

# Modern Cryptography: Lecture 11

## *Public Key Encryption I/II*

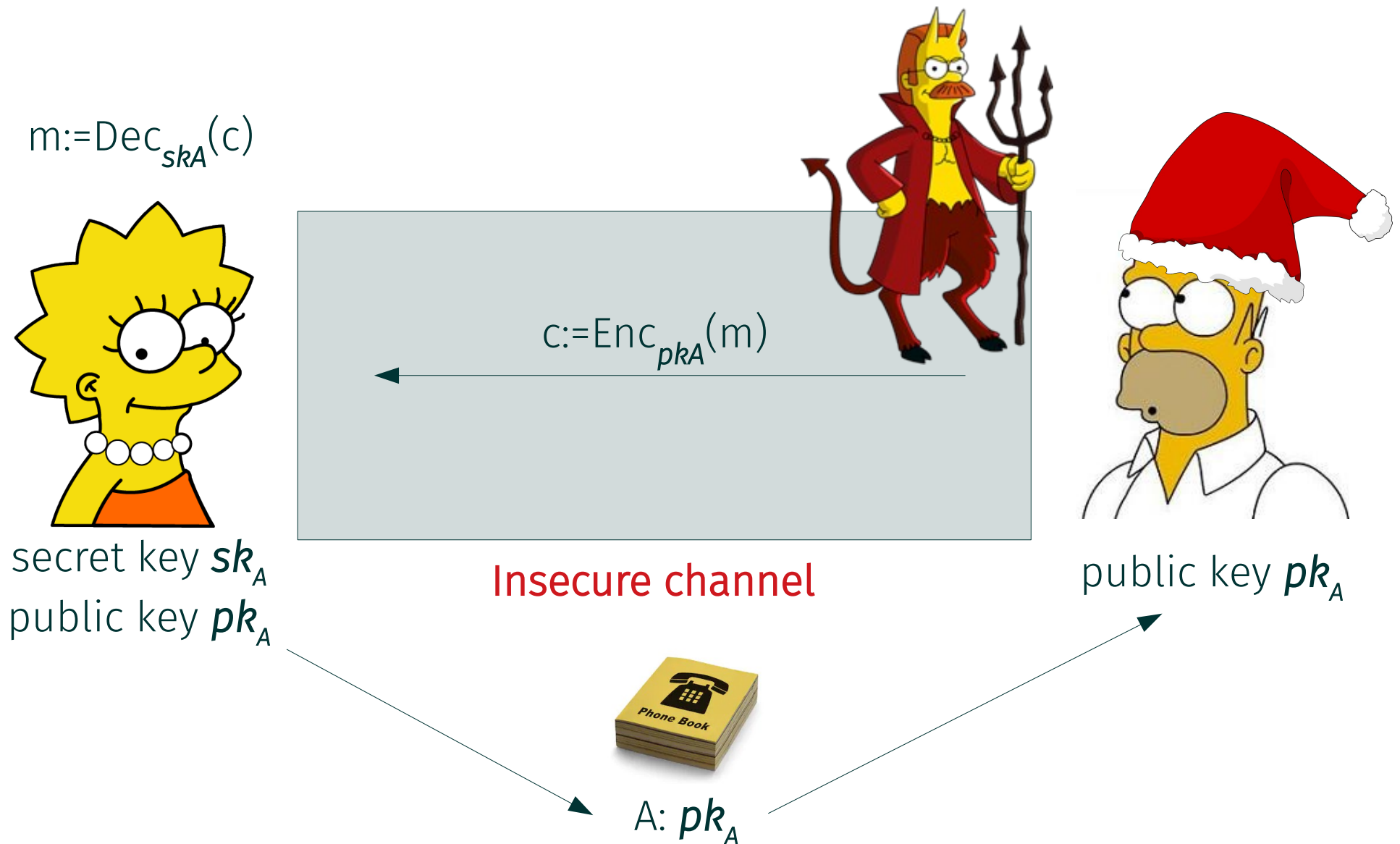
---

*Daniel Slamanig*

# Organizational

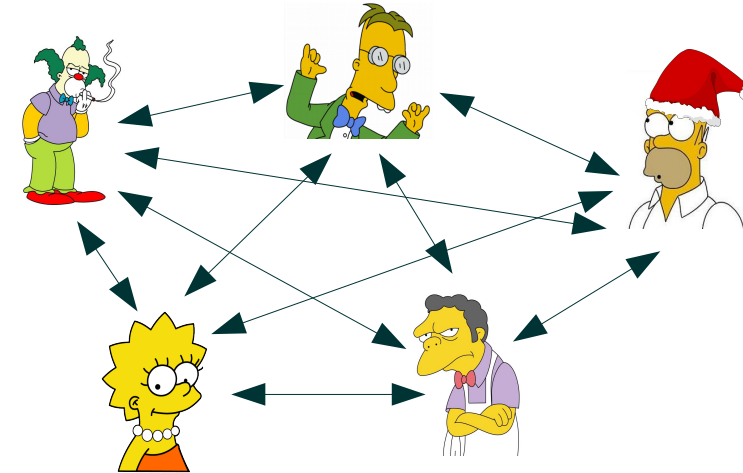
- Where to find the slides and homework?
  - <https://danielslamanig.info/ModernCrypto18.html>
- How to contact me?
  - [daniel.slamanig@ait.ac.at](mailto:daniel.slamanig@ait.ac.at)
- Tutor: Karen Klein
  - [karen.klein@ist.ac.at](mailto:karen.klein@ist.ac.at)
- Official page at TU, Location etc.
  - <https://tiss.tuwien.ac.at/course/courseDetails.xhtml?dswid=8632&dsrid=679&courseNr=192062&semester=2018W>
- Tutorial, TU site
  - <https://tiss.tuwien.ac.at/course/courseAnnouncement.xhtml?dswid=5209&dsrid=341&courseNumber=192063&courseSemester=2018W>
- Exam for the second part: Thursday 31.01.2019 15:00-17:00 (Tutorial slot)

# Overview Public Key Encryption



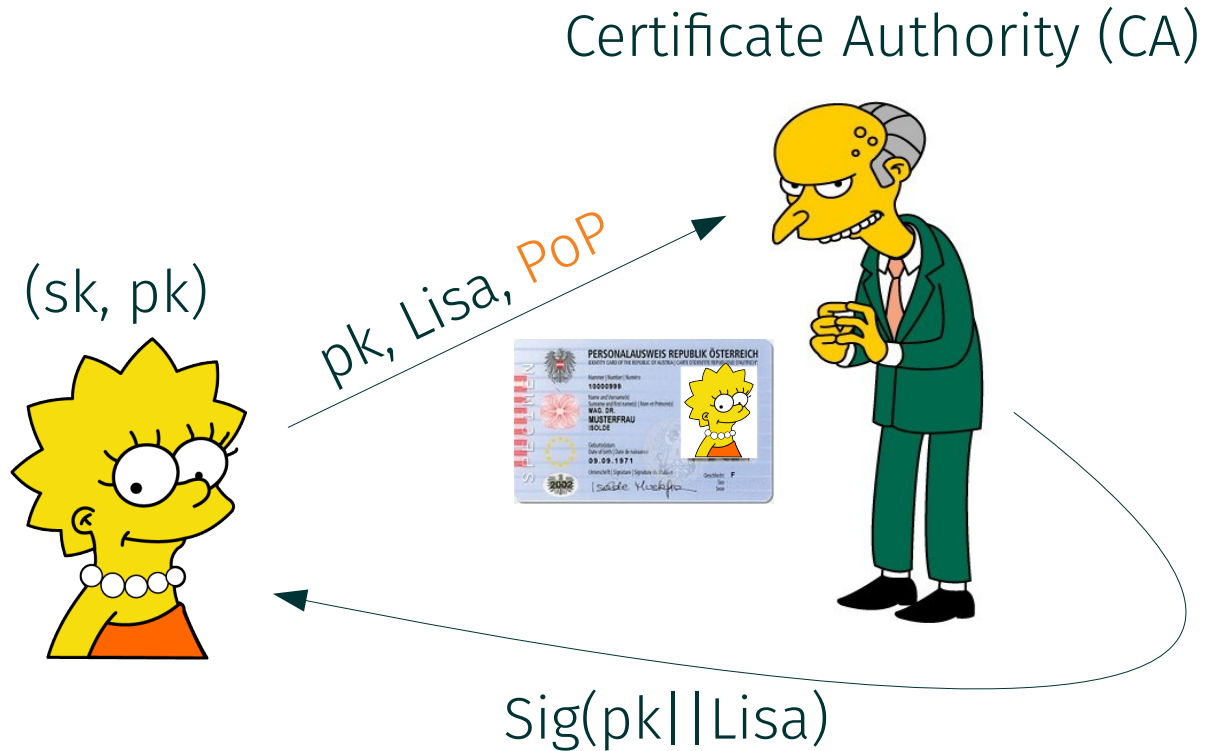
# Overview Public Key Encryption

- Now every user has a secret key **sk** and a public key **pk** (secret key **sk** cannot be efficiently computed from **pk**)
- Reduced effort for key management; no shared secret!
- Authentic copy of **pk** can be made public
- How to guarantee that public keys are authentic in practice?
  - Public keys look “random” and no relation to identity of the holder exists - so binding must be done explicitly
  - Let some trusted entity (CA) explicitly “certify” the connection between **ID** and **pk**
  - Later in the course we will then see an alternative approach
    - public key = identity (identity-based encryption)
    - But setting is different



# Certifying Public Keys

- Demonstrate that you hold sk for pk
  - Proof of Possession (PoP)
- CA certifies  $pk || ID$ 
  - ID: mail, domain, etc.
- CA is trusted to operate properly (PKI model)
  - CA is “self-certified”
- Alternative models
  - Web of trust (e.g., PGP)
  - Decentralized PKI (DPKI)
    - “Self Sovereign Identity” (e.g., Sovrin)



# Overview Public Key Encryption

ING-DiBa - Willkommen bei Deutschlands beliebtester Bank - Mozilla Firefox

ING-DiBa - Willkommen bei Deutschlands beliebtester Bank - Mozilla Firefox

Page Info - [https://www.ing-diba.de/?wt\\_cc2=PT6MX&wt\\_ga=55277413804\\_306311717970&wt\\_kw=e\\_55277413804\\_ing-diba](https://www.ing-diba.de/?wt_cc2=PT6MX&wt_ga=55277413804_306311717970&wt_kw=e_55277413804_ing-diba)

General Details

This certificate has been verified for the following uses:

- SSL Client Certificate
- SSL Server Certificate

Issued To

Common Name (CN)	www.ing-diba.de
Organization (O)	ING-DiBa AG
Organizational Unit (OU)	<Not Part Of Certificate>
Serial Number	00:FA:99:D6:56:9D:62:13:31:00:00:00:54:CF:14:A6

Issued By

Common Name (CN)	Entrust Certification Authority - L1M
Organization (O)	Entrust, Inc.
Organizational Unit (OU)	See www.entrust.net/legal-terms

Period of Validity

Begins On	October 18, 2018
Expires On	October 18, 2019

Fingerprints

SHA-256 Fingerprint	77:D2:18:81:67:96:0E:45:5E:74:B6:BC:8D:87:05:60:15:53:55:5C:36:2B:70:E8:A6:CB:86:67:BF:FD:46:8
SHA1 Fingerprint	CD:76:F5:83:50:89:CF:DF:1B:4F:18:D3:24:CD:82:BF:ED:64:55:E2

Website Identity

Website: [www.ing-diba.de](https://www.ing-diba.de)

Owner: ING-DiBa AG

Verified by: Entrust, Inc.

Expires on: October 18, 2019

View Certificate

Certificate Viewer: "www.ing-diba.de"

General Details

Certificate Hierarchy

- Entrust Root Certification Authority - G2
  - Entrust Certification Authority - L1M
    - www.ing-diba.de

Certificate Fields

Subject

- Subject Public Key Info
  - Subject Public Key Algorithm
  - Subject's Public Key
- Extensions
  - Certificate Subject Alt Name
  - Object Identifier (1.3.6.1.4.1.11129.2.4.2)

Field Value

Modulus (2048 bits):

```
d4 c5 e3 f6 b9 29 11 dd f2 c6 c3 59 9a 11 24 b4
e3 ea 1d 76 96 b6 bd 50 e7 c3 7a 0c c6 9e b7 74
48 3b 42 e3 33 4b e4 10 20 e5 e3 c0 dd 0b 77 fc
a3 a8 9f bf 4a 53 1d a8 39 d9 3b 2a 1b ab 85 4f
69 03 71 7b de 34 cf dc 51 f4 90 ac f8 f0 57 d8
```

Export...

Close

No Yes, cookies No

Clear Cookies and Site Data

View Saved Passwords

ES\_256\_GCM\_SHA384, 256 bit keys, TLS 1.2) transmitted over the Internet. to view information traveling between this page as it traveled across the network.

Help

# Public Key Encryption: Definition

DEFINITION 11.1 A public-key encryption scheme is a triple of PPT algorithms  $(\text{Gen}, \text{Enc}, \text{Dec})$  such that:

1. The key-generation algorithm **Gen** takes as input the security parameter  $1^n$  and outputs a pair of keys  $(pk, sk)$  (the message space  $\mathcal{M}$  is implicit in the public key).
2. The encryption algorithm **Enc** takes as input a public key  $pk$  and a message  $m$  from some message space. It outputs a ciphertext  $c$ , and we write this as  $c \leftarrow \text{Enc}_{pk}(m)$ . (We often also write  $c \leftarrow \text{Enc}(m, pk)$ )
3. The deterministic decryption algorithm **Dec** takes as input a private key  $sk$  and a ciphertext  $c$ , and outputs a message  $m$  or a special symbol  $\perp$  denoting failure. We write this as  $m := \text{Dec}_{sk}(c)$ . (We often also write  $m := \text{Dec}(c, sk)$ ).

It is required that, except possibly with negligible probability over  $(pk, sk) \leftarrow \text{Gen}(1^n)$ , we have

$$\text{Dec}_{sk}(\text{Enc}_{pk}(m)) = m$$

for any message  $m \in \mathcal{M}$ .

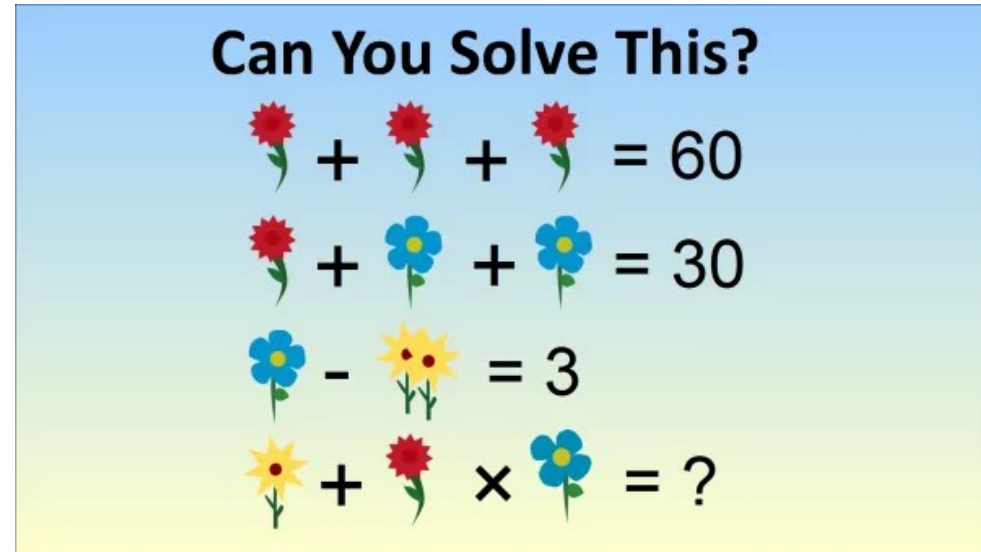
# Some Remarks on the Definition

- The encryption algorithm may be deterministic or probabilistic
- The decryption algorithm may be perfectly correct (never fails) or may fail with negligible probability
- Every instance has an associated message space  $\mathcal{M}$  (which we assume to be implicitly defined when seeing the public key)
  - In the simplest case we encrypt bits
    - it is easy to extend such a scheme to bitstrings  $\{0,1\}^k$
  - Usually  $\mathcal{M}$  represents some algebraic structure which does not contain all bitstrings of some fixed size
    - typically we have efficient ways to injectively encode messages from  $\{0,1\}^k$  into elements from  $\mathcal{M}$



# Constructing Public Key Encryption

- Need some hard problems to rely on!



- Will look into constructions from factoring-related problems
  - RSA in particular
- Will look at constructions from DL-related problems (next lecture)
  - We already have discussed DDH and CDH

# Factoring

- Every integer  $N > 1$  can be uniquely (up to ordering) written as  $N = \prod_i p_i^{e_i}$ 
  - $p_i$  are distinct primes and  $e_i \geq 1$  for all  $i$
- Given a factorization it is easy to compute the composite  $N$
- Computing the factorization is hard for certain forms of composites
  - Hardest if numbers to factor have only large prime factors
- A trivial algorithm to find the factors of any given  $N$  is trivial division
  - Inefficient as it represents an exponential-time algorithm

# Factoring

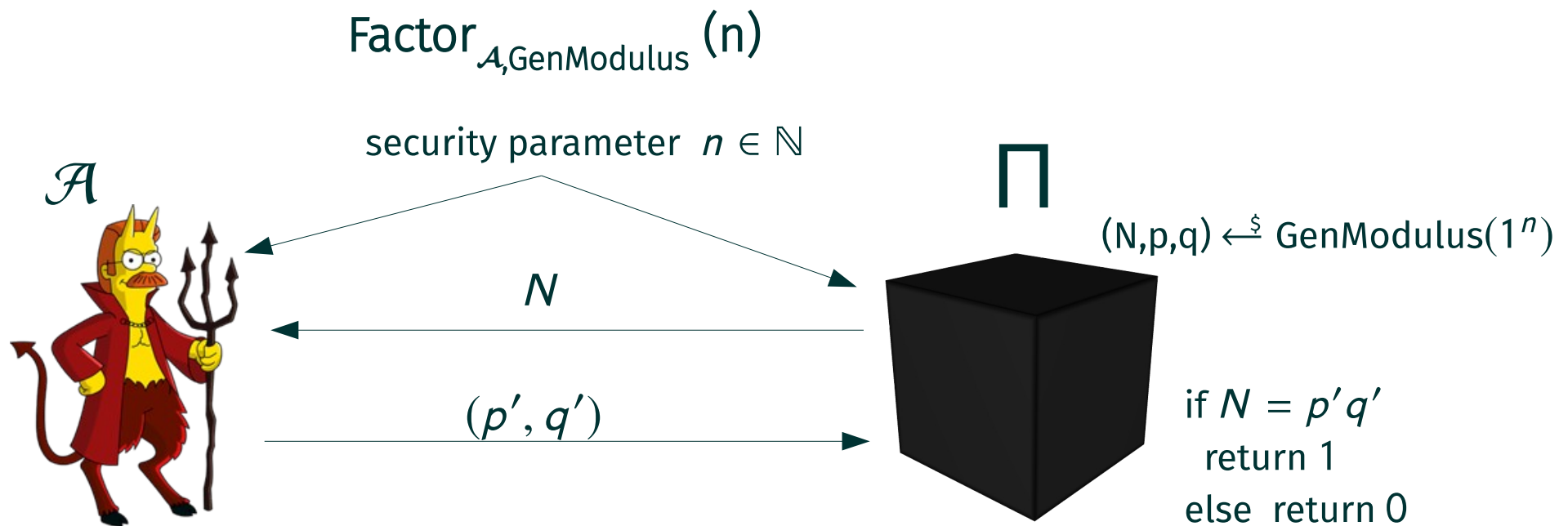
- Two types of algorithms
  - Generic ones: apply to arbitrary  $N$
  - Specific ones: tailored to work for  $N$  of some specific form
- Specific algorithm
  - Pollard's  $p-1$  method: Factor  $N=pq$  when  $p-1$  has small prime factors
    - Choosing uniform  $n$ -bit primes  $p, q$ , small prime factors of  $p-1$  and  $q-1$  are very unlikely
- General purpose algorithms
  - Pollard's rho method:  $\mathcal{O}(N^{1/4} \cdot \text{polylog}(q))$  runtime (still exponential)
  - Fastest general purpose factoring algorithm is the general number field sieve
    - Subexponential with runtime  $2^{\mathcal{O}((\log N)^{1/3} \cdot (\log \log N)^{2/3})}$

# Factoring

- Let **GenModulus** be a polynomial-time algorithm that on input  $1^n$  outputs  $(N,p,q)$  where  $N=pq$  and  $p,q$  are  $n$ -bit primes.

DEFINITION 8.45: Factoring is hard relative to GenModulus if for all PPT algorithms  $\mathcal{A}$  there exists a negligible function such that

$$\Pr[\text{Factoring}_{\mathcal{A}, \text{GenModulus}}(n)=1] \leq \text{negl}(n).$$

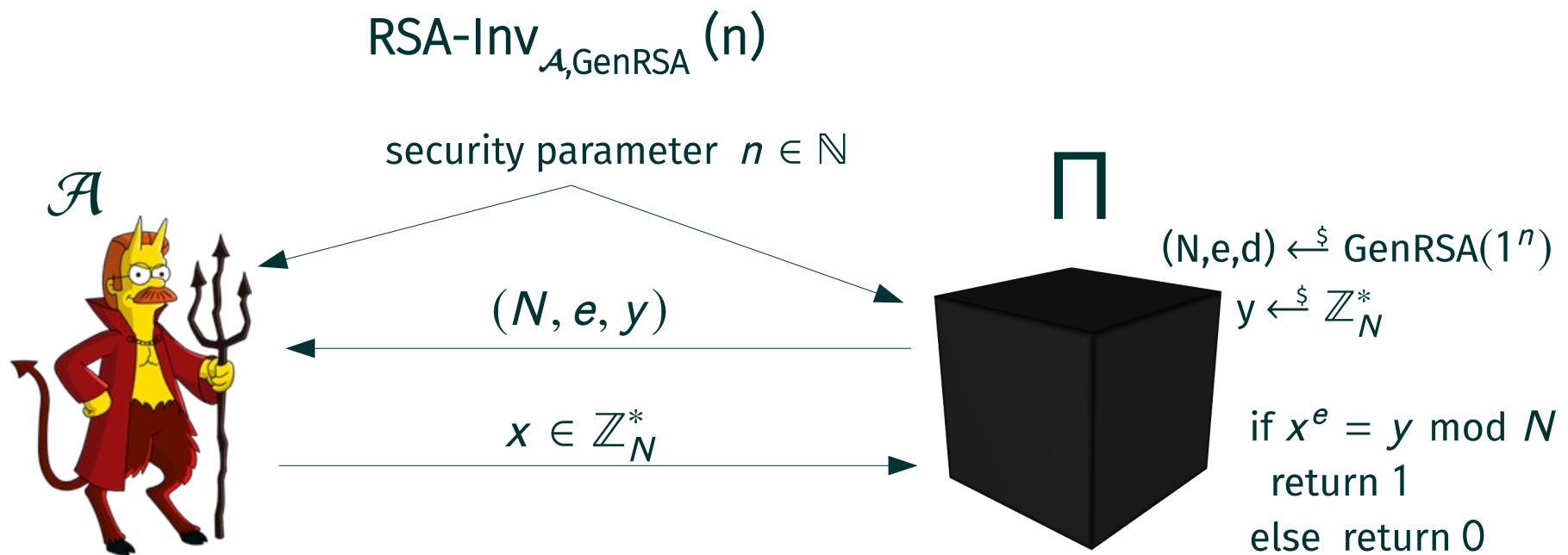


# RSA Assumption

- Let **GenRSA** be a polynomial-time algorithm that on input  $1^n$  outputs  $(N,e,d)$  where  $N=pq$  and  $p,q$  are  $n$ -bit primes and  $e,d>0$  are integers s.t.  $\gcd(e,\varphi(N))=1$  and  $ed = 1 \bmod \varphi(N)$ .

DEFINITION 8.46: The RSA problem is hard relative to GenRSA if for all PPT algorithms  $\mathcal{A}$  there exists a negligible function such that

$$\Pr[\text{RSA-Inv}_{\mathcal{A},\text{GenRSA}}(n)=1] \leq \text{negl}(n).$$

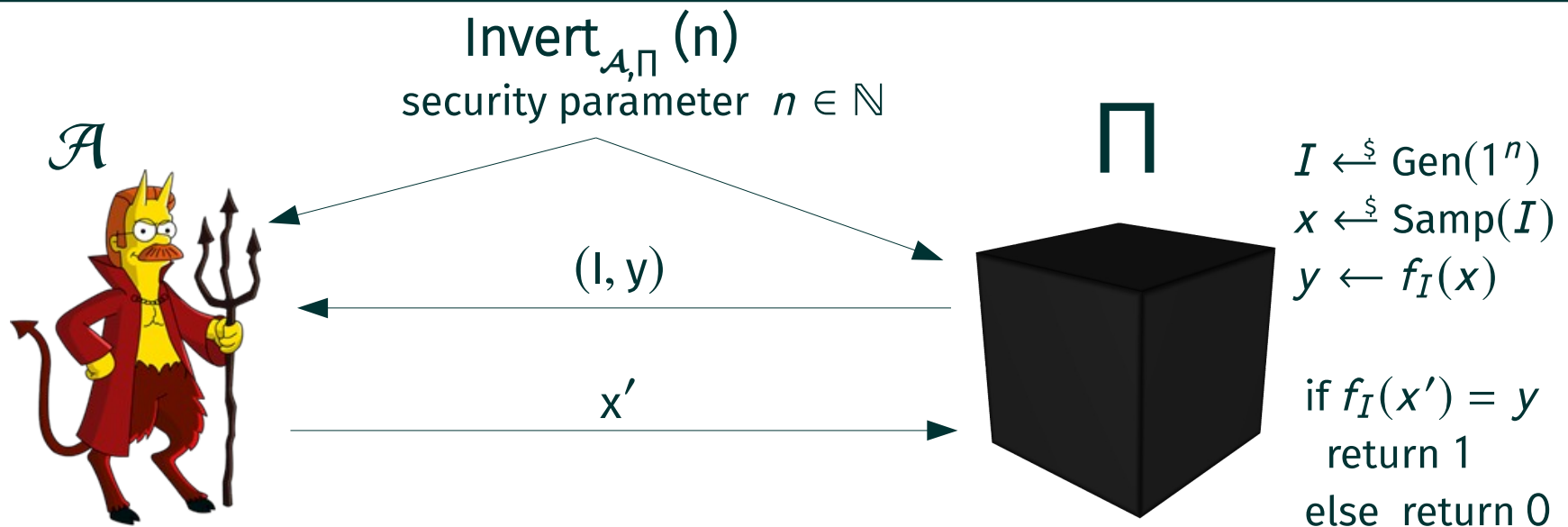


# One-Way Permutation (OWP)

- DEFINITION 8.75: A triple  $\Pi = (\text{Gen}, \text{Samp}, f)$  of PPT algorithms is a family of permutations if the following hold:
  - The **parameter-generation algorithm Gen**, on input  $1^n$ , outputs parameters  $I$  with  $|I| \geq n$ . Each value of  $I$  defines a set  $D_I$  that constitutes the domain and range of a permutation (i.e., bijection)  $f_I : D_I \rightarrow D_I$ .

DEFINITION 8.76: The family of permutations  $\Pi = (\text{Gen}, \text{Samp}, f)$  is one-way if for all PPT algorithms  $\mathcal{A}$  there exists a negligible function  $\text{negl}$  such that

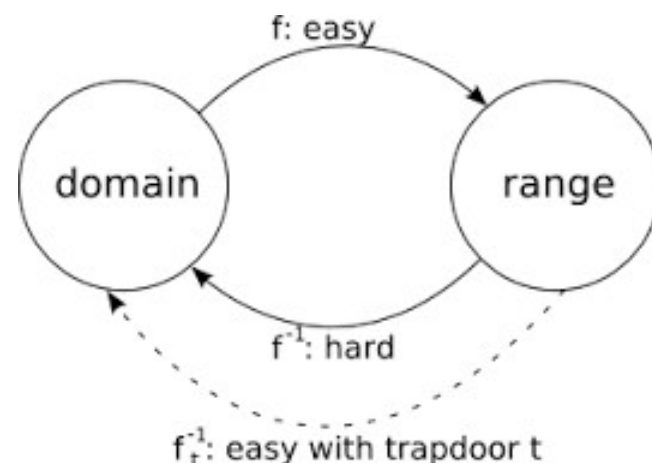
$$\Pr[\text{Invert}_{\mathcal{A}, \Pi}(n)=1] \leq \text{negl}(n).$$



# Trapdoor One-Way Permutation

- DEFINITION 13.1: A triple  $\Pi = (\text{Gen}, \text{Samp}, f, \text{Inv})$  of PPT algorithms is a family of **trapdoor** permutations if the following hold:
  - The **parameter-generation algorithm Gen**, on input  $1^n$ , outputs parameters  $(I, \text{td})$  with  $|I| \geq n$ . Each value of  $I$  defines a set  $D_I$  that constitutes the domain and range of a permutation (i.e., bijection)  $f_I : D_I \rightarrow D_I$ .
  - Let  $\text{Gen}'$  be  $\text{Gen}$  that only outputs  $I$ . Then,  $(\text{Gen}', \text{Samp}, f)$  is a family of **OWPs**.
  - Let  $(I, \text{td})$  be the output of  $\text{Gen}(1^n)$ . The deterministic **inverting algorithm Inv**, on input  $\text{td}$  and  $y \in D_I$ , outputs an element  $x \in D_I$ . We write this as  $x := \text{Inv}_{\text{td}}(y)$ . We require that with all but negl. probability over  $(I, \text{td})$  output by  $\text{Gen}(1^n)$  and uniform choice of  $x \in D_I$ , we have

$$\text{Inv}_{\text{td}}(f_I(x)) = x.$$



# One-Way Permutation – Candidates

- RSA Assumption
  - Is it a OWP? Yes, we assume.
- Best currently known way to break RSA assumption is to factor  $N$  and then compute  $e$ 'th roots mod  $p$  and  $q$  and use CRT to recover the final result
  - RSA Assumption implies Factoring
- Do we need to factor?
  - Computing  $e$ 'th roots modulo  $N$  yields a factoring algorithm? Unknown for  $e \geq 3$ .
  - Not known to be equivalent to factoring
- Equivalence known for square roots!
  - Not a special case of RSA (2 not coprime to  $\varphi(N)$ )
  - Rabin cryptosystem (not popular in practice)



# Textbook RSA Encryption

- KeyGen( $1^n$ ): Pick two random  $n$ -bit primes  $p, q$ , set  $N = pq$ , pick  $e$  s.t.  $\gcd(e, \varphi(N)) = 1$ , compute  $d := e^{-1} \bmod \varphi(N)$  output  $(sk, pk) := ((d, N), (e, N))$
- Enc( $m, pk$ ): On input  $m \in \mathbb{Z}_N$  and  $pk = (e, N)$ , compute and output

$$c := m^e \bmod N$$

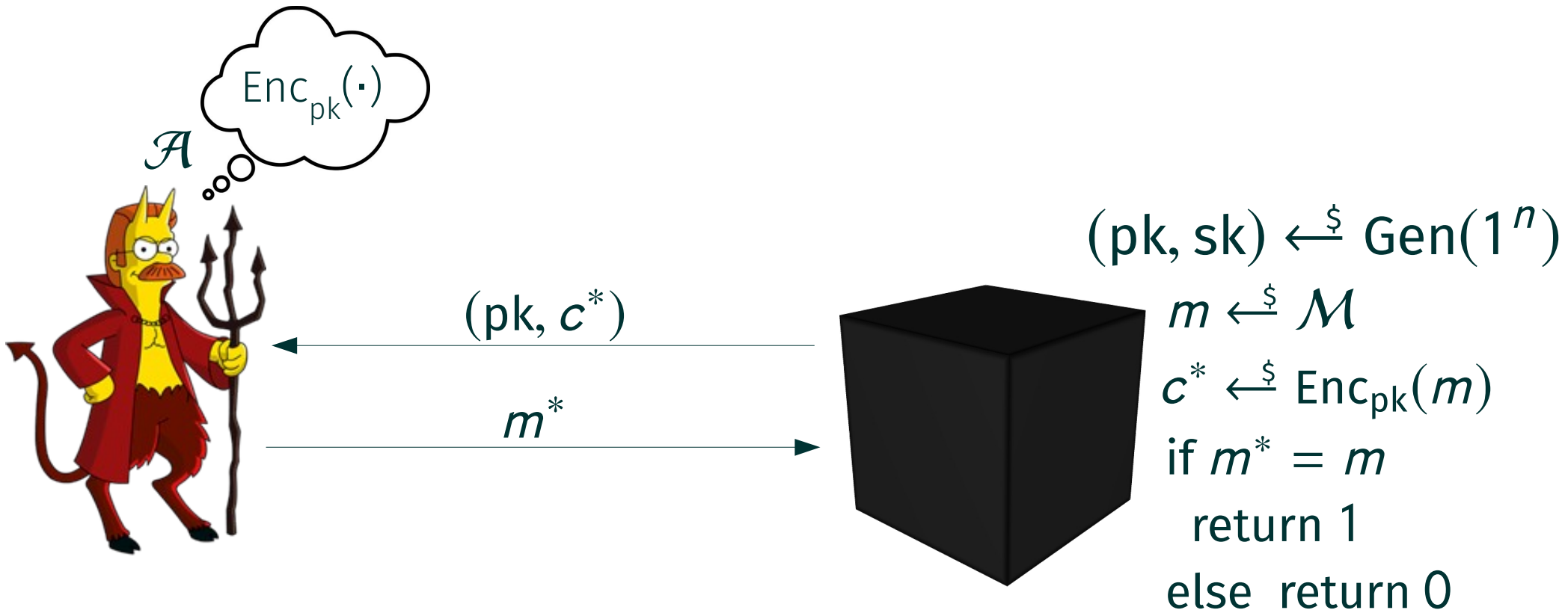
- Dec( $c, sk$ ): On input  $c$  and  $sk = (d, N)$ , compute and output

$$m := c^d \bmod N$$

We have for all  $m \in \mathbb{Z}_N$  that  $m = (m^e)^d \bmod N$

Proof of correctness of RSA will be done as a HW.

# OW-CPA Security



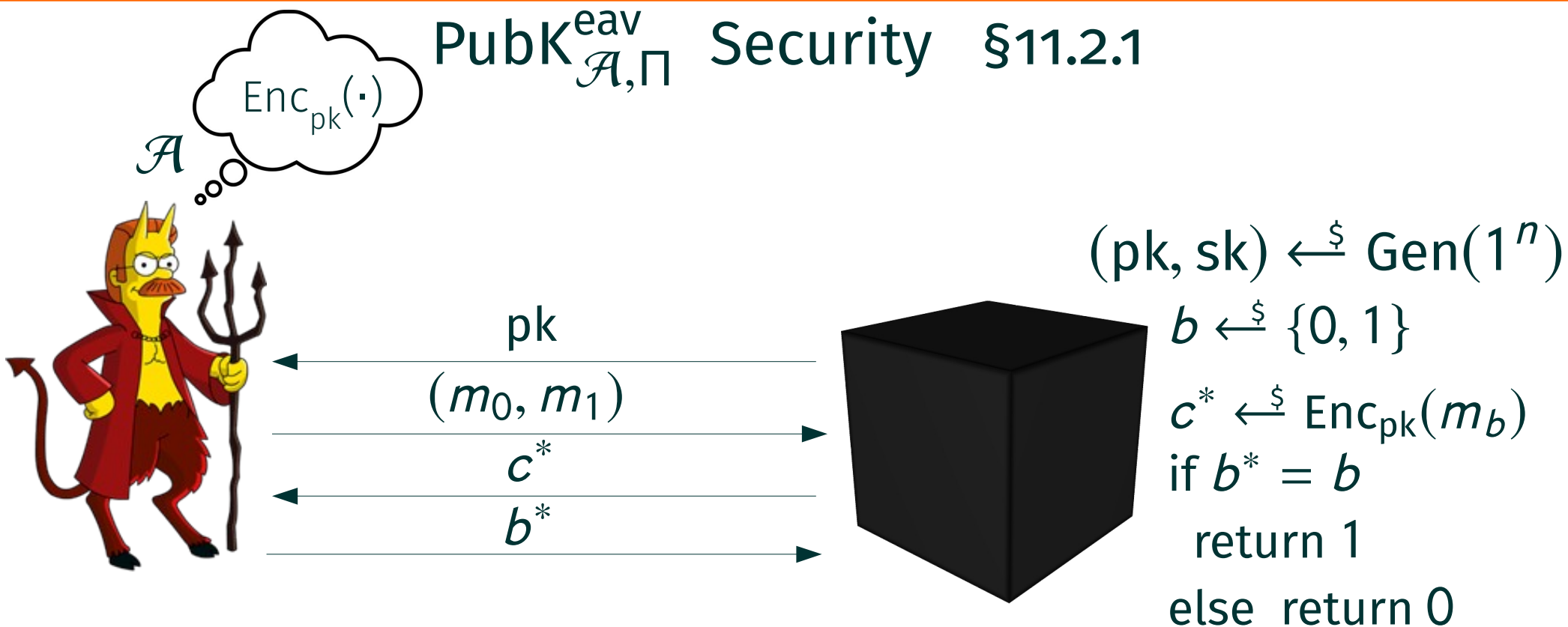
A public-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has one-way encryptions in the presence of an eavesdropper if for all PPT adversaries  $\mathcal{A}$  there is a negligible function  $\text{negl}$  s.t.

$$\Pr[\text{PubK}_{\mathcal{A}, \Pi}^{\text{ow-cpa}}(n)=1] \leq \text{negl}(n).$$

# Security of Textbook RSA

- One-way security (OW-CPA) under RSA Assumption
  - Adversary gets public key and encryption of a random message
  - Adversary needs to output the message
- **Very weak security guarantees**
  - Guarantees only for uniformly random messages
  - Adversary has to reconstruct entire message
- Interesting property: homomorphic PKE
  - Given two ciphertexts  $c_1$  and  $c_2$  under same public key, we can operate on the underlying plaintexts without prior decryption
    - $c_1 = m_1^e \bmod N$ ,  $c_2 = m_2^e \bmod N$ :  $c_1 c_2 = (m_1 m_2)^e \bmod N$
  - Problem (no CCA security – see next lecture), but also interesting feature (if at least IND-CPA secure)

# IND-CPA Security



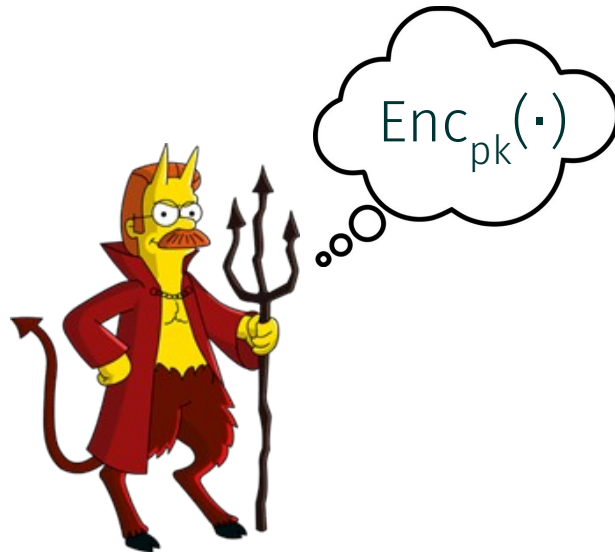
A public-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has indistinguishable encryptions in the presence of an eavesdropper if for all probabilistic polynomial-time adversaries  $\mathcal{A}$  there is a negligible function  $\text{negl}$  s.t.

$$\Pr[\text{PubK}_{\mathcal{A}, \Pi}^{\text{eav}}(n)=1] \leq \frac{1}{2} + \text{negl}(n).$$

# Some Observations

PROPOSITION 11.3 If a public-key encryption scheme has indistinguishable encryptions in the presence of an eavesdropper, it is IND-CPA-secure.

Analogous for one-wayness



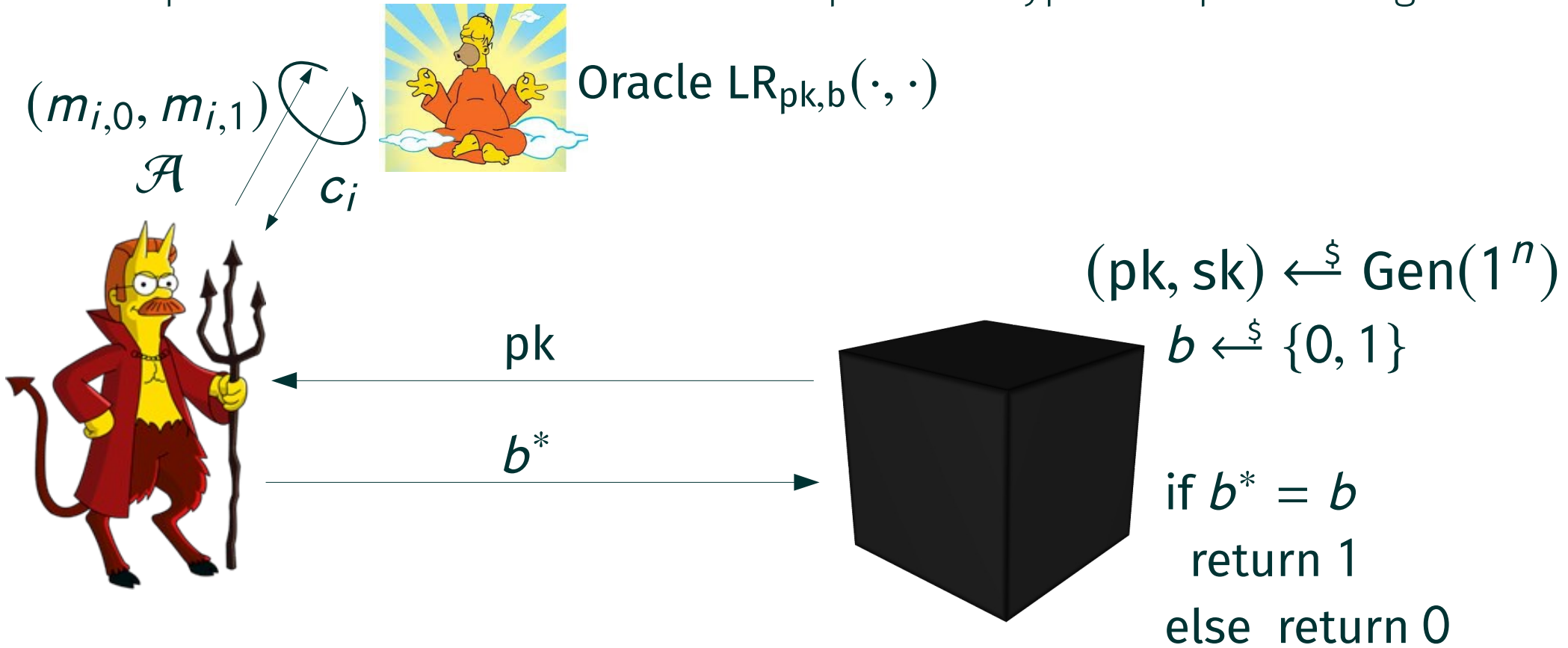
THEOREM: No public-key encryption scheme can be perfectly secret.

THEOREM 11.4 No deterministic public-key encryption scheme is IND-CPA-secure.

Why?

# Multiple Encryptions

- In practice we want to use the same pk to encrypt multiple messages



**THEOREM 11.6** If a public-key encryption scheme  $\Pi$  is IND-CPA-secure, then it also has indistinguishable multiple encryptions.

# Proof Idea

- Let us fix a polynomial bound  $t = \text{poly}(n)$  on the queries to LoR
- We now define a sequence of “intermediate experiments”
  - Let us start in an experiment where LoR has bit  $b=0$ 
    - Adversary submits  $((m_{1,0}, m_{1,1}), \dots, (m_{t,0}, m_{t,1}))$  and LoR always return encryptions of  $m_{i,0}$
    - Adversary sees  $(E_{pk}(m_{1,0}), \dots, E_{pk}(m_{t,0}))$
  - Let the  $i$ 'th experiment change the first  $i$  positions in the responses to  $(E_{pk}(m_{1,1}), \dots, E_{pk}(m_{i,1}))$
  - After  $t$  steps we end up with LoR replying  $(E_{pk}(m_{1,1}), \dots, E_{pk}(m_{t,1}))$  and thus are in the experiment where LoR has bit  $b=1$
- If the probability of distinguishing the first and the last experiment is negligible, we have proven our claim
- Formally, we use a hybrid argument

$$\underbrace{(E_{pk}(m_{1,0}), \dots, E_{pk}(m_{t,0}))}_{\text{Reduction to IND-CPA}} \approx \underbrace{(E_{pk}(m_{1,1}), \dots, E_{pk}(m_{t,0}))}_{\text{Reduction to IND-CPA}} \approx \dots \approx \underbrace{(E_{pk}(m_{1,1}), \dots, E_{pk}(m_{t,1}))}_{\text{Reduction to IND-CPA}}$$

# Arbitrary Long Messages

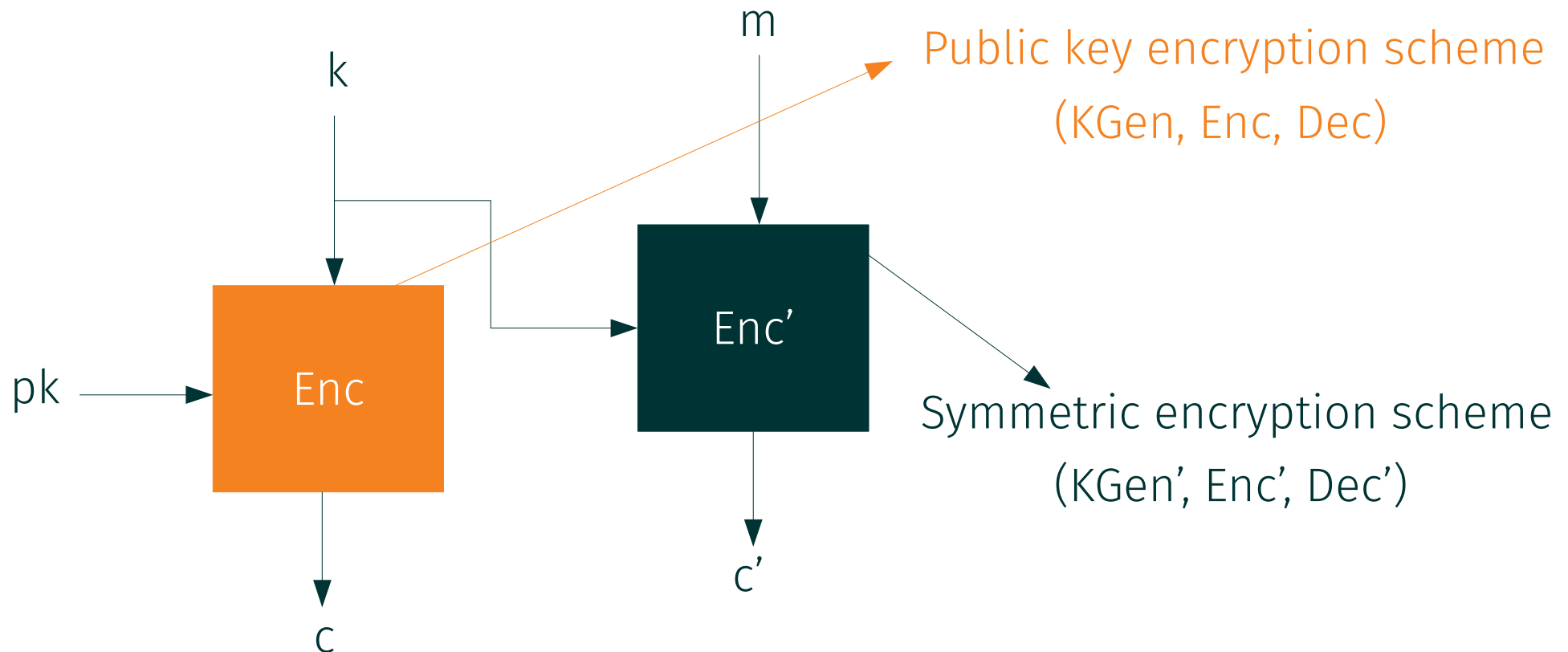
- We can use this fact to construct from any PKE  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  another PKE  $\Pi' = (\text{Gen}, \text{Enc}', \text{Dec}')$ .
- Assume that  $\Pi$  encrypts messages from  $\{0,1\}^m$ , then we can construct a scheme for messages of length  $\{0,1\}^{m \cdot k}$  for any  $k \in \mathbb{N}$
- Encryption simply looks as follows and decryption works the obvious way:
  - $\text{Enc}'_{pk}(m) := \text{Enc}_{pk}(m_1), \dots, \text{Enc}_{pk}(m_k)$

CLAIM 11.7 Let  $\Pi$  and  $\Pi'$  be as above. If  $\Pi$  is IND-CPA-secure, then so is  $\Pi'$ .



# Arbitrary Long Messages in Practice

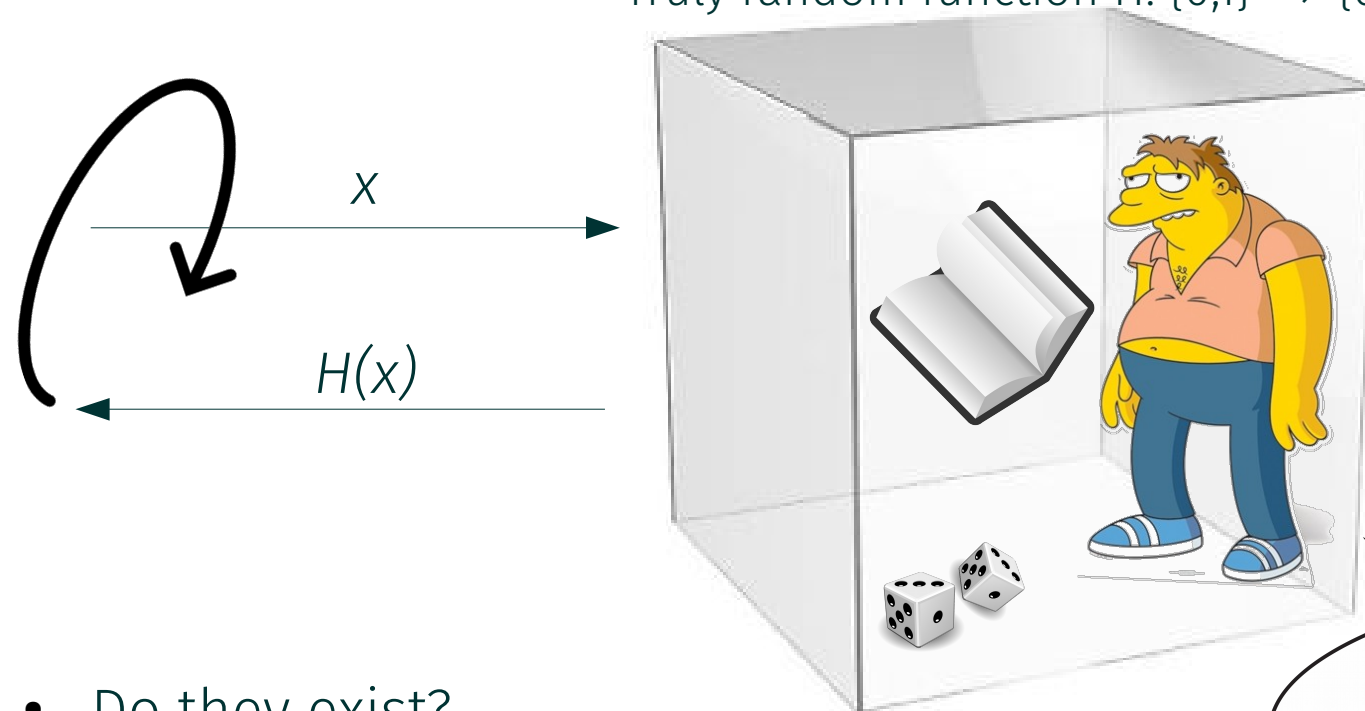
- The previous method is rather inefficient
- In practice so called “hybrid encryption” is used
  - Formal discussion after the holidays via the KEM/DEM paradigm



# Random Oracle Model (ROM)

- Function  $H$  that can be accessed in a black-box way
  - Answers consistently for values  $x$  already seen
  - For new values  $x$ , choose random  $n$  bit string as answer

Truly random function  $H: \{0,1\}^* \rightarrow \{0,1\}^n$



Mihir Bellare, Phillip Rogaway

- Do they exist?
  - NO! But let us assume cryptographic hash functions behave “approximately” like ROs

Look up, throw dice, write down,....

# Random Oracle Model (ROM)

- Why ROM?
  - Allows efficient constructions of cryptographic primitives with “provable security” guarantees
  - The security proofs are then in the ROM
  - Efficient signature and encryption schemes (RSA-OAEP, RSA-PSS, etc.)
- How are they used in security proofs?
  - Sample a random  $H$  at the beginning of an experiment
  - Output of ROM fully hidden unless queried, i.e.,  $H(m||r)$  for  $r$  a large random string
  - Typically we assume that the reduction can “program” the random oracle, i.e., can choose the answers to the oracle calls
    - This is easily possible as all the answers are independent
    - Can embed information usable to the reduction in oracle answers (we will see examples)



Mihir Bellare, Phillip Rogaway

# Criticism of the ROM

- Often considered as a “heuristic” argument for security instead of a real proof, as ROM is a very strong idealization
- There are schemes that can be shown secure in the ROM, but insecure when ROM is replaced with **any** real hash function
  - Though, this example is very artificial
  - No realistic example of this type known
- Proofs in the ROM for practical constructions appear to be very robust!

# RSA Encryption in the ROM (A hybrid encryption scheme)

- Let  $H: \mathbb{Z}_N \rightarrow \{0,1\}^k$  be a hash function modeled as a random oracle
- Let RSA encryption and decryption be as follows:
  - $\text{Enc}(m, pk) := (H(x) \oplus m, x^e \bmod N)$  for  $m \in \{0,1\}^k$  and  $x \xleftarrow{\$} \mathbb{Z}_N$
  - $\text{Dec}((c_1, c_2), sk) := H(c_2^d \bmod N) \oplus c_1$

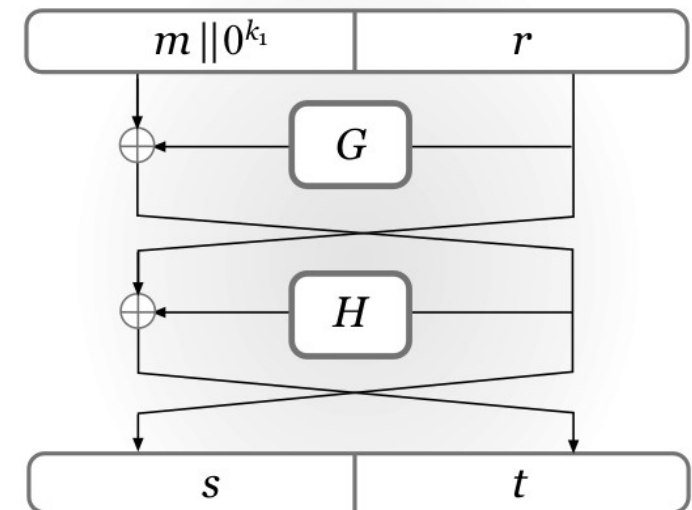
CLAIM: The above construction is CPA-secure under the RSA assumption in the ROM.

## Proof idea:

- To obtain information about  $m$  from  $(c_1, c_2)$  one has to learn information about  $H(x)$
- If the adversary does not query  $H(x)$ , then challenge ciphertext is independent from  $m_b$
- To learn information about  $H(x)$ , adversary has to query it. We can embed RSA challenge  $y$  as  $c^* = (r, y)$  with  $r$  uniformly random
- Challenge ciphertext is hidden information theoretically unless random oracle queried on  $x$  s.t.  $y = x^e \bmod N$
- If this happens, we have an adversary against the RSA assumption

# Standardized Padded Variants of RSA

- Use of textbook RSA on preprocessed messages
- ~~RSA-PKCS# 1 v1.5 (should not be used!!)\*~~
  - “Padded RSA”: Basically, encrypt  $m' := m || r$  with random  $r$ 
    - $\text{PKCS}(m, r) = 0x00 || 0x02 || r || 0x00 || m$
  - No proof of security for assumed CPA secure version known
  - Definitely no CCA security (see next lecture)
- **RSA-OAEP** (Optimal Asymmetric Encryption Padding)
  - More complex preprocessing
  - Two-round Feistel network with  $G$  and  $H$  as round functions
    - Invertible!
  - Proof of IND-CCA security in the ROM; thus also IND-CPA secure



\*Matthew Green: “PKCS#1v1.5 is awesome — if you’re teaching a class on how to attack cryptographic protocols. In all other circumstances it sucks.”

# RSA Implementation (Pitfalls)

- Small public exponents, i.e.,  $e=3$ 
  - Efficient encryption (only two multiplications)
  - Various attack scenarios known (to reconstruct the message)
    - If the same message is encrypted under at least 3 different public keys
    - If short messages are encrypted (and no modular reduction required)
- Reasonable choice of public exponent:  $e=65537$ 
  - Avoids low-exponent attacks and reasonable fast:  $65537 = 2^{16}+1$
- Private exponents must not be too small
  - Brute force attacks
  - Even if  $d \approx N^{1/4}$  (Wiener, improved by Boneh & Durfee) attacks are known