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MA8403 - Equivariant homotopy theory



Preface

This are the courses notes for MA8403 - Equivariant homotopy theory, held during the Autumn semester 2023 at NTNU. The notes are mainly based on two excellent sets of lectures notes, one by Guillou [2], and one by Blumberg [1]. The notes will be continually updated during the semester.

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Chapter 1

Equivariance in algebra

Group actions in algebra

We recall that if X is an object in a category \mathcal{C} , then the set of endomorphisms $\operatorname{End}(X)$ forms a monoid (that is, a set equipped with an associative binary operation and an identity element) under composition. The set of automorphisms of X (that is, those endomorphisms that are invertible) form a group. Moreover, we have

$$\operatorname{Aut}(X) = \operatorname{End}(X) \cap \operatorname{Iso}(\mathcal{C})$$

Note that any group is a monoid, simply by forgetting the existence of inverses.

Definition 1.1. An action of a group G on an object $X \in \mathcal{C}$ is a monoid homomorphism $a \colon G \to \operatorname{End}(X)$, or equivalently a group homomorphism $a \colon G \to \operatorname{Aut}(X)$ (note that a monoid homomorphism between groups is a group homomorphism).

Remark 1.2. Unwinding the definition, this means that we have:

- a. For each $q \in G$, there is a morphism $a(q): G \to G$.
- b. a preserves composition, i.e., $a(g \cdot h) = a(h) \cdot a(h)$.
- c. a preserves identifies, so $a(e) = id_X$.

Example 1.1

Let \mathcal{C} be the category of sets and functions. Then, $a: G \to \operatorname{End}(X) = \{f: X \to X\}$ correspond to a function $\overline{a}: G \times X \to X$. The conditions above mean that the diagrams

commute, where $m\colon G\times G\to G$ denotes the group multiplication. Equivalently, in symbols, we have

$$\overline{a}(h, \overline{a}(h, x)) = \overline{a}(qh, x)$$

and

$$\overline{a}(e,x) = x.$$

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Remark 1.3. Let BG denote the category with one object * and with $\operatorname{Hom}(*,*) = G$. Then an action of G in the category $\mathfrak C$ is the same as a functor $\rho \colon BG \to \mathfrak C$. The object X in the previous definition is the object $\rho(*) \in \mathfrak C$.

Remark 1.4. Let $\mathcal{C} = \operatorname{Mod}_R$ for a commutative ring R. An action of G on $M \in \operatorname{Mod}_R$ is a monoid homomorphism

$$a: G \to \operatorname{Hom}_R(M, M).$$

We recall that $\operatorname{Hom}_R(M, M)$ actually has the structure of an R-algebra $\operatorname{Definition}$ 1.5. The (R-linear) group ring on R is the R-algebra R[G] whose:

- (a) underlying R-module is the free R-module with basis on the underlying set of G.
- (b) whose multiplication is given on basis elements by the group operation.

Example 1.2

Let $R = \mathbb{Z}$ and $G = C_2 = \langle \sigma \rangle$. An element of $\mathbb{Z}[C_2]$ is of the form $a + b\sigma$ where $a, b \in \mathbb{Z}$. Multiplication is given by

$$(a_1 \cdot 1 + b_1 \sigma) \cdot (a_2 \cdot 1 + b_2 \sigma) = (a_1 a_2 + b_1 b_2) \cdot 1 + (a_1 b_2 + b_1 a_2) \sigma.$$

This is the same thing as the polynomial ring $\mathbb{Z}[\sigma]/(\sigma^2-1)$.

Remark 1.6. Categorically, the group ring construction is left adjoint to the functor that takes an R-algebra to its group of units, i.e., there is an adjudication

$$R[-]: \operatorname{Grp} \subseteq \operatorname{Mod}_R: (-)^{\times}$$

Returning to group actions, we have the following:

Proposition 1.7. Let R be a commutative ring, and G a finite group. The following data on an R-module M are equivalent:

- a. A monoid homomorphism $G \to \operatorname{End}_R(M)$.
- b. A group homomorphism $G \to \operatorname{Aut}_R(M)$.
- c. A homomorphism of R-algebras $R[G] \to \operatorname{Hom}_R(M,M)$.
- d. An R[G]-module structure on M whose underlying R-module structure is M.

Definition 1.8. A representation of G over R is an R[G]-module.

Example 1.3

If R = k is a field, then the underlying R-module is a k-vector space V. If $\dim_k(V) = n$, then $\operatorname{Aut}_k(V) = GL_n(k)$, and a k-representation is the same thing as a group homomorphism $G \to GL_n(k)$.

Definition 1.9. The R[G]-module R[G] is known as the regular representation. More generally, if X is a finite G-set, then the free R-module R[X] inherits the structure of a R[G]-module (the case of R[G] itself corresponds to the finite G-set G, considered as an R[G]-module over itself). Representations obtained this way are known as permutation representations.

Example 1.4

Taking X to be the trivial G-set, we obtain the (one-dimensional) trivial representation. This is simply the R[G]-module R, where G acts trivially.

Definition 1.10. Let $G = C_2 = \langle \tau \rangle$, and suppose that $-1 \neq 1 \in R$. Then the sign representation of G is the one-dimensional representation where τ acts as -1 (if -1 = 1 in R this still makes sense, but is just the trivial representation). Note that this is an example of a representation that is not a permutation representation.

Example 1.5

Let $C_n = \langle \sigma \rangle$ be the cyclic group of order n. Let us calculate all complex 1-dimensional representations of C_n , i.e., homomorphisms $\rho \colon C_n \to \mathbb{C}$. Note that if we define $\rho(\sigma) = c$, then $\rho(\sigma^n) = c^n = 1$, so that c must be an n-th root of unity. There are precisely n-of these (take $\zeta_n = e^{2\pi/ni}$), and so there are precisely n-representations. For example, when $G = C_4$, the four representations correspond to sending σ to either 1, i, -1 or -i. Note that we can also consider these as 2-dimensional real representations.

Notation 1.11. We let $\rho = \rho_G$ denote the regular representation of G, and the trivial n-dimensional representation by $\mathbf{n} = R^{\oplus n}$.

Definition 1.12. A subrepresentation is a submodule.

Example 1.6

The regular representation always has a one-dimensional trivial representation, generated by the sum $\sum_{g \in G} g$.

Definition 1.13. A representation V is irreducible if the only subrepresentations of V are 0 and V.

Equivariance in algebra

Theorem 1.14 (Maschke). Suppose that k is a field of characteristic not dividing |G|. Then every representation splits as a direct sum of irreducible representations.

Proof. We prove the following: if $V \subseteq W$ is a subrepresentation, then there exists $U \subseteq W$ such that $U \oplus V \simeq W$.

To see this, let $\pi \colon W \to W$ be any k-linear projection of W onto V. This map need not be G-equivariant, but we can make it so by 'averaging'. That is, we define a new map $\phi \colon W \to W$ by

$$\phi(\mathbf{w}) = \frac{1}{|G|} \sum_{g \in G} g \cdot \pi(g^{-1} \cdot \mathbf{w}).$$

Moreover the map is G-equivariant: for $h \in G$ we have

$$\phi(h \cdot \mathbf{w}) = \frac{1}{|G|} \sum_{g \in G} g \cdot \pi(g^{-1} \cdot h \cdot \mathbf{w})$$
$$= \frac{1}{|G|} \sum_{u \in G} u \cdot h \cdot \pi(u^{-1} \cdot \mathbf{w})$$
$$= h \cdot \phi(\mathbf{w}),$$

where $u = gh^{-1}$, and so ϕ is k[G]-linear. Furthermore, the map ϕ is the identity on V By the splitting lemma, $W = V \oplus \ker(\phi)$.

Remark 1.15. We used the assumption on k to ensure that we could divide by |G|. Without that assumption, the theorem is false. Indeed, let $G = C_2$, $R = \mathbb{F}_2$ and consider the representation defined by $\rho(\tau) = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$. This is not irreducible, but does not split as a direct sum of indecomposable representations.

Corollary 1.16. Suppose that k is a field of characteristic not dividing |G|. If V is an irreducible representation, then V is isomorphism to a subgroup of k[G] (slogan: all irreducibles are submodules of the regular representation).

Proof. Let $\mathbf{v} \in V$ be non-trivial. Then the homomorphism $\phi \colon k[G] \to V$ given by sending 1 to \mathbf{v} must be surjective, because V is irreducible. Let $U = \ker(\phi)$, then apply Maschke's theorem.

Example 1.7

Let $G = C_2 = \langle \tau \rangle$, and k a field of characteristic not equal to 2. We have the trivial representation $\mathbf{1}$ and the sign representation $\mathbf{1}_{\mathrm{sgn}}$. Then, $\mathbf{1}$ is generated by the sum $1 + \tau$, while $\mathbf{1}_{\mathrm{sgn}}$ is generated by $1 - \tau$, and we deduce that

$$\rho_{C_2} = \mathbf{1} \oplus \mathbf{1}_{\operatorname{sgn}}.$$

The representation ring

Let k be a field, and suppose that V and W are G-representations, then the k-linear tensor sum $V \oplus W$ can be given the structure of a k[G]-module, by taking the diagonal G-action. If we think of a representation in terms of a homomorphism $\rho \colon G \to GL_n(k)$, then this direct sum corresponds to the 'block sum'

$$G \to GL_n(k) \times GL_m(k) \to GL_{n+m}(k)$$

Similarly, we can define a tensor product of representations using the 'Kronecker tensor product' (or matrix direct product). Equivalently, this is the k-linear tensor product $V \otimes W$ with the q-action defined on simple tensors by

$$g \cdot (\mathbf{v} \otimes \mathbf{w}) = g \cdot \mathbf{v} \otimes g\mathbf{w}.$$

We leave it for the reader to verify the following straightforward computations:

- (a) $\mathbf{1} \otimes V \cong V \cong V \otimes \mathbf{1}$.
- (b) $\mathbf{n} \otimes V \cong V^{\oplus n} \cong V \otimes \mathbf{n}$

Example 1.8

Let us compute the tensor product $\mathbf{1}_{\operatorname{sgn}} \otimes \mathbf{1}_{\operatorname{sgn}}$. The underlying vector space is simply $k \otimes k \cong k$, while τ acts as $\tau \cdot (1 \otimes 1) = (\tau \cdot 1) \otimes (\tau \cdot 1) = -1 \otimes -1 = 1 \otimes 1$. So the tensor product $\mathbf{1}_{\operatorname{sgn}} \otimes \mathbf{1}_{\operatorname{sgn}} = \mathbf{1}$.

Example 1.0

Take $G = C_3$ and $k = \mathbb{R}$. We have a two-dimensional representation λ_3 corresponding to rotation by an angle of $2\pi/3$. What is $\lambda_3 \otimes \lambda_3$? This is a 4-dimensional representation, and by working out all irreducible representations must be either $\mathbf{4}, \mathbf{2} \oplus \lambda_3$ or $\lambda_3 \oplus \lambda_3$. If you know a little bit of character theory, you can see that it must be $\mathbf{2} \oplus \lambda_3$: we have

$$\chi_{\lambda_3 \otimes \lambda_3}(1) = 4, \quad \chi_{\lambda_3 \otimes \lambda_3}(\tau) = 1$$
$$\chi_{\mathbf{4}}(1) = 4, \quad \chi_{\mathbf{4}}(\tau) = 1$$
$$\chi_{\mathbf{2} \oplus \lambda_3}(1) = 4, \quad \chi_{\mathbf{2} \oplus \lambda_3}(\tau) = 1$$
$$\chi_{\lambda_3 \oplus \lambda_3}(1) = 4, \quad \chi_{\lambda_3 \oplus \lambda_3}(\tau) = -2$$

Remark 1.17. By passing to isomorphism classes of representations, the set of finite dimensional representations has the structure of a semiring. Using the Grothendieck construction, we can produce a commutative ring.

Definition 1.18. For a finite group G the real representation ring RO(G) is the Grothendieck group of the above semi-ring. Explicitly,

$$RO(G) \coloneqq \mathbb{Z} \left\{ \begin{aligned} &\text{isomorphism} & \text{classes} & \text{of finite-} \\ &\text{dimensional real G-representations} \end{aligned} \right\} / \langle [V \oplus W] - [V] - [W] \rangle.$$

Remark 1.19. As an abelian group, RO(G) is a direct sum of copies of \mathbb{Z} , with rank equal to the number of isomorphism classes of irreducible representations.

Remark 1.20. We can make the same definition for other fields, for example when $k = \mathbb{C}$ we get the complex representation ring R(G).

Example 1.10

We have $RO(C_2) \cong \mathbb{Z}\{1\} \oplus \mathbb{Z}\{1_{\text{sgn}}\}$. The ring structure is determined by Example (1.8): we have $RO(C_2) \cong \mathbb{Z}[\sigma]/(\sigma^2 - 1)$. The same is true for the complex representation ring. Note that this is the same as $\mathbb{Z}[C_2]$. In fact, the complex representation ring of a finite abelian group is always (non-canonically) isomorphic to the group ring: it is the group ring of the character group.

Example 1.11

When $G = C_3$ we have that

$$RO(C_3) = \mathbb{Z}\{\mathbf{1}\} \oplus \mathbb{Z}\{\lambda_3\}.$$

By Example (1.9) we have $[\lambda_3]^2 = 2 + [\lambda_3]$ and we see that

$$RO(C_3) \cong \mathbb{Z}[\lambda]/(\lambda^2 - \lambda - 2).$$

On the other hand, the complex representation ring is given by

$$R(C_3) \cong \mathbb{Z}[\zeta]/(\zeta^3 - 1).$$

By tensoring a real representation with \mathbb{C} , there is a map

$$RO(C_3) \rightarrow R(C_3)$$

given by $\lambda \mapsto \zeta + \zeta^2$.

Definition 1.21. Let $\phi \colon H \to G$ be a morphism of groups, then the pullback $\phi^*(V)$ of a G-representation is the k[H]-module induced by restriction of scalars along $k[H] \to k[G]$. Equivalently, it is the representation given by the composite $H \to G \stackrel{a}{\to} \operatorname{End}(V)$.

Remark 1.22. We have

$$\phi^*(V \oplus W) = \phi^*(V) \oplus \phi^*(W)$$
 and $\phi^*(V \otimes W) \cong \phi^*(V) \otimes \phi^*(W)$.

Therefore we deduce:

Corollary 1.23. A group homomorphism $\phi: H \to G$ induces a ring homomorphism $\phi^*: RO(G) \to RO(H)$.

Example 1.12

Consider the group homomorphism $\phi \colon G \to G/G \cong e$. Then we have $\mathbf{n} = \phi^*(\mathbf{k}^k)$.

Definition 1.24. An injective group homomorphism $\iota \colon H \hookrightarrow G$ gives rise to a restriction functor for representations, which we denote by Res_H^G .

Example 1.13

Let $G = C_4 = \langle r \rangle$ and $H = C_2 \subseteq C_4$ the subgroup generated by r^2 , and take $k = \mathbb{R}$. Pulling back the sign representation of C_2 along the quotient $C_4 \to C_2$ gives rise to the sign representation σ . This is one of three irreducible C_4 real representations: we have the trivial representation 1 and the rotation representation λ_4 . The inclusion $H \hookrightarrow G$ gives a morphism

$$RO(C_4) = \mathbb{Z}\{\mathbf{1}\} \oplus \mathbb{Z}\{\sigma\} \oplus \mathbb{Z}\{\lambda_4\} \to \mathbb{Z}\{\mathbf{1}\} \oplus \mathbb{Z}\{\mathbf{1}_{sgn}\} = RO(C_2).$$

This map is determined by

$$1 \mapsto 1$$
, $\sigma \mapsto 1$, $\lambda_4 \mapsto 2 \cdot 1_{sgn}$,

where the image of σ is determined from its definition as the pull-back, and the image of λ_4 comes from the fact that r acts as multiplication by $\pi/4$ and so r^2 acts as multiplication by -1, and so restricts to a 2-dimensional sign representation $\mathbf{1}_{\mathrm{sgn}} \oplus \mathbf{1}_{\mathrm{sgn}}$. We can conclude that $\lambda_4^2 \mapsto (2 \cdot \mathbf{1}_{\mathrm{sgn}})^2 = \mathbf{4}$, so that λ_4^2 must be either $\mathbf{4}, \mathbf{3} \oplus \sigma, \mathbf{2} \oplus 2\sigma, \mathbf{1} \oplus 3\sigma$ or 4σ .

There is also another functor, which will turn out to be adjoint to restriction.

Definition 1.25. Given $H \leq G$, and a H-representation V, we define the induced representation Ind_H^G is be the tensor product $k[G] \otimes_{k[H]} V$.

Remark 1.26. Induction plays well with direct sums: we have $\operatorname{Ind}_H^G(V \oplus W) \cong \operatorname{Ind}_H^G(V) \oplus \operatorname{Ind}_H^G(W)$. But a dimension check shows that it does not commute with tensor products. Therefore, we get an induced map of abelian groups, but not of commutative rings

$$\operatorname{Ind}_H^G \colon RO(H) \to RO(G)$$

Directly from the definition we deduce the following:

Lemma 1.27. If $K \leq H \leq G$ then $\operatorname{Ind}_H^G \operatorname{Ind}_K^H V$ for any K-representation V.

Example 1.14

The regular representation $\rho_G = k[G] \cong k[G] \otimes_{k[G]} k \simeq \operatorname{Ind}_e^G(1)$. More generally, we have $\operatorname{Ind}_H^G \rho_H \cong \rho_G$.

Let $C_2 \subseteq C_4$ and $k = \mathbb{R}$. What is $\operatorname{Ind}_{C_2}^{C_4}(\mathbf{1})$? We have

$$\operatorname{Ind}_{C_2}^{C_4}(\mathbf{1}) = \mathbb{R}[C_4] \otimes_{\mathbb{R}[C_2]} \mathbb{R} \cong \mathbb{R}[C_4/C_2] \cong \phi^*(\rho_{C_2})$$

for $\phi: C_4 \to C_4/C_2 \cong C_2$ the quotient map.^a This means that

$$\operatorname{Ind}_{C_2}^{C_4}(\mathbf{1}) = \mathbf{1} \oplus \sigma$$

To work out $\operatorname{Ind}_{C_2}^{C_4}(\mathbf{1}_{\operatorname{sgn}})$ we have that

$$\rho_{C_4} \cong \operatorname{Ind}_{C_2}^{C_4}(\rho_{C_2})) = \operatorname{Ind}_{C_2}^{C_4}(\mathbf{1} \oplus \mathbf{1}_{\operatorname{sgn}}) \cong \operatorname{Ind}_{C_2}^{C_4}(\mathbf{1}) \oplus \operatorname{Ind}_{C_2}^{C_4}(\mathbf{1}_{\operatorname{sgn}})$$
$$\cong 1 \oplus \sigma \oplus \operatorname{Ind}_{C_3}^{C_4}(\mathbf{1}_{\operatorname{sgn}}).$$

By $\rho_{C_4} \cong \mathbf{1} \oplus \sigma \oplus \lambda_4$,. To see this, once can note that

$$\mathbb{R}[\mathbb{Z}/4] \cong \mathbb{R}[X]/(X^4-1) \cong \mathbb{R}[X]/\prod_{d|4} \varPhi_d \cong \bigoplus_{d|4} \mathbb{R}[X]/\varPhi_d$$

so that

$$\mathbb{R}[\mathbb{Z}/4] \cong \mathbb{R}[X]/(X-1) \oplus \mathbb{R}[X]/(X+1) \oplus \mathbb{R}[X]/(X^2-1).$$

Hence, $\operatorname{Ind}_{C_2}^{C_4}(\mathbf{1}_{\operatorname{sgn}}) \cong \lambda_4$. We deduce that the map

$$\operatorname{Ind}_{C_2}^{C_4} \colon RO(C_2) \to RO(C_4)$$

is determined by

$$\mathbf{1} \mapsto \mathbf{1} \oplus \sigma$$
 and $\mathbf{1}_{sgn} \mapsto \lambda_4$.

In general, if we have commutative rings R and S and a ring map $f: R \to S$, then we can define induction and restriction between R-modules and S-modules and induction is left adjoint to restriction. As a special case, we have:

 $^{{}^}a{\rm This}$ always works: For $H\,\leq\, G$ a normal subgroup we have ${\rm Ind}_H^G({\bf 1})\,=\,$ $\phi^*(\rho_{G/H}).$

Lemma 1.28. If $H \leq G$, then induction is left adjoint to restriction.

Proposition 1.29 (The projection formula). Let $H \leq G$, then there is a natural equivalence

$$\operatorname{Ind}_{H}^{G}(\operatorname{Res}_{H}^{G}(V) \otimes W) \xrightarrow{\sim} V \otimes \operatorname{Ind}_{H}^{G}(W)$$

for $V \in RO(G)$ and $W \in RO(G)$

Proof. We first construct the map: by adjunction, such a map is equivalent to a H-equivariant map

$$\operatorname{Res}_H^G(V) \otimes W \to \operatorname{Res}_H^G(V \otimes \operatorname{Ind}_H^G(W)) \cong \operatorname{Res}_H^G(V) \otimes \operatorname{Res}_H^G \operatorname{Ind}_H^G(W).$$

This map is given as id $\otimes \eta$ where $\eta \colon W \to \operatorname{Res}_H^G \operatorname{Ind}_H^G(W)$ is the unit of the induction/restriction adjunction. To check this is an equivalence, it suffices to check on underlying vector spaces, which then just boils down to the isomorphism

$$\bigoplus_{G/H} (V \otimes W) \cong V \otimes (\bigoplus_{G/H} W).$$

Example 1.10

Let us finish our calculation of λ_4^2 in $RO(C_4)$. We have just seen that $\operatorname{Ind}_{C_2}^{C_4}(\mathbf{1}_{\operatorname{sgn}}) \cong \lambda_4$, and hence

$$\begin{split} \lambda_4 \otimes \lambda_4 &\cong \lambda_4 \otimes (\operatorname{Ind}_{C_2}^{C_4}(\mathbf{1}_{\operatorname{sgn}})) \cong \operatorname{Ind}_{C_2}^{C_4}(\operatorname{Res}_{C_2}^{C_4}(\lambda_4) \otimes \mathbf{1}_{\operatorname{sgn}}) \\ &\cong \operatorname{Ind}_{C_2}^{C_4}(2 \cdot \mathbf{1}_{\operatorname{sgn}} \otimes \mathbf{1}_{\operatorname{sgn}}) \\ &\cong \operatorname{Ind}_{C_2}^{C_4}(\mathbf{2}) \\ &\cong \mathbf{2} \oplus 2\sigma \end{split}$$

For a a ring map $R \to S$, restriction also has a right adjoint, given by coinduction, denoted Coind_R^S and defined by $M \mapsto \operatorname{Hom}_S(R, M)$. A special fact about representation theory is that these adjoint are equal.

Proposition 1.30. There is a natural equivalence of functors $\operatorname{Ind}_H^G \simeq \operatorname{Coind}_H^G$.

Proof. If M is an R-module, we use the notation $M^* \cong \operatorname{Hom}_R(M,R)$ for the linear dual. In the case R = k[G], then the natural k-linear isomorphisms

$$\operatorname{Hom}_k(M,N) \cong M^* \otimes_k N$$
 and $M^{**} \cong M$

are actually k[G]-module isomorphisms. To prove the proposition, we note that

$$k[G] \cong k[G]^* = \operatorname{Hom}_k(k[G], k)$$

so that

$$\operatorname{Ind}_H^G(M) = k[G] \otimes_{k[H]} M \cong k[G]^* \otimes_{k[H]} M \cong \operatorname{Hom}_{k[H]}(k[G], M) = \operatorname{Coind}_H^G(M)$$
 naturally in M .

Remark 1.31. More generally, if $f \colon R \to S$ is a morphism of rings, then induction and coinduction agree if and only if S is finitely-generated and projective over R, and there is an isomorphism of (S,R)-bimodules

$$S \to \operatorname{Hom}_R(S, R)$$
.

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