

Biological Pattern Formation : from Basic Mechanisms to Complex Structures

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The reliable development of highly complex organisms is an intriguing and fascinating problem. The genetic material is, as a rule, the same in each cell of an organism. How do then cells, under the influence of their common genes, produce spatial patterns? Simple models are discussed that describe the generation of patterns out of an initially nearly homogeneous state. They are based on nonlinear interactions of at least two chemicals and on their diffusion. The concepts of local autocatalysis and of long range inhibition play a fundamental role. Numerical simulations show that the models account for many basic biological observations such as the regeneration of a pattern after excision of tissue or the production of regular (or nearly regular) arrays of organs during production of regular (or nearly regular) arrays of organs during (or after) completion of growth.

Very complex patterns can be generated in a reproducible way by hierarchical coupling of several such elementary reactions. Applications to animal coating and to the generation of polygonally shaped patterns are provided. It is further shown how to generate a strictly periodic pattern of units that themselves exhibit a complex and polar fine structure. This is illustrated by two examples : the assembly of photoreceptor cells in the eye of *Drosophila* and the positioning of leaves and axillary buds in a growing shoot. In both cases, the substructures have to achieve an internal polarity under the influence of some primary pattern forming system existing in the fly's eye or in the plant. The fact that similar models can describe essential steps in so distantly related organisms as animals and plants suggests that they reveal some universal mechanisms.

1. INTRODUCTION

A most fascinating aspect of biological systems is the generation of complex organisms in each round of the life cycle. Higher organisms develop, as the rule, from a single fertilized egg. The result is a highly reproducible arrangement of differentiated cells. Many processes are involved, for example cell differentiation, cell movement, shape changes of cells and tissues, region-specific control of cell division and cell death. Development of an organism is, of course, under genetic control but the genetic information is usually the same in all cells. A crucial problem is therefore the generation of spatial patterns that allow a different fate of some cells in relation to others.

The complexity of the evolving pattern seems to preclude any mathematical theory. However, by experimental interference with a developing organism it has turned out that the individual steps are fairly independent of each other. For instance, the organization of the anteroposterior axis (*i.e.*, the head to tail pattern) in a *Drosophila* embryo is controlled by a completely different set of genes than the dorsoventral axis. Shortly after its initiation, the development of a wing is largely independent of the surrounding tissue and can progress even at an ectopic position after transplantation. Therefore, models can be written for elementary steps in development. The linkage of these steps requires then a second approximation.

The necessity of mathematical models for morphogenesis is evident. Pattern formation is certainly based on the interaction of many components. Since the interactions are expected to be nonlinear, our intuition is insufficient to check whether a particular assumption really accounts for the experimental observation. By modelling, the weak points of an hypothesis become evident and the initial hypothesis can be modified or improved. Models contain often simplifying assumptions and different models may account equally well for a particular observation. This diversity should however be considered as an advantage : multiplicity of models stimulates the design of

experimental tests in order to discriminate between the rival theories. In this way, theoretical considerations provide substantial help to the understanding of the mechanisms on which development is based (Berking, 1981).

In his pioneering work, Turing (1952) has shown that under certain conditions two interacting chemicals can generate a stable inhomogeneous pattern if one of the substances diffuses much faster than the other. This result goes against “common sense” since diffusion is expected to smooth out concentration differences rather than to generate them.

However, Turing apologizes for the strange and unlikely chemical reaction he used in his study. Meanwhile, biochemically more feasible models have been developed and applied to different developmental situations (Lefever, 1968; Gierer and Meinhardt, 1972; Gierer, 1977; Murray, 1990). Chemical systems have also been intensively investigated for their ability to produce “Turing patterns”: some experiments present beautiful reaction-diffusion structures in open reactors (Ouyang *et al.*, 1989; Castets *et al.*, 1990; de Kepper *et al.*, 1991).

In the first part of this article, after having shortly discussed the relevance of chemical gradients in biological systems, we shall present simple models of pattern formation and their common basis, *local self-enhancement* and *long range inhibition*. The patterns that can be generated are graded concentration profiles, local concentration maxima and stripe-like distributions of substances. In the second part we shall show how more complex patterns can be generated by hierarchical superimposition of several pattern forming systems. The formation of a regular periodic arrangement of different cell types or the generation of polygonal patterns will be discussed. The models of that section are original and so far unpublished.

Appendix 7 contains a complete discussion of the linear stability analysis in the case of the simplest models. The parameters used for the simulations presented hereafter are listed in Appendix 8. A reader interested in numerical simulations should feel no difficulty to reproduce or improve the results.

Throughout the paper, comparisons of models with experimental observations are provided. If necessary the biological background is outlined in such a way that the article should be understandable without previous knowledge of biology.

2. GRADIENTS IN BIOLOGICAL SYSTEMS

In many developmental systems small regions play an important role because they are able to organize the fate of the surrounding tissue. The mouth opening of a hydra or the dorsal lip of an amphibian blastula are well known examples. Transplantation of a small piece of such an organizing centre into an ectopic position can change the fate of the surrounding tissue: these cells are then instructed to form those structures that are induced

in the normal neighborhood of such an organizing region. Based on these observations, Wolpert (1969) has worked out the concept of positional information. The local concentration of a substance that is distributed in a graded fashion dictates the direction in which a group of cells has to develop. The organizing region is thought to be the source of such a morphogenetic substance. A famous example is the determination of the digits in the chick wing bud (Cooke and Summerbell, 1980; Tickle, 1981). It occurs under control of a small nest of cells located at the posterior border of the wing bud, the *zone of polarizing activity* (ZPA). The results nicely fit with the assumption of some hypothetical substance diffusing out of the ZPA and producing a concentration gradient; groups of cells form the correct digit by measuring the local concentration within this gradient (Summerbell, 1974; Wolpert and Hornbruch, 1981). Many experiments in which a second ZPA is implanted at various positions of the wing bud confirm this conjecture: supernumerary digits are then formed at abnormal positions but in accordance with the pattern predicted by the assumed gradient produced by the two ZPA. A possible candidate for the morphogenetic substance is retinoic acid (Thaller and Eichele, 1987, 1988). Indeed, small beets soaked with this substance at low concentrations mimic all the effects of a ZPA.

Nowadays there is a growing body of evidence that chemical gradients play a key role in pattern formation and cell differentiation. For instance, it has been observed that the protein *bicoid* has a graded concentration distribution in the *Drosophila melanogaster* embryo; it organizes the anterior half of the fly and has been fully characterized (Driever and Nüsslein-Volhard, 1988; Borning *et al.*, 1993).

In this context, theoretical models have to give satisfactory answers to the following two questions.

- How can a system give rise and maintain large scale inhomogeneities like gradients even when starting from initially more or less homogeneous conditions?
- How do cells measure the local concentration in order to interpret their position in a gradient and choose the corresponding developmental pathway?

The next two sections are devoted to the first question. We shall discuss theoretical models having the ability to produce graded distributions of chemical substances and present their regulation characteristics. In the subsequent section, we shall show how to use the positional information contained in gradients in order to induce a correct differentiation.

3. SIMPLE MODELS FOR PATTERN FORMATION

As mentioned, Turing (1952), was the first who realized that the interaction of two substances with different diffusion rates can cause pattern formation. Gierer and Meinhardt (1972) and independently Segel and Jackson (1972) have shown that two features play a central role: *local self-enhancement* and *long range inhibition*. It is essential to have an intuitive understanding of these two requirements since they lay at the heart of pattern formation.

Self-enhancement is essential for small local inhomogeneities to be amplified. A substance a is said to be *self-enhancing* or *autocatalytic* if a small increase of a over its homogeneous steady-state concentration induces a further increase of a .² The self-enhancement doesn't need to be direct: a substance a may promote the production rate of a substance b and *vice versa*; or, as will be discussed further below, two chemicals that mutually inhibit each other's production act together like an autocatalytic substance.

Self-enhancement alone is not sufficient to generate stable patterns. Once a begins to increase at a given position, its positive feedback would lead to an overall activation. Thus, the self-enhancement of a has to be complemented by the action of a fast diffusing antagonist. The latter one prevents the spread of the self-enhancing reaction into the surrounding without choking the incipient local increase. Two types of the antagonistic reactions are conceivable. Either an inhibitory substance h is produced by the activator that, in turn, slows down the activator production. Or, a substrate s is consumed during the autocatalysis. Its depletion slows down the self-enhancing reaction.

3.1. Activator-inhibitor systems

The following set of differential equations describes a possible interaction between an activator a and its rapidly diffusing antagonist h (Gierer and Meinhardt, 1972).

$$\frac{\partial a}{\partial t} = D_a \Delta a + \rho_a \frac{a^2}{(1 + \kappa_a a^2)h} - \mu_a a + \sigma_a \quad (1a)$$

$$\frac{\partial h}{\partial t} = D_h \Delta h + \rho_h a^2 - \mu_h h + \sigma_h \quad (1b)$$

where Δ is the Laplace operator; in a two dimensional orthonormal coordinate system, it writes $\Delta = \partial^2/\partial x^2 + \partial^2/\partial y^2$. D_a, D_h are the diffusion constants, μ_a, μ_h the removal rates and ρ_a, ρ_h the cross-reactions coefficients; σ_a, σ_h are basic production terms; κ_a is a saturation constant.

As discussed above, lateral inhibition of a by h requires that the antagonist h diffuses faster than the self-

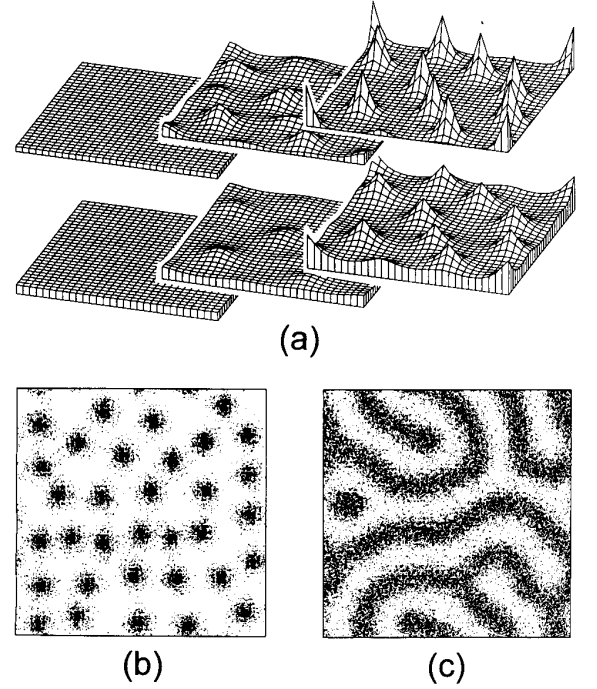


Figure 1: Patterns produced by the activator-inhibitor model (1). (a) Initial, intermediate and final activator (top) and inhibitor (bottom) distribution. (b) Result of a similar simulation in a larger field. The concentration of the activator is suggested by the dot density. (c) Saturation of autocatalysis ($\kappa_a > 0$) can lead to a stripe-like arrangement of activated cells.

enhanced substance a : $D_h \gg D_a$.³ This is not yet sufficient to generate stable patterns. We show in Appendix 7 that in addition the inhibitor has to adapt rapidly to any change of the activator. This is the case if the removal rate of h is large compared to the one of a : $\mu_h > \mu_a$. Otherwise the system oscillates or produces travelling waves.

Though not necessary for the capability of pattern formation, the saturation constant κ_a has a deep impact on the final aspect of the pattern. Without saturation, somewhat irregularly arranged peaks are formed whereby a maximum and minimum distance between the maxima is maintained (figure 1a,b). In contrast, if the autocatalysis saturates ($\kappa_a > 0$), the inhibitor production is also limited. A stripe-like pattern emerges: in this arrangement activated cells have activated neighbors; nevertheless non-activated areas are close by into which the inhibitor can diffuse (figure 1c).

Embryonic development makes often use of stripe formation. For example, genes essential for the segmen-

²To simplify the notations, we shall use the same symbol to design a chemical species and its concentration. This should not lead to any confusion.

³Here are some orders of magnitude for the diffusion constants in cells. Roughly speaking, the diffusion constants in cytoplasm range from $10^{-6} \text{ cm}^2 \text{ s}^{-1}$ for small molecules to $10^{-8} \text{ cm}^2 \text{ s}^{-1}$ for proteins. Diffusion from cell to cell *via* gap junctions lowers these values by a factor 10 (Crick, 1970; Slack, 1987).

tation of insects are activated in narrow stripes that surround the embryo in a belt like manner (Ingham, 1991). In monkeys, the nerves of the right and the left eye project onto adjacent stripes in the cortex (Hubel *et al.*, 1977). The stripes of a zebra are proverbial.

By convenient choice of the concentration units for a and h , it is always possible to set $\mu_a = \rho_a$ and $\mu_h = \rho_h$ (Appendix 7). Moreover, some constants involved in (1) are not essential for the morphogenetic ability of this system (they are useful if one needs “fine tuning” of the regulation properties). In its simplest form, the activator-inhibitor model writes:

$$\frac{\partial a}{\partial t} = D_a \Delta a + \rho_a \left(\frac{a^2}{h} - a \right) \quad (2a)$$

$$\frac{\partial h}{\partial t} = D_h \Delta h + \rho_h (a^2 - h) . \quad (2b)$$

Convenient length and time units can be found in which $\rho_a = D_h = 1$. This reduces the number of essential parameters to two, namely D_a and ρ_h .

3.2. Activator-substrate systems

Lateral inhibition can also be achieved by the depletion of a substance s required for the autocatalysis :

$$\frac{\partial a}{\partial t} = D_a \Delta a + \rho_a \frac{a^2 s}{1 + \kappa_a a^2} - \mu_a a + \sigma_a \quad (3a)$$

$$\frac{\partial s}{\partial t} = D_s \Delta s - \rho_s \frac{a^2 s}{1 + \kappa_a a^2} + \sigma_s . \quad (3b)$$

The parameters D_a , D_s , μ_a , ρ_a , ρ_s , κ_a , σ_a and σ_s have the same meaning as in Eq. (1); a is the self-enhanced reactant, while s plays the role of the antagonist: it can be interpreted as a *substrate* depleted by a . For this reason, we shall refer to this system as the *activator-substrate model*. Lateral inhibition of a by s is effective if $D_s \gg D_a$. The model has similarities with the well-known Brusselator (Lefever, 1968 ; Auchmuty and Nicolis, 1975 ; Vardasca *et al.*, 1992).

Suitable concentration units for a and s allow to set $\mu_a = \rho_a$ and $\sigma_s = \rho_s$. In its simplest form, the system looks like

$$\frac{\partial a}{\partial t} = D_a \Delta a + \rho_a (a^2 s - a) \quad (4a)$$

$$\frac{\partial s}{\partial t} = D_s \Delta s + \rho_s (1 - a^2 s) . \quad (4b)$$

One can always adapt the time and length units so that $\rho_a = D_s = 1$; only two parameters, ρ_s and D_a , are then remaining.

Figure 2 presents typical patterns resulting from such a model. The activator-substrate and activator-inhibitor models have some distinctly different properties. As can be seen in figure 2, in (a, s) systems the activator forms rounded mounds rather than sharp peaks as it is the case for (a, h) models (figure 1a). In a growing field of cells, an (a, s) system produces new maxima preferentially by

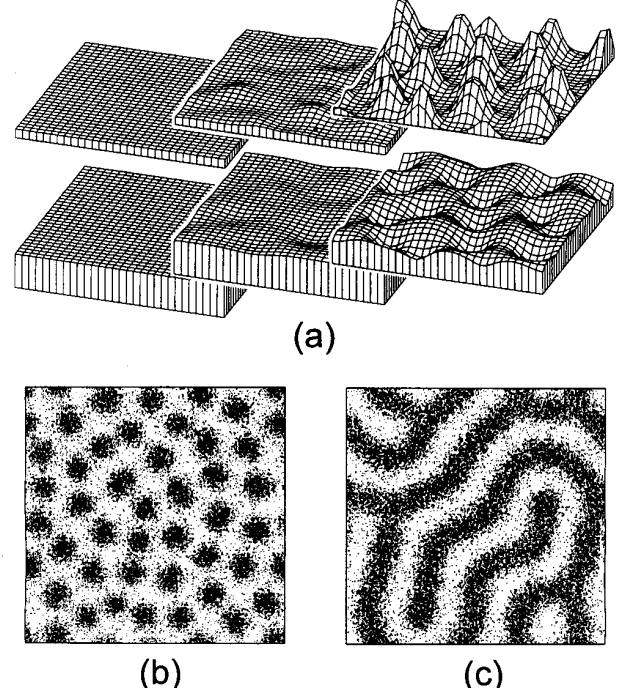


Figure 2: Patterns produced by the activator-substrate model (3). (a) Initial, intermediate and final pattern. Upper and lower plots show the concentration of a and s respectively. A high level of a produces a pit in the distribution of the substrate s . (b) Similar simulation in a larger field (the activator concentration is shown). Fig. 1 and 2 have been calculated with corresponding parameters. Note that nevertheless the peaks are here broader and more densely packed. (c) Saturation of the autocatalysis ($\kappa_a > 0$) leads to the formation of stripes.

a split and shift of existing ones, while in (a, h) models new peaks are inserted at the maximum distance from the existing ones. The reason for the shift of maxima in an (a, s) system is the following. With growth, the substrate concentration increases in the enlarging space between the activated regions. This can lead to a higher activator production at the side of a maximum if compared with its centre. In such a case the maximum begins to wander towards higher substrate concentrations until a new optimum is reached. In (a, h) systems, a maximum suppresses more efficiently the formation of other maxima in the surroundings. This is evident from Fig. 1 and 2. In the former case the distance between the maxima is much higher although corresponding parameters have been used for both simulations (see Appendix 8). We shall often make use of these different properties. If a maximum has to be displaced or to form a wave, one will preferentially use an (a, s) system. In contrast, if an isolated maximum has to be generated, we shall employ an (a, h) system. The ultimate reason of this different behavior is related to the inherent saturation in the (a, s) system. The autocatalysis comes necessarily to rest if all

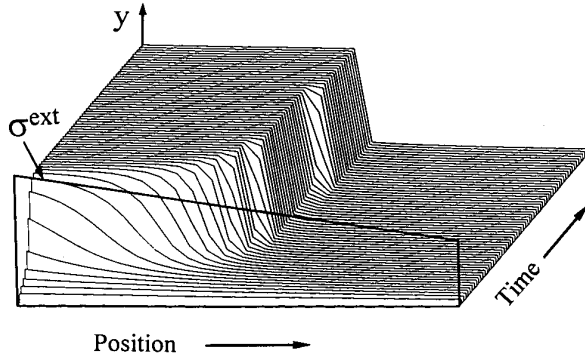


Figure 3: Position-dependent activation of a gene by an external signal simulated in a one-dimensional array of cells according to equation (5). The concentration of the autoregulatory gene product y (thin lines) is given as a function of position and time. A primary gradient (boldface line) is used as external signal σ^{ext} . Despite of the shallow signal, a sharp threshold exists; if exceeded, the system switches irreversibly to the high state.

the substrate is used up. An (a, h) system obtains similar properties if the autocatalysis saturates moderately.

3.3. Biochemical switches

A monotonic gradient based on mechanisms as described above can be maintained only if the size of the tissue is small since otherwise the time required to exchange molecules by diffusion from one side of the field to the other would become too long. Indeed, as Wolpert (1969) has pointed out, all biological systems in which pattern formation takes place are small, less than 1 mm and less than 100 cells in diameter. In an organism growing beyond this size, cells have to make use of the signals they have obtained by activating particular genes. Once triggered, the gene activation should be independent of the evoking signal. Similarly to pattern formation, this requires either a direct or an indirect autocatalytic activation of genes (Meinhardt, 1978).

Here is a simple example of a switch system.

$$\frac{\partial y}{\partial t} = \rho_y \frac{y^2}{1 + \kappa_y y^2} - \mu_y y + \sigma^{\text{ext}}. \quad (5)$$

In this equation ρ_y , μ_y and κ_y are constants; σ^{ext} describes the external signal. In the absence of such a signal the system has two stable steady states, the low one at $y = 0$ and the high one at $y = (\rho_y + \sqrt{\alpha})/2\kappa_y\mu_y$, separated by an unstable steady state at $y = (\rho_y - \sqrt{\alpha})/2\kappa_y\mu_y$, where $\alpha = \rho_y^2 - 4\kappa_y\mu_y^2$. If the external signal σ^{ext} exceeds a certain threshold the system switches from the low to the high state (Figure 3). Once the unstable steady state is surpassed, the high state will be reached and maintained independently of the external signal (which could even vanish).

Somewhat more complex interactions allow the space-dependent activation of several genes under the influence of a single gradient (Meinhardt, 1978). Meanwhile many genes have been found with a direct regulatory influence on their own activity (see, for instance, Kuziora and McGinnis, 1990; a review is given by Serfling, 1989), supporting the view that autoregulation is an essential element to generate stable cell states in development.

3.4. Other realizations of local autocatalysis and long ranging inhibition

In the above mentioned models, self-enhancement occurs by direct autocatalysis (the activator production term in $\partial a/\partial t$ is proportional to a^2). This direct feedback is not necessary. As already mentioned, self-enhancement may also result from indirect mechanisms. As an example, consider the following system:

$$\frac{\partial a}{\partial t} = D_a \Delta a + \rho_a \left(\frac{c}{1 + \kappa_a b^2} - a \right) + \sigma_a \quad (6a)$$

$$\frac{\partial b}{\partial t} = D_b \Delta b + \rho_b \left(\frac{1}{1 + \kappa_b a^2 c} - b \right) + \sigma_b \quad (6b)$$

$$\frac{\partial c}{\partial t} = D_c \Delta c + \rho_c (b - ac). \quad (6c)$$

In this example the two substances a and b mutually repress each other's production. A small local advantage of a leads to a decrease of the b production. If b shrinks, a increases further, and so on. In this case, self-enhancement results from the local repression of a repression. The necessary long ranging inhibition is mediated by the rapidly diffusing substance c . The latter is produced by b but is poisonous for it. Further, c is removed with help of a . So, although a and b are locally competing, a needs b in its vicinity and *vice versa*. Therefore, the preferred pattern generated by such a system consists of stripes of a and b , closely aligned with each other.

The interaction given above is a simple example for an important class of pattern forming reactions based on long range activation of cell states that locally exclude each other (Meinhardt and Gierer, 1980). According to the theory, they play an essential role in the segmentation of insects (Meinhardt, 1986). Molecular analysis has confirmed this scheme; the *engrailed* and the *wingless* genes of *Drosophila* have the predicted properties [see, for instance, Ingham and Nakano (1990) or Ingham (1991)].

The examples discussed here have been picked out of a large set of feasible morphogenetic models (Gierer, 1981). They have the advantage of conceptual simplicity. Many other nonlinear systems have been proposed (Lacalli, 1990; Lyons and Harrison, 1992; for a broad overview, see Murray, 1990). But, to state it once again, more important than the details of the equations are the

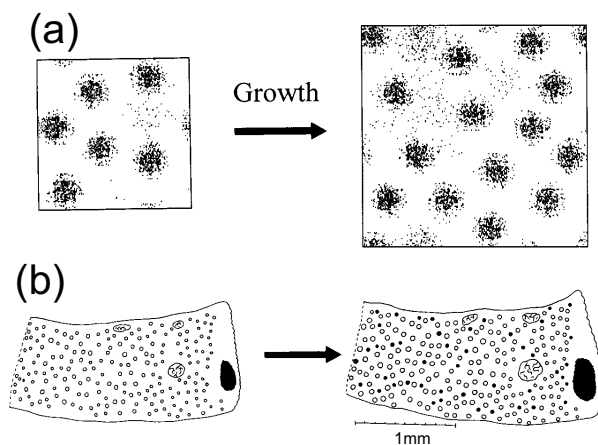


Figure 4: Insertion of new maxima during growth. (a) During isotropic growth, the distance between the maxima enlarges and the inhibitor concentration drops in between. Whenever the inhibition becomes too weak, a new maximum is triggered. This can only occur if a minimum distance from existing maxima is respected. The figure is calculated with an (a, h) model and shows the activator concentration. (b) Example for the insertion of new structures during growth: the distribution of bristles on the cuticle of a bug *Rhodnius prolixus* (after Wigglesworth, 1940) The drawings correspond to the fourth (left) and fifth (right) larval instars respectively. Bristle positions are marked with circles (\circ); during growth, new bristles (\bullet) appear where the old ones are the most spaced.

basic principles on which all these models rely, on *local self-enhancement* and *long ranging inhibition*.

Numerical simulations have shown that properties of the systems discussed above are able to account for many observations. As an example, the regeneration after tissue removal will be discussed further below.

The models presented describe biochemical reactions and diffusion of the reactants. Other kind of interactions are possible, mediated for instance by mechanical forces (Lewis and Murray, 1992; Benti and Murray, 1993), by electric potentials (Jaffe, 1981; Stern, 1986) or by surface contact between cell membranes (Babloyantz, 1977). Cellular automata are also often used to explain emergence of inhomogeneous patterns (Cocho *et al.*, 1987); they provide particularly elegant solutions as long as only cell-cell contacts are involved (*i.e.*, the state of a cell affects only its direct neighbors). However, chemical interactions coupled by the exchange of molecules (either by diffusion or by more complex signaling mechanisms) are believed to be the main motor of primary pattern genesis in biological systems.

4. SOME REGULATORY PROPERTIES OF PATTERN FORMING REACTIONS

In the previous section, we discussed some simple models able to produce inhomogeneous concentrations of chemicals out of a (nearly) homogeneous initial state. Let us now observe the main characteristics of the resulting patterns.

4.1. Insertion of new maxima during isotropic growth

Suppose that the initial field is large enough. If the pattern is initiated by small random fluctuations, the inhomogeneous steady state of an activator-inhibitor model consists of irregularly arranged activator peaks. Due to lateral inhibition, each peak maintains a certain minimal distance with its neighbors.⁴

If the field grows isotropically (Fig. 4), new activator maxima emerge at positions where the inhibitor is too low to further repress the local onset of autocatalysis from the basic activator production. This requires a minimum distance from existing activated centres. Therefore, the average spacing and the overall density of maxima remain approximately constant.

Biological examples of such near-periodic patterns are the distribution of stomata (special organs for gas exchange) on the lower surface of leaves (Bünning and Sagromsky, 1948) or the arrangement of bristles on insect cuticle (Wigglesworth, 1940). In both cases, it has been demonstrated that during growth new structures arise where the old ones are the most widely spaced (Fig. 4b).

4.2. Strictly periodic patterns

To get strictly periodic patterns, one needs more subtle mechanisms. The simplest idea would be to achieve strict periodicity by relaxation of a random structure. However, this is unrealistic from a biological point of view. Relaxation needs time. A misplaced maximum may already evoke a particular structure, for instance a bristle, at the wrong position. This cannot be corrected by a later shift of the maximum to the correct place.

Strictly regular structures are formed during marginal growth. With the addition of new cells at the boundaries, the distance between these cells and the existing maxima increases and the inhibitor concentration decreases. Whenever the inhibitor concentration becomes lower than a threshold a new maximum is triggered. Therefore, each new maximum keeps a well defined distance from the previous formed ones and the arrangement is very regular (figure 5).

A famous example for the generation of a strictly periodic structure is the initiation of leaves on a growing shoot. As the shoot grows upward, new leaves (or florets,

⁴The mean distance d between two neighboring maxima can be evaluated by use of the wave number k_{max} calculated in the linear approximation [see Appendix 7, equation (??)]: $d \approx 1/k_{max}$.

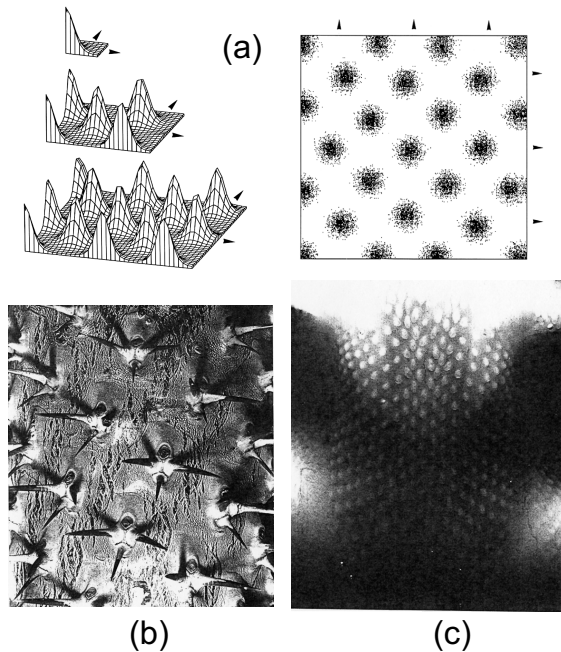


Figure 5: Generation of periodic structures during marginal growth. (a) In this simulation, the domain enlarges by addition of new cells at the upper and left border; a periodic structure emerges. Plotted is the activator of an (a, h) model. (b) The regular spacing of thorns on this cactus is achieved by apical growth (see also section 5). The thorns are arranged along helices that wrap around the stem. (c) Feather primordia are regularly spaced on the back of the chicken. To position them accurately, the chicken “simulates” growth by use of a determination wave that starts from the dorsal mid line and spreads on both sides: only cells reached by the wave can initiate the development of primordia. The wave motion simulates growth by enlarging the region competent for feather production (photograph by courtesy of Dr. H. Ichijo).

scales, etc.) are added sequentially near the tip, so as to maximize the spacing with the elder ones (Adler, 1975; Marzec and Kappraff, 1983). Leaves emerge along spirals (Fig. 5b) that wrap around the stem (Coxeter, 1961; Rothen and Koch, 1989a, 1989b). We shall come back to this particular pattern in section 5.

It may also happen that systems which have already reached a large size need to position organs in a regular fashion. This can occur by a “simulated” growth. The property of a tissue may change in a wavelike manner from a state noncompetent to a state competent for pattern formation. Although many cells are already present, pattern formation can take place only in a small portion of the field. With the enlargement of the competent region more and more maxima are formed that keep precise distances to the existing ones. An example is the formation of the regularly spaced feather pattern in chick (Fig. 5c). Feather primordia begin their differentiation behind a competence wave that starts from the dorsal

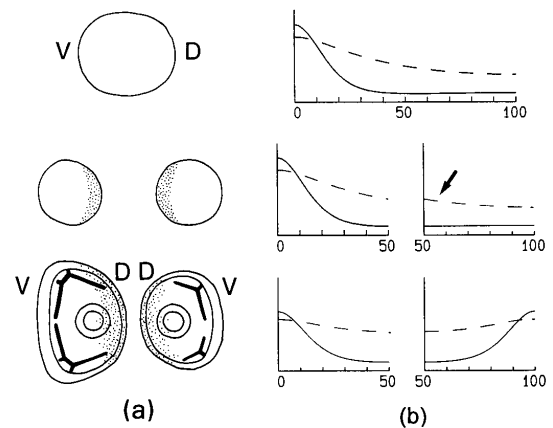


Figure 6: Regeneration with polarity reversal. (a) Experimental observation: the early blastula of a sea urchin is cut in two halves. Cells close to the wound are vitally stained (dotted region) to determine later the original orientation. Both parts regenerate a complete embryo. They are mirror-symmetric, so that in one fragment the polarity must have been reverted (Hörstadius and Wolsky, 1936). (b) Simulation by an activator-inhibitor model. The abscissa scale gives the position along the dorso-ventral axis of the blastula, in % of the animal length. After separation, the high residual inhibitor concentration (---) in the non-activated part (arrow) leads to regeneration of the activator (—) at the opposite end of the field. The distribution before and after cutting is shown, as well as the newly formed steady state.

mid line and spreads to both sides of the back. Experiments (Davidson, 1983a, 1983b) have clearly demonstrated that lateral inhibition is involved in the formation of the regularly spaced feather primordia. We shall meet a similar phenomenon in section 5 when discussing the formation of the *Drosophila* eye.

4.3. Regeneration properties and polarity

Many biological systems can regenerate missing parts. The models discussed above are able to account for this property. We shall use the activator-inhibitor model and modifications of it to demonstrate this feature and compare them with biological observations.

After partition of an early sea urchin embryo both fragment regenerate complete embryos. By vital staining during separation it has been shown that both embryos obtain a mirror-image orientation with respect to each other (Fig. 6). According to the model, in the non-activated fragment the remnant inhibitor decays until a new activation is triggered. The polarity of the resulting pattern depends on the distribution of the residual activator and inhibitor in the fragment. A polarity reversal, as in the case of the sea urchin mentioned above, will take place if the residual inhibitor gradient is decisive for its orientation. It is the region with the lowest inhibitor

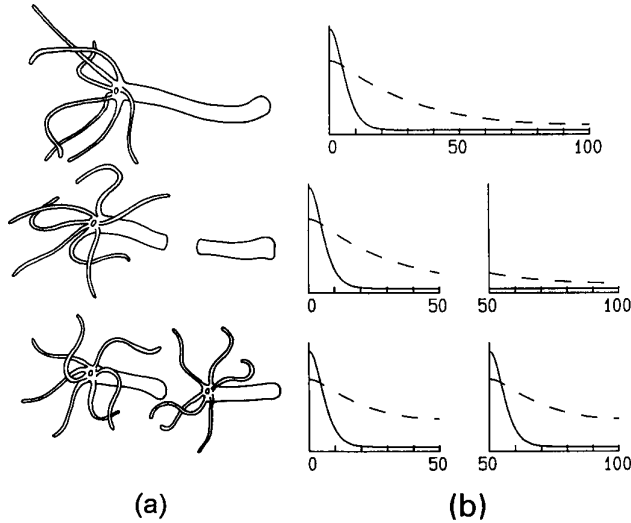


Figure 7: Regeneration with maintained polarity. (a) After cutting, fragments of *Hydra* regenerate. The original apical-basal polarity is maintained. (b) Model based on Eq. (7). The abscissa gives, in % of the full length, the position along the body axis. The inhibitor is assumed to have a feedback on the source density b (---) which describes the general ability of the cells to perform the autocatalysis. This source density, having a long time constant, does not change considerably during regeneration of the activator-inhibitor pattern. Regions closer to the original head have an advantage in the competition for head formation and the new maximum of the activator a (—) is reliably triggered in the region which was originally closest to the apical side.

concentration, *i.e.*, the region most distant to the originally activated site that wins the competition to become activated.

In many other systems the polarity is maintained. The fresh water polyp *Hydra* (Wilby and Webster, 1970; Wolpert *et al.*, 1971; Macauley-Bode and Bode, 1984) and planarians (Flickinger and Coward, 1962; Goss, 1974; Chandebois, 1976) are examples. The maintenance of polarity implies that the same tissue can regenerate either a head or a foot depending whether this particular tissue is located at the apical or the basal end of the fragment which has to regenerate. Morgan (1904) interpreted this phenomena in that a graded stable tissue property exists. It provides a graded advantage in the race to regenerate a removed structure. During head regeneration, for instance, those cells will win that were originally closest to the removed head.

In terms of the activator-inhibitor mechanism, a systematic difference in the ability to perform the autocatalysis must exist. We call this property the *source density*. Detailed simulations for hydra (Meinhardt, 1993) have shown that the source density must have approximately the same slope as the inhibitor. However, while time constants of the activator and inhibitor are in the range of a

few hours, a major change of the source density requires approximately two days (Wilby and Webster, 1970).

In the following model, a feedback exist from the inhibitor h onto the source density b . Therefore, in the course of time, a long ranging gradient not only of h but also of b will be established. Whenever the system is forced to regenerate, the residual distribution of b ensures the maintenance of polarity.

$$\frac{\partial a}{\partial t} = D_a \Delta a + \rho_a \left[b \left(\frac{a^2}{h^2} + \sigma_a \right) - a \right] \quad (7a)$$

$$\frac{\partial h}{\partial t} = D_h \Delta h + \rho_h (a^2 - h) \quad (7b)$$

$$\frac{\partial b}{\partial t} = \rho_b (h - b) . \quad (7c)$$

As can be verified in (7c), at equilibrium, $b = h$. Thus, the self-enhancement term ba^2/h^2 in the activator equation (7a) reduces to a^2/h as in the usual activator-inhibitor model (1). Since the removal rate ρ_b is small compared to ρ_a and ρ_h , b preserves the polarity when the animal is dissected: due to enhancement of autocatalysis by b , the activator a builds up again in each half at the site of highest b concentration. The position of the *relative* highest source density plays the crucial role as to where the new activator maximum will be formed. This insures maintenance of the initial polarity (Fig. 7).

The feedback of h onto the source density b has another very important effect, it helps to suppress the initiation of secondary maxima. This is required if a single structure, for instance a single head, should be maintained in a system despite of substantial growth. Since, with increasing distance from the existing maxima, cells have a lower and lower source density, it becomes less likely that these cells overcome the inhibition spreading from an existing maximum.

In *Hydra*, treatment with diacylglycerol (a substance involved in the second messenger pathway) causes supernumerary heads (Müller, 1990). From detailed observations and simulations one can conclude that this substance is able to increase the source density in a dramatic way. Since the source density becomes high everywhere, the so-called apical dominance of an existing head is lost and supernumerary heads can be formed. These heads keep distance from each other since the spacing mechanism enforced by the inhibitor alone is still working. The model agrees with many other experimental results, including the existence of a critical size (see Appendix 7) below which the animal is unable to regenerate (Shimizu *et al.*, 1993).

5. FROM SIMPLE GRADIENTS TO COMPLEX STRUCTURES

So far we have considered models able to generate inhomogeneous distributions of substances out of an initially uniform state. By combining several systems of this kind very complex structures can be formed in a reproducible

way. Central is the idea of *hierarchy*. A first system A establishes a primary pattern that is used to modify and trigger a second system B . The feedback in the reverse direction, of B onto A , is assumed to be weak (this greatly simplifies the treatment of these nonlinear systems and makes the comprehension of their properties easier).

To fix the ideas, suppose that both A and B are activator-inhibitor systems (a_A, h_A) and (a_B, h_B) . It is then natural to assume that parameters ρ_{a_B} , ρ_{h_B} , σ_{a_B} ... of B are functions of the chemical concentrations of A . The couplings which proved to be the simplest and the most efficient in simulations consist to modify either the cross-reaction parameter ρ_{a_B} or the basic (activator-independent) production σ_{a_B} of the second activator a_B . The two following thumb rules are helpful.

- If the second system has to respond dynamically to any change of the first one, one will preferentially alter the value of ρ_{a_B} . This ensures that any change in A is repercutated on B [in terms of the figure ?? in Appendix 7, one would choose the coupling function ρ_{a_B} in such a way that the system B shifts under the pressure of A from the region H of the stability diagram (where B has no pattern formation ability) into the domain I (where inhomogeneities can be amplified)].
- If A has just to trigger B , the coupling between the two systems is achieved by the basic production σ_{a_B} . The structure developed by B is then stable even if, later, A vanishes.

Other kinds of interactions are conceivable as well. For instance, cells could change the communication with their neighbors by opening or closing gap junctions; this can be modeled by altering the diffusion constants under the influence of a second patterning system. In the four examples developed below we restrict, however, the interaction between systems to the two rules mentioned above. The two first systems are relatively simple models of animal coat patterns and of reticulated structures. The last two examples are more complex and describe interaction that leads to the precise arrangement of differently determined cells in a strictly periodic way. The eye formation in *Drosophila* and organ genesis in a growing plant will be used as biological counterparts.

5.1. Animal coat patterns

The variability and complexity of animal coat patterns has attracted many biologists. Models can be found for the coloration of butterfly wings (Nijhout, 1978, 1980; Murray, 1981), zebra stripes (Bard, 1981; Murray, 1981), patterns on snake skin (Cocho *et al.*, 1987; Murray and Myerscough, 1991) or on sea shells (Meinhardt and Klinger, 1987; Ermentrout *et al.*, 1989). We present hereafter a simple reaction-diffusion mechanism which allows a large variability of patterns, ranging from the spots of the cheetah to the reticulated coat of giraffes.

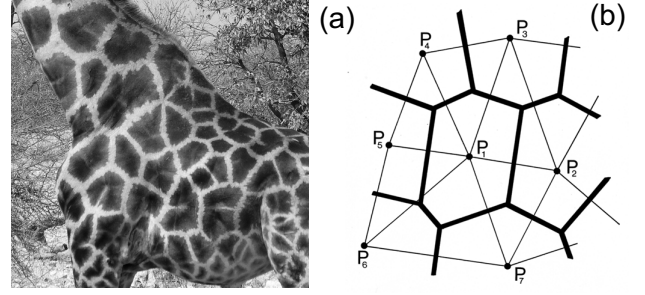


Figure 8: Analogy of the giraffe pattern with Dirichlet domains. (a) Side of a giraffe (*Giraffa camelopardalis reticulata*). The pattern is formed by convex polygons separated by thin lines (photograph kindly provided by O. Berger). The formal resemblance with Dirichlet domains is suggestive. (b) Construction and definition of Dirichlet domains. Given a set $\{P_1, \dots, P_n\}$ of points belonging to a surface S , one draws the perpendicular bisectors between neighboring points. The convex envelop surrounding a center P_i delimits its associated Dirichlet domain \mathcal{D}_i . By construction, \mathcal{D}_i contains all the points of the surface S nearer to P_i than to any other P_j ($j \neq i$).

In mammals, hair pigmentation is due to *melanocytes* which are supposed to be uniformly distributed in the derma. Whether they produce melanin (which colors hairs) or not is believed to depend on the presence of some unknown chemicals whose pattern is laid down during the early embryogenesis (Bard, 1977).

Let us start with a short description of the giraffe coat. Figure 8 show the similarity between the polygonal shaped spots that cover the animal and Dirichlet domains. This suggests that a reaction-diffusion system is at work in the giraffe's coat that is able to produce Dirichlet polygons. Consider a surface S and points P_1, \dots, P_n randomly scattered on it. Suppose that each P_i initiates at a given time a chemical wave which spreads uniformly to all directions. The system should be so that, if two waves encounter, they annihilate each other. The lines along which annihilation occurs defines the envelops of the Dirichlet domains around the initial centers P_i . The following reaction-diffusion system fulfils these requirements :

$$\frac{\partial a}{\partial t} = D_a \Delta a + \rho_a \left[\frac{a^2 s}{1 + \kappa_a a^2} - a \right] \quad (8a)$$

$$\frac{\partial s}{\partial t} = D_s \Delta s + \frac{\sigma_s}{1 + \kappa_s y} - \frac{\rho_s a^2 s}{1 + \kappa_a a^2} = \mu_s s \quad (8b)$$

$$\frac{\partial y}{\partial t} = -\rho_y \frac{y^2}{1 + \kappa_y y^2} - \mu_y y + \sigma_y a \quad (8c)$$

One recognizes a modified activator-substrate model (a, s) combined with a switching system y . Melanocytes activity is given by y : $y = 1$ corresponds to cells producing melanin, while melanocytes with $y = 0$ don't. The

state y of each pigment cell is determined by its exposition to the morphogen a . To insure that a doesn't produce a stationary pattern but spreads like a wave, the diffusion constant of s should not be too large when compared to the one of a .

The system works in the following way : initially $y = 0$, $a = 0$ and $s = s_o$ everywhere, except on some randomly scattered points⁵ P_i where $a = a_o$; this high value of a switches y from 0 to 1 at P_i due to the source term $\sigma_y a$ in (8c). On the other hand, due to the depletion of s and to its low diffusion constant D_s , high a regions shift toward zones where the substrate is abundant : a -waves propagate over the surface. When two such waves get close, they annihilate each other due to the depletion of substrate s . Owing to its switching nature, y needs the activator a just for being triggered. Once a has vanished, the state of y remains stable. Note that y has a negative feedback on the production of s in (8b) : in regions where y has switched on, it is no longer necessary to waste energy to produce the substrate s any more.

Figure 9a presents the result of a simulation. The similarity with the coat of a giraffe is obvious. Straight lines with nearly constant thickness delineate irregular polygons; earlier models proposed for giraffe patterns (Murray, 1981, 1988) produce rather spots comparable to Fig. 9c.

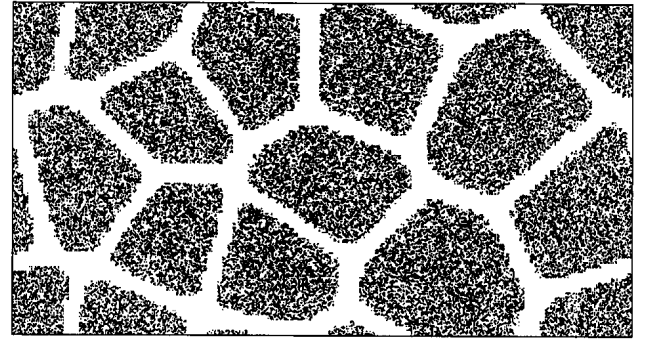
According to the parameter values, the model (8) produces a variety of patterns related to Dirichlet domains. For instance, if the removal rate of s is low enough, regions where a doesn't vanish subsist; the system reaches then a stable configuration where the activator a remains activated along circular rings or "half-moons" centered on the initiating points P_i (Fig. 9b). Conversely, if the consumption of s is too high, the a -waves cannot spread very far and die before they meet : randomly scattered spots are formed (Fig. 9c). In that situation, the resulting pattern has much similarities with the one described by Murray (1981, 1988).

The coats of mammals have been taken as illustrations. Fishes, snakes and insects show similar patterns. It is appealing to imagine that they may all be based on a common mechanism involving Dirichlet domains, as discussed above.

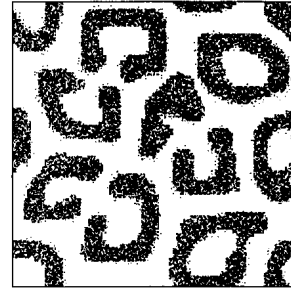
5.2. Reticulated structures

Polygonal patterns are also common in other biological systems. The fine veins of the wing of a dragonfly or the projection areas of mice sensory whiskers on the brain are examples (Fig. 10).

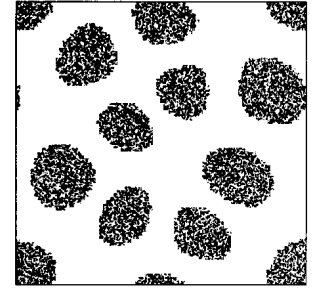
A crucial property of the system discussed in the preceding paragraph is that the pattern, once formed, is fixed. For instance, no new lines can be inserted dur-



(a)



(b)



(c)

Figure 9: Simulation with the system (8). The dot density is proportional to the concentration of y . According to the parameter set, the resulting pattern will have similarities with the one observed on the coat of giraffes (*Giraffa camelopardalis reticulata*) (a), of leopards (*Panthera pardus*) (b) or of cheetahs (*Acinonyx jubatus*) (c).

ing growth to subdivide a large polygon into two smaller ones. This is appropriate for the giraffe coating as indicated by the large size of the polygons. For other systems such as the wing of the dragonfly mentioned above, it is to be expected that the final pattern is not produced in a single step at a particular moment of the development ; it is rather likely that, at an early stage and in a small field, a simple pattern is laid down. In analogy to the *Drosophila* wing venation (Diaz-Benjumea *et al.*, 1989 ; Garcia-Bellido *et al.*, 1992), we assume that the positions of the main veins of the dragonfly wing are genetically determined ; the finer ones are presumably added later in order to strengthen the growing structure and so as to keep approximately constant the size of a domain enclosed by veins.

The following model has this property. It relies upon hierarchical interactions of two systems. A first (a, s) activator-substrate system produces a pattern of activa-

⁵In principle the centers P_i could be laid down by a primary pattern formation mechanism (a_P, h_P) like the one used to produce figure 1a. These points would then activate the production of a by means of an additional term $\sigma_a a_P$ in Eq. (8a). We skip this step.

tor mounds (see Fig. 2a) :

$$\frac{\partial a}{\partial t} = D_a \Delta a + \rho_a \left(\frac{sa^2}{1 + \kappa_a b^2} - a \right) + \sigma_a \quad (9a)$$

$$\frac{\partial s}{\partial t} = D_s \Delta s - \rho_s \left(\frac{sa^2}{1 + \kappa_a b^2} \right) + \sigma_s . \quad (9b)$$

This primary pattern triggers an activator-inhibitor system (b, h) producing boundaries around the mounds of a .

$$\frac{\partial b}{\partial t} = D_b \Delta b + \rho_b \left[\frac{s^2}{1 + \kappa_b a b^2} \left(\frac{b^2}{h} + \sigma_b \right) - b \right] \quad (10a)$$

$$\frac{\partial h}{\partial t} = D_h \Delta h + \rho_h (b^2 - h) . \quad (10b)$$

The a -concentration modifies the saturation value of the activator b in Eq. (10a). A high value of a makes this saturation so strong that the (b, h) system is set off. In regions of low a , it's the other way round : the saturation becomes weak enough so that the (b, h) system triggers the formation of a stripe-like boundary. This effect is enhanced by the substrate s , through the term $\rho_b s^2$ in (10a). In other words, the stripes will appear along sites with high concentration of s , in regions that are most distant from the maxima of a . Due to the action of h , the stripes become sharp. The weak feedback of b onto a in equation (9a) is not absolutely necessary but speeds up the development of the structure. The model has size regulation properties. New boundaries are inserted whenever a domain becomes too large. This has the following reason. With growth, the distance between the a maxima increases. If a certain distance is surpassed, a maximum splits into two and displacement towards higher substrate concentration follows. Between these two maxima, a new region with high substrate concentration appears that, in turn, initiates a new b line. Such a process can be observed in figure 10c.

As a possible application of the mechanism (9)–(10) let us shortly mention the barrel formation in mouse brain (Steindler *et al.*, 1989 ; Jacobson, 1991). The facial vibrissae of the mouse project on the primary somatosensory cortex (Fig. 10b). The mapping on the brain mirrors the arrangement of whiskers on the mouse face : two adjacent vibrissae project on neighboring sites in the cortex ; the domain connected to a given whiskers is called *barrel*. The shape of the barrels can be visualized by a labelling with tenascin specific antibodies. During the first postnatal days, the barrel pattern has dynamic properties : removal of vibrissae disrupts the formation of the associated barrels. The model gives a good description of such dynamic effects if one admits that the autocatalytic production rate of activator a is linked to neural excitation by the whiskers. Destruction of the latter leads to a reduction of neural excitation, to a decrease of a and so, to the resorption of the associated barrel whose area is then invaded by its neighbors.

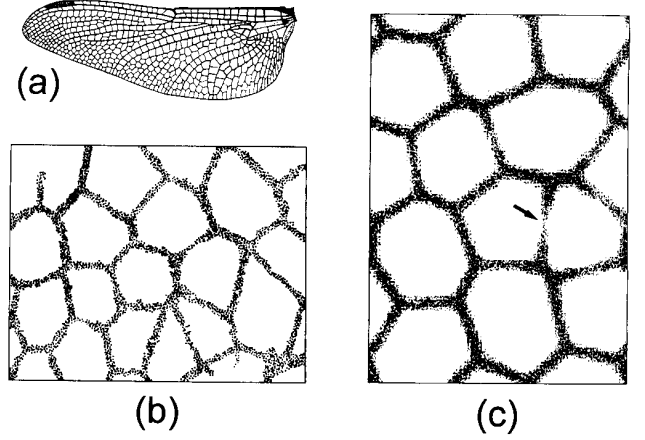


Figure 10: Polygonal structures. (a) The left posterior wing of a dragonfly (*Libellula depressa*) is strengthened by a fine and elegant network of veins (picture after Séguy, 1973). The positions of the larger veins are presumably genetically coded. According to the model, finer veins are produced during the wing development ; during growth, their insertion tends to keep constant the size of the enclosed domains. (b) Experimentally observed barrel pattern in the mouse somatosensory cortex. The dotted regions correspond to domains labelled by an antibody against J1/tenascin (after Steindler *et al.*, 1989). (c) Simulation based on the system (9)–(10) in a two dimensional domain. The density of dots is proportional to the concentration of b . One can see the completion of a new boundary between two domains (arrow).

5.3. The faceted eye of *Drosophila* flies

As an example of a complex but very regular periodic structure, we shall now discuss the formation of the faceted eye of the fruit fly *Drosophila melanogaster*. The eye is derived from the *eye-antennal imaginal disk*.⁶ It consists of a very regular array of about 700 ommatidia (Fig. 11a). Each ommatidium is formed by the precise arrangement of 20 cells among which 8 are photoreceptor neurons named $R1, \dots, R8$. These clusters of 20 cells have well defined polarity and orientation in respect to the main body axis.

The molecular basis of eye formation has been extensively studied over the last years. For comprehensive reviews, see for instance Tomlinson (1988) or Basler and Hafen (1991). The model presented hereafter reproduces essential aspects of this pattern formation.

The following steps play a crucial role (figure 11b).

- a) A wave moves from posterior to anterior across the eye imaginal disk. It causes a slight deformation in the tissue, the *morphogenetic furrow*.
- b) Within the furrow, a first morphogenetic event takes

⁶In *Drosophila* larvae, *imaginal disks* are nests of epithelial tissue which differentiate at metamorphosis. Legs, wings, antennae, eyes derive from imaginal disks (Alberts *et al.*, 1989).

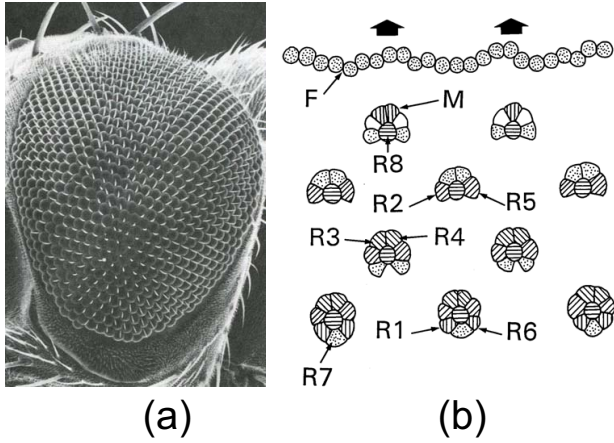


Figure 11: Overall structure of the *Drosophila* eye and its genesis. (a) Scanning electron micrograph of the eye of a *Drosophila* fly showing the regular array of ommatidia (photograph kindly provided by J. Berger). (b) Pattern formation of the *Drosophila* eye. A morphogenetic furrow F sweeps anteriorly across the eye-antennal imaginal disk (the arrows show the direction of propagation). Behind the furrow, ommatidial assembly begins with the differentiation of regularly spaced $R8$ photoreceptors, each one associated with one or two mystery cells M . Later $R2$ and $R5$ neurons are recruited, followed by the formation of $R3$, $R4$, $R1$, $R6$ and, at last, $R7$ receptors; M cells are meanwhile eliminated by selective cell death. After formation of all photoreceptors, 12 other cells are added in every ommatidium (cone, pigment and bristle cells). Dots indicate differentiating cells while hatches show differently differentiated cells.

place. It leads to the formation of regularly spaced clusters of 6 or 7 cells including one *photoreceptor neuron* $R8$ and one or two *mystery cells* M .

- c) In the cluster around $R8$, three pairs of photoreceptors differentiate sequentially, first $R2$ and $R5$, then $R3$ and $R4$ followed by $R1$ and $R6$; at last, $R7$ is formed. During this stage, the mystery cells M are eliminated by selective cell death.
- d) Finally the cluster of 8 photoreceptors recruits other cells in order to form the cone, pigment and bristle cells.

The eight photoreceptors $R1$ – $R8$ belong at least to three types, namely $R1$ – $R6$, $R7$ and $R8$. Receptors $R7$ and $R8$ have clearly distinct functions as appears from their morphology (Tomlinson, 1988). Whether $R1$ – $R6$ are different is unclear (Heberlein *et al.*, 1991). At least, the differentiation pathways shows that there are three couples of similar receptors, $R1/6$, $R2/5$ and $R3/4$. If the six neurons $R1$ – $R6$ are functionally identical, a plausible explanation for their sequential differentiation is to achieve a precise regulation of the number of photoreceptors contained in each ommatidia (differentiating them in one step

could lead to an irreproducible receptor number).

The fate of a cell is only determined by the interactions with its neighbors, and not by its lineage (Ready *et al.*, 1976). The system is therefore very convenient to study the interactions required to achieve a complex periodic structure. Moreover, the pattern formation takes place in a monolayered epithelium; it is a strictly two dimensional process.

We propose here a model which accounts for the first morphogenetic steps up to the formation of the $R1$ and $R6$ receptors. The cell fate is assumed to be hierarchically determined in a cascade :

furrow $\longrightarrow R8$ cells $\longrightarrow M$ cells $\longrightarrow R2/5$ cells $\longrightarrow \dots$

In the following, a model for each individual step will be described. The hierarchical interactions postulated are summarized in Fig. 12a.

5.3.1. The morphogenetic furrow

The differentiation of cells behind a spreading wave indicates that, in the eye, the precise arrangement of structures is achieved by the scheme of simulated growth mentioned in section 4. In this way, each subsequently formed structure achieves a precise spacing with respect to the structures already laid down.

The morphogenetic furrow is modeled as a wave-like event which moves across the system. This is in agreement with the experiments of White (1961) where grafting of epithelium tissue in the eye imaginal disk of mosquitoes allowed him to observe the furrow spreading through holes of the grafted tissue or moving around it like a wave.

The following equations have been used to simulate the wave that generates the furrow :

$$\frac{\partial f}{\partial t} = D_f \Delta f + \rho_f \frac{s f^2}{1 + \kappa_f f^2} - \mu_f f \quad (11a)$$

$$\frac{\partial s}{\partial t} = -\rho_s s f^2. \quad (11b)$$

Suppose that initially $s = 1$ and $f = 0$ everywhere, except in one cell where $f = f_o$. Due to diffusion, this cell activates the production of f in its vicinity [the term $D_f \Delta f$ in Eq. (11a) plays the role of σ^{ext} in (5)]. But, since the substrate s is depleted, f cannot remain in its high state and goes back to zero. This produces a wave front of f which spreads once over the eye disk.

The furrow model (11) is certainly a simplification. It does not match all experimental data. A shift of fly embryos to non-permissive temperatures causes a stop in the motion of the furrow. When shifted back to normal temperatures, the furrow continues as if nothing happened. The model is not well suited to reproduce this experiment : it would require a simultaneous decrease of ρ_s and of D_f . The change of both parameters at the same time by the temperature shift is unlikely and indicates a more complex way of wave formation. For our purpose,

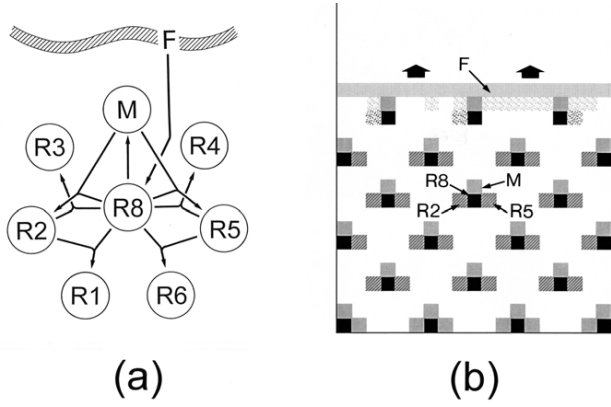


Figure 12: Simulation of the eye development. (a) Scheme of the hierarchical interactions used in the model. The furrow F induces regularly spaced $R8$ neurons. These are needed to develop M cells. Later, $R8$ and M cells cooperate to trigger the differentiation of $R2$ and $R5$ neurons. At last, $R8$ and $R2/5$ neurons induce the formation of $R3/4$ and $R1/6$ receptors. Except of the $R8$ spacing mechanism that involves long ranging inhibition, all interactions are assumed to be mediated by cell-cell contacts. (b) The structure resulting from the model. The morphogenetic furrow F moves across the eye disk (the arrows show the direction of spreading). It initiates the differentiation of neural cells: a regular array of $R8$ photoreceptors develops behind it. Mystery cells M differentiate immediately anteriorly to $R8$ receptors. Later $R2$ and $R5$ are formed. The differentiation of $R3/4$ and $R1/6$ follows (these cells have not been plotted for reasons of clarity).

however, the form (11) is sufficient since we only need it as a signal for beginning the neuronal differentiation.

5.3.2. The $R8$ photoreceptors

The morphogenetic wave triggers the differentiation of neuronal cells $R8$. Experimental data point out that lateral inhibition is essential for proper $R8$ spacing (Baker *et al.*, 1990 ; Harris, 1991). So $R8$ cells are best modeled by an activator-inhibitor couple (a_{R8}, h_{R8}) :

$$\frac{\partial a_{R8}}{\partial t} = D_{a_{R8}} \Delta a_{R8} + \rho_{a_{R8}} \left(\frac{a_{R8}^2}{h_{R8}} - a_{R8} \right) + \sigma_{a_{R8}} f \quad (12a)$$

$$\frac{\partial h_{R8}}{\partial t} = D_{h_{R8}} \Delta h_{R8} + \rho_{h_{R8}} (a_{R8}^2 - h_{R8}) . \quad (12b)$$

Cells begin to differentiate after they have been exposed to the morphogenetic wave. In the model, activation depends on the basic production term $\sigma_{a_{R8}} f$ in Eq. (12a). Due to the lateral inhibition *via* h_{R8} only some cells become fully activated and differentiate into $R8$ photoreceptors (Fig. 12b). These cells have a precise spacing with respect to the previously activated $R8$ cells.

The diffusion range of the inhibitor h_{R8} is supposed to be of the order of several cell diameters. In the model this

is the only substance with such a long diffusion range. All subsequently determined cells (M , $R2$, $R5$, *etc.*) differentiate under the influence of local interactions relayed by direct contact with the $R8$ cells (Banerjee and Zipursky, 1990).

5.3.3. Mystery cells M

The mystery cells M got their names because biologists are, until now, unable to assign to them a role during the eye formation; some hours after their differentiation, mystery cells die. The model suggests that M cells are used in conjunction with $R8$ neurons to induce a local polarity: like an arrow, the couple $R8-M$ points to the furrow. This local remembrance is thought to be crucial for the correct positioning of subsequent photoreceptors, especially of $R2/5$. According to the model, the M cell acts, in conjunction with $R8$, as an initial organizer for ommatidial development by determining the primary orientation of the cell cluster and by restricting the number of cells which can choose the $R2/5$ fate. Perturbations of the furrow motion, as in White's (1961) experiment, should lead to observable alterations of the initial cluster orientation. This could be a test for the model.

The following interaction allows the activation of the M cell adjacent to the $R8$ cell.

$$\frac{\partial a_M}{\partial t} = D_{a_M} \Delta a_M + \rho_{a_M} c_M(a_{R8}) \frac{a_M^2}{h_M} - \mu_{a_M} a_M + \sigma_{a_M} f \quad (13a)$$

$$\frac{\partial h_M}{\partial t} = D_{h_M} \Delta h_M + \rho_{h_M} (a_M^2 - h_M) + \sigma_{h_M} . \quad (13b)$$

The function $c_M(a_{R8})$ simulates the transmission of a signal by cell-cell contact between the putative M cell and the $R8$ neuron: this signal could, for instance, be relayed by proteins laying on the cellular membrane of $R8$ neurons. In the simulation, we chose

$$c_M(a_{R8}) = \frac{a_{R8}}{1 + \kappa_M a_{R8}^2} .$$

Due to this function, a_{R8} is required for the initiation of the mystery cell; but in reason of a disfavoring effect at very high a_{R8} concentrations, it is not the $R8$ cell itself but a neighboring cell in which a_M activation takes place. The term $\sigma_{a_M} f$ which couples the production of a_M with the furrow selects which of the $R8$ neighbors is chosen to become a mystery cell. The trail of the f -wave makes sure that M cells differentiate anteriorly to $R8$ neurons, so that the couple $R8-M$ is like an arrow pointing to the furrow.

In the simulations, the precise positioning of M anteriorly to $R8$ is delicate; fluctuations disrupt easily this order. It could be that a similar sensitivity exists in nature. Experimentally it has been observed that cell movements play an important role in local rearrangement during the eye genesis (Tomlinson, 1988). In this way, small errors

in the precise positioning of the M cells could be corrected.

5.3.4. Recruitment of $R2/5$, $R3/4$ and $R1/6$ neurons

It is generally accepted that the subsequent differentiation of the $R2/5$ and later of the $R3/4$ and $R1/6$ photoreceptors is a consequence of cell-cell contacts. In the model, interactions with the $R8$ and M cells directs an undifferentiated cell to choose the $R2/5$ fate. Conversely, newly formed $R2/5$ neurons inhibit their neighbors to follow the same pathway. Later, other cells differentiate into $R3/4$ and $R1/6$ receptors, due to contact with $R8$ and $R2/5$ neurons. Again, $R3/4$ and $R1/6$ receptors prevent other cells in their vicinity from choosing the same fate.

These considerations suggest that equations governing the $R2/5$, $R3/4$ and $R1/6$ neuronal pathway are of the very same nature as those for $R8$ and M cells. For instance, the $R2/5$ receptors are described by

$$\frac{\partial a_{R2}}{\partial t} = D_{a_{R2}} \Delta a_{R2} + \rho_{a_{R2}} c_{R2}(a_{R8}, a_M) \frac{a_{R2}^2}{h_{R2}} - \mu_{a_{R2}} a_{R2} + \sigma_{a_{R2}} \quad (14a)$$

$$\frac{\partial h_{R2}}{\partial t} = D_{h_{R2}} \Delta h_{R2} + \rho_{h_{R2}} (a_{R2}^2 - h_{R2}) + \sigma_{h_{R2}}. \quad (14b)$$

Cells with a high a_{R2} concentration become $R2/5$ neurons (Fig. 12c). The function c_{R2} relays a signal from $R8$ and M cells to the presumptive $R2/5$ photoreceptors :

$$c_{R2}(a_{R8}, a_M) = \frac{a_{R8}}{1 + \kappa_{R2} a_{R8}^2} \cdot \frac{a_M}{1 + \nu_{R2} a_M^2}.$$

Note that c_{R2} depends on the product of two signals. Both interactions with $R8$ and with M are simultaneously required to induce the differentiation of $R2/5$ receptors.

Further differentiation of $R1/6$ and $R3/4$ photoreceptors uses the same scheme except that $c_{R2}(a_{R8}, a_M)$ is replaced by a function $c_{R3}(a_{R8}, a_{R2})$ which mimics surface contact with $R8$ and $R2/5$ neurons.

5.3.5. Abnormal eye patterns

Many mutations are known which alter the structure of the compound eye. Four of them, *rap* (Karpilov *et al.*, 1989), *Ellipse* (Baker and Rubin, 1989), *Notch* (Harris, 1991 ; Markopoulou and Artavanis-Tsakonas, 1991) and *scabrous* (Baker *et al.*, 1989) affect the positioning and differentiation of $R8$ cells. Based on the phenotypes of *Ellipse* and *scabrous* flies, we suggest that *Ellipse* is linked to the $R8$ -activator a_{R8} , while the diffusible molecule encoded by *scabrous* may be the corresponding inhibitor h_{R8} .

In *scabrous* mutants, the $R8$ -inhibition is reduced. This is modeled by *increasing* the value of $\rho_{h_{R8}}$ in Eq. (12b). For a given concentration of a_{R8} , more h_{R8} is produced and this, in turn, decreases the amount of both a_{R8} and h_{R8} ; as a consequence, $R8$ cells are less

spaced, irregularly distributed and sometimes two $R8$ neurons are fused, as observed in *scabrous* mutants.

Notch mutants exhibit the same kind of reduced $R8$ spacing. *Notch* encodes for a transmembrane protein that is believed to be a receptor for several extracellular signals. Among them is the signal relayed by the *scabrous* protein. In this sense, the parameter $\rho_{a_{R8}}$ has to be a function of *Notch*. The model does not explicitly take this *Notch*-dependance into account. But a mutation making the *Notch* protein less effective for the reception of the *scabrous* inhibition signal could decrease the value of $\rho_{a_{R8}}$ in Eq. (12a). Less activator is then produced ; this decreases also the h_{R8} concentration and $R8$ are formed too close to each other, as observed in *Notch* mutant.

The opposite result is achieved by increasing $\rho_{a_{R8}}$: this enhances the production of a_{R8} , leading this time to an abnormally wide $R8$ spacing. It is interesting to note that the *Ellipse* mutation is believed to overactivate the gene responsible for $R8$ differentiation, in accordance with the considerations above.

It should be noted that the regulatory behaviors mentioned above are nontrivial consequence of the model : if *more* inhibitor molecules are produced per activator molecules, one achieves a *decrease* of the inhibitor concentration. This results from the nonlinear cross-reaction between these two chemicals. This kind of regulatory behavior is not unique to eye development. Mutants have been found in hydra, where a decrease of the head inhibitor production rate induces surprisingly an increase of the head-bud spacing (Takano and Sugiyama, 1983).

Another mutation that disrupts the eye assembly is *rough* (Heberlein *et al.*, 1991). In *rough* mutants, development of ommatidia occurs normally up to $R2/5$. But $R3/4$ neurons fail their differentiation. It has been suggested (Tomlinson *et al.*, 1988 ; Basler *et al.*, 1990) that *rough* controls in $R2/5$ photoreceptors the signal that induces the $R3/4$ cell fate. In the model we would identify the activity of *rough* with the signal $c_{R3}(a_{R8}, a_{R2})$. Experimentally it has been observed that *rough* expression is high, first, in the morphogenetic furrow and, later, in $R2/5$ and $R3/4$ cells (Kimmel *et al.*, 1990). This corresponds to the expectation of the model.

The model is already quite complex but is certainly an oversimplification. For instance, the exchange of information between the cells is much more sophisticated than that just a substance leaking through some holes into neighboring cells. A plausible mechanism would rather involve signalling molecules that are inserted into the membrane of one cell type and receptor molecules exposed on other cells. "Relay molecules" will then transmit the signal from the cell surface to the nucleus. There, transcriptional regulation takes place that is ultimately responsible for the choice of the pathway. Nevertheless, this signal transduction is presumably a more or less linear chain of events, so that the approximation by a single substance exchanged by diffusion is reasonable.

Although the model seems complex, its building fol-

lows a straightforward way, consisting of the successive addition of elements whose properties are well understood. These “building blocks” include wave formation, production of regular structures by simulated growth and fate induction in a neighboring cell. Though each single element has well defined characteristics, one learns from these models where the critical steps are. For instance, it turned out that the generation of polarity in the periodic array of receptors is a delicate step which is facilitated by the addition of a mystery cell.

5.4. Positioning mechanisms during plant growth

As a last example of a complex structure, we describe a model that allows the precise positioning of organs during the development of plants.

Plant growth mainly occurs by cell division in specialized tissues called *meristems*. The shoot apex meristem is a cone of undifferentiated cells located at the tip of stems; its cells undergo frequent mitosis. Somewhat behind the tip, the primordia are formed (Fig. 13). These will develop into leaves or flower organs. The determination of the positions at which primordia appear is believed to involve some inhibition mechanism (Schoute, 1913; Thornley, 1975; Marzec and Kappraff, 1983; Koch *et al.*, 1994). Several models have been proposed to explain the precise positioning of primordia. They are based either on the exchange of diffusible molecules (Meinhardt, 1982; Yotsumoto, 1993; Bernasconi, 1994) or on stress and pressure in the tissue (Adler, 1974, 1977a, 1977b; Green and Poethig, 1982). A pattern very similar to phyllotaxis can be generated by physical ingredients only. Under suitable conditions, swimming and each other repelling droplets of a magnetic fluid also produce helical arrangement (Douady and Couder, 1992).

Although a single activator-inhibitor system is able to account for the basic modes of leaf arrangement (distichous, decussate, helical) (Mitchison, 1977; Richter and Schraner, 1978; Meinhardt, 1982), it is easy to see that more complicated systems are involved. We shall discuss the necessary extensions in several steps.

Leaf initiation can take place only in a small zone at some distance from the tip of a growing shoot. Further, a signal must be available which specifies where apical meristem is located. This suggests that at least *two* pattern forming systems are involved. The first one determines the position of the meristem. The second system generates leaves. The latter is controlled by the first one: on one hand, the meristem system represses leave initiation at the tip but, on the other hand, it generates the precondition for this process in its vicinity (Fig. 13). This is analogous to the *Drosophila* eye development where a mystery cell *M* can only emerge in the neighborhood of a photoreceptor *R8*. Therefore, leaf initiation is restricted to a narrow zone at the border of the apical meristem. A similar process takes place in the freshwater polyp *Hydra*: initiation of tentacles takes place only in a whorl

around the mouth opening (Meinhardt, 1993).

However, even this more complex model is insufficient. After leaf initiation, axillary meristems are formed adjacent to the leaf primordia. They are always located on the side pointing towards the tip of the shoot. These meristematic regions don't lead immediately to cell proliferation but they can give rise to a new shoot after the original shoot is removed. Moreover, leaves obtain very soon a polarity on their own in that their upper and lower surface become different from each other [this process is probably induced by the neighborhood of the leaf (Sussex, 1955)]. The situation is therefore similar to the one described above for eye development since several different structures are generated in a precise periodic arrangement and with a predictable orientation.

A key for the understanding of this complex pattern is its modular character (Lyndon, 1990). The elementary unit (the *module*) produced by a growing shoot consists of a node and internode segment associated with a leaf primordium and an axillary bud (Fig. 13). Leaves are always located at the top of a module, in the nodal region; axillary buds differentiate close to leaves and immediately above them. Stem elongation takes place in the internodal region (Zobel, 1989a, 1989b).

In the following, we shall propose a model based on this modular structure that accounts for the precise axial and azimuthal positioning of leaf primordia and axillary buds on the stem. The model also includes a control of meristematic activity after tip removal. Since most of the primary morphogenetic events affect only one or two surface cell layer(s), we shall idealize the plant as a hollow cylinder.

5.4.1. The apical shoot meristem

The apical meristem located at the tip of stems represses the activity of the buds in its vicinity. The apical dominance decreases as the distance between the apex and a given bud increases with growth. This regulation is known to be mediated by phytohormones like auxins (Snow, 1940; Kühn, 1965).

We take into account two properties of the meristem. The first one, modeled by a switch system a_M , tells whether a cell belongs to the apical meristem type ($a_M = 1$) or not ($a_M = 0$). A second switching system a_A controls whether the meristem is active, *i.e.*, whether cells are undergoing frequent mitosis ($a_A = 1$) or stay in a latent state ($a_A = 0$). A further substance h_A mediates the repression of axillary bud activity. It is produced in active shoot meristems and could correspond to the phytohormone mentioned above. It must be of very long range in order to suppress the meristematic activity in distant axillary buds. This rapid spread must not result from diffusion. Indeed, auxin is actively transported from the shoot towards the root (Snow, 1940; Kühn, 1965). The (auxin) concentration h_A has to sink below a given level before an axillary meristem can become active causing

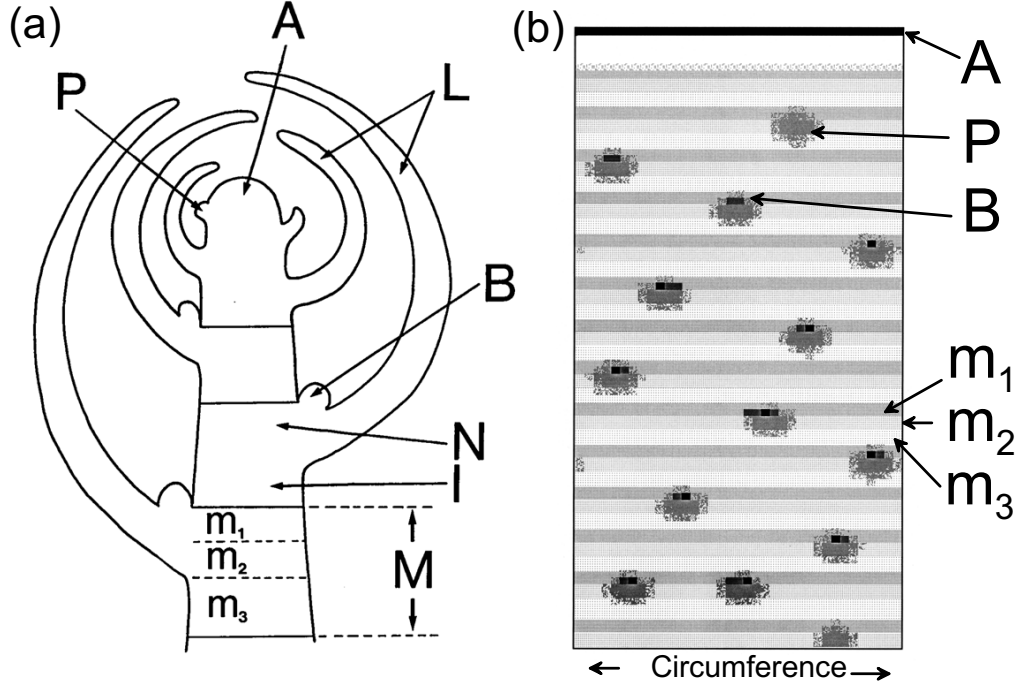


Figure 13: The modular construction of a plant and its simulation. (a) Cross-section through the growing tip of a shoot. The apical shoot meristem A is a tissue in which rapid cell division occurs. At its periphery the primordia P which will grow into leaves L appear. Axillary buds B differentiate somewhat later, in proximity of a leaf. The shoot can be regarded as a periodic repetition of an “elementary module” M formed by a node N and internode I region; every nodal-internodal segment bears a leaf L and an axillary bud B . Each module M acquires an intrinsic polarity thanks to the iteration of at least three subunits, m_1 , m_2 and m_3 . (b) Simulation of plant growth. The stem of the plant is idealized as a cylinder which is represented here unwrapped. The apical meristem A contributes to the stem elongation by addition on new cells. These differentiate so as to produce the repetitive sequence $\dots m_1 m_2 m_3 m_1 m_2 m_3 \dots$ rendered here by three grey levels in the background. The $m_1 - m_2$ border acts as a positional signal for the differentiation of primordia P , identified with regions of high a_p concentration. The overlap of the primordium on the three compartments (m_1 , m_2 and m_3) can be used to trigger the development of an axillary bud B ($a_M = 1$) on the m_1 segment and of a leaf having its upper and lower face on the m_2 and m_3 segments respectively. Note that the primordia are placed along spirals with a $2/3$ phyllotaxis (the azimuthal distance between two successive primordia is approximately equal to $2/3^{\text{th}}$ of the stem perimeter). Once an axillary bud is sufficiently distant from the apical meristem, it becomes active ($a_A = 1$, rendered by black squares).

cell proliferation and a lateral shoot. This can occur either after substantial growth or after removal of an existing dominant tip.

The apical shoot meristem is assumed to establish a positional information system in its vicinity (Holder, 1979) to account for the observation that leaf primordia always appear at a fixed distance of the shoot apex. Such a positional information is established if the active cells of the meristem produce a diffusible substance b_A . Its local concentration provides a measure for the distance from the meristem.

The previous considerations suggest the following system to describe the shoot apex meristem.

a) Meristematic identity a_M :

$$\frac{\partial a_M}{\partial t} = \rho_M \left[\frac{a_M^2}{1 + \kappa_M a_M^2} - a_M \right] + \sigma_M m_1 a_p. \quad (15)$$

In the young plant, apical meristem is only found in the shoot apex, so that initially $a_M = 0$ everywhere except at the top of the stem, where $a_M = 1$. During apical growth, meristem is laid down in axillary buds. The term proportional to σ_M will be explained later ; it corresponds to an external signal inducing the formation of an axillary bud.

b) Activity a_A of the meristem:

$$\frac{\partial a_A}{\partial t} = \rho_A \left[\frac{a_A^2}{1 + \kappa_A a_A^2} - a_A \right] + \sigma_A \frac{a_M}{1 + \nu_A h_A}. \quad (16)$$

The meristem activity is initiated by the signal proportional to σ_A . Due to the repression by h_A (see here after), a bud has to reach a given distance to the apex before it can become active.

c) Long ranging inhibitor h_A of meristematic activity:

$$\frac{\partial h_A}{\partial t} = D_{h_A} \Delta h_A + \rho_{h_A} (a_A - h_A) \quad (17)$$

It is used to repress the bud activity until a given distance is achieved between the bud and the shoot apex [see the term proportional to σ_A in Eq. (16)].

d) Positional information system b_A :

$$\frac{\partial b_A}{\partial t} = D_{b_A} \Delta b_A + \rho_{b_A} a_A - \mu_{b_A} b_A. \quad (18)$$

Due to b_A , new cells begin their differentiation only at a given distance of the shoot apex, on the meristem periphery [see Eq. 19) and Eq. (20)].

5.4.2. Building of the nodal-internodal module

Cells newly produced by mitosis in the apex “recede” from the tip of the stem. As soon as they are far enough from the meristem, they undergo differentiation. In the model, they get the information on their distance to the apical meristem from the local concentration of the substance b_A . To account for the nodal character of leaf initiation we propose that there is a serial repetition of at least three cell states, say m_1 , m_2 and m_3 ; the stem of a plant corresponds then to a succession of cell states like $\dots m_1 m_2 m_3 / m_1 m_2 m_3 / m_1 \dots$. The borders between m_1 and m_2 , m_2 and m_3 , m_3 and m_1 , will be used in the further elaboration of the model to initiate either polar leaves or axillary bud meristems. The ordered succession of the three states m_1 , m_2 and m_3 defines a *module*; the juxtaposition of m_1 and m_3 corresponds to the boundary of such a module.

The following set of equations produces, under suitable growth conditions, such a repetitive sequence (Fig. 13b).

$$\frac{\partial m_i}{\partial t} = \rho_{m_i} \left[\frac{m_i^2}{h_i (m_{i-1}^2 + \kappa_{m_i} m_i^2 + m_{i+1}^2)} - m_i \right] + \sigma_{m_i} \quad (19a)$$

$$\frac{\partial h_i}{\partial t} = D_{h_i} \Delta h_i + \rho_{h_i} (m_i^2 - m_{i+1} h_i) + \sigma_{h_i} b_A \quad (19b)$$

where $i = 1, 2, 3$ and with the cyclic identifications $m_0 \equiv m_3$, $m_4 \equiv m_1$. Equations for (m_i, h_i) are of the activator-inhibitor type. By construction, m_i and $m_{i\pm 1}$ are locally exclusive states due to the terms $m_{i\pm 1}^2$ in the denominator of (19a); m_{i+1} favors the appearance of m_i in its vicinity since it increases the removal rate of inhibitor h_i .

The set of equations given above is, of course, only an example of a system producing a repetitive sequence. Important is that cell states locally exclude each other but activate each other on long range (Meinhardt and Gierer, 1980). Such mechanisms have the tendency to form narrow stripes since in this arrangement cells of a particular type are close to cells of the other types that are required for their stabilization.

It is quite amazing to observe the similarity between the above proposed model for modular growth of plants and a model for the segmentation of insects (Meinhardt, 1986, 1991). In both cases, the iteration of at least three cell states generates the periodic polar structure and the borders between the elements are later used for accurate positioning of organs (leaves and axillary buds in plants, imaginal disks and segment borders in insects). The model for insect segmentation has found meanwhile much support from observation on the molecular level. The system (19) also shares resemblance with the *hypercycle* concept proposed for prebiotic evolution (Eigen, 1971; Eigen and Schuster, 1979): the “species” m_i are autocatalytic and compete with each other. But no

species can outcompete the others since it depends on them because of the help of m_{i+1} that enhances the production rate of m_i .

5.4.3. Leaf primordia and axillary buds

Once a repetitive pattern $\dots m_1 m_2 m_3 m_1 \dots$ has been laid down it provides a convenient framework to initiate leaves and axillary buds along the axis. Two possibilities exist :

- either a given structure can only appear in cells with a particular determination, for instance in m_1 , or
- the boundary between two elements, say m_1 and m_2 , is required to initiate the development of that structure.

These two ways lead to quite different predictions : suppose that m_2 is lost due to a mutation ; in the first case, this will not affect the formation of a leaf while, in the second situation, no leaves appears, due to the loss of the $m_1 - m_2$ border. The second solution insures in principle, a finer positioning since a border is always sharp. But most important, the border has a polarity. If, for instance, the signal for primordia formation can be generated only on a $m_1 - m_2$ border, one can use the overlap of the primordium on m_1 to produce the axillary bud, while the overlap on m_2 triggers the formation of a leaf. The relative position of a bud and a leaf, the one in front of the other, is necessarily correct. Furthermore, if the signal inducing the primordium is sufficiently broad, it can also extend in the m_3 region. Let us suppose that m_2 cells can only produce the upper side and the m_3 cells only the lower side of a leaf : the polarity of the leaf is then fixed. If further proliferation is restricted to those cells that are close to the $m_2 - m_3$ border, it is clear that the leaf will become flat although the signal inducing the primordium formation has a conical shape.

To account for the features of lateral inhibition in leaf initiation we use an activator-inhibitor system (a_p, h_p) coupled to the modular pattern in such a way that the activator peaks are initiated on $m_1 - m_2$ borders :

$$\frac{\partial a_p}{\partial t} = D_{a_p} \Delta a_p + \rho_{a_p} h_1 m_2 \frac{a_p^2}{h_p} - \mu_{a_p} a_p + \sigma_{a_p} \quad (20a)$$

$$\frac{\partial h_p}{\partial t} = D_{h_p} \Delta h_p + \rho_{h_p} a_p^2 - (\rho_{h_p} + \mu_{h_p} m_3) h_p + \sigma_{h_p} b_A . \quad (20b)$$

Due to the term $\rho_{a_p} h_1 m_2$ in (20a), leaves appear near to the $m_1 - m_2$ borders (Fig. 13b). Moreover, the removal rate of h_p is increased in m_3 . This accounts for the observation that the inhibition of primordia is much more effective along the shoot apex margin than axially along the stem axis (the inhibitory effect is of the order of magnitude of the apex diameter d but the axial separation of primordia is much lower than d).

At last, each leaf induces the formation of an axillary bud in its immediate upper neighborhood, in the m_3 region (Fig. 13b). Apical meristem is laid down in buds under the influence of the source term $\sigma_M m_1 h_p$ appearing in Eq. (15) : this term is only high in m_1 subsegments, in the close vicinity of a leaf primordium.

The newly created apical meristem remains quiescent (i.e., $a_A \approx 0$) as long as the concentration of the meristematic activity inhibitor h_A remains high. Its activity starts only when the inhibition sinks below a threshold [see the term proportional to σ_A in (16)]. This can occur after cutting the shoot apex (Bonner and Galston, 1952) or after substantial growth that enlarges the distance between the active apical meristem and the quiescent bud.

The model is so far hypothetical. No gene system is yet known that could be responsible for the nodal-internodal structure. One reason could be that a corresponding mutation would have a too severe impact on the plant embryo since, for instance, no leaves would be formed. Since the (at least) three elements depend on each other, the loss of one element can eliminate the others. Based on genetic observations Coen and Meyerowitz (1991) have proposed a somewhat related mechanisms for the determination of the character of floral structures (sepals, petals, carpals and stamen), but not for their positioning.

The model provides a feasible mechanism for essential elements of plant morphogenesis. It gives clues how polarity is established in the substructures. It predicts that the modular nodal-internodal structure is laid down *before* the initiation of leaf primordia and axillary buds. The rapidly growing data on the molecular-genetic level in plant morphogenesis will certainly provide a crucial test in the near future.

6. CONCLUSION

We intended to show that simple reaction-diffusion equations describing the interactions of few chemicals provide an efficient way to understand numerous aspects of pattern formation in biology. Graded concentration profiles, periodic and stripe-like patterns can be generated out of an initially more or less homogeneous state. The regulatory properties of these mechanisms agree with many biological observations, for instance, the regeneration of a pattern with or without maintenance of polarity, insertion of new structures during growth in the largest interstices or the generation of strictly periodic structures during marginal growth. By a hierarchical coupling of several such systems, highly complex pattern can be generated. One pattern directs a subsequent pattern and so on. Complex structures are well known from physics, for instance in turbulence. But in contrast, the complex patterns discussed here are highly reproducible (as well in their time development as in their spatial organization), a feature of obvious importance in biology.

Very distinct biological systems can be simulated by

the assumption of basically similar mechanisms. For instance, the regular initiation of new leaves with their intrinsic polarity during plant growth and the genesis of the complex arrays of receptor cells in the developing eye of *Drosophila* are achieved by marginal growth (either real or “simulated” growth). A polarizing influence from the structure that organizes the growth, *i.e.*, the tip of the shoot or the morphogenetic furrow, ensures the correct arrangement of the many periodically arranged substructures.

The models suggest another example of such convergence. Both the periodic pattern of insect segments and the nodal arrangement of leaves in plants are presumably achieved by the serial repetition of at least three cell states. The corresponding model for insects has been meanwhile experimentally verified. All this indicates that very distantly related organisms have developed very similar mechanisms for pattern formation.

Experiments indicate that biological systems are, as the rule, much more complex than expected from the theoretical models. This has many reasons. On one hand, to bring a molecule from one cell to the next and transmit the signal to the cell’s nucleus is often realized in biology by a complex chain of biochemical events, but described in the model by the mere diffusion of a substance. On the other hand, the autocatalysis of a substance may involve several steps; for instance, a small diffusible molecule may be able to activate a particular gene, that, in turn, controls the synthesis of the small molecule. The gene *goosecoid* and the small molecule *Activin* (Izpisua Belmonte *et al.*, 1993), both involved in the generation of the primary organizing region of Amphibians, may function in this way.

Particular developmental steps have been treated as if they were isolated from the rest of the organism. In reality, they have to be integrated with many other events. The whole process has to take place at a given position within the complex organism and in a particular time window. Further, a particular developmental stage must be reached before specific subsequent steps can start. For instance, the pattern on the growing shoot must be compatible with its later transformation into a very different structure: a flower. During evolution, only modifications of existing mechanisms and the addition of new ones are allowed; a new rational construction from the beginning is impossible.

Of course, there is a strong selective pressure to make biological organisms reliable, not to make them simple. Complexity is not a problem for biological systems. For instance, a particular step can be made safe by a second parallel and independent process as is often the case in technical processes too (Goodwin *et al.*, 1993). That sometimes severe mutations, for instance in *Drosophila* development, produce only a mild phenotype supports a view. If the corresponding models are then more complex too, one should not blame the theoreticians!

So far, no biological system able to generate primary pattern formation has been completely characterized at the molecular level. However, molecular biology is making tremendous progresses and we hope that the next few years will bring more evidence for the models and explain how the postulated mechanisms are actually implemented in the real systems. Nevertheless, we hope that the reader is convinced that the theoretical treatment of biological pattern formation is feasible and provides essential insights into the beautiful processes of life.

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7. Stability analysis

The stability analysis is not included in the reformatting. Please refer to the original paper:

http://rmp.aps.org/abstract/RMP/v66/i4/p1481_1

8. Parameter sets

Numerical results described in this paper have been obtained by implementing the models on a desktop computer. Numerical integration of the partial differential equations has been performed by use of standard discretization methods. The concentration of the various chemical species $a, h \dots$ is evaluated on a two dimensional square grid with mesh δx ; any grid point is then defined by a two indexes i and j : $x_{ij} = (i\delta x, j\delta x)$. In two dimensions, the Laplace operator Δ applied to any function $a(x, t)$ is taken as:

$$\Delta a(x_{ij}, t) = (a(x_{i+1j}, t) + a(x_{ij+1}, t) + a(x_{i-1j}, t) + a(x_{ij-1}, t) - 4a(x_{ij}, t)) / \delta x^2$$

Time is also discretized, $t_k = k\delta t$, and the time derivative is approximated by

$$\frac{\partial}{\partial t} a(x, t_k) = \frac{a(x, t_{k+1}) - a(x, t_k)}{\delta t}.$$

In all simulations, we have chosen $\delta x = \delta t = 1$. As a consequence, the border lengths of the integration domain are directly equal to the number of cells along them, and the time t is equal to the number of iteration steps. In the simulations, spatial concentration fluctuations are assumed; their order of magnitude is between 3 and 10 per cent of the concentration value.

Hereafter are listed the parameter sets used to produce the various pictures presented in the text.

Figure 1

We used equations (1). Periodic boundary conditions are assumed. Initial conditions are given by the homogeneous steady state of the system. Fig. 1a and 1b are calculated with the same constants but respectively in fields of dimension 30×30 and 50×50 . The parameter values used for these two pictures are listed below.

α	D_α	ρ_α	μ_α	σ_α	κ_α
a	0.005	0.01	0.01	0.0	0.0
h	0.2	0.02	0.02	—	—

Fig. 1c is calculated in a 50×50 field with the same parameters except for $\kappa_a = 0.25$.

Figure 2

Equations used are (3). The boundary conditions are periodic and the field size is 30×30 for picture (a) and 50×50 for (b) and (c). All computations start from the homogeneous steady state. The parameters values for the cases (a) and (b) are given here after.

α	D_α	ρ_α	μ_α	σ_α	κ_α
a	0.005	0.01	0.01	0.0	0.0
s	0.2	0.02	—	0.02	—

Picture (c) is calculated with the same parameters except for $\kappa_a = 0.25$. Note the correspondence with the parameters of figure 1.

Figure 3

The picture is computed with (5) in a one dimensional field formed by 30 cells. Initially, $y = 0$ everywhere; the external source σ^{ext} decreases linearly from 0.35 on the left side, to 0.175 on the right side.

α	ρ_α	μ_α	κ_α
y	0.05	0.05	0.2

Figure 4

The picture is computed with Eq. (1). The boundary conditions are tight and the field grows from 21×21 to 31×31 cells. One cell line and one cell column are added at random positions after every 2000th iteration. The system is initially in its homogeneous steady state.

α	D_α	ρ_α	μ_α	σ_α	κ_α
a	0.0025	0.01	0.01	0.005	0.0
h	0.2	0.02	0.02	0.02	—

Figure 5

The picture is based on Eq. (1). The boundary conditions are tight; the field grows from 8×8 to 52×52 cells. One line and one column of cells are added at the top border and at the right side after every 2000th iteration. The system starts initially out of its homogeneous steady state.

α	D_α	ρ_α	μ_α	σ_α	κ_α
a	0.006	0.01	0.01	0.001	0.0
h	0.2	0.02	0.02	0.0	—

Figure 6

The sea-urchin simulation uses Eq. (1). Boundaries are tight; the one dimensional field grows from 5 to 50 cells, one cell being added at a random position after every 2000th iteration. The system is initially homogeneous. When the system reaches a size of 50 cells, it is cut in two parts having tight boundaries. After the cut, no further growth is assumed.

α	D_α	ρ_α	μ_α	σ_α	κ_α
a	0.005	0.0005	0.0005	0.00005	0.0
h	0.2	0.00075	0.00075	0.00025	—

Figure 7

Equation used are (7). The domain is one dimensional, initially composed of 20 cells. Zero flux boundary conditions are assumed and the system starts from its homogeneous steady state. The field grows by addition of one cell at a random position after every 5000th iteration. When a size of 100 cells is reached, the domain is cut in two equal parts with zero-flux boundaries and the system is iterated without further growth until equilibrium is reached.

α	D_α	ρ_α	σ_α
a	0.002	$2 \cdot 10^{-4}$	$1 \cdot 10^{-5}$
h	0.2	$2 \cdot 10^{-4}$	—
b	—	$4 \cdot 10^{-5}$	—

Figure 9

The three pictures are based on Eq. (8). The field has a size of 120×65 cells in (a), and 80×80 cells in (b) and (c). For the three plots, the boundary conditions are periodic. The initial state is given by $a = 0$, $s = 3$ and $y = 0$ everywhere except on some randomly scattered point P_i where $a = 5$.

Here are the parameters used for the giraffe coat (a).

α	D_α	ρ_α	μ_α	σ_α	κ_α
a	0.015	0.025	—	—	0.1
s	0.03	0.0025	0.00075	0.00225	20.0
y	—	0.03	0.003	0.00015	22.0

To produce the leopard coat (b) we have replaced the initial conditions on s by $s = 2.5$, and on a by $a = 2$ at the positions P_i . The parameters involved are listed here.

α	D_α	ρ_α	μ_α	σ_α	κ_α
a	0.01	0.05	—	—	0.5
s	0.1	0.0035	0.003	0.0075	0.3
y	—	0.03	0.003	0.00007	22.0

At last, the next data set produces the spots on the cheetah (d).

α	D_α	ρ_α	μ_α	σ_α	κ_α
a	0.015	0.025	—	—	0.5
s	0.1	0.0025	0.00075	0.00225	1.0
y	—	0.03	0.003	0.00015	22.0

Figure 10

Equation (9)–(10) are integrated in a field of initial dimension 50×80 ; one line and one column of cells are added at random positions after every 500th iteration, up to a dimension of 70×100 cells. The boundary conditions are periodic. One begins with the system in its homogeneous steady state.

α	D_α	ρ_α	σ_α	κ_α
a	0.01	0.0025	0.00025	0.1
s	0.2	0.003	0.003	—
b	0.0075	0.01875	0.00187	0.2
h	0.15	0.0375	—	—

Figure 12

The simulation is based on the set of equation (11)–(14). In this picture, the field size is of 19×24 cells. Boundaries are tight. Initially, the furrow substrate s is uniformly distributed ($s = 1$) and the furrow activator f is everywhere zero, except on four regularly spaced cells at the bottom of the field, where $f = 2$ (this initial regularity is not necessary; if $f = 2$ on the whole bottom line of the field, a regular structure emerges too, but the $R8$ cells need three or four rows to find their optimal spacing). The other activators and inhibitors have all a very low initial concentration, say 0.01.

α	D_α	ρ_α	μ_α	σ_α	κ_α	ν_α
f	0.0025	0.1	0.011	—	0.2	—
s	—	0.04	—	—	—	—
a_{R8}	0.0025	0.04	—	0.02	—	—
h_{R8}	0.2	0.04	—	—	—	—
a_M	0.001	0.0125	0.01	0.0004	0.4	—
h_M	0.02	0.02	—	0.0002	—	—
a_{R2}	0.002	0.75	0.01	0.0001	4.0	500
h_{R2}	0.01	0.02	—	0.0001	—	—

Figure 13

Figure 13 The simulation is based on the set of equation (15)–(20). Zero flux boundary conditions are imposed at the top and bottom border and periodic ones at the left and right sides of the field. The initial field size is 36×3 . In the simulations, a_M and a_A have been rescaled so that the upper stable steady state is found at $a_M = a_A = 3$ instead of $a_M = a_A = 1$ as described in the text. Initially, meristem ($a_M = 3$) is only found on the top line of the domain; elsewhere, $a_M = 0$. The other activator and inhibitor have respectively 0.01 and 1.0 as initial concentrations. The domains grows by addition of one cell line after every 1500th iteration (the line is inserted two lines below the apical meristem) up to a size of 36×87 cells.

The parameters used for the simulation are listed in the following table.

α	D_α	ρ_α	μ_α	σ_α	κ_α	ν_α
a_M	—	0.01	—	0.00015	0.2222	—
a_A	—	0.01	—	0.002	0.2222	75
h_A	0.2	0.005	—	—	—	—
b_A	0.2	0.7	0.1	—	—	—
m_i	—	0.002	—	0.0004	0.1	—
h_i	0.05	0.01	—	0.011	—	—
a_p	0.004	0.005	0.01	0.001	—	—
h_p	0.2	0.01	0.02	0.1	—	—

For m_3 , the value of σ_{m_3} should be replaced by 0.0002.

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