Contents

Preface xvii

Chapter 1 Introduction

- 1.1 Database-System Applications 1
- 1.2 Purpose of Database Systems 3
- 1.3 View of Data 5
- 1.4 Database Languages 9
- 1.5 Relational Databases 11
- 1.6 Database Design 14
- 1.7 Object-Based and Semistructured Databases 19
- 1.8 Data Storage and Querying 20

- 1.9 Transaction Management 22
- 1.10 Data Mining and Analysis 23
- 1.11 Database Architecture 24
- 1.12 Database Users and Administrators 26
- 1.13 History of Database Systems 28
- 1.14 Summary 30 Exercises 31 Bibliographical Notes 32

PART 1 RELATIONAL DATABASES

Chapter 2 Relational Model

- 2.1 Structure of Relational Databases 37
- 2.2 Fundamental Relational-AlgebraOperations 46
- 2.3 Additional Relational-AlgebraOperations 55
- 2.4 Extended Relational-Algebra Operations 60
- 2.5 Null Values 66
- 2.6 Modification of the Database 68
- 2.7 Summary 70 Exercises 71 Bibliographical Notes 73

Chapter 3 SQL

- 3.1 Background 75
- 3.2 Data Definition 77
- 3.3 Basic Structure of SQL Queries 80
- 3.4 Set Operations 87
- 3.5 Aggregate Functions 89
- 3.6 Null Values 91
- 3.7 Nested Subqueries 93

- 3.8 Complex Queries 97
- 3.9 Views 99
- 3.10 Modification of the Database 103
- 3.11 Joined Relations** 110
- 3.12 Summary 115 Exercises 116

Bibliographical Notes 120

Chapter 4 Advanced SQL

- 4.1 SQL Data Types and Schemas 121
- 4.2 Integrity Constraints 126
- 4.3 Authorization 133
- 4.4 Embedded SQL 134
- 4.5 Dynamic SQL 137
- 4.6 Functions and Procedural Constructs** 145

- 4.7 Recursive Queries** 151
- 4.8 Advanced SQL Features** 155
- 4.9 Summary 158 Exercises 159

Bibliographical Notes 162

Chapter 5 Other Relational Languages

- 5.1 The Tuple Relational Calculus 163
- 5.2 The Domain Relational Calculus 168
- 5.3 Query-by-Example 171
- 5.4 Datalog 180

5.5 Summary 194 Exercises 195

Bibliographical Notes 198

PART 2 DATABASE DESIGN

Chapter 6 Database Design and the E-R Model

- 6.1 Overview of the Design Process 201
- 6.2 The Entity-Relationship Model 204
- 6.3 Constraints 210
- 6.4 Entity-Relationship Diagrams 214
- 6.5 Entity-Relationship Design Issues 220
- 6.6 Weak Entity Sets 225
- 6.7 Extended E-R Features 227
- 6.8 Database Design for Banking Enterprise 236

- 6.9 Reduction to Relational Schemas 241
- 6.10 Other Aspects of Database Design 248
- 6.11 The Unified Modeling Language
- UML** 251 6.12 Summary 254
 - Exercises 256

Bibliographical Notes 261

Chapter 7	Relational	Database	Design

7.1 Features of Good Relational 7.6 Decomposition Using Multivalued Designs 263 Dependencies 293 7.2 Atomic Domains and First Normal 7.7 More Normal Forms 298 7.8 Database-Design Process 299 Form 268 7.3 Decomposition Using Functional 7.9 Modeling Temporal Data 302 Dependencies 270 7.10 Summary 304 7.4 Functional-Dependency Theory 278 Exercises 306 7.5 Decomposition Using Functional Bibliographical Notes 310

Chapter 8 Application Design and Development

8.1 User Interfaces and Tools 311
8.2 Web Interfaces to Databases 314
8.3 Web Fundamentals 315
8.4 Servlets and JSP 321
8.5 Building Large Web Applications 326
8.6 Triggers 329
8.7 Authorization in SQL 335
8.8 Application Security 343
8.9 Summary 350
Exercises 352
Bibliographical Notes 357

PART 3 OBJECT-BASED DATABASES AND XML

Chapter 9 Object-Based Databases

9.1 Overview 361 9.7 Implementing O-R Features 378 9.2 Complex Data Types 362 9.8 Persistent Programming Languages 379 9.3 Structured Types and Inheritance in 9.9 Object-Oriented versus SQL 365 Object-Relational 387 9.4 Table Inheritance 369 9.10 Summary 388 9.5 Array and Multiset Types in SQL 371 Exercises 389 9.6 Object-Identity and Reference Types in Bibliographical Notes 393 SQL 376

Chapter 10 XML

Dependencies 288

10.1	Motivation 395	10.6 Storage of XML Data 421
10.2	Structure of XML Data 399	10.7 XML Applications 428
10.3	XML Document Schema 402	10.8 Summary 431
10.4	Querying and Transformation 408	Exercises 433
10.5	Application Program Interfaces to	Bibliographical Notes 436
	XML 420	

PART 4 DATA STORAGE AND QUERYING

Chapter 11 Storage and File Structure

11.1 Overview of Physical Storage
Media 441
11.7 Organization 464
11.8 Data-Dictionary Storage 472
11.3 RAID 450
11.4 Tertiary Storage 458
11.5 Storage Access 460

11.6 File Organization 464
11.7 Organization of Records in Files 468
11.8 Data-Dictionary Storage 472
11.9 Summary 474
Exercises 476
Bibliographical Notes 478

Chapter 12 Indexing and Hashing

12.1 Basic Concepts 481

12.2 Ordered Indices 482

12.3 B+-Tree Index Files 489

12.4 B-Tree Index Files 501

12.5 Multiple-Key Access 502

12.6 Static Hashing 506

12.7 Dynamic Hashing 511

12.8 Comparison of Ordered Indexing and Hashing 518

12.9 Bitmap Indices 520

12.10 Index Definition in SQL 523

12.11 Summary 524

Exercises 526

Bibliographical Notes 529

Chapter 13 Query Processing

13.1 Overview53113.6 Other Operations55513.2 Measures of Query Cost53313.7 Evaluation of Expressions55913.3 Selection Operation53413.8 Summary56313.4 Sorting539Exercises56613.5 Join Operation542Bibliographical Notes568

Chapter 14 Query Optimization

14.1 Overview56914.4 Choice of Evaluation Plans58414.2 Transformation of Relational14.5 Materialized Views**593Expressions57114.6 Summary59814.3 Estimating Statistics of Expression
ResultsExercises599Bibliographical Notes602

PART 5 TRANSACTION MANAGEMENT

Chapter	15	Transa	ctions

- 15.1 Transaction Concept 609
- 15.2 Transaction State 612
- 15.3 Implementation of Atomicity and Durability 615
- 15.4 Concurrent Executions 617
- 15.5 Serializability 620

- 15.6 Recoverability 626
- 15.7 Implementation of Isolation 627
- 15.8 Testing for Serializability 628
- 15.9 Summary 630 Exercises 632
 - Bibliographical Notes 633

Chapter 16 Concurrency Control

- 16.1 Lock-Based Protocols 635
- 16.2 Timestamp-Based Protocols 648
- 16.3 Validation-Based Protocols 651
- 16.4 Multiple Granularity 653
- 16.5 Multiversion Schemes 656
- 16.6 Deadlock Handling 659

- 16.7 Insert and Delete Operations 664
- 16.8 Weak Levels of Consistency 667
- 16.9 Concurrency in Index Structures** 669
- 16.10 Summary 673
 - Exercises 676
 - Bibliographical Notes 680

Chapter 17 Recovery System

- 17.1 Failure Classification 683
- 17.2 Storage Structure 684
- 17.3 Recovery and Atomicity 688
- 17.4 Log-Based Recovery 689
- 17.5 Recovery with Concurrent Transactions 697
- 17.6 Buffer Management 699

- 17.7 Failure with Loss of Nonvolatile Storage 702
- 17.8 Advanced Recovery Techniques** 703
- 17.9 Remote Backup Systems 711
- 17.10 Summary 713
 - Exercises 716
 - Bibliographical Notes 718

PART 6 DATA MINING AND INFORMATION RETRIEVAL

Chapter 18 Data Analysis and Mining

- 18.1 Decision-Support Systems 723
- 18.2 Data Analysis and OLAP 725
- 18.3 Data Warehousing 736
- 18.4 Data Mining 739

- 18.5 Summary 752 Exercises 754
 - Bibliographical Notes 756

Chapter 19 Information Retrieval

	-		
19.1	Overview 759	19.7	Web Search Engines 771
19.2	Relevance Ranking Using Terms 761	19.8	Information Retrieval and Structured
19.3	Relevance Using Hyperlinks 763		Data 772
19.4	Synonyms, Homonyms and	19.9	Directories 773
	Ontologies 768	19.10	Summary 776
19.5	Indexing of Documents 769		Exercises 777
19.6	Measuring Retrieval Effectiveness 770		Bibliographical Notes 779

PART 7 SYSTEM ARCHITECTURE

Chapter 20 Database-System Architectures

20.1 Centralized and Client-Server	20.5 Network Types 801
Architectures 783	20.6 Summary 803
20.2 Server System Architectures 78	6 Exercises 805
20.3 Parallel Systems 790	Bibliographical Notes 807
20.4 Distributed Systems 797	

Chapter 21 Parallel Databases

21.1 Introduction 809	21.6 Interoperation Parallelism 8	524
21.2 I/O Parallelism 810	21.7 Design of Parallel Systems	326
21.3 Interquery Parallelism 814	21.8 Summary 827	
21.4 Intraquery Parallelism 815	Exercises 829	
21.5 Intraoperation Parallelism 816	Bibliographical Notes 831	

Chapter 22 Distributed Databases

22.1	Homogeneous and Heterogeneous	22.7	Distributed Query Processing	859
	Databases 833	22.8	Heterogeneous Distributed	
22.2	Distributed Data Storage 834		Databases 862	
22.3	Distributed Transactions 837	22.9	Directory Systems 865	
22.4	Commit Protocols 840	22.10	Summary 870	
22.5	Concurrency Control in Distributed		Exercises 873	
	Databases 846		Bibliographical Notes 876	
22.6	Availability 854			

PART 8 OTHER TOPICS

Chapter 23 Advanced Application Development

23.1 Performance Tuning 881

23.2 Performance Benchmarks 891

23.3 Standardization 895

23.4 Application Migration 899

23.5 Summary 900

Exercises 902

Bibliographical Notes 903

Chapter 24 Advanced Data Types and New Applications

24.1 Motivation 905

24.2 Time in Databases 906

24.3 Spatial and Geographic Data 908

24.4 Multimedia Databases 919

24.5 Mobility and Personal Databases 922

24.6 Summary 927

Exercises 929

Bibliographical Notes 931

Chapter 25 Advanced Transaction Processing

25.1 Transaction-Processing Monitors 933

25.2 Transactional Workflows 938

25.3 E-Commerce 944

25.4 Main-Memory Databases 947

25.5 Real-Time Transaction Systems 949

25.6 Long-Duration Transactions 950

25.7 Transaction Management in

Multidatabases

25.8 Summary 959

Exercises 962

Bibliographical Notes 964

PART 9 CASE STUDIES

Chapter 26 PostgreSQL

26.1 Introduction 967

26.2 User Interfaces 968

26.3 SQL Variations and Extensions 971

26.4 Transaction Management in PostgreSQL 979

26.5 Storage and Indexing 988

26.6 Query Processing and Optimization 991

26.7 System Architecture 994 Bibliographical Notes 995

Chapter 27 Oracle

- 27.1 Database Design and Querying Tools 99727.2 SQL Variations and Extensions 999
- 27.3 Storage and Indexing 1001
- 27.4 Query Processing and Optimization 1010
- 27.5 Concurrency Control and Recovery 1017

- 27.6 System Architecture 1019
- 27.7 Replication, Distribution, and External Data 1022
- 27.8 Database Administration Tools 1024
- 27.9 Data Mining 1025 Bibliographical Notes 1026

Chapter 28 IBM DB2 Universal Database

- 28.1 Overview 1027
- 28.2 Database-Design Tools 1029
- 28.3 SQL Variations and Extensions 1029
- 28.4 Storage and Indexing 1034
- 28.5 Multidimensionsal Clustering 1037
- 28.6 Query Processing and Optimization 1040
- 28.7 Materialized Query Tables 1045
- 28.8 Autonomic Features in DB2 1047

- 28.9 Tools and Utilities 1048
- 28.10 Concurrency Control and Recovery 1050
- 28.11 System Architecture 1052
- 28.12 Replication, Distribution and External Data 1053
- 28.13 Business Intelligence Features 1054 Bibliographical Notes 1055

Chapter 29 Microsoft SQL Server

- 29.1 Management, Design, and Querying Tools 1057
- 29.2 SQL Variations and Extensions 1062
- 29.3 Storage and Indexing 1066
- 29.4 Query Processing and Optimization 1069
- 29.5 Concurrency and Recovery 1074
- 29.6 System Architecture 1078
- 29.7 Data Access 1080

- 29.8 Distributed Heterogeneous Query Processing 1081
- 29.9 Replication 1082
- 29.10 Server Programming in .NET 1084
- 29.11 XML Support in SQL Server 2005 1089
- 29.12 SQL Server Service Broker 1094
- 29.13 Data Warehouse and Business Intelligence 1096 Bibliographical Notes 1100

PART 10 APPENDICES

Appendix A Network Model (contents online)

- A.1 Basic Concepts A1
- A.2 Data-Structure Diagrams A2
- A.3 The DBTG CODASYL Model A7
- A.4 DBTG Data-Retrieval Facility A13
- A.5 DBTG Update Facility A20
- A.6 DBTG Set-Processing Facility A22
- A.7 Mapping of Networks to Files A27
- A.8 Summary A31 Exercises A32
 - Bibliographical Notes A35

Appendix B Hierarchical Model (contents online)

B.1 Basic Concepts B1
B.6 Mapping of Hierarchies to Files B22
B.2 Tree-Structure Diagrams B2
B.7 The IMS Database System B24
B.8 Summary B25
B.9 Update Facility B18
B.9 Exercises B26
B.9 Wirtual Records B21
B.9 Bibliographical Notes B29

Appendix C Advanced Relational Database Design (contents online)

C.1 Multivalued Dependencies C1 C.4 Summary C10
C.2 Join Dependencies C5 Exercises C10
C.3 Domain-Key Normal Form C8 Bibliographical Notes C11

Bibliography 1101

Index 1129