

4.7 LINEAR TRANSFORMATIONS

①

DEF $T: U \rightarrow V$ is a LINEAR TRANSFORMATION if

$$T(\alpha \vec{x} + \vec{y}) = \alpha T(\vec{x}) + T(\vec{y}) \quad \forall \alpha \in \mathbb{R} \\ \forall \vec{x}, \vec{y} \in U$$

BIG IDEA Many LTs have GEOMETRIC MEANING

EXS

① Let $A \in \mathbb{R}^{m \times n}$ be an $m \times n$ matrix of real #s.
Then

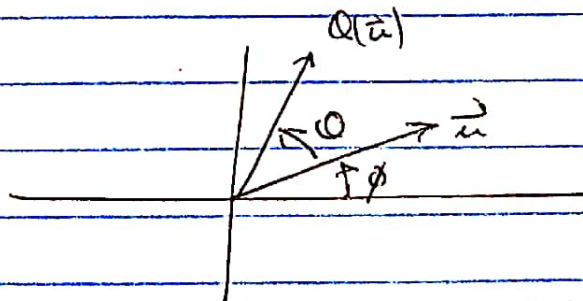
$T: \mathbb{R}^n \rightarrow \mathbb{R}^m$ defined by

$$T(\vec{u}) = A\vec{u} \quad \text{is a L.T.}$$

"EVERY MATRIX TRANSF" IS A LINEAR TRANSF"

① Define $Q: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ by

$Q(\vec{u}) =$ ROTATION OF \vec{u} CCW by θ



CLAIM \exists MATRIX $[Q]$ so that

$$Q(\vec{u}) = [Q] \vec{u}$$

So Q is a L.T.

(2)

PF
Write $\vec{u} = \begin{pmatrix} R \cos \phi \\ R \sin \phi \end{pmatrix}$ using polar coords

So $Q(\vec{u}) = \begin{pmatrix} R \cos(\phi + \theta) \\ R \sin(\phi + \theta) \end{pmatrix}$

$$= \begin{pmatrix} R \cos \phi \cos \theta - R \sin \phi \sin \theta \\ R \cos \phi \sin \theta + R \sin \phi \cos \theta \end{pmatrix}$$

$$= \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} R \cos \phi \\ R \sin \phi \end{pmatrix}$$

$$=: [Q] \vec{u}$$

(2) ORTHOGONAL PROJECTORS

(a) Let $\vec{u} \in \mathbb{R}^n$ have $\|\vec{u}\| = 1$

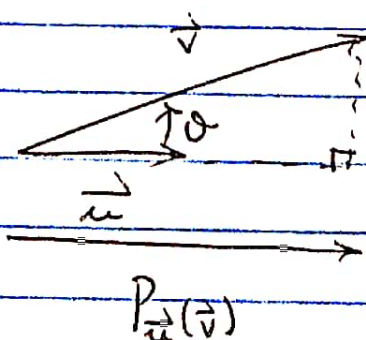
Define

$P_{\vec{u}} : \mathbb{R}^n \rightarrow \mathbb{R}^n$ be projⁿ onto $\text{Span}(\vec{u})$

$$\|P_{\vec{u}}(\vec{v})\| = \|\vec{v}\| \cos \theta$$

$$= \vec{u} \cdot \vec{v}$$

as $\|\vec{u}\| = 1$



$$P_{\vec{u}}(\vec{v}) = \pm \|P_{\vec{u}}(\vec{v})\| \vec{u}$$

$$= \begin{pmatrix} \vec{u}^T \vec{v} \end{pmatrix} \vec{u}$$

1x1 nx1

$$= \vec{u} (\vec{u}^T \vec{v})$$

(3)

So $P_{\vec{u}}(\vec{v}) = \underbrace{(\vec{u} \vec{u}^T)}_{n \times n} \vec{v}$ is linear.

with matrix

$$[P_{\vec{u}}] = \vec{u} \vec{u}^T$$

$n=2$

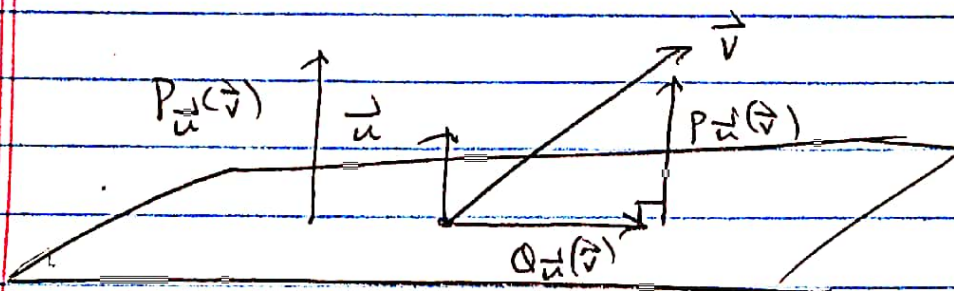
$$[P_{\vec{u}}] = \begin{pmatrix} u_1 \\ u_2 \end{pmatrix} (u_1 \ u_2) = \begin{pmatrix} u_1^2 & u_1 u_2 \\ u_1 u_2 & u_2^2 \end{pmatrix}$$

NOTE $[P_{\vec{u}}]^T = (\vec{u} \vec{u}^T)^T = \vec{u} \vec{u}^T = [P_{\vec{u}}]$

is symmetric.

(b) $Q_{\vec{u}} = I - P_{\vec{u}} : \mathbb{R}^n \rightarrow \mathbb{R}^n$ is projection onto

\vec{u}^\perp = Plane thru $\vec{0}$ with normal \vec{u} .



$$Q_{\vec{u}}(\vec{v}) = \vec{v} - P_{\vec{u}}(\vec{v})$$

CLAIM

$$Q_{\vec{u}}(\vec{v}) \perp P_{\vec{u}}(\vec{v})$$

PF

Recall

$$\vec{x} \cdot \vec{y} = \sum_{j=1}^n x_j y_j = (x_1, \dots, x_n) \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix} = \vec{x}^T \vec{y}$$

So

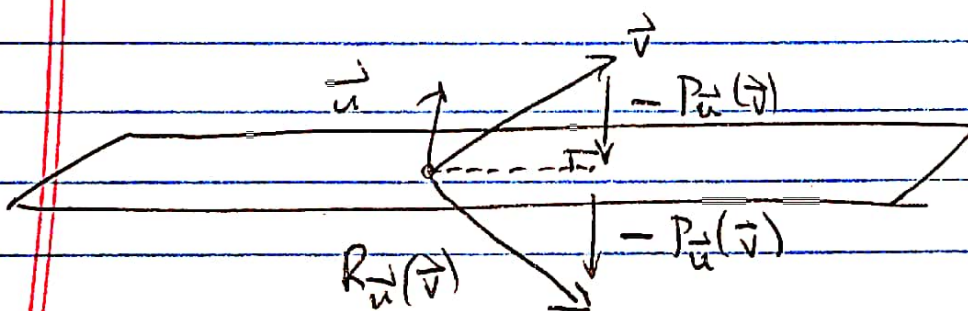
$$\begin{aligned} Q_{\vec{u}}(\vec{v}) \cdot P_{\vec{u}}(\vec{v}) &= [\vec{v} - \vec{u} \vec{u}^T \vec{v}]^T \vec{u} \vec{u}^T \vec{v} \\ &= \vec{v}^T \vec{u} \vec{u}^T \vec{v} - \vec{v}^T \underbrace{\vec{u} \vec{u}^T \vec{u} \vec{u}^T}_{\substack{1 \\ \vec{u} \cdot \vec{u}}} \vec{v} \\ &= (\vec{v} \cdot \vec{u})^2 - (\vec{v} \cdot \vec{u}) \underbrace{\|\vec{u}\|^2}_{1} (\vec{u} \cdot \vec{v}) = 0 \end{aligned}$$

□

③ REFLECTOR

$$R_{\vec{u}} = I - 2P_{\vec{u}} : \mathbb{R}^n \rightarrow \mathbb{R}^n \text{ is L.T.}$$

That reflects a vector across plane thru $\vec{0}$ with normal \vec{u} . Here $\|\vec{u}\| = 1$ again.



$$[R_{\vec{u}}]^T = [R_{\vec{u}}]$$

MOTIVATING EXAMPLE

P_3 = Polynomials of degree ≤ 3 .

Any $p \in P_3$ can be expressed as

$$p(x) = a_0 + a_1 x + a_2 x^2 + a_3 x^3 \quad a_j \in \mathbb{R}$$

So the functions $1, x, x^2, x^3$ span P_3

They are also L.I.:

$$\text{If } p(x) = a_0 + a_1 x + a_2 x^2 + a_3 x^3 = 0$$

← The zero poly

Then this holds $\forall x \in \mathbb{R}$. So every $x \in \mathbb{R}$ is a zero of p

Since # zeros of ^{nontrivial} deg 3 poly is ≤ 3 (FTA)

We conclude p is trivial poly, i.e.

$$a_0 = a_1 = a_2 = a_3 = 0.$$

So

$B = \{1, x, x^2, x^3\}$ is basis for P_3 .

Instead of working with $p(x) = a_0 + a_1 x + a_2 x^2 + a_3 x^3$ when doing linear algebra we can just work with coefficient/coordinate vector of p w basis:

$$[p]_B = \begin{bmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{bmatrix}.$$

L.T. $\frac{d}{dx} : P_3 \rightarrow P_3$

(4c)

$$\frac{d}{dx} (a_0 + a_1 x + a_2 x^2 + a_3 x^3) = a_1 + 2a_2 x + 3a_3 x^2$$

In terms of coordinate vectors

$$\left[\frac{d}{dx} \right]_B \begin{bmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{bmatrix} = \begin{bmatrix} 0 \\ a_1 \\ 2a_2 \\ 3a_3 \end{bmatrix} = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \end{bmatrix}$$

↑
Matrix of $\frac{d}{dx}$
in basis B .

LEMMA 1

Let $B = \{\vec{v}_1, \dots, \vec{v}_n\}$ be a basis for V .

! = UNIQUE

Then $\forall \vec{u} \in V \quad \exists! \alpha_1, \dots, \alpha_n \in \mathbb{R} :$

$$\vec{u} = \alpha_1 \vec{v}_1 + \dots + \alpha_n \vec{v}_n.$$

PF (a) $\alpha_1, \dots, \alpha_n \exists$ as B spans V .

(b) Suppose $\alpha_1, \dots, \alpha_n$ and β_1, \dots, β_n are 2 sets of coefficients for \vec{u} . Then

$$\alpha_1 \vec{v}_1 + \dots + \alpha_n \vec{v}_n = \vec{u} = \beta_1 \vec{v}_1 + \dots + \beta_n \vec{v}_n$$

$$\text{So } (\alpha_1 - \beta_1) \vec{v}_1 + \dots + (\alpha_n - \beta_n) \vec{v}_n = \vec{0}$$

Since B is LI

$$\begin{aligned} \alpha_i - \beta_i &= 0 \quad \forall i \\ \alpha_i &= \beta_i \end{aligned}$$

So coefficients are !

DEF The COORDINATE VECTOR OF \vec{u} in the basis B is

$$[\vec{u}]_B = \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{pmatrix}$$

(6)

COOL FORMULA

Suppose $V \subseteq \mathbb{R}^n$ is given by

$$V = \text{Span}(B) = \{ \alpha_1 \vec{v}_1 + \dots + \alpha_n \vec{v}_n \mid \alpha_j \in \mathbb{R} \}$$

Let

$$A = [\vec{v}_1 \dots \vec{v}_n] \quad n \times n$$

Since

$$\alpha_1 \vec{v}_1 + \dots + \alpha_n \vec{v}_n = [\vec{v}_1 \dots \vec{v}_n] \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{pmatrix} \quad \text{we get}$$

$$\forall \vec{u} \in V$$

$$\vec{u} = A [\vec{u}]_B$$

ASIDE $\vec{u} \in V$

$$\Leftrightarrow A [\vec{u}]_B = \vec{u}$$

IS CONSISTENT

$$\text{So } V = \text{Range}(A)$$

$$\text{EX } V = \text{Span} \left\{ \begin{pmatrix} 1 \\ 0 \\ 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 4 \\ 1 \\ 0 \\ 3 \end{pmatrix}, \begin{pmatrix} 0 \\ 0 \\ 1 \\ 4 \end{pmatrix} \right\} \subseteq \mathbb{R}^4$$

ⓐ U.A. ✓ B is a basis.

ⓑ Let $\vec{u} = \begin{pmatrix} 1 \\ 2 \\ 3 \\ 18 \end{pmatrix}$. Then to see if $\vec{u} \in V$ and to find $[\vec{u}]_B$ solve $A [\vec{u}]_B = \vec{u}$

(7)

$$\begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \\ 2 & 3 & 4 \end{pmatrix} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 3 \\ 18 \end{pmatrix}$$

G.E. gives $\begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{pmatrix} = \begin{pmatrix} -1 \\ 2 \\ 4 \end{pmatrix} = [\vec{u}]_B$

So $\vec{u} = -\vec{v}_1 + 2\vec{v}_2 + 4\vec{v}_3$.

HWK 4.7A Show that $\forall \lambda_j \in \mathbb{R}, \vec{v}_j \in V$

$$[\lambda_1 \vec{v}_1 + \lambda_2 \vec{v}_2]_B = \lambda_1 [\vec{v}_1]_B + \lambda_2 [\vec{v}_2]_B$$

THE MATRIX OF A L.T.

DEF

Let $T: U \rightarrow V$ be a L.T.

Let $B = \{\vec{u}_1, \dots, \vec{u}_n\}$ be a basis for U

$B' = \{\vec{v}_1, \dots, \vec{v}_m\}$ V

Since $T(\vec{u}_j) \in V$ it has coords wrt B'

$$T(\vec{u}_j) = \sum_{i=1}^m \alpha_{ij} \vec{v}_i$$

NOTE ORDER
OF INDICES
IN α_{ij}

So

$$\alpha_{*j} = [T(\vec{u}_j)]_{\mathcal{B}'}, \quad \text{column } j$$

The matrix of T w.r.t bases \mathcal{B} and \mathcal{B}' is

$$[T]_{\mathcal{B}\mathcal{B}'} = [T] = \left([T(\vec{u}_1)]_{\mathcal{B}'}, \dots, [T(\vec{u}_n)]_{\mathcal{B}'} \right)$$

So $[T]_{ij} = \alpha_{ij}$

NOTE

IF $T: U^n \rightarrow V^m$

THEN $[T]$ is $m \times n$.

PROP 2

$$[T(\vec{u})]_{\mathcal{B}'} = [T]_{\mathcal{B}\mathcal{B}'} [\vec{u}]_{\mathcal{B}}$$

$m \times 1$

$m \times n$

$n \times 1$

PF Let $\vec{u} = \sum_{i=1}^n \alpha_i \vec{u}_i$

So $T(\vec{u}) = \sum_{i=1}^n \alpha_i T(\vec{u}_i)$

By HWK 4.7A

$$[T(\vec{u})]_{B'} = \sum_{i=1}^n \alpha_i [T(\vec{u}_i)]_{B'}$$

$$= ([T(\vec{u}_1)]_{B'}, \dots, [T(\vec{u}_n)]_{B'}) \begin{pmatrix} \alpha_1 \\ \vdots \\ \alpha_n \end{pmatrix}$$

$$= [T]_{BB'} [\vec{u}]_B \quad \square$$

PROP 3 Let $U = \mathbb{R}^n$, $V = \mathbb{R}^m$
Then

$$[T(\vec{u}_1), \dots, T(\vec{u}_n)] \underset{m \times n}{=} [\vec{v}_1, \dots, \vec{v}_m] \underset{m \times m}{=} [T]_{BB'} \underset{m \times n}{}$$

PF

$$T(\vec{u}_i) = [\vec{v}_1, \dots, \vec{v}_m] [T(\vec{u}_i)]_{B'}, \quad \text{by PROP 2}$$

So

$$[T(\vec{u}_1), \dots, T(\vec{u}_n)] = [\vec{v}_1, \dots, \vec{v}_m] ([T(\vec{u}_1)]_{B'}, \dots, [T(\vec{u}_n)]_{B'})$$

$$= [\vec{v}_1, \dots, \vec{v}_m] [T]_{BB'} \quad \text{by DEF.} \quad \square$$

Ex Let $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ be

$$T(x, y) = (2x + y, 3x - 5y)$$

Let $B = B' = \left\{ \begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 3 \\ 1 \end{pmatrix} \right\}$

Find $[T] = [T]_{B B'}$,

Well $T \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 2 & 1 \\ 3 & -5 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$ MATRIX OF T in STD BASIS

So $T \begin{pmatrix} 1 \\ 2 \end{pmatrix} = \begin{pmatrix} 4 \\ -7 \end{pmatrix}, \quad T \begin{pmatrix} 3 \\ 1 \end{pmatrix} = \begin{pmatrix} 7 \\ 4 \end{pmatrix}$

PROP 3 gives

$$[T(\vec{u}_1), T(\vec{u}_2)] = [\vec{u}_1, \vec{u}_2] [T]$$

$$\begin{bmatrix} 4 & 7 \\ -7 & 4 \end{bmatrix} = \begin{bmatrix} 1 & 3 \\ 2 & 1 \end{bmatrix} \begin{bmatrix} \alpha_{11} & \alpha_{12} \\ \alpha_{21} & \alpha_{22} \end{bmatrix}$$

Use GE we get

$$[T] = \begin{pmatrix} -5 & 1 \\ 3 & 2 \end{pmatrix}$$

~~And by~~ so $T(\vec{u}_j) = \sum_{i=1}^m \alpha_{ij} v_i$ becomes

$$\begin{pmatrix} 4 \\ -7 \end{pmatrix} = -5 \begin{pmatrix} 1 \\ 2 \end{pmatrix} + 3 \begin{pmatrix} 3 \\ 1 \end{pmatrix}$$

$$\begin{pmatrix} 7 \\ 4 \end{pmatrix} = 1 \begin{pmatrix} 1 \\ 2 \end{pmatrix} + 2 \begin{pmatrix} 3 \\ 1 \end{pmatrix}$$