# On the semisimplicity of braid group representations in braided tensor categories.

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October 23 2021

#### Introduction

Given a braided tensor category  $\mathcal{C}$ , any object X gives homomorphisms

$$\Phi_n: \mathbb{C}B_n \to \mathrm{End}_{\mathcal{C}}(X^{\otimes n}).$$

For any other object Y we get representations of  $B_n$  on  $\operatorname{Hom}_{\mathcal{C}}(Y, X^{\otimes n})$ .

**Question.** If  $\mathcal{C}$  is semisimple and  $\mathbb{C}$ -linear, are the braid group representations semisimple?

### Motivation

#### Known cases

- ► In any unitary braided tensor category, the braid reps are semisimple.
- ▶ If the images of the braid groups in  $\operatorname{End}(X^{\otimes n})$  are finite, then the braid reps are semisimple.
- ▶ If the braid elements generate  $\operatorname{End}(X^{\otimes n})$ , then the braid reps are semisimple. Examples: Ribbon categories with the fusion rules of
  - Examples: Ribbon categories with the fusion rules of SU(N), SO(N) or Sp(N).

# Symmetric Tensor Categories.

In any semisimple  $\mathcal{C}$ -linear STC, the braid reps are semisimple (these are representations of the symmetric group.) More interesting: if X is any self-dual object, then  $\operatorname{End}(X^{\otimes n})$  contains a representation of the  $\operatorname{Brauer\ algebra}$ . Is this representation always semisimple? For an arbitrary object X,  $\operatorname{End}((X \otimes X^*)^{\otimes n})$  contains a representation of the  $\operatorname{walled\ Brauer\ algebra}$ . Is this rep always semisimple?

# The case of $B_2$ .

#### Lemma

Suppose C is a semisimple ribbon category and X is any object. Then  $B_2$  acts semisimply in  $End(X^{\otimes 2})$ .

#### Proof.

The full twist  $c_{X,X}^2$  is a central and invertible element in  $\operatorname{End}(X^{\otimes 2})$ . Therefore  $c_{X,X}$  is a diagonalizable element of  $\operatorname{End}(X^{\otimes 2})$ .

# Quantum topology: algebra to topology

Quantum algebraic data provides local data for the construction of TQFTs, and in turn invariants of manifolds.

TQFT	input	dimension of invariant
Reshetikhin-Turaev (1991)	modular tensor cat	3
Turaev-Viro (1992)	spherical fusion cat	3
Crane-Yetter (1993)	ribbon cat	4
<b>:</b>		
Douglas-Reutter (2018)	spherical fusion 2-cat	4
Chaidez-Cotler-Cui (2020)	Hopf algebra	4

#### Theorem (Cobordism Hypothesis. Baez-Dolan, Lurie)

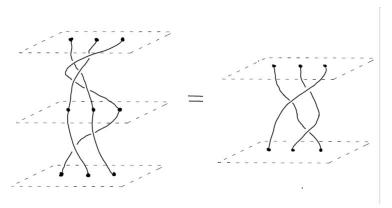
$$\left\{\begin{array}{c} \textit{fully extended} \\ (n+1) - \textit{TQFTs} \end{array}\right\} \longleftrightarrow \left\{\begin{array}{c} \textit{fully dualizable objects} \\ \textit{in a symmetric } (\infty, n)\text{-}\textit{category} \end{array}\right\}$$

# Using topology for algebra: the graphical calculus

In order to analyse ribbon categories we go the other way, using topology to study algebraic objects and their representations.

#### Example

The *n*-strand braid group  $B_n$ , defined topologically.



#### Generators and relations

#### Theorem (Artin, 1926)

 $B_n$  is generated by  $\sigma_1, \ldots, \sigma_{n-1}$ , subject to the relations

$$\sigma_i \sigma_j = \sigma_j \sigma_i$$
 for  $|i - j| \ge 2$   
 $\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$  for  $i = 1, 2, ..., n-1$ 

These are the *braid relations*. Having a short list of generators and relations helps to construct *representations* of  $B_n$ , as well as identify known objects as quotients of  $B_n$  (or its group algebra).

#### The full twist

On an elementary level our topological intuition can be used to study the algebraic structure of the braid group.

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./figs/fulltwist4compareTK.pdf
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# What are the axioms of a ribbon category?

A  $ribbon\ category$  is a  $\mathbb{C}$ -linear semisimple monoidal category with compatible braiding, duality and twist structures.

figs/ribbonaxioms.pdf

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Where are ribbon categories?

Symmetric tensor categories are everywhere, e.g.  $\mathbf{Vec}$ ,  $\mathbf{Rep}\ G$ , combinatorial categories.

./figs/STCs.pdf

In **Vec**, X and Y are finite dimensional  $\mathbb{C}$ -vector spaces.

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# Non-symmetric ribbon categories

./figs/nonsym.pdf

Drinfel'd-Jimbo quantum groups:  $U_q\mathfrak{g}$  Hopf algebra

- For q not a root of 1: **Rep**  $U_q\mathfrak{g}$  is semisimple with fusion rules of  $\mathfrak{g}$
- ▶ For q a root of 1: **Rep**  $U_q\mathfrak{g}$  is not semisimple, but we can extract a semisimple category (**Rep**  $U_q\mathfrak{g}$ )<sup>ss</sup> using tilting modules (Andersen, '92).

Define **Rep**  $SO(N)_q$  as the tensor subcategory of **Rep**  $U_q\mathfrak{so}(N)$  or **Rep**  $U_q\mathfrak{so}(N)^{ss}$  spanned by simples with integer highest weights (no spin reps).

# The Grothendieck ring and fusion rules

The *Grothendieck ring* of a (semisimple) ribbon category  $\mathcal{C}$  is generated by simple isotypes  $\lambda \in \Gamma$ , with relations

$$\lambda \otimes \mu = \sum_{\nu \in \Gamma} N_{\lambda,\mu}^{\nu} \nu$$

where  $N_{\lambda,\mu}^{\nu}$  is the multiplicity of  $\nu$  in  $\lambda \otimes \mu$ . Gr( $\mathcal{C}$ ) is a  $\mathbb{Z}$ -based ring, equipped with simple elements as a  $\mathbb{Z}$ -basis.

$$\Gamma(\mathbf{Rep} \ \mathbb{Z}_2) = \{1, -1\}$$
$$\operatorname{Gr}(\mathbf{Rep} \ \mathbb{Z}_2) \cong \mathbb{Z}[\mathbb{Z}_2] \cong \mathbb{Z}[x]/(x^2 - 1).$$

Up to monoidal equivalence, there are 2 ribbon categories with  $Gr(\mathcal{C}) \cong Gr(\mathbf{Rep} \mathbb{Z}_2)$ . Up to ribbon equivalence, there are 8.

# SO(N) fusion rules via highest weight

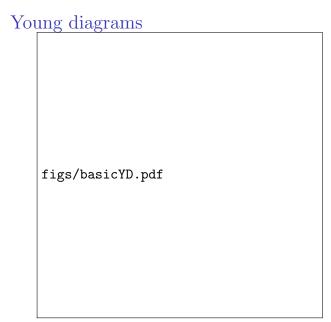
Finite dim irreps of SO(N) are parametrized by their highest weight  $\lambda = (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$ , which must belong to the dominant Weyl chamber:

$$\Gamma(SO(2n+1)) = \{ \lambda \mid \lambda_1 \ge \lambda_2 \ge \dots \ge \lambda_n \ge 0 \}$$
  
$$\Gamma(SO(2n)) = \{ \lambda \mid \lambda_1 \ge \dots \ge \lambda_{n-1} \ge |\lambda_n| \ge 0 \}.$$

The fusion rules are "generalized LR coefficients" and are given by classical formulas (e.g. Steinberg's rule). They define Gr(SO(N)).

There are also  $\mathbb{Z}$ -based quotients of  $\operatorname{Gr}(SO(N))$  with only finitely many simples, corresponding to highest weights properly contained in a *shifted Weyl alcove*.

./figs/BBweights.pdf 18 / 41



#### Fundamental fusion rule:

The rule for tensoring with  $X \cong [1]$  is adding and removing a

# The braid element $c_{X,X}$

SO(N)-type categories are tensor generated by a single simple  $X \cong [1]$  (for  $N = 3, N \ge 5$ ). It is self-dual and its tensor square splits into three simples:

$$X^{\otimes 2} \cong \mathbf{1} \oplus [1^2] \oplus [2].$$

Therefore  $c_{X,X} \in \operatorname{End}_{\mathcal{C}}(X^{\otimes 2})$  has three eigenvalues. For a fixed category  $\mathcal{C}$ , we will always denote

figs/Xsquared.pdf

$$q := \text{eigenvalue of } c_{X,X} \text{ on } [2].$$

The classification strategy is to show that the fusion rules and q determine the category  $\mathcal{C}$ .

#### Theorem (Tuba-Wenzl '03, Morrison-Peters-Snyder '11)

Let X be a symmetrically self-dual simple object in a ribbon category such that  $X^{\otimes 2}$  splits into three simples. Then there is  $r \in \mathbb{C}^{\times}$  such that

With q as above,  $c_{X,X}$  satisfies either the Dubrovnik relation:

$$\times - \times = (q - q^{-1}) \left( | - \sim \right)$$

or Kauffman relation:

Hence  $c_{X,X}$  has eigenvalues  $(q, -q^{-1}, r^{-1})$  or  $(q, q^{-1}, r^{-1})$ .

# Classification for Lie type categories

$$\times$$
 acts by  $(q, -q^{-1})$   
  $X \otimes X \cong [2] \oplus [1^2].$ 

#### Theorem (Kazhdan-Wenzl, '93)

Any tensor category with SL(N) fusion rules is a twist of  $\operatorname{\mathbf{Rep}} SL(N)_q$  by a 3-cocycle of  $\mathbb{Z}_N$ . Ribbon categories with the fusion rules of SL(N) are determined by q and equivalent to  $\operatorname{\mathbf{Rep}} SL(N)_q$ .

$$X = X \otimes X \cong [2] \oplus [1^2] \oplus 1.$$

#### Theorem (Tuba-Wenzl, '03)

Ribbon categories with the fusion rules of O(N) (resp. Sp(N)) are determined by the eigenvalues of  $c_{X,X}$  and are equivalent to a twist of  $\mathbf{Rep}\ O(N)_q$  (resp.  $\mathbf{Rep}\ Sp(N)_q$ ) by a 3-cocycle of  $\mathbb{Z}_2$ .

# Our main result for SO(N) categories

Let  $N \geq 5$  or N = 3.

#### Theorem (C)

Non-symmetric ribbon categories with the fusion rules of SO(N) are determined by the eigenvalues of  $c_{X,X}$ . Any ribbon category with SO(2n+1) fusion rules and braid eigenvalue q is equivalent to  $\mathbf{Rep}\ SO_q(2n+1)$ . For SO(2n) every ribbon category is equivalent to a twist of  $\mathbf{Rep}\ SO_q(2n)$  by a 3-cocycle of  $\mathbb{Z}_2$ .

- ▶ Applies to SO(N) O(K) and SO(2n+1) Sp(K) rules
- ▶ For SO(2n+1), the braid eigenvalues must be  $(q, -q^{-1}, q^{-2n})$  and two categories with q, q' are monoidally equivalent iff  $q' \in \{q^{\pm 1}\}$ .
- For SO(2n) there are both Dubrovnik and Kauffman cats and two Dubrovnik cats with q, q' are monoidally equivalent iff  $q' \in \{\pm q^{\pm 1}\}$ .

# Proof of SO(2n+1) classification

#### Proof.

Classically  $O(2n+1) \cong SO(2n+1) \times \mathbb{Z}_2$ . Hence if  $\mathcal{C}$  has SO(2n+1) fusion rules, then

#### $\mathcal{C} \boxtimes \mathbf{Rep} \mathbb{Z}_2$

has O(2n+1) fusion rules, so by Tuba-Wenzl it is determined by the eigenvalues of the tensor generator  $X \boxtimes -1$ . These eigenvalues are the same as the braid eigenvalues for X. Since  $\mathcal{C}$ can be recovered from  $\mathcal{C} \boxtimes \mathbf{Rep} \mathbb{Z}_2$ ,  $\mathcal{C}$  is also determined by the eigenvalues of X.

- ▶ The explicit form of the eigenvalues  $(q, -q^{-1}, q^{-2n})$  can be deduced by looking at the q-dim and twist of  $\mathbf{1} \boxtimes -1$
- ▶ Two SO(2n+1) categories with q' = -q and are not monoidally equivalent, in contrast to O(2n+1).
- ▶ There is no  $\varepsilon = -1$  family of SO(2n+1) categories.

# Monoidal algebras

Suppose  $\mathcal{C}$  is a semisimple tensor category. The *monoidal algebra* generated by X is the **strict** monoidal category  $\langle X \rangle$  with objects

$$\mathbf{1}, X, X^{\otimes 2}, \dots$$

and hom-spaces coming from C.

Theorem (Kazhdan-Wenzl, Tuba-Wenzl)

If X is a tensor generator of C then C can be reconstructed from  $\langle X \rangle$  by taking the idempotent completion and adding direct sums.

# The diagonal subcategory

The diagonal of C is the monoidal subcategory  $\Delta \langle X \rangle$  of  $\langle X \rangle$  obtained by setting

$$\operatorname{Hom}_{\Delta\langle X\rangle}(X^{\otimes j}, X^{\otimes k}) = \begin{cases} \operatorname{End}(X^{\otimes k}) & \text{if } j = k \\ 0 & \text{if } j \neq k \end{cases}$$

#### Theorem (Tuba-Wenzl, C)

Suppose C and C' are  $\mathbb{Z}_2$ -graded and tensor generated by X and Y. Then  $\Delta \langle X \rangle$  is isomorphic to  $\Delta \langle Y \rangle$  if and only if C' is monoidally equivalent to a twist of C by a 3-cocycle of  $\mathbb{Z}_2$ .

#### Corollary

A  $\mathbb{Z}_2$ -graded category  $\mathcal{C}$  is determined by its diagonal  $\Delta \langle X \rangle$  and a sign (choice of 3-cocycle class).

## The cocycle construction

Any ribbon category has a mirror (swap braid with its inverse). The mirror category has the same fusion rules.

If C is also  $\mathbb{Z}_2$ -graded then there are several other modifications that don't change the fusion rules.

- ▶ Twist the associator by a 3-cocycle  $\omega \in Z^3(\mathbb{Z}_2, \mathbb{C}^{\times})$
- Twist the braiding by an abelian cocycle given by  $a: \mathbb{Z}_2 \times \mathbb{Z}_2 \to \mathbb{C}^{\times}$  compatible with  $\omega$
- ▶ Change the spherical structure with a character of  $\mathbb{Z}_2$

Non-trivial  $\omega$  switches between Dubrovnik and Kauffman categories.

For C singly-generated there is a unique spherical structure so that every self-dual object is  $symmetrically\ self-dual$ .

Data of the diagonal subcategory:

- ▶ The semisimple algebras  $\operatorname{End}(X^{\otimes k}), k \geq 0.$
- ▶ Bilinear maps

$$\operatorname{End}_{\mathcal{C}}(X^{\otimes k}) \times \operatorname{End}_{\mathcal{C}}(X^{\otimes l}) \to \operatorname{End}_{\mathcal{C}}(X^{\otimes k+l})$$
  
 $(f,g) \mapsto f \otimes g$ 

An isomorphism of diagonals of  $\mathcal{C}$  and  $\mathcal{C}'$  is a family  $\{\Phi_k\}$  of algebra isomorphisms

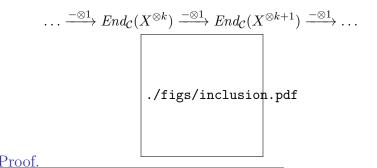
$$\Phi_k : \operatorname{End}_{\mathcal{C}}(X^{\otimes k}) \to \operatorname{End}_{\mathcal{C}'}(Y^{\otimes k})$$

compatible with tensor products.

# Strategy for braided SO(2n) classification

#### Lemma

If C is additionally **braided** then the tensor product maps are determined by the braiding and the inclusions



# The Bratteli diagram

The  ${\it Bratteli~diagram}$  for the inclusions of semisimple algebras

$$\dots \xrightarrow{-\otimes 1} \operatorname{End}_{\mathcal{C}}(X^{\otimes k}) \xrightarrow{-\otimes 1} \operatorname{End}_{\mathcal{C}}(X^{\otimes k+1}) \xrightarrow{-\otimes 1} \dots$$

is the same as the fusion graph for tensoring with  $X\cong [1].$ 

figs/S06bratteli4levs.pdf

# Path idempotents and path bases

Since the Bratteli diagram is **multiplicity free** we can define a complete set of minimal idempotents for  $\operatorname{End}(X^{\otimes k})$  indexed by paths of length k through the Bratteli diagram:

$$p_S: S = \mathbf{1} \to S(1) \to S(2) \to \cdots \to S(k)$$

- $ightharpoonup p_S$  has isotype S(k)
- ▶ They are compatible with the inclusions  $\otimes 1$ :

$$p_S \otimes 1 = \sum_{\lambda} p_{S \to \lambda}$$

A simple module  $V^{\lambda}$  for  $\operatorname{End}(X^{\otimes k})$  has basis vectors

$$\{v_S: S = \mathbf{1} \to S(1) \to \cdots \to S(k-1) \to \lambda\}$$

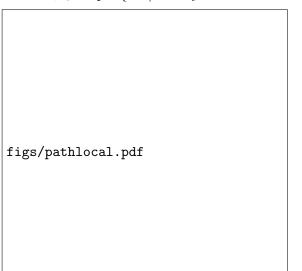
which are uniquely defined up to scalars by

$$p_S v_T = \delta_{S,T} v_T.$$



The braid elements act locally on a path basis, i.e. if  $1 \le i < k$  then  $c_i \in \operatorname{End}(X^{\otimes k})$  and

 $c_i v_S \in \operatorname{span}\{v_T \mid T \text{ only differs from } S \text{ at level } i \}$ 



# Full twists and Jucys-Murphy elements

The full twist  $\Delta_k^2$  is defined in  $\operatorname{End}(X^{\otimes k})$  using the braiding. It is central in  $\operatorname{End}(X^{\otimes k})$ .

figs/fulltwistincat.pdf

The *Jucys-Murphy elements* are defined by

$$J_k = \Delta_k^2(\Delta_{k-1}^{-2} \otimes 1) \in \text{End}(X^{\otimes k}).$$

figs/JM4.pdf

#### Lemma

The Jucys-Murphy elements act diagonally in any path basis.

# 2-level path spaces $W^{\lambda}_{\mu}$

Suppose  $\mu$  and  $\lambda$  are two levels apart.

figs/TwoStep.pdf

Eigenvalues of JM elements

figs/AB2rel.pdf

One can write down all 1 and 2-dim diagonalizable matrices which satisfy the Dubrovnik relation, ( $\mathbf{AB}_2$ ), and the fact  $\Delta_k^2 = J_k J_{k-1}$  is central. (c.f. Ariki-Koike '94).

#### Corollary

The eigenvalues of  $J_k$  are determined by the eigenvalues of  $c_{k-1}$  and  $J_{k-1}$ .

# Restriction of parameters

figs/respam.pdf

# Uniqueness of braid representations

On the "new stuff" we can scale the path basis so  $c_{k-1}$  has the matrix

$$c_{k-1} \mapsto \begin{pmatrix} \frac{q^d}{[d]_q} & 1 - \frac{1}{[d]_q^2} \\ 1 & \frac{q^{-d}}{[-d]_q} \end{pmatrix}.$$

On the "old stuff", i.e.  $W_{\lambda}^{\lambda}$ , we can scale the path basis so that  $e_{k-1} = 0$  has the matrix

$$e_{k-1}\mapsto rac{1}{\dim_{\mathcal{C}}\lambda} egin{pmatrix} \dim_{\mathcal{C}}
u_1 & \dots & \dim_{\mathcal{C}}
u_s \\ dots & & dots \\ \dim_{\mathcal{C}}
u_1 & \dots & \dim_{\mathcal{C}}
u_s \end{pmatrix} ext{figs/oldstuff.pdf}$$

Methods of (Leduc-Ram, '97) can be used to show the matrix entries for  $c_{k-1}$  are determined by  $e_{k-1}$  and JM eigenvalues.

#### Theorem

The q-dims of every simple object can be expressed as a rational function of a

# Proof of SO(2n) classification theorem

#### Proof.

Suppose  $\mathcal{C}, \mathcal{C}'$  have the same fusion rules and are both Dubrovnik with eigenvalues  $(q, -q^{-1}, q^{2n-1})$ . Using uniqueness of braid representations we can construct matrix units in  $\operatorname{End}(X^{\otimes k})$  (resp.  $\operatorname{End}(Y^{\otimes k})$ ) which are compatible with inclusions and so the braids have the specified matrices.

Then we get algebra isomorphisms  $\operatorname{End}(X^{\otimes k}) \to \operatorname{End}(Y^{\otimes k})$  sending matrix units to matrix units. This is compatible with inclusions and braiding so is an isomorphism of diagonals.

By diagonal reconstruction,  $\mathcal{C}$  and  $\mathcal{C}'$  differ by at most a 3-cocycle twist. However they are both Dubrovnik so they are actually equivalent.

# Open problems

- ightharpoonup Description of planar algebra for SO(N) type categories
- ▶ Auto-equivalences of SO(N) type categories (Edie-Michell '20)
- ▶ Other classification problems: symmetric cases, SO(4),  $K \leq 2$ ,  $\mathfrak{so}(N)$ , exotic Lie groups
- Computational complexity of braid representations

Thanks for listening!

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