**Simulating Intelligent Elderly Care Systems**

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CMP-9701: Precandiacy Prospectus for Computer Science

December 26, 2021

Northcentral University

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**Simulating Intelligent Elderly Care Systems**

This constructive research project seeks to raise the elderly care quality bar while minimizing costs. Using Human Activity Recognition (HAR) through computer vision and machine learning, the solution tracks the patient’s skeletal structure. After observing behaviors and determining intents, the system orchestrates Cyber-Physical Systems (CPS) to provide further assistance.

# Background

A demographic timebomb will create significant pressure on the global health care system because people live longer, have fewer children, and medical costs continue to increase (Piggott, 2016; Stone, 2017). When patients cannot afford the required care, the quality decreases, or social programs must fund the difference. Demographic specialists predict that by 2050 nearly “80% of the global elderly population will be from low- to middle-income countries (Muhsin, Munyogwa, Kibusi, & Seif, 2020, p. 1).” Economic constraints within those countries will limit the effectiveness of their welfare programs and the availability of adequate services. Additionally, over one billion globally have a limiting disability that requires additional support (Morris, 2008). Medical facilities need mechanisms to defuse the situation by reducing costs and deferring the transition to assisted living centers.

Inversely, the explosive growth across IoT, Cloud, Big Data, and Mobile (ICBM) continuously decreases costs and enables new opportuntieis. These technologies have the potential to revolutionize the health care and wellbeing industries. Academic and commercial vendors are continuously delivering innovations across these domains. However, mainstream offerings primarily focus on measuring simple body metrics (Koreshoff, Robertson, Leong, 2013). While these products provide incremental value, they do not move the needle. Nearly eight years later, the industry myopically drives toward wearable IoT devices (Tun et al., 2021). Researchers concentrating on these areas make sense due to the low barrier to entry. Though, that same ease is commoditizing the products selection and stifling creativity.

Technology within special needs and elderly care settings has unique challenges and requirements (Ferati, Kurti, Vogel, & Raufi, 2016). These persons need unobstrusive systems that continuously monitor and respond to their behaviors. Specific vendors utilize voice-enabled Personal Digital Assistants (PDA) (e.g., Amazon Alexa) to effectively set reminders and record activities (Tan et al., 2020). Though, it becomes challenging to globalize these voice-specific technologies due to situtations such as assisting non-native speakers and individuals with vocal disorders.

Assisted living facilities use trained nurses to mitigate these issues. Having a human inspect the patient visually is an effective but expensive tool. The median compensation rate for registered nurses is $75,330 annually ($36.22 per hour) (US Bureau of Labor Statistics, 2020). Due to the high cost, few patients have private nurses and receive fractional supervision. In contrast, video-centric monitoring and Human Activity Recognition (HAR) is broadly applicable to a diverse population. When a person falls, or drinks a glass of water, their skeletal structure moves in predicable ways, which enables AI/ML processes to respond through CPS systems. Businesses could deliver these capabilities economically and consistently across global markets, ultimately improving the quality of care at lower costs.

# Problem Statement

Senior citizens live longer than ever before and want to defer moving into nursing homes until later in life. Transitioning into elderly care comes as a double edge sword. On the one hand, nurses can provide 24-hour supervision. This assistance could mean the difference between life and death (e.g., during a fall). However, on the other hand, the medical services are prohibitively expensive, nearing $90,000 annually (Tan et al., 2020). Additionally, these medical facilities lack the personalization available within one’s home. Further, specific individuals with diseases like dementia and Alzheimer’s require even greater levels of attention.

Traditionally, addressing the situation requires increasing human capital, such as more traveling nurses or family member oversight (Westergren et al., 2021). However, this solution increases health care costs and collects limited patient health data. In addition, these infrequent visits might miss critical issues, especially with those most reluctant to relocate. Modern solutions must bridge the differentiation between remaining in the home and still receiving the attentiveness typically found in assisted living facilities (Tan et al., 2020). When this gap narrows, it enables the patient to remain in familiar settings for more prolonged periods. That situation has numerous psychological benefits (e.g., higher morale) and economic impacts (e.g., deferring private health care costs). For instance, patients with memory impairment might forget to empty the dishwasher, take medication, or bathe regularly. Medical facilities can address these challenges through real-time video monitoring services that analyze patients’ actions and recommend care.

# Purpose Statement

This constructive design research project defines and implements an Elderly Care Smarthome Operating System (ECSOS). The ECSOS aims to provide central core services for bringing world-class assisting living care into a resident’s home, such as identity management, patient action tracking, consistent Cyber-Physical control plane, and privacy functions. While this specific project examines elderly care, the implications are generalizable to other scenarios. Those scenarios encompass childcare (e.g., babysitting), school safety systems, and virtual office secretary situations, to name a few.

Building these capabilities and verifying them at scale is challenging. First, the research must find patients willing to share continuous in-home video streams. In addition to the privacy concerns, it would be difficult for others to reproduce the findings. Third, validating the solution against numerous home layouts requires significant effort. Lastly, purchasing and configuring hardware components is prohibitively expensive in terms of time and money (Elloumi et al., 2020; Das et al., 2019). This project mitigates these issues through a virtual world simulation process.

The Robot Operating System (ROS) is a framework for writing robot software (Stanford Artificial Intelligence Laboratory et al., 2018). It exposes features for rapidly designing complex cyber-physical interactions through a Message Passing Interface (MPI). The meta-operating system integrates with physics engines (e.g., Gazebo) and machine learning platforms (e.g., OpenAI Gym). Additionally, developers can package these tools into containerized workloads and leverage public cloud services (e.g., Amazon Web Services and Microsoft Azure). The cloud enables researchers to validate their designs in numerous world permutations efficiently and economically. Furthermore, the software can test situations that are not practical or feasible within the physical world (e.g., set the kitchen ablaze). Together, these different technologies culminate into an elegant solution that monitors, predicts, and responds in real-time to patient needs.

This dissertation leverages these tools to implement an intelligent home simulation environment. Next, it will populate the virtual home with ROS devices and sensors to observe and respond to ROS actors (patients). The actors will perform animation sequences based on motion-capture records. Lastly, the researcher will assess the observations and responses against the labeled data. While this specific test scenario focuses on elderly care, the solution is broadly applicable to any Cyber-Physical simulation.

# Research Questions

Researchers are innovating across health care using Internet of Things (IoT) devices (Tun et al., 2021). Significant investments into this space focuses on incremental improvements and wearable technologies. This approach makes sense due to the low barrier to entry and ease to access mass production capabilities. In contrast, high-quality research must be challenging, elegant, and move the needle (Zeller, 2014). Meeting these requirements necessitates a different approach, such as utilizing cameras and real-time video processing to deliver a superior experience. Though, video-centric systems encounter more complexity in several aspects. For instance, patients can freely move around their residence and change its configuration (e.g., move furniture or turn off a light). Addressing the noise within these dynamic environments is challenging and creates multiple research questions.

**R1** – What mechanisms are best suited for extracting the subject’s *intent*, when dealing with noisy video stream data? Noise enters the processing pipline from numerous situations, such as out-of-focus images and the subject’s distance to the camera.

**R2** – What affordances do Cyber-Physcial Systems allow for acting on the extracted intents from R1? Nurses at assisted living centers provide a helping hand literally and figuratively. Smart devices must serve this same function across a range of tasks (e.g., medication management).

This constructive research does not evaluate mechanisms for protecting the subject’s data privacy. Subjects will only use a continuous in-home video recording solution if they trust its security and privacy controls. There must be explicit and deliberate decisions regarding how information is stored and replicated. Additionally, future researchers need to define procedures for efficiently scaling these mechanisms globally. Suppose healthcare workers could remotely deliver world-class services to homes using CPS systems. In that case, the businesses could leverage this capability to decrease costs, increase profit margins, and maintain quality standards. While both topics are critical toward productizing this work, they are beyond the dissertations’ scope.

# Hypotheses

This constructive research project aims to simulate people moving around virtual homes. Virtual IP-Cameras arbitrarily placed within the home, can monitor the subjects and map their behaviors to intents. A data enrichment process can attach metadata that describes the intent in greater detail (e.g., holding an object versus holding spoon). This enrichment process would need to be extensible to cover a reasonable action space. Next, remediation process(es) can subscribe to intent-specific message buses after publish instructions to CPS Systems. Then, CPS systems will convert the instructions into device-specific operations (e.g., turn on light versus activate power to the green wire). Lastly, the subject should express a positive benefit from the environmental change. A feedback collection process can aggregate these various decisions and map them against the simulators configuration (e.g., performing the patient falling motion). It is expected that these subsystems holistically would be an effective and intuitive method for acting on patient’s health and provide real-time guidance for persons with special needs.

# Research Methodology

Before starting any significant undertaking, there needs to be a formal project plan that scopes the intent. Additionally, the plan must define mechanisms to measure the success and impact of those efforts. It can be challenging or impossible to prove that the project’s outcomes are efficient without those prerequisites.

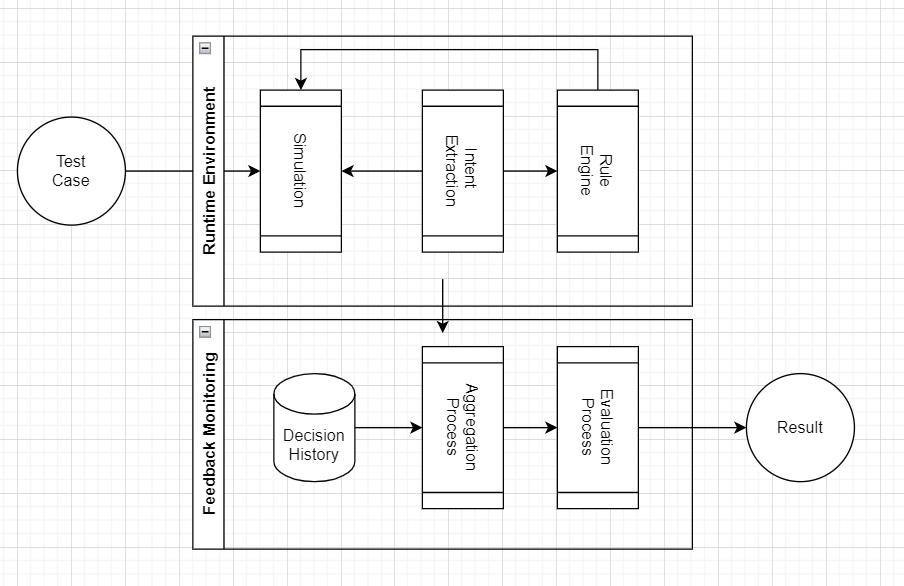
## Artifact Driven Approach

Artifacts are a principal component of the constructive design methodology.

This constructive research project will simulate human activity and then predict the subject’s intents using video-streams. The solution uses open source tooling, enabling other researchers to extend these efforts for their own projects. Derived works could include social studies, game theory, and film production, among other fields of study. These diverse researchers need activity recognition capabilities across industry standard video formats (e.g., RGB+D). Additionally, researchers will need to extend the action-space taxonomy to support domain-specific intents. For instance, this research project does not support observing a soccer match. Though, this project should expose primitives for adding those future requirements.

## System Design

This research project includes subsystems for simulating human movements, observing those behaviors, extracting intents, reacting through CPS systems, and evaluating prediction accuracies (Figure 1). An experiment begins with a test-case specification that describes the scene, actors, animations, and virtual devices. First, the Runtime Environment Pipeline simulates the scene requirements while virtual IP-Cameras monitors and reacts appropriately. Next, the Feedback Monitoring Pipeline Telemetry persists prediction history into a time series database. Lastly, an evaluation process can compare the test-case definition against the Decision History Store to assess the systems performance.

Figure 1: Experiment Design

### Test Case Definition

A test case encapsulates a specific experiment. During the experiment an arbitrary number of subjects will perform pre-configured animation sequences, such as walking or failing. These behaviors occurs within a dynamic world that supports typical real-world transforms. For instance, the subject can turn-off a light, move furniture, not modify the floor plan.

### Data Generation Process

ROS actors represent the patients within the simulation environment, which performs an animation sequence while moving around the house. These animations originate from open-source motion-capture videos and map to a hierarchial action-space taxonomy. The action-space describes specific behaviors (e.g., walking versus sitting) and any derived actions (e.g., sitting on a chair versus couch). There are virtually infinite sequences, making it challenging to record the entire universe of movement. Instead, a randomization process initializes from a recording and mutates model-joint characteristics such as flexibility, strength, and weight. This approach both increases taxonomy coverage and prevents overfitting the limited data.

### Simulation Process

ROS worlds represent the patient’s home or apartment and define models’ placement (e.g., actors and furniture), actor configuration, and devices (Bipin, 2018). Researchers use physics simulators (e.g., Gazebo) to examine interactions between these various components. For instance, the actor might perform walking to the kitchen table. Each camera will capture frames from its vantage point and transmit them to a message bus during this sequence. Next, AI services subscribe to the event stream and process the visual data. Suppose the service detects a valuable signal (e.g., the refrigerator door is left open). In that case, it can post a notification to another message bus to mitigate the situation (e.g., use voice assistant).

Validating these interactions requires an ability to reconfigure these worlds without significant effort. World templating tools (e.g., AWS RoboMaker) can dynamically generate environments that meet a specification (AWS, 2021). This capability allows the researchers to focus on creating custom sensors and algorithms, not positioning furniture. That also means this dissertation aims to have a stronger emphasis on ROS components and world templates, not reinventing standard tooling. These components must implement an asynchronous and loosely coupled architecture.

### Intent Extraction Process

A machine learning algorithm will process short video clips and predict the subject’s intent based on their behavior. For instance, the simulator will load a humanoid into a virtual apartment and then perform a walking sequence. These animation sequences will originate from open-source databases, such as Mixamo (Adobe, 2021) and MoCap Database (CMU, 2021). IP-cameras will track the subject’s skeleton movement changes into specialized sequence-to-binary classification models. For example, one model predicts that the subject is raising their hand while another assessing jumping or falling. Next, an ensamble classification algorithm combines these binary predictors into a sophisticated intent. This strategy should create an extensible framework that supports iteratively adding more behaviors overtime.

The input sequence will be contain the relative positional changes to the subject’s skeletal joints. There are several potential implementations and those solutions must perform within the hardware constraints of an edge appliance. For instance, the simulated home might produce data from dozens of cameras and sensors. Suppose the algorithm requires too much compute resources. In that case, the solution would require remote compute configurations (e.g., public cloud), and this further raises security and privacy concerns. Maintaining the subject’s privacy drives specific requirements into this design, though its broadly deferred to future research.

### Rule Engine Process

Assume that the system determines that the subject has fallen down, then what? Perhaps the system should ask if the person needs an ambulance through a text-to-speech device. Then deciding which specific voice assistant adds more neuances. Further complicating the matter, the residential IoT market is fractured across inconsistent protocols and standards. The second research question examines these integration challenges and proposes a rule engine. Addressing these issues requires design tenants and frameworks. While this research project explores these topics the scope narrowly focuses on virtual devices (versus real-world integrations).

### Feedback Mechanism

This system records all observations and responses for offline comparison against the labeled data. These records qualitative tags and aggregates centrally for offline analysis. The researcher will use this database to assess the system’s accuracy and identify potential quality gaps. For instance, the responses could indicate that specific intent predictions are unreliable (e.g., the patient has a fork versus pen).

## Contributions

The core contribution to the body of knowledge is an algorithm that extracts intents from real-time video streams and maps them to an action-space. A smart home can subscribe to intent message buses and then react through CPS systems. Existing publications discuss the various component within ECSOS under distinctly different use cases (e.g., sports injuries). Das et al. (2019) explains that those resources are not directly reusable, and implementations must use domain-specific labeled content. This design requirement necessitates compositing a new solution from custom and open-source software.

Second, the research creates a feedback collection process that integrates into a physics simulator.

# Measurements and Evaluation

There must be formal mechanisms to collect service telemetry, evaluate its accuracy, and compare against third-party benchmarks.

## Data Collection Process

The simulation process receives a configuration file that represents a specific test case. That file describes one or more animation sequences, virtual devices (e.g., cameras), and noise sources. While performing the actions IP-cameras will monitor those movements and predict the intents. Those predictions persist into a time-series database for troublehooting and offline analysis. Additionally, Robot Operating System (ROS) has standard tooling for capturing message buses and replying those streams.

## Evaluation Process

An evaluation process can exist that compares the known simulators configuration against the predicted intents. Those sophisticated predictions are the result of several binary classifiers. There needs to be an assessment of these classifiers to look for patterns (e.g., mixing up two actions), and make the necessary modifications. There must mechanisms to avoid introducing biases into the model (García-Pérez, 2012). The solution uses synthetic noise sources will prevent overfitting and vary subject’s physical traits (e.g., weight and flexibility). There needs to be an assessment of these modifiers and the limits they impose.

## Benchmarking

Numerous Human Activity Recognition (HAR) benchmarks exist with varying frame rates, actions, actors, backgrounds, resolutions, and problem domains (Singh & Vishwakarma, 2018). However, most benchmarks also focus on high-intensity outdoor sports footage (Das et al., 2019). Since those behaviors are very different from low-intensity indoor movements, they are not directly usable. Instead, several publications choose to define movement taxonomies and curated lists of expected behaviors. Afterward, the project’s quality is proportional to its ability to cover those actions. Additionally, the solution should be extensible and support more actions in future versions.

# Summary

Senior citizens and other debilitating populations are living longer and want to defer transitioning into assisted living facilities. Delaying the move can save nearly ninety thousand dollars annually and provide additional value (e.g., personalized comfort). However, those savings typically require care degradation. The state of the art solutions utilizes real-time video processing to provide an equal or better experience without being obtrusive. Computer vision strategies typically employ a skeletal tracking process. This process must first convert the patient’s skeleton into 3D space then assess the changes. That operation requires a combination of CNN, RNN, and ensemble algorithms. Multiple challenges arise while implementing these pipelines. For instance, there are minimal open datasets for analyzing indoor low-activity behaviors. This limitation causes researchers to build everything from step one forward.

Luckily, there are existing tools and services to simplify the development experience when designing complex behaviors. After determining the patient’s *intent*, the operating system must respond with an appropriate response. This reaction frequently requires manipulating the physical world from the virtual domain. Cyber-Physical Systems create those communication bridges, enabling data analytics to manipulate the physical realm. There are several well-established patterns for approaching these challenges. Researchers must minimize adding complexity within this complicated problem space.

Lastly, unlocking these challenges could enable an extremely competitive service offering. Today, assisted living centers must employ numerous staff members and maintain the physical buildings. Instead, the ECSOS enables centralized nursing teams to scale across sales territories while reducing costs and increasing efficiencies. Additionally, since the patients remain within their residence, the business can leverage smaller facilities. Furthermore, the service is marketable to a broader audience. While traditional living centers only care for end-of-life patients. ECSOS applies to any widow or elderly parent. Children are often hesitant to “put their mom in a home” but will gladly subscribe to the piece of mind that “someone is watching mom.” The business can tap into those emotions to produce win-win scenarios.

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# Annotated Bibliography

Examining the Northcentral University (NCU) Library with search terms such as elderly care, IoT, and video health monitoring uncovers several industry-wide trends.

## Human Activity Recognition

Amazon Go enables customers to purchase goods from physical stores without requiring cashiers (Amazon, 2021). Their solution uses Deep Neural Network (DNN) algorithms that process real-time video streams. Wankdhede et al. (2018) assessed the system’s sophistication through a series of shoplifting test cases. Their malicious attempts to steal items failed, proving that real-time video monitoring is an effective real-world tool. However, several critical changes are necessary before engineers can transpose the solution directly into a person’s home.

Action tracking systems require sophisticated machine learning algorithms that classify spatiotemporal movements. They typically follow a process that collects RGB+D (Color and Depth) camera frames and decodes them into 3-D space (Litomisky, 2012). Next, a series of filters must crop, resize, and align the principal subject. These steps are necessary because people can freely move around the room. Third, a feature extract process outputs matrices representing the body’s location, pose, and orientation. Finally, those tensors flow into Long-Term Short-Term (LTSM) algorithms that classify the movement into gestures.

Many general-purpose gesture detection libraries already exist for behaviors such as sporting events and other high-energy actions. However, daily indoor activity tends to be more subtle and nuanced (Das et al., 2019). This discrepancy limits reusability and creates the need for purpose-built training sets that sufficiently cover patient-specific actions. Furthermore, these custom datasets necessitate vast quantities of examples with accurate labels, or the machine learning model will produce unreliable results. As a general rule of thumb, each model parameter needs at least ten examples to avoid overfitting (Snee, 2015). Gesture models can quickly explode into hundreds or thousands of parameters which represents the 3D space plus time. Mechanisms exist for accelerating the process of building custom datasets (e.g., transfer learning). However, this is still an open research topic.

## Integrating IoT Systems

After the system decodes the subject’s actions, it needs to act upon that information. While some responses are relatively trivial (e.g., dispatch an ambulance), other reactions must utilize Cyber-Physical Systems (CPS) to cross the digital boundary. Building these integrations is challenging as it draws upon knowledge spanning networking, sensors, embedded systems, and related concepts. Furthermore, CPS devices lack standardization which impacts component reusability.

Elloumi et al. (2020) propose a Smarthouse Operating System (SOS) that provides core services such as identity management, system state, and message routing. Their blueprint also outlines several automation application profiles (e.g., heat management and fire detection). These abstract constructs enable developers to focus on their integrations value differentiation versus writing tedious generic code. Finally, the authors demonstrate the effectiveness of this approach using a CNC (Computer Numerical Control) machine to print a replica house (2 cubic feet).

## Enhancing Security

It can be helpful to think of identity within IoT as a profile of historical choices, stated preferences, user roles, and known associations (Wachter, 2018). When the device understands the user’s profile, the experience can be customized and produce more accurate predictions. However, the payment for access to these inferences and decision processes comes from personal information, such as calendars, contacts, and routines (Mickens, 2018). This trade creates privacy concerns that can be subtle and can go unnoticed for some time.

The monitoring system will collect intimate knowledge of its assigned patients and even capture private communications. Therefore, mechanisms must exist for protecting this information as it flows between different compute domains (e.g., local versus cloud providers). Yang et al. (2021) propose a Secure MultiParty Computation (SMC) model that locally encrypts sensitive images (e.g., faces). Afterward, they use Cheon-Kim-Kim-Song Homomorphic Encryption (CKKS HE) to predict the encrypted payload remotely (e.g., Alice versus Bob’s face). Ideally, the system minimizes the information that leaves the patient’s private network. However, these remote compute requirements are unavoidable in specific scenarios.

## Healthcare and Cloud

Software that uses cloud resources gains agility, elasticity, instantaneous provisioning, and cost management constructs. However, some businesses are reluctant to trust these environments entirely due to security concerns (Ali et al., 2015). Alternatively, systems engineers can deploy hardware appliances that bring cloud aspects into the home or medical facility. For example, Abdulameer et al. (2020) propose implementing a small replica house similar to Elloumi. Their solution uses various wearable device sensors, Arduino micro-controllers, and one Raspberry-PI. In addition, users can check their vitals and other health KPIs (Key Performance Indicators) through a web portal. Therefore, provisioning small on-premise appliances makes sense and handles scenarios such as failures at the ISP (Internet Service Provider). In addition, other benefits come from standardizing the control-plane versus assuming the patient’s personal computer is compatible and Always-On/Always Connected (AoAC).

Yi & Feng (2021) recently proposed a complete video-based injury rehabilitation solution that includes support for CPS and wearables. The authors leverage Carnegie Mellon University’s Open Pose library to map skeletal structures within images. Then, they publish this information with various sensor readings (e.g., smoke detectors) into a secure private cloud. Unlike Das et al. (2019), the authors use Dynamic Time Warping (DTW) to compare and categorize patients’ movements. Researchers use DTW to normalize time series and avoid discrepancies from action speeds (e.g., raising one’s hand within two versus four seconds).

Chen, Saiki & Nakamura (2020) state that monitoring low-intensity slow physical movements is challenging. These issues arise because training data is not broadly available due to researchers focusing on fast-paced sporting video by default. Their study uses PoseNet to track skeletal movements and predict activities. Additionally, they compare the resources necessary for Raspberry PI and a desktop computer to make those predictions. The researchers assess the model’s accuracy in terms of delta changes in the bounding box. This approach is unique and comes with several limitations. For instance, a person laying on the couch versus standing will have different dimensions. While this methodology is not directly usable, it provides another measurement strategy.

Chaing et al. (2011) propose a Uniform Markup Language (UML) model for collecting health care metadata from video sources. Their solution focuses on physiological information, such as the patient’s movements. The model also describes a storage structure for persisting the recordings. There are specific aspects from this study that are reusable. For instance, the authors propose service interfaces to several patient monitoring components (e.g., heart rate and oxygen levels). However, the video monitoring system is critically dependent on wearable technologies. This requirement makes the solution loosely relevant to the ESHOS project.

Nugroho, Harmanto & Al-Absi (2018) propose a deep learning model to assess a patients’ pain level. Their solution uses facial expressions from fourteen people that train both OpenFace and FaceNet topologies. The researchers claim that they can predict with 93% accuracy a person’s level of pain. This capability is helpful within home monitoring systems as a mechanism to assess medication levels. The ESHOS solution could introduce similar capabilities to improve patient care. For instance, the system could emit a pain frequency Key Performance Indicator (KPI) as part of the patient’s scorecard. The scorecard would then grant reassurance to family members that sufficient attention is available.