Robert J.S. McDonald - Research Statement

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1. Summary

My area of research is number theory, particularly arithmetic geometry. My thesis classifies solutions to a certain type of diophantine equation called an elliptic curve. In particular, given an elliptic curve E whose defining equation has coefficients in the field \mathbb{Q} or $\mathbb{F}_p(T)$, or more generally in a global field K, the set of points on E with coordinates in the field forms a finitely generated abelian group. I am interested in the structure of this group when K is a function field, primarily the structure of the torsion subgroup, which is the points of finite order. My research has culminated in the following two results.

- (1) Torsion subgroups of elliptic curves over function fields of genus 0. Given any function field $K = \mathbb{F}_q(T)$, of characteristic p, I have determined all possible torsion subgroups of an elliptic curve over K and shown that each appears infinitely often. Moreover, I provide parameterizations of all elliptic curves over K with each torsion subgroup.
- (2) Torsion subgroups of elliptic curves over function fields of genus 1. Given any genus one function field K, of characteristic p, I have determined all possible torsion subgroups of an elliptic curve over K. Each torsion subgroup appears infinitely often, provided the base curve is isogenous to a specified curve. This time, for each exotic torsion structure, I determine conditions on the base curve necessary for each torsion subgroup to appear.

2. Introduction: Elliptic Curves over \mathbb{Q}

Many interesting problems in number theory arise from problems which are quite easy to state, but very hard to solve. One such famous result is Fermat's Last Theorem, first written down in the margins of Pierre de Fermat's copy of Diophantus' Arithmetica around 1637. Here, Fermat claimed that the following polynomial equation has no non-zero integer solution (x, y, z), for any n > 3:

$$x^n + y^n = z^n.$$

Although Fermat claimed to have a "truly remarkable proof" of this fact, the margins were "too small to contain it," and the world would have to wait another 350 years before the matter was finally settled by Andrew Wiles in 1994.

Wiles' proof of Fermat's Last Theorem used a special case of certain conjectures about another type of diophantine equation, an elliptic curve, and used a variety of techniques from algebraic geometry and number theory. Elliptic curves are of particular interest to me, and have comprised the bulk of my research. Over the rational numbers, \mathbb{Q} , an elliptic curve is a diophantine equation of the form

$$E: y^2 = x^3 + Ax + B$$
, where $A, B \in \mathbb{Z}$.

Given such a curve, a natural questions is: can we determine its solutions over \mathbb{Z} or \mathbb{Q} ? So far, in the general case, this question leads to many unanswered problems.

The \mathbb{Q} -rational points will be denoted by $E(\mathbb{Q})$. The most interesting aspect of elliptic curves is the fact that they can be given a group structure, placing them squarely at the crossroads between

algebra and geometry. We define elliptic curve addition by "chord and tangent addition:" to add points P and Q, we draw a line ℓ through P and Q and find the third point of intersection with E, which we call R. The point P+Q is then the reflection of R about the x-axis. To add P to itself, we consider the tangent to E at P as intersecting E twice at P. Finally, in the case where ℓ is vertical, we imagine a point of intersection with E "at infinity," and call this point O, which we use as the identity of this operation. See [10] for a more in-depth description of this group law.

Example 2.1. The curve $E: y^2 = x^3 - x = x(x+1)(x-1)$ has only four integral points (0,0), $(\pm 1,0)$, and the point \emptyset . Here, if P = (0,0) and Q = (1,0), then $2P = 2Q = \emptyset$, and P+Q = (-1,0). It turns out that E has no other \mathbb{Q} -rational points, and hence $E(\mathbb{Q})$ is isomorphic to $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$.

The Mordell-Weil theorem describes the structure of $E(\mathbb{Q})$ as a group:

Theorem 2.2 (Mordell-Weil). Let E be an elliptic curve over \mathbb{Q} . The group of \mathbb{Q} -rational points, $E(\mathbb{Q})$, is a finitely generated abelian group.

The fundamental theorem of finitely generated abelian groups and Theorem 3.1 tell us

$$E(\mathbb{Q}) \cong E(\mathbb{Q})_{\text{tors}} \times \mathbb{Z}^{r_{E/\mathbb{Q}}},$$

where $E(\mathbb{Q})_{\text{tors}}$, the points of finite order, make up what is called the "torsion subgroup" of $E(\mathbb{Q})$, and the independent points of infinite order provide $r_{E/\mathbb{Q}}$ copies of \mathbb{Z} . Here $r_{E/\mathbb{Q}}$ is called the "rank" of $E(\mathbb{Q})$. While $r_{E/\mathbb{Q}}$ is rather difficult to compute, $E(\mathbb{Q})_{\text{tors}}$ is very well understood. For example, Mazur proved the following result:

Theorem 2.3 (Mazur [10]). Let E/\mathbb{Q} be an elliptic curve. Then $E(\mathbb{Q})_{tors}$ is isomorphic to one of the following groups:

$$\begin{array}{ll} \mathbb{Z}/N\mathbb{Z}, & \text{with } N=1,\ldots,10,12 \\ \mathbb{Z}/2N\mathbb{Z}\times\mathbb{Z}/2\mathbb{Z}, & \text{with } 1\leq N\leq 4. \end{array}$$

Moreover, each of these groups appears as $E(\mathbb{Q})_{tors}$ for infinitely many (non-isomorphic) E.

This is a complete classification of the types of torsion subgroups one should expect to encounter for an elliptic curve over \mathbb{Q} . What about over extensions of \mathbb{Q} such as $\mathbb{Q}(i)$ or $\mathbb{Q}(\sqrt{-3})$? What about over function fields?

3. Elliptic Curves over Global Fields K

For a general field K, an elliptic curve over K is a non-singular projective curve of genus one with a point defined over K. Provided the characteristic of K is not 2 or 3, every elliptic curve over K has a model of the form

$$E: y^2 = x^3 + Ax + B$$
, with $A, B \in K$.

If K is a global field, that is, a number field or a function field over a finite field, then we have an analogue of Theorem 2.3.

Theorem 3.1 (Lang-Néron). Let E be an elliptic curve over a global field K. The group of K-rational points, E(K), is a finitely generated abelian group.

Thus again, we can say

$$E(K) \cong E(K)_{\text{tors}} \times \mathbb{Z}^{r_{E/K}}$$
.

When K is a number field, $r_{E/K}$ is just as mysterious as when $K = \mathbb{Q}$. Similar classifications to that of Theorem 2.3 for $E(K)_{\text{tors}}$ have been determined by Kamienny, Kenku, and Momose [3, 4] when K is a quadratic number field, and Derickx, Etropolski, Morrow, and Zureick-Brown have announced a similar result when K is a cubic number field [6]. What happens when K is function field? What types of groups can appear as the torsion subgroup for E/K?

4. Elliptic Curves over Function Fields of Genus 0

Given a smooth curve \mathcal{C} over a finite field \mathbb{F} of characteristic p, we look at the function field $K = \mathbb{F}(\mathcal{C})$. In this section, we are primarily interested in the case where \mathcal{C} has genus 0, so that $K \cong \mathbb{F}(\mathbb{P}^1) = \mathbb{F}(T)$, the field of rational functions in one indeterminate over \mathbb{F} .

4.1. **Previously known results.** In this setting, there are strong results for prime-to-p, and p-primary torsion structures, but there seems to be no marriage between the results in the literature. Levin, for example, was able to provide bounds on the size of both components:

Corollary 4.1 (Levin, [5]). Let \mathbb{F} be a finite field of characteristic $p, K = \mathbb{F}(T)$, and E/K an elliptic curve. Suppose $\ell^e \mid \#E(K)_{\text{tors}}$ for some prime ℓ . Then,

$$\ell \leq 7 \ and \ e \leq \begin{cases} 4 & \text{if } \ell = 2 \\ 2 & \text{if } \ell = 3, 5 \ \text{if } \ell \neq p, \\ 1 & \text{if } \ell = 7 \end{cases} \quad and \quad \ell \leq 11 \ and \ e \leq \begin{cases} 3 & \text{if } \ell = 2 \\ 2 & \text{if } \ell = 3, \\ 1 & \text{if } \ell = 5, 7, 11 \end{cases}$$

In [1], for all characteristics $p \neq 2,3$ (in fact, for characteristic zero as well), Cox and Parry provide the following result for prime-to-p torsion subgroups possible over K.

Theorem 4.2 (Cox, Parry [1]). Let $K = \mathbb{F}(T)$ where \mathbb{F} is a finite field of characteristic $p \neq 2, 3$. Let E/K be non-isotrivial. Then, $E(K)'_{\text{tors}}$, the rational points of finite order prime-to-p, is one of the following groups

$$0, \ \mathbb{Z}/2\mathbb{Z}, \ \mathbb{Z}/3\mathbb{Z}, \ \dots, \ \mathbb{Z}/10\mathbb{Z}, \ \mathbb{Z}/12\mathbb{Z},$$

$$(\mathbb{Z}/2\mathbb{Z})^2, \ \mathbb{Z}/4\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \ \mathbb{Z}/6\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \ \mathbb{Z}/8\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z},$$

$$(\mathbb{Z}/3\mathbb{Z})^2, \ \mathbb{Z}/6\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}, \ (\mathbb{Z}/4\mathbb{Z})^2, \ (\mathbb{Z}/5\mathbb{Z})^2.$$

Furthermore, let $G = \mathbb{Z}/m \times \mathbb{Z}/n\mathbb{Z}$ be in this list with $n \mid m$, and $p \nmid m$. Then, if \mathbb{F} contains a primitive nth root of unity, there are infinitely many non-isomorphic, non-isotrivial elliptic curves with $E(K)_{\text{tors}} \cong G$.

All elliptic curves with each of the torsion subgroups in Cox and Parry's theorem can be parameterized using the Tate normal form which looks like

$$E_{a,b}: y^2 + (1-a)xy - by = x^3 - b^2 \text{ for } a, b \in K.$$

Cox and Parry's theorem deals only with prime-to-p torsion. From this, some natural questions arise: which structures from Theorem 4.2 can appear alongside a point of order p? What is the full list of torsion subgroups possible for an elliptic curve E/K? Which appear infinitely often? The following theorem will be paramount in answering these questions.

Theorem 4.3 ([11]). Suppose that E is a non-isotrivial elliptic curve over $K = \mathbb{F}_q(\mathcal{C})$, where q is a power of p. Then, E(K) has a point of order p if and only if $j(E) \in K^p$, and the Hasse invariant is a (p-1)st power in K^{\times} .

The j and Hasse invariants of an elliptic curve over K are quite simple to compute. See [11], for example, for a precise definition of each.

¹Non-isotriviality of E will be a common restriction on all of the elliptic curves we encounter in the remaining sections, and in most cases essentially amounts to the coefficients of E being "non-constant." For a more precise description, see [11].

4.2. My results. In this section, we summarize my results from [7]. Cox and Parry's theorem above is not stated when p=2,3, so we begin by developing the analogous statements for these two primes. It can be shown that Cox and Parry's theorem holds even when p is 2 or 3 [7, Theorems 4.2, 4.4]. Then, for each p and each group G from Theorem 4.2, we write a curve in Tate normal form for G. Using Theorem 4.3, or in some cases a division polynomial, we then construct a curve \mathcal{D}/\mathbb{F} , parameterizing elliptic curves with torsion subgroup $H = G \times \mathbb{Z}/p^e\mathbb{Z}$. It can be shown that the torsion structure H induces a separable map from $\mathcal{C} = \mathbb{P}^1$ to \mathcal{D} . Then, using the Hurwitz formula, if the genus of \mathcal{D} is greater than 0, we obtain a contradiction. We arrive at the following result.

Theorem 4.4 (M). Let \mathbb{F} be a finite field of characteristic p. Set $K = \mathbb{F}(T)$, and let E/K be a non-isotrivial elliptic curve. If $p \nmid \#E(K)_{\text{tors}}$, then $E(K)_{\text{tors}}$ is as in Theorem 4.2 (even if p = 2, 3). If $p \leq 11$, and $p \mid \#E(K)_{\text{tors}}$, then $E(K)_{\text{tors}}$ is isomorphic to one of the following groups:

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 \begin{array}{cccc} \mathbb{Z}/p\mathbb{Z} & \text{if } p=2,3,5,7, \\ \mathbb{Z}/3p\mathbb{Z} & \text{if } p=2,3,5,7, \\ \mathbb{Z}/3p\mathbb{Z} & \text{if } p=2,3,5, \\ \mathbb{Z}/4p\mathbb{Z}, \mathbb{Z}/5p\mathbb{Z}, & \text{if } p=2,3, \\ \mathbb{Z}/12\mathbb{Z}, \mathbb{Z}/14\mathbb{Z}, \mathbb{Z}/18\mathbb{Z} & \text{if } p=2, \\ \mathbb{Z}/10\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z} & \text{if } p=2, \text{ and } \zeta_5 \in k, \\ \mathbb{Z}/12\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} & \text{if } p=3, \text{ and } \zeta_4 \in k, \\ \mathbb{Z}/10\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} & \text{if } p=5. \end{array}
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Furthermore, let $G = \mathbb{Z}/m \times \mathbb{Z}/n\mathbb{Z}$ be in this list with $n \mid m$. Then, if \mathbb{F} contains a primitive nth root of unity, there are infinitely many non-isomorphic, non-isotrivial elliptic curves with $E(K)_{\text{tors}} \cong G$. If $p \geq 13$, then Theorem 4.2 is a complete list of possible subgroups $E(K)_{\text{tors}}$.

For example, when we specialize to the case p=5, the theorem takes the following form:

Corollary 4.5 (M). Let \mathbb{F} be a finite field of characteristic 5, $K = \mathbb{F}(T)$, and E/K be a non-isotrivial elliptic curve. The torsion subgroup $E(K)_{\text{tors}}$ of E(K) is isomorphic to one of the following

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 \begin{array}{ll} \mathbb{Z}/N\mathbb{Z} & \text{with } 1 \leq N \leq 10 \text{ or } N = 12,15, \\ \mathbb{Z}/2N\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} & \text{with } 1 \leq N \leq 5, \\ \mathbb{Z}/4\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}, & \\ \mathbb{Z}/3N\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}, & \text{with } N = 1,2, \end{array}
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Furthermore, let $G = \mathbb{Z}/m \times \mathbb{Z}/n\mathbb{Z}$ be in this list with $n \mid m$. Then, if \mathbb{F} contains a primitive nth root of unity, there are infinitely many non-isomorphic, non-isotrivial elliptic curves with $E(K)_{\text{tors}} \cong G$.

In fact, we can parameterize all of the elliptic curves having each of the indicated torsion subgroups in Theorem 4.4. For example, when p = 5, a non-isotrivial E/K has a point of order fifteen if and only if it can be written in Tate normal form with

$$a = \frac{(f+1)(f+2)^2(f+4)^3(f^2+2)}{(f+3)^6(f^2+3)}, \ b = a\frac{f(f+4)}{(f+3)^5} \text{ for some } f \in \mathbb{F}(T) \text{ such that } f \notin \mathbb{F}.$$

Here the point (0,0) is a point of order fifteen. In [7, Table 14] are parameterizations of all elliptic curves over $\mathbb{F}(T)$ with the torsion structures appearing in Theorem 4.4.

In this section, we are primarily interested in the case where \mathcal{C} has genus 1, so that \mathcal{C} is an elliptic curve over \mathbb{F} . In this setting, [5] provides us with the following corollary.

Corollary 5.1 (Levin, [5]). Let \mathbb{F} be a finite field of characteristic p, \mathcal{C}/\mathbb{F} be a smooth, projective, absolutely irreducible curve, $K = k(\mathcal{C})$, and E/K an elliptic curve. Suppose $\ell^e \mid \#E(K)_{\text{tors}}$ for some prime ℓ . Then,

$$\ell \leq 11 \ \ and \ \ e \leq \begin{cases} 4 & \text{if } \ell = 2 \\ 2 & \text{if } \ell = 3, 5 \\ 1 & \text{if } \ell = 7, 11 \end{cases} \quad and \quad \ell \leq 11 \ \ and \ \ e \leq \begin{cases} 4 & \text{if } \ell = 2 \\ 2 & \text{if } \ell = 3 \\ 1 & \text{if } \ell = 5, 7, 11, 13 \end{cases} \quad \text{if } \ell = p.$$

5.1. My results. I am currently finishing a paper containing a full classification of the possible torsion subgroups for elliptic curves over function fields of genus 1 over finite fields. For the most current draft, please see my website https://mathrjsm.com/#research.

We begin, again, with a result analogous to that of Cox and Parry for the genus 1 case, using modular curves $X_n(m)$ and following their proof in [1] and the proof of [11, Proposition 7.1]:

Theorem 5.2 (M). Let C be a curve of genus 1 over a finite field \mathbb{F} of characteristic p, and let $K = \mathbb{F}(C)$. Let E/K be non-isotrivial. Then $E(K)'_{\text{tors}}$, the rational points of finite order prime-to-p, is one of the following groups:

Furthermore, let $G = \mathbb{Z}/m \times \mathbb{Z}/n\mathbb{Z}$ be in this list with $n \mid m$ and $p \nmid m$. Then, if \mathbb{F} contains a primitive nth root of unity, there are infinitely many non-isomorphic, non-isotrivial elliptic curves with $E(K)_{\text{tors}} \cong G$.

We can provide parameterizations for any elliptic curves E with torsion subgroup appearing in this theorem which also appeared in Theorem 4.2, and these torsion subgroups appear infinitely often for some E/K regardless of the base curve \mathcal{C} . All the other subgroups, however, are restricted by \mathcal{C} . In each case, if a group G from Theorem 5.2 does not appear in Theorem 4.2, then infinitely many elliptic curves E/K can be found with $E(K)'_{\text{tors}} \cong G$ only if \mathcal{C} is in a certain, specified, isogeny class. For example, if $p \neq 11$, E/K has a point of order 11 only if \mathcal{C} is isogenous to $\mathcal{D}: u^2+u=t^3-t^2$.

Next, fixing p, we begin with an elliptic curve in Tate normal form for a torsion subgroup G appearing in Theorem 5.2. Then, using the Hasse invariant and division polynomials of the curve, we again construct a curve \mathcal{D}/\mathbb{F} parameterizing elliptic curves with $G \times \mathbb{Z}/p^e\mathbb{Z}$ torsion. This time, we arrive at a contradiction if the genus of \mathcal{D} is greater than one. We arrive at the following result.

Theorem 5.3 (M). Let C be a curve of genus 1 over \mathbb{F}_q , for $q = p^n$, and let $K = \mathbb{F}_q(C)$. Let E/K be non-isotrivial. If $p \nmid \#E(K)_{\text{tors}}$, then $E(K)_{\text{tors}}$ is as in Theorem 5.2. If $p \mid \#E(K)_{\text{tors}}$, then $p \leq 13$, and $E(K)_{\text{tors}}$ is one of

Furthermore, let $G = \mathbb{Z}/m \times \mathbb{Z}/n\mathbb{Z}$ be in this list with $n \mid m$. Then, if \mathbb{F} contains a primitive nth root of unity, there are infinitely many non-isomorphic, non-isotrivial elliptic curves with $E(K)_{\text{tors}} \cong G$. If $p \geq 17$, then Theorem 5.2 is a complete list of possible subgroups $E(K)_{\text{tors}}$.

For example, when p = 5 we obtain the following result.

Corollary 5.4. Let C be a curve of genus 1 over a finite field \mathbb{F} of characteristic 5, and let $K = \mathbb{F}(C)$. Let E/K be non-isotrivial. Then, $E(K)_{\text{tors}}$ is one of the following groups

Furthermore, let $G = \mathbb{Z}/m \times \mathbb{Z}/n\mathbb{Z}$ be in this list with $n \mid m$. Then, if \mathbb{F} contains a primitive nth root of unity, there are infinitely many non-isomorphic, non-isotrivial elliptic curves with $E(K)_{\text{tors}} \cong G$.

In Corollary 5.4, if G is already a group that appears in Corollary 4.5, that is, one that already appeared over function fields of genus 0, then we can find infinitely many E/K regardless of the base curve. If G does not appear in Corollary 4.5, however, then infinitely many curves E/K can be found with torsion subgroup G only if the base curve is in a specific isogeny class. For example, E(K) has a point of order 20 only if C is isogenous to $D: t^2 + t + 1 = u^4$.

6. Future Directions

- (1) In [11], Ulmer constructs families of non-isotrivial elliptic curves over function fields for which the rank is unbounded. It would be interesting to construct families of elliptic curves with a fixed point of finite order for which the rank is unbounded. For this, I may be able to combine Ulmer's families of curves satisfying the Birch and Swinnerton-Dyer conjecture and with unbounded analytic rank, with families of curves for which I know a point of specified finite order occurs.
- (2) It would be interesting to see what torsion subgroups can appear for elliptic curves over function fields of a hyperelliptic curve, \mathcal{C} over a finite field. The genus of \mathcal{C} can become arbitrarily large, but the *gonality* of \mathcal{C} , denoted $\gamma(\mathcal{C})$, is always 2. For two curves, if $\pi: X \to Y$ is a dominant rational map, then $\gamma(Y) \leq \gamma(X)$ [8], so it seems that some of the Hurwitz formula strategies I've used can be adapted to arguments using gonality.

For example, a result from [9, Lemma 1.5 b,c] can be adapted to prove a Levin-type result for points of p-primary order:

Corollary 6.1 (M). Let C be a hyperelliptic curve over a finite field \mathbb{F} of characteristic p. Then, for any elliptic curve E/K, the condition $p^e \mid \#E(K)_{tors}$ implies

$$p \leq 17$$
 and
$$e \leq \begin{cases} 4 & \text{if } p = 2, \\ 2 & \text{if } p = 3, \\ 1 & \text{if } p > 3. \end{cases}$$

(3) In [2], González-Jiménez and Lozano-Robledo look at elliptic curves E/\mathbb{Q} and ask for what n is it possible that $\mathbb{Q}(E(\overline{\mathbb{Q}})[n])/\mathbb{Q}$ is abelian. It would be interesting to try to formulate and prove analogous results for elliptic curves over function fields.

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