Quantum Optimization for the Vehicle Routing Problem (VRP)

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Research Proposal / Plan

1 Introduction

The Vehicle Routing Problem (VRP) is a fundamental combinatorial optimization challenge in logistics, aiming to determine the most efficient routes for a fleet of vehicles to service a set of customers. Over the years, VRP and its different versions have become a popular topic in research. However, since the problem can be defined in many versions (many ways with many different assumptions), researchers need to be aware that VRP itself has a broad range of variants.

Given its NP-hard[1] nature, classical algorithms often struggle with large-scale instances due to computational limitations. The City of Casey[2] provides detailed datasets on waste facilities, public litter bins, and collection areas, making it an ideal case study for applying Vehicle Routing Problem (VRP) optimization techniques. By integrating real-world constraints such as traffic conditions, weather, and road incidents, this study models a practical VRP instance. With the problem's NP-hard nature, classical approaches face computational challenges in large-scale optimization.

Quantum computing offers a paradigm for approaching and solving such problems. Algorithms like the Quantum Approximate Optimization Algorithm[3] (QAOA) and Quantum Walk[4]-based Optimization have shown promise in tackling NP-hard tasks. This research proposes to explore these quantum techniques to develop efficient approximation methods for the VRP.

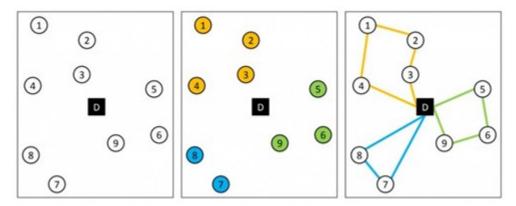
2 Scope and Boundaries

2.1 Vehicle Routing Problem

VRP has numerous real-world applications, including logistics, supply chain management, and last-mile delivery services. Various VRP variants exist, such as the Capacitated VRP (CVRP), Time-Window VRP (VRPTW), and Stochastic VRP (SVRP), each introducing

unique constraints that impact solution complexity. Classical methods struggle to find optimal solutions as problem size increases due to exponential computational growth.

This diagram is one method of finding solution to VRP[5]:



Unassigned Customers (Left Panel)

- Customers (represented by numbered circles) are scattered around a central depot (black square labeled "D").
- At this stage, no routes have been assigned.

Customer Clustering (Middle Panel)

- Customers are grouped into different sets based on their locations and other factors such as demand or distance (this is where heuristic comes into play).
- Each color represents a different vehicle that will serve a specific group (with certain heuristics) of customers.

Optimized Routes (Right Panel)

- Vehicles are assigned optimized routes that start and end at the depot ("D").
- Each route ensures that all customers are served efficiently while minimizing travel distance and cost.

2.2 Case Study: Waste Collection in the City of Casey

To analyze the Vehicle Routing Problem (VRP) in a practical setting, we consider a case study based on real-world waste collection services. The waste collection scenario is chosen due to its public, detailed, and structured dataset. The study focuses on the City of Casey, as this place offers granular data, an ideal dataset for modeling and validating VRP solutions.

The VRP model is constructed based on three key components: depots, customers, and routes:

• **Depots:** Waste collection facilities serve as depots, where vehicles begin and end their routes.

- Customers: Public litter bin locations represent customer nodes, where waste collection must be performed and combined with waste collection area data for comprehensive coverage, ensuring a comprehensive mapping of all waste pickup points across the city.
- Routes: Based on Victoria's road network, paths for vehicle travel between depots and collection points.

To enhance the model's accuracy and reflect real-world complexities, additional constraints can be introduced:

- Traffic: Higher traffic increases travel time.
- Weather (Rainfall): More rainfall slows travel.
- Road Crashes: Accidents cause delays.

The data of depots[2], customers[6, 7], routes[8], and constraints (traffic[9], weather[10], crashes[11]) can be imported from victoria open data.

2.3 Quantum Optimization

Quantum computing offers a novel approach to solving VRP by leveraging quantum superposition and entanglement to explore multiple solutions simultaneously. Quantum algorithms like QAOA and Quantum Walk-based methods have shown potential in improving combinatorial optimization efficiency. However, implementing these algorithms for practical VRP scenarios requires addressing efficiency, scalability, and quantum hardware limitations.

3 Background and Challenges

3.1 Classical Approaches to VRP

Traditional methods for solving the VRP include:

- Exact Algorithms: Techniques such as Branch-and-Bound for CVRP[12].
- Metaheuristic Approaches[13]: Algorithms like Genetic Algorithms[13] (GA) and Ant Colony Optimization[13] (ACO) offer approximate solutions more feasibly but may not guarantee optimality.

3.2 Quantum Programming Approaches to VRP

Quantum Optimization Techniques can offer a better solution than a classical one. Several approaches have been proposed to explore the advantages of quantum algorithms over classical optimization methods, particularly for NP-hard problems like the Vehicle Routing Problem (VRP):

- Quantum Approximate Optimization Algorithm (QAOA): Designed to find approximate solutions to combinatorial problems[3] by leveraging quantum superposition and entanglement.
- Quantum Walk-based Optimization: Quantum walks, the quantum analog of classical random walks, have been applied to search and optimization problems[14], offering potential speedups over classical methods.
- Variational Quantum Eigensolver[15] (VQE): Studied for solving VRP, though currently limited to small instances.
- Quantum Annealing[16]: A novel QUBO formulation[16] has been proposed for CVRP, incorporating a time-table representation to model the time evolution of each vehicle.

4 Research Objectives

- 1. Develop quantum optimization approaches for VRP (in this case, waste collection) using Quantum Algorithms.
- 2. Compare quantum and classical algorithms by analyzing solution quality, computational efficiency, and scalability.
- 3. Investigate the effectiveness of quantum approximations in solving VRP and their ability to find near-optimal solutions.
- 4. Implement a quantum-based VRP solution with the potential to outperform classical methods in specific scenarios.

5 Research Questions

- RQ1: How can spatial datasets be transformed into a VRP formulation suitable for quantum optimization?
- RQ2: How can quantum optimization techniques such as QAOA, Quantum Walk, VQE, and/or Quantum Annealing provide a more effective solution for VRP compared to classical methods?
- RQ3: In terms of efficiency and scalability, how do quantum algorithms compare to state-of-the-art classical VRP solvers?
- RQ4: What are the key limitations of using quantum algorithms for VRP, and how can these challenges be addressed?

6 Literature Review

6.1 Quantum Approximate Optimization for VRP

QAOA is useful for VRP because it can efficiently find approximate solutions to complex optimization problems, including route planning. VRP involves selecting the best paths for multiple vehicles while considering constraints like distance, capacity, and time windows. Since VRP is an NP-hard problem, classical algorithms struggle to solve large instances efficiently. QAOA leverages quantum superposition and interference to explore multiple possible solutions simultaneously, potentially finding good routes faster than classical heuristics.

Mathematically, QAOA encodes the VRP as a cost function H_C , which represents the total travel distance or other constraints, and a mixing function H_M , which helps the algorithm explore different routes. The quantum circuit is defined as:

$$|\psi(\gamma,\beta)\rangle = U_M(\beta_p)U_C(\gamma_p)\cdots U_M(\beta_1)U_C(\gamma_1)|s\rangle$$

where $U_C(\gamma) = e^{-i\gamma H_C}$ encodes the VRP constraints, and $U_M(\beta) = e^{-i\beta H_M}$ allows transitions between different solutions. Fitzek et al.[17] applied this method to handle vehicle-specific constraints, improving efficiency. Leonidas et al.[18] optimized QAOA for small quantum devices by reducing qubit requirements. Farhi et al.[3] originally introduced QAOA, showing that deeper circuits can improve results, though real quantum hardware still has limitations.

6.2 Quantum Walk-based Approaches

Quantum walks, which serve as a quantum analog to classical random walks, have been explored for VRP optimization. Quantum walks are useful for VRP because they can explore large search spaces efficiently by leveraging quantum interference and superposition. Unlike classical random walks, where the probability of moving to a new state depends only on the previous step, quantum walks maintain phase coherence, allowing for faster propagation through the solution space. The evolution of a discrete-time quantum walk is governed by the unitary operator:

$$U = S \cdot (I \otimes C)$$

where S is the shift operator that moves the walker between states, and C is the coin operator that controls the direction of movement. Bennett et al.[14] applied this framework to vehicle routing, demonstrating that quantum walks can help find optimal paths by efficiently traversing possible routes. Marsh and Wang[4] introduced an approximation method based on quantum walks, showing its applicability to bounded NP-hard problems. In a later study, they improved the efficiency of combinatorial optimization by refining the quantum walk formulation to enhance solution quality[19]. These works suggest that quantum walks could provide speedups for VRP compared to classical heuristics, though practical implementation remains limited by current quantum hardware constraints.

6.3 Quantum Annealing for VRP

Quantum annealing has been another area of research in VRP optimization. Tambunan et al. (2023) investigated quantum annealing for VRP with weighted segments, focusing

on integrating dynamic constraints within the optimization process. Similarly, Irie et al. (2019) formulated a Quadratic Unconstrained Binary Optimization (QUBO) model for VRP with time, state, and capacity constraints, showcasing quantum annealing's applicability in complex routing scenarios.

Quantum annealing (QA) is a heuristic algorithm used for solving optimization problems. It relies on the principle of quantum mechanics, specifically the quantum superposition and tunneling phenomena, to find the global minimum of a cost function. The general form of a Hamiltonian used in quantum annealing for VRP can be expressed as:

$$H(t) = A(t)H_P + B(t)H_D$$

where H_P is the problem Hamiltonian that encodes the VRP objective and constraints, and HD is the driver Hamiltonian that governs the evolution of the quantum state. The functions A(t) and B(t) control the mixing between these Hamiltonians during the annealing process. As the annealing progresses, the system ideally transitions into the lowest energy state, providing a solution to the VRP. Recent studies, such as those by Irie et al.[16] and Tambunan et al.[20], demonstrate the viability of quantum annealing in addressing complex VRP instances with dynamic and weighted constraints, highlighting its potential as a tool for optimization in real-world logistics applications.

6.4 Hybrid and Machine Learning Approaches

Beyond traditional quantum techniques, researchers have explored hybrid quantum-classical methods and machine learning integration for VRP. Hybrid methods, such as QSVMs and VQAs, offer promising approaches. For example, the decision function for QSVM is given by:

$$f(x) = \operatorname{sgn}\left(\sum \alpha_i y_i \langle \phi(x_i) | \phi(x) \rangle + b\right)$$

where $\langle \phi(x_i) | \phi(x) \rangle$ represents the inner product between quantum states, and α_i and b are parameters determined through training. This approach enhances the accuracy of route classification, improving overall VRP optimization. On the other hand, VQAs utilize parameterized quantum circuits in combination with classical optimization algorithms to iteratively adjust quantum states and minimize the objective function. The quantum circuit's parameters are adjusted using a classical optimizer to solve for the optimal routing decisions under given constraints. These hybrid methods take advantage of quantum computing's potential for high-dimensional state space exploration, while maintaining practical feasibility in hardware-limited environments, as demonstrated by Alsaiyari and Felemban[15] and Mohanty et al.[21].

6.5 Conclusion

The exploration of quantum algorithms for VRP has revealed promising directions, particularly with QAOA and quantum annealing. While current research demonstrates theoretical advantages over classical methods, practical implementations remain limited by hardware

constraints. Future work should focus on large scale VRP application which needs improvement in qubit efficiency, hybrid algorithm designs, and benchmarking against state-of-the-art classical solvers to fully leverage quantum computing.

7 Detailed Methodology: QAOA for VRP

7.1 Vehicle Routing Problem and Graph Representation

The Vehicle Routing Problem (VRP) is a classic optimization challenge that involves determining the most efficient routes for one or more vehicles to serve a set of customers. For simplicity, we've focused on a scenario with a single depot and a single vehicle. Our input consists of customer and depot coordinates, which define their spatial locations.

To translate this spatial problem into a format suitable for computation, we treat each customer and the depot as a node in a graph. The routes connecting these nodes are represented as edges, with weights assigned to each edge to reflect the distance or travel time between the nodes. Classical algorithms, such as Dijkstra's or A*, can be used to construct this graph and calculate the edge weights.

For n customers and 1 depot, the graph can be represented as an NxN (square) matrix where N equals n+1, where each element in the matrix, row i and column j corresponds to the weight of the edge between nodes i and j. The matrix will be symmetric with zeros in the diagonal, meaning that the transpose will equal to the matrix itself

7.2 Quantum Approximate Optimization Algorithm (QAOA) Overview

QAOA is a quantum algorithm (or hybrid quantum-classical algorithm to be exact) designed to find approximate solutions to combinatorial optimization problems. Unlike exact quantum algorithms, QAOA aims to find good (but not necessarily best/optimal) solutions within a reasonable timeframe.

The QAOA process involves:

- 1. **Cost Function**: Defining a cost function that represents the problem's objective. In our VRP, this function measures the total distance or travel time of a route.
- 2. Hamiltonian: Encoding the cost function into a quantum Hamiltonian.
- 3. Quantum Circuit: Constructing a parameterized quantum circuit that alternates between applying the cost Hamiltonian and a mixing Hamiltonian.
- 4. **Optimization**: Iteratively adjusting the parameters (gamma and beta) of the quantum circuit to minimize the cost function, using a classical optimizer.
- 5. **Approximate Solution**: Extracting an approximate solution from the final quantum state.

Key features of QAOA include:

- **Approximate Solutions**: QAOA provides approximate (not necessarily optimal) solutions.
- Parameterized Circuits: The quantum circuit's parameters (gamma and beta) are optimized classically.
- **Depth** (**p**): The depth of the QAOA circuit determines the number of iterations and affects the solution's quality.

7.3 VRP Representation in Quantum Qubits

To apply QAOA to the VRP, we need to represent the problem using quantum qubits. A naive approach is to represent all possible edges between nodes. However, this is not optimal.

- Graph Representation in Matrix: As mentioned earlier, we use an (n+1) x (n+1) matrix to represent the graph.
- Qubit Allocation: The key challenge is to efficiently encode the order of customer visits. Using n+1 qubits is insufficient. VRP requires representing not just binary choices, but the order of visits. We found that $2^k > n!$, where k is the number of qubits, is sufficient. This is because there are n! possible permutations of order in n customers. The easiest way to find k is to use the matrix number of element. If $k = (n+1)^2$, 2k will grow much faster than n! (We can proof this using mathematical ratio with techniques such as stirling's approximation and logarithm)

7.4 Cost Function

The cost function in our QAOA implementation of the VRP is designed to minimize the total travel distance while ensuring the solution represents a valid route. This involves two main components:

- 1. **Distance Cost:** This term represents the total travel distance, which we aim to minimize.
- 2. Constraint Penalties: These terms penalize invalid routes that violate the VRP's constraints, such as visiting a customer more than once or not visiting a customer at all.

Let's consider representing the connections between locations using binary variables x_{ij} , where $x_{ij} = 1$ if we travel from location i to location j, and $x_{ij} = 0$ otherwise. Let d_{ij} be the distance between location i and location j. We can formulate the cost function as:

$$C(x) = A\sum_{i}(d_{ij} \cdot x_{ij}) + B\sum_{i}\left(\sum_{j}x_{ij} - 1\right)^{2} + C\sum_{i}\left(\sum_{j}x_{ji} - 1\right)^{2}$$

where A, B, C are penalty parameters. In most cases, it makes sense to set B = C.

7.5 Quantum Circuit and Hamiltonian

The cost function C(x) is translated into a quantum Hamiltonian H_C by replacing the binary variables x_{ij} with Pauli-Z operators. The quantum circuit alternates between the cost Hamiltonian and a mixing Hamiltonian, usually using a rotation gate. The depth p determines the number of layers. The unitaries used are:

$$U_C(\gamma) = e^{-i\gamma H_C}, \quad U_M(\beta) = e^{-i\beta H_M}$$

The γ (gamma) parameter as well as β (beta) in the QAOA circuit control the evolution under the cost and mixing Hamiltonians, respectively. We can start with random initial values for these parameters and then optimize them using a classical optimizer.

7.6 Memory Problem

We encountered a significant memory problem when attempting to simulate the QAOA circuit for even a small VRP instance (5 customers, 1 depot). The PennyLane simulator attempts to store the entire quantum state vector, requiring 2^k complex amplitudes. For n = 5, k = 36, which leads to:

$$2^{36} \cdot 16$$
 bytes = 2^{40} bytes = 1 Terabyte

Therefore, using $(n+1)^2$ qubits would have resulted in a memory requirement of exactly 1 Terabyte. This is of course impractical. So we need to find another k where 2k > n!.

7.7 Comparison of Operation Number (Classical vs. Quantum)

- Classical: Classical algorithms for the VRP, such as exhaustive search, require exploring all possible permutations, resulting in approximately (n + 1)! operations for n customers.
- Quantum: QAOA aims to find approximate solutions with potentially fewer operations, but the exact reduction depends on the problem instance and QAOA parameters. The higher the depth p, the higher the complexity. The circuit qubit requirements and circuit complexity will also increase. The total quantum gate operation is estimated as $s \cdot p \cdot (n+1)^2$ operations (which is less than (n+1)!), with controlled iterations s and circuit depth p.

7.8 Other Notes: Using v Vehicles and d Depots

Extending to multiple depots and vehicles, we get:

$$T(n, d, v)_{Classical} \approx (n+d)! \cdot v^n$$

$$T(n, d, v, p, s)_{Quantum} \approx s \cdot p \cdot v \cdot (n+d)^2$$

- Classical: With v vehicles and d depots, the classical problem's complexity increases significantly, as we need to consider all possible assignments of customers to vehicles and depots.
- QAOA: QAOA can potentially handle this increased complexity, but the qubit requirements and circuit complexity will also increase. We would need to encode the vehicle assignments and depot selections into the quantum state.

8 Proposed Methodology

8.1 Quantum Computing Approaches

- Formulation: Represent the VRP in a graph format which suitable for QAOA, Quantum Walk algorithms, and VQE.
- Theoretical Calculations: Analyze mathematical models and complexity to understand how quantum algorithms can outperform classical methods in VRP domain. This includes identifying cases where quantum optimization provides faster solutions or better approximations.
- Implementation: Develop and simulate quantum circuits using platforms like Penny-Lane or Qiskit (or other compatible library)

8.2 Benchmarking Strategy

- Classical Comparison: Utilize established solution using classical methods to obtain baseline solutions.
- Performance Metrics: Compare quantum and classical approaches based on solution quality, computational time, and scalability.

8.3 Datasets

- Data Acquisition: Employ real-world datasets from public sources [2, 6, 7, 8, 9, 10, 11].
- Data Processing: Transform raw data into graph representations that will be compatible with quantum algorithms.

9 Expected Outcomes and Contributions

- Development of quantum-based approximation methods: Providing new strategies for solving VRP using QAOA.
- Comparative Analysis: Offering insights into the practical advantages and limitations of quantum (in this case, QAOA) versus classical approaches for VRP.

10 Challenges and Limitations

Quantum Hardware Constraints: Real quantum cloud is expensive. Use a simpler graph to simulate in real quantum computer and use a simulator (or a pseudo-theoritical calculations) to simulate bigger graph.

11 Approximate Timeline

First Semester (50 Points):

- Week 1: Topic Formulation
 - Finalize research topic and objectives.
 - Output: Topic finalized by end of week 1.
- Week 2–4: Literature review.
 - Explore quantum algorithms and circuits (especially QAOA and Quantum Annealing)
 - Review existing research on quantum optimization for VRP
 - Output: Gain more hands-on experience with quantum algorithms.
- Week 5–6: Research Methodology development.
 - Develop research methodology to approach VRP using quantum optimization.
- Week 7–8: Research Proposal / Plan Writing.
 - Write research proposal with objectives, methodology, and case study specifics.
 - Output: Research proposal submitted by end of week 8.
- Week 9–11: Data acquisition.
 - Collect data from real-world sources (City of Casey waste collection, road networks, etc.).
 - Output: Structured dataset for VRP.
- Week 11: Oral Presentation
 - Prepare and deliver a 10-15 minute oral presentation on research progress.
- Week 12: Continuing quantum algorithms formulation.

Second Semester (50 Points):

- Week 1–3: Data Translation (Spatial to VRP Graph)
 - Translate spatial data into a VRP-compatible graph.
 - Output: Transformed VRP data representation.

- Week 1–5: Quantum Algorithm Formulation
 - Develop quantum optimization algorithms (QAOA, Quantum Walk) for VRP.
 - Start with basic formulations and progress to complex VRP instances.
 - Output: Working quantum algorithms.
- Week 6–8: Benchmarking Strategy
 - Design a strategy to compare quantum optimization results with classical algorithms.
 - Collect performance metrics (solution quality, computational time, scalability).
- Week 8–10: Algorithm Optimization
 - Refine quantum algorithms to improve performance and scalability.
- Week 11–12: Thesis Defense
 - Final Week: Thesis Submission.
 - Output: Complete and submit a written thesis (25,000–30,000 words).

RESEARCH PROJECT TIMELINE Quantum Optimisation for the Vehicle Routing Problem District Annual Programmed Programme 1450107

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