1 Question 1

Note on notation: we will represent the set with name s as S.

Intuition

Let's model this problem with the help of disjoint sets. Given the array $A[1, \ldots n]$, we will partition indices 1, n into disjoint sets by their solution to operation C. That is, $\forall i$ such that $OperationC(i) = k \iff i \in K$. That is, the indices with the same solution for operation C are in the same set K with "name" k. Note that all the indices in the set have to be a consecutive sequence. If it's not a consecutive sequence, then there exists such index j in between the indices in Ksuch that A[j] = 0, and so $\exists h \in K, Operationc(h) \neq k$, violating our definition. Initially, since A contains only 0's, then we have n disjoint sets, one for each index. On setting A[i] = 1, we can see that OperationC(i) = OperationC(i+1)- that is, since A[i] is no longer a zero, the leftmost zero for i is now the same as the leftmost zero for i+1. According to our definition above, i and i+1must now be in the same set. We can accomplish this by an *Union* operation between the sets i and i+1 belong to. When we need to recover which set i is in, that is the solution to operation C, we can simply call Find(i). Something interesting to note is that A[i] will become 1 only once, and so we only union iand i+1 once. Given these requirements, let's keep track of these disjoint sets in another array U (in addition to A containing the 0-1 data). To implement Union and Find for U, we use the same algorithm taught in class using tree linking and path compression. Explicitly:

- 1. Operation A(i): Call Union(i, k), where k is the set name of the set that i + 1 belongs to. This can be found in constant time with the help of supplementary array Q described below. If K is smaller than I, Union will merge K into I. However, Operation C should return k, not i. Thus, we will change the name of the union'd set from i to k via a swap on the previous root nodes i and k after the union, thus making k the root of the union'ed set. This is done in constant time.
- 2. Operation B(i): simply return A[i]
- 3. OperatonC(i): Find(i).

In addition to the union find data structure, we will also keep another array Q that we will we use to store additional information. The goal of this data structure is to determine the root/set of the leftmost element (smallest index) in some set I in constant time. Note that in this particular application of union find, all sets are within a contiguous section of the array and all "unions" will only occur between the head/root (since we flip A[i] from 0 to 1) of a subarray/set and the tail (leftmost child) of another subarray/set. Let the following rules define array Q of size n.

1. Property: Let i be the name of a set that still exists (has not been absorbed into a larger set), then the following is true: $\exists k \geq 0$, $(Q[i] = k \iff Q[i - 1])$

- k] = -k) $\land j \in [i k, i], j \in I$. This also means that OperationC(j) = i from our definition above. This means that everything in the contiguous subarray from i k to i is in set I, thereby meaning the set I has size k + 1 and that the leftmost right zero for all indices in this range is at index i.
- 2. Initially $Q[i] = 0 \forall i \in [1, n]$.
- 3. We need to maintain this property when we union disjoint sets. Note that a find will not impact this array at all since set membership does not change. We can only ever union sets i and the set that i+1 belongs to (i.e. disjoint sets when we flip A[i] = 0 to 1). In other words, in Q, the two sets are represented by two contiguous subarrays "next to each other". With our property above, we know that when we want to flip A[i] = 1, index i+1 belongs to set K such that k=i+1-A[i+1]. Now there are two cases when we call Union(i,k). Note that both of these take O(1) time as it is just array lookup and changing values.
 - (a) If $|K| \ge |I|$, then we set A[i A[i]] A[k] + 1 and we set A[k] + 1 A[i A[i]]. Note that this happens simultaneously (for example we store A[k] in a temp variable so we increment using the old value than the new value).
 - (b) If |K| < |I|, we do the exact same as above except that after we do the union, we notice that since the larger of the sets was I, the elements in the contiguous subarray $[i A[i] \dots k]$ no longer belong in K, but in I instead! This violates our property above! So, to account for this we change the name of set I to k instead. This can be easily done by keeping track of the root node of K before linking and swapping it with the root node of the union'd set (which contains i) after linking. Thus, the union'd set K now has a root of k. This keeps our property.

Analysis

- 1. Operation A: Finding the set name of i + 1 is just constant time as it is array index lookup specified above. *Union* given the roots of two sets is just O(1) as it is just adjusting pointers. Don't forget we also update Q on *Union*. However, this simply consists of array indexing for i and i + 1, which is O(1). This results in a total runtime of O(1).
- 2. Operation B: O(1) as this is an array lookup.
- 3. Operation C: This is just amortized $O(\alpha(m.n))$ from the results in class.

Algorithm

Algorithm 1 Operations

```
1: procedure OperationA(A, i, Q, U)
       k \leftarrow i + 1 - Q[i+1]
                                                  \triangleright root of the second set (i + 1)
2:
       swap \leftarrow False
3:
4:
       if A[k] < A[i] then
          swap \leftarrow True
5:
       temp \leftarrow A[i-A[i]]
6:
       A[i-A[i]] \leftarrow A[i-A[i]] - A[k] - 1
7:
       A[k] \leftarrow A[k] - A[temp] + 1
8:
       U.Union(i,k)
9:
       if swap then
10:
           ChangeName(i, k) > change name of set I to k by a swap between
11:
   the previous roots
1: procedure OPERATIONB(A, i, Q, U)
       return A[i]
1: procedure OPERATIONC(A, i, Q, U)
       return U.FIND(i)
```