

Data and Dynamics Driven Approaches for Modeling and Forecasting the Red Sea Chlorophyll

Thesis Proposal

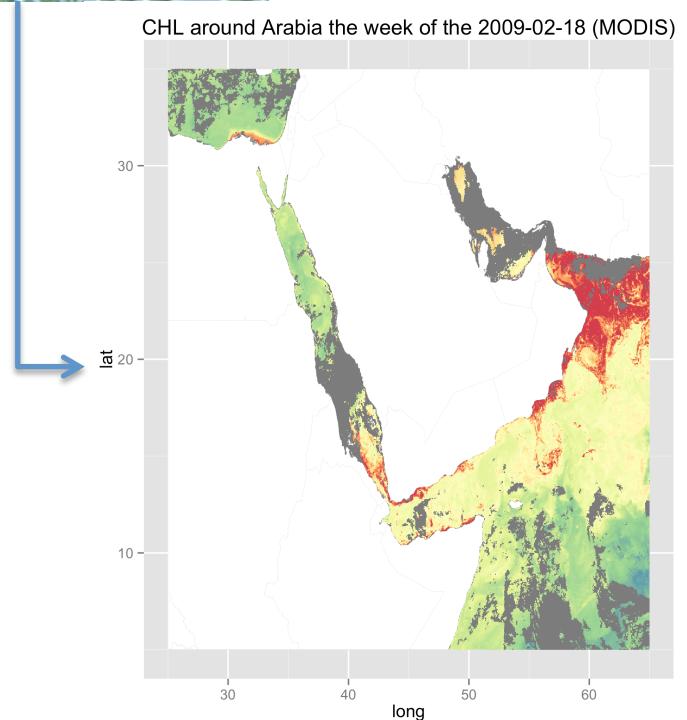
Denis Dreano

April 21st, 2015

Phytoplankton, Chlorophyll, the Red Sea and Applications



Small, unicellular,
photosynthetic algae



Ocean color remote sensing



Desalination



Climate Study 2

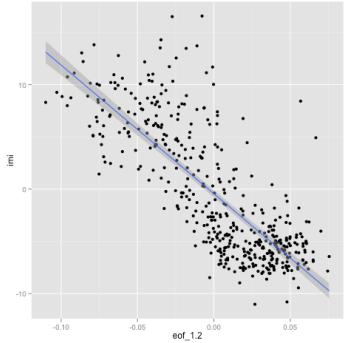


Marine Ecology

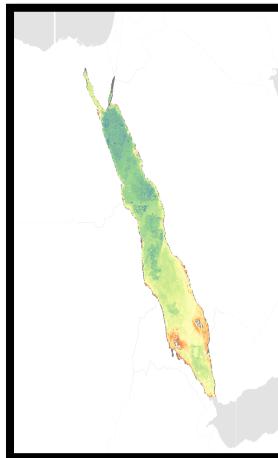
Thesis Objectives

**Developing and comparing dynamical and data-driven methods
for chlorophyll modeling and forecasting in the Red Sea**

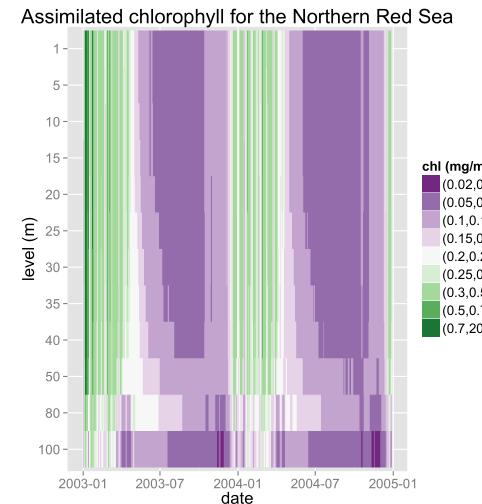
Machine Learning



Geostatistics



**1D Assimilated Ecological
models (ERSEM)**



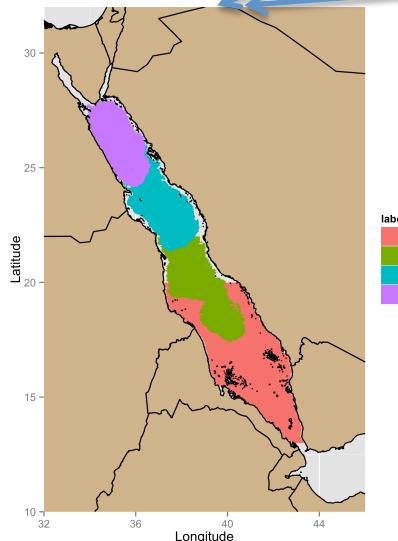
Chapter 1: Dataset building and Exploration

Raw Data

Rain SST SLA
CHL PAR IMI AOT

NAO EAWR
MEI SOI

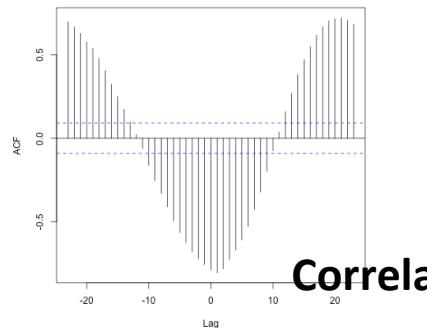
Projection
&
Aggregation



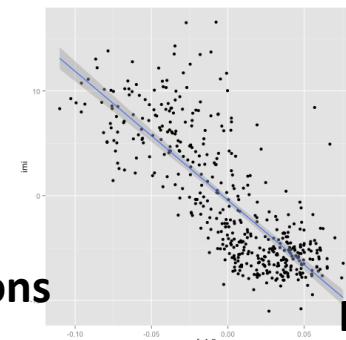
A screenshot of Microsoft Excel showing a data table. The columns are labeled A through I. The first few rows of data are:

X	year	week	par_1	par_2	par_3	par_4	imi	nao
2	7/4/02	2002	24	53.0852155	53.9037776	57.2209579	59.3511326	4.932625
3	7/12/02	2002	25	50.9046121	52.7977884	57.4969215	58.8921801	5.975125
4	7/20/02	2002	26	52.4542067	54.3532814	57.1628285	58.445091	1.4385
5	7/28/02	2002	27	51.9111803	54.7937172	56.939016	58.0412586	9.932875
6	8/5/02	2002	28	53.4598057	53.9137207	56.3181871	56.6633854	8.859375
7	8/13/02	2002	29	52.0793392	53.3074197	55.7797257	55.8180689	8.301125
8	8/21/02	2002	30	53.1263328	53.7644309	53.8625657	54.454177	7.111
9	8/29/02	2002	31	51.8415977	52.0189462	52.2482978	52.5761702	6.25875
10	9/6/02	2002	32	50.1430036	49.713456	51.0242819	51.700982	5.369375
11	9/14/02	2002	33	51.4671278	50.1766233	49.6490943	49.8245458	6.676375
12	9/22/02	2002	34	50.5689104	49.5188835	48.5511402	47.8564225	1.809125
13	9/30/02	2002	35	49.6401649	47.9394921	46.6139096	44.6374773	-3.110625
14	10/8/02	2002	36	48.6102897	46.9403593	45.2213921	43.3819803	2.9955
15	10/16/02	2002	37	46.6413253	44.7310544	42.9015123	41.4186738	-1.89725
16	10/24/02	2002	38	44.97601	41.943028	37.2839506	38.22082	-3.248625
17	11/1/02	2002	39	43.1076265	40.0166555	39.5403237	37.3461975	-4.070375
18	11/9/02	2002	40	42.2197616	39.1322737	37.77507	35.6338155	-2.317625
19	11/17/02	2002	41	39.6223615	32.6300001	35.1290367	33.0955105	-5.141
20	11/25/02	2002	42	38.6710258	35.6857447	34.4580066	30.9970331	-4.895625
21	12/2/02	2002	43	29.8605041	24.3671852	22.1680079	20.1220211	1.014

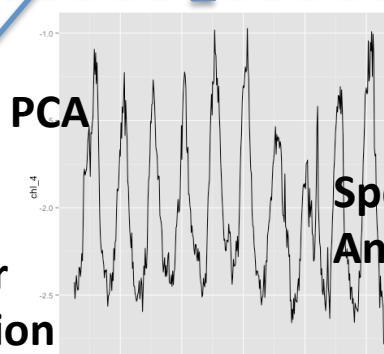
Analysis



Correlations

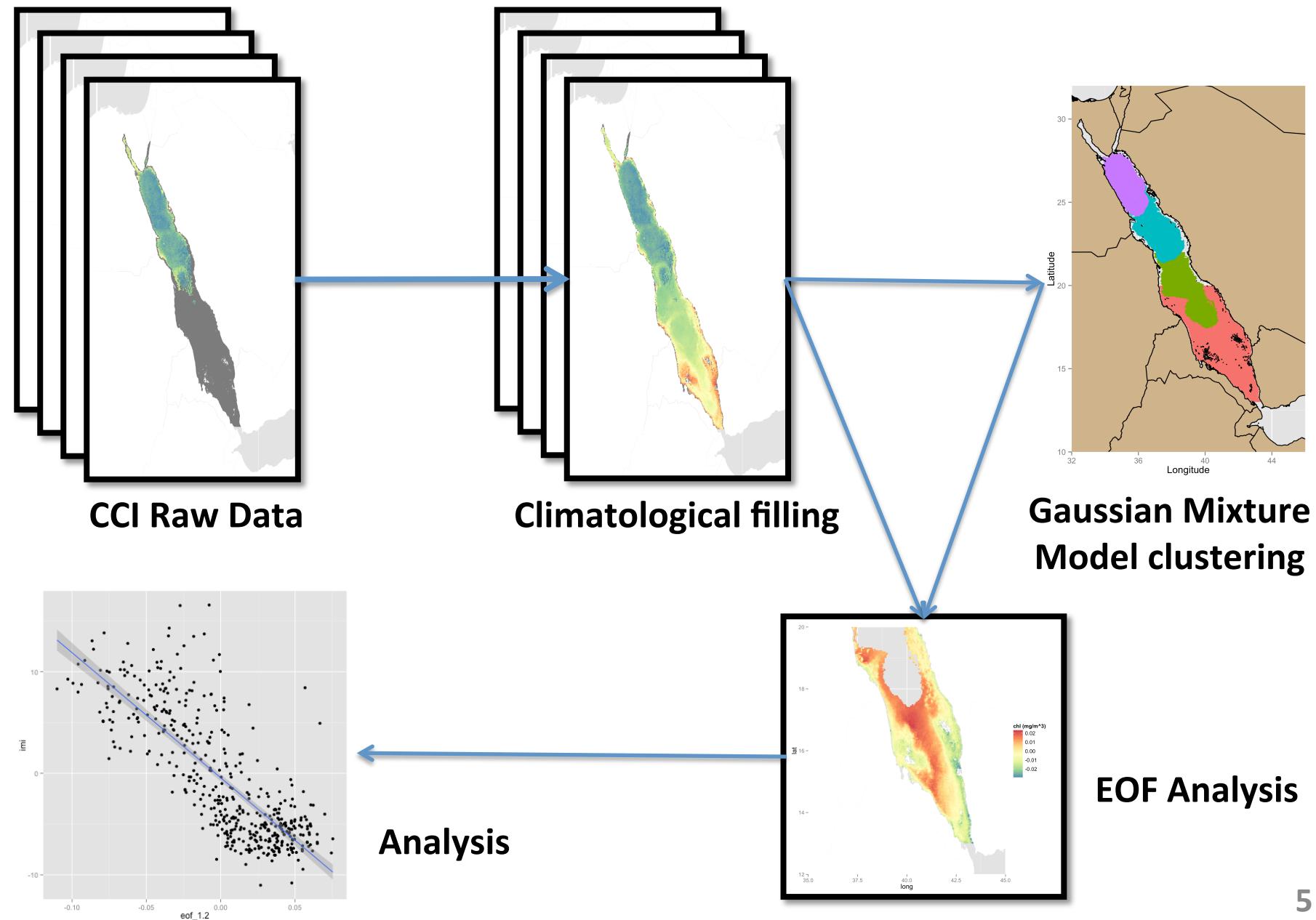


Linear
Regression



Spectral
Analysis

Chapter 1: Clustering and EOF computations



Chapter 2: Statistical Models for Chlorophyll Forecasting

Goal: 8-days ahead forecasting

$$\text{CHL}(t) = f(\text{CHL}(t-1), \text{SST}(t-1), \text{PAR}(t-1), \dots)$$



Models:

- Linear Regression
- GAM
- Tree Regression
- SVM
- Neural Networks

Dataset Preparation:

- Variable Selection
- Cross-validation

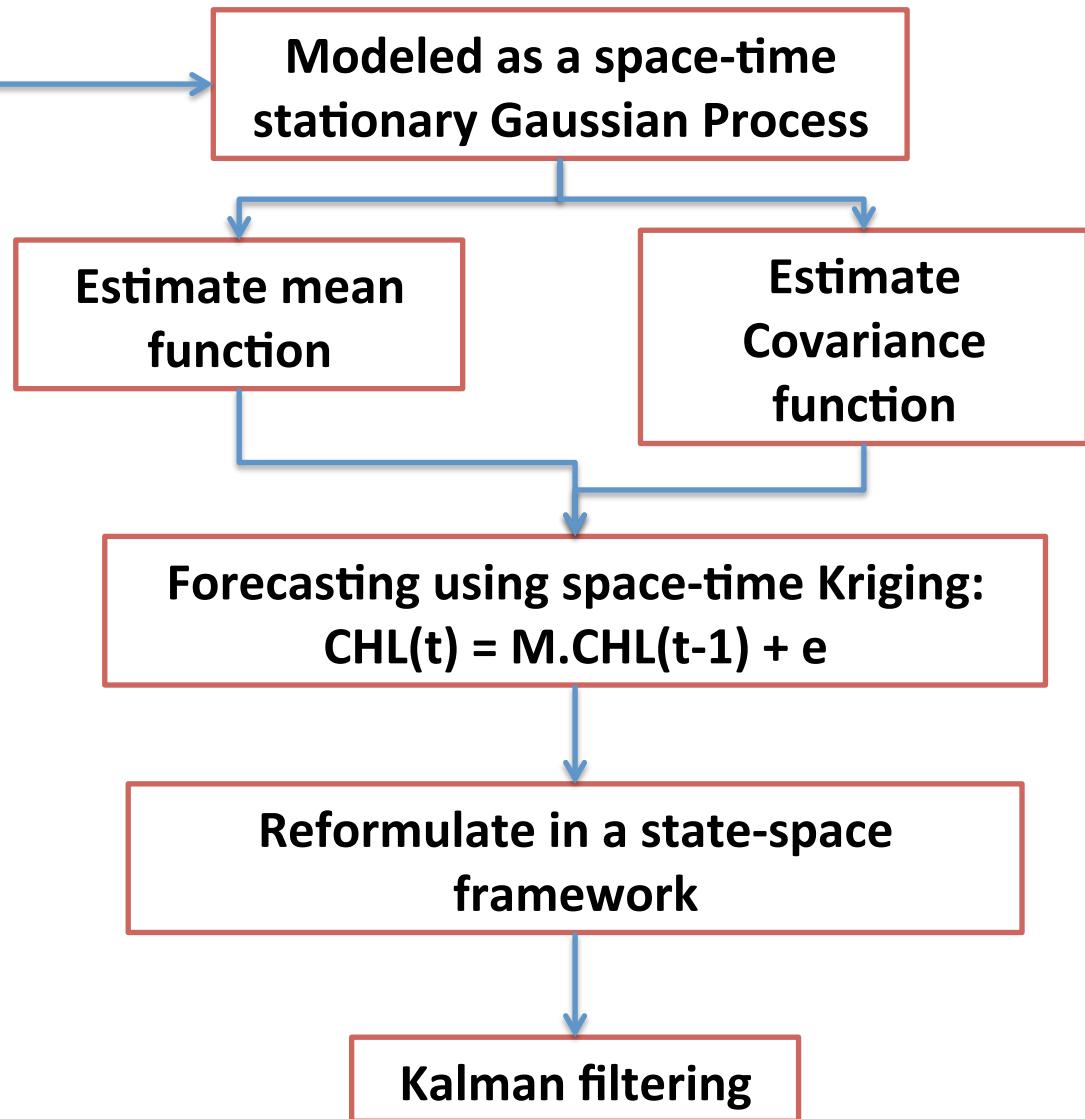
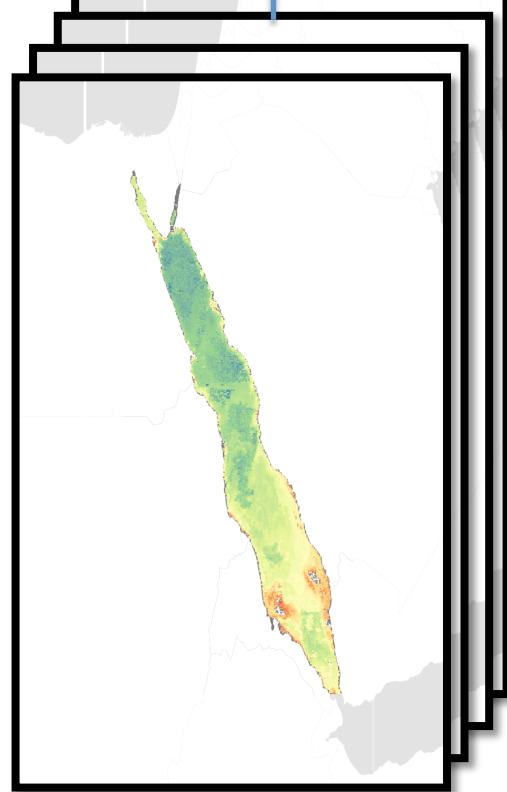


Evaluation:

- Prediction
- Computation
- Model interpretation



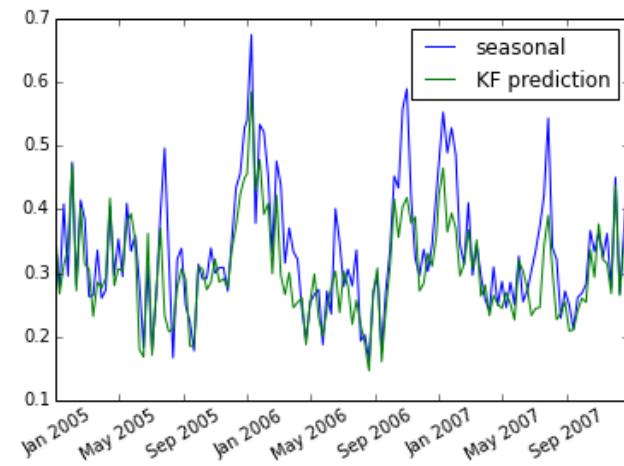
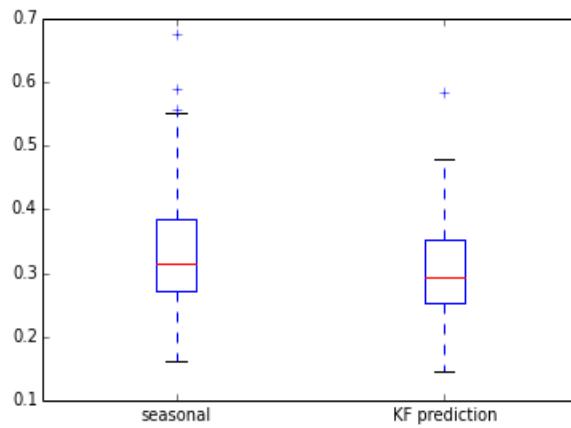
Chapter 3: Global Geostatistical Model for Chlorophyll Forecasting



Chapter 3: Global Geostatistical Model for Chlorophyll Forecasting

$$C(\mathbf{h}; u) = \begin{cases} \sigma^2 \exp(-c\|\mathbf{h}\|^{2\gamma}) & , \text{ if } u = 0, \\ \frac{\sigma^2 \tau}{a|u|^{2\alpha} + 1} \exp\left(-\frac{c\|\mathbf{h}\|^{2\gamma}}{(a|u|^{2\alpha} + 1)^{\beta\gamma}}\right) & , \text{ otherwise,} \end{cases}$$

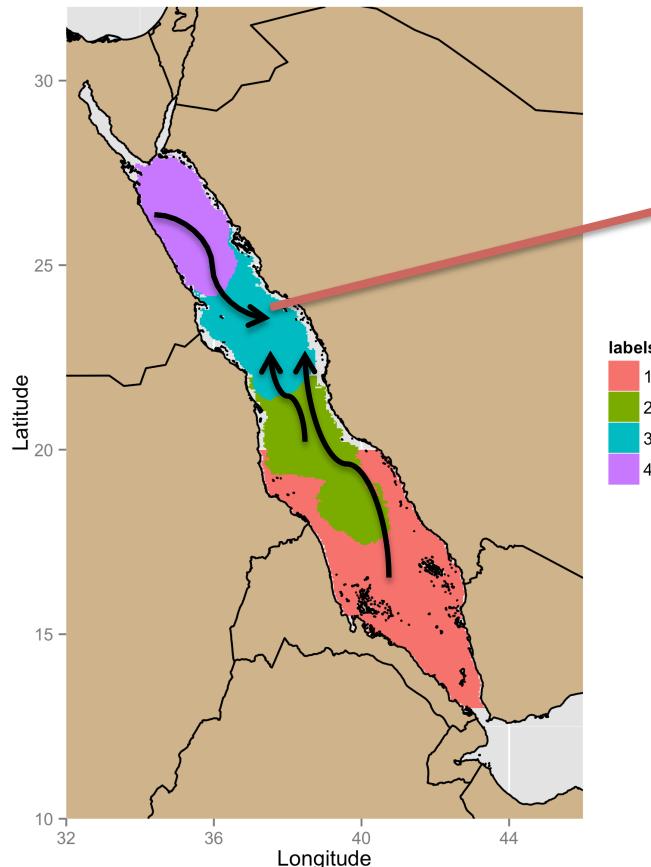
Covariance model fitted with WLS



The model reduces prediction errors over the climatological prediction

Chapter 4: Local Geostatistical Models for Chlorophyll Forecasting

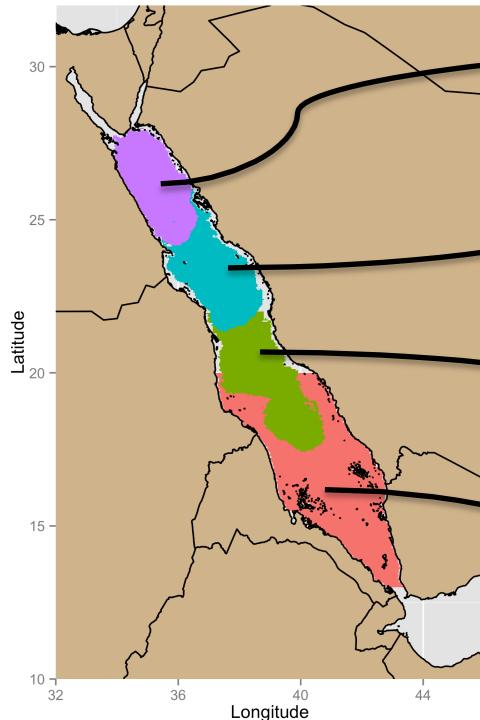
Goal: Improve stationary assumption



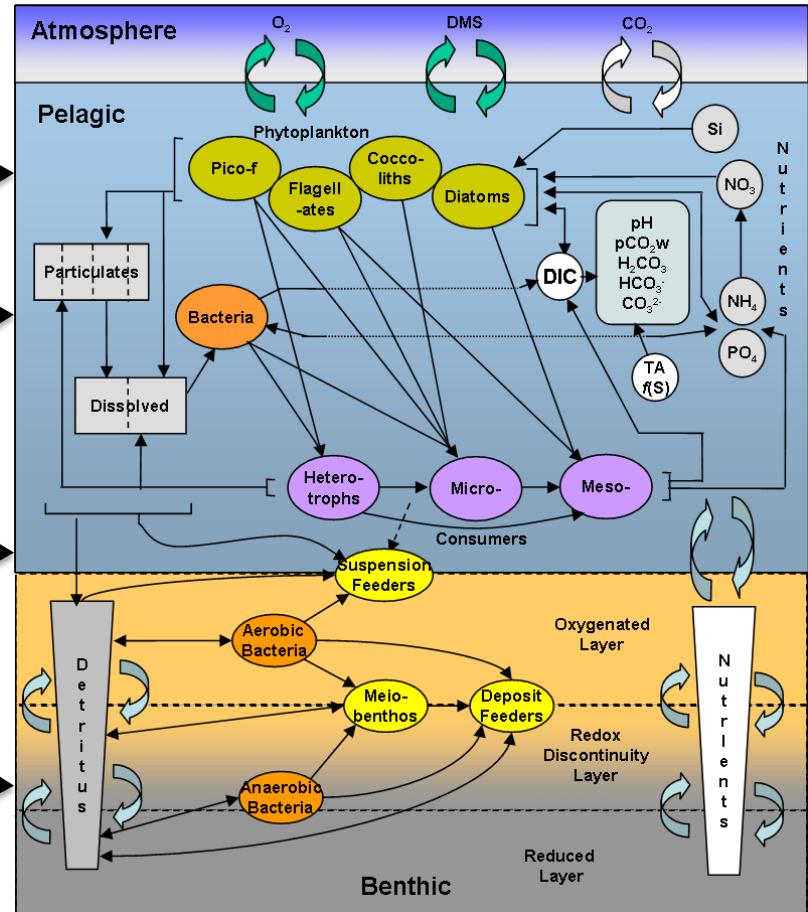
$\text{CHL}_3(s;t)$
Locally a stationary
Gaussian process

Challenge: Incorporate
other clusters information

Chapter 5: Assimilation of Regional 1D Ecological Models and Comparison with statistical Models



Developing 1D ERSEM
ecological models for each
cluster



Physical forcing from MITgcm

Chapter 5: Assimilation of Regional 1D Ecological Models and Comparison with statistical Models

$$\left\{ \begin{array}{l} \mathbf{x}_k = f_k(\mathbf{x}_{k-1} | \Theta) + \eta_k \\ \mathbf{y}_k = h_k(\mathbf{x}_k | \Theta) + \varepsilon_k \end{array} \right.$$

Modeling ecological processes and chlorophyll observation as a State-Space system



Examples of ensemble filtering and smoothing:
SEIK, SEEK, EnKF, hybrids, etc.

An Expectation-Maximization scheme for state/parameters estimations

E-step

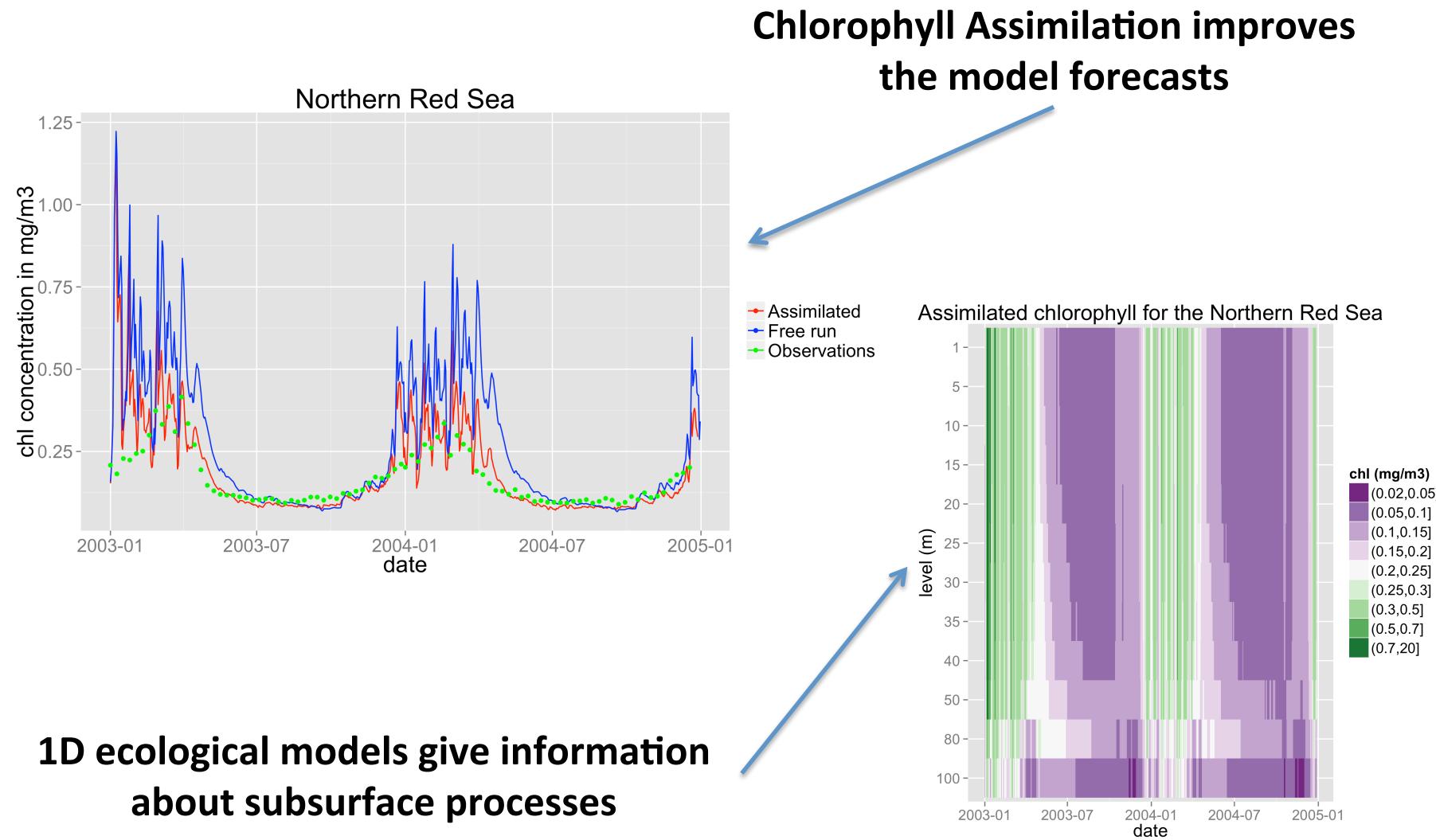
$$G(\Theta) = E_{|\Theta=\Theta^{(r)}} [\log p(\mathbf{x}_{0:K} | \Theta, \mathbf{y}_{1:K})]$$

M-step

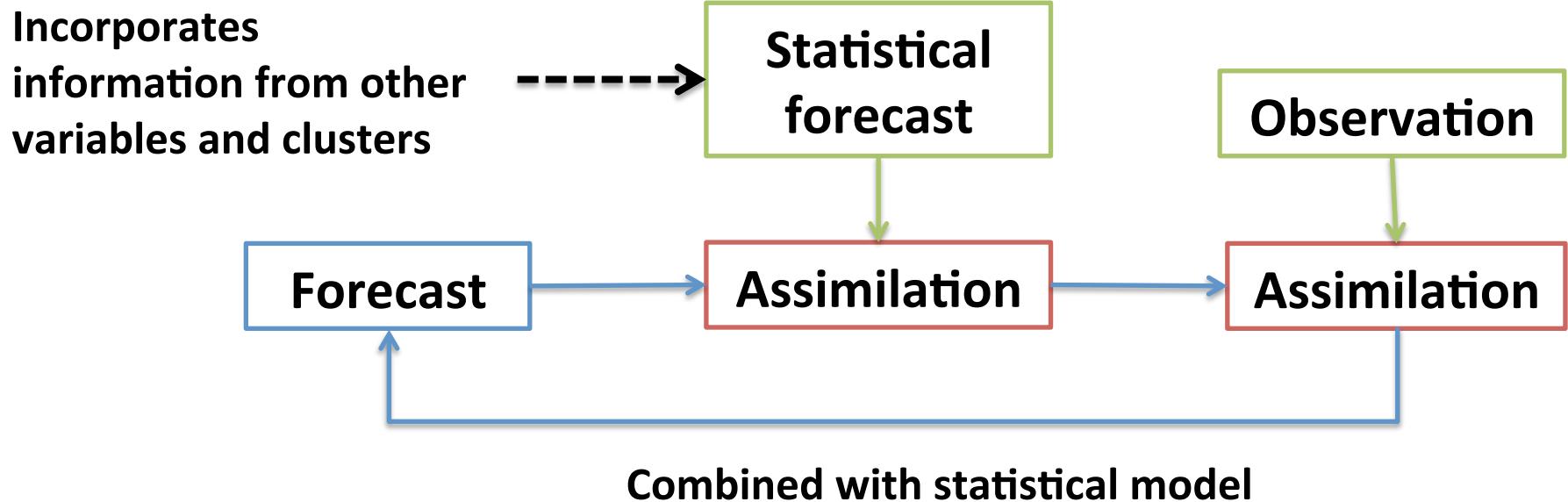
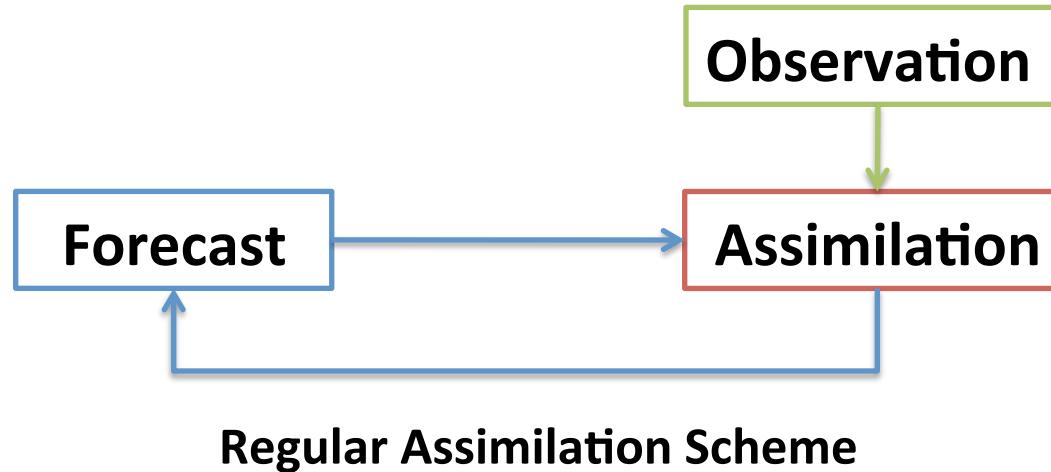
$$\Theta^{(r+1)} = \arg \max_{\Theta} G(\Theta).$$

Ensemble Kalman smoother

Chapter 5: Assimilation of Regional 1D Ecological Models and Comparison with statistical Models



Chapter 6: Combining Statistical and Data Assimilative Predictive Models



Chapter

Contribution

1) Dataset building and Exploration	<ul style="list-style-type: none">• Exhaustive dataset• Improved knowledge of the Red Sea Ecology
2) Statistical Models for Chlorophyll Forecasting	<ul style="list-style-type: none">• A benchmark for model comparison• Improved knowledge of the chlorophyll dynamics
3) Global Geostatistical Model for Chlorophyll Forecasting	<ul style="list-style-type: none">• Methodology to employ geostatistical modeling in a filtering framework• Characterization of the space-time chlorophyll data
4) Local Geostatistical Models for Chlorophyll Forecasting	<ul style="list-style-type: none">• A methodology to have different geostatistical models communicate
5) Assimilation of Regional 1D Ecological Models and Comparison with statistical Models	<ul style="list-style-type: none">• An efficient method to estimate the parameters of a 1D ecological model• An efficient alternative to 3D ecological systems
6) Combining Statistical and Data Assimilative Predictive Models	<ul style="list-style-type: none">• A method to improve the forecasts of assimilative ecological models• A methods to exchange information between 3D ecological models

Schedule and Conclusion

Timeline	Chapter	What has been done
	Chapter 3	Published
June 2015	Chapter 1	<ul style="list-style-type: none">- Data Filling- Clusters- Dataset
August 2015	Chapter 2	<ul style="list-style-type: none">- Dataset
November 2015	Chapter 5	<ul style="list-style-type: none">- Models and assimilation implemented- Clusters
February 2016	Chapter 6	
May 2016	Chapter 4	<ul style="list-style-type: none">- Clusters- Data Filling- Dataset