

From Known Attacks To New Exploits

#### **Shivam Bhasin**

Temasek Labs NTU, Singapore

VLSI Design & ES 2020 Tutorials 4-8 January 2020



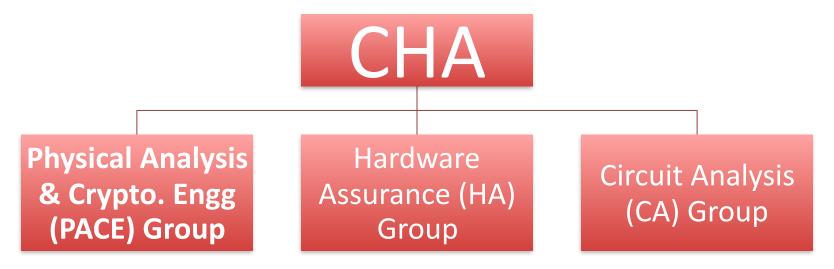


### Who Am I?

- Sr. Research Scientist, Center For Hardware Assurance @ Temasek Labs, NTU, Singapore
  - PhD from Telecom Paristech, France (2011)
  - M.S from Mines St Etienne, France (2008)
- Research Interest:
  - Physical Attacks (Side-Channel, Fault Injection,, Hardware Trojan, Combinations)
  - Countermeasure & Certification
  - Hardware Security of Al

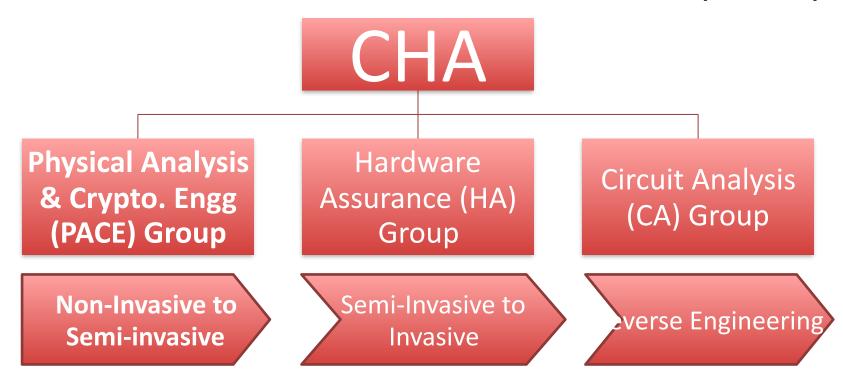


### Centre For Hardware Assurance (CHA)





### Centre For Hardware Assurance (CHA)





### **PACE Group**

- Side-Channel Attacks
- Fault Injection Attacks
- Hardware Trojan
- Combined Attacks
- Reverse Engineering (Non-invasive)
- Al Security
- Secure Design
- Certification





### PART I

Side Channel Attacks





Reverse Engineering





- Reverse Engineering
- Through Side-Channel





- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes



- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes
- Of Deep Neural Network (DNN) on embedded devices



Input layer Hidden layers
Output layer

- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes
- Of Deep Neural Network (DNN) on embedded devices

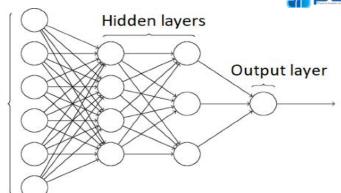


Input layer Hidden layers
Output layer

- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes
- Of Deep Neural Network (DNN) on embedded devices
- To Recover:



Input layer



- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes
- Of Deep Neural Network (DNN) on embedded devices
- To Recover:
  - Number of layers



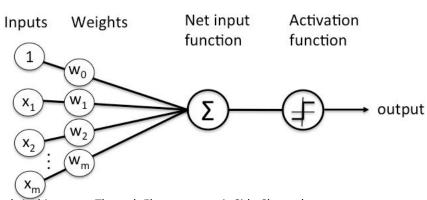
Input layer Hidden layers
Output layer

- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes
- Of Deep Neural Network (DNN) on embedded devices
- To Recover:
  - Number of layers
  - Number of neurons in each layer



- Input layer
- Hidden layers
  Output layer

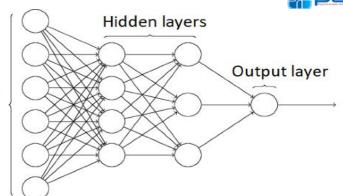
- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes
- Of Deep Neural Network (DNN) on embedded devices
- To Recover:
  - Number of layers
  - Number of neurons in each layer



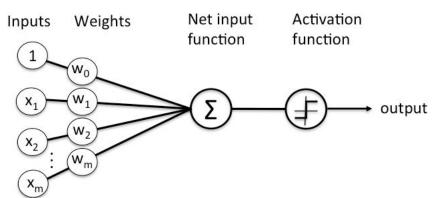
Batina, L., Bhasin, S., Jap, D. and Picek, S., 2019. {CSI}{NN}: Reverse Engineering of Neural Network Architectures Through Electromagnetic Side Channel. In 28th {USENIX} Security Symposium ({USENIX} Security 19) (pp. 515-532).



Input layer



- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes
- Of Deep Neural Network (DNN) on embedded devices
- To Recover:
  - Number of layers
  - Number of neurons in each layer
  - Activation function in each neuron

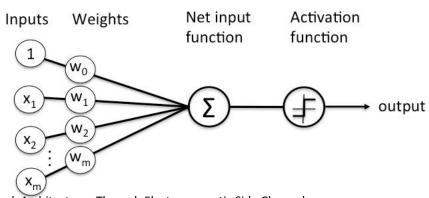


Batina, L., Bhasin, S., Jap, D. and Picek, S., 2019. {CSI}{NN}: Reverse Engineering of Neural Network Architectures Through Electromagnetic Side Channel. In 28th {USENIX} Security Symposium ({USENIX} Security 19) (pp. 515-532).



Input layer

- Reverse Engineering
- Through Side-Channel
- Measured by Electromagnetic (EM) probes
- Of Deep Neural Network (DNN) on embedded devices
- To Recover:
  - Number of layers
  - Number of neurons in each layer
  - Activation function in each neuron
  - Input weights to each neuron



Batina, L., Bhasin, S., Jap, D. and Picek, S., 2019. {CSI}{NN}: Reverse Engineering of Neural Network Architectures Through Electromagnetic Side Channel. In 28th {USENIX} Security Symposium ({USENIX} Security 19) (pp. 515-532).



# Machine Learning & Security

- Machine learning (ML) has wide applications across industries.
- Security is just one popular application for ML
- US\$ 35 Billion Industry by 2024<sup>1</sup>
- Strong ML models is an asset, on which many companies invest a significant amount of time and money to develop, resulting in Intellectual property
- Leaked models can leak information about sensitive training sets



### **Neural Nets**

- We consider neural networks: multilayer perceptron (MLP) and convolutional neural networks (CNN).
- We consider those networks since:
  - they are commonly used machine learning algorithms in modern applications;
  - they consist of different types of layers that are also occurring in other architectures like recurrent neural networks;
  - in the case of MLP, the layers are all identical, which makes it more difficult for SCA and could be consequently considered as the worstcase scenario.





THEN



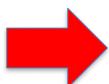


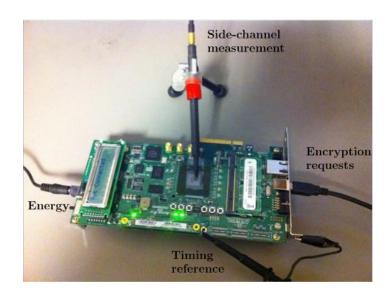


**THEN** 





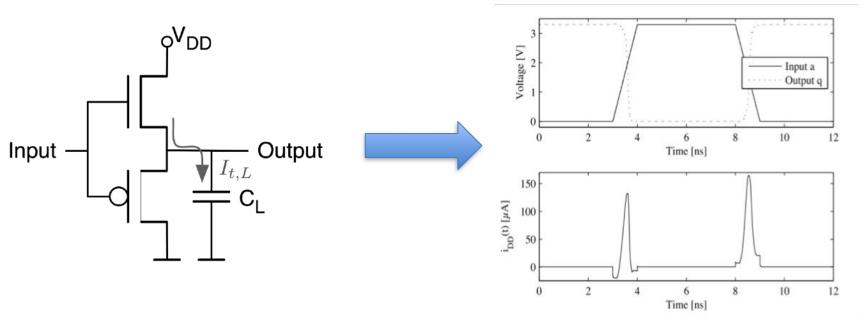




THEN

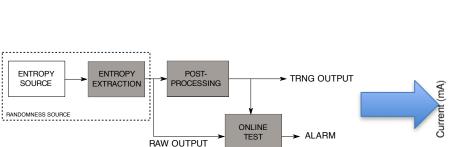
**NOW** 



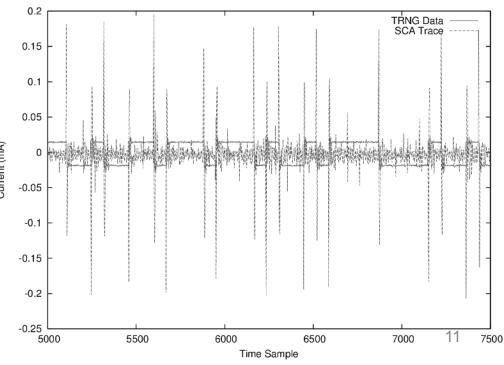


Lets look at a basic CMOS cell



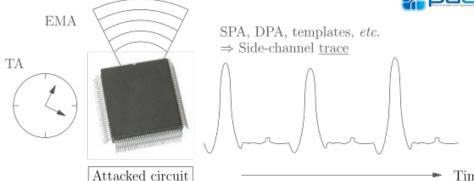


Extending from one cell to a full circuit





### What is SCA?

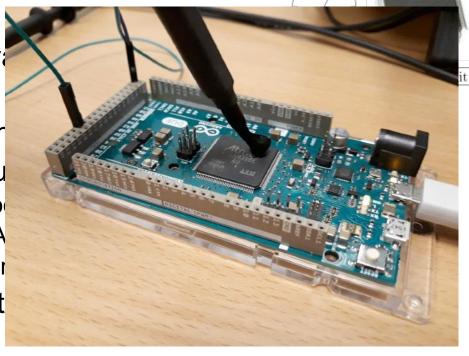


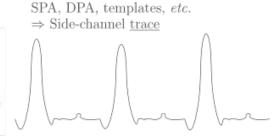
- Non-invasive
- Serious threat to pervasive computing
- Exploiting unintentional EM leakage
- Powerful & practical
  - Keeloq
  - FPGA Bitstream encryption
  - Bitcoin wallets
- Applications beyond secret key recovery



What is SCA?

- Non-inv
- Serious
- **Exploitir**
- Powerfu
  - Keelo
  - FPGA
  - Bitcoir
- Applicat





This Work: Electromagnetic (EM) SCA

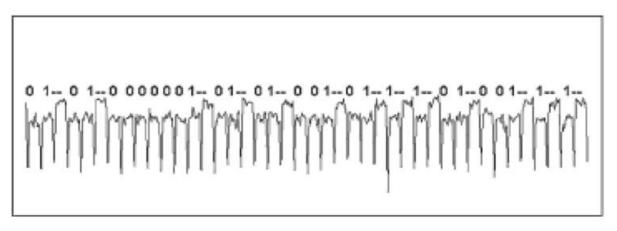
EMA

TA



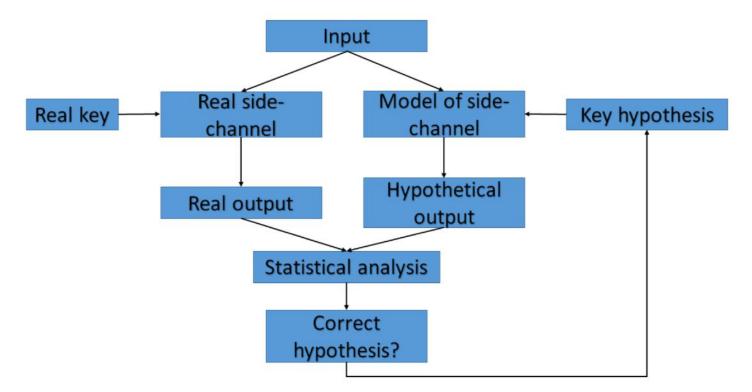
#### Simple EM Analysis (SEMA)

- Adversary learns secret information by visual inspection of (usually single) power/EM measurement
- Ex: observe square & multiply in exponentiation etc.





#### Differential EM Analysis (DEMA)





#### Differential EM Analysis (DEMA)

- Statistical attack over several EM observation with random/known input to recover secret key K
- The attack normally tests for dependencies between actual physical signature (or measurements) and hypothetical physical signature, i.e., predictions on intermediate data. The hypothetical signature is based on a leakage model and key hypothesis.
- Most commonly used leakage model is Hamming Weight (HW)
- A microcontroller leaks in HW when sensitive data is loaded to precharged data bus
- Similar models known and exploited for FPGA, ASIC, GPU ....



## **Adversary Model**

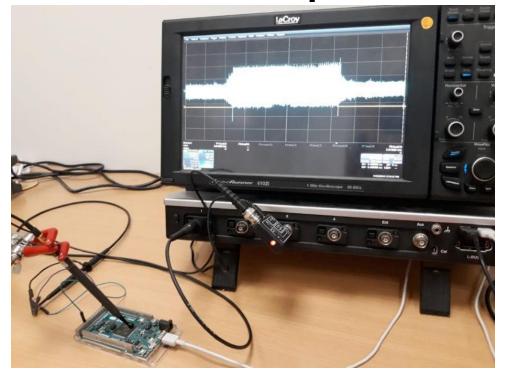
- Recover the neural network architecture using only side-channel information
- Adversary does not know the architecture of the used network but can feed random/known inputs to the DNN and capture corresponding electromagnetic side-channel traces
- No assumption on the type of inputs; we work with real numbers
- Assumption: Implementation of the machine learning algorithm with no side-channel countermeasures



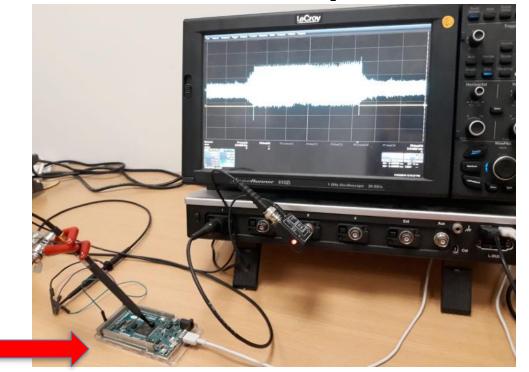
- Passive EM Measurement
- Near-field probe
- 30dB pre-amplifier for clear signal
- Measurements averaged for noise filtering
- For bigger networks, measurements are made sequentially for different layers
- Targets: ATMEGA AVR328P, ARM Cortex-M3





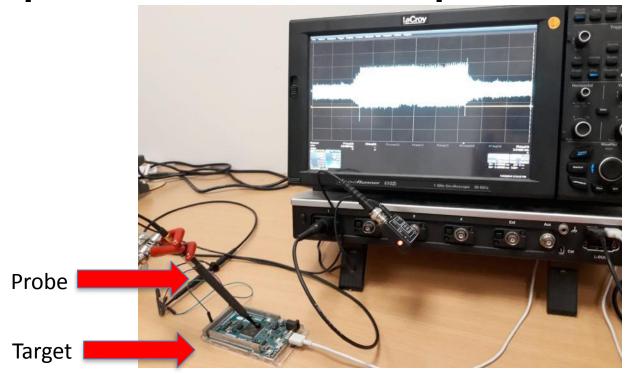




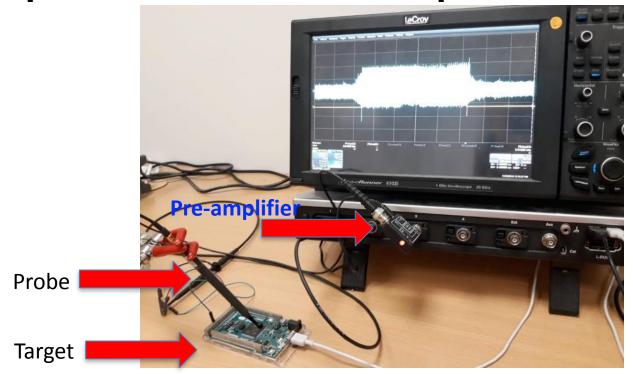


Target

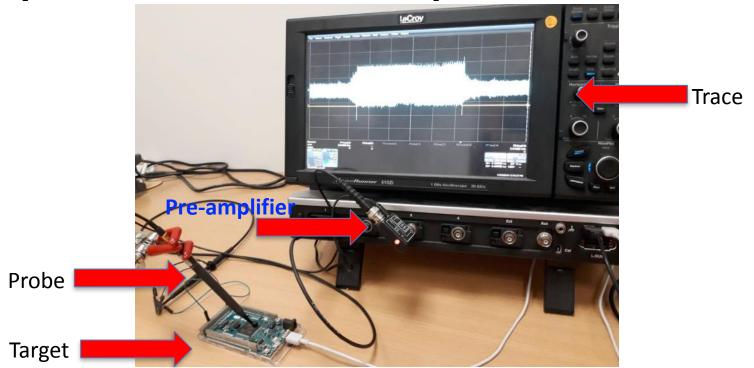












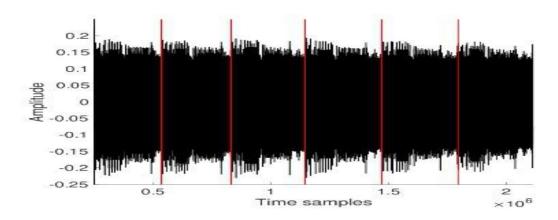


### **Lets Start With Some Visual** Inspection!!!!



# Identifying Neurons

- Simple EM Analysis
- Hidden layer with 6 neurons = 6 repeating patterns
- Each neuron executes a series of multiplication, followed by activation
- Activation Function in this case = Sigmoid

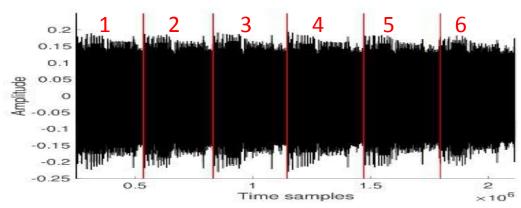




20

# Identifying Neurons

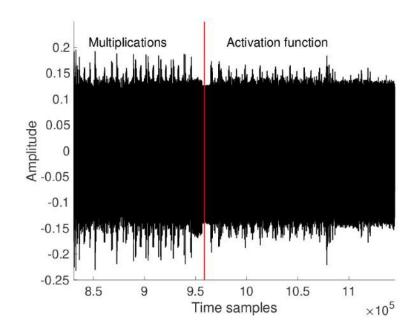
- Simple EM Analysis
- Hidden layer with 6 neurons = 6 repeating patterns
- Each neuron executes a series of multiplication, followed by activation
- Activation Function in this case = Sigmoid





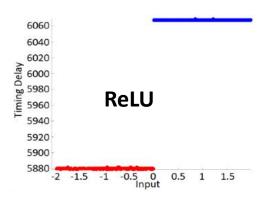
## Recovering Activation Function

- Timing Attack (with EM trace)
- Each activation function has distinct timing pattern
- Timing patterns can be precharacterized for different NN libraries
- We measure precise timing of activation function using EM measurement on oscilloscope.



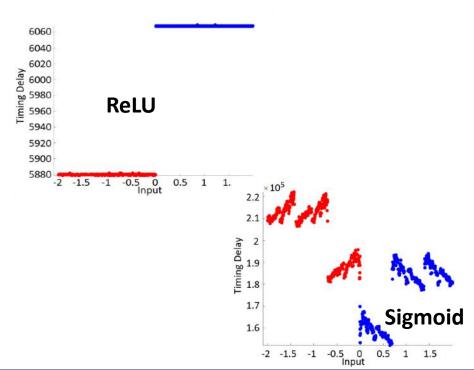




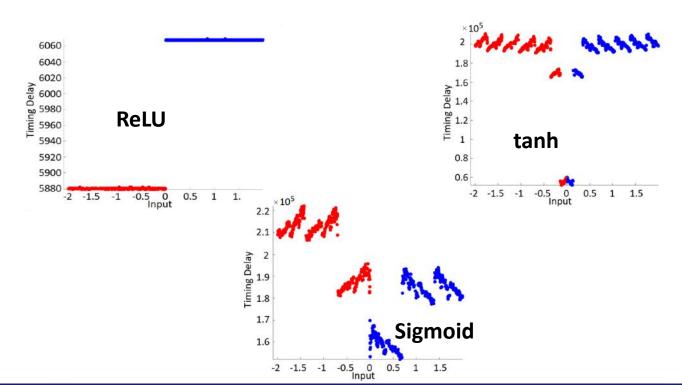






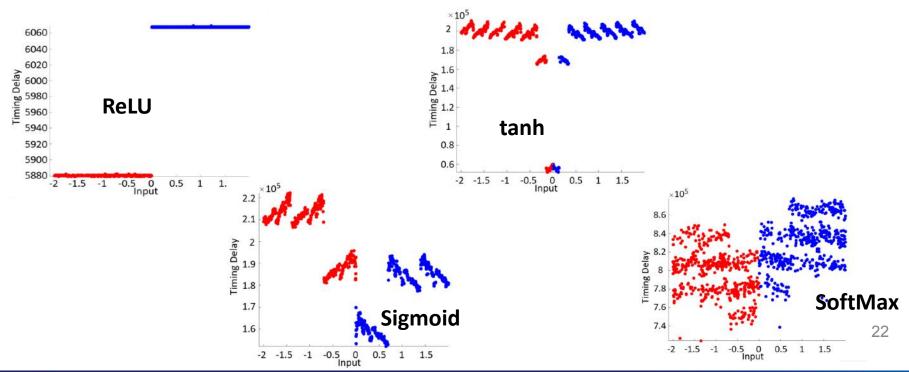






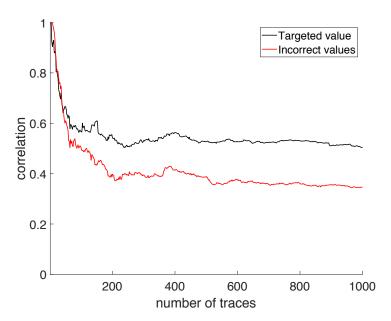




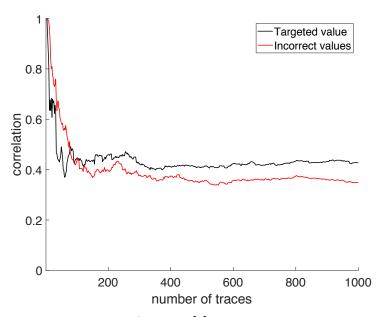




## Recovering Weights



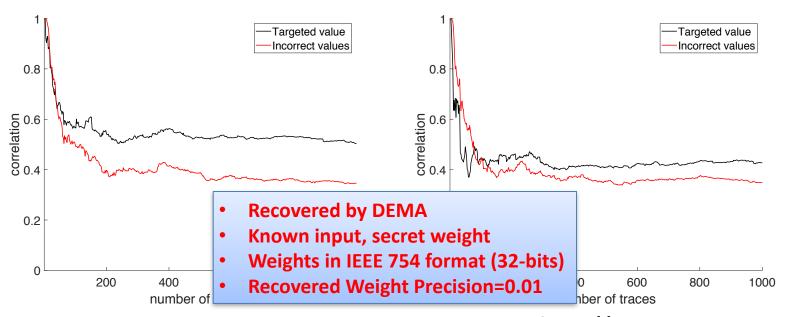
First byte recovery (sign and 7-bit exponent)



Second byte recovery (Isb exponent and mantissa)



# Recovering Weights

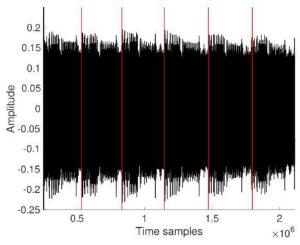


First byte recovery (sign and 7-bit exponent)

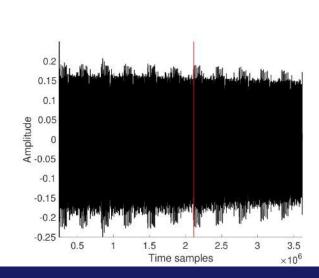
Second byte recovery (Isb exponent and mantissa)

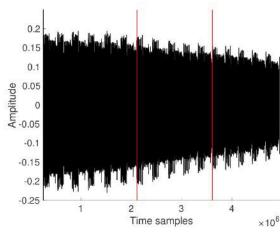


#### Recovering Number of Neurons & Layers



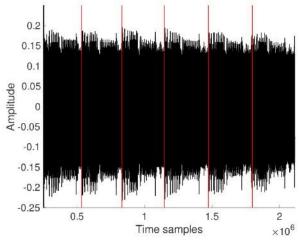
One hidden layer 6 neurons



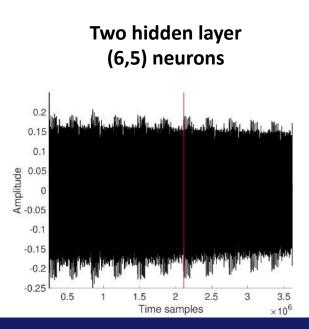


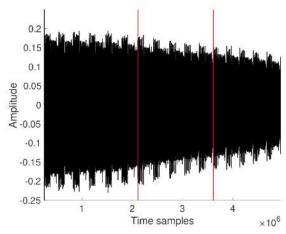


#### Recovering Number of Neurons & Layers



One hidden layer 6 neurons



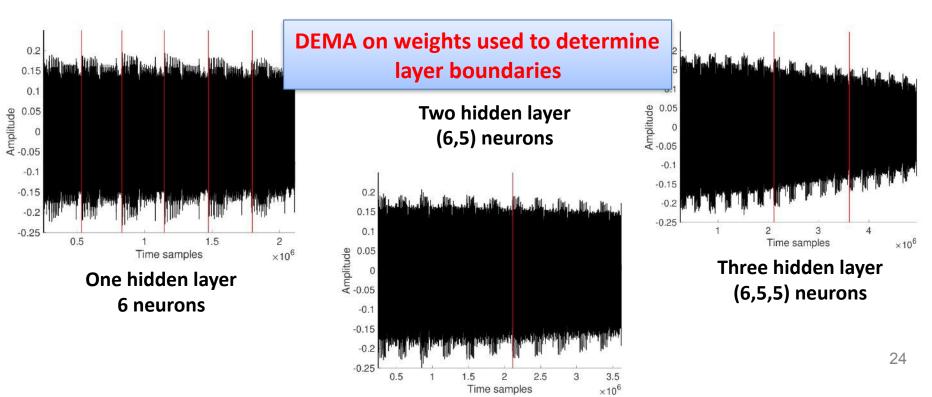


Three hidden layer (6,5,5) neurons

24

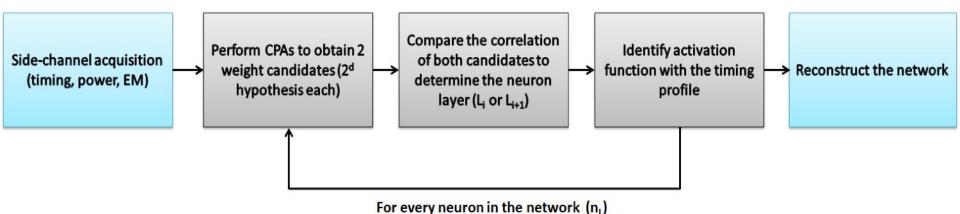


#### Recovering Number of Neurons & Layers



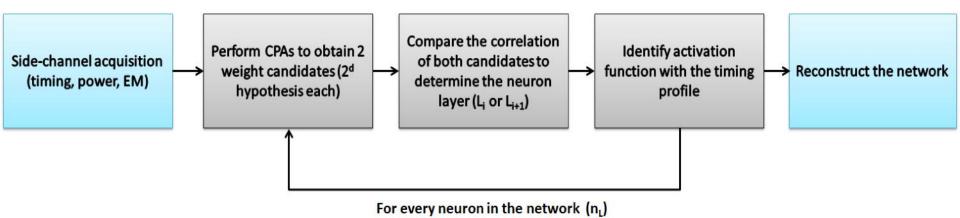


## Full Network Recovery





# Full Network Recovery

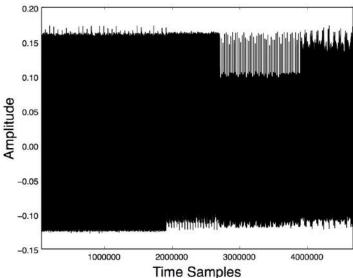


Recovery is performed layer by layer, neuron by neuron. One neuron at a time, starting from input layer

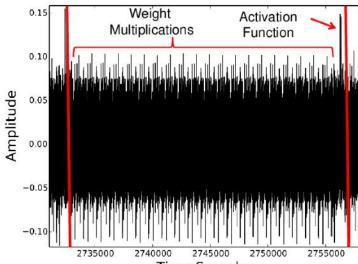
25



#### Results on ARM Cortex-M3



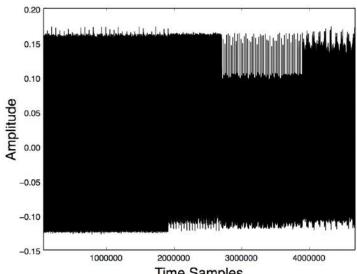
Time Samples
Four hidden layer
(50,30,20,50) neurons



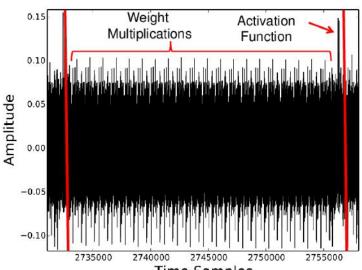
Time Samples
One Neuron in 3rd hidden layer
20 multiplications, 1 ReLU



#### Results on ARM Cortex-M3



Time Samples Four hidden layer (50,30,20,50) neurons



Time Samples One Neuron in 3rd hidden layer 20 multiplications, 1 ReLU

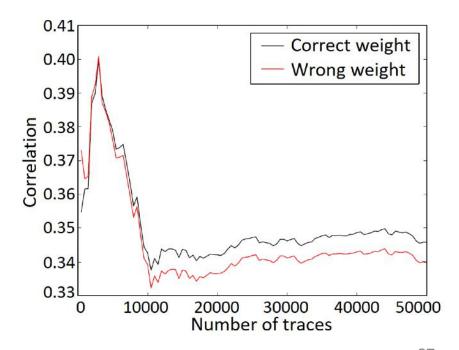
With MNIST: Accuracy 98.16% (original) vs 98.15% (reverse engineered) Average weight error: 0.0025.





#### Extension to CNN on ARM Cortex-M3

- CIFAR-10 dataset.
- Target the multiplication operation from the input with the weight, similar as in previous experiments.
- fixed-point arithmetic (8-bits).
- The original accuracy of the CNN equals 78.47% and the accuracy of the recovered CNN is 78.11%.





### Conclusions

- With an appropriate combination of SEMA and DEMA techniques, all sensitive parameters of the network can be recovered.
- A serious threat to commercial NN lps
- The attack methodology scales linearly with the size of the network.
- Transfer learning is a key target
- The proposed attacks are both generic in nature and more powerful than the previous works in this direction.
- Can be adapted for recovery of sensitive training/testing data
- SCA countermeasures (masking/hiding) would help but overhead will be too high for NN. Motivates research for optimised countermeasures.



### **PART II**

Fault Attacks





#### **Table of Contents**

- 1. Introduction to Fault Attacks
- 2. Persistent Fault Analysis (PFA)
- 3. PFA on Higher-Order Masking
- 4. Fault Attack on Lattice based PQC
- 5. Combined Side-Channel + Faults
- 6. Conclusions





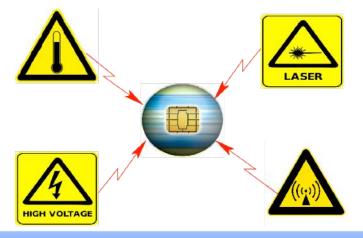
#### **Table of Contents**

- 1. Introduction to Fault Attacks
- 2. Persistent Fault Analysis (PFA)
- 3. PFA on Higher-Order Masking
- 4. Fault Attack on Lattice based PQC
- 5. Combined SCA+DFA
- 6. Conclusions





# Fault Injection Attacks (FIA)



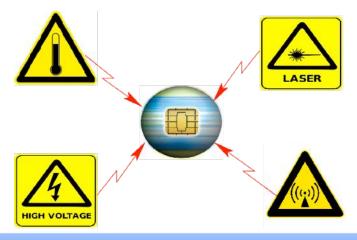
#### What is FIA?

- Physical Attacks
- Actively disturbs functioning of the target
- Exploits erroneous behavior





# Fault Injection Attacks (FIA)



#### What is FIA?

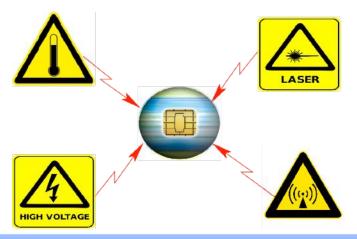
- Physical Attacks
- Actively disturbs functioning of the target
- Exploits erroneous behavior

#### **Injection Methods**

- Global/Low-Cost/Low-Precision
  - Clock/Voltage glitch, temperature
- Local/High-Cost/High-Precision
  - Laser, Electromagnetic, Ion Beam
- Remote
  - Rowhammer, Plundervolt



# Fault Injection Attacks (FIA)



#### What is FIA?

- Physical Attacks
- Actively disturbs functioning of the target
- Exploits erroneous behavior

#### **Injection Methods**

- Global/Low-Cost/Low-Precision
  - Clock/Voltage glitch, temperature
- Local/High-Cost/High-Precision
  - Laser, Electromagnetic, Ion Beam
- Remote
  - Rowhammer, Plundervolt

#### **Impacts**

- Duration
  - Transient or Harmonic
- Effects
  - Data or Flow Modification
- Objectives
  - Corrupt computation, bypass security checks

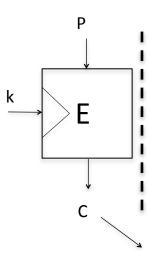
32



Differential Fault Analysis (DFA)

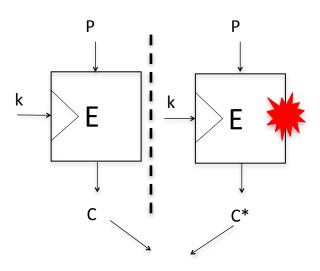


Differential Fault Analysis (DFA)



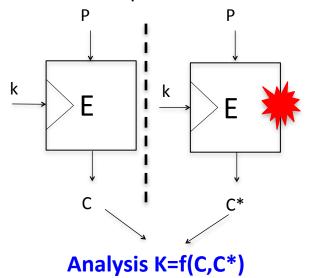


Differential Fault Analysis (DFA)



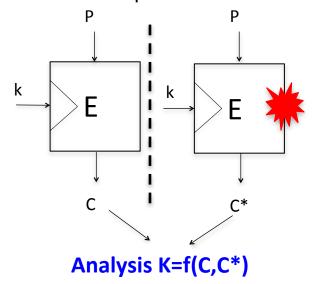


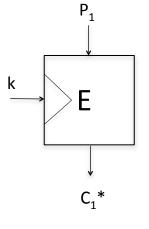
- Differential Fault Analysis (DFA)
- Usually few ciphertext pair
- Control over plaintext needed





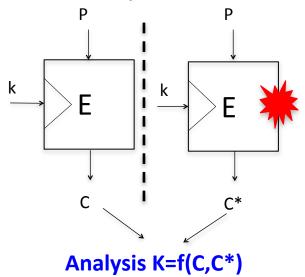
- Differential Fault Analysis (DFA)
- Usually few ciphertext pair
- Control over plaintext needed

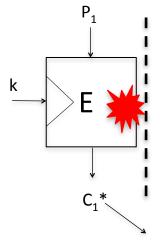






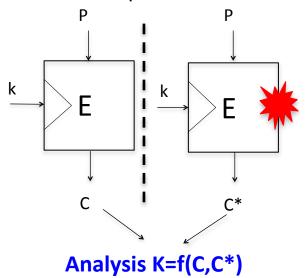
- Differential Fault Analysis (DFA)
- Usually few ciphertext pair
- Control over plaintext needed

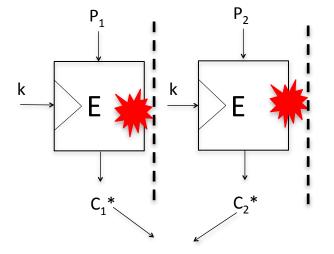






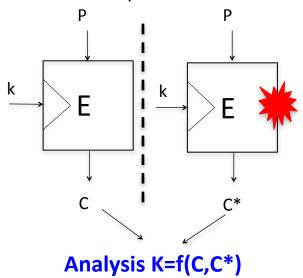
- Differential Fault Analysis (DFA)
- Usually few ciphertext pair
- Control over plaintext needed



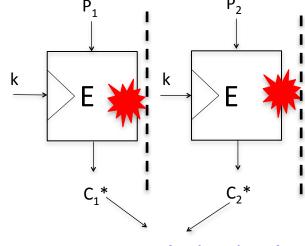




- Differential Fault Analysis (DFA)
- Usually few ciphertext pair
- Control over plaintext needed



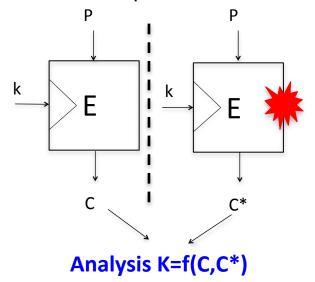
Statistical Fault Analysis (SFA)



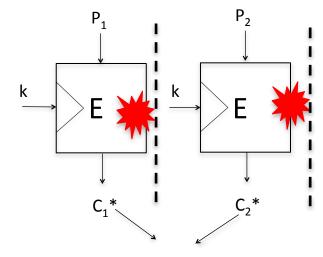
Analysis  $K=f(C_1^*,C_2^*,...)$ 



- Differential Fault Analysis (DFA)
- Usually few ciphertext pair
- Control over plaintext needed



- Statistical Fault Analysis (SFA)
- Need several ciphertext
- Several variants exist



Analysis K=f(C<sub>1</sub>\*,C<sub>2</sub>\*, ...)



#### Limitations of SoA

 Very tight time synchronization on the round calculation and the injection timing



### Limitations of SoA

- Very tight time synchronization on the round calculation and the injection timing
- Very complicated analysis due to the random value and the fault propagation



### Limitations of SoA

- Very tight time synchronization on the round calculation and the injection timing
- Very complicated analysis due to the random value and the fault propagation
- May not work if there are countermeasures against fault attacks



# Spoiler





# Spoiler

Optimization often become security threats!!!





#### **Table of Contents**

- 1. Introduction to Fault Attacks
- 2. Persistent Fault Analysis (PFA)
- 3. PFA on Higher-Order Masking
- 4. Fault Attack on Lattice based PQC
- 5. Combined SCA+DFA
- 6. Conclusions





# Revisiting Fault types



## Revisiting Fault types

- Transient: Affect one encryption
- Permanent: Always present
- Persistent¹: Hybrid model between transient and permanent. Persist over several encryptions but disappears on reboot. Typically targets stored constants (ex. Sbox in memory)



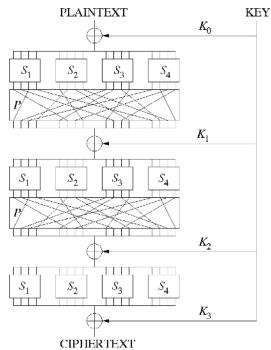
## Revisiting Fault types

- Transient: Affect one encryption
- Permanent: Always present
- Persistent¹: Hybrid model between transient and permanent. Persist over several encryptions but disappears on reboot. Typically targets stored constants (ex. Sbox in memory)



## SPN Block Ciphers: Recall

- Blocks of plaintext encrypted
- Encryption and Decryption done using same pre-shared Key
- Building blocks
  - Substitution Layer (Sboxes)
  - Permutation Layer (Linear)
  - Key Addition
- Typically Sboxes are the only non linear component and hard to implement
- Common Solution: Implemented as precomputed look-up tables





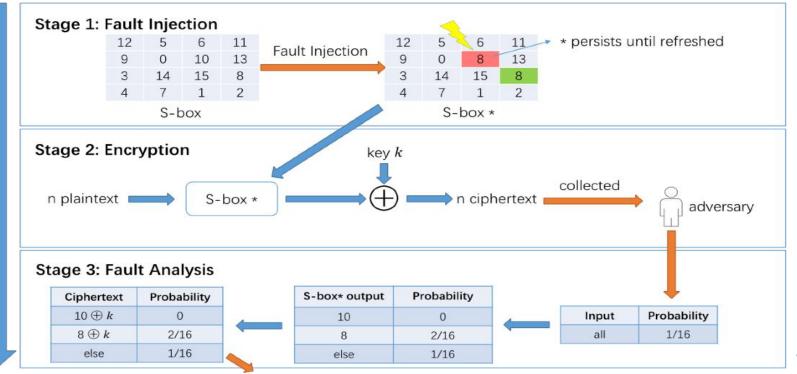
## **Adversary Model**

- Block cipher with serial implementation
- Common Sbox as look-up table
- Persistent fault injected in one Sbox element
- Victim encrypts n plaintext with faulty Sbox
- Adversary can observe the n ciphertext
- No control on plaintext, except varying plaintext





### Persistent Fault Analysis: Main Idea





Statistical analysis on last round with ciphertext only





- Statistical analysis on last round with ciphertext only
- Fault changes one element x→x\* in Sbox (lets say 4X4 Sbox)



- Statistical analysis on last round with ciphertext only
- Fault changes one element x→x\* in Sbox (lets say 4X4 Sbox)
- Expectation E(x)=0,  $E(x^*)=2/16$ ,  $E(y\neq(x,x^*))=1/16$





- Statistical analysis on last round with ciphertext only
- Fault changes one element x→x\* in Sbox (lets say 4X4 Sbox)
- Expectation E(x) = 0,  $E(x^*) = 2/16$ ,  $E(y \neq (x, x^*)) = 1/16$
- Three analysis strategies:



- Statistical analysis on last round with ciphertext only
- Fault changes one element x→x\* in Sbox (lets say 4X4 Sbox)
- Expectation E(x) = 0,  $E(x^*) = 2/16$ ,  $E(y \neq (x, x^*)) = 1/16$
- Three analysis strategies:
  - $t_{min}$ : find the missing value in Sbox table (x). Then  $k = t_{min} \oplus x$ ;



- Statistical analysis on last round with ciphertext only
- Fault changes one element x→x\* in Sbox (lets say 4X4 Sbox)
- Expectation E(x) = 0,  $E(x^*) = 2/16$ ,  $E(y \neq (x, x^*)) = 1/16$
- Three analysis strategies:
  - $t_{min}$ : find the missing value in Sbox table (x). Then  $k = t_{min} \oplus x$ ;
  - $t \neq t_{min}$ : find values t where  $t \neq t_{min}$  and eliminate candidates for k;



- Statistical analysis on last round with ciphertext only
- Fault changes one element x→x\* in Sbox (lets say 4X4 Sbox)
- Expectation E(x)= 0, E(x\*)=2/16, E(y≠(x,x\*))=1/16
- Three analysis strategies:
  - $t_{min}$ : find the missing value in Sbox table (x). Then  $k = t_{min} \oplus x$ ;
  - $t \neq t_{min}$ : find values t where  $t \neq t_{min}$  and eliminate candidates for k;
  - $t_{max}$ : find the value with max probability (x'). Then  $k = t_{max} \oplus x^*$



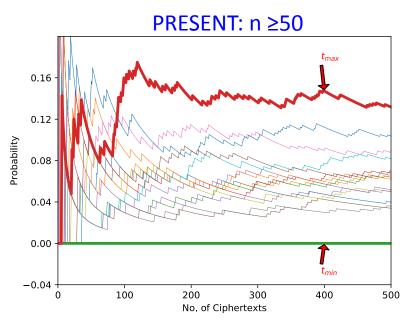
- Statistical analysis on last round with ciphertext only
- Fault changes one element x→x\* in Sbox (lets say 4X4 Sbox)
- Expectation E(x)= 0, E(x\*)=2/16, E(y≠(x,x\*))=1/16
- Three analysis strategies:
  - $t_{min}$ : find the missing value in Sbox table (x). Then  $k = t_{min} \oplus x$ ;
  - $t \neq t_{min}$ : find values t where  $t \neq t_{min}$  and eliminate candidates for k;
  - $t_{max}$ : find the value with max probability (x'). Then  $k = t_{max} \oplus x^*$
- No. of ciphertext n can be determined by coupon collector's problem

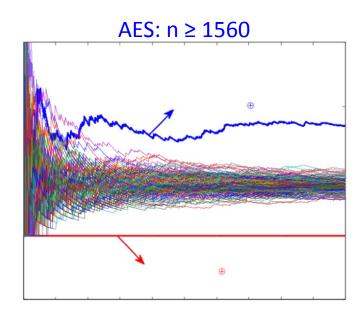


- Statistical analysis on last round with ciphertext only
- Fault changes one element x→x\* in Sbox (lets say 4X4 Sbox)
- Expectation E(x)= 0, E(x\*)=2/16, E(y≠(x,x\*))=1/16
- Three analysis strategies:
  - $t_{min}$ : find the missing value in Sbox table (x). Then  $k = t_{min} \oplus x$ ;
  - $t \neq t_{min}$ : find values t where  $t \neq t_{min}$  and eliminate candidates for k;
  - $t_{max}$ : find the value with max probability (x'). Then  $k = t_{max} \oplus x^*$
- No. of ciphertext n can be determined by coupon collector's problem
- x, x\* can be brute-forced if not known



### PFA on PRESENT and AES

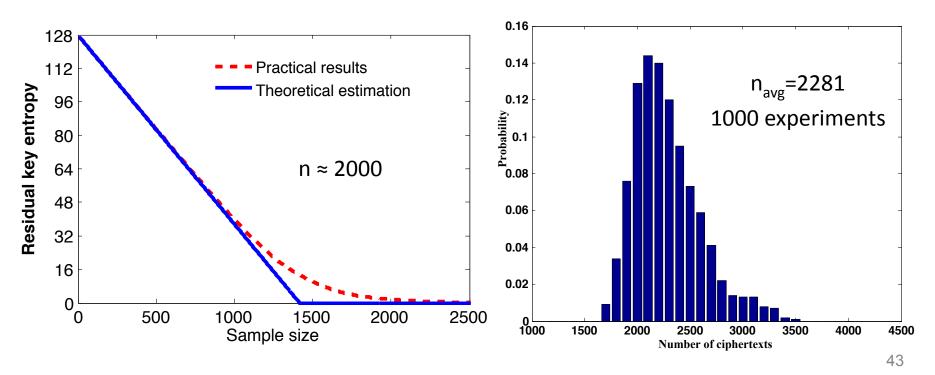




n= Minimum no of ciphertext needed by coupon collector's problem



#### Practical PFA on AES





## Comparison vs Other Fault Attacks



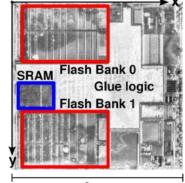
- (1) The attack is not differential in nature and thus the control over the plaintext is not required.
- (2) The adversary does not necessarily need live synchronization
- (3) The fault model remains relaxed (no biased faults needed)
- (4) PFA can also be applied in multiple fault setting
- (5) PFA can bypass some redundancy based countermeasures
- (6) An adversary can always inject the persistent fault before the victim is switched to the sensitive mode

- (1) It needs higher number of ciphertexts as compared to DFA
- (2) Persistent faults can be detected by some built-in health test mechanism or fault counters.



## T-table corruption

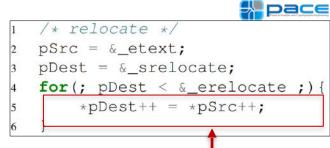
- EM fault on ARM Cortex-M3 with 100% repeatability
- Public AES implementation from Schwabe and Stoffelen
- Single T-table,
- 4 columns of 32 bits in the data buffer



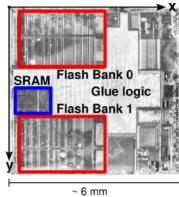
~ 6 mm

## T-table corruption

- EM fault on ARM Cortex-M3 with 100% repeatability
- Public AES implementation from Schwabe and Stoffelen
- Single T-table,
- 4 columns of 32 bits in the data buffer



#### 128-bit Flash memory access

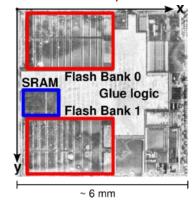


## T-table corruption

- /\* relocate \*/
  pSrc = &\_etext;
  pDest = &\_srelocate;
  for(; pDest < &\_erelocate ;) {
   \*pDest++ = \*pSrc++;
  }</pre>
- EM fault on ARM Cortex-M3 with 100% repeatability
- Public AES implementation from Schwabe and Stoffelen
- Single T-table,
- 4 columns of 32 bits in the data buffer

$$T[v] = \begin{bmatrix} S[v] \circ 01 \\ S[v] \circ 03 \\ S[v] \circ 02 \\ S[v] \circ 01 \end{bmatrix} \longrightarrow T[v^*] = \begin{bmatrix} a \\ a \circ 03 \\ a \circ 02 \\ a \end{bmatrix} \Leftrightarrow a = 0$$
Fault condition

#### 128-bit Flash memory access



Fault on 4 columns = residual key entropy of 32 bits (practical to brute-force)



#### Dual Modular Redundancy (DMR)

#### Countermeasure

- Compute twice and compare (REDMR)
- Compute forward-inverse and compare (IDDMR)
- If ≠
  - NCO: No Ciphertext output
  - ZVO: Zero Value output
  - RCO: Random Ciphertext output
- Provably secure against single fault
- Adversary can either target the encryption or comparison but not both
- REDMR broken by design if same S-box is used
- Lets target IDDMR, more difficult of the two

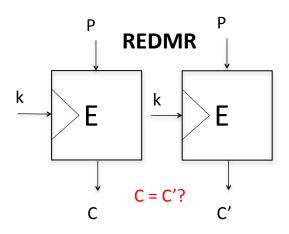


46

#### Dual Modular Redundancy (DMR)

#### Countermeasure

- Compute twice and compare (REDMR)
- Compute forward-inverse and compare (IDDMR)
- If ≠
  - NCO: No Ciphertext output
  - ZVO: Zero Value output
  - RCO: Random Ciphertext output
- Provably secure against single fault
- Adversary can either target the encryption or comparison but not both
- REDMR broken by design if same S-box is used
- Lets target IDDMR, more difficult of the two

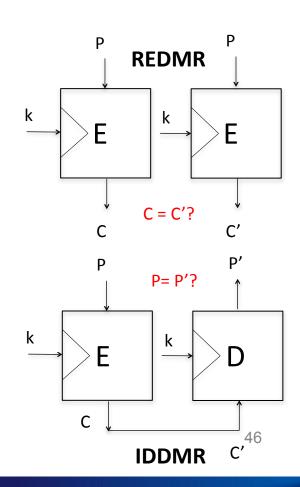




#### Dual Modular Redundancy (DMR)

#### Countermeasure

- Compute twice and compare (REDMR)
- Compute forward-inverse and compare (IDDMR)
- If ≠
  - NCO: No Ciphertext output
  - ZVO: Zero Value output
  - RCO: Random Ciphertext output
- Provably secure against single fault
- Adversary can either target the encryption or comparison but not both
- REDMR broken by design if same S-box is used
- Lets target IDDMR, more difficult of the two





## Attacking IDDMR with NCO/ZVO

- Faulty outputs are supressed
- Some output will be not affected by fault
- Probability p of correct output is f(x,k)
- p for AES

$$p = (1 - \frac{1}{256})^{160} = 0.5346$$
 • Adversary roughly needs n/

p ciphertext

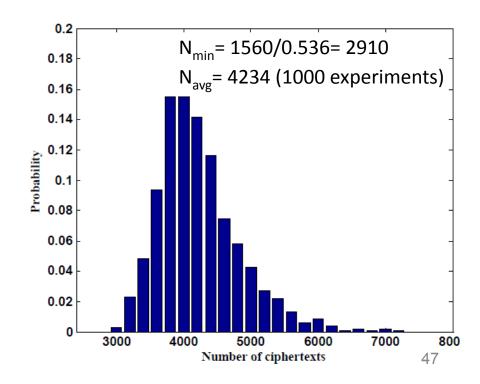


### Attacking IDDMR with NCO/ZVO

- Faulty outputs are supressed
- Some output will be not affected by fault
- Probability p of correct output is f(x,k)
- p for AES

$$p = (1 - \frac{1}{256})^{160} = 0.5346$$

 $p = (1 - \frac{1}{256})^{160} = 0.5346$ • Adversary roughly needs n/ p ciphertext





## Attacking IDDMR with RCO

- Faulty output is replaced by uniformly random
- Slight difference in distribution of random output and correct ciphertext
- The bias can be detected with more ciphertext (n)

$$Pr(y=x) = 0 \times p + \frac{1}{256} \times (1-p) = \frac{0.4654}{256}$$
$$Pr(y=x^*) = \frac{2}{256} \times p + \frac{1}{256} \times (1-p) = \frac{1.5346}{256}$$

• Pr®odghly mi≥1000 tesuted in attack success

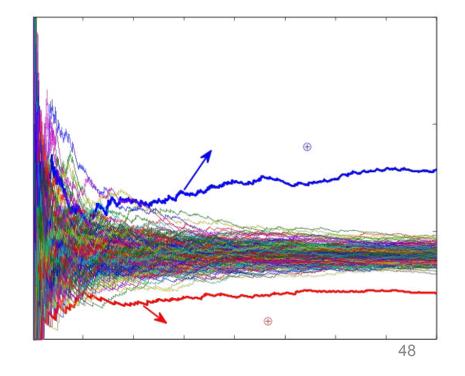


## Attacking IDDMR with RCO

- Faulty output is replaced by uniformly random
- Slight difference in distribution of random output and correct ciphertext
- The bias can be detected with more ciphertext (n)

$$Pr(y = x) = 0 \times p + \frac{1}{256} \times (1 - p) = \frac{0.4654}{256}$$
$$Pr(y = x^*) = \frac{2}{256} \times p + \frac{1}{256} \times (1 - p) = \frac{1.5346}{256}$$

• Pr®oughty nt≥1 \$1000 tesuted in attack success





#### **Table of Contents**

- 1. Introduction to Fault Attacks
- 2. Persistent Fault Analysis (PFA)
- 3. PFA on Higher-Order Masking
- 4. Fault Attack on Lattice based PQC
- 5. Combined SCA+DFA
- 6. Conclusions





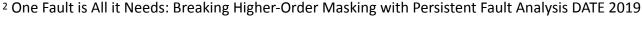
## Masking Countermeasure

- Masking is an algorithmic side-channel countermeasure
- Based on Shamir's secret sharing
- Boolean Masking:
  - Secret x split into tuple (x<sub>m</sub>,m)
  - $x_m = x \oplus m$
  - m is randomly chosen on each execution
  - For higher order masking m is split in further shares
  - At masking order d:  $m = m_1 \oplus m_2 \oplus m_3 \dots \oplus m_d$
- Removes dependency between x and side-channel leakage



## Masking Countermeasure

- Masking is an algorithmic side-channel countermeasure
- Based on Shamir's secret sharing
- Boolean Masking:
  - Secret x split into tuple (x<sub>m</sub>,m)
  - $x_m = x \oplus m$
  - m is randomly chosen on each execution
  - For higher order masking m is split in further shares
  - At masking order d:  $m = m_1 \oplus m_2 \oplus m_3 \dots \oplus m_d$
- Removes dependency between x and side-channel leakage





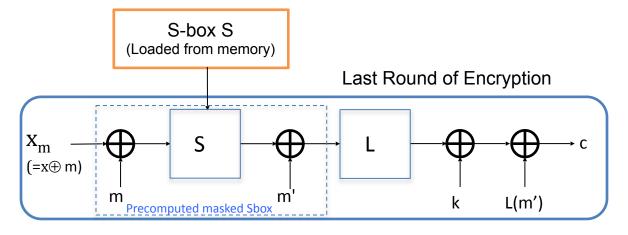
50



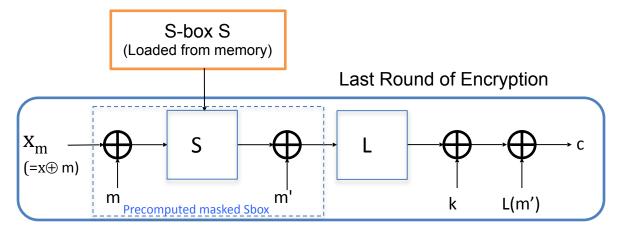
# Masking vs PFA

- Theoretically masking does not resist fault attacks
- Several previous attack were presented on masking
- They work in restrictive setting (advanced fault model, high no. of faults etc.)
- Only One Fault to break 4 various public implementation of masking
- Target Implementations:
  - Byte-wise Masking [SES, Virginia Tech]
  - Coron's Table Masking [EuroCrypt 2014]
  - Rivian and Prouff Masking [CHES 2010]
  - Software Threshold [COSADE 2018]







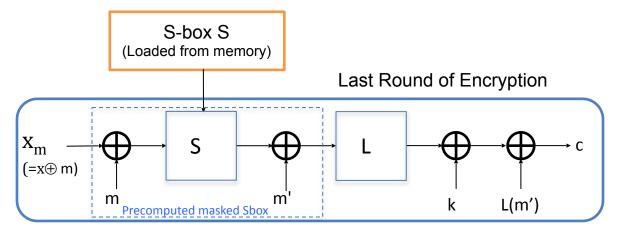


```
c = L(S(x_m \oplus m) \oplus m') \oplus k) \oplus L(m')
```

- $= L(S(x \oplus m \oplus m) \oplus m') \oplus k) \oplus L(m')$
- $= L(S(x)) \oplus k \oplus L(m') \oplus L(m')$
- $= L(S(x)) \oplus k$





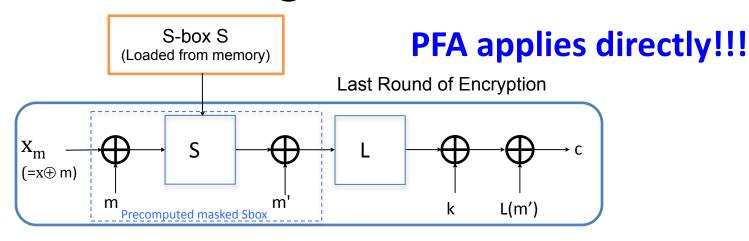


- $c = L(S(x_m \oplus m) \oplus m') \oplus k) \oplus L(m')$ 
  - $= L(S(x \oplus m \oplus m) \oplus m') \oplus k) \oplus L(m')$
  - $= L(S(x)) \oplus k \oplus L(m') \oplus L(m')$
  - $= L(S(x)) \oplus k$



Masking has no effect on the distribution of the final ciphertext







 $= L(S(x \oplus m \oplus m) \oplus m') \oplus k) \oplus L(m')$ 

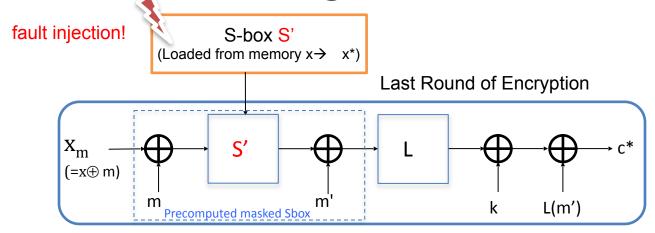
 $= L(S(x)) \oplus k \oplus L(m') \oplus L(m')$ 

 $= L(S(x)) \oplus k$ 

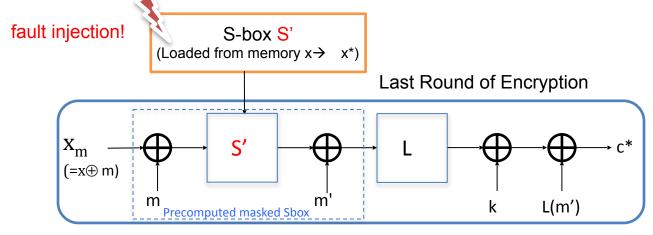


Masking has no effect on the distribution of the final ciphertext









```
c^* = L(S'(x_m \oplus m) \oplus m') \oplus k) \oplus L(m')
```

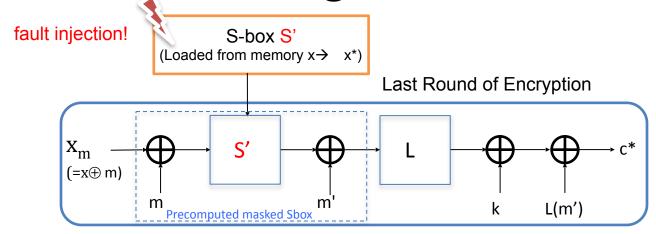
- $= L(S'(x \oplus m \oplus m) \oplus m') \oplus k) \oplus L(m')$
- $= L(S'(x)) \oplus k \oplus L(m') \oplus L(m')$
- $= L(S'(x)) \oplus k$





53

# PFA on Masking: Generic Attack



 $c^* = L(S'(x_m \oplus m) \oplus m') \oplus k) \oplus L(m')$ 

 $= L(S'(x \oplus m \oplus m) \oplus m') \oplus k) \oplus L(m')$ 

 $= L(S'(x)) \oplus k \oplus L(m') \oplus L(m')$ 

 $= L(S'(x)) \oplus k$ 



Value  $c^*=L(S'(x) \oplus k)$  will be missing Value  $c^*=L(S'(x^*) \oplus k)$  will be doubled Allows key recovery with PFA

m,m' do not appear

Also masking order does not matter



### Attack Results on Public Code

Design	Fault Target	No. of Ciphertext (Masking Order)
Bytewise Masking (Virginiatech)	Sbox Recomputation	1560 (any)
Coron's higher Order Masking (Eurocrypt 2014)	Sbox Recomputation	1560 (any)
Rivian & Prouff Masking (CHES 2010)	Affine transformation	2,500,000 (1) [α 2 <sup>14d</sup> ]
Software Threshold (COSADE 2018)	Decomposition A'''	400,000 (1)



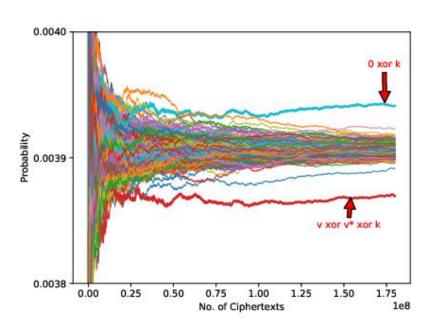
## Attack Results on Public Code

Design	Fault Target	No. of Ciphertext (Masking Order)
Bytewise Masking (Virginiatech)	Sbox Recomputation	1560 (any)
Coron's higher Order Masking (Eurocrypt 2014)	Sbox Recomputation	1560 (any)
Rivian & Prouff Masking (CHES 2010)	Affine transformation	2,500,000 (1) [α 2 <sup>14d</sup> ]
Software Threshold (COSADE 2018)	Decomposition A'''	400,000 (1)

**ONLY ONE FAULT** 



## Attack Results on Public Code



0.066 0.065 Probability 0.00% 0.062 0.061 200000 400000 600000 800000 1000000 No. of Ciphertexts CC

**Rivian & Prouff Masking** 

Software Threshold



### **Table of Contents**

- 1. Introduction to Fault Attacks
- 2. Persistent Fault Analysis (PFA)
- 3. PFA on Higher-Order Masking
- 4. Fault Attack on Lattice based PQC
- 5. Combined SCA+DFA
- 6. Conclusions





## Post Quantum Cryptography (PQC)

- Quantum computers are coming
- A serious threat to current public key cryptography (RSA, ECC)
- Ongoing initiative to standardize Post Quantum Cryptography (PQC)
- Based on hard problems not threatened by quantum computing
- Key candidates:
  - Lattice-based
  - Code-based
  - Multivariate
  - Hash-based
  - Super singular isogeny
  - **–** ...



# Response to NIST PQC Call

Table: ROUND 1

Type	Signatures	KEM/Encryption	Overall
Lattice-based	5	23	28
Code-based	3	17	20
Multivariate	8	2	10
Hash-based	3	0	3
Isogeny-based	0	1	1
Others	2	5	7
Total	21	48	69





# Response to NIST PQC Call

Table: ROUND 2

Туре	Signatures	KEM/Encryption	Overall	
Lattice-based	3	9	12	
Code-based	0	7	7	
Multivariate	4	0	4	
Hash-based	2		2	
Isogeny-based	0	1	1	
Others	0	0	0	
Total	9	17	26	



## Learning With Error (LWE) Problem

- $T = (A*S+E) \in Z_q$ 
  - Secret  $\mathbf{S} \in \mathbf{Z}_{q^n}$
  - $-\mathbf{A} \in \mathbf{Z}_{q}^{n}$  is public
  - Error E derived from Gaussian distribution
- The hard problem is to solve for S given several pairs
   (A, T)
- Error component **E** is essential to hardness guarantees



# NewHope

- NewHope is a suite of KEM (NewHope-CPA/CCA-KEM)
- Based on RLWE problem (LPR Encryption Scheme)
- Sample generates randomness with SHAKE256 (SHA-3)
- Sample 32-byte seed and a nonce as input to generate (S,E)
- nonce can be integer ideally in range [0,255]
- In NIST submission, designers use nonce=(0,1)





# Vulnearbility in *NewHope*

- The fault attack targets nonce optimization
- Targets generation of (S,E)

```
-S = Sample(noiseseed, 0)
```

```
-E = Sample(noiseseed, 1)
```





# Vulnearbility in NewHope

- The fault attack targets nonce optimization
- Targets generation of (S,E)

```
-S = Sample(noiseseed, 0)
```

```
-E = Sample(noiseseed, 1 \rightarrow 0) = S
```



# Vulnearbility in *NewHope*

Assume a Ring-LWE instance

$$T = (A*S+E) \in R_q$$

- Inject fault such that E=S
- Ring-LWE instance is faulted to:

$$T = (A*S+S) \in R_q$$

- Modular linear system of equations with n equations and n unknowns
- Solved using Gaussian elimination



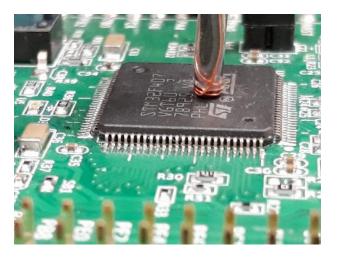
# Impact of Fault Attack

- Leads to secret key recovery followed by message recovery
- Applies to all variants of LWE (general LWE, Ring-LWE, Module-LWE)
- Same vulnerability in Kyber, FRODO, Dilithium.



## Experiments with EMFI





The Fault injection Setup on ARM Cortex-M4

- Attacks target public pqm4 library
- Fault repeatability is 100% at (few) identified locations



# Fault Complexity<sup>1</sup>

Attack Objective	ective	Fault Complexity					
	_	NEWHOPE		FRODO			
	N	EWHOPE512	NEWHOPE10	24 Fr	odo-640	Frodo-9	76
Key Reco	very	1	1		1	1	
Message Recovery	covery	1	1		1	1	
-		KYBER			DIL	ITHIUM	
1	KYBER51	2 KYBER768	KYBER1024	Weak	Med.	Rec.	High
Key Recovery	2	3	4	2	3	4	5
lessage Recovery	2	3	4	-	-	-	-

- Security of Kyber depends on LWE and LWR. This attack only removes the LWE instance.
- Round 2 version of Kyber removed LWR.

<sup>&</sup>lt;sup>1</sup> Ravi, Prasanna et al.,"Number Not Used Once-Practical Fault Attack on pqm4 Implementations of NIST Candidates." In COSADE 2019.



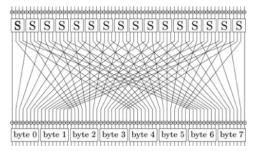


## **Table of Contents**

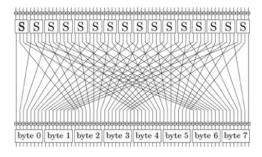
- 1. Introduction to Fault Attacks
- 2. Persistent Fault Analysis (PFA)
- 3. PFA on Higher-Order Masking
- 4. Fault Attack on Lattice based PQC
- 5. Combined SCA+DFA
- 6. Conclusions



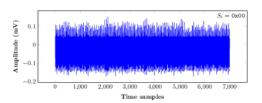






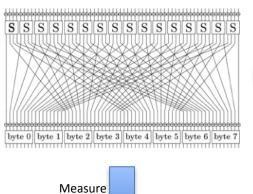


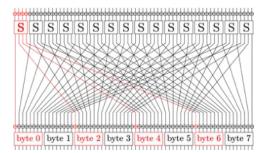




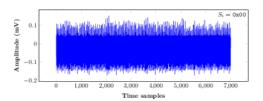


Insert Fault



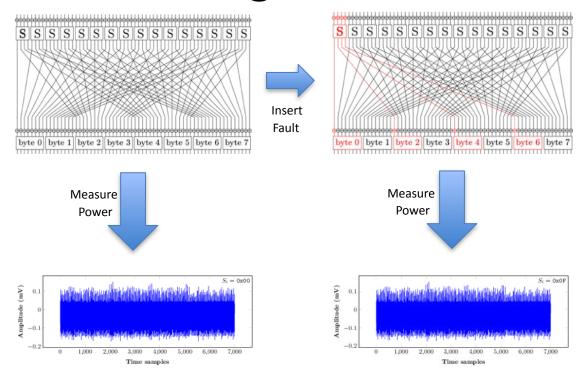






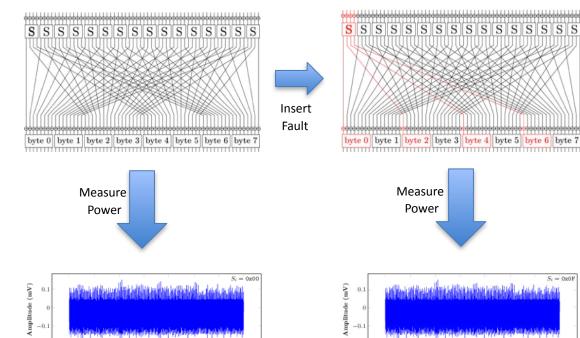


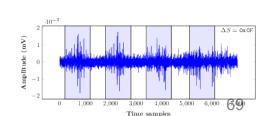










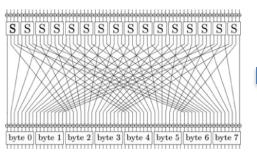


Power Difference

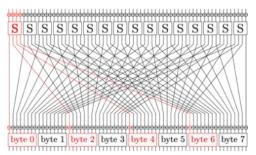
Time samples

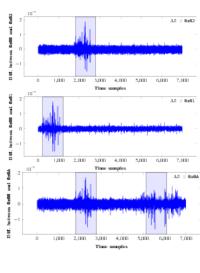
Time samples



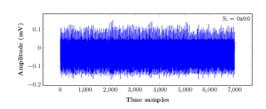




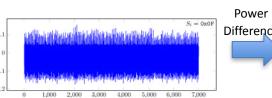












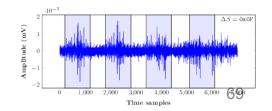
Time samples



**Bit Permutation converts** 

Difference to value model.

Leaks fault mask









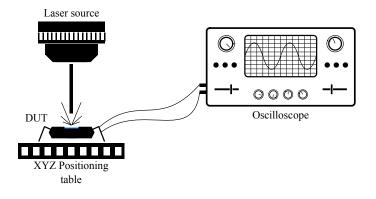


#### Combined Attack Setup:

- 1064 nm Laser
- 8-bit Atmega328P



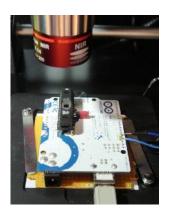


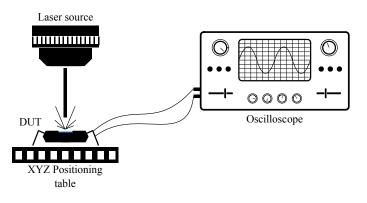


#### Combined Attack Setup:

- 1064 nm Laser
- 8-bit Atmega328P

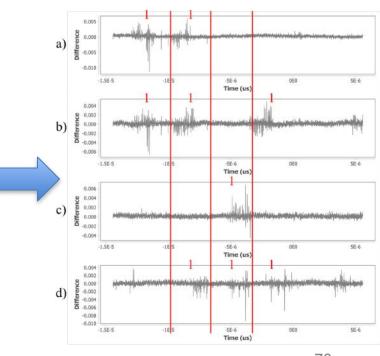




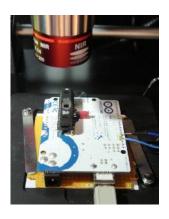


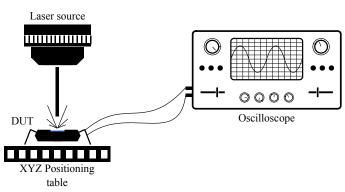


- 1064 nm Laser
- 8-bit Atmega328P



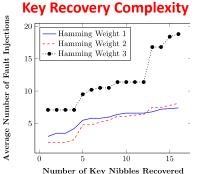


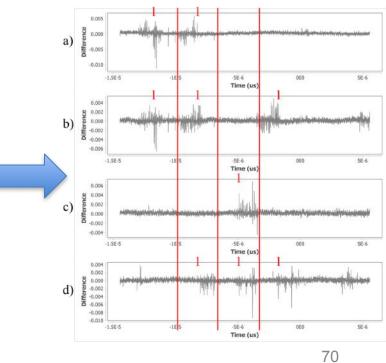




#### Combined Attack Setup:

- 1064 nm Laser
- 8-bit Atmega328P

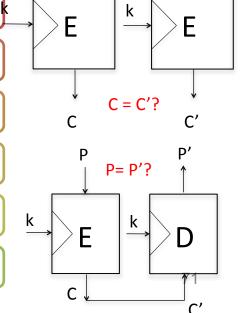






# Attacks Against Redundancy

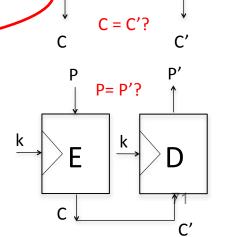
- Guo et. al. (JCEN)
- Practically bypass concurrent error detection with biased faults.
  - Patranabis et. al. (COSADE)
- Biased faults to bypass time-redundancy.
  - Selmake et. al. (FDTC)
- Biased faults to bypass hardware-redundancy.
  - Breier et. al. (JCEN)
- Practically bypass information redundancy (parity).
  - Wiersma et. al. (FDTC)
- Attack on commercial processors having ASIL-D security.
  - Zhang et al. (FDTC)
- 2018 Persistent fault analysis





## Attacks Against Redundancy

- Guo et. al. (JCEN)
- Practically bypass concurrent error detection with biased faults.
- Patranabis et. al. (COSADE)
- Biased faults to bypass time-redundancy.
  - Selmake et. al. (FDTC)
- 2016 Biased faults to bypass hardware-redundancy.
  - Breier et. al. (JCEN)
- Practically bypass information redundancy (parity).
  - Wiersma et. al. (FDTC)
- Attack on commercial processors having ASIL-D security.
  - Zhang et al. (FDTC)
- 2018 Persistent fault analysis





## Advancing State of the Art

- Previous Works
  - Bypass the countermeasure itself
  - Use biased faults
  - Corrupt all computation branches i.e. > 1 fault injection

- Our Proposal
  - Exploit the countermeasure itself
  - Use random faults (relaxed model)
  - Only 1 fault injection
  - Side-channel assisted



## Advancing State of the Art

- Previous Works
  - Bypass the

- Our Proposal
  - Exploit the

## !!!EVEN COUNTERMEASURE LEAK!!!

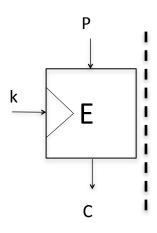
 Corrupt all computation branches i.e. > 1 fault injection (relaxed model)

- Only 1 fault injection
- Side-channel assisted

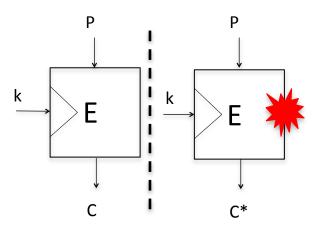




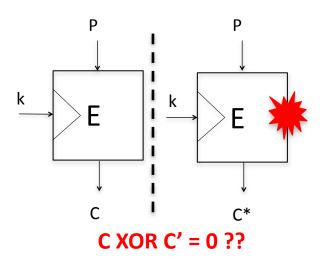




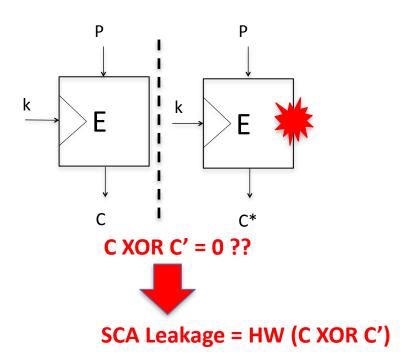




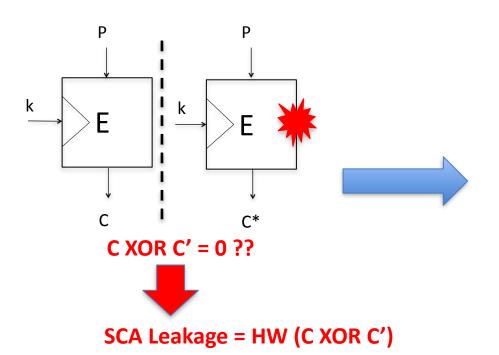




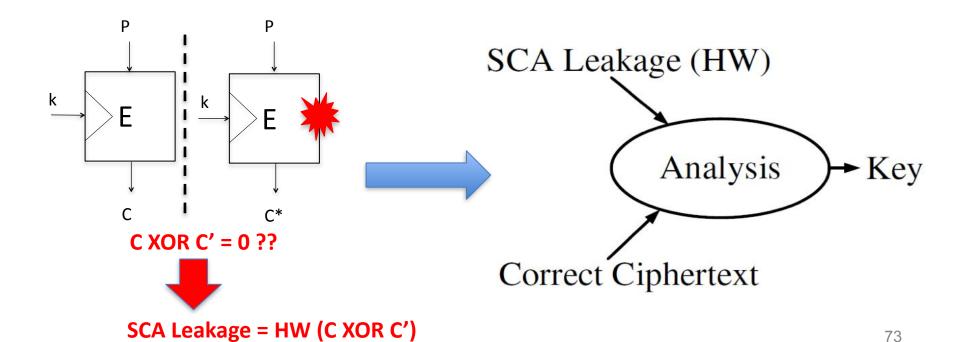




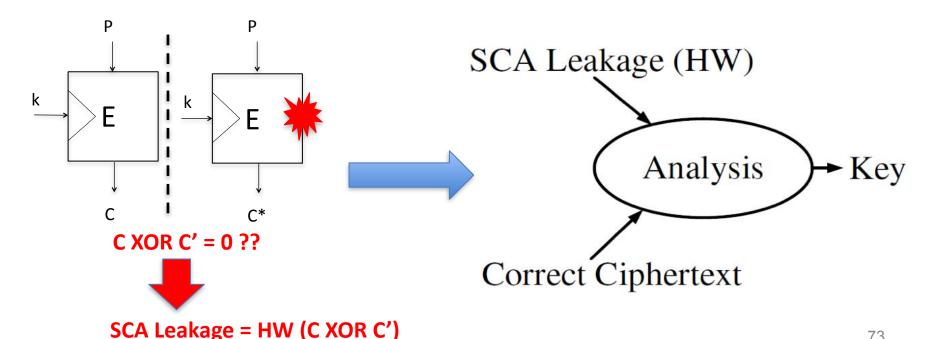












<sup>3</sup> Breaking Redundancy-Based Countermeasures with Random Faults and Power Side Channel. FDTC 2019





### The Attack Idea

- What we have and what we don't :
  - •Correct ciphertexts: *C*

Known

• Faulty Ciphertexts: C\*



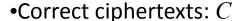
Know n (bytewise)





### The Attack Idea

What we have and what we don't :





• Faulty Ciphertexts: C\*

 $= HW(C \oplus C^*)$ 

Know n (bytewise)

$$HW(\delta) = w$$

 $2^8$  choices for  $C^*$ 



## The Attack Idea

- What we have and what we don't :
  - •Correct ciphertexts: C

• Faulty Ciphertexts: C\*



\_\_\_\_ Unknown

$$HW(\delta) = HW(C \oplus C^*)$$
 Know n (bytewise)

W=8, C\* Known

Less choices for extreme w

$$HW(\delta) = w$$

 $\binom{8}{w}$  choices for  $C^*$ 

 $2^8$  choices for  ${\it C}^*$ 



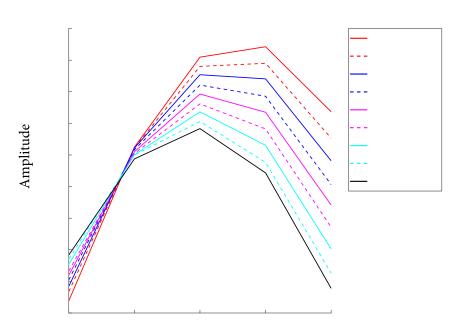
## Attack Setup

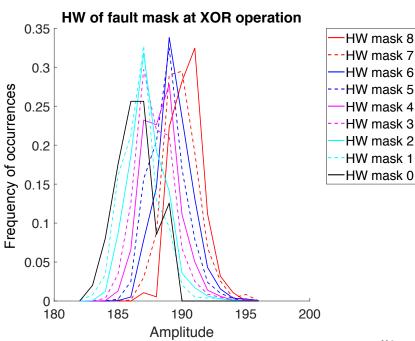


- Near-infrared diode pulse laser
- Maximum output power of 20 W
- For the experiments, 20x magnifying objective lens was used
- As a DUT, ATmega328P was used an 8-bit microcontroller running at 16 MHz
- Chip was depackaged from the backside to be accessible by the laser
- Total area vulnerable to experiments was <1% of the entire chip area</li>
- Reproducibility of faults was near to 100% with the same laser settings



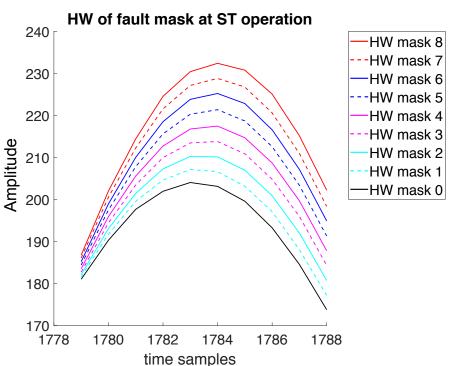
## Observing C XOR C' (Sniff XOR)

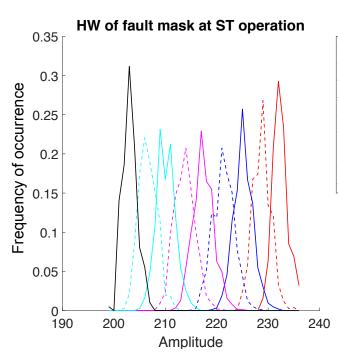






# Observing C XOR C' (Sniff ST)







1 1



#### Practical validation

Cipher	Code Size (bytes)	$T_{ENC}$	$N_{EXP}$	$( \mathcal{E} , \mathcal{F} , \mathcal{R} )$
AES-128	7570	0.326	$2^{26.98}$	$(2^{43}, 2^{25}, 1)$
PRESENT-80	7110	4.01	$2^{23.36}$	$(2^4,4,1)$

 $(|\epsilon|,|f|,|R|)$ = (Computation complexity, No. Of Faults, Remaining Key Space)

225 Practical injections/day possible with our setup



## **Table of Contents**

- 1. Introduction to Fault Attacks
- 2. Persistent Fault Analysis (PFA)
- 3. PFA on Higher-Order Masking
- 4. Fault Attack on Lattice based PQC
- 5. Combined SCA+DFA
- 6. Conclusions





### Conclusions

- Persistent Fault Analysis (PFA)
  - A novel attack on general block ciphers
  - Defeat popular fault countermeasures & masking
  - Can work with multiple faults
  - Only one fault injection required



### Conclusions

- Persistent Fault Analysis (PFA)
  - A novel attack on general block ciphers
  - Defeat popular fault countermeasures & masking
  - Can work with multiple faults
  - Only one fault injection required
- Fault attack on Lattice based PQC
  - Identified fault vulnerabilities in nonce optimization
  - Targets multiple schemes like NewHope, Frodo, Kyber and Dilithium
  - Validated on ARM Cortex-M4 with public pqm4 library





## Conclusions

- Persistent Fault Analysis (PFA)
  - A novel attack on general block ciphers
  - Defeat popular fault countermeasures & masking
  - Can work with multiple faults
  - Only one fault injection required
- Fault attack on Lattice based PQC
  - Identified fault vulnerabilities in nonce optimization
  - Targets multiple schemes like NewHope, Frodo, Kyber and Dilithium
  - Validated on ARM Cortex-M4 with public pqm4 library
- Combined SCA+DFA
  - Pushing the limits
  - Exploiting leakage from design optimization choices and countermeasures



# Thank You !!!

