

## THE CANTOR SET

[A, 2D], [J, 2A]

①

Countable sets have measure zero,  $\lambda(a) = 0$ .

Q A  $\exists$ ? Uncountable set with measure zero?  
YES!

The CANTOR SET,  $C$ , IS A SUBSET OF  $[0, 1]$ :

- ①  $C$  IS COMPACT + hence  $\lambda(C) \neq$
- ②  $\lambda(C) = 0$
- ③  $C$  does not contain any intervals
- ④  $C$  is UNCOUNTABLE.
- ⑤  $C$  can be put into 1-1 correspondence with  $\mathbb{R}$ .

## CONSTRUCTION

$$C = [0, 1] \sim \bigcup_{n=1}^{\infty} G_n$$

where

$$G_1 = \left( \frac{1}{3}, \frac{2}{3} \right)$$

$G_n$  is union of all middle-third open intervals in the intervals of

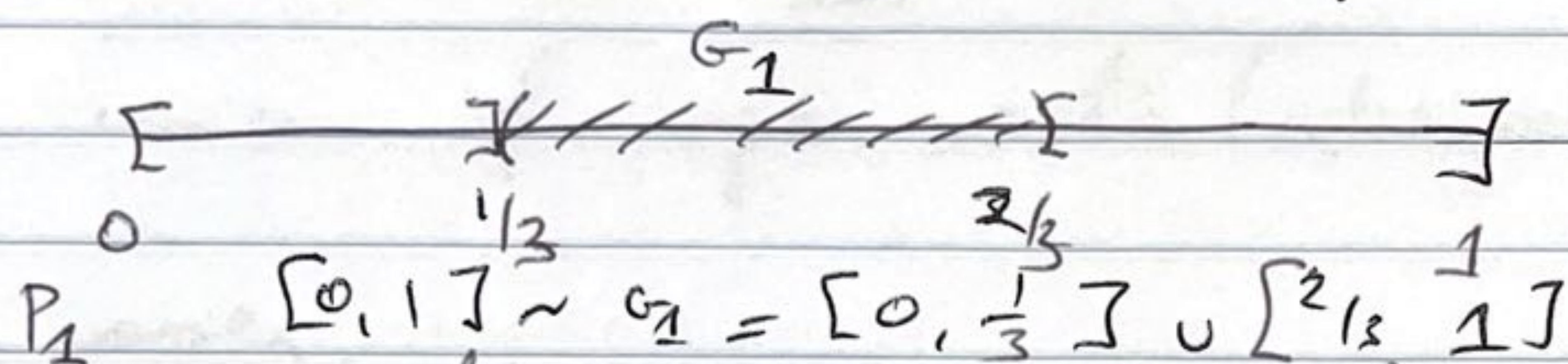
$$[0, 1] \sim \bigcup_{j=1}^{n-1} G_j$$



(3)

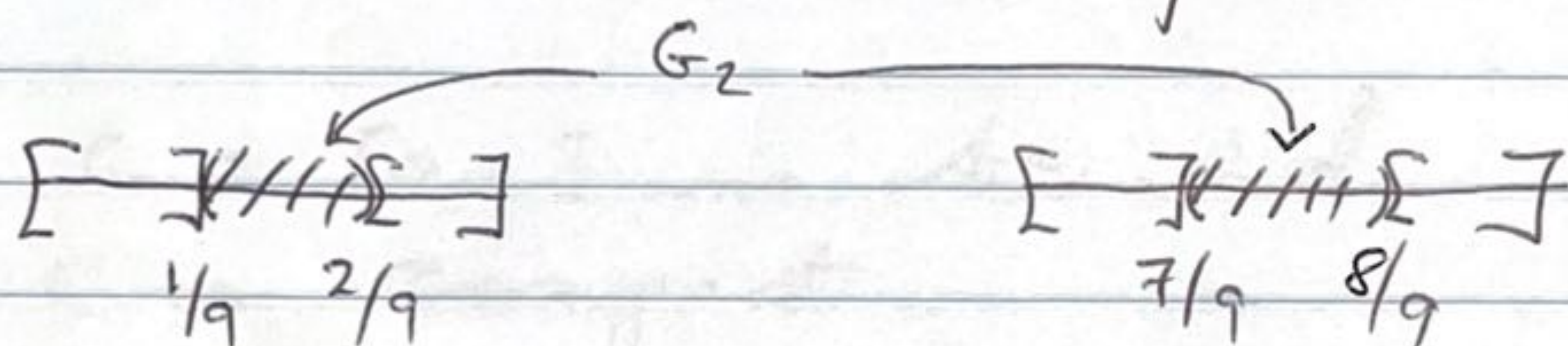
So Start with  $[0, 1]$ ,

Remove middle-third open interval



Now we have 2 closed intervals.

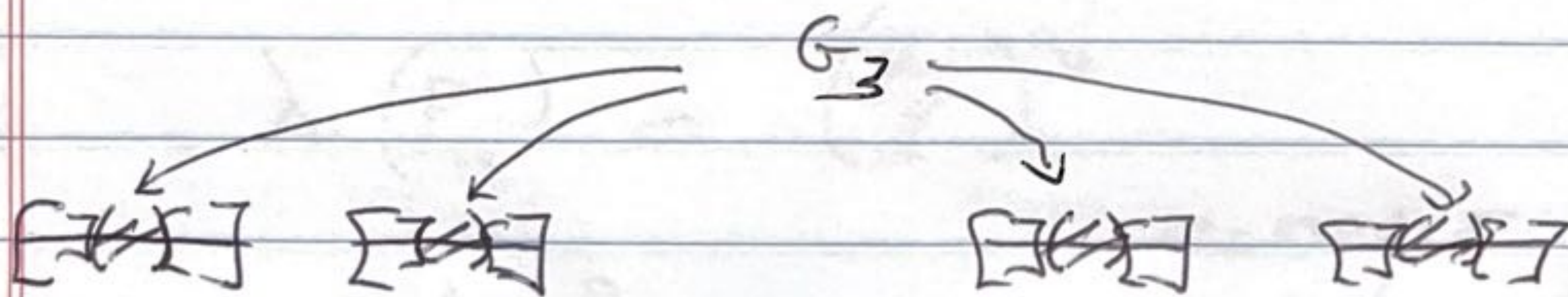
Remove middle-third open interval of each



Now we have 4 closed intervals

$$P_2 \quad [0, 1] \sim (G_1 \cup G_2) = [0, \frac{1}{9}] \cup [\frac{2}{9}, \frac{1}{3}] \cup [\frac{2}{3}, \frac{7}{9}] \cup [\frac{8}{9}, 1]$$

Remove middle-third open interval of each of these 4 intervals.



$$P_3 = [0, 1] \sim (G_1 \cup G_2 \cup G_3) =$$

8 intervals removed

$$[0, \frac{1}{27}] \cup [\frac{2}{27}, \frac{1}{9}] \cup [\frac{2}{9}, \frac{7}{27}] \cup [\frac{8}{27}, \frac{1}{3}] \cup [\frac{2}{3}, \frac{19}{27}] \cup [\frac{20}{27}, \frac{7}{9}] \cup [\frac{8}{9}, \frac{25}{27}] \cup [\frac{26}{27}, 1]$$



(3)

CLAIM I  $C$  is compact.

PF  $C^c = (-\infty, 0) \cup \left( \bigcup_{k=1}^{\infty} G_k \right) \cup (1, \infty)$

is a union of open sets (intervals) and  
 so open.

So  $C$  is closed

But  $C \subset [0, 1]$  is bounded

So  $C$  is compact.

CLAIM II  $\lambda(C) = 0$ 

PF  $\forall N, C$  is a subset of  $P_N = [0, 1] \sim \bigcup_{k=1}^N G_k$

which is a union of  $2^N$  closed intervals of  
 measure  $\frac{1}{3^N}$

So  $\lambda(P_N) = \left(\frac{2}{3}\right)^N$

Since each  $P_N$  is <sup>compact</sup> ~~a special polygon~~

$0 \leq \lambda(C) \stackrel{②}{\leq} \lambda(P_N) = \left(\frac{2}{3}\right)^N \rightarrow 0 \text{ as } N \rightarrow \infty.$

So  $\lambda(C) = 0.$

□



CLAIM III

$C$  does not contain any intervals consisting of more than 1 element

PF If  $\exists I = [a, b] \subseteq C$  Then by (C2)

$$b - a = \lambda(I) \leq \lambda(C) = 0$$

$$\text{So } b = a$$

□

IDEA To determine whether or not  $x \in C$

look at base 3 (ternary) expansion of  $x$ .

DECIMAL EXPANSIONS (BASE 10)

Let  $x \in [0, 1]$ . Then  $\exists \{a_k\}_{k=1}^{\infty}$  with each

$$a_k \in \{0, 1, 2, \dots, 8, 9\}$$

$$x = \sum_{k=1}^{\infty} \frac{a_k}{10^k} \quad (*)$$

Write  $x = 0.a_1 a_2 a_3 \dots$



(5)

FACT The series expansion  $\textcircled{*}$  may not be unique

EG

$$0.1 = 0.100\dots$$

$$= 0.0999\dots$$

$$\infty \sum_{k=2}^{\infty} \frac{9}{10^k} = \frac{9}{10} \sum_{k=1}^{\infty} \frac{1}{10^k} = \frac{9}{10} \left( \frac{1}{1 - \frac{1}{10}} - 1 \right)$$

$$= 0.1$$

### TERNARY EXPANSIONS (BASE 3)

Let  $x \in [0, 1]$ . Then  $\exists \{a_k\}_{k=1}^{\infty}$  with each  $a_k \in \{0, 1, 2\}$  so that

$$x = \sum_{k=1}^{\infty} \frac{a_k}{3^k}$$

WRITE  $x = 0.3 a_1 a_2 a_3 \dots$

Just as before, expansion may not be unique:

$$\frac{1}{3} = 0.3 100\dots = 0.3 0222\dots$$



LEMMA

Let  $x \in [0, 1]$ .

①  $x$  has at most 2 base 3 expansions.

② If  $x$  has 2 base 3 expansions  
Then one of them must terminate.

PF TEDIOUS

COR

The  $x \in [0, 1]$  which have 2 base 3 expansions are of the form

$$x = \frac{p}{3^n} \quad \text{for an integer } p.$$

PF

Terminating base 3 expansions are of form

$$x = \sum_{k=1}^n \frac{a_k}{3^k} = \frac{1}{3^n} \left( \sum_{k=1}^n a_k 3^{n-k} \right) = p \in \mathbb{Z}_+$$

□



RELATION TO CANTOR SETCLAIM I

$$x \in G_1 = \left(\frac{1}{3}, \frac{2}{3}\right) \iff \text{Every base 3 expansion of } x \text{ has form}$$

$$x = 0.1a_2a_3\dots$$

$$\boxed{\Rightarrow} \text{ Let } x \in \left(\frac{1}{3}, \frac{2}{3}\right).$$

$$\text{If } a_1 = 0 \text{ then } x = 0.0a_2a_3\dots$$

$$\leq 0.022\dots = \frac{1}{3} \cdot \cancel{x}$$

$$\text{If } a_1 = 2 \text{ then } x = 0.2a_2a_3\dots$$

$$= \frac{2}{3} + \frac{a_2}{3^2} + \dots \geq \frac{2}{3} \cdot \cancel{x}$$

So  $a_1 = 1$  holds

$$\boxed{\Leftarrow} \text{ If } a_1 = 1 \text{ then}$$

$$x = 0.1a_2a_3\dots \geq 0.1 = \frac{1}{3}$$

$$x = 0.1a_2a_3\dots \leq 0.122\dots = 0.2 = \frac{2}{3}$$

$$\text{So } x \in \left[\frac{1}{3}, \frac{2}{3}\right].$$



⑧

However if  $x = \frac{1}{3}$  or  $x = \frac{2}{3}$  then by CR

$x$  has 2 base 3 expansions, to 2nd being

$$\frac{1}{3} = 0.3 022 \dots$$

$$\frac{2}{3} = 0.3 22 \dots$$

which do not have  $a_1 = 1$

↓

### CLAIM II

$x \in \bigcup_{j=1}^{\infty} G_j \iff$  Every base 3 expansion of  $x$  has at least one 1 in it.

PF HWK

NEGATING CLAIM II we get

THM The Cantor  $C$  is set of  $\#s$  in  $[0,1]$

that have (at least one) base 3 expansion

containing only 0's and 2's.



(9)

EX ① The endpoints of each  $G_n$  are in  $C$   
(as they are never removed)

②  $\frac{1}{4}, \frac{9}{13} \in C$  but are not endpoints of a  $G_n$ .

PROP

$C$  IS UNCOUNTABLE. and can be put into 1-1 correspondence with  $\mathbb{R}$ .

PF

Define

$$F: C \rightarrow [0, 1] \quad \text{by}$$

$$F\left(\sum_{j=1}^{\infty} \frac{a_j}{3^j}\right) = \sum_{j=1}^{\infty} \frac{[a_j/2]}{2^j}$$

where we always choose our base 3 expansion to contain only 0's and 2's.

UBV  $F$  is 1-1 and ONTO.

$\therefore C$  is uncountable as  $[0, 1]$  is