

# 1

## Spectral sequences

Spectral sequences are a powerful computation tool in topology. Computing with spectral sequences is a bit like computing integral in calculus; it is helpful to have ingenuity and a big bag of tricks - and even that may not be enough!

### 1.1 Filtered complexes

We begin our discussion on spectral sequences by discussing filtered complexes.

*1.1.1 Remark.* Let  $C_\bullet$  be a chain complex and  $F_0 C_\bullet$  a sub-complex. Then we have a short exact sequence

$$0 \rightarrow F_0 C_\bullet \rightarrow C_\bullet \rightarrow C_\bullet / F_0 C_\bullet \rightarrow 0$$

which gives rise to a long exact sequence in homology

$$\cdots \rightarrow H_i(F_0 C_\bullet) \rightarrow H_i(C_\bullet) \rightarrow H_i(C_\bullet / F_0 C_\bullet) \xrightarrow{\partial} H_{i-1}(F_0 C_\bullet) \rightarrow \cdots$$

Suppose we know  $H_*(F_0 C_\bullet)$  and  $H_*(C_\bullet / F_0 C_\bullet)$ . Can we compute  $H_*(C_\bullet)$ ? We can split the long exact sequence into short exact sequences

$$0 \rightarrow \text{coker}(\partial) \rightarrow H_*(C_\bullet) \rightarrow \ker(\partial) \rightarrow 0$$

which gives the following procedure for computing  $H_*(C_\bullet)$ :

1. Compute  $H_*(F_0 C_\bullet)$  and  $H_*(C_\bullet / F_0 C_\bullet)$
2. Consider the two-term chain complex

$$H_*(C_\bullet / F_0 C_\bullet) \xrightarrow{\partial} H_*(F_0 C_\bullet).$$

Denote its homology groups by  $G_1 H_*$  and  $G_0 H_*$ .

3. There is a short exact sequence

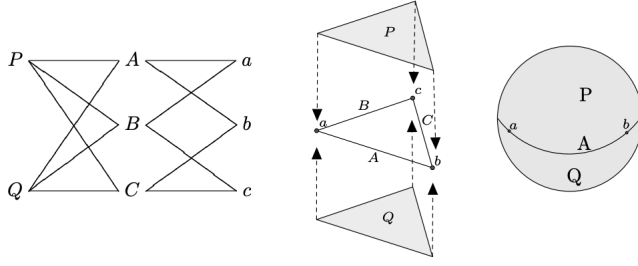
$$0 \rightarrow G_0 H_* \rightarrow H_*(C_\bullet) \rightarrow G_1 H_* \rightarrow 0.$$

This determines  $H_*(C_\bullet)$  up to extension.<sup>1</sup>

How would we handle the situation if we have a longer filtration:

$$\cdots F_p C_\bullet \subseteq F_{p+1} C_\bullet \subseteq \cdots ?$$

<sup>1</sup> This is a common phenomenon for a spectral sequence. For example, if we have a short exact sequence  $0 \rightarrow \mathbb{Z}/2 \rightarrow M \rightarrow \mathbb{Z}/2 \rightarrow 0$ , can you say what the middle group is? Not without further information!

Figure 1.1: Simplicial model of  $S^2$ 

**1.1.2 Example.** Consider a (semi-simplicial) model of the 2-sphere  $S^2$  with vertices  $\{a, b, c\}$ , edges  $\{A, B, C\}$  and solid triangles  $\{P, Q\}$  and with inclusions as soon in Figure 1.1.<sup>2</sup> The associated chain complex is  $C_\bullet$ .

$$0 \rightarrow \mathbb{Z}\{P, Q\} \xrightarrow{d} \mathbb{Z}\{A, B, C\} \xrightarrow{d} \mathbb{Z}\{a, b, c\} \rightarrow 0$$

with

$$d(P) = C - B + A \quad d(Q) = C - B + A$$

and

$$d(A) = b - a \quad d(B) = c - a \quad d(C) = c - b.$$

One can check directly that  $H_i(C_\bullet; \mathbb{Z}) \cong \mathbb{Z}$  for  $i = 0, 2$  and is zero otherwise. Alternatively, we use the following filtration:

$$\begin{aligned} 0 &\rightarrow \mathbb{Z}\{P, Q\} \rightarrow \mathbb{Z}\{A, B, C\} \rightarrow \mathbb{Z}\{a, b, c\} \rightarrow 0 \\ 0 &\longrightarrow 0 \longrightarrow \mathbb{Z}\{A, B\} \longrightarrow \mathbb{Z}\{a, b, c\} \rightarrow 0 \\ 0 &\longrightarrow 0 \longrightarrow \mathbb{Z}\{A\} \longrightarrow \mathbb{Z}\{a, b\} \rightarrow 0. \end{aligned}$$

The differentials are induced from  $d_1$  and  $d_2$  and a direct check shows that they are still chain complexes. Passing to the quotient, we get a chain complex we call  $E_0$ :

$$\begin{aligned} 0 &\rightarrow \mathbb{Z}\{P, Q\} \rightarrow \mathbb{Z}\{C\} \longrightarrow 0 \longrightarrow 0 & d_0(P) = C, d_0(Q) = C \\ 0 &\longrightarrow 0 \longrightarrow \mathbb{Z}\{B\} \longrightarrow \mathbb{Z}\{c\} \rightarrow 0 & d_0(B) = c \\ 0 &\longrightarrow 0 \longrightarrow \mathbb{Z}\{A\} \rightarrow \mathbb{Z}\{a, b\} \rightarrow 0 & d_0(A) = b - a. \end{aligned}$$

Taking homology with respect to  $d_0$  we obtain  $E^1$ :

$$\begin{aligned} 0 &\rightarrow \mathbb{Z}\{P - Q\} \rightarrow 0 \longrightarrow 0 \longrightarrow 0 \\ 0 &\longrightarrow 0 \longrightarrow 0 \longrightarrow 0 \longrightarrow 0 \\ 0 &\longrightarrow 0 \longrightarrow 0 \rightarrow \mathbb{Z}\{\bar{a}\} \rightarrow 0. \end{aligned}$$

The general theory of spectral sequences will tell us that we have computed the homology of  $H_*(C_\bullet)$ ; there is a  $\mathbb{Z}$  in degree 2, generated by  $P - Q$  and a  $\mathbb{Z}$  in degree 0, generated by  $\bar{a}$ .

This leads us to the theory of filtered modules.

**1.1.3 Definition.** A filtered  $R$ -module is an  $R$ -module  $A$  together with an increasing sequence of submodules  $F_p A \subseteq F_{p+1} A$  indexed by  $p \in \mathbb{Z}$  such that  $\cup_p F_p A = A$  and  $\cap_p F_p A = \{0\}$ . The filtration is

<sup>2</sup> This example comes from Example 2.1 of <https://arxiv.org/pdf/1702.00666.pdf>.

bounded if  $F_p A = \{0\}$  for  $p$  sufficiently small, and  $F_p A = A$  for  $p$  sufficiently large. The associated graded module is defined by

$$G_p A = F_p A / F_{p-1} A.$$

**1.1.4 Definition.** A filtered chain complex is a chain complex  $(C_\bullet, \partial)$  together with a filtration  $\{F_p C_i\}$  of each  $C_i$  such that the differential preserves the filtration:  $\partial(F_p C_i) \subseteq F_p C_{i-1}$ . Then,  $\partial$  induces  $\partial: G_p C_i \rightarrow G_p C_{i-1}$  on the associated graded modules.

**1.1.5 Remark.** The filtration on  $C_\bullet$  induces a filtration on the homology of  $C_\bullet$  by

$$F_p H_i(C_\bullet) = \{\alpha \in H_i(C_\bullet) \mid \exists x \in F_p C_i, \alpha = [x]\}.$$

This has associated graded pieces  $G_p H_i(C_\bullet)$ .

**1.1.6 Remark.** Suppose we want to compute  $H_*(C_\bullet)$  and that we can compute the homology of the associated graded pieces  $H_*(G_p C_\bullet)$ . Does this determine  $G_p H_*(C_\bullet)$ ? This leads to the idea of the spectral sequence of a filtered complex.

## 1.2 The spectral sequence of a filtered complex

**1.2.1 Definition.** Let  $(F_p C_\bullet, \partial)$  be a filtered chain complex. Let us write

$$E_{p,q}^0 := G_p C_{p+q} = F_p C_{p+q} / F_{p-1} C_{p+q}.$$

The differential  $\partial$  induces a differential on  $E^0$ ,

$$\partial_0: E_{p,q}^0 \rightarrow E_{p,q-1}^0.$$

We denote the homology of the associated graded by

$$E_{p,q}^1 := H_{p+q}(G_p C_\bullet, \partial_0).$$

**1.2.2 Remark.** We can think of  $E_{p,q}^1$  as a "first order approximation" to  $H_*(C_\bullet)$ . We can also define a differential

$$\partial_1: E_{p,q}^1 \rightarrow E_{p-1,q}^1$$

as follows: a homology class  $\alpha \in E_{p,q}^1$  can be represented by a chain  $x \in F_p C_{p+q}$  such that  $\partial x \in F_{p-1} C_{p+q-1}$ . We define  $\partial_1(\alpha) = [\partial x]$ . Because  $\partial^2 = 0$ , we can check that  $\partial_1^2 = 0$  and that  $\partial_1$  is well defined.

**1.2.3 Definition.** With notation as above, we define

$$E_{p,q}^2 = \ker(\partial_1: E_{p,q}^1 \rightarrow E_{p-1,q}^1) / \text{im}(\partial_1: E_{p+1,q}^1 \rightarrow E_{p,q}^1).$$

**1.2.4 Remark.** We can continue this procedure, and define an "r"-th order approximation to  $G_p H_{p+q}(C_\bullet)$  by

$$E_{p,q}^r = \frac{x \in F_p C_{p+q} \mid \partial x \in F_{p-r} C_{p+q-1}}{F_{p-1} C_{p+q} + \partial(F_{p+r-1} C_{p+q+1})}.$$

The notation denotes the quotient of the numerator by the intersection with the denominator.

So instead of considering cycles, we consider chains in  $F_p$  whose differentials vanishes "to order  $r$ ", and instead of modding out by the entire image, we only mod out by  $\partial(F_{p+r-1})$ .

The main result regarding these groups is the following.

**1.2.5 Lemma.** *Let  $(F_p C_\bullet, \partial)$  denote a filtered chain complex, and define  $E_{p,q}^r$  as above. Then,*

1.  $\partial$  induces a map

$$\partial_r: E_{p,q}^r \rightarrow E_{p-r,q+r-1}^r$$

satisfying  $\partial_r^2 = 0$ .

2.  $E^{r+1}$  is the homology of the chain complex  $(E^r, \partial_r)$ , i.e.,

$$E_{p,q}^{r+1} = \ker(\partial_r: E_{p,q}^r \rightarrow E_{p-r,q+r-1}^r) / \text{im}(\partial_r: E_{p+r,q+r-1}^r \rightarrow E_{p,q}^r).$$

3.  $E_{p,q}^1 = H_{p+q}(G_p C_\bullet)$ .

4. If the filtration of  $C_i$  is bounded for each  $i$ , then for every  $p, q$  if  $r$  is sufficiently large, then

$$E_{p,q}^r = G_p H_{p+q}(C_\bullet).$$

*Proof.* This is a rather tedious diagram chase,<sup>3</sup> which generalizes the argument that a short exact sequence of chain complexes induces a long exact sequence on homology.  $\square$

<sup>3</sup> For example, see <http://www.math.uchicago.edu/~may/MISC/SpecSeqPrimer.pdf>

**1.2.6 Example.** In this example<sup>4</sup> we show that the singular and cellular homology groups of a CW-complex  $X$  agree. To that end, let  $C_*(X)$  denote the singular chain complex of  $X$ . We filter this by

$$F_p C_*(X) := C_*(X^p)$$

where  $X^p$  denotes the  $p$ -skeleton of  $X$ . The associated graded is

$$E_{p,q}^0 = C_{p+q}(X^p) / C_{p+q}(X^{p-1}).$$

By definition, the homology is

$$E_{p,q}^1 = H_{p+q}(X^p, X^{p-1}),$$

the relative homology of the pair  $(X^p, X^{p-1})$ . We have

$$H_{p+q}(X^p, X^{p-1}) \cong \begin{cases} C_p^{cell}(X) & q = 0 \\ 0, & q \neq 0 \end{cases}$$

where  $C_p^{cell}(X)$  is the cellular chains on  $X$ , the free  $\mathbb{Z}$ -module with one generator for each  $p$ -cell. The cellular differential  $\partial: C_p^{cell}(X) \rightarrow C_{p-1}^{cell}(X)$  is exactly the boundary map  $E_{p,0}^1 \rightarrow E_{p-1,0}^1$ . Therefore, we have

$$E_{p,q}^2 = \begin{cases} H_p^{cell}(X), & q = 0 \\ 0, & q \neq 0. \end{cases}$$

We must have  $\partial_r = 0$  for  $r \geq 2$  as either the domain or the range is zero. So,  $E_r^{p,q} = E_{p,q}^2$  for all  $r \geq 2$ . If  $X$  is finite-dimensional, then the filtration is bounded and so  $H_p(X) = H_p^{cell}(X)$  by Lemma 1.2.5.<sup>5</sup>

<sup>4</sup> See page 67 of Mosher–Tangor, *Cohomology Operations and Applications in Homotopy Theory*

<sup>5</sup> One can allow arbitrary  $X$  by, for example, using colimits.

### 1.3 Homological spectral sequences

We have managed to so far avoid defining exactly what a spectral sequence is. Let us change that now.

**1.3.1 Definition.** A (homological) spectral sequence is a sequence

$$\{E_{*,*}^r, d_{*,*}^r\}_{r \geq 0}$$

of chain complexes of abelian groups, such that

$$E_{*,*}^{r+1} = H_*(E_{*,*}^r)$$

where the homology is taken with respect to maps (called differentials)

$$d_{p,q}^r: E_{p,q}^r \rightarrow E_{p-r,q+r-1}^r$$

such that  $(d^r)^2 = 0$ .

**1.3.2 Remark.** We say that a spectral sequence is first quadrant if  $E_{p,q}^r = 0$  whenever  $p < 0$  or  $q < 0$ . Note that this implies that  $d_{p,q}^r = 0$  for  $r \gg 0$  (as either the source or the target is zero). In particular,

$$E_{p,q}^r = E_{p,q}^{r+1} = \cdots = E_{p,q}^\infty.$$

We say that the spectral sequence collapses or degenerates at  $E^r$ .

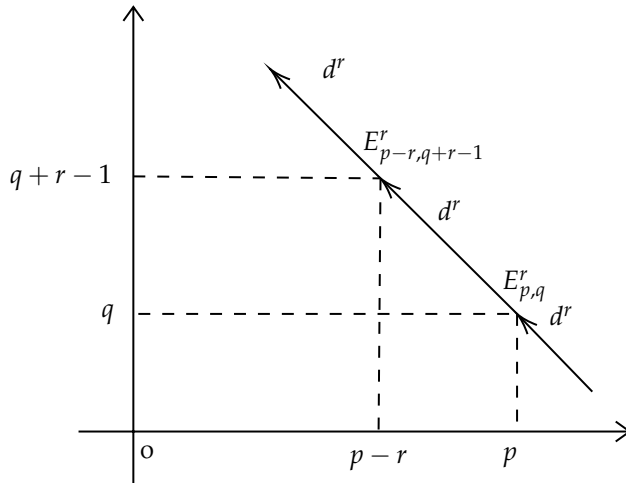


Figure 1.2: The  $E^r$ -page of a homological spectral sequence

**1.3.3 Definition.** If  $\{H_n\}_n$  are groups, then we say that the spectral sequence converges, or abuts, to  $H_*$ , denoted  $E_{*,*}^2 \Rightarrow H_*$ , if for each  $n$  there is a filtration

$$H_n = D_{n,0} \subseteq D_{n-1,1} \subseteq \cdots \subseteq D_{1,n-1} \subseteq D_{0,n} \subseteq 0$$

such that, for all  $p, q$ ,

$$E_{p,q}^\infty = D_{p,q} / D_{p-1,q+1}.$$

**1.3.4 Remark.** In more straightforward terms: the if we look along the  $n$ -th diagonal of the spectral sequence, then the  $E_\infty$ -page computes

the associated graded of the filtration on  $H_n$ . For example, if  $E_{p,q}^\infty = 0$  for all  $p+q = n$ , then  $H_n = 0$ . If there is only a single non-zero term, say  $E_{p,n-p}^\infty$ , then the filtration is trivial, and  $H_n = E_{p,n-p}^\infty$ . If we have two non-zero terms, then  $H_n$  fits into a short exact sequence, and so on.

**1.3.5 Example.** We have previously discussed the spectral sequence of a filtered complex without explicitly mentioning it. Indeed, if  $C_\bullet$  is a filtered chain complex, then there is a spectral sequence with  $E_{p,q}^1 = H_{p+q}(G_p C_\bullet)$ , such that if the filtration of  $C_i$  is bounded for each  $i$  the spectral sequence converges to  $H_{p+q}(C_\bullet)$ .<sup>6</sup>

<sup>6</sup> Recall what this means: we have  $E_{p,q}^\infty = G_p H_{p+q}(C_\bullet)$ .

## 1.4 The spectral sequence of a double complex

An important example where a filtered complex arises is from a double complex.

**1.4.1 Definition.** A double complex is a bi-indexed family  $\{C_{p,q}\}$  of abelian groups, with two differentials

$$d': C_{p,q} \rightarrow C_{p-1,q}, \quad d'': C_{p,q} \rightarrow C_{p,q-1}$$

such that  $d'd' = 0$ ,  $d''d'' = 0$ , and  $d'd'' + d''d' = 0$ . For simplicity, we also assume that  $C_{p,q} = 0$  for  $p < 0$  or  $q < 0$ .

**1.4.2 Example.** Suppose that  $(A, d_A)$  and  $(B, d_B)$  are chain complexes. If we define  $C_{p,q} = A_p \otimes B_q$  and define  $d' = d_A \otimes 1$  and  $d'' = (-1)^p 1 \otimes d_B$ , then  $C_{p,q}$  is a double complex.<sup>7</sup>

<sup>7</sup> Try and verify this to make sure you understand the definitions.

**1.4.3 Construction .** A double complex gives rise to a chain complex (the total complex), defined by  $C_n = \sum_{p+q=n} C_{p,q}$  and  $d = d' + d''$ . This has two obvious filtrations, by row and by column:

1.  $'C_n^p = \sum_{j+q=n, j \leq p} C_{j,q}$ .
2.  $''C_n^p = \sum_{p+q=n, k \leq p} C_{p,k}$ .

The spectral sequence of a filtered complex (Example 1.3.5) gives us two spectral sequences:

1.  $'E_{p,q}^1 = H_{p+q}('C^p / 'C^{p-1}) = C_{p,n-p}$ .
2.  $''E_{p,q}^1 = H_{p+q}(''C^q / ''C^{q-1}) = C_{q,n-q}$ .

One checks that  $'E^1$  is computed via means of  $d''$  and that  $d^1$  is induced by  $d'$ , while in  $''E^1$  the role of the two indices are exchanged. We can therefore write:

1.  $'E_{p,q}^2 = H_p' H_q''(C)$ .
2.  $''E_{p,q}^2 = H_q'' H_p'(C)$ .

Moreover, both spectral sequences converge to  $H_*(C)$ , and the idea is to compare the two spectral sequences.

It is constructive to do an example.

1.4.4 *Example.* Let  ${}^{\prime}\mathrm{Tor}(A, B)$  be defined as follows: take a free resolution of  $A$ ,  $0 \rightarrow R' \rightarrow F' \rightarrow A \rightarrow 0$ , then  ${}^{\prime}\mathrm{Tor}(A, B)$  is defined by

$$0 \rightarrow {}^{\prime}\mathrm{Tor}(A, B) \rightarrow R' \otimes B \rightarrow F' \otimes B \rightarrow A \otimes B \rightarrow 0.$$

Similarly, let  ${}^{\prime\prime}\mathrm{Tor}(A, B)$  be defined as follows: take a free resolution of  $B$ ,  $0 \rightarrow R'' \rightarrow F'' \rightarrow B \rightarrow 0$ , then  ${}^{\prime\prime}\mathrm{Tor}(A, B)$  is defined by

$$0 \rightarrow {}^{\prime\prime}\mathrm{Tor}(A, B) \rightarrow A \otimes R'' \rightarrow A \otimes F'' \rightarrow A \otimes B \rightarrow 0.$$

It is a classical theorem of homological algebra that  $\mathrm{Tor}(A, B) = {}^{\prime\prime}\mathrm{Tor}(A, B)$ . Let us prove this via a spectral sequence argument.

Let  $X$  be the chain complex  $0 \rightarrow R' \xrightarrow{d'} F' \rightarrow 0$  and let  $Y$  be the chain complex  $0 \rightarrow R'' \xrightarrow{d''} F'' \rightarrow 0$ . We can build a double complex  $C_{*,*}$  as in Example 1.4.2, which we write as a matrix:

$$[C_{p,q}] = \begin{bmatrix} F' \otimes R'' & R' \otimes R'' \\ F' \otimes F'' & R' \otimes F'' \end{bmatrix}$$

We have two spectral sequences: the first is take vertical and then horizontal homology:

$$H_q({}^{\prime\prime}C_{p,q}) = \begin{bmatrix} {}^{\prime\prime}\mathrm{Tor}(F', B) & {}^{\prime}\mathrm{Tor}(R', B) \\ F' \otimes B & R' \otimes B \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ F' \otimes B & R' \otimes B \end{bmatrix}$$

and

$$H_p H_q({}^{\prime\prime}C_{p,q}) = \begin{bmatrix} 0 & 0 \\ A \otimes B & {}^{\prime}\mathrm{Tor}(A, B) \end{bmatrix}$$

In other words, the total complex has  $H_0(C) = A \otimes B$  and  $H_1(C) = {}^{\prime}\mathrm{Tor}(A, B)$ .

However, we can use the second spectral sequence, which first takes horizontal and then vertical homology:

$$H_p(C_{p,q}) \begin{bmatrix} A \otimes R'' & {}^{\prime}\mathrm{Tor}(A, R'') \\ A \otimes F'' & {}^{\prime}\mathrm{Tor}(A, F'') \end{bmatrix} = \begin{bmatrix} A \otimes R'' & 0 \\ A \otimes F'' & 0 \end{bmatrix}$$

and then

$$H_q H_p(C_{p,q}) = \begin{bmatrix} {}^{\prime\prime}\mathrm{Tor}(A, B) & 0 \\ A \otimes B & 0 \end{bmatrix}$$

In this case we see that  $H_0(C) = A \otimes B$  and  $H_1(C) = {}^{\prime\prime}\mathrm{Tor}(A, B)$ . Therefore,  ${}^{\prime}\mathrm{Tor}(A, B) = {}^{\prime\prime}\mathrm{Tor}(A, B)$ .

## Exercise 1: The snake lemma

Show, using spectral sequences, the following result in homological algebra (the snake lemma):

Given a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & C \longrightarrow 0 \\ & & f \downarrow & & g \downarrow & & h \downarrow \\ 0 & \longrightarrow & A' & \longrightarrow & B' & \longrightarrow & C' \longrightarrow 0 \end{array}$$

in an abelian category with exact rows, there is a long exact sequence

$$\begin{aligned} 0 \rightarrow \ker(f) \rightarrow \ker(g) \rightarrow \ker(h) \\ \rightarrow \operatorname{coker}(f) \rightarrow \operatorname{coker}(g) \rightarrow \operatorname{coker}(h) \rightarrow 0. \end{aligned}$$

## Exercise 2

(1) Suppose we have a commutative triangle

$$\begin{array}{ccc} A & \xrightarrow{i} & C \\ & \searrow f & \swarrow q \\ & B & \end{array}$$

Show using the snake lemma that

$$\ker(\operatorname{coker} f \rightarrow \operatorname{coker} q) \cong \operatorname{im}(q) / \operatorname{im}(f)$$

and

$$\operatorname{coker}(\operatorname{coker} f \rightarrow \operatorname{coker} q) = 0.$$

(2) Using Part (1), prove the following 'butterfly lemma': given a commutative diagram

$$\begin{array}{ccccc} A & & & & D \\ & \searrow i & & \swarrow j & \\ & & C & & \\ & \swarrow q & & \searrow p & \\ B & & & & E \end{array}$$

of abelian groups, in which the diagonals  $pi$  and  $qj$  are exact at  $C$ , there is an isomorphism

$$\frac{\operatorname{im} q}{\operatorname{im} f} \cong \frac{\operatorname{im} p}{\operatorname{im} g}.$$

## 1.5 The Serre spectral sequence

For us the most important example of a spectral sequence will be the Serre spectral sequence. We will state the theorem now and then return to the proof after some examples and applications.



**1.5.1 Theorem** (The Serre spectral sequence). *Let  $\pi: E \rightarrow F$  be a fibration with fiber  $F$  and assume that  $\pi_1(B) = 0$  and  $\pi_0(F) = 0$ , then there is a first quadrant spectral sequence*

$$E_{p,q}^2 = H_p(B; H_q(F)) \implies H_{p+q}(E).$$

*In particular, this means there is a filtration*

$$H_n(E) = D_{n,0} \supseteq D_{n-1,1} \supseteq \dots \supseteq D_{0,n} \supseteq D_{-1,n+1} = 0$$

*such that  $E_{p,q}^\infty = D_{p,q} / D_{p-1,q+1}$ .*

**1.5.2 Remark.** There is a version of this spectral sequence where  $\pi_1(B) \neq 0$ ; the  $E_2$ -page is then given by the cohomology of  $B$  with local coefficients  $\mathcal{H}_q(F)$ . This will not play a role in this course.

**1.5.3 Example.** Consider the Hopf fibration  $S^1 \rightarrow S^3 \rightarrow S^2$ . We have

$$E_{p,q}^2 = H_p(S^2; H_q(S^1)) \cong \begin{cases} \mathbb{Z} & p = 0, 2 \text{ and } q = 0, 1 \\ 0 & \text{otherwise.} \end{cases}$$

The  $E^2$ -term is as follows (and we have  $E^3 = E^\infty$  for degree reasons):

	2				
	1	$\mathbb{Z}$	$\nwarrow \cdot n$	$\mathbb{Z}$	
$H_*(S^1)$	0	$\mathbb{Z}$		$\mathbb{Z}$	
		0	1	2	3
		$H_*(S^2)$			

There are three possibilities for the  $d_2$ -differential (which is multiplication by  $n \in \mathbb{Z}$  as indicated): either  $n = 0, n = \pm 1$  or  $n \neq 0, \pm 1$ , which lead to the following  $E^3 = E^\infty$ -page:

$H_*(S^1)$	1	$\mathbb{Z}$	$\mathbb{Z}$	$H_*(S^1)$	1	$\mathbb{Z}$	$H_*(S^1)$	1	$\mathbb{Z}/n$	$\mathbb{Z}$		
	0	$\mathbb{Z}$	$\mathbb{Z}$		0	$\mathbb{Z}$		0	$\mathbb{Z}$			
		0	1	2		0	1	2		0	1	2
		$H_*(S^2)$				$H_*(S^2)$				$H_*(S^2)$		
		$n = 0$				$n = \pm 1$				$n \neq 0, \pm 1$		

We see that taking  $n = \pm 1$  computes the correct answer for  $H_*(S^3)$ ; we have a copy of  $\mathbb{Z}$  in the  $p + q = 0$  and  $p + q = 3$  columns, as required.

**1.5.4 Example.** There is a fibration  $SU(n-1) \rightarrow SU(n) \rightarrow S^{2n-1}$ . Taking  $n = 3$  and using  $SU(2) \cong S^3$ , we obtain a fibration  $S^3 \rightarrow SU(3) \rightarrow S^5$ . We have

$$E_{p,q}^2 \cong \begin{cases} \mathbb{Z} & p = 0, 5 \text{ and } q = 0, 3 \\ 0 & \text{otherwise.} \end{cases}$$

The  $E^2$ -term is as follows:

$H_*(S^3)$	3	$\mathbb{Z}$				$\mathbb{Z}$	
	2						
	1						
	0	$\mathbb{Z}$				$\mathbb{Z}$	
		<hr/>					
		0	1	2	3	4	5
		$H_*(S^5)$					

$\swarrow d_2$

Note that there are no differentials for degree reasons, as shown for  $d_2$ . Therefore, the spectral sequence collapses and we see that

$$H_i(SU(3)) \cong \begin{cases} \mathbb{Z}, & i = 0, 3, 5, 8 \\ 0, & \text{otherwise.} \end{cases}$$

*1.5.5 Example.* We can continue the previous example and take  $n = 4$  to get a fibration  $SU(3) \rightarrow SU(4) \rightarrow S^7$ . We can compute the  $E^2$ -term using the previous example

$$E_{p,q}^2 = H_p(S^7; H_q(SU(3))) \cong \begin{cases} \mathbb{Z}, & p = 0, 7, q = 0, 3, 5, 8 \\ 0, & \text{otherwise.} \end{cases}$$

The  $E^2$ -term is as follows:

$H_*(SU(3))$	8	$\mathbb{Z}$							$\mathbb{Z}$
	7								
	6								
	5	$\mathbb{Z}$							$\mathbb{Z}$
	4								
	3	$\mathbb{Z}$							$\mathbb{Z}$
	2								
	1								
	0	$\mathbb{Z}$							$\mathbb{Z}$
		0	1	2	3	4	5	6	7
		$H_*(S^7)$							

Note that there are no differentials for degree reasons, and we compute

$$H_i(SU(4)) \cong \begin{cases} \mathbb{Z}, & i = 0, 3, 5, 7, 8, 10, 12, 15 \\ 0, & \text{otherwise.} \end{cases}$$

*1.5.6 Remark.* If one tries the same argument for  $SU(5)$  there are possible differentials. We will see later that it is easier to use cohomology, where one can use multiplicative structures to rule out differentials.

1.5.7 *Remark* (Naturality of the Serre spectral sequence). The Serre spectral sequence is natural in the following sense. Suppose we are given two fibrations satisfying the hypothesis of the Serre spectral sequence, and a map between them:

$$\begin{array}{ccccc} F & \hookrightarrow & E & \longrightarrow & B \\ \downarrow & & \downarrow \tilde{f} & & \downarrow f \\ F' & \hookrightarrow & E' & \longrightarrow & B' \end{array}$$

Then the following hold:

1. There are induced maps  $f_*^r: E_{p,q}^r \rightarrow {}'E_{p,q}^r$  commuting with differentials, i.e., the diagram

$$\begin{array}{ccc} E_{p,q}^r & \xrightarrow{d_r} & E_{p-r,q+r-1}^r \\ f_*^r \downarrow & & \downarrow f_*^r \\ {}'E_{p,q}^r & \xrightarrow{{}'d_r} & {}'E_{p-r,q+r-1}^r \end{array}$$

commutes, and moreover  $f_*^{r+1}$  is the map induced on homology by  $f_*^r$ .

2. The map  $\tilde{f}_*: H_*(E) \rightarrow H_*(E')$  preserves filtrations, inducing a map on associated graded which is exactly  $f_*^\infty$ .
3. Under the isomorphisms  $E_{p,q}^2 \cong H_p(B; H_q(F))$  and  $'E_{p,q}^2 \cong H_p(B'; H_q(F'))$  the map  $f_*^2$  corresponds to the map induced by the maps  $B \rightarrow B'$  and  $F \rightarrow F'$ .

Once again, we can demonstrate this with an example.

1.5.8 *Example*. We recall the Hopf fibration  $S^1 \rightarrow S^3 \rightarrow S^2$ . This factors through  $\mathbb{RP}^3 = S^3 / \{\pm 1\}$  as in the following diagram:

$$\begin{array}{ccccc} S^1 & \hookrightarrow & S^3 & \longrightarrow & S^2 \\ q \downarrow & & q \downarrow & & \parallel \\ S^1 / \{\pm 1\} & \hookrightarrow & S^3 / \{\pm 1\} & \longrightarrow & S^2 \end{array}$$

We see that we have a fibration  $S^1 \rightarrow \mathbb{RP}^3 \rightarrow S^2$ . The  $'E^2$ -term of this spectral sequence is as for the Hopf fibration:

$$E_{p,q}^2 = H_p(S^2; H_q(S^1)) \cong \begin{cases} \mathbb{Z} & p = 0, 2 \text{ and } q = 0, 1 \\ 0 & \text{otherwise.} \end{cases}$$

As in Example 1.5.3 there is only one possible differential, which is  $'d_2: {}'E_{2,0}^2 \rightarrow {}'E_{0,1}^2$ , and this is given by multiplication by an integer  $n$ . We use naturality to determine what this is. We note that we have a commutative diagram

$$\begin{array}{ccc} H_2(S^2; H_0(S^1)) & \xrightarrow[\cong]{d_2} & H_0(S^2; H_1(S^1)) \\ q_* \downarrow \cong & & \downarrow \cdot 2 \\ H_2(S^2; H_0(S^1 / \{\pm 1\})) & \xrightarrow{{}'d_2} & H_0(S^2; H_1(S^1 / \{\pm 1\})) \end{array}$$

The right hand arrow is multiplication by 2 because the map induced on homology by  $S^1 \rightarrow S^1/\{\pm 1\}$  has degree 2 (it is the attaching map for the top cell of  $\mathbb{R}P^2$ ). Commutativity of the diagram implies that  $d_2$  is multiplication by 2. Therefore, the  $E^2$  and  $E^3 = E^\infty$ -terms are as follows:

$$\begin{array}{c|ccc}
 & 2 & & \\
 H_*(S^1) & 1 & \mathbb{Z} & \mathbb{Z} \\
 & 0 & \mathbb{Z} & \mathbb{Z} \\
 \hline
 & & 0 & 1 & 2 & 3 \\
 & & \mathbb{Z} & & \mathbb{Z} & \\
 & & H_*(S^2) & & & 
 \end{array}
 \quad
 \begin{array}{c|ccc}
 & 2 & & \\
 H_*(S^1) & 1 & \mathbb{Z}/2 & \mathbb{Z} \\
 & 0 & \mathbb{Z} & \\
 \hline
 & & 0 & 1 & 2 & 3 \\
 & & \mathbb{Z} & & \mathbb{Z} & \\
 & & H_*(S^2) & & & 
 \end{array}$$

We deduce that

$$H_i(\mathbb{R}P^3) \cong \begin{cases} \mathbb{Z}, & i = 0, 3 \\ \mathbb{Z}/2, & i = 1 \\ 0, & \text{otherwise.} \end{cases}$$

**1.5.9 Remark.** It is also possible to deduce some information about  $H^*(F)$  or  $H^*(B)$  in certain cases, as the following example demonstrates.

**1.5.10 Example.** There is a fibration  $S^1 \rightarrow S^\infty \rightarrow \mathbb{C}P^\infty$ . Note that  $\pi_1(\mathbb{C}P^\infty) = 0$ , so we can run the Serre spectral sequence. We have

$$E_{p,q}^2 = \begin{cases} H_p(\mathbb{C}P^\infty), & q = 0, 1 \\ 0, & \text{otherwise.} \end{cases}$$

We also know that the spectral sequence converges to  $H_{p+q}(S^\infty, \mathbb{Z})$ , which is only non-zero when  $p + q = 0$ . In particular, the  $E^\infty$  page should be zero except for  $E_{0,0}^\infty$ . Now consider the  $E_2$ -page of the spectral sequence:

$$\begin{array}{c|ccc}
 & 2 & & \\
 H_*(S^1) & 1 & \mathbb{Z} & \begin{array}{ccc} \xleftarrow{H_1(\mathbb{C}P^\infty)} & \xleftarrow{H_2(\mathbb{C}P^\infty)} & H_3(\mathbb{C}P^\infty) \end{array} \\
 & 0 & \mathbb{Z} & \begin{array}{ccc} \xleftarrow{H_1(\mathbb{C}P^\infty)} & \xleftarrow{H_2(\mathbb{C}P^\infty)} & H_3(\mathbb{C}P^\infty) \end{array} \\
 \hline
 & & 0 & 1 & 2 & 3 \\
 & & H_*(\mathbb{C}P^\infty) & & & 
 \end{array}$$

Note that for degree reasons  $E_{1,0}^2 \cong H_1(\mathbb{C}P^\infty)$  survives the spectral sequences, and so must be 0. So the  $E^2$ -page is as follows:

	2			
$H_*(S^1)$	1	$\mathbb{Z}$	0	$H_2(\mathbb{C}P^\infty) \quad H_3(\mathbb{C}P^\infty)$
	0	$\mathbb{Z}$	0	$H_2(\mathbb{C}P^\infty) \quad H_3(\mathbb{C}P^\infty)$
		0	1	2
				3
				$H_*(\mathbb{C}P^\infty)$

By the same argument  $E_{3,0}^2 \cong H_3(\mathbb{C}P^\infty)$  survives the spectral sequences, and so must be 0. Inductively, we deduce that  $H_n(\mathbb{C}P^\infty) = 0$  for all  $n$  odd. Since  $E_{0,1}^2 \cong \mathbb{Z}$  must also die in the spectral sequence, we see that we must have  $H_2(\mathbb{C}P^\infty) \cong \mathbb{Z}$ , and that  $d^2$  must be an isomorphism. Continuing inductively, we get

$$H_n(\mathbb{C}P^\infty) \cong \begin{cases} \mathbb{Z}, & n \text{ even} \\ 0, & n \text{ odd.} \end{cases}$$

*1.5.11 Example.* In our next example, we compute  $H_*(\Omega S^n)$  for  $n > 1$ . We use the path-space fibration of  $S^n$ . In this case, this takes the form

$$\Omega S^n \rightarrow PS^n \rightarrow S^n$$

where we recall that  $PS^n$  is contractible, i.e.  $H_0(PS^n) = \mathbb{Z}$  and is zero otherwise. In particular, the only non-zero term on the  $E^\infty$ -page of the spectral sequence is a copy of  $\mathbb{Z}$  when  $p + q = 0$ . Now consider a small portion of the  $E_2$ -term:

3	$H_3(\Omega S^n)$	$H_3(\Omega S^n)$
2	$H_2(\Omega S^n)$	$H_2(\Omega S^n)$
1	$H_1(\Omega S^n)$	$H_1(\Omega S^n)$
0	$\mathbb{Z}$	$\mathbb{Z}$
	0	$n$

Note that the only possible differential is a  $d_n$ , and so goes  $n - 1$ -terms upwards. We immediately see that  $H_i(\Omega S^n) = 0$  for  $0 < i < n - 1$ . Moreover, the only way to get rid of the  $\mathbb{Z}$  in  $E_{n,0}^2 = E_{n,0}^n$  is that  $H_{n-1}(\Omega S^n) \cong \mathbb{Z}$ , and that  $d_n$  is an isomorphism. We can inductively repeat this argument, getting the following, where all the differentials shown are isomorphisms:

$3n-3$	$H_{3n-3}(\Omega S^n)$	$H_{3n-3}(\Omega S^n)$
$2n-2$	$H_{2n-2}(\Omega S^n)$	$H_{2n-2}(\Omega S^n)$
$n-1$	$H_{n-1}(\Omega S^n)$	$H_{n-1}(\Omega S^n)$
$0$	$\mathbb{Z}$	$\mathbb{Z}$
	$0$	$n$

We conclude that

$$H_i(\Omega S^n) \cong \begin{cases} \mathbb{Z}, & i = k(n-1) \\ 0, & \text{otherwise.} \end{cases}$$

*1.5.12 Remark.* So far we have only considered examples where the extension problem is trivial; we have had at most one non-zero term in each diagonal on the  $E^\infty$ -page. The following gives an example where this is not the case.

*1.5.13 Example.* Consider the Serre spectral sequence of the fibration

$$S^1 \rightarrow U(2) \rightarrow \mathbb{R}P^3$$

where we identify  $S^1 \cong U(1)$  and the first map is given by

$$\lambda \mapsto \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix}$$

The  $E^2$ -page is given by

$$H_p(\mathbb{R}P^3; H_q(S^1)) \cong \begin{cases} \mathbb{Z}, & p = 0, 3, q = 0, 1 \\ \mathbb{Z}/2 & p = 1, q = 0, 1 \\ 0 & \text{else.} \end{cases}$$

The  $E^2$ -page looks as follows:

$H_*(S^1)$	$1$	$\mathbb{Z}$	$\mathbb{Z}/2$	$\mathbb{Z}$
	$0$	$\mathbb{Z}$	$\mathbb{Z}/2$	$\mathbb{Z}$
	$0$	$1$	$2$	$3$

$H_*(\mathbb{R}P^3)$

We know (or take it as fact) that  $H_2(U(2)) = 0$ ; the only way that this is compatible with the spectral sequence is if the differential shown is a surjection, and we get the following  $E^3 = E^\infty$ -page:

$H_*(S^1)$	1	$\mathbb{Z}$			
	0	$\mathbb{Z}$	$\mathbb{Z}/2$		
		0	1	2	3
		$H_*(\mathbb{RP}^3)$			

Now, in fact we have that<sup>8</sup>

$$H_i(U(2)) \begin{cases} \mathbb{Z}, & i = 0, 1, 3, 4 \\ 0, & \text{else.} \end{cases}$$

Note that in the  $E^\infty$ -page shown we have two non-zero terms in the  $p + q = 1$  column, a  $\mathbb{Z}$  in  $(0, 1)$  and  $\mathbb{Z}/2$  in  $(1, 0)$ . This means there is an extension<sup>9</sup>

$$0 \rightarrow \mathbb{Z} \rightarrow H_1(U(2)) \rightarrow \mathbb{Z}/2 \rightarrow 0.$$

From the calculations above we know that this extension must be non-trivial. Yet, if we didn't know another way to compute  $H_1(U(2))$  we could not determine (without more information) if  $H_1(U(2))$  was  $\mathbb{Z}$  or  $\mathbb{Z} \oplus \mathbb{Z}/2$ .

**1.5.14 Remark.** We now return to the Hurweicz theorem, giving a second proof of ??.

**1.5.15 Theorem.** If  $X$  is  $(n - 1)$ -connected,  $n \geq 2$ , then  $\tilde{H}_i(X) = 0$  for  $i \leq n - 1$  and  $\pi_n(X) \cong H_n(X)$ .

*Proof.* We use the path-space fibration

$$\Omega X \rightarrow PX \rightarrow X,$$

and the fact that  $PX$  is contractible. The  $E^2$ -page of the Serre spectral sequence is

$$E_{p,q}^2 = H_p(X; H_q(\Omega X)) \implies H_{p+q}(PX).$$

We prove the theorem by induction on  $n$ . When  $n = 2$ , we have  $H_1(X) = 0$  because  $X$  is simply connected by assumption. Moreover, we have

$$\pi_2(X) \cong \pi_1(\Omega X) \cong H_1(\Omega X)$$

where the first isomorphism follows by the long exact sequence of the fibration, and the second follows from the fact that  $\pi_1(\Omega X)$  is abelian, so that  $H_1(\Omega X) \cong \pi_1(\Omega X)^{ab} \cong \pi_1(\Omega X)$ . It remains to show that  $H_1(\Omega X) \cong H_2(X)$ . We will use the Serre spectral sequence to show this. Note that  $E_{2,0}^2 = H_2(X)$  and  $E_{0,1}^2 = H_1(\Omega X)$ , so it suffices to show that

$$d^2: E_{2,0}^2 = H_2(X) \rightarrow E_{0,1}^2 = H_1(\Omega X)$$

is an isomorphism. We consider then then a portion of the  $E^2$ -page:

<sup>8</sup> For example, note that  $U(2) \cong SU(2) \times U(1)$

<sup>9</sup> In fact, the spectral sequence shows that we have filtered  $H_1(U(2))$  as follows  $0 \subseteq 2\mathbb{Z} \subseteq \mathbb{Z} \subseteq \mathbb{Z} = H_1(U(2))$ .

$$\begin{array}{c|ccc}
H_*(\Omega X) & 1 & H_1(\Omega X) & \\
& 0 & \mathbb{Z} & H_1(X) \quad H_2(X) \\
\hline
& & 0 & 1 \quad 2
\end{array}$$

$$H_*(X)$$

Note that if  $d_2$  is not an isomorphism, then both of these groups will persist to the  $E^\infty$ -page, giving a contradiction to the fact that  $PX$  is contractible. So,  $d_2$  must be an isomorphism, as required. This gives the base case of the induction.

We now assume the statement of the theorem holds for  $n - 1$  and deduce it for  $n$ . Since  $X$  is  $(n - 1)$ -connected,  $\Omega X$  is  $(n - 2)$ -connected, and so by the inductive hypothesis, we have that  $\tilde{H}_i(\Omega X) = 0$  for  $i < n - 1$  and  $\pi_{n-1}(\Omega X) \cong H_{n-1}(\Omega X)$ . In particular, we get isomorphisms

$$\pi_n(X) \cong \pi_{n-1}(\Omega X) \cong H_{n-1}(\Omega X),$$

and so it suffices to show that  $H_{n-1}(\Omega X) \cong H_n(X)$ . We do this via the Serre spectral sequence. We have

$$\begin{aligned}
E_{p,q}^2 &= H_p(X; H_q(\Omega)) \\
&\cong H_p(X) \otimes H_q(X) \oplus \text{Tor}(H_{p-1}(X), H_q(\Omega X)) \\
&0
\end{aligned}$$

for  $0 < q < n - 1$  by the inductive hypothesis. Now consider the Serre spectral sequence:

$$\begin{array}{c|ccccccccc}
H_*(\Omega X) & & H_{n-1}(\Omega X) & & & & & & \\
& & 0 & & & & & & \\
& & \vdots & & & & & & \\
& & 0 & & & & & & \\
\hline
& \mathbb{Z} & H_1(X) & H_2(X) & \cdots & H_{n-1}(X) & H_n(X) & & 
\end{array}$$

The only differentials that interact with  $H_n(X)$  and  $H_{n-1}(\Omega X)$  is the  $d_n$  differential shown, and so this must be an isomorphism in order for these terms to die in the spectral sequence. Moreover, the terms  $H_i(X)$  for  $1 \leq i \leq n - 1$  have no differentials at all in the spectral sequence; in particular, we must have  $H_i(X) = 0$  for  $1 \leq i \leq n - 1$  and  $d_n: H_n(X) \rightarrow H_{n-1}(\Omega X)$  is an isomorphism.  $\square$



## Exercise 3

Show, using the Serre spectral sequence, that if  $S^k \rightarrow S^m \rightarrow S^n$  is a fibration with  $n \geq 2$ , then  $k = n - 1$  and  $m = 2n - 1$ .

## 1.6 The Serre spectral sequence in cohomology

The Serre spectral sequence in cohomology looks much like the homology version:

**1.6.1 Theorem** (The Serre spectral sequence in cohomology). *Let  $\pi: E \rightarrow F$  be a fibration with fiber  $F$  and assume that  $\pi_1(B) = 0$  and  $\pi_0(F) = 0$ , then there is a first quadrant spectral sequence*

$$E_2^{p,q} = H^p(B; H^q(F)) \implies H^{p+q}(E).$$

In particular, this means there is a filtration

$$H^n(E) = D^{0,n} \supseteq D^{1,n-1} \supseteq \dots \supseteq D^{n,0} \supseteq D^{n+1,-1} = 0$$

such that  $E_\infty^{p,q} = D^{p,q} / D^{p+1,q-1}$ .

The differentials run  $d_r^{p,q}: E_r^{p,q} \rightarrow E_r^{p+r,q+r-1}$ .

**1.6.2 Remark.** Apart from the direction of differentials, this looks much like the Serre spectral sequence in homology. However, there is one major difference: each  $E_r$  page has a bilinear product, i.e., a map

$$\bullet: E_r^{p,q} \times E_r^{p',q'} \rightarrow E_r^{p+p',q+q'}$$

or equivalently,

$$E_r^{p,q} \otimes E_r^{p',q'} \rightarrow E_r^{p+p',q+q'}$$

satisfying the Leibniz rule

$$d_r(x \bullet y) = d_r(x) \bullet y + (-1)^{\deg(x)} x \bullet d_r(y).$$

where  $\deg(x) = p + q$ . Moreover, on the  $E_2$ -page, this product is induced by the cup product.

Once again, it is instructive to do an example.

**1.6.3 Example.** Consider the fibration

$$S^1 \rightarrow S^\infty \simeq * \rightarrow \mathbb{C}P^\infty$$

The  $E_2$ -page looks as follows

$H^*(S^1)$	2				
	1	$\mathbb{Z}$	$H^1(\mathbb{C}P^\infty)$	$H^2(\mathbb{C}P^\infty)$	$H^3(\mathbb{C}P^\infty)$
	0	$\mathbb{Z}$	$H^1(\mathbb{C}P^\infty)$	$H^2(\mathbb{C}P^\infty)$	$H^3(\mathbb{C}P^\infty)$
		0	1	2	3
		$H^*(\mathbb{C}P^\infty)$			

$\begin{array}{c} \nearrow \quad \nearrow \quad \nearrow \\ \searrow \quad \searrow \quad \searrow \end{array}$

Running an argument similar to Example 1.5.10 it is not too hard to compute the additive structure: we must have  $E_2^{2k+1,0} = 0$ , and  $d_2: E_2^{p,1} \rightarrow E_2^{p+2,0}$  is an isomorphism  $\mathbb{Z} \rightarrow \mathbb{Z}$ . In particular, we have

$$H^i(\mathbb{C}P^\infty) \begin{cases} \mathbb{Z}, & i = \text{even} \\ 0, & i = \text{odd}. \end{cases}$$

Now we wish to compute the multiplicative structure. Let us note that by the universal coefficient theorem in cohomology<sup>10</sup> we have

$$E_2^{p,q} = H^p(\mathbb{C}P^\infty) \otimes H^q(S^1).$$

Let  $\mathbb{Z} = \langle x \rangle = H^1(S^1)$  and let  $\mathbb{Z} = \langle y \rangle = H^2(\mathbb{C}P^\infty)$ , chosen so that  $d_2(x) = y$ . Then we have

$$E_2^{2,1} = H^2(\mathbb{C}P^\infty) \otimes H^1(S^1) = \mathbb{Z} \otimes \mathbb{Z} \cong \mathbb{Z}.$$

The pairing

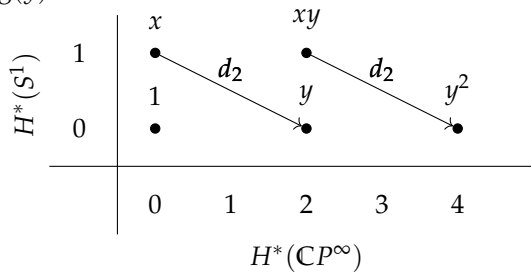
$$\bullet: E_2^{2,0} \times E_2^{0,1} \rightarrow E_2^{2,1}$$

is induced by the cup product, and unwinding the definitions, sends  $(x, y)$  to  $xy$ , i.e.,  $xy$  generates  $E_2^{2,1}$ .

Let  $z$  be a generator of  $H^4(\mathbb{C}P^\infty)$ . We want to show that  $z = y^2$ . By the Leibniz rule,

$$d_2(xy) = d_2(x)y + (-1)^{\deg(x)}xd_2(y) = y^2.$$

Noting that  $d_2$  is an isomorphism, we see that  $d_2(xy) = y^2 = z$ , as needed. Arguing inductively, we see that  $d_2(xy^{n-1}) = y^n$  is a generator of  $H^{2n}(\mathbb{C}P^\infty)$  and we deduce that  $H^*(\mathbb{C}P^\infty) \cong \mathbb{Z}[y]$  with  $\deg(y) = 2$ .

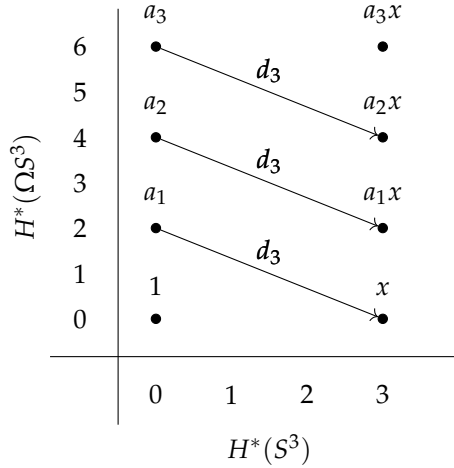


**1.6.4 Example.** We now consider the cohomology ring  $H^*(\Omega S^3)$ , leaving the general case of  $H^*(\Omega S^n)$  as an exercise. To do this, we use the Serre spectral sequence of the fibration  $\Omega S^3 \rightarrow PS^3 \simeq * \rightarrow S^3$ . The additive structure can be determined much as in Example 1.5.11,<sup>11</sup> and the spectral sequence looks as follows:

<sup>10</sup> In case this was not covered or you need a reminder, this states there is a natural short exact sequence

$$0 \rightarrow H^n(X; \mathbb{Z}) \otimes M \rightarrow H^n(X; M) \rightarrow \text{Tor}(H^{n+1}(X; \mathbb{Z}), M) \rightarrow 0.$$

<sup>11</sup> Convince yourself of this!



That is, additively, we have

$$H^i(\Omega S^3) \cong \begin{cases} \mathbb{Z}, & i = 2k \\ 0, & \text{else.} \end{cases}$$

In order to work out the multiplicative structure, we need to work out how the classes  $a_i$  relate to each other. For example, is  $a_1^2 = a_2$ ? We have chosen the generators such that  $d_3(a_i) = a_{i-1}x$  where  $a_0 = 1$ . Now we use the Leibniz rule to see that<sup>12</sup>

<sup>12</sup> Here it is important that all our classes are in even total degrees!

$$d_3(a_1^2) = d_3(a_1)a_1 + a_1d_3(a_1) = 2a_1x = d_3(2a_2).$$

Because  $d_3$  is an isomorphism, we deduce that  $a_1^2 = 2a_2$ . What about  $a_3$ ? Note that

$$\begin{aligned} d_3(a_1a_2) &= d_3(a_1)a_2 + a_1d_3(a_2) = xa_2 + a_1^2x \\ &= xa_2 + 2xa_2 = 3xa_2 \\ &= d_3(3a_3). \end{aligned}$$

Because  $d_3$  is an isomorphism, we deduce that  $a_1a_2 = 3a_3$ . Said another way,  $a_1^3 = a_1a_1^2 = 2a_1a_2 = 3 \cdot 2 \cdot a_3$ . By an inductive argument, we deduce that  $a_1^n = n!a_n$ , where  $a_n$  generates  $E_2^{0,2n}$ . We see that  $H^*(\Omega S^3) \cong \Gamma_{\mathbb{Z}}[a_1]$ , the divided polynomial algebra on a class  $a_1$  in degree 2.<sup>13</sup>

You should now attempt the following exercise.<sup>14</sup>

<sup>13</sup> In general, the divided polynomial algebra on a ring  $R$ , denoted  $\Gamma_R[\alpha]$  where  $\alpha$  has (even) degree  $n$  is the algebra with additive generators  $\alpha_i$  in degree  $ni$  and multiplication  $\alpha_1^k = k_1\alpha_k$  (and hence  $\alpha_i\alpha_j = \binom{i+j}{i}\alpha_{i+j}$ ). Note that if  $R = \mathbb{Q}$ , then  $\Gamma_{\mathbb{Q}}[\alpha] \cong \mathbb{Q}[\alpha]$ , but in general it is more complex. For example, if  $R = \mathbb{F}_p$ , then  $\Gamma_{\mathbb{F}_p}[\alpha] \cong \bigotimes_{i \geq 0} \mathbb{F}_p[\alpha_{p^i}] / (\alpha_{p^i}^p)$ , a tensor product of truncated polynomial rings.

<sup>14</sup> Here  $\Lambda_{\mathbb{Z}}[x] \cong \mathbb{Z}[x]/(x^2)$  is the exterior algebra

## Exercise 4

Use the cohomological Serre spectral sequence associated to the path fibration

$$\Omega S^n \rightarrow PS^n \rightarrow S^n$$

to show the following: If  $n$  is odd, then

$$H^*(\Omega S^n) \cong \Gamma_{\mathbb{Z}}[x]$$

where  $|x| = n - 1$ . If  $n$  is even, then

$$H^*(\Omega S^n) \cong \Lambda_{\mathbb{Z}}[x] \otimes \Gamma_{\mathbb{Z}}[y]$$

where  $|x| = n - 1$  and  $|y| = 2n - 2$ .

*1.6.5 Example.* Much like in the additive case, we can sometimes have multiplicative extensions that we cannot solve without additional information. For example, there is a fibration  $S^2 \rightarrow \mathbb{C}P^3 \rightarrow S^4$ , and the associated spectral sequence looks as follows:

		$x$			$xy$	
$H^*(S^2)$	2	•			•	
	1	1			$y$	
	0	•			•	
		0	1	2	3	4
		$H^*(S^4)$				

There is no room for differentials, and so

$$H^i(\mathbb{C}P^\infty) \cong \begin{cases} \mathbb{Z}, & i = 0, 2, 4, 6 \\ 0, & \text{else.} \end{cases}$$

Yet from the spectral sequence, we cannot deduce (without further information) that  $y = x_2^2$ , which we know holds.<sup>15</sup>

*1.6.6 Remark.* A useful way to compute multiplicative extensions is the following theorem:<sup>16</sup> If there is a spectral sequence converging to  $H_*$  as an algebra and the  $E_\infty$ -term is a free, graded-commutative, bigraded algebra, then  $H_*$  is a free, graded commutative algebra isomorphic to the total complex  $E_\infty^{*,*}$ , i.e.,

$$H_i \cong \bigoplus_{p+q=i} E_\infty^{p,q}.$$

*1.6.7 Example.* Recall that we have a fiber sequence

$$SU(n-1) \rightarrow SU(n) \rightarrow S^{2n-1}$$

Taking  $n = 3$ , this has the form  $S^3 \rightarrow SU(3) \rightarrow S^5$ . The  $E^2$ -term is

$$E_2^{p,q} = H^p(S^5; H^q(S^3)) \cong H^p(S^5) \otimes H^q(S^3).$$

<sup>15</sup> Recall that  $H^*(\mathbb{C}P^3) \cong \mathbb{Z}[x]/(x^4)$  for  $|x| = 2$ .

<sup>16</sup> See Example 1.K of McCleary's "A user's guide to spectral sequences"



**1.7.1 Theorem** (The Gysin sequence). *Let  $S^n \rightarrow E \rightarrow B$  be a fibration with  $\pi_1 B = 0$  and  $n \geq 1$ . Then, there exists an exact sequence*

$$\cdots H_r(E) \rightarrow H_r(B) \rightarrow H_{r-n-1}(B) \rightarrow H_{r-1}(E) \rightarrow \cdots$$

We begin with two algebraic lemmas, whose proof we leave as exercises for the reader.

**1.7.2 Lemma.** *Let  $A \rightarrow B \xrightarrow{f} C$  and  $D \rightarrow E \xrightarrow{g} F$  be exact sequences of abelian groups. Suppose there exists an isomorphism  $\phi: \operatorname{coker}(f) \cong \ker(g)$ , then there is an exact sequence*

$$A \rightarrow B \xrightarrow{f} C \xrightarrow{\phi} D \rightarrow E \xrightarrow{g} F,$$

where  $c \mapsto \phi(\bar{c})$ , for  $\bar{c}$  the class of  $c$  in  $\operatorname{coker}(f)$ .

**1.7.3 Lemma.** *Given the following diagram of abelian groups:*

$$\begin{array}{ccccccc} & & A & & & & \\ & & \downarrow f & & & & \\ & & B & & & & \\ & & \downarrow g & \searrow hg & & & \\ 0 & \longrightarrow & C & \xrightarrow{h} & D & \xrightarrow{k} & E \end{array}$$

with rows and columns exact, then the sequence  $A \xrightarrow{f} B \xrightarrow{hg} D \xrightarrow{k} E$  is exact.

We now return to the Gysin sequence.

*Proof of Theorem 1.7.1.* We consider the Serre spectral sequence of the fibration. This has  $E_2$ -term

$$E_{p,q}^2 \cong H_p(B; H_q(S^n)) \cong \begin{cases} H_p(B) & q = 0, n \\ 0 & \text{else.} \end{cases}$$

and so is as follows:

$$\begin{array}{c|cccc} & n & H_0(B) & H_1(B) & H_2(B) & H_3(B) \\ H_*(S^n) & & & & & \\ & 0 & H_0(B) & H_1(B) & H_2(B) & H_3(B) \\ \hline & & & & & \end{array}$$

$$H_*(B)$$

We ob-

serve that there is only one possible differential, namely  $d_{n+1}: E_{p,0}^{n+1} \rightarrow E_{p-n-1,n}^{n+1}$ , and so  $E^2 = E^{n+1}$  and  $E^{n+2} = E^\infty$ . Therefore, using Lemma 1.7.2 we get a short exact sequence

$$0 \rightarrow E_{p,0}^\infty \rightarrow E_{p,0}^{n+1} \xrightarrow{d_{n+1}} E_{p-n-1,n}^{n+1} \rightarrow E_{p-n-1,n}^\infty \rightarrow 0. \quad (1.7.4)$$

The filtration on  $H_i(E)$  is  $0 \subseteq E_{i-n,n}^\infty = D_{i-n,n} \subseteq D_{i,0} = H_i(E)$ , i.e., we have a short exact sequence:

$$0 \rightarrow E_{i-n,n}^\infty \rightarrow H_i(E) \rightarrow E_{i,0}^\infty \rightarrow 0. \quad (1.7.5)$$

Pasting (1.7.4) and (1.7.5) together we get a diagram of the form:

$$\begin{array}{ccccccc}
 & & \textcolor{red}{H_r(E)} & & & & \\
 & \swarrow & \downarrow & \searrow & & & \\
 0 & \longrightarrow & E_{r,0}^\infty & \longrightarrow & \textcolor{red}{E_{r,0}^2} & \xrightarrow{d_{n+1}} & \textcolor{red}{E_{r-n-1,n}^2} \longrightarrow E_{r-n-1,n}^\infty \longrightarrow 0 \\
 & & \downarrow & & \underbrace{\hspace{1cm}}_{=H_r(B)} & & \underbrace{\hspace{1cm}}_{H_{r-n-1}(B)} \\
 & & 0 & & & & \\
 & & & & & & \downarrow \\
 & & & & & & \textcolor{red}{H_{r-1}(E)} \\
 & & & & & & \downarrow \\
 & & & & & & 0 \longrightarrow E_{r-1,0}^\infty \longrightarrow \cdots \\
 & & & & & & \downarrow \\
 & & & & & & 0
 \end{array}$$

and Lemma 1.7.3 implies that the sequence in red is exact.  $\square$

**1.7.6 Example.** Consider the fiber sequence  $S^1 \rightarrow S^{2n-1} \rightarrow \mathbb{C}P^n$  for  $n \geq 1$ . One recalls that  $H_p(\mathbb{C}P^n) = 0$  for  $p > 2n$  using, for example, cellular homology. We will show that

$$H_p(\mathbb{C}P^n) \cong \begin{cases} \mathbb{Z} & p \text{ even} \\ 0 & p \text{ odd} \end{cases}$$

using the Gysin sequence. The sequence tell us that

$$0 = H_{2n+2}(\mathbb{C}P^n) \rightarrow H_n(\mathbb{C}P^n) \rightarrow H_{2n+1}(S^{2n+1}) \cong \mathbb{Z} \rightarrow H_{2n+1}(\mathbb{C}P^n) = 0$$

is exact, and so  $H_n(\mathbb{C}P^n) \cong \mathbb{Z}$ . Next, observe that we have an exact sequence

$$0 = H_{2n}(S^{2n+1}) \rightarrow H_{2n}(\mathbb{C}P^n) \cong \mathbb{Z} \rightarrow H_{2n-2}(\mathbb{C}P^n) \rightarrow H_{2n-1}(S^{2n-1})$$

so that  $H_{2n-2}(\mathbb{C}P^n) \cong \mathbb{Z}$ . Moreover, the exact sequence

$$0 = H_{2n+1}(\mathbb{C}P^n) \rightarrow H_{2n-1}(\mathbb{C}P^n) \rightarrow H_{2n}(S^{2n+1}) = 0$$

shows that  $H_{2n-1}(\mathbb{C}P^n) = 0$ . Inductively continuing, we get the claimed result.