

Variant calling

Detecting variants in NGS data

The Genome Analysis ToolKit (GATK)

University of Cambridge

Cambridge, UK

24th February 2015

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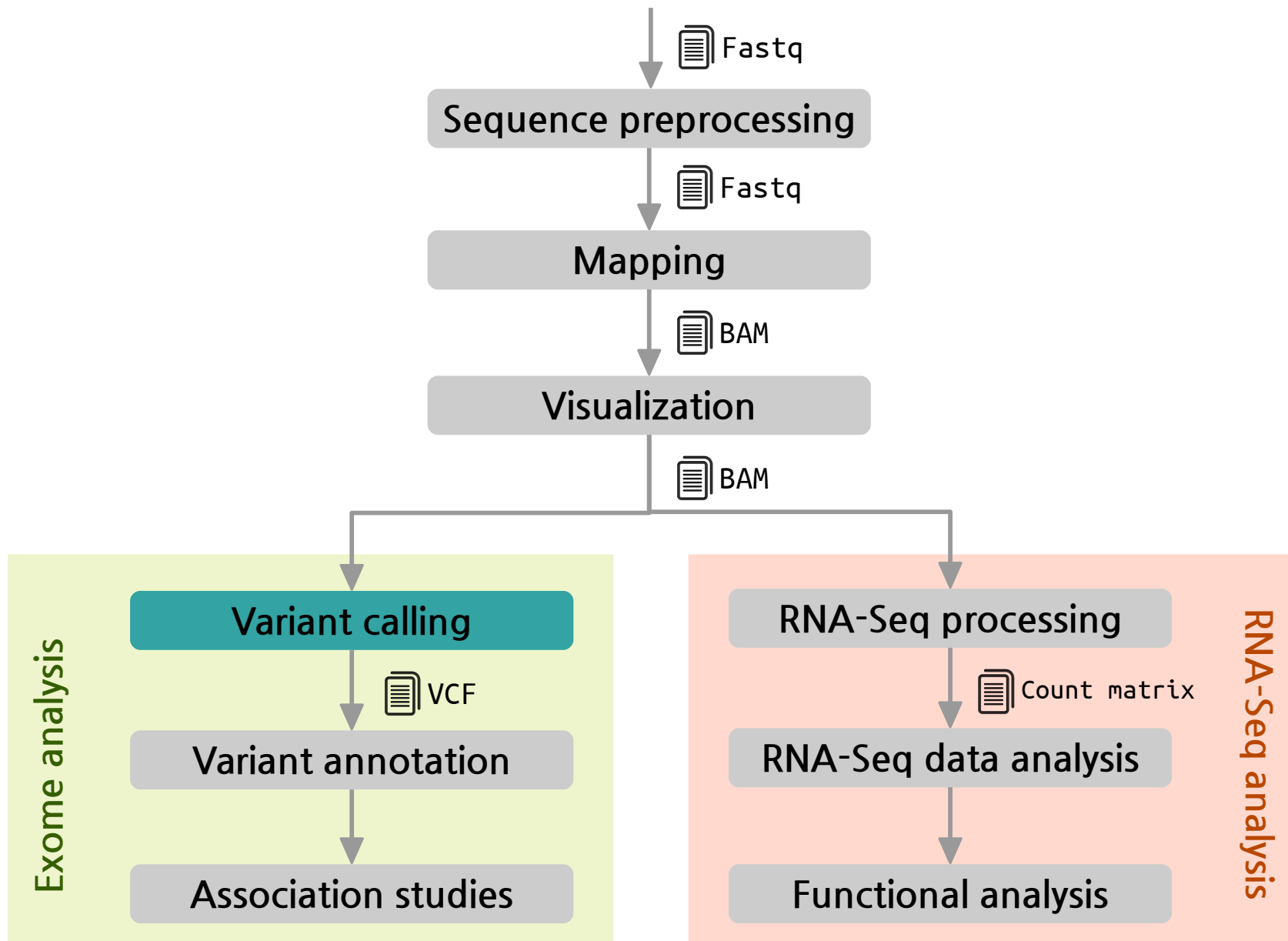
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Research Assistant at the Department of Medicine

University of Cambridge

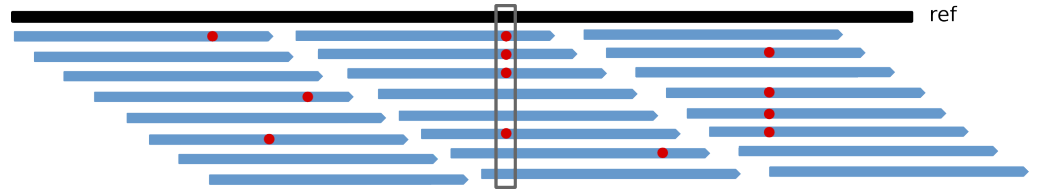
Cambridge, UK

The pipeline



Objective

Assign a genotype to each position



Problems

Some variation observed in BAM files is caused by mapping and sequencing artifacts:

- **PCR artifacts:**
 - Mismatches due to errors in early PCR rounds
 - PCR duplicates
- **Sequencing errors:** erroneous call, either for physical reasons or to properties of the sequenced DNA
- **Mapping errors:** often happens around repeats or other low-complexity regions

Separate **true variation** from machine artifacts

Variant calling process pipeline

1. Mark duplicates

Duplicates should not be counted as additional evidence

2. Local realignment around INDELS

Reads mapping on the edges of INDELS often get mapped with mismatching bases introducing false positives

3. Base quality score recalibration (BQSR)

Quality scores provided by sequencing machines are generally inaccurate and biased

4. Variant calling

Discover variants and their genotypes

1. Mark duplicates

- The same DNA molecule can be **sequenced several times** during PCR
- **Not informative**
- **Not** to be counted as **additional evidence** for or against a putative variant
- Can result in **false variant calls**

Tools

- Samtools: `samtools rmdup` or `samtools rmdupse`
- Picard: `MarkDuplicates`

1. Mark duplicates

- The same DNA molecule can be **sequenced several times** during PCR
- **Not informative**
- **Not** to be counted as **additional evidence** for or against a putative variant
- Can result in **false variant calls**

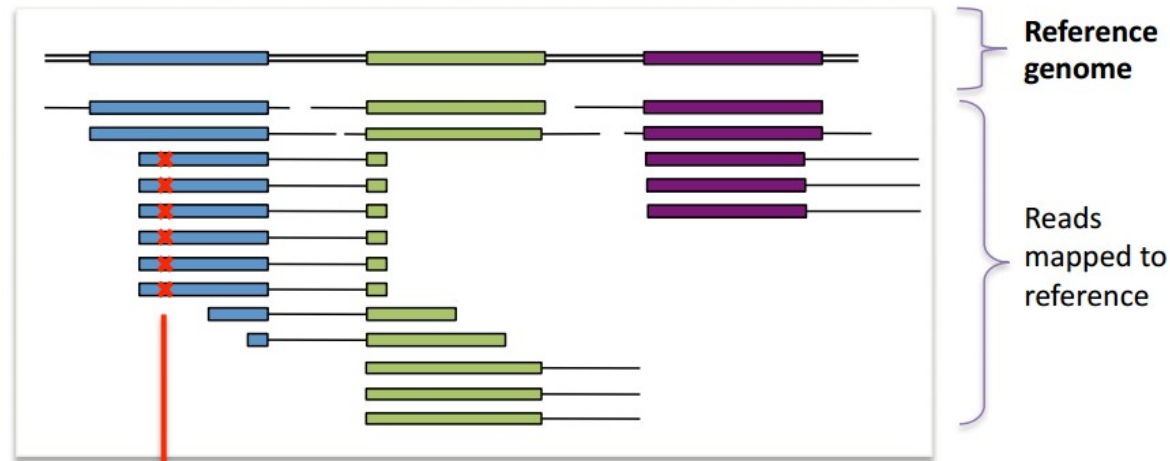
Tools

- Samtools: `samtools rmdup` or `samtools rmdupse`
- **Picard: MarkDuplicates**

1. Mark duplicates

The reason why duplicates are bad

✗ = sequencing error propagated in duplicates



After marking duplicates, the GATK will only see :

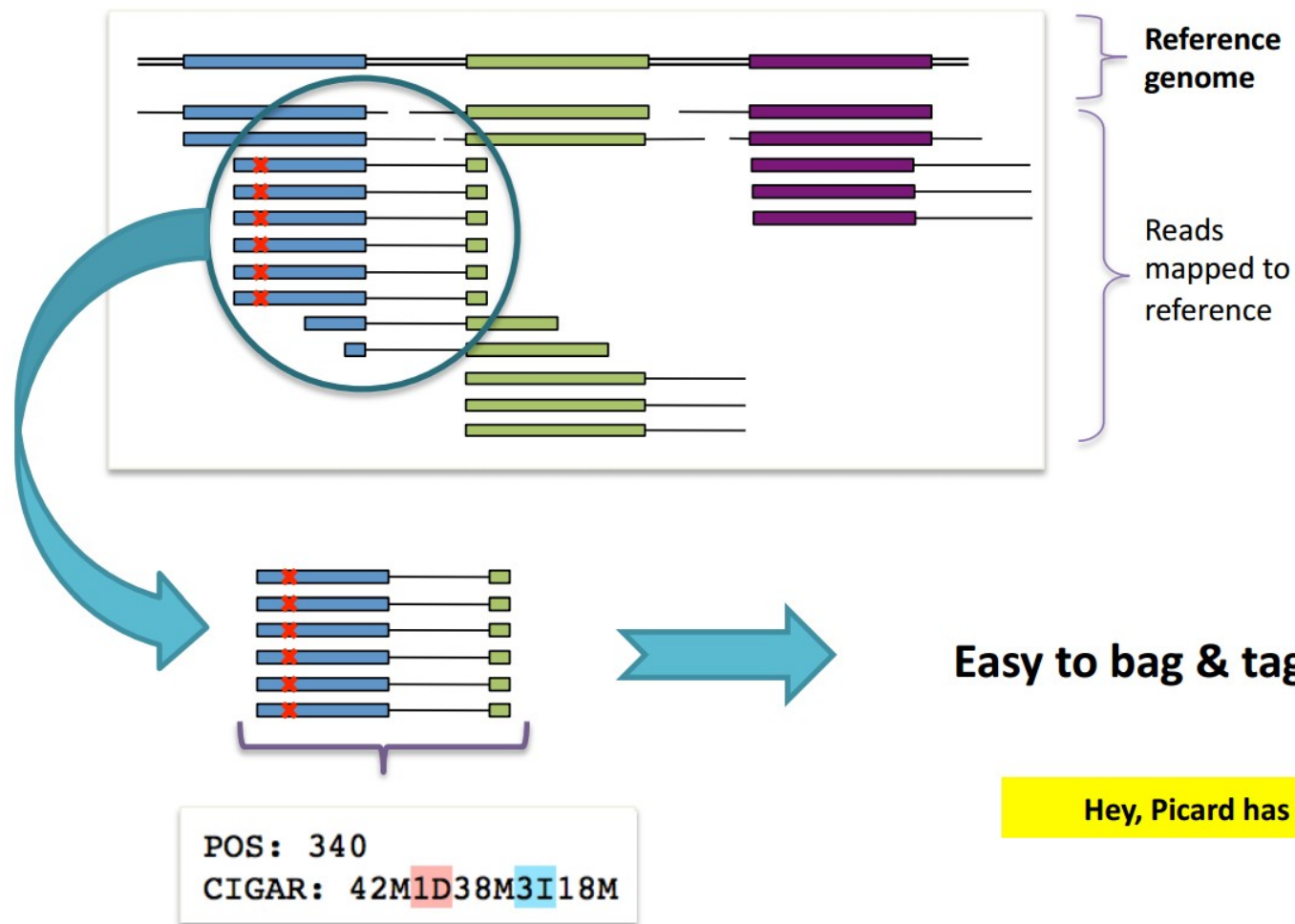


... and thus be more likely to make the right call

1. Mark duplicates

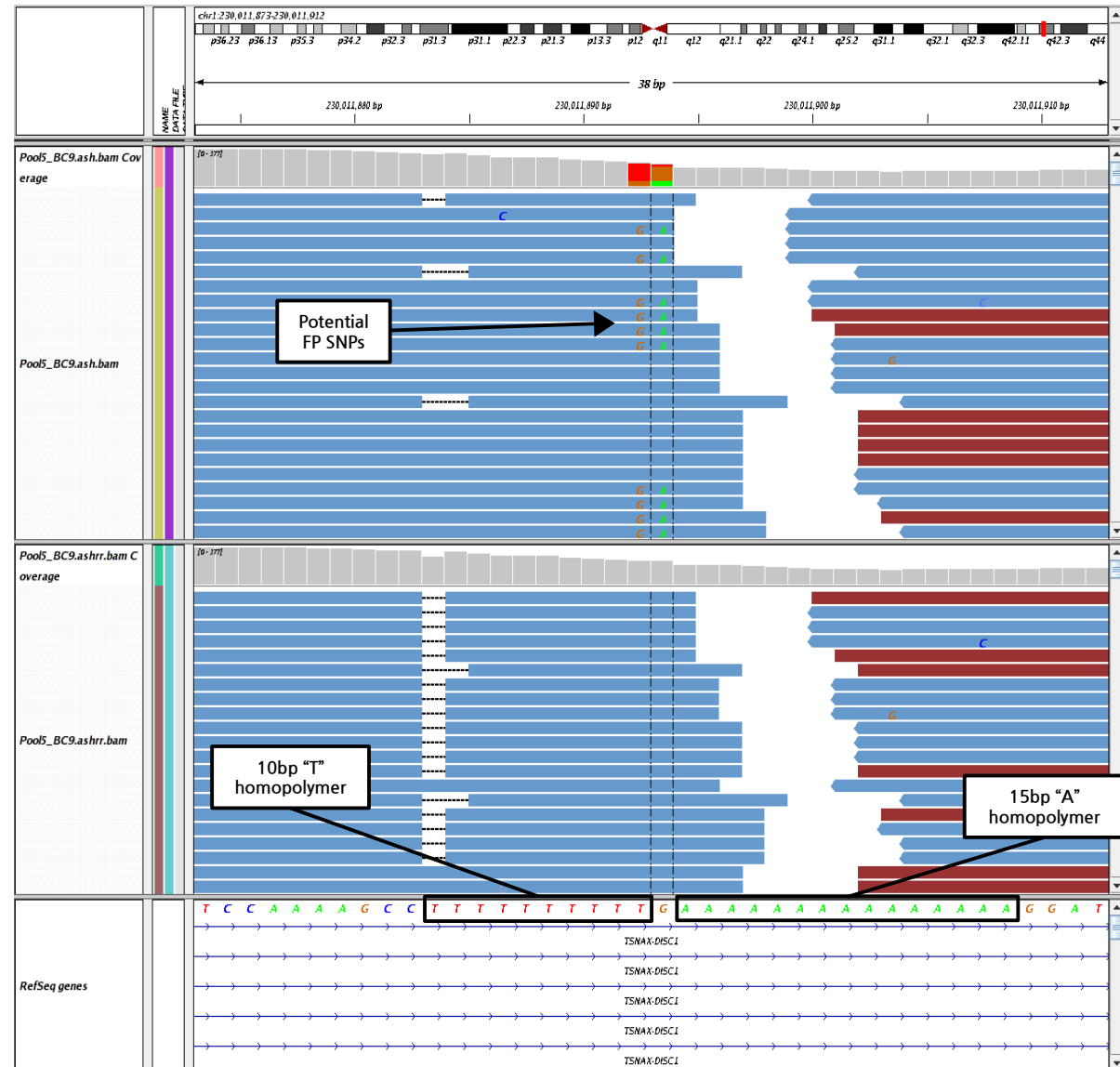
Duplicate identification

Duplicates have the **same starting position** and the **same CIGAR string**



2. Local realignment around INDELS

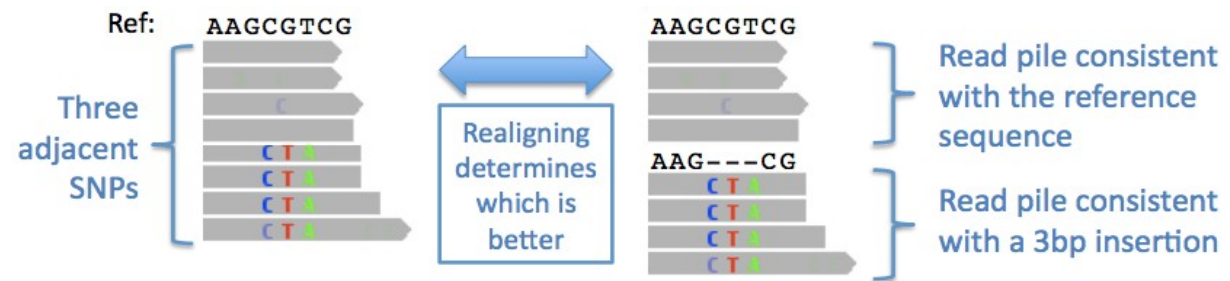
- Reads **near INDELS** are mapped with mismatches
- **Realignment** can identify the most consistent placement for these reads
 1. **Identify** problematic regions
 2. **Determine** the optimal consensus sequence
- **Minimizes mismatches** with the reference sequence
- **Refines** location of **INDELS**



DePristo MA, et al. A framework for variation discovery and genotyping using next-generation DNA sequencing data. Nat Genet. 2011 May;43(5):491-8. PMID: 21478889

2. Local realignment around INDELS

- Reads **near INDELS** are mapped with mismatches
- **Realignment** can identify the most consistent placement for these reads
 1. **Identify** problematic regions
 2. **Determine the optimal** consensus sequence
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- **Refines** location of **INDELS**



3. Base quality score recalibration

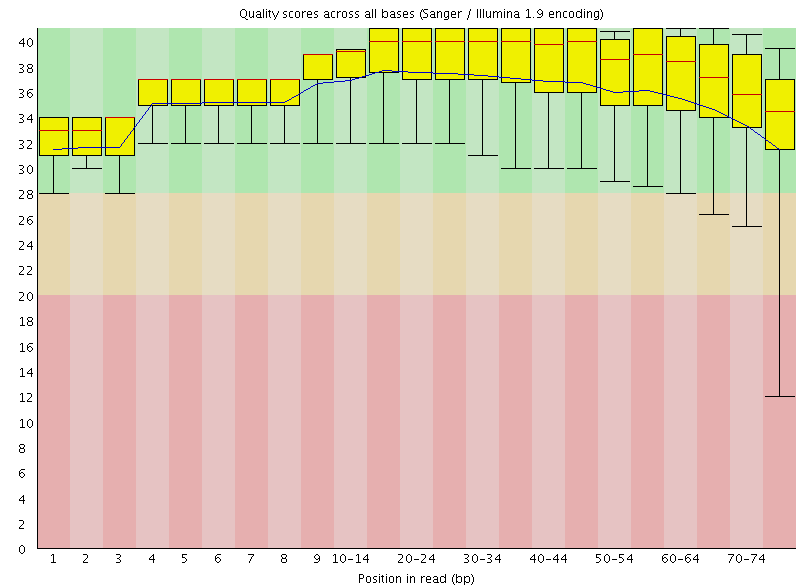
- **Calling algorithms** rely heavily on the **quality scores** assigned to the individual base calls in each sequence read
- Unfortunately, the scores produced by the machines are subject to various sources of **systematic error**, leading to over- or under-estimated base quality scores in the data

How?

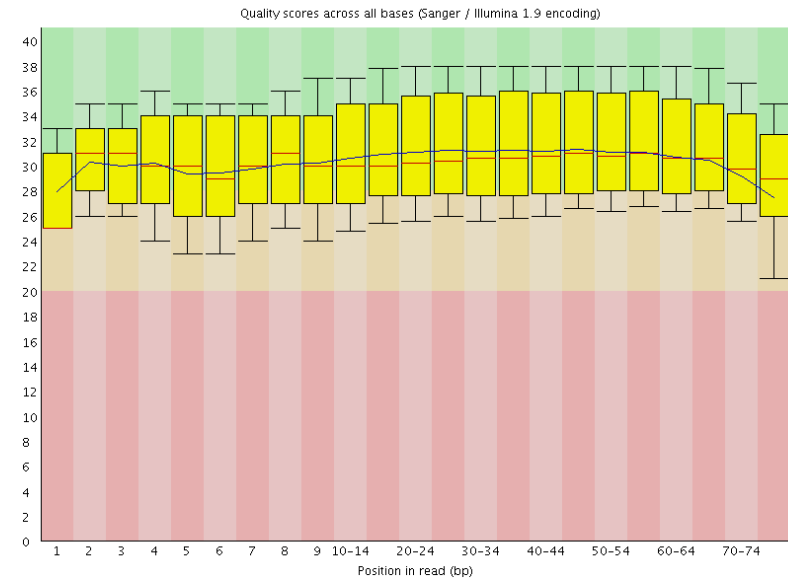
1. **Analyze covariation** among several features of a base:
 - Reported quality score
 - Position within the read
 - Preceding and current nucleotide
2. Use a set of **known variants** (i.e.: dbSNP) to model error properties of real polymorphism and determine the **probability that novel sites are real**
3. **Adjust** the quality scores of all reads in a BAM file

3. Base quality score recalibration

Before



After



Phred Quality score:

$$Q_{\text{Phred}} = -10 \log_{10} P(\text{error}).$$

A score of 20 corresponds to 1 % error rate in base calling

4. Variant calling

Variant discovery process

Steps

1. **Variant calling:** Identify the positions that differ from the reference
2. **Genotype calling:** calculate the genotypes for each sample at these sites

Initial approach

Independent base assumption

Counting the number of times each allele is observed

Evolved approach

Bayesian inference → Compute genotype likelihood

Advantages:

Provide statistical measure of **uncertainty**

Lead to **higher accuracy** of genotype calling

4. Variant calling

Variant discovery process



Reference = A

4. Variant calling

Variant discovery process



Reference = A

AAAAAAAAAAAAAAAAAAAAAAAAAAAA

$N=30$, $X=0$

N = nucleotides
 G = true genotype
 R = reference base
 V = variant base
 X = variant nucleotides

Outcomes:

RR RV VV

4. Variant calling

Variant discovery process



Reference = A

AAAAAAAAAAAAAAAAAAAAAAAAAAAA

$N=30$, $X=0$

GGGGGGGGGGGGGGGGGGGGGGGGGGGGGGGG

$N=30$, $X=30$

N = nucleotides
 G = true genotype
 R = reference base
 V = variant base
 X = variant nucleotides

Outcomes:

RR RV VV

Variant discovery process


$$N=30, \quad X=0$$
$$N=30, \quad X=30$$
$$N=30, \quad X=15$$

Outcomes:

RR RV VW

4. Variant calling

Variant discovery process



Reference = A

AAAAAAAAAAAAAAAAAAAAAAAAAAAAA

$N=30, \quad X=0$

GGGGGGGGGGGGGGGGGGGGGGGGGGGG

$N=30, \quad X=30$

AAAAAAAAAAAAAAAAAAGGGGGGGGGGGGGGG

$N=30, \quad X=15$

AAAAAAAAAAAAAAAAAAGGGGGGGGGGGGGCT

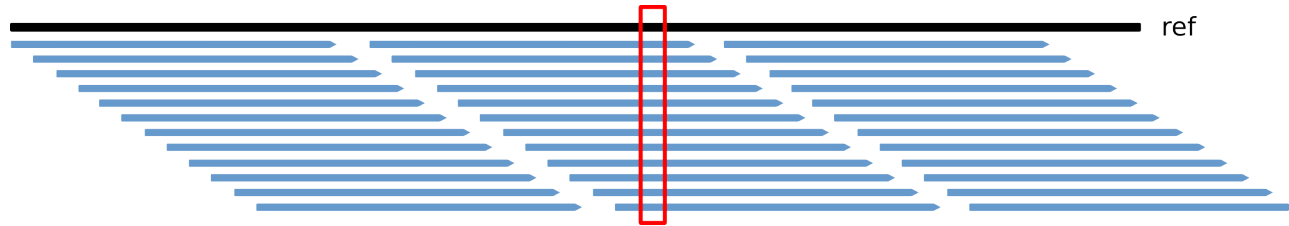
$N=30, \quad X=12$

N = nucleotides
 G = true genotype
 R = reference base
 V = variant base
 X = variant nucleotides

Outcomes:
RR RV VV

4. Variant calling

Variant discovery process



Reference = A

AAAAAAAAAAAAAAAAAAAAAAAAAAAAA

$N=30, \quad X=0$

GGGGGGGGGGGGGGGGGGGGGGGGGGGG

$N=30, \quad X=30$

AAAAAAAAAAAAAAAAAAGGGGGGGGGGGGGG

$N=30, \quad X=15$

AAAAAAAAAAAAAAAAAAGGGGGGGGGGGGGCT

$N=30, \quad X=12$

AAAGGGCCCTT

$N=10, \quad X=3$

N = nucleotides
 G = true genotype
 R = reference base
 V = variant base
 X = variant nucleotides

Outcomes:

RR RV VV

Variant discovery process


$$N=30, \quad X=0$$
$$N=30, \quad X=30$$
$$N=30, \quad X=15$$
$$N=30, \quad X=12$$
$$N=10, \quad X=3$$

- $c_1 = 10\%, c_2 = 30\%$

| | | |
|-----------------|---------------|-----------|
| $X \leq c_1$ | \rightarrow | RR |
| $c_1 < X < c_2$ | \rightarrow | RV |
| $X \geq c_2$ | \rightarrow | RR |

Outcomes:

| | | |
|----|----|----|
| RR | RV | VV |
|----|----|----|

Variant discovery process



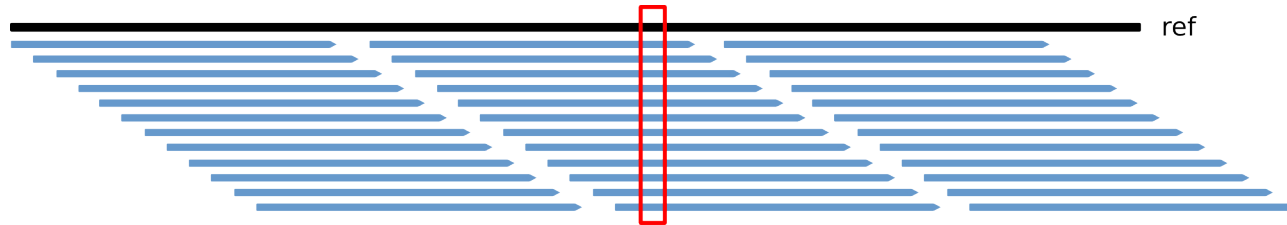
$N=10, \quad X=3 \rightarrow \text{RV?}$

$$X \geq c_2 \quad \rightarrow \quad \mathbf{RR}$$

RR RV VV

4. Variant calling

Variant discovery process



Bayesian approximation

α = nucleotide-base error rate

N = nucleotides
 G = true genotype
 R = reference base
 V = variant base
 X = variant nucleotides

Outcomes:

RR RV VV

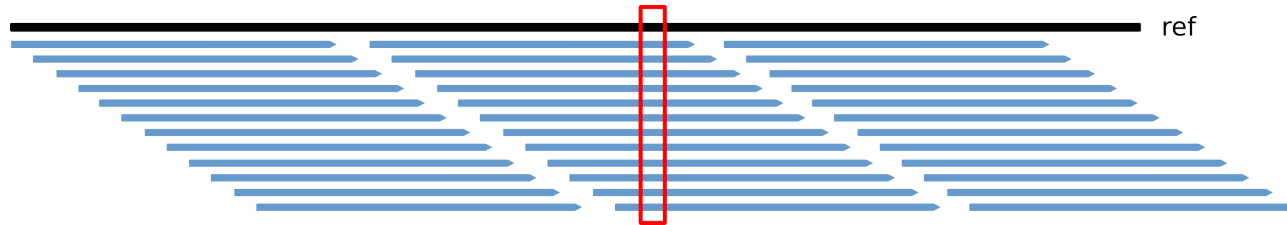
$P(G=RR, X|N, \alpha)$ = P of all R calls being correct and all V calls being wrong

$P(G=VV, X|N, \alpha)$ = P of all V calls being correct and all R calls being wrong

$P(G=RV, X|N, \alpha)$ = P of all R and V calls being correct

4. Variant calling

Variant discovery process



Bayesian approximation

α = nucleotide-base error rate

N = nucleotides
 G = true genotype
 R = reference base
 V = variant base
 X = variant nucleotides

Outcomes:

RR RV VV

$$P(G=RR, X|N, \alpha) = \binom{N}{X} \alpha^X (1-\alpha)^{N-X}$$

$$P(G=VV, X|N, \alpha) = \binom{N}{X} (1-\alpha)^X \alpha^{N-X}$$

$$P(G=RV, X|N, \alpha) = \binom{N}{X} \left(\frac{1}{2}\right)^N$$

4. Variant calling

Variant discovery process



Bayesian approximation

α = nucleotide-base error rate

p_{VV} }
 p_{VR} } Prior probabilities

N = nucleotides
 G = true genotype
 R = reference base
 V = variant base
 X = variant nucleotides

Outcomes:

RR RV VV

$$P(G=RR, X|N, \alpha) = \binom{N}{X} \alpha^X (1-\alpha)^{N-X} (1 - p_{VV} - p_{RV})$$

$$P(G=VV, X|N, \alpha) = \binom{N}{X} (1-\alpha)^X \alpha^{N-X} p_{VV}$$

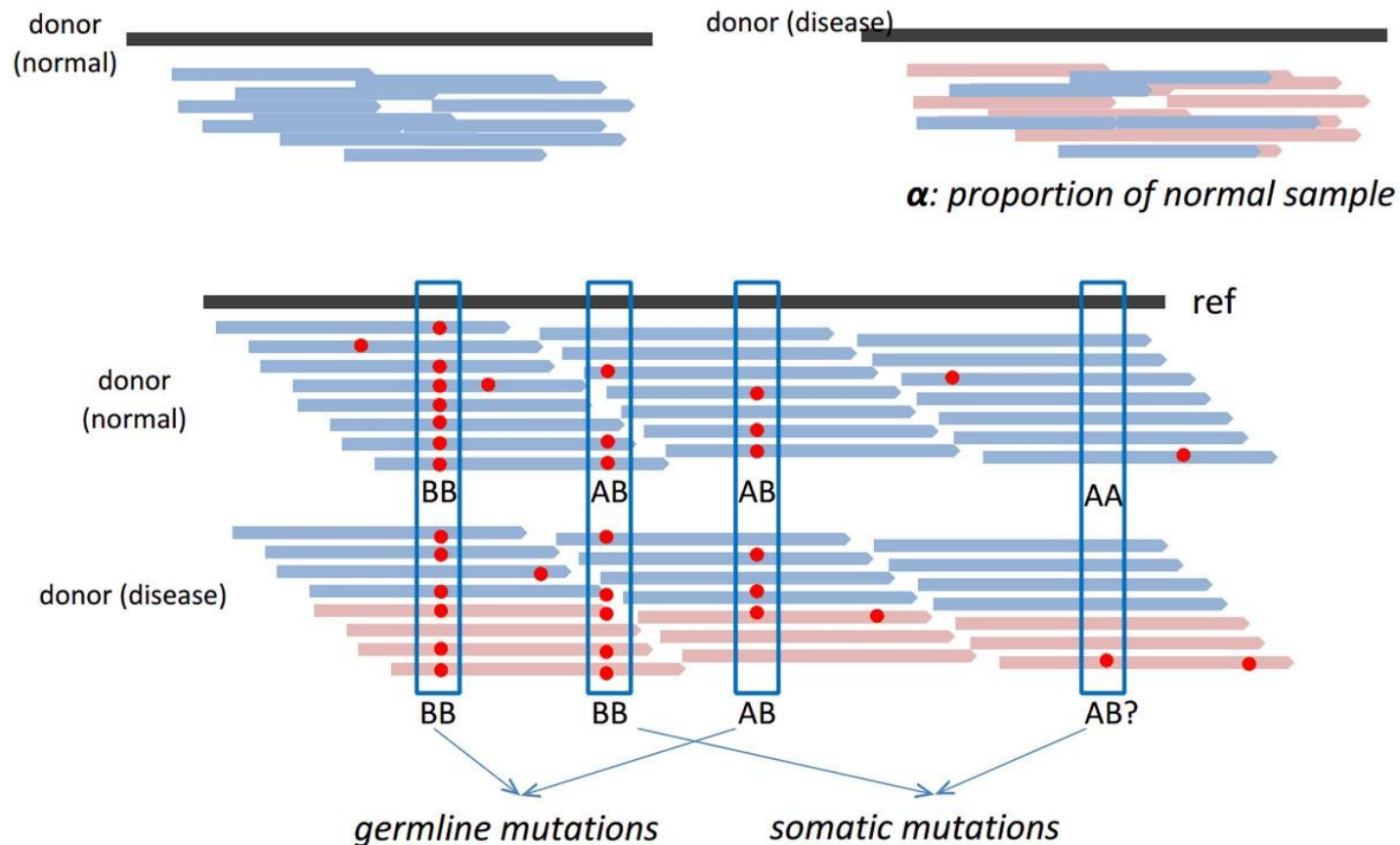
$$P(G=RV, X|N, \alpha) = \binom{N}{X} \left(\frac{1}{2}\right)^N p_{RV}$$

Somatic calling

Detecting somatic SNVs in cancer

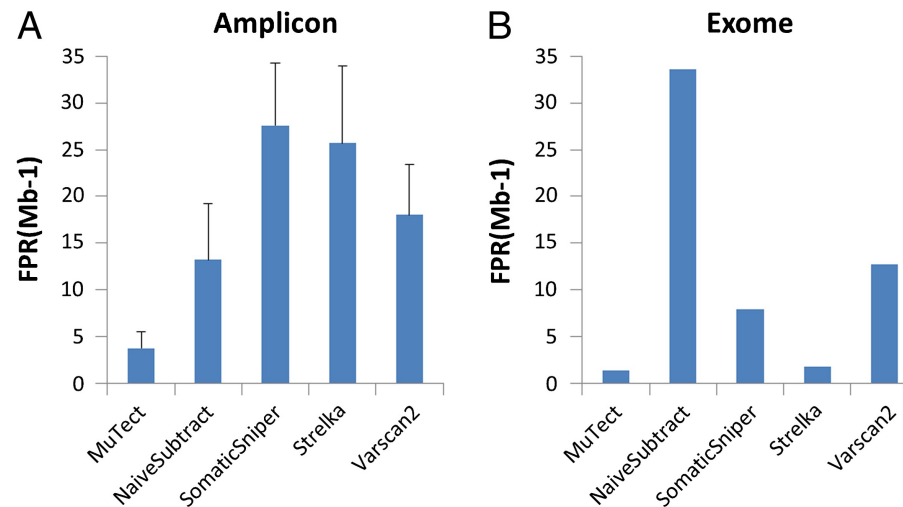
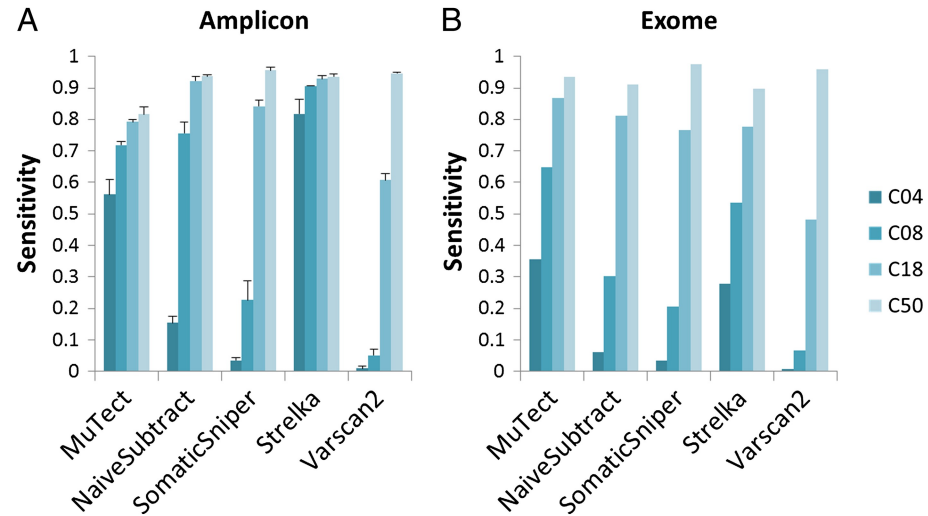
Challenges:

- Somatic variants occur at low frequency in genome
- Most tumors are impure and heterogeneous



Somatic calling

Comparison



VCF file format

- Specification defined by the 1000 genomes (current version 4.2):
<http://www.1000genomes.org/wiki/Analysis/Variant%20Call%20Format/vcf-variant-call-format-version-41>
- Commonly **compressed and indexed** with bgzip/tabix
- Single-sample or multi-sample VCF

```
##fileformat=VCFv4.1
##fileDate=20090805
##source=myImputationProgramV3.1
##reference=file:///seq/references/1000GenomesPilot-NCBI36.fasta
##contig=<ID=20,length=62435964,assembly=B36,md5=f126cdf8a6e0c7f379d618ff66beb2da,species="Homo sapiens",taxonomy=x>
##phasing=partial
##INFO=<ID=NS,Number=1,Type=Integer,Description="Number of Samples With Data">
##INFO=<ID=DP,Number=1,Type=Integer,Description="Total Depth">
##INFO=<ID=AF,Number=A,Type=Float,Description="Allele Frequency">
##INFO=<ID=AA,Number=1,Type=String,Description="Ancestral Allele">
##INFO=<ID=DB,Number=0,Type=Flag,Description="dbSNP membership, build 129">
##INFO=<ID=H2,Number=0,Type=Flag,Description="HapMap2 membership">
##FILTER=<ID=q10,Description="Quality below 10">
##FILTER=<ID=s50,Description="Less than 50% of samples have data">
##FORMAT=<ID=GT,Number=1,Type=String,Description="Genotype">
##FORMAT=<ID=GQ,Number=1,Type=Integer,Description="Genotype Quality">
##FORMAT=<ID=DP,Number=1,Type=Integer,Description="Read Depth">
##FORMAT=<ID=HQ,Number=2,Type=Integer,Description="Haplotype Quality">
#CHROM POS ID REF ALT QUAL FILTER INFO FORMAT NA000001 NA000002 NA000003
20 14370 rs6054257 G A 29 PASS NS=3;DP=14;AF=0.5;DB;H2 GT:GQ:DP:HQ 0|0:48:1:51,51 1|0:48:8:51,51 1/1:43:5:.,.
20 17330 . T A 3 q10 NS=3;DP=11;AF=0.017 GT:GQ:DP:HQ 0|0:49:3:58,50 0|1:3:5:65,3 0/0:41:3
20 1110696 rs6040355 A G,T 67 PASS NS=2;DP=10;AF=0.333,0.667;AA=T;DB GT:GQ:DP:HQ 1|2:21:6:23,27 2|1:2:0:18,2 2/2:35:4
20 1230237 . T . 47 PASS NS=3;DP=13;AA=T GT:GQ:DP:HQ 0|0:54:7:56,60 0|0:48:4:51,51 0/0:61:2
20 1234567 microsat1 GTC G,GTCT 50 PASS NS=3;DP=9;AA=G GT:GQ:DP 0/1:35:4 0/2:17:2 1/1:40:3
```

VCF file format

The diagram illustrates the VCF file format with a header and three data lines. Arrows point from labels below to specific fields in the data lines:

| #CHROM | POS | ID | REF | ALT | QUAL | FILTER | INFO |
|-------------|----------------|----------------|--------------|---------|------|---------|-------------------------|
| 20 | 14370 | rs6054257 | G | A | 29 | PASS | NS=3;DP=14;AF=0.5;DB;H2 |
| FORMAT | | NA00001 | | NA00002 | | NA00003 | |
| GT:GQ:DP:HQ | 0 0:48:1:51,51 | 1 0:48:8:51,51 | 1/1:43:5:.,. | | | | |

Labels and arrows:

- genotype (points to GT in FORMAT)
- genotype quality (points to GQ in FORMAT)
- read depth (points to DP in FORMAT)
- haplotype qualities (points to HQ in FORMAT)

- **CHROM:** chromosome
- **POS:** position
- **ID:** identifier
- **REF:** reference base(s)
- **ALT:** non-reference allele(s)
- **QUAL:** quality score of the calls (phred scale)
- **FILTER:** “PASS” or a filtering tag
- **INFO:** additional information
- **FORMAT:** describes the information given by sample

Software

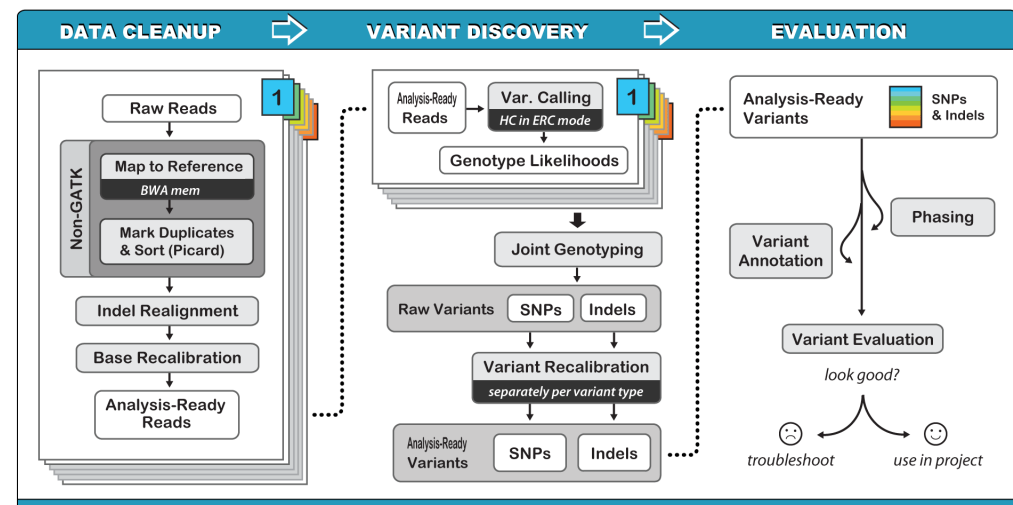
| Software | Available from | Calling method | Prerequisites | Comments | Refs |
|----------|---|-----------------|---|--|-------|
| SOAP2 | http://soap.genomics.org.cn/index.html | Single-sample | High-quality variant database (for example, dbSNP) | Package for NGS data analysis, which includes a single individual genotype caller (SOAPsnp) | 15 |
| realSFS | http://128.32.118.212/thorfinn/realSFS/ | Single-sample | Aligned reads | Software for SNP and genotype calling using single individuals and allele frequencies. Site frequency spectrum (SFS) estimation | - |
| Samtools | http://samtools.sourceforge.net/ | Multi-sample | Aligned reads | Package for manipulation of NGS alignments, which includes a computation of genotype likelihoods (samtools) and SNP and genotype calling (bcftools) | 53 |
| GATK | http://www.broadinstitute.org/gsa/wiki/index.php/The_Genome_Analysis_Toolkit | Multi-sample | Aligned reads | Package for aligned NGS data analysis, which includes a SNP and genotype caller (Unified Genotyper), SNP filtering (Variant Filtration) and SNP quality recalibration (Variant Recalibrator) | 32,33 |
| Beagle | http://faculty.washington.edu/browning/beagle/beagle.html | Multi-sample LD | Candidate SNPs, genotype likelihoods | Software for imputation, phasing and association that includes a mode for genotype calling | 42 |
| IMPUTE2 | http://mathgen.stats.ox.ac.uk/impute/impute_v2.html | Multi-sample LD | Candidate SNPs, genotype likelihoods | Software for imputation and phasing, including a mode for genotype calling. Requires fine-scale linkage map | 44 |
| QCall | ftp://ftp.sanger.ac.uk/pub/rd/QCALL | Multi-sample LD | 'Feasible' genealogies at a dense set of loci, genotype likelihoods | Software for SNP and genotype calling, including a method for generating candidate SNPs without LD information (NLDA) and a method for incorporating LD information (LDA). The 'feasible' genealogies can be generated using Margarita (http://www.sanger.ac.uk/resources/software/margarita) | 54 |
| MaCH | http://genome.sph.umich.edu/wiki/Thunder | Multi-sample LD | Genotype likelihoods | Software for SNP and genotype calling, including a method (GPT_Freq) for generating candidate SNPs without LD information and a method (thunder_glf_freq) for incorporating LD information | - |

A more complete list is available from <http://seqanswers.com/wiki/Software/list>. LD, linkage disequilibrium; NGS, next-generation sequencing.

GATK (Genome Analysis ToolKit)

<http://www.broadinstitute.org/gatk/>

- Probabilistic method: **Bayesian estimation** of the most likely genotype
- Calculates many **parameters** for each position of the genome
- INDEL realignment
- Base quality recalibration
- SNP and INDEL calling
- **Multi-sample** calling
- Uses standard input and output files
- Used in **many NGS projects**, including the 1000 Genomes Project, The Cancer Genome Atlas, etc.



GATK prerequisites

- Requires Java (<http://www.oracle.com/technetwork/java/javase/downloads/index.html>)

- Check your java version

```
java -version
```

GATK \geq 2.6 → Requires Java version 1.7

- Picard

- Website: <http://picard.sourceforge.net/>
- Go to Download page and select
[Download picard-tools-1.114.zip \(48.0 MB\)](#)

- Testing:

```
java -jar AddOrReplaceReadGroups.jar -h
```

- Usage

```
java -jar <ToolName> [options]
```

General Information

[FAQ](#)

[Download Page](#)

[Getting help](#)

[Picard SourceForge Project Page](#)

[SAMTools Home Page](#)

[SAM Format Specification](#)

[SAMTools mailing Lists](#)

[SVN Browse](#)


[Explain SAM Flags](#)

[Description of output of metrics programs](#)

GATK installation

- GATK 3.3-0 download

<http://www.broadinstitute.org/gatk/>

- We need to register before download
- Go to Downloads and click 
- Accept the license agreement
- Extract the file in the applications folder

You must be logged into the forums to proceed

You do not seem to be logged into the forums

Register

Login Here »

- Check if GATK is working

Show GATK help

```
java -jar GenomeAnalysisTK.jar -h
```

- Usage

```
java -jar GenomeAnalysisTK.jar -T <ToolName> [arguments]
```


MuTect installation

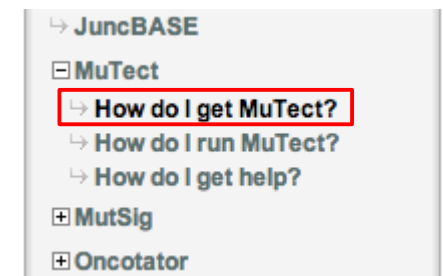
- MuTect download

<http://www.broadinstitute.org/cancer/cga/mutect>

- Click *Log-in* and go to the *Create new account* tab
- Fill the form
- Go to *How do I get mutect* and accept the license agreement
- Download the latest version

[muTect-1.1.4-bin.zip](#)

- Extract the file in the applications folder



- Check if MuTect is working

```
java -jar muTect-1.1.4.jar -h
```

- Usage

```
java -jar muTect-1.1.4.jar --analysis_type MuTect [arguments]
```

THANK YOU.