

## DS 4300 - Spring 2025 Sample Midterm Questions (& HW 04)

Below are the answers to the sample exam questions:

### 1. **Difference between contiguously allocated and linked lists:**

- A contiguously allocated list (array) stores elements in a single block of memory, allowing  $O(1)$  access time for indexing but requiring resizing if the array fills up. A linked list consists of nodes that are individually allocated and connected via pointers, allowing dynamic memory allocation but requiring  $O(n)$  time for indexing.

### 2. **When are linked lists faster than contiguously-allocated lists?**

- Linked lists are faster when frequent insertions and deletions occur, particularly in the middle of the list, because they do not require shifting elements like arrays do.

### 3. **AVL Tree insertion of 23 and imbalance case:**

- Inserting 23 into the AVL tree:

```
30
 / \
25 35
 /
20
 \
 23
```

### 4.

- The imbalance occurs at node 25, forming a Left-Right (LR) case. This requires a left rotation on 20 followed by a right rotation on 30 to rebalance.

### 5. **Why is a B+ Tree better than an AVL tree for indexing large datasets?**

- A B+ Tree is optimized for disk storage because it has a higher branching factor, reducing the number of disk accesses required. Unlike an AVL tree, all data is stored in leaf nodes, making range queries and sequential access more efficient.

### 6. **What is disk-based indexing and why is it important for database systems?**

- Disk-based indexing structures data efficiently on disk to minimize expensive disk I/O operations. It is crucial for databases because accessing disk storage is significantly slower than accessing RAM.

### 7. **What is a transaction in a relational database system?**

- A transaction is a sequence of database operations that are executed as a single unit of work, ensuring data integrity.

**8. Four components of ACID transactions:**

- **Atomicity:** Ensures transactions are all-or-nothing.
- **Consistency:** Guarantees the database remains in a valid state.
- **Isolation:** Prevents transactions from interfering with each other.
- **Durability:** Ensures committed transactions persist even after failures.

**9. Why does the CAP principle not apply to a single-node MongoDB instance?**

- The CAP theorem applies to distributed systems, where consistency, availability, and partition tolerance must be balanced. A single-node MongoDB instance does not experience network partitions, making CAP irrelevant.

**10. Differences between horizontal and vertical scaling:**

- **Horizontal scaling:** Adding more machines to distribute the load.
- **Vertical scaling:** Upgrading the hardware of a single machine.

**11. How a key/value store can be used as a feature store:**

- A key/value store allows efficient retrieval of precomputed features for machine learning models, ensuring low-latency data access.

**12. When was Redis originally released?**

- Redis was first released in 2009.

**13. Difference between INC and INCR commands in Redis:**

- Redis does not have an **INC** command. The **INCR** command atomically increments a key's value by one.

**14. Benefits of BSON over JSON in MongoDB:**

- BSON supports additional data types, including binary data and timestamps, and is more efficient in parsing and storage than JSON.

**MongoDB query for suspense movies released between 2010 and 2015:**

```
db.movies.find({
  release_year: { $gte: 2010, $lte: 2015 },
  genre: "suspense"
}, {
  title: 1,
  _id: 0
});
```

15.

16. What does the **\$nin** operator mean in a MongoDB query?

- The **\$nin** (not in) operator filters out documents where the specified field does not contain any of the listed values.