

# Software Systems Verification and Validation

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# Software Systems Verification and Validation

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"Tell me and I forget, teach me and I may remember, involve me and I learn."

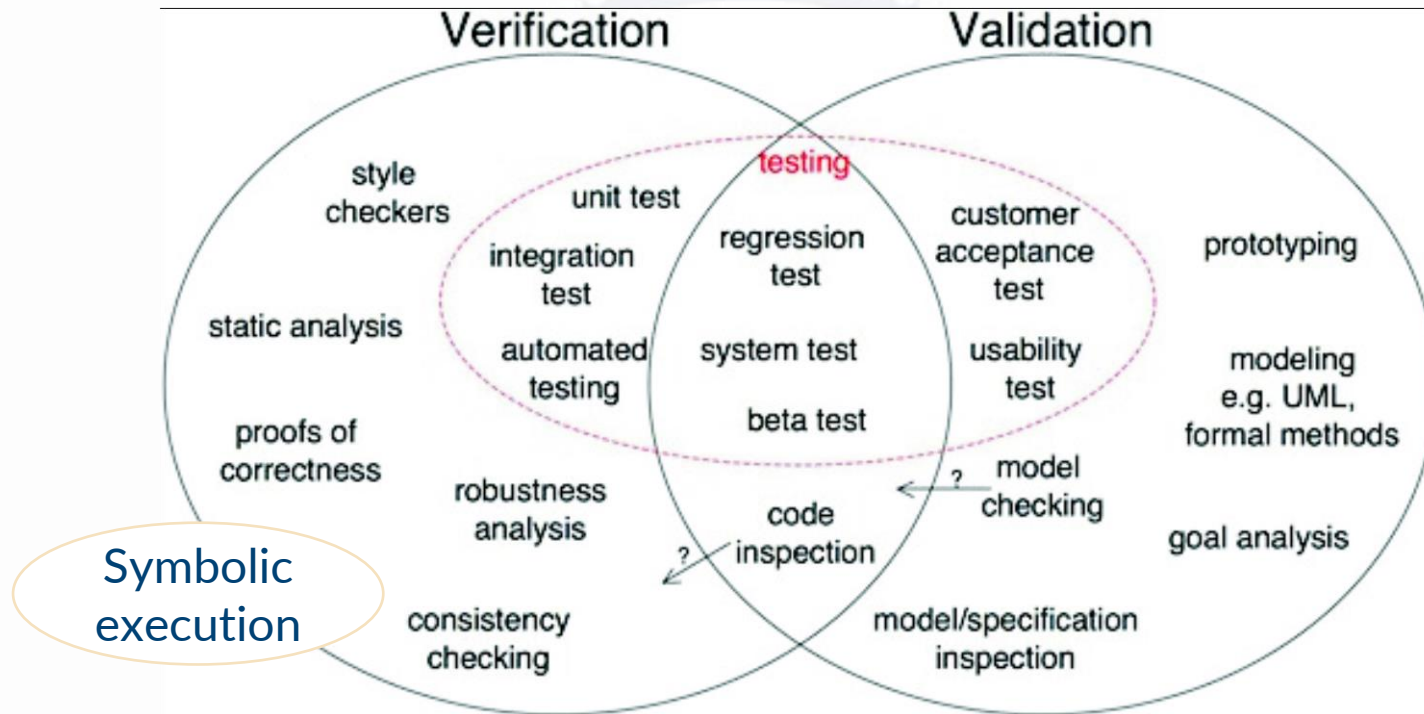
(Benjamin Franklin)

# (Next)/Today Lecture

- Correctness



# What we will learn!



- <http://www.easterbrook.ca/steve/2010/11/the-difference-between-verification-and-validation/>



# Outline

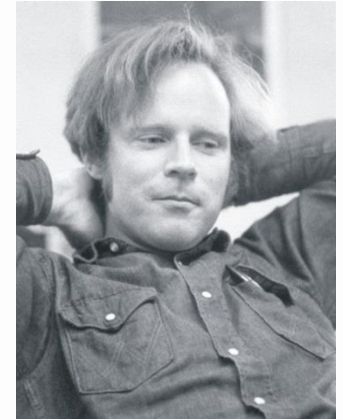
- Correctness
- Floyd's Method -Inductive assertions, Partial correctness, Termination
- Hoare Logic, Semantics of Hoare triples, Partial correctness, Total correctness
- Dijkstra's Language, Guarded commands, Nondeterminacy, Formal Derivation of Programs
- Developing correct programs from specification, Refinement, Rules of Refinement, Examples
- Static analysis, JML- Java Modeling Language, ESC/Java2- Extended Static Checker for Java
- Questions

# Program verification methods - Correctness

- Lecture 1 - Verification and Validation
  - Verification/Validation
    - reviews products to ensure their quality → correctness
    - static and dynamic analysis techniques
  - A **correct program** is one that does exactly what it is intended to do, no more and no less.
  - A formally correct program is one whose correctness can be proved mathematically.
    - This requires a language for specifying precisely what the program is intended to do.
    - Specification languages are based in mathematical logic.
  - Until recently, correctness has been an academic exercise. – Now it is a key element of critical software systems.
- **Program verification - correctness**
  1. proof-based, computer-assisted, program-verification approach, mainly used for programs which we expect to terminate and produce a result
  2. model-based, automatic, property-verification approach, mainly used for concurrent, reactive systems (originally used in a post-development stage) - model checking (Lecture 9)
  3. Developing correct algorithms from specification (Carroll Morgan, "Programming from Specification")
    - Correctness-by-Construction.
      - Originally intended as a mere means of programming algorithms that are correct by construction - Dijkstra (1968), Hoare (1971),
      - the approach found its way into commercial development processes of complex systems - Hall (2002), Hall and Chapman (2002)
      - 2012, The Correctness-by-Construction Approach to Programming, Authors: **Kourie**, Derrick G., **Watson**, Bruce W.
      - 2015, Experience with correctness-by-construction, B.W. Watson a, D.G. Kourie b, L. Cleophas b,\*
      - 2016, Correctness-by-Construction and Post-hoc Verification: Friends or Foes?, Maurice H. ter Beek<sup>1(B)</sup>, Reiner Hahnle<sup>2</sup>, and Ina Schaefer<sup>3</sup>
      - 2023, Automated Software Engineering Conference, The 5<sup>th</sup> International Workshop on Automated and verifiable Software sYstem DEvelopment (ASYDE)
    - Topic: Correct-by-construction software development
    - (<https://conf.researchr.org/track/ase-2023/ase-2023--workshop--asyde#the-5th-international-workshop-on-automated-and-verifiable-software-system-development-aside>)
- **Correctness Tools**
  - Theorem provers (PVS), Modeling languages (UML and OCL), Specification languages (JML), Programming language support (Eiffel, Java, Spark/Ada), Specification Methodology (Design by contract)
- **Methods for proving program correctness**
  - Floyd's Method - Inductive assertions
  - Hoare - Semantics of Hoare triples
  - Dijkstra's Language- Guarded commands, Nondeterminacy and Formal Derivation of Programs

# Floyd's Method - Inductive assertions [Flo67]

- **Aplicability**
  - Partial correctness of the program
  - Termination of the program
  - Total correctness = Partial correctness + Termination of the program
- **Uses**
  - The condition satisfied by the initial values of the program.
  - The condition to be satisfied by the output of the program.
  - Source code of the program.
- **Method:**
  - Cut the loops
  - Find an appropriate set of inductive assertions.
  - Construct the verification/termination conditions.
- **Theorem:** If all verification conditions are true, then the program is partially correct, i.e., whenever it terminates the result is correct.
- **Remark.** The method is useful when it is combined with termination.

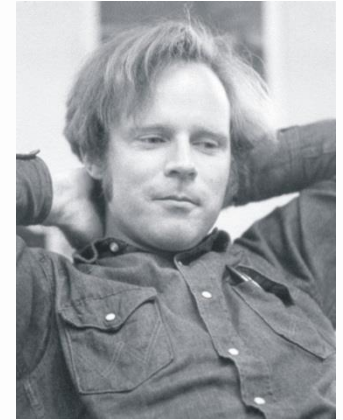


Robert W Floyd  
(June 8, 1936 -  
September 25, 2001)

# Floyd's Method - Inductive assertions [Flo67]

## Partial correctness - steps

- Cutting points are chosen inside the algorithm
  - 1 1 point at the beginning of the algorithm, 1 point at the end;
  - 2 At least 1 point for each *loop* statement
- For each cutting point an assertion (invariant predicate) is chosen.
  - 1 Entry point -  $\varphi(X)$ ;
  - 2 Ending point -  $\psi(X, Z)$ .
- Construction of the verification conditions
  - 1 Path from  $i$  to  $j$  -  $\alpha$ ;
  - 2  $P_i$  and  $P_j$  are assertions in  $i$  and  $j$ ;
  - 3  $R_\alpha(X, Y)$  - predicate that gives the condition for path  $\alpha$ ;
  - 4  $r_\alpha(X, Y)$  - function that gives the transformations of the variables  $Y$  from path  $\alpha$ ;
  - 5  $\forall X \forall Y (P_i(X, Y) \wedge R_\alpha(X, Y) \rightarrow P_j(X, r_\alpha(X, Y)))$ .
- Theorem: If all the verification conditions are true then  $P$  is partial correct.



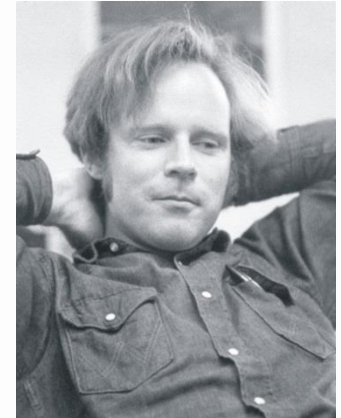
Robert W Floyd  
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# Floyd's Method - Inductive assertions [Flo67]

## Partial correctness - example

- Algorithm for  $z = x^y$   
   $z := 1; u := x; v := y;$   
  While ( $v > 0$ ) execute  
    If ( $v$  is even)  
      then  $u := u * u; v := v/2;$   
      else  $v := v - 1; z := z * u;$   
      endif  
    endWhile  
  endAlg;  
    A:  $\varphi(X) ::= (v > 0 \wedge (y \geq 0))$   
    B:  $\eta(X, Y) ::= z * u^v = x^y$   
    C:  $\psi(X, Z) ::= z = x^y$

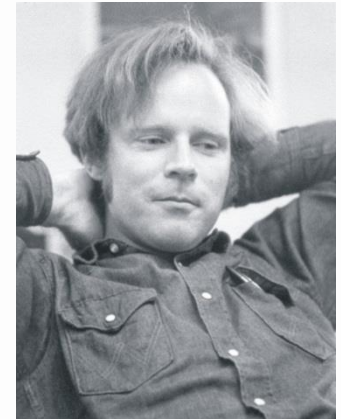


Robert W Floyd  
(June 8, 1936 -  
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# Floyd's Method - Inductive assertions [Flo67]

## Termination- steps

- Cut the loops and find “good” inductive assertions.
- Choose a well-formed set  $M$  (i.e., an ordered set without infinite strictly decreasing sequences)
- To demonstrate that some termination conditions hold: passing from one cutting point to another the values of some functions in the well-ordered set decrease.
- In point  $i$  a function is chosen  $u_i : D_X \times D_Y \rightarrow M$  and the termination condition on  $\alpha$  is:  
$$\forall X \forall Y (\varphi(X) \wedge R_\alpha(X, Y) \rightarrow (u_i(X, Y) > u_j(X, r_\alpha(X, Y))))).$$
- **Remark.** If partial correctness was demonstrated then the termination condition can be:  
$$\forall X \forall Y (P_i(X) \wedge R_\alpha(X, Y) \rightarrow (u_i(X, Y) > u_j(X, r_\alpha(X, Y))))).$$
- Theorem: If all the termination conditions hold then the program  $P$  terminates.

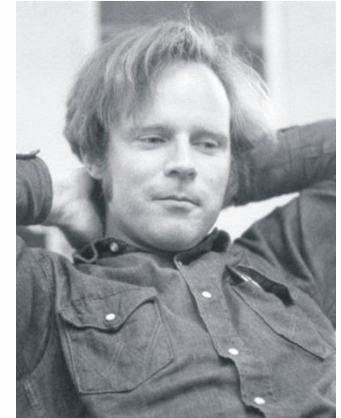


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# Floyd's Method - Inductive assertions [Flo67]

## Termination- example

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      endIf  
    endWhile  
  endAlg;  
    A:  $\varphi(X) ::= (v > 0 \wedge (y \geq 0))$   
    B:  $\eta(X, Y) ::= z * u^v = x^y$   
    C:  $\psi(X, Z) ::= z = x^y$



Robert W Floyd  
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# Outline

- Correctness
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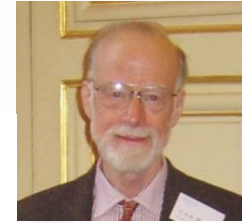
# Hoare triples [Hoa69]

- The meaning of a statement is described by a triple
  - $\{\varphi\} P \{\psi\}$ , where  $\varphi$  is called the precondition and  $\psi$  is called the postcondition.

$\{P\} S \{Q\}$

“when started in a state satisfying  $P$ , any terminating execution of  $S$  ends in a state satisfying  $Q$ ”

- If  $P$  does not terminate, we make no guarantees.
- Partial correctness
  - $\models_{par} \{\varphi\} P \{\psi\}$
  - only if  $P$  actually terminates.
- Total correctness
  - $\models_{tot} \{\varphi\} P \{\psi\}$
  - the program  $P$  is guaranteed to terminate.



- The Grand Verification Challenge Hoare 2003
- Develop a compiler which verifies that the program is correct
- <https://vimeo.com/39256698>

Charles Antony Richard Hoare  
(11 January 1934, Colombo, Sri Lanka)



An Advanced Study Institute of the  
NATO Security Through Science Committee  
and  
the Institut für Informatik,  
Technische Universität München, Germany,

on

Software System Reliability and Security

August 1 to August 13 2006

M. Broy (director)  
O. Kupferman (director)  
C.A.R. Hoare (co-director)  
A. Phuehl (co-director)

Katharina Spies (secretary)

The Summer School is also substantially supported by  
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and the town and the county of Marktoberdorf

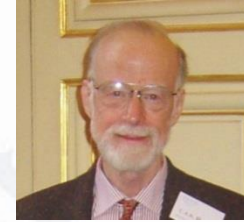


# Hoare triples [Hoa69]

## Partial correctness

### Rules

- Assignment
- Sequencing
- Conditional
- Loop



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# Hoare triples [Hoa69]

## Partial correctness

### Assignment

General Form: for any expression  $E$

- $\{P\} X := E \{Q\}$  *provided*  $[P \Rightarrow \langle X \leftarrow E \rangle (Q)]$

- Consider the triple  $\{P\} X := Y + 2 \{Q\}$ 
  - Given predicate  $Q$ , for what predicate  $P$  does this hold?
  - for any  $P$  such that  $[P \Rightarrow \langle X \leftarrow Y + 2 \rangle (Q)]$
- Examples
  - $\{P_0\} X := Y + 2 \{X \leq Y + 2\}$   
 $P_0 \equiv \text{true}$
  - $\{P_1\} X := Y + 2 \{X < 0\}$   
 $P_1 \equiv (Y + 2 < 0)$
  - $\{P_2\} X := Y + 2 \{Y < 0\}$   
 $P_2 \equiv (Y < 0)$
  - $\{P_3\} X := X + 2 \{X \text{ is even}\}$   
 $P_3 \equiv (X \text{ is even})$



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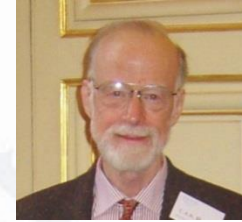
## Partial correctness

### Sequencing

- We can conclude  $\{P\} S; T \{Q\}$   
if we can find a predicate  $R$  such that  $\{P\} S \{R\}$  and  $\{R\} T \{Q\}$

### Examples

- $\{P_0\} X := 2 * X; X := X + 1 \{X > 0\}$   
 $P_0 \equiv (2 * X + 1 > 0)$
- $\{P_1\} X := Y; Y := 3 \{X + Y < 5\}$   
 $P_1 \equiv (Y + 3 < 5)$



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# Hoare triples [Hoa69]

## Partial correctness

### Conditional

- We can conclude  
 $\{P\} \text{ IF } (C) \text{ THEN } S \text{ ELSE } T \text{ END} \{Q\}$   
provided we can show  
 $\{P \wedge C\} S \{Q\}$  and  $\{P \wedge \neg C\} T \{Q\}$

- Examples
  - $\{?\} \{((x > y) \Rightarrow Q_0) \wedge ((x \leq y) \Rightarrow Q_1)\}$   
 $\text{IF } (x > y) \text{ THEN } Q_0 : \{(m|x - y) \wedge (m|y)\}$   
 $x := x - y$   
 $\text{ELSE } Q_1 : \{(m|x) \wedge (m|y - x)\}$   
 $y := y - x$   
 $\text{END}$   
 $Q : \{(m|x) \wedge (m|y)\}$
  - So our final proof obligations are  
 $[(x > y) \Rightarrow (m|x - y) \wedge (m|y)]$  and  
 $[(x \leq y) \Rightarrow (m|x) \wedge (m|y - x)]$



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# Hoare triples [Hoa69]

## Partial correctness

### Loop

- How can we conclude  
 $\{P\} \text{ WHILE } (G) \text{ DO } S \text{ END } \{Q\}$   
At the end of the loop (assuming it terminates), we know  $\neg G$   
But in general we don't know how often  $S$  is executed...
- Suppose we have a predicate  $J$  that is preserved by  $S$   
 $\{J\}S\{J\}$  such a  $J$  is called a **loop invariant**  
Then, at the end of the loop, we can conclude  
 $J \wedge \neg G$   
To establish the postcondition, we need  $J$  such that  
 $[J \wedge \neg G \Rightarrow Q]$
- We can conclude  
 $\{P\} \text{ WHILE } (G) \text{ DO } S \text{ END } \{Q\}$   
provided we can find a loop invariant  $J$  such that

$$\begin{aligned} &[P \Rightarrow J] \\ &[J \wedge \neg G \Rightarrow Q] \\ &\{G \wedge J\}S\{J\} \end{aligned}$$

$J$  holds at loop entry  
 $J$  establishes  $Q$  at loop exit  
 $J$  is preserved by each iteration



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- Exponentiation using multiplication
  - $\{(A > 0) \wedge (B \geq 0)\} S \{R = A^B\}$

$$\begin{aligned} &\{(A > 0) \wedge (B \geq 0)\} \\ &R := ?; b := 0 \text{ } R := 1 \\ &\text{WHILE } (b \neq B) \text{ DO } J : R = A^b \\ &R := ?; R := R * A; \\ &b := b + 1 \\ &\text{END} \\ &\{R = A^B\} \end{aligned}$$

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- The meaning of a statement is described by a triple
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$\{P\} S \{Q\}$

“when started in a state satisfying  $P$ , any terminating execution of  $S$  ends in a state satisfying  $Q$ ”

- If  $P$  does not terminate, we make no guarantees.

- Partial correctness
  - $\models_{par} \{\varphi\} P \{\psi\}$
  - only if  $P$  actually terminates.
- Total correctness
  - $\models_{tot} \{\varphi\} P \{\psi\}$
  - the program  $P$  is guaranteed to terminate.

- The “total correctness” interpretation also requires termination

“when started in a state satisfying  $P$ , any execution of  $S$  must terminate in a state satisfying  $Q$ ”



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# Hoare triples [Hoa69]

## Termination

### Rules

- Assignment
- Sequencing
- Conditional
- Loop

- Assignment  
 $\{P\} X := E \{Q\}$  provided  $[P \Rightarrow \langle X \leftarrow E \rangle (Q)]$
- Sequencing  
 $\{P\} S; T \{Q\}$  provided  
 $\{P\} S \{R\}$  and  $\{R\} T \{Q\}$  for some  $R$
- Conditional  
 $\{P\} \text{ IF } (G) \text{ THEN } S \text{ ELSE } T \text{ END } \{Q\}$  provided  
 $\{P \wedge G\} S \{Q\}$  and  $\{P \wedge \neg G\} T \{Q\}$
- Note: Same as the rules for partial correctness!



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- Total correctness rule for loops
- Consider  
 $\{P\} \text{ WHILE } (G) \text{ DO } S \text{ END } \{Q\}$
- How do we show that the loop terminates?
- One method  
find an integer expression  $V$  such that  
the value of  $V$  is nonnegative (that is  $V \geq 0$ ), and  
the value of  $V$  (strictly) decreases in every iteration that is,  
 $\{V = K\} S \{V < K\}$
- Such an expression is called a "loop variant"



# Hoare triples [Hoa69]



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Exponentiation using multiplication

- $\{(A > 0) \wedge (B \geq 0)\} S \{R = A^B\}$
- Recall loop invariant  $J : R = A^b \wedge (B \geq b);$   
 $\{(A > 0) \wedge (B \geq 0)\}$   
 $R := 1; b := 0$   
WHILE  $(b \neq B)$  DO  $J : R = A^b \wedge (B \geq b);$   
 $R := R * A;$   
 $b := b + 1$   
END  
 $\{R = A^B\}$

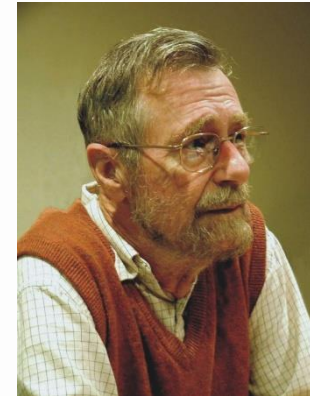
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# Edsger Wybe Dijkstra [Dij75]

## Guarded command

- “guarded command” - a statement list prefixed by a boolean expression: only when this boolean expression is initially true, is the statement list eligible for execution
- $\langle \textit{guarded command} \rangle ::= \langle \textit{guard} \rangle \rightarrow \langle \textit{guarded list} \rangle$
- $\langle \textit{guard} \rangle ::= \langle \textit{boolean expression} \rangle$
- $\langle \textit{guarded list} \rangle ::= \langle \textit{statement} \rangle \{ ; \langle \textit{statement} \rangle \}$
- $\langle \textit{guarded command set} \rangle ::=$   
     $\langle \textit{guarded command} \rangle \{ \square \langle \textit{guarded command} \rangle \}$
- $\langle \textit{alternative construct} \rangle ::= \textbf{if} \langle \textit{guarded command set} \rangle \textbf{fi}$
- $\langle \textit{repetitive construct} \rangle ::= \textbf{do} \langle \textit{guarded command set} \rangle \textbf{do}$
- $\langle \textit{statement} \rangle ::= \langle \textit{alternative construct} \rangle \mid$   
     $\langle \textit{repetitive construct} \rangle \mid \text{“other statements”}$

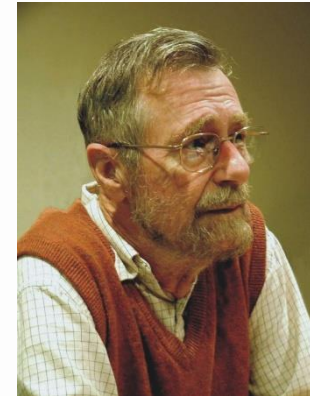


Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

# Edsger Wybe Dijkstra [Dij75]

## Nondeterminacy

- Example 1  
**if**  $x \geq y \rightarrow m := x$   
**□**  $y \geq x \rightarrow m := y$   
**fi**
- Example 2 - compute  $k$  s.t. for fixed value  $n$  and fixed function  $f(i)$  (defined for  $0 \leq i < n$ ),  $k$  will eventually satisfy  $0 \leq k < n$  and  $(\forall i : 0 \leq i < n : f(k) \geq f(i))$ .  
  
 $k := 0; j := 1;$   
**do**  $j \neq n \rightarrow$  **if**  $f(j) \leq f(k) \rightarrow j := j + 1$   
           $\square f(j) \geq f(k) \rightarrow k := j; j := j + 1$   
          **fi**  
**od**

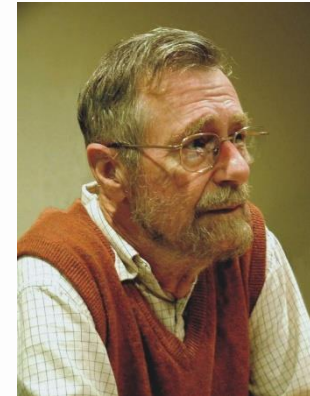


Edsger Wybe Dijkstra  
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# Edsger Wybe Dijkstra [Dij75]

## Weakest pre-conditions

- Hoare - introduced sufficient pre-conditions such that the mechanism will not produce the wrong result but may fail to terminate.
- Dijkstra - introduced necessary and sufficient pre-conditions such that the mechanism are guaranteed to produce the right result.
  - = weakest pre-conditions
- $wp(S, R)$ , where  $S$  denotes a statement list,  $R$  some condition on the state of the system.
- $wp$  - called a “predicate transformer” - because it associates a pre-condition to any post-condition  $R$ .



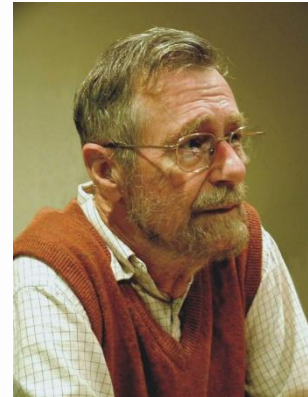
Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)



# Edsger Wybe Dijkstra [Dij75]

## Properties of wp

- ❶ Law of the Excluded Miracle  
For any  $S$ , for all states:  $wp(S, F) = F$
- ❷ For any  $S$  and any two post-conditions, such that for all states  $P \Rightarrow Q$ , for all states:  
$$wp(S, P) \Rightarrow wp(S, Q)$$
- ❸ For any  $S$  and any two post-conditions  $P$  and  $Q$ , for all states:  
$$wp(S, P) \textbf{ and } wp(S, Q) = wp(S, P \textbf{ and } Q)$$
- ❹ For any deterministic  $S$  and any post-conditions  $P$  and  $Q$ , for all states:  
$$(wp(S, P) \textbf{ or } wp(S, Q)) \Rightarrow wp(S, P \textbf{ or } Q)$$



Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

# Edsger Wybe Dijkstra [Dij75]

## Assignment and concatenation operator

- Assignment

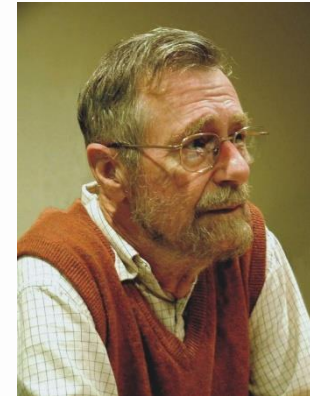
The semantics of  $x := E$  are given by:

$wp("x := E", R) = R_E^x$ ,  $R_E^x$  -denotes a copy of the predicate defining  $R$  in which each occurrence of the variable  $x$  is replaced by  $E$ .

- Concatenation operator ;

The semantics of the ; operator are given by:

$wp("S1 ; S2", R) = wp(S1, wp(S2, R))$ .

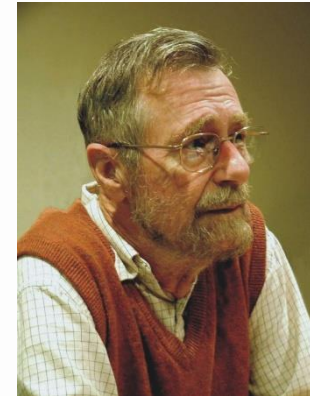


Edsger Wybe Dijkstra  
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# Edsger Wybe Dijkstra [Dij75]

## The Alternative Construct

- Let  $IF$  denote: **if**  $B_1 \rightarrow SL_1 \square \dots \square B_n \rightarrow SL_n$  **fi**  
Let  $BB$  denote:  $(\exists i : 1 \leq i \leq n : B_i)$ , then, by definition  
 $wp(IF, R) = (BB \text{ and } (\forall i : 1 \leq i \leq n : B_i \Rightarrow wp(SL_i, R)))$ .
- Theorem 1  
From  $(\forall i : 1 \leq i \leq n : (Q \text{ and } B_i) \Rightarrow wp(SL_i, R))$  for all states we can conclude that  $(Q \text{ and } BB) \Rightarrow wp(IF, R)$  holds for all states.
- Let  $t$  denote some integer function, and  $wdec(S, t)$
- Theorem 2  
From  $(\forall i : 1 \leq i \leq n : (Q \text{ and } B_i) \Rightarrow wdec(SL_i, t))$  for all states we can conclude that  $(Q \text{ and } BB) \Rightarrow wdec(IF, t)$  hold for all states.
- By definition,  
 $wdec(S, t) = (tmin(X) \leq t(X) - 1) = (tmin(X) < t(X))$ .

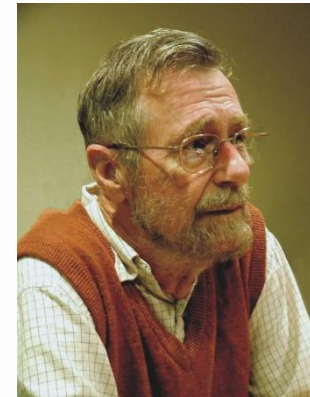


Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

# Edsger Wybe Dijkstra [Dij75]

## The Alternative Construct - example

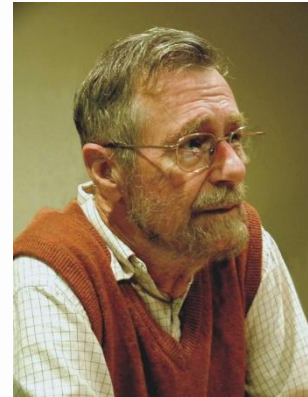
- The formal requirements for performing  $m := \max(x, y)$  is:  
 $R : (m = x \text{ or } m = y) \text{ and } m \geq x \text{ and } m \geq y.$
- Assignment  $m := x$  for  $m = x$ ?  
 $wp("m := x", R) = (x = x \text{ or } x = y) \text{ and } x \geq x \text{ and } x \geq y = x \geq y$
- Theorem 1: **if**  $x \geq y \rightarrow m := x$  **fi**
- But  $B \neq T$ , so we weakening BB means looking for alternatives which might introduce new guards.
- Alternative: " $m := y$ " that introduces the new guard  
 $wp("m" := y, R) = y \geq x$   
**if**  $x \geq y \rightarrow m := x$   
 $\square y \geq x \rightarrow m := y$   
**fi**



Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

# Edsger Wybe Dijkstra [Dij75]

## The Repetitive Construct



Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

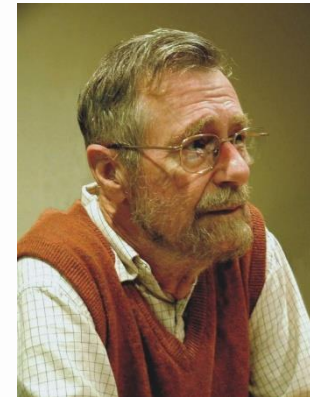
- Let  $DO$  denote: **do**  $B_1 \rightarrow SL_1 \square \dots \square B_n \rightarrow SL_n$  **do**  
Let  $H_0 = (R \text{ and non } BB)$   
and for  $k > 0$ ,  $H_k(R) = (wp(IF, H_{k-1}(R))) \text{ or } H_0(R)$   
then, by definition:  $wp(DO, R) = (\exists k : k \geq 0 : H_k(R))$ .
- Theorem 3  
If we have all the states  
 $(P \text{ and } BB) \Rightarrow (wp(IF, P) \text{ and } wdec(IF, t) \text{ and } t \geq 0)$  we can  
conclude that we have for all states  $P \Rightarrow wp(DO, P \text{ and non } BB)$
- $T$  is the condition satisfied by all states, and  $wp(S, T)$  is the  
weakest pre-condition guaranteeing proper termination of  $S$ .
- Theorem 4  
From  $(P \text{ and } BB) \Rightarrow wp(IF, P)$  for all states, we can conclude that  
we have for all states  
 $(P \text{ and } wp(DO, T) \Rightarrow wp(DO, P \text{ and non } BB))$



# Edsger Wybe Dijkstra [Dij75]

## The Repetitive Construct - example

- The greatest common divisor:  $x = \text{gcd}(X, Y)$
- Choose an invariant relation and variant function.  
establish the relation  $P$  to be kept invariant  
**do** "decrease  $t$  as long as possible under variance of  $P$ " **od**
- invariant relation (established by  $x := X; y := Y$ ):  
 $P : \text{gcd}(X, Y) = \text{gcd}(x, y)$  **and**  $x > 0$  **and**  $y > 0$
- $(P \text{ and } B) \Rightarrow \text{wp}("x, y : E1, E2", P))$   
 $= (\text{gcd}(X, Y) = \text{gcd}(E1, E2) \text{ and } E1 > 0 \text{ and } E2 > 0).$ 
  - $\text{gcd}(X, Y) = \text{gcd}(E1, E2)$  is implied by  $P$
  - invariant for  $(x, y) : \text{wp}("x := x - y, P) = (\text{gcd}(X, Y) = \text{gcd}(x - y, y)$  **and**  $x - y > 0$  **and**  $y > 0)$ , and guard  $x > y$
  - decrease of the variant function  $t = x + y$   
 $\text{wp}("x := x - y", t \leq t_0) = (x \leq t_0)$   
 $t_{\min} = x, \text{wdec}("x := x - y", t) = (x < x + y) = y > 0$



Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

- $x := X; y := Y$   
**do**  $x > y \rightarrow x := x - y$  **od**
- But  $P$  **and**  $BB$  - are not allowed to conclude  $x = \text{gcd}(X, Y)$   
the alternative  $y := y - x$  requires a guard  $y > x$
- $x := X; y := Y$   
**do**  $x > y \rightarrow x := x - y$   
 $\square y > x \rightarrow y := y - x$   
**od**

# Outline

- Correctness
- Floyd's Method -Inductive assertions, Partial correctness, Termination
- Hoare Logic, Semantics of Hoare triples, Partial correctness, Total correctness
- Dijkstra's Language, Guarded commands, Nondeterminacy, Formal Derivation of Programs
- Developing correct programs from specification, Refinement, Rules of Refinement, Examples

## Correctness-by-Construction.

Originally intended as a mere means of programming algorithms that are correct by construction - -Dijkstra (1968), Hoare (1971), the approach found its way into commercial development processes of complex systems - Hall (2002), Hall and Chapman (2002)

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2023, Automated Software Engineering Conference, The 5<sup>th</sup> International Workshop on Automated and verifiable Software sYstem DEvelopment (ASYDE)

Topic: Correct-by-construction software development

(<https://conf.researchr.org/track/ase-2023/ase-2023--workshop--asyde#the-5th-international-workshop-on-automated-and-verifiable-software-system-development-aside>)

Static analysis, JML- Java Modeling Language, ESC/Java2- Extended Static Checker for Java

- Questions

# Developing correct programs from specification[Mor98]

## Refinement

- Input data:  $X$   $\varphi(X)$   
Output data:  $Z$   $\psi(X, Z)$
- Abstract program  
 $Z : [\varphi, \psi]$
- Refinement  
 $P_1 \prec P_2 \prec \dots \prec P_{n-1} \prec P_n$
- Rules of refinement
  - Assignment rule
  - Sequential composition rule
  - Alternation rule
  - Iteration rule

Carroll

Morgan

[https://my.cse.unsw.edu.au/staff/staff\\_details.php?ID=carrollm](https://my.cse.unsw.edu.au/staff/staff_details.php?ID=carrollm)

# Developing correct programs from specification[Mor98]

## Rules of Refinement

- Assignment rule:  $[\varphi(v/e), \psi] \prec v := e$
- Sequential composition rule ( $\gamma$  – *middlepredicate*)  
$$[\eta_1, \eta_2] \prec \begin{matrix} [\eta_1, \gamma] \\ [\gamma, \eta_2] \end{matrix}$$
- Alternation rule,  $G = g_1 \vee g_2 \vee \dots \vee g_n$   
$$[\eta_1, \eta_2] \prec$$
  - if**  $g_1 \rightarrow [\eta_1 \wedge g_1, \eta_2]$   
     $\square g_2 \rightarrow [\eta_1 \wedge g_2, \eta_2]$   
     $\vdots$   
     $\square g_n \rightarrow [\eta_1 \wedge g_n, \eta_2]$
  - fi**
- Iteration rule  $G = g_1 \vee g_2 \vee \dots \vee g_n$   
$$[\eta, \eta \wedge \neg G] \prec$$
  - do**  $g_1 \rightarrow [\eta \wedge g_1, \eta \wedge TC]$   
     $\square g_2 \rightarrow [\eta \wedge g_2, \eta \wedge TC]$   
     $\vdots$   
     $\square g_n \rightarrow [\eta \wedge g_n, \eta \wedge TC]$
  - do**

# Program verification methods - Correctness

- Lecture 1 - Verification and Validation
  - Verification/Validation
    - reviews products to ensure their quality → correctness
    - static and dynamic analysis techniques
  - A **correct program** is one that does exactly what it is intended to do, no more and no less.
  - A **formally correct program** is one whose correctness can be proved mathematically.
    - This requires a language for specifying precisely what the program is intended to do.
    - Specification languages are based in mathematical logic.
  - Until recently, correctness has been an academic exercise. – Now it is a key element of critical software systems.
- **Program verification - correctness**
  1. proof-based, computer-assisted, program-verification approach, mainly used for programs which we expect to terminate and produce a result
  2. model-based, automatic, property-verification approach, mainly used for concurrent, reactive systems (originally used in a post-development stage) - model checking (Lecture 9)
  3. Developing correct algorithms from specification (Carroll Morgan, "Programming from Specification")
    - Correctness-by-Construction.
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- **Correctness Tools**
  - Theorem provers (PVS), Modeling languages (UML and OCL), Specification languages (JML), Programming language support (Eiffel, Java, Spark/Ada), Specification Methodology (Design by contract)
- **Methods for proving program correctness**
  - Floyd's Method - Inductive assertions
  - Hoare - Semantics of Hoare triples
  - Dijkstra's Language- Guarded commands, Nondeterminacy and Formal Derivation of Programs



# Program verification methods - Correctness

- **Software engineering problem:** building/maintaining **correct** systems.

- How?
  - Specification
  - Tools

- Formal Methods in Software Engineering

- Formal languages guarantee
  - Precision (no ambiguity)
  - Certainty (modeling errors)
  - Automation (automatic verification tools).

- Things to do:

- 1) make a **formal model**
- 2) **specify properties** for the model
- 3) **verify/check** the properties

- Formal methods and JML (Java Modeling Language):

- 1) formal model is **Java programming language**
- 2) the properties are specified in **JML**
- 3) Properties may be
  - **Tested** using **jmlrac**
  - **Checked** using **ESC2Java**

# What is JML?

- Gary T. Leavens's JML group at the University of Central Florida
- <http://www.eecs.ucf.edu/~leavens/JML//index.shtml>
- a behavioral interface specification language
- used to specify the behavior of Java modules
- combines
  - design by contract approach
  - the model-based specification approach
  - some elements of the refinement calculus

## Tools for using JML

- Runtime assertion checkers (e.g. **jmlc/jmlrac**)
- Static checkers (**ESC2Java**)
- Test generation (e.g. **jmlunit**)
- Formal verification tools (e.g. **KeY**)
- Design tools (e.g. **AutoJML**)

# Tools for JML

## Runtime assertion checking with jmlc/jmlrac

- Special compiler inserts runtime tests for all JML assertions. Any assertion violation results in a special exception.
- checks specs at run-time
- only **tests** correctness of **specs**.
- Find violations at runtime.

### JML web page

- <http://www.eecs.ucf.edu/~leavens/JML//index.shtml>

## Extended static checking with ESC/Java

- Automatically tries to prove simple JML assertions at compile time.
- checks specs at compile-time
- **proves** correctness of **specs**.
- Warn about likely runtime exceptions and violations.

### ESC/Java2 web page

<http://www.kindsoftware.com/products/opensource/ESCJava2/download.html>

# Design by contract

## Contract?

## Method contract

### Precondition

Specifies “caller’s responsibility”

- Constraints on parameter values and target object’s state.
- Valid object’s states, in which a method can be called.

*Intuitively*

- Expression that must hold at the entry to the method.

### Postcondition

Specifies “implementation’s responsibility”

- Constraints on the method’s return value and side effects.
- Relation between initial and final state of the method.

*Intuitively*

- Expression that must hold at the exit from the method.

## Class contract

### Invariant

- Specifies caller’s responsibility at the entry to a method and implementation’s responsibility at the exit from a method.
- Valid states of class instances (values of fields).

### Intuitively

- Expression that must hold at the entry and exit of each method in the class.

# Tools for JML

## Runtime assertion checking with jmlc/jmlrac

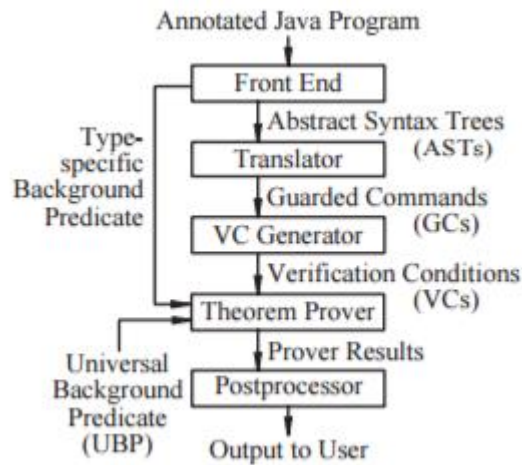
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- **Find violations at runtime.**

### *jmlc* and *jmlrac* – by example

- Compile and Run
- Compile
- `jmlc FileName.java`
- Run
- `jmlrac FileName listOfParam`

- Demo 01: Factorial
- Demo02: Integer sqrt





- Unsound ?
- Incomplete ?

## Tools for JML

### Extended static checking with ESC/Java

- Automatically tries to prove simple JML assertions at compile time.
- checks specs at compile-time
- **proves** correctness of specs
- **Warn** about likely runtime exceptions and violations.

### ESC/Java2 – by example

- Run
- `escj FileName.java`
- Demo 01: Fast exponentiation
- Demo 02: MyArray
- Demo 03: MySet

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## Correct-by-construction

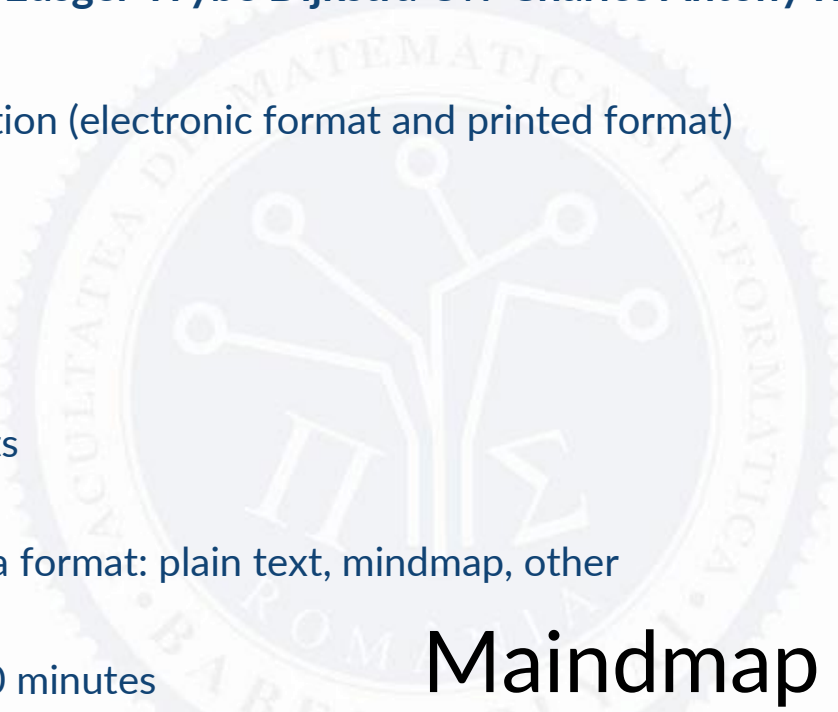


### Correctness by Construction How Can We Build Better

Software, Date: May 31, 2023

SPEAKER Ina Schaefer, Professor of Software Engineering,  
Karlsruhe Institute of Technology (KIT), Germany

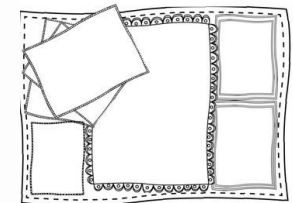
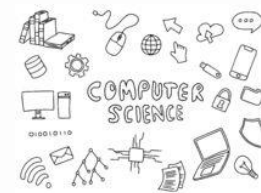
<https://www.youtube.com/watch?v=5Nno9lSggPo>

# Floyd, Dijkstra, Hoare (25XP)

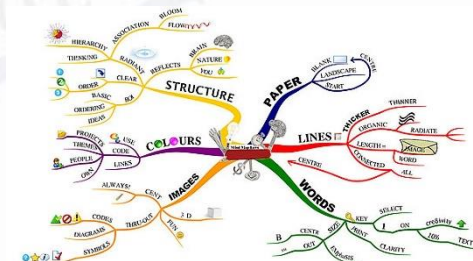
- Robert Floyd OR Edsger Wybe Dijkstra OR Charles Antony Richard Hoare
  - 1 page A4 information (electronic format and printed format)
    - short bio
    - profession
    - Institution
    - known by...
    - awards
    - interesting facts
  - Feel free to select a format: plain text, mindmap, other
  - Delivery:
    - Today, after 10 minutes
    - 3-4 students per team
- ## Maindmap
- 
- 
- 

# In-class

# Doodle map



# Maindmap



# Next Lecture

- Fundmore Invited Lecture

## QA&QC DURING THE SOFTWARE DEVELOPMENT LIFE CYCLE

### Presenters:

Roxana Soporan

Andra Banto

**Monday**

**22 April 2024, 8-10 am**

**Room: 2/I (Main Building)**

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# References

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# Software Systems Verification and Validation

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"Tell me and I forget, teach me and I may remember, involve me and I learn."

(Benjamin Franklin)