

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify and record information that identifies each customer that opens an account.

What this means for you: When you open an account with U.S. Bancorp Investments, Inc. we will ask for your legal name, address, tax identification number and other identifying information that will assist us. We may ask for copies of certified articles of incorporation, an unexpired government-issued business license, a partnership agreement or other documents that indicate the existence and standing of the entity.

Primary Account Owner <b>CAVA FOUNDATION</b>	SSN/TIN <b>83-1895143</b>	Account # <b>1993-5630-8825</b>
Representative Name <b></b>	REP ID <b></b>	Representative Phone # (10 digits) <b></b>

### Remove

Any person named in Section 4 will be added to the account.

Remove the following name(s): 1. \_\_\_\_\_ 2. \_\_\_\_\_

### 1 Certification Data

Name of Document Under Which Entity Is Operating <b>ARTICLES OF INCORPORATION</b>	Corporate TIN (provide if not account owner) <b>83-1895143</b>		
Date of Meeting or Written Action <b>8/17/18</b>	Governing Body <b>SECRETARY OF STATE</b>	State of Entity <b>ILLINOIS</b>	Type of Entity (Nature of Business) <b>NON PROFIT FOUNDATION</b>

### 2 Authorized Persons and Authority

Margin and Option Trading <input type="checkbox"/> This authorization includes margin and option trading. Default = No margin or option trading.			
<b>RESOLVED</b> , that the following authorized persons of the organization are hereby authorized to purchase, tender, sell, assign, transfer and endorse for transfer certificates representing stocks, bonds, or other securities now registered or hereafter registered in the name of this organization.			
U.S. Bancorp is authorized to follow the instructions of the named authorized persons in every respect and make deliveries of securities and payment of monies to the named authorized persons or as the authorized persons may order and direct.			

### 3 Account Opener/Certifier (May not be required due to a Beneficial Owner Exemption)

Name <b>CONRAD BARSKI</b>	Title <b>VICE PRESIDENT</b>
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COLAUA FOUNDATION  
CONRAD BARSKI  
Primary Account Owner: CONRAD BARSKI  
SSN/TIN: 83-189543 Account #: 83-189543  
1-993-5630-8825

#### 4 Beneficial Owner/Authorized Person (Must have Control Person and Authorized Person)

Beneficial Owner = Someone who owns 25% or more of the organization.

Control Person = Someone with significant responsibility to control, manage or direct the organization.

Authorized Person = Someone with authority on the USBI account.

##### BENEFICIAL OWNER/AUTHORIZED PERSON #1

Select All That Apply	Ownership % If ownership is 25% or more <u>0</u> %		
<input checked="" type="checkbox"/> Beneficial Owner (all fields required) <input checked="" type="checkbox"/> Control Person (all fields required) <input type="checkbox"/> Authorized Person (all fields required except PEP)			
Name <u>CONRAD BARSKI</u>	SSN <u>592-62-4913</u>	Date of Birth <u>7/12/73</u>	
Physical Residence Address (Cannot be a P.O. Box) <u>1422 FLORENCE AVE</u>	City <u>EVANSTON</u>	State <u>IL</u>	ZIP Code <u>60201</u>
Citizenship <input checked="" type="checkbox"/> U.S. citizen <input type="checkbox"/> Resident alien <input type="checkbox"/> Nonresident alien	Are You A Current or Former Senior Political Figure or Family Member or Associate of a Senior Political Figure? (If entity: N/A) <input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No	Picture Identification <input checked="" type="checkbox"/> U.S. Driver's license (State: <u>IL</u> ) <input type="checkbox"/> U.S. State ID (State: _____) <input type="checkbox"/> Passport (Country: _____)	<input type="checkbox"/> U.S. Military Service ID <input type="checkbox"/> U.S. Resident Alien/Immigration ID <input type="checkbox"/> Other _____
		Number on ID: <u>B620-1087-3198</u>	Expiration Date (mm/dd/yyyy): <u>7/12/2021</u>

##### Securities Industry Affiliation (Do not complete if purchasing a U.S. Bancorp Insurance Services, LLC. insurance policy.)

NOTE: Immediate family is defined as any child, stepchild, grandchild; parent, stepparent, grandparent; spouse; sibling; mother-in-law, father-in-law, daughter-in-law, son-in-law, brother-in-law or sister-in-law if living in the same household; includes adoptive relationships.

###### Are you or an immediate family member/domestic partner:

- Yes  No 1. A director, 10% shareholder or policy making officer of any publicly traded company? If yes: Symbol(s) \_\_\_\_\_
- Yes  No 2. Employed by Financial Industry Regulatory Authority, a broker/dealer, investment advisor or other securities firm? If employed by a broker/dealer other than U.S. Bancorp Investments: U.S. Bancorp Investments must receive written authorization from your employer authorizing the opening of an account with U.S. Bancorp Investments.
- Yes  No 3. A senior officer of a bank, savings and loan, insurance company, registered investment advisory firm, registered investment company or a person who could influence the trading of investments in an institutional type account?

If yes to #1, 2 or 3:

Name of Person: \_\_\_\_\_

Relationship of this person to you: \_\_\_\_\_

Name of Firm/Employer: \_\_\_\_\_

Address of Firm/Employer: \_\_\_\_\_

#### 4 Beneficial Owner/Authorized Person (Must have Control Person and Authorized Person)

Beneficial Owner = Someone who owns 25% or more of the organization.

Control Person = Someone with significant responsibility to control, manage or direct the organization.

Authorized Person = Someone with authority on the USBI account.

##### BENEFICIAL OWNER/AUTHORIZED PERSON #2

Select All That Apply

- Beneficial Owner (all fields required)  
 Control Person (all fields required)  
 Authorized Person (all fields required except PEP)

Ownership %

If ownership is 25% or more 0 %

Name

LAUREN BARSKI

SSN

493-96-2064

Date of Birth

12/9/1974

Physical Residence Address (Cannot be a P.O. Box)

1422 FLORENCE AVE

City

EVANSTON

State

IL

ZIP Code

60201

Citizenship

- U.S. citizen  
 Resident alien  
 Nonresident alien

Are You A Current or Former Senior Political Figure or Family Member or Associate of a Senior Political Figure? (If entity: N/A)

Yes  No

Picture Identification

U.S. Driver's license (State: IL)

U.S. Military Service ID

U.S. State ID (State: \_\_\_\_\_)

U.S. Resident Alien/Immigration ID

Passport (Country: \_\_\_\_\_)

Other \_\_\_\_\_

Number on ID: B520-5287-4950

Expiration Date (mm/dd/yyyy): 12/9/2020

##### Securities Industry Affiliation (Do not complete if purchasing a U.S. Bancorp Insurance Services, LLC. insurance policy.)

NOTE: Immediate family is defined as any child, stepchild, grandchild; parent, stepparent, grandparent; spouse; sibling; mother-in-law, father-in-law, daughter-in-law, son-in-law, brother-in-law or sister-in-law if living in the same household; includes adoptive relationships.

###### Are you or an immediate family member/domestic partner:

- Yes  No 1. A director, 10% shareholder or policy making officer of any publicly traded company? If yes: Symbol(s) \_\_\_\_\_
- Yes  No 2. Employed by Financial Industry Regulatory Authority, a broker/dealer, investment advisor or other securities firm? If employed by a broker/dealer other than U.S. Bancorp Investments: U.S. Bancorp Investments must receive written authorization from your employer authorizing the opening of an account with U.S. Bancorp Investments.
- Yes  No 3. A senior officer of a bank, savings and loan, insurance company, registered investment advisory firm, registered investment company or a person who could influence the trading of investments in an institutional type account?

If yes to #1, 2 or 3:

Name of Person: \_\_\_\_\_

Relationship of this person to you: \_\_\_\_\_

Name of Firm/Employer: \_\_\_\_\_

Address of Firm/Employer: \_\_\_\_\_

## 6 Municipal Entity Certification (*To be completed only if MUNICIPAL ENTITY/OBLIGATED PERSON*)

The Securities and Exchange Commission (SEC) has imposed new regulations on firms that give advice to municipal entities and obligated persons about municipal investments.

U.S. Bancorp Investments is not registered with the SEC as a municipal advisor. The officer signing below is knowledgeable regarding the nature of municipal securities, the nature of municipal escrow investments and the nature of the securities, cash and other property in the account referenced above.

We certify that (check one):

- none (default)  
 some

of the securities, cash or other property in our account constitute(s) "proceeds of municipal securities" or "municipal escrow investments." We also certify that will be true of future assets deposited into the account.

The SEC Rule defines municipal advisory activity to include providing investment advice regarding:

- **Proceeds of municipal securities:** Proceeds of municipal securities means monies derived by a municipal entity from the primary offering of municipal securities, investment income derived from the investment or reinvestment of such monies and any monies of a municipal entity or obligated person held in funds under legal documents for the municipal securities that are reasonably expected to be used as security or a source of payment for the payment of the debt service on the municipal securities, including reserves, sinking funds and pledged funds created for such purpose, and the investment income derived from the investment or reinvestment of monies in such funds.
- **Municipal escrow:** Municipal escrow investments means proceeds of municipal securities and any other funds of a municipal entity or obligated person that are deposited in an escrow account to pay the principal, premium, if any, and interest on one or more issues of municipal securities.

## 7 Beneficial Ownership Certification

By signing below, the Account Opener/Certifier hereby certifies that to the best of my knowledge, the information provided about me, the name and address provided for the legal entity customer, and the information provided about the beneficial owner(s) and/or the individual with control over the legal entity customer is complete and correct.

## 8 Certification

We, the undersigned persons, hereby certify that the following is a true copy of a resolution duly adopted by the governing body of the organization at a duly held meeting wherein a quorum was present and voting or written action in lieu of a meeting and that the same has not been repealed or amended, remains in full force and effect, and does not conflict with the charter or other applicable document under which the organization is operating.

## 9 Signatures

By signing below I acknowledge I am bound by the Terms and Conditions contained in the U.S. Bancorp Investments Account Application and as such may be amended from time to time.

CONRAD BARSKI

Print Name of Account Opener/Certifier/Authorized Person

LAUREN BARSKI

Print Name of Authorized Person 2

Print Name of Authorized Person 3

Print Name of Authorized Person 4

Print Name of Authorized Person 5

LB

Signature of Account Opener/Certifier/Authorized Person

Lauren Barski

Signature of Authorized Person 2

Signature of Authorized Person 3

Signature of Authorized Person 4

Signature of Authorized Person 5

12/7/18

Date 12/7/18

Date

Date

Date

Date

Not FDIC Insured. Not guaranteed by the bank. May lose value. Not insured by any federal government agency. Not a deposit.

**Instruction for U.S. Bancorp Investment Representative Only.** Fax to 1.866 #. Original to file. Copy to client.

SELF-DIRECTED BROKERAGE  
ACCOUNT APPLICATION

Call 1.800.888.4700 for assistance completing this form.

You must complete this application in its entirety. Missing information will delay account set-up and your ability to place trades. You must sign and date the application before mailing a photocopy of your I.D. and voided check or savings slip for establishing the required bank link to U.S. Bancorp Investments, Inc., Self-Directed Brokerage, EP-MN-WS4M, 60 Livingston Ave., St. Paul, MN 55107.

To help the government fight the funding of terrorism and money laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each customer and person who opens an account.

What this means to you: When you open an account with U.S. Bancorp Investments, Inc., we will ask for your legal name, address, date of birth and other information that will allow us to identify you. We will also ask to see your driver's license or other identifying document. If you are a non-person entity, we may ask for copies of certified articles of incorporation, an unexpired government-issued business license, a partnership agreement, or other documents that indicate the existence and standing of the entity.

<input checked="" type="checkbox"/> New	<input type="checkbox"/> Change to Self Directed Brokerage <small>Additional Disclosures in Section 9.5</small>	CONTACT + INTERNAL PHONE  CONRAD BARSKY (202) 436-1388	REPRESENTATIVE PHONE. 10 digits. 800-888-4700	REP # M964	BRANCH # 106
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**1. ACCOUNT.** All accounts will be opened as cash accounts.

CHECK BOX IF WANTING TO ADD MARGIN OR OPTION TRADING TO YOUR ACCOUNT.  
A form will be sent to you.

\*  Margins      \*  Options

ACCOUNT #. U.S. Bancorp Investments to enter if new account.

1-993-5630-8825

**2. ACCOUNT REGISTRATION**

<input type="checkbox"/> Individual	<input type="checkbox"/> Trust; One trustee signs Sec. 9.*	<input type="checkbox"/> Corporate*	<input type="checkbox"/> Partnership*	<input type="checkbox"/> Conservatorship*
<input type="checkbox"/> Joint w/rights of survivorship	<input type="checkbox"/> Individual w/Transfer on Death*	<input checked="" type="checkbox"/> Non-Corporate*	<input type="checkbox"/> Custodial	
<input type="checkbox"/> Joint tenants in common	<input type="checkbox"/> JTROS w/Transfer on Death*	<input type="checkbox"/> Guardianship*	<input type="checkbox"/> Estate*	

**3. ACCOUNT HOLDER INFORMATION**

A) Is account holder a trust? Also submit Trustee Certification of Trust.  
B) Need additional space for account title? Use Account Supplement and sign and date both forms.  
C) If this is a custodial account: Write minor's information in this section.

TITLE OF ACCOUNT/NAME OF PRIMARY ACCOUNT OWNER AS SHOWN ON YOUR TAX RETURN.	SSN/TIN/EIN	EMAIL ADDRESS. Optional.	
COLAUA FOUNDATION	83-1895143	INFO@COLAUAFOUNDATION.org	
DATE OF BIRTH or DATE OF TRUST	MARITAL STATUS	CITIZENSHIP	ARE YOU A CURRENT OR FORMER SENIOR POLITICAL FIGURE OR FAMILY MEMBER OR ASSOCIATE OF A SENIOR POLITICAL FIGURE? If entity: N/A
8/17/18	<input type="checkbox"/> Single <input type="checkbox"/> Married	<input type="checkbox"/> U.S. Citizen <input type="checkbox"/> Resident Alien	<input type="checkbox"/> Nonresident Alien: complete W8
STREET ADDRESS OF RESIDENCE, CITY, STATE, and ZIP. (Cannot be PO Box.)			HOME PHONE
1422 FLORENCE AVE			202 436 1388
MAILING ADDRESS. Optional. CITY, STATE, and ZIP			CELL PHONE
EVANSTON IL 60201			202 436 1388
PICTURE IDENTIFICATION. Photocopy required.			PHONE # WHERE YOU CAN BE REACHED. 10 digits.
Number on ID _____ <input type="checkbox"/> U.S. Driver's license. State: _____ Expiration date _____ (mm/dd/yyyy) <input type="checkbox"/> Passport. Country: _____ <input type="checkbox"/> U.S. State ID State: _____ <input type="checkbox"/> U.S. Military Service ID <input type="checkbox"/> U.S. Resident Alien/Immigration ID			202 436 1388
<input type="checkbox"/> Full-Time Employment. Must complete 1-6 below. <input type="checkbox"/> Part-Time Employment. Must complete 1-6 below. <input type="checkbox"/> Self-Employed. Must complete 1-6 below. <input type="checkbox"/> Military. Must complete 1-6 below.			<input type="checkbox"/> Retired. Must complete 5 below with former occupation. <input type="checkbox"/> Unemployed. Must complete 5 below with former occupation. <input type="checkbox"/> Homemaker. Skip 1-6 below. <input type="checkbox"/> Student. Skip 1-6 below.
1. EMPLOYER <input type="checkbox"/> U.S. Bank <input type="checkbox"/> U.S. Bancorp Investments		2. EMPLOYER CITY AND STATE	3. WORK PHONE. 10 digits.
4. YEARS WITH EMPLOYER		5. OCCUPATION	
		6. TYPE OF BUSINESS	

PRIMARY ACCOUNT OWNER

COLAUA FOUNDATION SSN/TIN 83-1895143 ACCOUNT # 1-993-5630-8825

## 4. JOINT TENANT, CUSTODIAN, GUARDIAN, or CONSERVATOR

NAME		SOCIAL SECURITY NUMBER/TAX ID NUMBER	DATE OF BIRTH
CONRAD BARSKI		592-62-4913	7/2/73
MARITAL STATUS	CITIZENSHIP	ARE YOU A CURRENT OR FORMER SENIOR POLITICAL FIGURE OR FAMILY MEMBER OR ASSOCIATE OF A SENIOR POLITICAL FIGURE? If entity: N/A	
<input type="checkbox"/> Single <input checked="" type="checkbox"/> Married	<input checked="" type="checkbox"/> U.S. Citizen <input type="checkbox"/> Resident Alien	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

ADDRESS OF PHYSICAL RESIDENCE/STREET ADDRESS, CITY, STATE, and ZIP (If different from primary owner)		HOME PHONE, 10 digits.
1422 FLORENCE AVE EVANSTON IL 60201		202 436 1388

PICTURE IDENTIFICATION. Photocopy required.	
Number on ID	<input checked="" type="checkbox"/> U.S. Driver's license. State: IL <input type="checkbox"/> U.S. Military Service ID
Expiration date (mm/dd/yyyy)	<input type="checkbox"/> Passport. Country: _____ <input type="checkbox"/> U.S. State ID State: _____ <input type="checkbox"/> U.S. Resident Alien/Immigration ID

<input checked="" type="checkbox"/> Full-Time Employment. Must complete 1-6 below. <input type="checkbox"/> Part-Time Employment. Must complete 1-6 below. <input type="checkbox"/> Self-Employed. Must complete 1-6 below. <input type="checkbox"/> Military. Must complete 1-6 below.	<input type="checkbox"/> Retired. Must complete 5 below with former occupation. <input type="checkbox"/> Unemployed. Must complete 5 below with former occupation. <input type="checkbox"/> Homemaker. Skip 1-6 below. <input type="checkbox"/> Student. Skip 1-6 below.
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1. EMPLOYER FORWARD	2. EMPLOYER CITY AND STATE CHICAGO IL	3. WORK PHONE, 10 digits. 202 436 1388
4. YEARS WITH EMPLOYER 15	5. OCCUPATION CEO	6. TYPE OF BUSINESS SOFTWARE

5. INVESTMENT INFORMATION			
OBJECTIVE. Check only if opening account to sell securities.			
<input checked="" type="checkbox"/> Liquidation			
HOW DID YOU ACQUIRE THE FUNDS BEING INVESTED?			
<input type="checkbox"/> Annuity: Surrender of contract <input type="checkbox"/> Business: Sale of <input type="checkbox"/> CD: Maturity <input type="checkbox"/> CD: Early liquidation <input type="checkbox"/> Death benefit proceeds	<input checked="" type="checkbox"/> Equity: Sale <input type="checkbox"/> Fixed income product: Maturity <input type="checkbox"/> Fixed income product: Sale <input type="checkbox"/> Gift <input type="checkbox"/> Inheritance	<input type="checkbox"/> Insurance: Surrender <input type="checkbox"/> Mutual fund: Sale of <input type="checkbox"/> Physical asset: Sale of <input type="checkbox"/> Real Estate: Sale of <input type="checkbox"/> Settlement	<input type="checkbox"/> UIT: Sale of <input type="checkbox"/> Income <input type="checkbox"/> Transfer in kind (includes stock certs) <input type="checkbox"/> Savings > 12 months

6. SECURITIES INDUSTRY AFFILIATION. This information is required by law and must be completed in full.	
NOTE: Immediate family is defined as any child, stepchild, grandchild; parent, stepparent, grandparent; spouse; sibling; mother-in-law, father-in-law, daughter-in-law, son-in-law, brother-in-law, or sister-in-law if living in the same household; includes adoptive relationships.	
Are you or an immediate family member/domestic partner:	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 1. A director, 10% shareholder, or policy making officer of any publicly traded company? If yes: Symbol _____	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 2. Employed by Financial Industry Regulatory Authority, a broker/dealer, investment advisor, or other securities firm? If employed by a broker/dealer other than U.S. Bancorp Investments: U.S. Bancorp Investments must receive written authorization from your employer authorizing the opening of an account with U.S. Bancorp Investments.*	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 3. A senior officer of a bank, savings and loan, insurance company, registered investment advisory firm, registered investment company, or a person who could influence the trading of investments in an institutional type account?	
If yes to #1, 2, or 3:	
Name of Person:	Relationship of this person to you: _____
Name of Firm/Employer:	Address of Firm/Employer: _____

\* Additional document required

PRIMARY ACCOUNT OWNER

CACAIA FOUNDATION

83-1895143

SSN/TIN

502-644

ACCOUNT #

1-993-5630-8825

**7. FUNDING AND ACCOUNT HANDLING** You must submit voided check or encoded savings account slip.\*

A. Link To Bank Account = Required: Link A links to one account. Link B links a second account.  
Link A required. Link B optional.

► If all owners of the bank account you want to link do not match the designated owners of this brokerage account, you must complete the Bank Link Request form and have all owners and/or authorized persons of the bank account sign the form. Call 1.800.888.4700 if you need this form.

Link A:  Checking  Savings

US BANK

Routing # = 9 digits

1993-5630 8825

Bank Account #

Link B:  Checking  Savings

Routing # = 9 digits

Bank Account #

**B. Money Market Sweep Vehicle Defaults**

Brokerage Retail Client: First American Funds Retail Prime Obligations

Brokerage Institutional Client: First American Funds Government Obligations

**C. Duplicate Statements and Confirms** Optional

Name \_\_\_\_\_

Address \_\_\_\_\_

**8. TRUSTED CONTACT INFORMATION.** This person should NOT be a joint account owner.

If we are unable to reach you in relation to this Account or we believe you may be evidencing signs of diminished capacity or potential elder abuse in any form including financial, physical, or emotional abuse, you authorize us to contact the person listed below. U.S. Bancorp Investments may potentially disclose information about you in order to confirm the specifics of your current situation, including your contact information, health status, and to inquire about the identity of any possible legal guardian, executor, trustee or holder of a power of attorney. This person will NOT be authorized to act on your behalf regarding this Account nor will they be able to access your personal or financial information.

I have declined to provide Trusted Contact(s) for this account. To add Trusted Contact(s) to this account at a later date contact Wealth Management Brokerage Services.

**Trusted Contact 1**

NAME <b>CONPAD BARSKI</b>	PHONE NUMBER <b>(202) 436-1388</b>	RELATIONSHIP TO ACCOUNT OWNER <b>FOUNDATION BOARD MEMBER</b>
ADDRESS <b>1422 FORENCE AVE</b>	EMAIL ADDRESS <b>DRCODE@GMAIL.COM</b>	
CITY <b>EVANSTON</b>	STATE <b>IL</b>	ZIP Code <b>60201</b>

**Trusted Contact 2**

NAME <b>LAUREN BARSKI</b>	PHONE NUMBER <b>(847) 421 8596</b>	RELATIONSHIP TO ACCOUNT OWNER <b>FOUNDATION BOARD MEMBER</b>
ADDRESS <b>1422 FORENCE AVE</b>	EMAIL ADDRESS <b>LAURENBARSKI@GMAIL.COM</b>	
CITY <b>EVANSTON</b>	STATE <b>IL</b>	ZIP Code <b>60201</b>

PRIMARY ACCOUNT OWNER

COLAJA FOUNDATION

SSN/TIN

83-1895143

ACCOUNT #

1-993-5630-8825

**9. CUSTOMER AGREEMENT (Continued on page 4)**

In consideration of U.S. Bancorp Investments, Inc. accepting and maintaining one or more accounts for the undersigned (hereafter referred to in the first person singular irrespective of whether the account is an individual or joint account or an institutional account), I agree that all transactions with respect to any such account and the maintenance of the account shall be subject to the following terms:

- 1. Nature of Broker-Dealer.** U.S. BANCORP INVESTMENTS IS NOT A BANK. U.S. BANCORP INVESTMENTS IS A CORPORATE ENTITY SEPARATE AND DISTINCT FROM ITS AFFILIATES. NONE OF THE ACTIVITIES OR OBLIGATIONS OF U.S. BANCORP INVESTMENTS ARE GUARANTEED BY ANY OF ITS AFFILIATES, BANK OR NON-BANK, NOR SHALL ANY SUCH AFFILIATE BE RESPONSIBLE TO ME FOR PERFORMING ANY OF U.S. BANCORP INVESTMENTS' OBLIGATIONS. NON-DEPOSIT INVESTMENT PRODUCTS SOLD OR OFFERED BY U.S. BANCORP INVESTMENTS ARE NOT DEPOSITS AND ARE NOT INSURED BY THE FDIC OR ANY OTHER GOVERNMENTAL AGENCY AND ARE SUBJECT TO INVESTMENT RISKS, INCLUDING POSSIBLE LOSS OF PRINCIPAL.
- 2. Nature of Services.** U.S. Bancorp Investments will act as broker for purposes of buying and selling securities based on client's orders and instructions. U.S. Bancorp Investments does not give investment advice, tax advice, legal advice, or make recommendations for the purchase or sale of securities.
- 3. Applicable Rules and Regulations.** This Agreement and all transactions under this Agreement will be subject to the constitution, rules, regulations, customs and usages of the exchange or market, and its clearing house, if any, where the transactions are executed and applicable federal and state laws, rules and regulations as well as all applicable rules and regulations of the Self Regulatory Organization.
- 4. Definitions.** For purposes of this Agreement, "securities and other property" includes, but is not limited to, money, securities, financial instruments of every kind and nature and all contracts and options relating thereto, whether for present or future delivery. An "affiliate" means any person that directly or indirectly controls, is controlled by, or is under common control with U.S. Bancorp Investments.
- 5. Authority to Act as Broker.** In purchasing and selling securities and other property for me, U.S. Bancorp Investments shall act as my broker except where specific agreement or notice in writing is given to the contrary. U.S. Bancorp Investments may employ sub-brokers of their selection. U.S. Bancorp Investments may deal with members of any exchange who are specialists or odd-lot dealers who in the execution of my orders may act as sub-brokers for me and may also buy and sell securities and other property for themselves as dealers for their own accounts.
- 6. Accounts Covered.** This Agreement covers all accounts that I may open or reopen with U.S. Bancorp Investments (either individually or jointly with others) and all transactions for or on my account will be considered to be included in a single account.
- 7. Joint Accounts.** In the case of joint accounts, each of us shall be fully and completely liable for any obligations and losses of such account and any amounts due U.S. Bancorp Investments. Each of us has full power and authority to make purchases and sales, withdraw money and securities and other property from such account and to do anything else with reference to such account.
- 8. Payment of Obligations Upon Demand.** I will discharge my obligations to U.S. Bancorp Investments upon demand and pay amounts due U.S. Bancorp Investments, including costs and expenses of collection and attorney's fees incurred in connection with collection.
- 9. Fees and Commissions.** I agree to the fees and commissions indicated on U.S. Bancorp Investments' schedule of fees and commissions as may be amended from time to time. U.S. Bancorp Investments will furnish to me a copy of its current schedule upon my request.
- 10. Failed Transactions.** If on or before the settlement date I fail to pay in full for any security purchased for my account or I fail to deliver any security sold for my account, U.S. Bancorp Investments is authorized in its discretion and without notice or demand to take any one or more of the following actions: to cancel the transaction, sell the securities covered thereby, "buy-in" securities or other property required to make delivery for my account, charge my account for the amount due, hold me liable for any resulting loss including but not limited to the interest cost to carry any securities purchased and/or impose late fees.
- 11. Set Off and Other Rights of Broker-Dealer.** In the event that: (a) the margin of any of my accounts shall not meet your requirements; (b) I fail to pay any fees for U.S. Bancorp Investments services within thirty (30) days after they become due or, in any event, prior to closing my account; (c) a petition in bankruptcy or for the appointment of a receiver shall be filed by or against me; (d) an attachment or garnishment shall be levied or served with respect to any of my accounts; (e) I shall die; or (f) U.S. Bancorp Investments shall deem it necessary for its protection, U.S. Bancorp Investments is then hereby authorized, in its sole discretion to: (i) close out any accounts; (ii) buy any and all securities which may be short in such accounts; (iii) close any or all outstanding contracts; (iv) reduce or satisfy any indebtedness of me to U.S. Bancorp Investments by selling, at public or private sale, any or all of the securities or other property which may be in your possession, or which may be carried by you for me (either individually or jointly with others); or (v) buy, at public or private sale, any and all securities sold for my account but not received from me. Any or all of the foregoing options may be undertaken by U.S. Bancorp Investments without advertising the same and without prior tender or notice to or demand upon me. Upon any sale other than a private sale, U.S. Bancorp Investments may purchase the whole or any part thereof for your own account. No specific tender, demand, or notice, nor any failure on the part of U.S. Bancorp Investments to exercise such right to reduce or satisfy any such indebtedness shall invalidate the waiver of tender, demand, and notice herein contained. After deducting all costs and expenses of such sales and purchases, including commissions and stamp taxes, U.S. Bancorp Investments shall apply the net proceeds to the payment of my obligations to U.S. Bancorp Investments, and I shall remain liable for deficiency remaining in such accounts.
- 12. Authority to Sell, Purchase or Cancel.** Any sale, purchase or cancellation authorized by paragraphs 10 and 11 of this Agreement may be made according to U.S. Bancorp Investments' judgment and in its discretion on the exchange or other market where such business is then usually transacted, at public auction, or at private sale, without advertising the same and without any notice, prior tender, demand or call, and U.S. Bancorp Investments may purchase the whole or any part of such securities free from any right of redemption. In any case I will remain liable for any deficiency and will be responsible for any loss, cost or expense of collection (including, but not limited to, attorney's fees) that U.S. Bancorp Investments may sustain.

PRIMARY ACCOUNT OWNER

COVVA FOUNDATION

SSN/TIN

83-189-5143

ACCOUNT #

1-993-5630-8825

## 9. CUSTOMER AGREEMENT (Continued from page 4)

13. **Confirmations and Account Statements.** Order confirmations and account statements will be conclusive if I do not object in writing within ten days after the confirmation or account statement has been sent to me. I understand if I choose to have systematic transactions, I will not receive confirmation immediately. My monthly and/or quarterly account statements will reflect any systematic transactions that occurred within that time period.
14. **Sharing of Information.** U.S. Bancorp Investments may not share my non-public personal information with any unaffiliated third parties except as permitted by law or pursuant to my consent. U.S. Bancorp Investments may share my transaction experience and other non-public information with its affiliates, provided I have not made written objection to such sharing directly to U.S. Bancorp Investments.
15. **Recording of Telephone Conversations.** For our mutual protection, U.S. Bancorp Investments and its agents may record our telephone conversations by electronic recording equipment. This authorization constitutes standing and continuing permission to record all telephone conversations. U.S. Bancorp Investments need not give me specific notice of the recording of any particular telephone conversation.
16. **My Reporting Obligation.** In the interest of better client service and for our mutual protection, I agree to immediately report any trades and transactions that were executed without my prior authorization; any transactions which are not properly reflected on my confirmation or monthly statement; or any other activities or omissions by U.S. Bancorp Investments or its agents or employees that I believe to be improper. Such communication will be directed to the Director of Compliance.
17. **Monitoring of Call Notices.** U.S. Bancorp Investments is required to use only reasonable care to monitor call notices published by a reporting service relating to securities held by it in nominee name for my account; U.S. Bancorp Investments does not have any obligation to monitor call notices published in any other publications or relating to securities held by it in my name, which remain my responsibility.
18. **Pre-Dispute Arbitration Agreement.** I understand and acknowledge that:
- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
  - Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
  - The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.
  - The arbitrators do not have to explain the reason(s) for their award unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
  - The panel of arbitrators may include a minority of arbitrators who were or are affiliated with the securities industry.
  - The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.
  - The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this agreement.
- No person shall bring a putative or certified class action to arbitration, nor seek to enforce any pre-dispute arbitration agreement against any person who has initiated in court a putative class action; or who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until: (i) the class certification is denied; (ii) the class is decertified; or (iii) the client is excluded from the class by the court. Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this Agreement except to the extent stated herein.
- I agree that any controversy arising out of or relating to my account, to transactions with or for me or to this agreement or the breach thereof, whether executed or to be executed within or outside of the United States, and whether asserted against U.S. Bancorp Investments and/or its present or former agents or employees, will be settled by arbitration before and in accordance with the then current rules of the Financial Industry Regulatory Authority. Judgment upon any award rendered by the arbitrators may be entered in any court having jurisdiction thereof. Notwithstanding anything to the contrary in this agreement, the arbitrability of disputes under this agreement shall be governed by the Federal Arbitration Act.
19. **Notification of Changes.** I agree to notify U.S. Bancorp Investments immediately of any changes to the representations I have made in this Agreement.
20. **Amendments, Assignments, and Terminations.** No provision of this Agreement may be waived or amended except in writing and signed by an authorized officer of U.S. Bancorp Investments. I agree that U.S. Bancorp Investments may amend this Agreement upon notice to me. This Agreement will be continuous and will survive any temporary or intermittent closing out or reopening of any account with U.S. Bancorp Investments, shall be binding upon my heirs and estate, and shall inure to the benefit of U.S. Bancorp Investments' successors and assigns and its Clearing Agents. U.S. Bancorp Investments may transfer my accounts to its successors and assigns. U.S. Bancorp Investments, in its discretion, may close any account holding assets at any time upon written notice to me. The notice shall provide me the ability to elect to have my assets transferred to another brokerage firm of my choosing. If no election is made within a reasonable period as specified within the notice, U.S. Bancorp Investments is authorized to liquidate and/or deliver by first class mail to the last address specified on the account all securities and/or disburse to me all money balances held within the account. In addition, U.S. Bancorp Investments may close any account with a zero balance at any time without notification to me.
21. **Governing Law.** This Agreement and its enforcement will be governed by the laws of the State of Minnesota.
22. **Severability.** If any provision or condition of this Agreement is held to be invalid or unenforceable, such invalidity or unenforceability shall attach only to such provision or condition, and the validity of the remaining provisions and conditions will not be affected.
23. **Sweep Account Authorization.** I specifically consent to the transfer of funds from the account to the designated money market funds for the purchase of the money market fund securities from the account and for U.S. Bancorp Investments to sell securities of the money market fund on my behalf and transfer the proceeds to the account to pay for other securities purchased or to pay cash to me pursuant to the procedures and limitations U.S. Bancorp Investments may from time to time establish. The broker-dealer may change the products available under the Sweep program.
24. **Order Routing and Payment for Order Flow.** U.S. Bancorp Investments transmits client orders for execution to particular broker/dealers or market centers based on a number of factors. These include trading characteristics of the security and availability of efficient automated transaction processing. Listed equity securities will post on registered national securities exchanges. U.S. Bancorp Investments will route most of its orders for the over-the-counter equity securities to selected market makers. Market centers may execute orders at prices superior to the publicly quoted market in accordance with their rules or practices. U.S. Bancorp Investments does not receive payment for order flow.
25. **Disclosure of Beneficial Ownership.** I understand that for shareholder communications, U.S. Bancorp Investments will disclose my name, address and securities positions to issuers of securities held in my account.
26. **Account Protection.** The securities held in your U.S. Bancorp Investments, Inc. trading account and cash on deposit for the purpose of purchasing securities, or as a result of the sale of securities, are protected by the Securities Investor Protection Corporation (SIPC). SIPC protects each client up to a maximum of \$500,000, including up to \$250,000 on claims for cash balances awaiting re-investment. SIPC does not protect against losses from the rise and fall in market value of your investment. It does, however, provide important protections against certain losses if a SIPC member fails financially and is unable to meet obligations to its securities clients. For information about SIPC or to obtain a SIPC brochure, please see [www.sipc.org](http://www.sipc.org) or call SIPC at (202) 371-8300.

PRIMARY ACCOUNT OWNER

COLANA FOUNDATION

SSN/TIN

83-1895143

ACCOUNT #

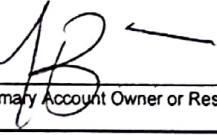
1-993-5630-8825

## 9. CUSTOMER AGREEMENT (Continued from page 5)

27. **Tax Withholding.** If the IRS has notified the applicant that the applicant is subject to backup withholding as a result of a failure to report all interest or dividends, such must be disclosed on this application. U.S. Bancorp Investments is not a tax advisor and recommends that the applicant seek competent tax advice regarding backup withholding before signing this application.
28. **Securities Handling.** Securities will be held in street name unless client requests otherwise in writing. Please be advised that not all securities can be converted to a physical certificate, and that a fee applies for each requested physical certificate. Processing fees will be charged for each certificate.
29. **Margin Loans and Options.** Margin loans, if any, shall be made by U.S. Bancorp Investments. I shall not request any margin loan or option transaction without first complying with all requirements of U.S. Bancorp Investments.
30. **Cost Basis Method.** U.S. Bancorp Investments uses FIFO (First in First Out) as the default when calculating your cost basis for individual securities. If you wish to change the cost basis method, please contact Investments Connect. Once a trade settles, no change to the cost-basis methodology can be made.
28. **Liquidation Requests.** If you want to sell one or more of the securities in your account, please contact your Financial advisor, call Investments Connect, or submit your request online. Because the value of securities is subject to market fluctuation, U.S. Bancorp Investments cannot accept your written request to sell the securities in your account. In the event that you move your account to another firm, U.S. Bancorp Investments also cannot accept a written request from that firm to sell the securities in your account.

## 10. SIGNATURE AND CERTIFICATION

1. **HOLD HARMLESS.** The undersigned client understands and agrees that U.S. Bancorp Investments does not, and will not, make recommendations to the undersigned for the purchase or sale of securities and, therefore, agrees not to hold U.S. Bancorp Investments responsible to determine the suitability of trades in the undersigned's account or for any losses resulting in the account from orders and instructions given to U.S. Bancorp Investments. Further, the undersigned understands, acknowledges, and agrees that it is the undersigned's responsibility to determine the suitability and risk of each transaction entered in the account and specifically agrees to hold U.S. Bancorp Investments harmless for any such investment decisions or losses resulting from the undersigned's transactions.
2. **RECEIPT OF DOCUMENTS.** I acknowledge that I have received a copy of this application which includes the Customer Agreement, a copy of the Sweep Program Disclosures, and a copy of Important Information and General Product Acknowledgment. I further acknowledge that I have read and understood the terms and conditions contained therein.
- I consent to having the free credit balances in my securities accounts included in the U.S. Bancorp Investments Sweep Program and to participation in the Program as detailed in the Sweep Account Authorization and Sweep Program Disclosures.
3. **CUSTOMER AGREEMENT.** The Terms and Conditions including the pre-dispute arbitration agreement contained in Item 18 in the Customer Agreement printed on page 3 are a part of this application document, and applicant agrees to be bound by such and as such may be amended from time to time.
4. **TAXPAYER CERTIFICATION** Under penalty of perjury, I certify that:
- **Taxpayer Identification Number.** The number provided in Section 3 above is the applicant's correct federal taxpayer identification number. The full legal name provided in Section 3 is shown on the applicant's tax return.
  - **Backup Withholding.** The person or entity applying for this account is not subject to backup withholding because: (a) Applicant is exempt from backup withholding, or (b) has not been notified by the Internal Revenue Service (IRS) that the applicant is subject to backup withholding as a result of a failure to report all interest or dividends, (c) the IRS has notified the applicant that the applicant is no longer subject to backup withholding.  
➤ You must cross out (b) above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.
  - **U.S. Person.** Applicant is a U.S. citizen or other U.S. person; or if not a U.S. person, applicant is submitting a U.S. Bancorp Investments Substitute W-9-The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.
5. **Acknowledgments for clients changing to Self Directed Brokerage Account ONLY.**
- I understand that services available to me through U.S. Bancorp Investments will become limited and that I assume all responsibility for trading in the account. I understand that I can no longer request investment advice and that none will be given me.
  - I understand that I must conduct all of my own investment research on any existing position or future transactions. I understand that no research will be provided to me by U.S. Bancorp Investments.
  - I understand that I cannot request a U.S. Bancorp Investments broker/representative in a U.S. Bank branch to assist me in any way.
  - I understand that all business must be transacted by me via one of the following: 1)onlineinvesting.usbank.com, 2) automated touch-tone investing for quotes and account balances, or 3) by calling U.S. Bancorp Investments Investments Connect at 1-800-888-4700.
  - I understand and accept the changes to commissions and fees as they may apply to this account conversion.

x   
Signature Primary Account Owner or Responsible Party12/7/18  
Datex   
Signature Joint Tenant12/7/18  
Date

## For U.S. Bancorp Investments, Inc. Use Only

CENTRAL PRINCIPAL GROUP SIGNATURE

DATE



AUTHENTICATION ID. If required.

Primary Transaction # \_\_\_\_\_ Joint Transaction # \_\_\_\_\_

NOT A DEPOSIT | NOT FDIC INSURED | NOT GUARANTEED BY THE BANK | MAY LOSE VALUE | NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY

- You are encouraged to keep a photocopy of this application.
- Email to [usbinvestmentsconnect@usbank.com](mailto:usbinvestmentsconnect@usbank.com) or mail to Investments Connect, EP-MN-WS4M, 60 Livingston Ave., St. Paul, MN 55107.