

## 1 Question 1

[10 marks] Suppose you have a splay tree with keys  $1 \dots n$  and you access the keys sequentially, i.e. you search for  $1, 2, \dots, n$ , in that order, performing a splay on each one.

a) [2 marks] What is the structure of the final splay tree? Justify your answer.

**Answer:** We will define potential to be the number of elements in the array. Thus, when the array has  $k$  elements,  $\Phi = k$ . This guarantees that  $\Phi_i \geq 0$  for all  $i$ , confirming that it is a valid potential. Furthermore, we see that this allows the initial potential to be  $\Phi_0 = 0$  as initially, we start off with an empty array.

b) Prove that the final potential is  $\geq$  initial potential.

**Answer:** Initially, we start off with an empty array - there are 0 elements in the array. Thus, the initial potential is 0. The number of elements stored in the array can only ever be a non-negative number. Thus, the final array contains *at least* 0 elements, and so the final potential is at least 0, which means it's at least the initial potential.

c) Conclude that the amortized cost of each operation is  $O(1)$ .

**Answer:** In class, we were given the following theorem:

**Theorem 1** *If final potential  $\geq$  initial potential, then amortized cost  $\leq$  max charge.*

From part b), we know that final potential  $\geq$  initial potential. Thus, we can apply this theorem to the two operations.

For the add operation, we are given the constant *charge* = 2. Thus, by the theorem, we know that amortized cost  $\leq 2$ . Since 2 is a constant, we know that amortized cost  $\in O(1)$ .

For the empty operation, we are given the constant *charge* = 1. Thus, by the theorem, we know that amortized cost  $\leq 1$ . Since 1 is a constant, we know that amortized cost  $\in O(1)$ .