

CH08-320201

# Algorithms and Data Structures

ADS

## Lecture 10

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# Counting Sort: Problem Statement

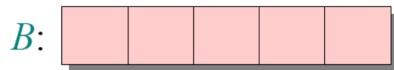
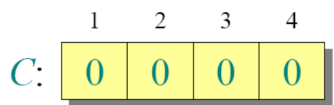
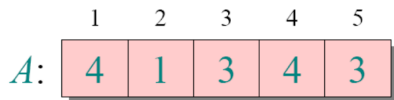
- ▶ **Input:**  $A[1...n]$ , where  $A[j] \in \{1, 2, \dots, k\}$ .
- ▶ **Output:**  $B[1...n]$ , which is a sorted version of  $A[1...n]$ .
- ▶ **Auxiliary storage:**  $C[1...k]$ .

# Counting Sort

```
1 for i := 1 to k do
2   C[i] := 0
3 for j := 1 to n do
4   C[A[j]] := C[A[j]] + 1
5   // C[i] = |{key = i}|
6 for i := 2 to k do
7   C[i] := C[i] + C[i - 1]
8   // C[i] = |{key ≤ i}|
9 for j := n downto 1 do
10  B[C[A[j]]] = A[j]
11  C[A[j]] = C[A[j]] - 1
```

# Counting Sort: Example (1)

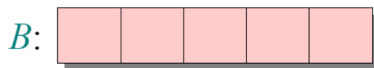
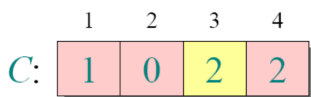
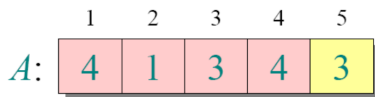
Loop 1:



**for**  $i \leftarrow 1$  **to**  $k$   
    **do**  $C[i] \leftarrow 0$

## Counting Sort: Example (2)

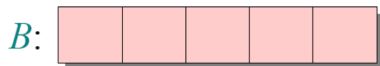
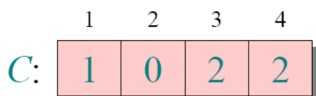
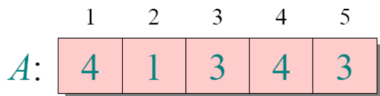
Loop 2:



**for**  $j \leftarrow 1$  **to**  $n$   
     **do**  $C[A[j]] \leftarrow C[A[j]] + 1$      $\triangleright C[i] = |\{\text{key} = i\}|$

## Counting Sort: Example (3)

Loop 3:

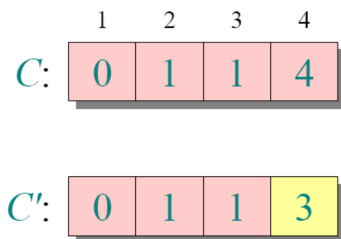
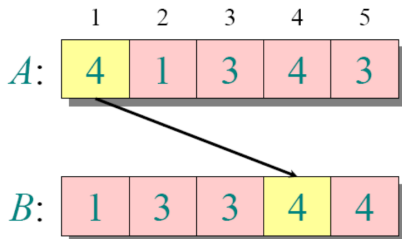


**for**  $i \leftarrow 2$  **to**  $k$

**do**  $C[i] \leftarrow C[i] + C[i-1]$      $\triangleright C[i] = |\{\text{key} \leq i\}|$

## Counting Sort: Example (4)

Loop 4:



```

for  $j \leftarrow n$  downto 1
  do  $B[C[A[j]]] \leftarrow A[j]$ 
       $C[A[j]] \leftarrow C[A[j]] - 1$ 
  
```

# Counting Sort: Asymptotic Analysis (1)

$\Theta(k)$	{	for $i := 1$ to $k$
		do $C[i] := 0$
$\Theta(n)$	{	for $j := 1$ to $n$
		do $C[A[j]] := C[A[j]] + 1$
$\Theta(k)$	{	for $i := 2$ to $k$
		do $C[i] := C[i] + C[i-1]$
$\Theta(n)$	{	for $j := n$ downto $1$
		do $B[C[A[j]]] \leftarrow A[j]$
		$C[A[j]] \leftarrow C[A[j]] - 1$
<hr/>		
$\Theta(n + k)$		



## Counting Sort: Asymptotic Analysis (2)

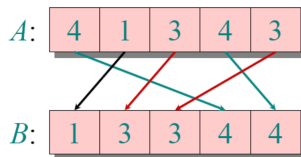
- ▶ If  $k = O(n)$ , then Counting Sort takes  $\Theta(n)$  time.
- ▶ Comparison sorting takes  $\Omega(n \lg n)$  time.
- ▶ Counting Sort is not a comparison sort, not a single comparison between elements occurs.

## Stable Sorting

► **Definition:**

Stable sorting algorithms maintain the relative order of records with equal keys (i.e., values).

- Thus, a sorting algorithm is stable, if whenever there are two records  $R$  and  $S$  with the same key and with  $R$  appearing before  $S$  in the original list,  $R$  will appear before  $S$  in the sorted list.
- Is Counting Sort stable?



## Radix Sort: Motivation

- ▶ Counting Sort is less efficient when processing numbers from a large range, i.e.,  $k$  is large.
- ▶ Can we find an algorithm that efficiently sorts  $n$  numbers for large  $k$ ?

## Radix Sort: History

- ▶ The 1880 U.S. census took almost 10 years to process.
- ▶ Herman Hollerith (1860-1929) prototyped a punched-card technology.
- ▶ His machines, including a "card sorter", allowed the 1890 census total to be reported in 6 weeks.
- ▶ He founded the Tabulating Machine Company in 1911, which merged with other companies in 1924 to form International Business Machines (IBM).

## Radix Sort: Idea

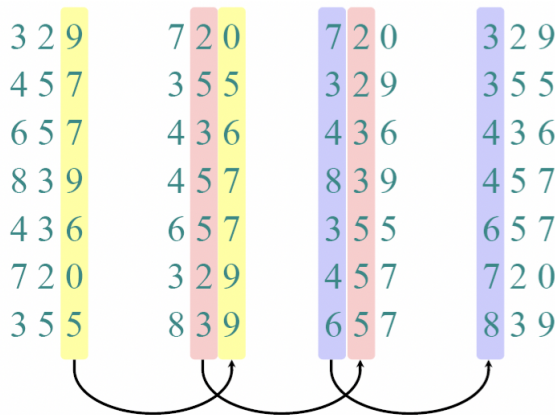
- ▶ Hollerith's idea was to use a digit-by-digit sort.
- ▶ He sorted on most significant digit first.
- ▶ However, it requires us to keep one sequence for each digit, which then gets sorted recursively.
- ▶ It is more efficient to sort on least significant digit first.
- ▶ This idea requires a stable sorting algorithm.

## Radix Sort: Pseudocode

**RADIX-SORT**( $A, d$ )

- 1   **for**  $i = 1$  **to**  $d$
- 2       use a stable sort to sort array  $A$  on digit  $i$

# Radix Sort: Example



## Radix Sort: Correctness

- ▶ Induction on digit position:
- ▶ Only one digit: trivial.
- ▶ Assume that the numbers are sorted by their low-order  $t - 1$  digits.
- ▶ Sort on digit  $t$ :
  - ▶ Two numbers that differ in digit  $t$  are correctly sorted.
  - ▶ Two numbers equal in digit  $t$  are put in the same order as the input, i.e., correct order.





## Radix Sort: Asymptotic Analysis

- ▶ Use Counting Sort as stable sorting algorithm.
- ▶ Sort  $n$  computer words of  $b$  bits each.
- ▶ Each word can be viewed as having  $b/r$  base- $2^r$  digits.
- ▶ **Example:** 32-bit word
  - ▶  $r = 8$ :  $d = b/r = 4$  passes of counting sort on base- $2^8$  digits
  - ▶  $r = 16$ :  $d = b/r = 2$  passes of counting sort on base- $2^{16}$  digits
- ▶ How many passes should we make?

## Radix Sort: Choosing $r$ (1)

- ▶ Counting Sort takes  $\Theta(n + k)$  time to sort  $n$  numbers in the range from 0 to  $k - 1$ .
- ▶ If each  $b$ -bit word is broken into  $r$ -bit pieces, each pass of Counting Sort takes  $\Theta(n + 2^r)$  time.
- ▶ Since there are  $b/r$  passes, we have:

$$T(n, b) = \Theta\left(\frac{b}{r}(n + 2^r)\right)$$

- ▶ Choose  $r$  to minimize  $T(n, b)$ .

## Radix Sort: Choosing $r$ (2)

$$T(n, b) = \Theta\left(\frac{b}{r}(n + 2^r)\right)$$

- ▶ Increasing  $r$  means fewer passes, but when  $r \gg \lg n$  the time grows exponentially.
- ▶ We do not want  $2^r > n$ , but there is no harm asymptotically in choosing  $r$  as large as possible subject to this constraint.
- ▶ Choosing  $r = \lg n$  implies  $T(n, b) = \Theta(bn / \lg n)$ .
- ▶ For numbers in the range from 0 to  $n^d - 1$ , we have  $b = dr = d \lg n$ , i.e., Radix Sort runs in  $\Theta(dn)$  time.

## Radix Sort: Conclusions

- ▶ In practice, Radix Sort is fast for large inputs, as well as simple to code and maintain.
- ▶ **Example** (32-bit numbers, i.e.,  $b = 32$ , and  $n = 2000$ ):
  - ▶  $dn$ : At most  $d = 3$  passes when sorting 2000 numbers.
  - ▶  $n \lg n$ : Merge Sort and Quicksort do at least  $\text{ceiling}(\lg 2000) = 11$  passes.