rangle &= \int dt' \exp(iHt') \delta H(t') |\psi\rangle \\
|\delta\psi\rangle &= -i \int dt' \exp(iH(t' - t)) \delta H(t') |\psi\rangle \\
\langle \psi' | B | \psi' \rangle &= (\langle \psi | + \langle \delta\psi |) B (|\psi\rangle + |\delta\psi\rangle) \\
\delta \langle \psi' | B | \psi' \rangle &= \langle \psi | B | \delta\psi \rangle + \langle \delta\psi | B | \psi \rangle \\
\delta \langle \psi' | B | \psi' \rangle &= -i \int dt' \langle \psi(t) | B \exp(iH(t' - t)) \delta H(t') |\psi(t')\rangle + \langle \delta\psi | B | \psi \rangle \\
\delta \langle \psi' | B | \psi' \rangle &= -i \int dt' \langle \psi(t) | B \exp(iH(t' - t)) \delta H(t') \exp(-iH(t' - t)) \exp(iH(t' - t)) |\psi(t)\rangle + \langle \delta\psi | B | \psi \rangle \\
\delta \langle \psi' | B | \psi' \rangle &= -i \langle \psi(t) | \int dt' B \exp(iH(t' - t)) \delta H(t') \exp(-iH(t' - t)) |\psi(t)\rangle + \langle \delta\psi | B | \psi \rangle \\
\delta \langle \psi' | B | \psi' \rangle &= -i \langle \psi(t) | \int dt' [B, \exp(iH(t' - t)) \delta H(t') \exp(-iH(t' - t))] |\psi(t)\rangle \\
\delta \langle \psi' | B | \psi' \rangle &= -i \langle \int dt' [B, \exp(iH(t' - t)) \delta H(t') \exp(-iH(t' - t))] \rangle
\end{aligned}$$

$$\begin{aligned}
i \frac{\partial}{\partial t} |\psi\rangle &= H |\psi\rangle \\
i \exp(iHt) |\delta\psi\rangle &= \int dt' \exp(iHt') \delta H(t') |\psi\rangle \\
|\delta\psi\rangle &= -i \int dt' \exp(iH(t' - t)) \delta H(t') |\psi\rangle \\
\langle\psi'| B |\psi'\rangle &= (\langle\psi| + \langle\delta\psi|) B (|\psi\rangle + |\delta\psi\rangle) \\
\delta\langle\psi'| B |\psi'\rangle &= \langle\psi| B |\delta\psi\rangle + \langle\delta\psi| B |\psi\rangle \\
\delta\langle\psi'| B |\psi'\rangle &= -i \int dt' \langle\psi(t)| B \exp(iH(t' - t)) \delta H(t') |\psi(t')\rangle + \langle\delta\psi| B |\psi\rangle \\
\delta\langle\psi'| B |\psi'\rangle &= -i \int dt' \langle\psi(t)| \exp(iH(t' - t)) \exp(-iH(t' - t)) B \exp(iH(t' - t)) \delta H(t') |\psi(t')\rangle \\
\delta\langle\psi'| B |\psi'\rangle &= -i \int dt' \langle\psi(t')| \exp(-iH(t' - t)) B \exp(iH(t' - t)) \delta H(t') |\psi(t')\rangle + \langle\delta\psi| B |\psi\rangle \\
\hat{B}(t, t') &= \exp(-iH(t' - t)) B \exp(iH(t' - t)) \\
\delta\langle\psi'| B |\psi'\rangle &= -i \int dt' \langle\psi(t')| \hat{B}(t, t') \delta H(t') |\psi(t')\rangle + \langle\delta\psi| B |\psi\rangle \\
\delta\langle\psi'| B |\psi'\rangle &= -i \langle \int dt' [\hat{B}(t, t'), \delta H(t')] \rangle \\
C(t, t') &= -i \langle [\hat{B}(t, t'), \delta H(t')] \rangle \\
\delta\langle\psi'(t)| B |\psi'(t)\rangle &= \int dt' C(t, t')
\end{aligned}$$

6 Position operator in bloch representation

$$\Psi(x) = \sum_n \int_{BZ} dk \Psi_n(k) u_{n,k}(x) e^{ik \cdot x} \quad (69)$$

$$\text{want } x\Psi(x) = \sum_n \int_{BZ} dk X \Psi_n(k) u_{n,k}(x) e^{ik \cdot x} \quad (70)$$

$$x\Psi(x) = x \sum_n \int_{BZ} dk \Psi_n(k) u_{n,k}(x) e^{ik \cdot x} \quad (71)$$

$$= \sum_n \int_{BZ} dk \Psi_n(k) u_{n,k}(x) x e^{ik \cdot x} \quad (72)$$

$$= \sum_n \int_{BZ} dk \Psi_n(k) u_{n,k}(x) (-i\partial_k) e^{ik \cdot x} \quad (73)$$

$$= \sum_n \int_{BZ} dk i\partial_k (\Psi_n(k) u_{n,k}(x)) e^{ik \cdot x} \quad (74)$$

$$= \sum_n \int_{BZ} dk i\partial_k \Psi_n(k) u_{n,k}(x) + \Psi_n(k) i\partial_k u_{n,k}(x) e^{ik \cdot x} \quad (75)$$

$$= \sum_n \int_{BZ} dk i\partial_k \Psi_n(k) u_{n,k}(x) + \Psi_n(k) \int dx' \delta(x - x') i\partial_k u_{n,k}(x') e^{ik \cdot x} \quad (76)$$

$$= \sum_n \int_{BZ} dk i\partial_k \Psi_n(k) u_{n,k}(x) + \Psi_n(k) \int dx' \sum_m u_{m,k}^*(x') u_{m,k}(x) i\partial_k u_{n,k}(x') e^{ik \cdot x} \quad (77)$$

$$= \sum_n \int_{BZ} dk i\partial_k \Psi_n(k) u_{n,k}(x) + \Psi_n(k) \sum_m u_{m,k}(x) \int dx' u_{m,k}^*(x') i\partial_k u_{n,k}(x') e^{ik \cdot x} \quad (78)$$

$$= \sum_n \int_{BZ} dk i\partial_k \Psi_n(k) u_{n,k}(x) + \Psi_n(k) \sum_m u_{m,k}(x) (-A_{mn}(k)) e^{ik \cdot x} \quad (79)$$

$$(80)$$

So in Bloch representation, $X = \partial_k - (A_{mn})$

7 Orthogonality in kq -representation

$$\begin{aligned}
|q - q'| &< a \text{ by definition} \\
|k - k'| &< b \text{ by definition} \\
\psi_{kq}(x) &= \sum_n \delta(x - q - na) e^{inka} \\
\int dx \psi_{kq}^*(x) \psi_{k'q'}(x) &= \int dx \sum_{n,n'} \delta(x - q - na) e^{-inka} \delta(x - q' - n'a) e^{in'k'a} \\
&= \int dx \sum_{n,n'} e^{in'k'a - inka} \delta(x - q - na) \delta(x - q' - n'a) \\
&= \sum_{n,n'} e^{ia(n'k' - nk)} \delta(q - q' - (n + n')a) \\
&= \sum_{n,n'} e^{ia(n'k' - n'k + n'k - nk)} \delta(q - q' - (n - n')a) \\
&= \sum_{n,n'} e^{ian'(k' - k) + ia(n' - n)k} \delta(q - q' - (n - n')a) \\
&= \sum_{n,n'} e^{ian'(k' - k) + i(q' - q)k} \delta(q - q' - (n - n')a) \\
&= \sum_{n,n'} e^{ian'(k' - k)} \delta(q - q' - (n - n')a), \text{ because } |q - q'| < a \text{ and } q - q' = (n - n')a
\end{aligned}$$

8 Position operator in Zak basis

$$\langle k'q'|p|kq\rangle = \int dp dp' \langle kq|p\rangle \langle p|p'\rangle \langle p'|l'q'\rangle \quad (92)$$

$$= \int dp p \langle kq|p\rangle \langle p|k'q'\rangle \quad (93)$$

$$= \int dp p \psi_{kq}^*(p) \psi_{k'q'}(p) \quad (94)$$

$$= \int dp p \frac{1}{\sqrt{a}} e^{ikq} \sum_n \delta(p - k - nb) e^{iqnb} \frac{1}{\sqrt{a}} e^{-ik'q'} \sum_{n'} \delta(p - k' - n'b) e^{-iq'n'b} \quad (95)$$

$$= \int dp p \frac{1}{a} \sum_{n,n'} \delta(p - k - nb) \delta(p - k' - n'b) e^{ib(qn - q'n')} e^{i(kq - k'q')} \quad (96)$$

$$= \frac{1}{a} \sum_{n,n'} (k + nb) \delta(k + nb - k' - n'b) e^{ib(qn - q'n')} e^{i(kq - k'q')} \quad (97)$$

$$= \frac{1}{a} \sum_{n,n'} (-i) \frac{\partial}{\partial q} \delta(k + nb - k' - n'b) e^{ib(qn - q'n')} e^{i(kq - k'q')} \quad (98)$$

$$= -i \frac{\partial}{\partial q} \frac{1}{a} \sum_{n,n'} \delta(k + nb - k' - n'b) e^{ib(qn - q'n')} e^{i(kq - k'q')} \quad (99)$$

$$= -i \frac{\partial}{\partial q} \delta(q - q') \delta(k - k') \text{ because we've already proved orthogonality} \quad (100)$$

$$(101)$$

9 Zak combinatorial formula

$$[\prod_{p=1}^m K_{i_p}][\prod_{q=1}^n K_{i_{m+q}}] \quad (102)$$

$$= [\prod_{p=1}^{m+n} K_p] + \frac{1}{(m+n)!} \sum_{p=1}^m \sum_{q=1}^n [K_{i_p}, K_{i_{m+q}}] \sum_{\sigma \in S_{m+n}, \sigma^{-1}(p) > \sigma^{-1}(m+q)} K_{i_{\sigma(1)}} \dots \hat{K}_{i_{m+q}} \hat{K}_{i_p} \dots K_{i_{\sigma(m+n)}} \quad (103)$$

$$= [\prod_{p=1}^{m+n} K_p] + \frac{(m+n)!}{(m+n-2)!} \frac{(m+n-2)!}{(m+n)!} \sum_{p=1}^m \sum_{q=1}^n \frac{1}{2} [K_{i_1} \dots \hat{K}_{i_{m+q}} \dots \hat{K}_p \dots K_{i_{m+n}}] + \dots \quad (104)$$

$$= [\prod_{p=1}^{m+n} K_p] + \sum_{\alpha=1}^3 \sum_{\beta=1}^3 \frac{1}{2} [K_{\alpha}, K_{\beta}] [(\frac{\partial}{\partial K_{\alpha}} \prod_{s=1}^m K_s) (\frac{\partial}{\partial K_{\beta}} \prod_{t=1}^n K_t)] \quad (105)$$

10 Kronig-Penney

$$T(k, z) = \begin{pmatrix} e^{ikz} & 0 \\ 0 & e^{-ikz} \end{pmatrix} \text{ translate by } z$$

$$S(k) = \begin{pmatrix} 1 & 1 \\ ik & -ik \end{pmatrix} \text{ convert from } \{e^{ikx}, e^{-ikx}\}$$

$$S(k)T(k, z)S(k)^{-1} = \begin{pmatrix} \cos(kz) & \sin(kz)/k \\ -k \sin(kz) & \cos(kz) \end{pmatrix}$$

$$0 = |S(\beta)T(\beta, b)S(\beta)^{-1}S(\alpha)T(\alpha, a)S(\alpha)^{-1} - e^{ik(a+b)}|$$

$$\cos((a+b)k) = -\frac{\alpha^2 + \beta^2}{2\alpha\beta} \sin(a\alpha) \sin(b\beta) + \cos(a\alpha) \cos(b\beta)$$

11 Su-Schrieffer-Heeger Model

$$H(\Delta) = t(1+\Delta) \sum_i c_{i,A}^\dagger c_{i,B} + t(1-\Delta) \sum_i c_{i+1,A}^\dagger c_{i,B} + \text{h.c.} + u\Delta \quad (106)$$

(107)