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# **Synthesising Safety Runtime Enforcement Monitors for $\mu$ HML**

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*“Memento, quamdiu hæc distuleris, et quoties a diis  
opportunitates nactus iis non usus sis. Oportet tandem  
aliquando sentias, cuius mundi pars sis et abs quo mundi  
rectore delibatus substiteris; tum vero, circumscriptum  
tibi esse terminum temporis, quo nisi ad serenitatem  
usus fueris, id abibit et tu abibis; neque unquam tibi  
redibit.”*

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### **Abstract**

In this project, we consider a subset sHML of formulæ in the Hennessy-Milner Logic with recursion ( $\mu$ HML) which are enforceable through suppressions. A synthesis function is introduced, which converts safety properties in sHML to suppression enforcers through a formula normalisation process. This synthesis function assumes that different branches in the input formula are disjoint, and that every variable is guarded by modal necessity—such formulæ are said to be in normal form. It turns out that this restriction of input formulæ is only superficial: an algorithm which converts any given formula in sHML to an equivalent formula in normal form is implemented in the form of a Haskell program.

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Runtime monitoring is the process of analysing the behaviour of a software system at runtime via *monitors*, software entities which compare the behaviour of a system against some correctness specification. Runtime enforcement (RE) is a specialised form of runtime monitoring which ensures that the behaviour of the system is always in agreement with the correctness specification. The role of the monitor in RE is to anticipate incorrect behaviour and take necessary measures to prevent it.

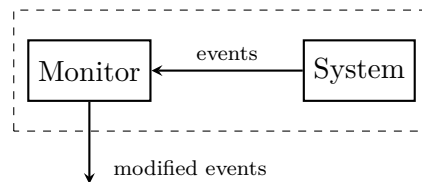


FIGURE 1.1: Runtime Enforcement

Typically the monitor is designed to act as an ostiary, wrapping itself around the system and analysing any external interactions ([figure 1.1](#)). This allows it to transform any incorrect actions by replacing them, suppressing them, or inserting other actions.

Software systems are becoming larger and more complex, so building an ad hoc monitor for a software system from scratch is seldom feasible, and might result in more room for error in development. Instead, the correctness specification of a system is expressed as a formula in some *logic* with precise formal semantics, and a program designed to interpret this logic synthesises the monitor automatically.

The expressiveness of the logic used for defining the correctness specification is an important consideration. Unfortunately the expressiveness of a logic

is adverse to its enforceability, meaning that the more expressive a logic is, the more likely it is that certain formulæ in that logic cannot be synthesised into monitors.

This document is structured as follows. In the remainder of [chapter 1](#), some preliminary notions are introduced, and the logics  $\mu\text{HML}$ ,  $\text{SHML}$  and  $\text{SHML}_{\text{nf}}$  are discussed in view of their expressiveness and enforceability. The goal of the project is to realise a theoretical construction detailed in [\[1\]](#) which transforms formulæ from  $\text{SHML}$  into  $\text{SHML}_{\text{nf}}$  in the form of a Haskell program. How this is achieved is the subject of [chapters 2](#) and [3](#). Possible future work is outlined in [chapter 4](#). Finally, the code for the construction is presented in [appendix A](#).

## 1.1 Preliminaries

### 1.1.1 Concrete Events and Patterns

The behaviour of a system is represented as a stream of observable operations called (*concrete*) *events*. Let  $\text{VAL}$ ,  $\text{PRC}$  and  $\text{VAR}$  be pairwise disjoint sets whose members are to be called *values*, *process names*, and *free variables*; respectively. Moreover, let  $\text{PID} = \text{PRC} \cup \text{VAR}$ , and similarly  $\text{VID} = \text{VAL} \cup \text{VAR}$ . If  $i \in \text{PRC}$  and  $\delta \in \text{VAL}$ , then  $i ? \delta$  denotes the event that a process with identifier  $i$  inputs  $\delta$ , whereas  $i ! \delta$  denotes the event that a process with identifier  $i$  outputs  $\delta$ . The set of such concrete events is denoted by  $\text{EVT}$ , i.e., we have  $\text{EVT} = \text{PRC}\{?, !\}\text{VAL}$ .

A *pattern* is a syntactic object which represents possible concrete events. For example, if  $x \in \text{VAR}$  and  $\delta \in \text{VAL}$ , then  $x ? \delta$  represents patterns which input the value  $\delta$  to some unspecified process identifier. Variables in a pattern may either occur free, such as  $x$  in  $x ? \delta$ , or as binders, which we denote by prepending a dollar sign:  $\$x ? \delta$ . The set  $\text{PATT}$  of patterns is defined in [definition 1.1](#).

$$\begin{array}{lcl}
 p, q \in \text{PATT} ::= & \text{PID} ? \text{VID} & \text{(input)} \quad | \quad \text{PID} ! \text{VID} \quad \text{(output)} \\
 & | \text{PID} ? \$\text{VAR} & | \text{PID} ! \$\text{VAR} \\
 & | \$\text{VAR} ? \text{VID} & | \$\text{VAR} ! \text{VID} \\
 & | \$\text{VAR} ? \$\text{VAR} & | \$\text{VAR} ! \$\text{VAR}
 \end{array}$$

DEFINITION 1.1: Patterns

The set of *free variables* in a pattern  $p$ , denoted  $\text{fv}(p)$ , contains the variables which appear unbounded in  $p$ ; e.g.  $\text{fv}(\$x ? y) = \{y\}$ . Similarly the *bound variables* in a pattern  $p$ , denoted  $\text{bv}(p)$ , contains the variables which appear bounded in  $p$ ; e.g.  $\text{bv}(\$x ? y) = \{x\}$ .

Pattern matching is the process of checking whether a concrete event conforms to a given pattern. For example, the concrete event  $i ? \delta$  where  $i \in \text{PRC}$  matches the pattern  $x ? \delta$  from earlier, but  $i ! \delta$  or  $i ? \vartheta$  where  $\delta \neq \vartheta \in \text{VAL}$  do not. The *pattern matching function*  $\text{mt}: \text{PATT} \times \text{EVT} \rightarrow (\text{VAR} \rightarrow (\text{PRC} \cup \text{VAL}))$  is a partial function which checks whether a given pattern and concrete event are compatible. If they are compatible,  $\text{mt}$  returns a *substitution*  $\sigma$ , that is, a partial map from the free variables which appear in the pattern to the respective values. For example,  $\text{mt}(x ? \delta, i ? \delta) = \{x \mapsto i\}$  and  $\text{mt}(i ? \delta, i ? \delta) = \emptyset$ , whereas  $\text{mt}(x ? \delta, i ! \delta)$  and  $\text{mt}(x ? \delta, i ? \vartheta)$  are not defined.

If  $p \in \text{PATT}$  is a pattern and  $\sigma: \text{fv}(p) \rightarrow \text{PID} \cup \text{VAL}$  is a substitution, then the application of  $\sigma$  to  $p$  is denoted by  $p\sigma$ . Put differently, if  $\text{mt}(p, \alpha) = \sigma$ , then  $p\sigma = \alpha$ .

Two patterns  $p, q \in \text{PATT}$  are said to be equivalent or isomorphic, written  $p \simeq q$ , if they describe the same concrete events. In other words,

$$p \simeq q \Leftrightarrow \forall \alpha \in \text{EVT} \cdot \text{mt}(p, \alpha) = \text{mt}(q, \alpha).$$

The quotient set  $\text{PATT}/\simeq$  is then the set of patterns which are unique up to isomorphism.

### 1.1.2 Symbolic Events

Let  $\text{COND}(V)$  be the set of decidable logical predicates involving the variables in the set  $V \subseteq \text{VAR}$ . If  $c \in \text{COND}(V)$ , let  $\text{fv}(c) \subseteq V$  denote the variables appearing in  $c$ . In other words, if  $\text{fv}(c) = \{v_1, v_2, \dots, v_n\} \subseteq V$ , then  $c = c(v_1, v_2, \dots, v_n)$ .

A *closed* predicate is a predicate  $c \in \text{COND}(V)$  such that  $\text{fv}(c) = \emptyset$ . Using the usual inference rules of predicate logic, we can evaluate closed predicates down to *true* or *false*. Symbolically,  $\text{fv}(c) = \emptyset \implies (c \Downarrow \text{true}) \vee (c \Downarrow \text{false})$ .

We also have substitutions for predicates. If  $c$  is a predicate, then a substitution is a partial map  $\sigma: \text{fv}(c) \rightarrow \text{PID} \cup \text{VAL}$ . For example, if  $c$  is the predicate  $x \geq y$  and  $\sigma = \{x \mapsto 3, y \mapsto 4\}$ , then  $c\sigma = 3 \geq 4 \Downarrow \text{false}$ .

Now we can generalise the idea of concrete events to that of *symbolic events* (a.k.a. *symbolic actions*). The set  $\text{SEVT}$  of symbolic events is defined by

$$\text{SEVT} = \{(p, c) \in \text{PATT} \times \text{COND}(\text{VAR}) \mid \text{fv}(c) \subseteq \text{bv}(p)\}.$$

In other words,  $\text{SEVT}$  is the set of pairs of patterns and predicates, where the predicate says something about the variables in the pattern. We will denote symbolic events using the notation  $\{p, c\}$  instead of  $(p, c)$ .

What the symbolic event  $\{p, c\}$  describes is the set of concrete events which conform to the pattern  $p$ , and, moreover, satisfy the condition  $c$ . This is

similar to the idea of set comprehension, where  $\{x \in A \mid \phi(x)\}$  denotes the set of objects  $x$  which satisfy the condition  $\phi(x)$ .

**Definition 1.2** (Filter Set). Given a symbolic event  $\eta = \{p, c\}$ , the *filter set* of  $\eta$ , denoted  $\Phi(\eta)$ , is the set

$$\Phi(\{p, c\}) = \{\alpha \in \text{Evt} \mid \text{mt}(p, \alpha) = \sigma \wedge c\sigma \Downarrow \text{true}\},$$

i.e., the set of concrete events which conform to  $p$  and satisfy  $c$ .

*Example 1.3.* Suppose we have  $\text{VAL} = \{1, 2, 3, 4, 5\}$ ,  $\text{PID} = \{i, j, k\}$  and  $\text{VAR} = \{x, y, z\}$ . Then

$$\begin{aligned} \Phi(\{x ? y, x \neq k \wedge y \geq 3\}) &= \{i ? 3, i ? 4, i ? 5, j ? 3, j ? 4, j ? 5\} \\ \Phi(\{x ! y, y = 1\}) &= \{i ! 1, j ! 1, k ! 1\} \end{aligned}$$

Two symbolic events  $\eta_1$  and  $\eta_2$  are said to be *disjoint* if their filter sets are disjoint, i.e. if  $\Phi(\eta_1) \cap \Phi(\eta_2) = \emptyset$ . For example, the events in [example 1.3](#) are disjoint.

### 1.1.3 Labelled Transition Systems and $\mu\text{HML}$

A *labelled transition system* (LTS) is a triple  $(\mathcal{S}, A \cup \{\tau\}, \rightarrow)$  where  $\mathcal{S}$  is a set whose members are called *states*,  $A$  is a set of symbolic actions,  $\tau \notin A$  denotes a distinguished *silent action*, and  $\rightarrow$  is a subset of  $\mathcal{S} \times (A \cup \{\tau\}) \times \mathcal{S}$ , called the *transition relation* of the LTS. We call the elements of  $\rightarrow$  *transitions* of the LTS, and write  $s \xrightarrow{\nu} r$  instead of  $(s, \nu, r) \in \rightarrow$ .

If there are finite sequences  $(s_1, \dots, s_n)$  and  $(r_1, \dots, r_m)$  in  $\mathcal{S}$  such that  $s_i \xrightarrow{\tau} s_{i+1}$  for all  $i \in \{1, \dots, n-1\}$ ,  $s_n \xrightarrow{\alpha} r_1$ , and  $r_i \xrightarrow{\tau} r_{i+1}$  for all  $i \in \{1, \dots, m-1\}$ , then we write  $s_1 \xRightarrow{\alpha} r_m$ , which we call a *weak transition* of the LTS. Moreover, if  $(s_i)$  is a sequence of states and  $\alpha = (\alpha_i)$  is a sequence of actions such that  $s_i \xRightarrow{\alpha_i} s_{i+1}$  for  $i \in \{1, \dots, n-1\}$ , we write  $s_1 \xRightarrow{\alpha} s_n$ .

We consider a slightly generalised variant of the Hennessy-Milner logic with recursion ( $\mu\text{HML}$ ) which is defined in [definition 1.4](#). The definition assumes a countable set  $\text{LVAR}$  of logical variables ( $X \in \text{LVAR}$ ), and provides standard logical constructs such as truth, falsehood, conjunctions and disjunctions over finite indexing sets  $\Gamma$ , recursion using greatest/least fixed points, as well as necessity and possibility modal operators with symbolic events, where  $\text{bv}(p)$  binds free variables in  $c$  and in  $\varphi$  as well.

We interpret formulæ over the power set domain  $\wp\mathcal{S}$  of the states in an LTS. The semantic definition of  $\llbracket \varphi, \rho \rrbracket$  in [definition 1.4](#) is given for both open and closed formulæ, employing a valuation  $\rho: \text{LVAR} \rightarrow \wp\mathcal{S}$  which permits an inductive definition of the structure of the formulæ.



**Syntax**

$\varphi, \psi \in \mu\text{HML} ::= \text{tt}$	(truth)		$\text{ff}$	(falsehood)
$\bigvee_{\gamma \in \Gamma} \varphi_\gamma$	(disjunction)		$\bigwedge_{\gamma \in \Gamma} \varphi_\gamma$	(conjunction)
$\langle \{p, c\} \rangle \varphi$	(possibility)		$[\{p, c\}] \varphi$	(necessity)
$\min X . \varphi$	(least f.p.)		$\max X . \varphi$	(greatest f.p.)
$X$	(f.p. variable)			

**Semantics**

$$\begin{aligned}
\llbracket \text{tt}, \rho \rrbracket &\stackrel{\text{def}}{=} \mathcal{S} & \llbracket \text{ff}, \rho \rrbracket &\stackrel{\text{def}}{=} \emptyset & \llbracket X, \rho \rrbracket &\stackrel{\text{def}}{=} \rho(X) \\
\llbracket \bigvee_{\gamma \in \Gamma} \varphi_\gamma, \rho \rrbracket &\stackrel{\text{def}}{=} \bigcup_{\gamma \in \Gamma} \llbracket \varphi_\gamma, \rho \rrbracket & \llbracket \bigwedge_{\gamma \in \Gamma} \varphi_\gamma, \rho \rrbracket &\stackrel{\text{def}}{=} \bigcap_{\gamma \in \Gamma} \llbracket \varphi_\gamma, \rho \rrbracket \\
\llbracket \max X . \varphi, \rho \rrbracket &\stackrel{\text{def}}{=} \bigcup \{ S \subseteq \mathcal{S} \mid S \subseteq \llbracket \varphi, \rho \cup \{X \mapsto S\} \rrbracket \} \\
\llbracket \min X . \varphi, \rho \rrbracket &\stackrel{\text{def}}{=} \bigcap \{ S \subseteq \mathcal{S} \mid \llbracket \varphi, \rho \cup \{X \mapsto S\} \rrbracket \subseteq S \} \\
\llbracket \langle \{p, c\} \rangle \varphi, \rho \rrbracket &\stackrel{\text{def}}{=} \{ s \in \mathcal{S} \mid \exists r \in \mathcal{S} \cdot \exists \alpha \in \Phi(\{p, c\}) \cdot (s \xrightarrow{\alpha} r \wedge r \in \llbracket \varphi \sigma, \rho \rrbracket) \} \\
\llbracket [\{p, c\}] \varphi, \rho \rrbracket &\stackrel{\text{def}}{=} \{ s \in \mathcal{S} \mid (\forall r \in \mathcal{S} \cdot \forall \alpha \in \Phi(\{p, c\}) \cdot s \xrightarrow{\alpha} r) \Rightarrow r \in \llbracket \varphi \sigma, \rho \rrbracket \}
\end{aligned}$$

DEFINITION 1.4: The syntax and semantics for  $\mu\text{HML}$

Symbolic actions of the form  $\{p, \text{true}\}$  are relaxed notationally to  $p$ . In this case, we write  $\langle p \rangle \varphi$  and  $[p] \varphi$  for modal possibility and necessity respectively.

Generally we consider closed formulæ, and write  $\llbracket \varphi \rrbracket$  instead of  $\llbracket \varphi, \rho \rrbracket$ , since the semantics of closed formulæ is independent of any valuation  $\rho$ . A system  $s \in \mathcal{S}$  is said to *satisfy a formula*  $\varphi \in \mu\text{HML}$  if  $s \in \llbracket \varphi \rrbracket$ . Conversely, a formula  $\varphi \in \mu\text{HML}$  is *satisfiable* if there exists a system  $r \in \mathcal{S}$  such that  $r \in \llbracket \varphi \rrbracket$ .

## 1.2 Enforceability, sHML and Normal Form

### 1.2.1 The Enforceability of $\mu\text{HML}$

In [1], the authors describe the notion of a *transducer*, a device capable of *enforcing* formulæ in  $\mu\text{HML}$ . By “enforcing” we basically mean that the transducer  $m$  modifies the transitions of the system under scrutiny  $s \in \mathcal{S}$  in the corresponding LTS to be in accordance with  $\varphi$ . This is done in such a way that  $m[s]$  (the resulting monitored system) satisfies  $m[s] \in \llbracket \varphi \rrbracket$  (*soundness*), but also without needlessly changing other systems which already satisfy  $\varphi$  (i.e. if  $s \in \llbracket \varphi \rrbracket$ , then  $m[s] \sim s$ ).<sup>1</sup>

<sup>1</sup>Here  $\sim$  denotes some appropriate notion of equivalence, usually *bisimilarity*.<sup>[2]</sup>

$\varphi, \psi \in \text{sHML} ::= \text{tt}$	(truth)		$\text{ff}$	(falsehood)
$\bigwedge_{\gamma \in \Gamma} \varphi_\gamma$	(conjunction)		$[\{p, c\}] \varphi^\dagger$	(necessity)
$\max X . \varphi$	(greatest f.p.)		$X$	(f.p. variable)

<sup>†</sup> If  $\varphi = \text{ff}$ , then  $p$  must be an output pattern; i.e.,  $\text{mt}(x!y, p)$  is defined.

DEFINITION 1.5: The syntax for the safety fragment SHML

A transducer is also called an *enforcement monitor*.

Now we go to the notion of enforceability. A logic  $\mathcal{L}$  is said to be *enforceable* if for every formula  $\varphi \in \mathcal{L}$ , there exists a transducer  $m$  such that  $m$  enforces  $\varphi$ .

For any reasonably expressive logic (such as  $\mu\text{HML}$ ), one expects that not every formula is enforceable. Indeed, consider the formula

$$\varphi_{\text{ns}} \stackrel{\text{def}}{=} [i!v]\text{ff} \wedge [j!w]\text{ff}.$$

A system satisfies  $\varphi_{\text{ns}}$ , either if it never produces the action  $i!v$ , or it never produces  $j!w$ . Now consider the systems

$$s_{\text{ra}} \stackrel{\text{def}}{=} i!v . \text{nil} + j!w . \text{nil} \quad \text{and} \quad s_{\text{r}} \stackrel{\text{def}}{=} i!v . \text{nil}.$$

Clearly  $s_{\text{ra}}$  violates this property as it can produce both. This formula can only be enforced by suppressing or replacing either one of these actions. But doing so will needlessly suppress  $s_{\text{r}}$ 's actions, i.e. we would have  $m[s_{\text{r}}] \approx s_{\text{r}}$ . Intuitively, the reason for this problem is that a monitor cannot “look into” the computation graph of a system, but is limited to the behaviour exhibited by a system at runtime.

### 1.2.2 The Safety Fragment and Normal Form

The safety fragment of  $\mu\text{HML}$  is a subset  $\text{sHML} \subseteq \mu\text{HML}$  which is *enforceable*. The definition of this restricted logic is given in [definition 1.5](#).

Even though SHML is enforceable, complications still arise when attempting to define a synthesis function  $\llbracket \cdot \rrbracket : \text{sHML} \rightarrow \text{TRN}$  which produces a transducer for any given SHML formula. This is discussed and exemplified in [\[1, sec. 5\]](#). Although it is theoretically possible to define such a function directly, it is more straightforward to consider yet another subset,  $\text{sHML}_{\text{nf}} \subseteq \text{sHML}$  of formulæ in so-called *normal form*. This subset is only a superficial restriction of the logic. Indeed, any closed SHML formula  $\varphi$  can be transformed into an  $\text{sHML}_{\text{nf}}$  formula  $\varphi'$  such that  $\llbracket \varphi \rrbracket = \llbracket \varphi' \rrbracket$ . It is this process which we refer to as *normalisation*.

A formula  $\varphi \in \text{sHML}$  is in normal form if:

$$\begin{aligned}
\llbracket X \rrbracket &\stackrel{\text{def}}{=} x & \llbracket \text{tt} \rrbracket &\stackrel{\text{def}}{=} \llbracket \text{ff} \rrbracket \stackrel{\text{def}}{=} \text{id} & \llbracket \max X . \varphi \rrbracket &\stackrel{\text{def}}{=} \text{rec } x . \llbracket \varphi \rrbracket \\
\llbracket \bigwedge_{\gamma \in \Gamma} [\{p_\gamma, c_\gamma\}] \varphi_\gamma \rrbracket &\stackrel{\text{def}}{=} \text{rec } y . \sum_{\gamma \in \Gamma} \begin{cases} \{p_\gamma, c_\gamma, \bullet\} & \text{if } \varphi_\gamma = \text{ff} \\ \{p_\gamma, c_\gamma, \underline{p_\gamma}\} \llbracket \varphi_\gamma \rrbracket & \text{otherwise} \end{cases}
\end{aligned}$$

DEFINITION 1.6: Synthesis function for sHML<sub>nf</sub> formulæ.

- (i) Branches in a conjunction are pairwise disjoint, i.e. in  $\bigwedge_{\gamma \in \Gamma} [\{p_\gamma, c_\gamma\}] \varphi_\gamma$  we have  $\Phi(\{p_{\gamma_1}, c_{\gamma_1}\}) \cap \Phi(\{p_{\gamma_2}, c_{\gamma_2}\}) = \emptyset$  for  $\gamma_1 \neq \gamma_2$ ;
- (ii) For every  $\max X . \varphi$ , we have  $X \in \text{fv}(\varphi)$ ;
- (iii) Every logical variable is guarded by modal necessity.

If an sHML formula satisfies properties (i)–(iii), then it is in sHML<sub>nf</sub>. An enforcement monitor for  $\varphi \in \text{sHML}_{\text{nf}}$  can then be synthesised by the synthesis function defined in [definition 1.6](#). More details about this function can be found in [\[1\]](#).

## Parsing sHML in Haskell

A Haskell module `SHMLParser` was written to parse inputted SHML formulæ. This module made use of Haskell’s `Parsec` combinators.

### 2.1 Parser Design

First, appropriate data structures were defined for sHML formulæ, which mirror [definition 1.5](#), with the difference that conjunction is a purely binary operation. Next, a language structure for SHML was defined using the `LanguageDef` constructor. This assigns symbols to different tokens, e.g. `max`, `<=` and `==` are given special status when lexing.

Indeed, from the language constructor, the `parsec` package allows for the creation of “trivial” parsers, i.e. parsers which parse identifiers,<sup>1</sup> round brackets, square brackets, integers, special keywords from the language constructor, etc. These parsers can then be combined to form more sophisticated ones, e.g. to parse `max X .  $\varphi$` , the parser code is:

```

1 maxFormula :: Parser Formula
2 maxFormula =
3     do keyword "max"
4     x <- identifier
5     op "."
6     phi <- formulaTerm
7     return $ Max x phi

```

This parser first reads the keyword “max”, then an identifier stored in `x`, followed by the operator `.`, followed by something returned by the parser `formulaTerm`, defined in a similar way in terms of other parsers. Finally, the corresponding data structure is returned.

---

<sup>1</sup>As usual, an identifier is a string matching `[a-Z]+([0-9] | [a-Z] | -)*`

The parser is capable of parsing arithmetic and logic for symbolic actions such as  $\{i ? y, i \geq 4 \wedge y \neq 2 + 3\}$ , but they have no defined semantics. In general, binary operations associate to the left, so that  $X \& Y \& Z$  is parsed as  $(X \wedge Y) \wedge Z$ . Maximal fixed points take precedence over conjunction, so  $\max X . \varphi \wedge \psi$  is interpreted as  $(\max X . \varphi) \wedge \psi$ . Whitespaces are ignored in formulæ.

## 2.2 Using the Parser

Here are some examples of formulæ and their syntactic equivalents in the parser language.

Formula	Syntax
$X \wedge Y \wedge Z$	$X \& Y \& Z$ or $X \& Y \& Z$
$\max X . ([i?3]X \wedge [i!4]\text{ff})$	$\max X . ([i?3] X \& [i!4]\text{ff})$
$[\$i?req][[i!ans, i < 3 \wedge i \neq 10]]\text{ff}$	$[\$i?req][i!ans, i < 3 \& i != 10]\text{ff}$

To parse a formula, the function `parseF :: String → Formula` is used. For example, running `parseF "[i?3][i!4][i?5]max X . [i!6]ff"` will return the formula, displaying it using the defined instance of `Show`.

Another nice command is the `parseTree :: Formula → IO()` command (or for string input, `stringParseTree :: String → IO()`), which displays a visual parse tree of the formula data structure. For example, running `stringParseTree` on the string

`"[$i?3][$j?5, j>7 & j+1!=i]max X0 . ([i!6]ff & [j!2]X0)"`

produces the tree illustrated in [figure 2.1](#).

```

Necessity
└─ Input
  └─ i (binding variable)
  └─ 3 (int const)
└─ True (bool const)
└─ Necessity
  └─ Input
    └─ j (binding variable)
    └─ 5 (int const)
  └─ ^
    └─ >
      └─ j (free variable)
      └─ 7 (int const)
    └─ ≠
      └─ +
        └─ j (free variable)
        └─ 1 (int const)
        └─ i (free variable)
└─ max X0 .
  └─ ^
    └─ Necessity
      └─ Output
        └─ i (free variable)
        └─ 6 (int const)
        └─ True (bool const)
        └─ FF
    └─ Necessity
      └─ Output
        └─ j (free variable)
        └─ 2 (int const)
        └─ True (bool const)
        └─ X0 (logical variable)

```

FIGURE 2.1: Example of a parseTree output

## The Normalisation Algorithm

The reduction of SHML formulæ to normal form is carried out in a series of six steps presented in [1], corresponding to each of the following sections.

### §3.1. Preliminary Minimisation.

Well known logical equivalence rules are applied to simplify and reduce the size of the formula as much as possible. This includes rules such as  $\llbracket \text{tt} \wedge \varphi \rrbracket = \llbracket \varphi \rrbracket$  and  $\llbracket \max X . X \rrbracket = \llbracket \text{tt} \rrbracket$ .

### §3.2. Unguarded fixed point variable removal.

At this stage, the formula is modified to ensure that fixed point variables are all guarded.

### §3.3. System of Equations.

The formula is reformulated into a system of equations to ease manipulation in further stages.

### §3.4. Power set Construction.

The resultant system is restructured into an equivalent system that ensures that patterns in conjunctions are disjoint.

### §3.5. Formula reconstruction.

The system of equations is converted back into an SHML formula with disjoint conjunctions, which may introduce redundant fixed points.

### §3.6. Redundant fixed point removal.

Any redundant fixed points from the previous stage are removed, leaving us with the required  $\text{SHML}_{\text{nf}}$  formula.

### 3.1 Preliminary Minimisation

The function `simplify :: Formula → Formula` was written to carry out the preliminary minimisation of SHML formulæ.

The simplification of conjunctions required particular care. Indeed, when defining `simplify` case by case, one might naïvely do the following for the conjunction case:

$$\text{simplify}(a \wedge b) \stackrel{\text{def}}{=} \text{simplify}(a) \wedge \text{simplify}(b)$$

But this definition would simplify  $(\text{tt} \wedge \text{tt}) \wedge (\text{tt} \wedge \text{tt})$  to  $\text{tt} \wedge \text{tt}$ , not to  $\text{tt}$ . The correct approach is to simplify the two children of the  $\wedge$  node (picturing the formula as a parse tree), and then to use another function, `simplifyCon :: Formula → Formula → Formula`, which simplifies conjunctions, i.e. we define

$$\text{simplify}(a \wedge b) \stackrel{\text{def}}{=} \text{simplifyCon}(\text{simplify}(a))(\text{simplify}(b)),$$

and then

$$\begin{aligned} \text{simplifyCon}(\text{ff})(\varphi) &\stackrel{\text{def}}{=} \text{ff} \\ \text{simplifyCon}(\varphi)(\text{ff}) &\stackrel{\text{def}}{=} \text{ff} \\ \text{simplifyCon}(\text{tt})(\varphi) &\stackrel{\text{def}}{=} \varphi \\ \text{simplifyCon}(\varphi)(\text{tt}) &\stackrel{\text{def}}{=} \varphi \\ \text{simplifyCon}(\varphi)(\psi) &\stackrel{\text{def}}{=} \begin{cases} \varphi & \text{if } \varphi = \psi \\ \varphi \wedge \psi & \text{otherwise.} \end{cases} \end{aligned}$$

Similarly for maximum fixed points, we considered that  $\llbracket \max X . X \rrbracket = \llbracket \text{tt} \rrbracket$ , so we first simplify the subtree and then do `simplifyMax`:

$$\text{simplify}(\max X . \varphi) \stackrel{\text{def}}{=} \text{simplifyMax}(X)(\text{simplify}(\varphi)),$$

where

$$\begin{aligned} \text{simplifyMax}(X)(\text{tt}) &\stackrel{\text{def}}{=} \text{tt} \\ \text{simplifyMax}(X)(\text{ff}) &\stackrel{\text{def}}{=} \text{ff} \\ \text{simplifyMax}(X)(X) &\stackrel{\text{def}}{=} \text{tt} \\ \text{simplifyMax}(X)(X \wedge \varphi) &\stackrel{\text{def}}{=} \text{simplify}(\max X . \varphi) \\ \text{simplifyMax}(X)(\varphi \wedge X) &\stackrel{\text{def}}{=} \text{simplify}(\max X . \varphi) \\ \text{simplifyMax}(X)(\varphi) &\stackrel{\text{def}}{=} \max X . \varphi. \end{aligned}$$

If the simplifying of the subtree is not carried out first, things like  $\max X . ((X \wedge X) \wedge (X \wedge X))$  do not simplify correctly.

Simplification of the remaining cases was straightforward.



## 3.2 Standard Form

An sHML formula is said to be in *standard form* if all free and unguarded recursion variables are at the top-most level, at every level. For example, the formula

$$\max Y. ([i ? 3]Y \wedge X) \wedge [i ? 3]\text{ff}$$

is not in standard form, since  $X$  is unguarded but is not at the top most level. We can easily mitigate this by elevating  $X$ :

$$\max Y. [i ? 3]Y \wedge [i ? 3]\text{ff} \wedge X.$$

In [definition 3.1](#), we present the construction  $\llbracket \cdot \rrbracket_1 : \text{sHML} \rightarrow \text{sHML}$  which carries out this standardisation reasoning. This is a slightly modified version of the construction presented in [\[3, ch. 4\]](#) which is easier to implement in Haskell.

$$\begin{aligned} \llbracket \max X. \varphi \rrbracket_1 &\stackrel{\text{def}}{=} \mathfrak{B}\mathfrak{g}(\varphi)[\max X. \mathfrak{B}\mathfrak{g}(\varphi)/X] \wedge \bigwedge (\mathfrak{F}\mathfrak{u}(\varphi) \setminus \{X\}) \\ \llbracket \varphi \wedge \psi \rrbracket_1 &\stackrel{\text{def}}{=} \mathfrak{B}\mathfrak{g}(\varphi) \wedge \mathfrak{B}\mathfrak{g}(\psi) \wedge \bigwedge \mathfrak{F}\mathfrak{u}(\varphi) \cup \mathfrak{F}\mathfrak{u}(\psi) \\ \llbracket [p, c]\varphi \rrbracket_1 &\stackrel{\text{def}}{=} [p, c]\llbracket \varphi \rrbracket_1 \\ \llbracket \varphi \rrbracket_1 &\stackrel{\text{def}}{=} \varphi \end{aligned}$$

where  $\mathfrak{F}\mathfrak{u}(\varphi)$  denotes the set of free and unguarded logical variables in  $\varphi$ , i.e.  $\mathfrak{F}\mathfrak{u}(\varphi) \stackrel{\text{def}}{=} \{X \in \text{fv}(\varphi) \mid X \text{ is unguarded}\}$ , and  $\mathfrak{B}\mathfrak{g}(\varphi)$  denotes the remaining bound and guarded part of a formula after  $\llbracket \cdot \rrbracket_1$  is applied; i.e. if  $\llbracket \varphi \rrbracket_1 = \psi \wedge \bigwedge \mathfrak{F}\mathfrak{u}(\varphi)$ , then  $\mathfrak{B}\mathfrak{g}(\varphi) = \psi$ .

DEFINITION 3.1: Standardisation of sHML formulæ.

Notice that in the case of maximum fixed points, [definition 3.1](#) unfolds the bound logical variable  $X$ . This ensures that the resulting conjuncted branches are always guarded by a necessity operation. For example, applying [definition 3.1](#) to the formula

$$\max Y. ([i ? 3]Y \wedge X) \wedge [i ? 3]\text{ff},$$

noting that  $\mathfrak{B}\mathfrak{g}([i ? 3]Y \wedge X) = [i ? 3]Y$ , yields

$$\begin{aligned} &([i ? 3]Y)[\max Y. [i ? 3]Y/Y] \wedge [i ? 3]\text{ff} \wedge X \\ &= [i ? 3] \max Y. [i ? 3]Y \wedge [i ? 3]\text{ff} \wedge X. \end{aligned}$$

To implement this, first, a function `sub :: Formula → String → Formula → Formula` was implemented to carry out substitution of free logical variables. The substitution  $\varphi[\psi/X]$  is equivalent to `sub(φ)(X)(ψ)`. Next, a function

$\text{sf}' :: \text{Formula} \rightarrow [\text{String}] \rightarrow (\text{Formula}, [\text{String}])$  was defined. This function “takes out” free variables out of a given formula by replacing them with  $\text{tt}$  in the manner illustrated below. The second argument is to keep track of bound variables when traversing subtrees, allowing for recursive definition of  $\text{sf}'$ .

*Examples 3.2.* The following few examples illustrate the behaviour of the function  $\text{sf}' :: \text{Formula} \rightarrow [\text{String}] \rightarrow (\text{Formula}, [\text{String}])$ .

$$\begin{aligned} \text{sf}'(X)([]) &= (\text{tt}, [X]) \\ \text{sf}'(X \wedge Y)([]) &= (\text{tt} \wedge \text{tt}, [X, Y]) \\ \text{sf}'(\max X . (X \wedge Y))([]) &= (\max X . (X \wedge \text{tt}) \wedge \text{tt}, [Y]) \\ \text{sf}'(\max X . (X \wedge [i ? 3]Y))([]) &= (\max X . (X \wedge [i ? 3]Y) \wedge [i ? 3]Y, []) \\ \text{sf}'(X \wedge (Y \wedge Z))(Y) &= (\text{tt} \wedge (Y \wedge \text{tt}), [X, Z]) \end{aligned}$$

The last example illustrates the purpose of the second argument: if the expression  $X \wedge (Y \wedge Z)$  appears in a subtree of a larger expression, it is possible that it is preceded by a binder (say  $\max Y .$ ). In that case,  $Y$  should not be “taken out”.

The actual implementation of the function is straightforward and faithfully mirrors [definition 3.1](#)—the reader is invited to glance at the code in [appendix A](#). Now  $\text{sf}'$  itself does not give us a  $\text{Formula}$ , but a pair of type  $(\text{Formula}, [\text{String}])$ . So we define a function  $\text{sf} :: \text{Formula} \rightarrow \text{Formula}$  which simply runs  $\text{sf}'(\varphi)([])$ , appends the variables in the list to the end of the resulting formula with conjunctions, and invokes `simplify` to remove all the redundant  $\text{tt}$ ’s.

A proof that the  $\langle\langle \cdot \rangle\rangle_1$  preserves semantics, i.e. that for all  $\varphi \in \text{SHML}$ ,  $\llbracket \langle\langle \varphi \rangle\rangle_1 \rrbracket = \llbracket \varphi \rrbracket$ , is given as lemma 8 in [\[4\]](#).

### 3.3 System of Equations

A *system of equations* is a triple  $(\mathcal{E}, X, \mathcal{F})$  where  $X$  is the *principal logical variable* which defines the starting equation,  $\mathcal{F}$  is a finite set of *free logical variables*, and  $\mathcal{E}$  is an tuple of equations  $(X_1 = \varphi_1, \dots, X_n = \varphi_n)$  where  $X_i \neq X_j$  for  $i \neq j$ , and  $\varphi_i \in \text{SHML}_{\text{eq}}$  (see [definition 3.3](#)).

$$\varphi \in \text{SHML}_{\text{eq}} ::= \text{ff} \quad | \quad \bigwedge_{\gamma \in \Gamma} [\eta_\gamma] X_\gamma$$

where  $\Gamma$  is a finite indexing set such that for all  $\gamma \in \Gamma$ ,  $\eta_\gamma \in \text{PATT}$  and  $X_\gamma \in \text{LVAR}$ .

DEFINITION 3.3: The syntactic restriction for equations.

$$\begin{aligned}
\langle\!\langle \mathbf{tt} \rangle\!\rangle_2 &\stackrel{\text{def}}{=} (\{X_i = \mathbf{tt}\}, X_i, \emptyset) \\
\langle\!\langle \mathbf{ff} \rangle\!\rangle_2 &\stackrel{\text{def}}{=} (\{X_i = \mathbf{ff}\}, X_i, \emptyset) \\
\langle\!\langle Y \rangle\!\rangle_2 &\stackrel{\text{def}}{=} (\{X_i = Y\}, X_i, \{Y\}) \\
\langle\!\langle \varphi \wedge \psi \rangle\!\rangle_2 &\stackrel{\text{def}}{=} (\mathcal{E}_\varphi \cup \mathcal{E}_\psi \cup \{X_i = \mathcal{E}_\varphi(X_\varphi) \cup \mathcal{E}_\psi(X_\psi)\}, X_i, \mathcal{F}_\varphi \cup \mathcal{F}_\psi) \\
\langle\!\langle [\eta]\varphi \rangle\!\rangle_2 &\stackrel{\text{def}}{=} (\mathcal{E}_\varphi \cup \{X_i = [\eta]X_\varphi\}, X_i, \mathcal{F}_\varphi) \\
\langle\!\langle \max Y . \varphi \rangle\!\rangle_2 &\stackrel{\text{def}}{=} (\mathcal{E}_{\varphi'} \cup \{X_i = \mathcal{E}_{\varphi'}(X_{\varphi'})\}, X_i, \mathcal{F}_{\varphi'} \setminus \{X_i\})
\end{aligned}$$

where  $\langle\!\langle \vartheta \rangle\!\rangle_2 = (\mathcal{E}_\vartheta, X_\vartheta, \mathcal{F}_\vartheta)$  for all  $\vartheta$ ,  $\varphi'$  denotes  $\varphi[X_i/Y]$ , and  $X_i$  is a fresh variable.

DEFINITION 3.5: Conversion from SHML formula to a system of equations.

Through equations, maximal fixed points can be expressed by referring to previously defined variables. We abuse notation and use  $\mathcal{E}$  as a map  $\mathcal{E}: \text{LVAR} \rightarrow \text{SHML}_{\text{eq}}$  so that if  $(X_i = \varphi_i) \in \mathcal{E}$ , then  $\mathcal{E}(X_i) = \varphi_i$ .

*Example 3.4.* The formula  $\varphi = \max X . [i ? 3]([i ! 4]X \wedge [i ! 5]\mathbf{ff})$  can be represented by the equations

$$\begin{aligned}
X_0 &= [i ? 3]X_1 \\
X_1 &= [i ! 4]X_2 \wedge [i ! 5]X_3 \\
X_2 &= [i ? 3]X_1 \quad (= X_0) \\
X_3 &= \mathbf{ff}
\end{aligned}$$

where  $X_0$  is the principal variable, and  $\mathcal{F} = \emptyset$ , as no variable in the equations is free.

The conversion into a system of equations is defined by the construction  $\langle\!\langle \cdot \rangle\!\rangle_2: \text{SHML} \rightarrow (\mathcal{E}, \text{VAR}, \wp\text{VAR})$  in [definition 3.5](#). Again, this is a slightly modified version from [\[3, 1\]](#) which more Haskell-friendly.

Since variables are being introduced, we want to make sure that no capturing occurs. Thus a function `rename :: Formula → (Formula, [(Int, String)])` was implemented to rename all variables to successive natural numbers, e.g.

$$\begin{aligned}
&\text{rename}(\max X . [i ? 3](X \wedge Y) \wedge Z) \\
&= (\max(0 . [i?3]0 \wedge 1) \wedge 2, [(0, X), (1, Y), (2, Z)]).
\end{aligned}$$

Variable capturing is guaranteed not to happen during intermediate stages of `rename`'s execution, since the user is prohibited from using integers as variable names. The implementation of this function is straightforward.

The system of equations is generated as follows. First, the type synonyms  $\text{Equation} \stackrel{\text{def}}{=} (\text{String}, \text{Formula})$  and  $\text{SoE} \stackrel{\text{def}}{=} ([\text{Equation}], \text{String}, [\text{String}])$  are

introduced to simplify the code legibility, where  $X = \varphi$  is encoded as the Equation  $(\text{"X"}, \varphi)$ , and  $(\mathcal{E}, X, \mathcal{F})$  is encoded naturally as an SoE. A function  $\text{SysEq}' :: \text{Int} \rightarrow \text{Formula} \rightarrow \text{SoE}$  is then defined to implement [definition 3.5](#), where the variables are named  $X_0, X_1, \dots$ . The integer argument of  $\text{SysEq}'$  is the index of the first variable it is allowed to introduce. One of the simple cases is

$$\text{SysEq}'(n)(\text{tt}) = ([X_n = \text{tt}], X_n, []).$$

One of the cases which required more care (mainly for variable indices) was the conjunction. This was defined as follows:

$$\text{SysEq}'(n)(\varphi \wedge \psi) = ([X_n = \mathcal{E}_1(X_m) \wedge \mathcal{E}_2(X_t)] \uparrow \mathcal{E}_1 \uparrow \mathcal{E}_2, X_n, \mathcal{F}_1 \uparrow \mathcal{F}_2),$$

where  $(\mathcal{E}_1, X_m, \mathcal{F}_1) = \text{SysEq}'(n+1)(\varphi)$  and  $(\mathcal{E}_2, X_t, \mathcal{F}_2) = \text{SysEq}'(t)(\psi)$ , where  $t$  is one more than the index of the last variable in  $\mathcal{E}_1$  (obtained in Haskell using various functions on lists, such as `head`, `snd`, etc.). The reasoning for other cases was similar.

Finally, a function  $\text{SysEq} :: \text{Formula} \rightarrow (\text{SoE}, [\text{Int}, \text{String}])$  was defined. This carries out `rename` followed by  $\text{SysEq}'$  starting from 0. The function then returns the system, together with the list of correspondences with the original variable names provided by `rename`.

As in the previous stage, a proof that the  $\llbracket \cdot \rrbracket_2$  preserves semantics, i.e. that for all  $\varphi \in \text{sHML}$ ,  $\llbracket \llbracket \varphi \rrbracket_2 \rrbracket = \llbracket \varphi \rrbracket$ , is given as lemma 10 in [\[4\]](#).

*Example 3.6.* Consider  $\varphi = \max X. [i ? \text{req}]([i ! \text{ans}][i ! \text{ans}]\text{ff} \wedge [i ! \text{ans}]X)$ . Running `(sysEq . sf)` on  $\varphi$  produces the following output:

```
(([("X0", [i ? req]X1), ("X1", [i ! ans]X3 & [i ? ans]X6),
  ("X2", [i ! ans]X3), ("X3", [i ! ans]X4), ("X4", ff),
  ("X5", [i ? ans]X6), ("X6", [i ? req]X8), ("X7", [i ? req]X8),
  ("X8", [i ! ans]X10 & [i ? ans]X13), ("X9", [i ! ans]X10),
  ("X10", [i ! ans]X11), ("X11", ff), ("X12", [i ? ans]X13),
  ("X13", [i ? req]X8)], "X0", []), [(0, "X")])
```

Or in a more legible typeface:

$X_0 = [i ? \text{req}]X_1$	$X_7 = [i ? \text{req}]X_8$
$X_1 = [i ! \text{ans}]X_3 \wedge [i ? \text{ans}]X_6$	$X_8 = [i ! \text{ans}]X_{10} \wedge [i ? \text{ans}]X_{13}$
$X_2 = [i ! \text{ans}]X_3$	$X_9 = [i ! \text{ans}]X_{10}$
$X_3 = [i ! \text{ans}]X_4$	$X_{10} = [i ! \text{ans}]X_{11}$
$X_4 = \text{ff}$	$X_{11} = \text{ff}$
$X_5 = [i ? \text{ans}]X_6$	$X_{12} = [i ? \text{ans}]X_{13}$
$X_6 = [i ? \text{req}]X_8$	$X_{13} = [i ? \text{req}]X_8 \quad (= X_6)$

The greyed out formulæ are not reachable from  $X_0$  and are hence redundant.

### 3.4 Power Set Construction

Next, we present the power set construction  $\langle\langle \cdot \rangle\rangle_3$ . Here the implementation does not mirror the theoretical construction so closely, unlike in the previous sections.

The previous section ensured that requirement (iii) in the definition of  $\text{sHML}_{\text{nf}}$  (see [section 1.2.2](#)) is met. The goal here is to ensure the first property (i) is adhered to, i.e. that branches in conjunctions are pairwise disjoint.

Consider a system of equations  $(\mathcal{E}, X, \mathcal{F})$  where  $\mathcal{E}$  contains  $n + 1$  equations, i.e.  $\mathcal{E} = \{X_0 = \varphi_0, \dots, X_n = \varphi_n\}$ . The idea of the construction is to introduce new variables  $X_{\{0\}}, \dots, X_{\{0, \dots, n\}}$ , indexed by the power set  $\Gamma = \wp\{0, \dots, n\}$ , such that for all  $\gamma \in \Gamma$ ,

$$X_\gamma = \bigwedge_{i \in \gamma} \varphi_i,$$

where we identify any variables  $X_j$  appearing in  $\varphi_i$  with  $X_{\{j\}}$ . (Indeed, by this definition,  $X_{\{j\}} = \varphi_j = X_j$ .) After these equations are constructed, any common symbolic actions are factored out, e.g. if  $X_{\{0,1\}} = [i ? 3]X_2 \wedge [i ! 3]X_3 \wedge [i ? 3]X_4$ , then we instead take

$$X_{\{0,1\}} = [i ? 3]X_{\{2,4\}} \wedge [i ! 3]X_{\{3\}}.$$

This way, all the symbolic actions are (syntactically) disjoint.<sup>1</sup>

The way this construction is formally presented in [\[1, 3\]](#) mainly hinges on subsets of  $\Gamma$ . In [definition 3.7](#), we present an equivalent definition of  $\langle\langle \cdot \rangle\rangle_3$  which is more indicative of the Haskell implementation.

Indeed, first a few straightforward functions were implemented to aid with manipulation of subsets and variable indices. The first one is `nsubsets :: Eq a => [a] -> [[a]]`, which generates all non-empty sublists of a given list  $\ell$ , such that the first  $|\ell|$  members are the singletons, followed by the remaining sublists in lexicographical order. For example:

$$\begin{aligned} \text{nsubsets}([1, 2, 3, 4]) = & [[1], [2], [3], [4], [1, 2], [1, 3], [2, 3], [1, 2, 3], [4], \\ & [1, 4], [2, 4], [1, 2, 4], [3, 4], [1, 3, 4], [2, 3, 4]]. \end{aligned}$$

It is not important that the remaining sublists are in lexicographical order, this is simply a consequence of the inbuilt function `subsequences` which Haskell provides. It *is* important however that the singletons come first; this way, if  $(\mathcal{E}, X, \mathcal{F})$  has  $|\mathcal{E}| = n$  variables, then we associate  $X_i$  with

<sup>1</sup>We assume for now that if  $\eta_1 \neq \eta_2$ , then  $\Phi(\eta_1) \cap \Phi(\eta_2) = \emptyset$ .

$$\langle\langle \mathcal{E}, X_i, \mathcal{F} \rangle\rangle_3 \stackrel{\text{def}}{=} \langle\langle (\{X_\gamma = \bigwedge_{\eta \in E(\gamma)} ([\eta] \wedge f_\gamma(\eta)) \mid \gamma \in \wp[\mathcal{E}]\}, X_{\{i\}}, \mathcal{F}) \rangle\rangle$$

where  $E(\gamma)$  is the set of symbolic events appearing in the equations  $X_j = \mathcal{E}(X_j)$  for  $j \in \gamma$ , i.e.

$$E(\gamma) \stackrel{\text{def}}{=} \bigcup_{j \in \gamma} \text{sas}(\mathcal{E}(X_j)),$$

$\text{sas}(\varphi) \subseteq \text{SEVT}$  is the set of symbolic actions appearing in  $\varphi$ , defined by

$$\begin{aligned} \text{sas}([\eta]\varphi) &\stackrel{\text{def}}{=} \{\eta\} \cup \text{sas}(\varphi) \\ \text{sas}(\varphi \wedge \psi) &\stackrel{\text{def}}{=} \text{sas}(\varphi) \cup \text{sas}(\psi) \\ \text{sas}(\varphi) &\stackrel{\text{def}}{=} \emptyset, \end{aligned}$$

$f_\gamma(\eta)$  is the set of all logical variables guarded by  $\eta$  in the equations  $X_j = \mathcal{E}(X_j)$  for  $j \in \gamma$ , i.e.

$$f_\gamma(\eta) \stackrel{\text{def}}{=} \bigcup_{j \in \gamma} \text{savars}(\eta)(\mathcal{E}(X_j)),$$

and  $\text{savars}: \text{SEVT} \rightarrow \text{SHML} \rightarrow \wp \text{LVAR}$  gives all the logical variables in a formula  $\varphi$  guarded by a particular symbolic event  $\eta$ , defined by

$$\begin{aligned} \text{savars}(\eta)([\nu]\varphi) &\stackrel{\text{def}}{=} \begin{cases} \{\eta\} \cup \text{savars}(\varphi) & \text{if } \eta = \nu \\ \text{savars}(\varphi) & \text{otherwise} \end{cases} \\ \text{savars}(\varphi \wedge \psi) &\stackrel{\text{def}}{=} \text{savars}(\varphi) \cup \text{savars}(\psi) \\ \text{savars}(\varphi) &\stackrel{\text{def}}{=} \emptyset. \end{aligned}$$

**DEFINITION 3.7:** The power set construction for systems of equations.

$X_{\{i\}}$  for  $0 \leq i \leq n-1$ , and  $X_i$  with  $X_{I_i}$ , where  $I_i \subseteq \{0, \dots, n-1\}$  is the corresponding  $i$ th sublist in  $\text{nsubsets}([0, \dots, n-1])$  for  $i \geq n$ .

The functions  $\text{subIdx} :: \text{Int} \rightarrow \text{Int} \rightarrow [\text{Int}]$  and  $\text{idxSub} :: \text{Int} \rightarrow [\text{Int}] \rightarrow \text{Int}$  give the corresponding subset  $I_i$  for given  $i$  of  $\{0, \dots, n-1\}$ , and vice-versa. For example,

$$\text{subIdx}(5)(12) = [2, 3] \quad \text{and} \quad \text{idxSub}(5)([2, 3]) = 12.$$

These allowed us to switch back and forth between the variables indexed by subsets and by integral indices, which is what the resulting system of equations has.

Next the function  $\text{sas} :: \text{Formula} \rightarrow [(\text{Patt}, \text{BExpr})]$  was defined, which produces a list of pairs  $(p, c)$  corresponding to each symbolic event  $\{p, c\}$  which occurs in a given formula. The implementation is straightforward by pattern matching, identical to  $\text{sas}(\varphi)$  in [definition 3.7](#).

The important function is  $\text{factor} :: \text{Int} \rightarrow \text{Equation} \rightarrow \text{Equation}$ , which carries out the “factorisation” of common patterns in a given formula  $\varphi$ . Using list comprehension and  $\text{sas}$ , the list  $\text{saVarPairs}$  is constructed, consisting of pairs of type  $((\text{Patt}, \text{BExpr}), [\text{String}])$  where all variables guarded by the same pattern are placed in the list. This corresponds to the function  $\text{savars}$  in [definition 3.7](#). For example, if

$$X_0 = [i ? 3]X_1 \wedge [i ! k, k \geq 2]X_2 \wedge [i ? 3]X_3,$$

then  $\text{saVarPairs}$  would be  $[((i?3, \text{tt}), [X_1, X_3]), ((i!k, k \geq 2), [X_2])]$ . Followed by further manipulation and a left fold, this list is transformed into

$$[i ? 3](X_j) \wedge [i ! k, k \geq 2]X_2,$$

where  $j = \text{idxSub}(n)([1, 3])$ , the subscript corresponding to the variable identified with  $X_{\{1,3\}}$  and  $n$  is the number of equations in the system where this equation resides, since this subscript depends on  $n$  (and this is why the first argument is an  $\text{Int}$ ).

Finally, the function  $\text{norm}$  which carries out the normalisation itself first builds the corresponding new set of equations using  $\text{nsubsets}$  and a left fold with  $\wedge$ , and  $\text{zips}$  this with  $\{X_0, \dots, X_{2^n-2}\}$ . Since the first subsets are  $\{0\}, \dots, \{n\}$ , then the first  $n$  equations correctly correspond with the subscripts, and no labels subscripts need to be changed in the right-hand side of any of the equations. Then, the  $\text{factor}$  function is applied to each equation via  $\text{map}$ .

The preservation of semantics for the power set construction is given as lemma 11 in [\[4\]](#).

*Example 3.8.* Let  $\varphi$  be as in [example 3.6](#), i.e.

$$\varphi = \max X . [i ? \text{req}]([i ! \text{ans}][i ! \text{ans}]\text{ff} \wedge [i ! \text{ans}]X).$$

Running `(norm . sysEq)` produces a set of 254 equations, where the only reachable ones from  $X_0$  are

$$\begin{aligned} X_0 &= [i ? \text{req}]X_2 & X_2 &= [i ? \text{ans}]X_{143} \\ X_5 &= \text{ff} & X_{143} &= [i ? \text{ans}]X_5 \wedge [i ? \text{req}]X_2 \end{aligned}$$

Notice that all the necessity operations are disjoint, in particular thanks to the equation for  $X_2$ , which comes from  $X_2 = [i ? \text{ans}]X_4 \wedge [i ? \text{ans}]X_7$  in the un-normalised system (i.e. if we do `sysEq` alone on  $\varphi$ ). The index 143 corresponds to `idxSub(8)([4,7])`, where 8 is the number of equations in the un-normalised the system.

### 3.5 Formula Reconstruction

Now we reconstruct a single formula from the normalised set of equations. The idea is to recurse through the equations using maximal fixed points, until a term with no free variables is encountered.

$$\sigma_{\text{shml}}(\varphi, \mathcal{E}) \stackrel{\text{def}}{=} \begin{cases} \varphi & \text{if } \text{fv}(\varphi) = \emptyset \\ \sigma_{\text{shml}}(\varphi\sigma, \mathcal{E}) & \text{otherwise,} \end{cases}$$

where  $\sigma \stackrel{\text{def}}{=} \{ \max X_i \cdot \mathcal{E}(X_i) / X_i \mid X_i \in \text{fv}(\varphi) \}$ .

**DEFINITION 3.9:** Converting a system of equations into a single formula.

This is achieved through the map  $\sigma_{\text{shml}}: \text{SHML} \rightarrow \text{SHML}$  in [definition 3.9](#). The construction  $\langle\langle \cdot \rangle\rangle_4$  is then defined as  $\langle\langle \mathcal{E}, X, \mathcal{F} \rangle\rangle_4 \stackrel{\text{def}}{=} \sigma_{\text{shml}}(X, \mathcal{E})$ . Thus  $\sigma_{\text{shml}}$  starts from the formula  $\varphi = X$ , which has  $X \in \text{fv}(\varphi)$ , and thus looks up  $\mathcal{E}(X)$  and then does  $\sigma_{\text{shml}}(X[\max X \cdot \mathcal{E}(X)/X], \mathcal{E})$ , and continues to recurse until a formula with  $\text{fv}(\varphi) = \emptyset$  is encountered.

*Example 3.10.* Consider the normalised system of equations

$$\begin{aligned} X_0 &= [i ? \text{req}]X_2 & X_2 &= [i ? \text{ans}]X_{143} \\ X_5 &= \text{ff} & X_{143} &= [i ? \text{ans}]X_5 \wedge [i ? \text{req}]X_2 \end{aligned}$$

from [example 3.8](#).

Applying the construction to this set of equations yields the formula

$$\max X_0 \cdot [i ? \text{req}](\max X_2 \cdot [i ! \text{ans}](\max X_{143} \cdot ([i ! \text{ans}](\max X_5 \cdot \text{ff}) \wedge [i ? \text{req}]X_2)))$$



$$\begin{aligned}
\llbracket \max X . \varphi \rrbracket_5 &\stackrel{\text{def}}{=} \begin{cases} \max X . \llbracket \varphi \rrbracket_5 & \text{if } X \in \text{fv}(\varphi) \\ \llbracket \varphi \rrbracket_5 & \text{otherwise} \end{cases} \\
\llbracket \varphi \wedge \psi \rrbracket_5 &\stackrel{\text{def}}{=} \llbracket \varphi \rrbracket_5 \wedge \llbracket \psi \rrbracket_5 \\
\llbracket [\eta] \varphi \rrbracket_5 &\stackrel{\text{def}}{=} [\eta] \llbracket \varphi \rrbracket_5 \\
\llbracket \varphi \rrbracket_5 &\stackrel{\text{def}}{=} \varphi
\end{aligned}$$

DEFINITION 3.12: Removing redundant fixed points to obtain a formula in  $\text{sHML}_{\text{nf}}$ .

The implementation `sigmaSHML` of  $\sigma_{\text{shml}}$  is straightforward, mirroring the definition. For substitutions, we use set comprehension and the function `sub` defined in [section 3.2](#) to build a list of substitutions which is then folded with  $\circ$ , i.e. function composition.

The function `reconstruct` is then defined in terms of `sigmaSHML` as described previously. At this stage, any free variables which were renamed as integers in [section 3.3](#) are given back their original names using the function `replace`.

The proof that  $\llbracket \cdot \rrbracket_4$  preserves semantics is given as lemma 12 in [\[4\]](#).

### 3.6 Redundant Fixed Point Removal

As seen in [example 3.10](#), the reconstruction of a formula may give rise to redundant fixed points. This violates the requirement (ii) for  $\text{sHML}_{\text{nf}}$ . Thus the final stage is simply to determine which fixed points are redundant and to remove them.

The definition of the construction  $\llbracket \cdot \rrbracket_5$  is intuitive, see [definition 3.12](#). This is implemented as the function `redfix :: Formula → Formula`. The proof that  $\llbracket \cdot \rrbracket_5$  preserves semantics is given in appendix A.1 of [\[1\]](#).

*Example 3.11.* Take the resulting formula

$$\max X_0 . [i ? \text{req}] (\max X_2 . [i ! \text{ans}] (\max X_{143} . ([i ! \text{ans}] (\max X_5 . \text{ff}) \wedge [i ? \text{req}] X_2)))$$

from [example 3.10](#). Applying `redfix` to this formula yields

$$[i ? \text{req}] (\max X_2 . [i ! \text{ans}] ([i ! \text{ans}] \text{ff} \wedge [i ? \text{req}] X_2)) \in \text{sHML}_{\text{nf}}.$$

## Conclusion

The six stages outlined in the previous chapter convert an arbitrary closed sHML formula into one in  $\text{sHML}_{\text{nf}}$ . Indeed, the stages §3.4, §3.6 and §3.3 ensure that (i), (ii) and (iii) in [section 1.2.2](#) hold respectively.

The last function in the Normaliser module is the function  $\text{nf} :: \text{Formula} \rightarrow \text{Formula}$ , whose definition is done in one line:

```
nf = redfix . reconstruct . norm . sysEq . sf . simplify.
```

This function will carry out all the stages in order, giving a normalised version for any closed sHML formula.

### 4.1 Possible Future Work

There are two main practical issues yet to tackle. First of all, the assumption that any two syntactically disjoint symbolic actions are disjoint in [section 3.4](#) is false in general. Indeed, one need not be creative to find an example:  $\{i?3, i = 4\}$  and  $\{i?3, i \geq 4\}$  are two symbolic actions which are clearly not disjoint. In subsection 5.4.1 of [\[1\]](#), the authors describe a way to manipulate symbolic actions so that their syntactic disjointness implies their semantic disjointness. This takes the form of two “additional” normalisation steps, §3.i and §3.ii.

Once this is taken care of, then the algorithm described in [definition 1.6](#) can be implemented to actually synthesise sHML monitors.



## The Code

### A.1 The sHML Parser

```
1  module SHMLParser where
2
3  import System.IO
4  import Control.Monad
5  import Text.ParserCombinators.Parsec
6  import Text.ParserCombinators.Parsec.Expr
7  import Text.ParserCombinators.Parsec.Language
8  import qualified Text.ParserCombinators.Parsec.Token as
   Token
9
10 — Data Structures
11 data Formula = LVar String
12             | TT
13             | FF
14             | Con Formula Formula
15             | Max String Formula
16             | Nec Patt BExpr Formula
17             deriving Eq
18
19 data Patt = Input Var AExpr
20          | Output Var AExpr
21          deriving Eq
22
23 data Var = BVar String
24         | FVar String
25         deriving Eq
26
27 data AExpr = AVar Var
28           | IntConst Integer
29           | Neg AExpr
```

```

30         | ABin ABinOp AExpr AExpr
31         deriving Eq
32
33 data ABinOp = Add
34             | Subtract
35             | Multiply
36             | Divide
37             deriving Eq
38
39 data BExpr = BoolConst Bool
40             | Not BExpr
41             | And BExpr BExpr
42             | RBin RBinOp AExpr AExpr
43             deriving Eq
44
45 data RBinOp = Eq
46             | Neq
47             | Lt
48             | Gt
49             | LtEq
50             | GtEq
51             deriving Eq
52
53 — Language Definition
54 lang :: LanguageDef st
55 lang =
56     emptyDef{ Token.commentStart    = "/*"
57              , Token.commentEnd      = "*/"
58              , Token.commentLine     = "//"
59              , Token.identStart      = letter
60              , Token.identLetter     = alphaNum
61              , Token.opStart         = oneOf "&~+-*/<>=?$%"
62              , Token.opLetter        = oneOf "&~+-*/<>=?$%"
63              , Token.reservedOpNames = ["&", "~", "+", "-",
64              "!", "*", "/", "<", ">",
65              "<=", ">=", "==", "=",
66              "!=", ".", ",", "!", "?", "$"]
67              , Token.reservedNames  = ["tt", "ff", "max"]
68              }
69
70 — Lexer for language
71 lexer =
72     Token.makeTokenParser lang
73
74
75 — Trivial Parsers
76 identifier = Token.identifier lexer
77 keyword    = Token.reserved lexer
78 op         = Token.reservedOp lexer
79 integer    = Token.integer lexer

```

```

80 roundBrackets = Token.parens lexer
81 squareBrackets = Token.brackets lexer
82 whiteSpace     = Token.whiteSpace lexer
83
84 — Main Parser, takes care of trailing whitespaces
85 formulaParser :: Parser Formula
86 formulaParser = whiteSpace >> formula
87
88 — Parsing Formulas
89 formula :: Parser Formula
90 formula = conFormula
91         <|> formulaTerm
92
93 — Conjunction
94 conFormula :: Parser Formula
95 conFormula =
96     buildExpressionParser [[Infix (op "&" >> return Con)
97                             AssocLeft]] formulaTerm
98
99 — Term in a Formula
100 formulaTerm :: Parser Formula
101 formulaTerm = roundBrackets formula
102             <|> maxFormula
103             <|> necFormula
104             <|> ttFormula
105             <|> ffFormula
106             <|> lvFormula
107
108 — Truth
109 ttFormula :: Parser Formula
110 ttFormula = keyword "tt" >> return TT
111
112 — Falsehood
113 ffFormula :: Parser Formula
114 ffFormula = keyword "ff" >> return FF
115
116 — Logical Variable
117 lvFormula :: Parser Formula
118 lvFormula =
119     do v <- identifier
120     return $ LVar v
121
122 — Least Fixed Point
123 maxFormula :: Parser Formula
124 maxFormula =
125     do keyword "max"
126     x <- identifier
127     op "."
128     phi <- formulaTerm
129     return $ Max x phi
130
131 — Necessity

```

```

131 necFormula :: Parser Formula
132 necFormula = try condNecFormula
133             <|> simpleNecFormula
134
135 — Necessity with condition
136 condNecFormula :: Parser Formula
137 condNecFormula =
138     do (p,c) <- squareBrackets condpatt
139     phi <- formulaTerm
140     return $ Nec p c phi
141
142 — Inside of conditional pattern
143 condpatt :: Parser (Patt, BExpr)
144 condpatt =
145     do p <- pattern
146     op "&,"
147     c <- bExpression
148     return (p,c)
149
150 — Necessity without condition
151 simpleNecFormula :: Parser Formula
152 simpleNecFormula =
153     do p <- squareBrackets pattern
154     phi <- formulaTerm
155     return $ Nec p (BoolConst True) phi
156
157 — Variable
158 var :: Parser Var
159 var = bvar <|> fvar
160
161 — Free Variable
162 fvar :: Parser Var
163 fvar =
164     do v <- identifier
165     return $ FVar v
166
167 — Bound Variable
168 bvar :: Parser Var
169 bvar =
170     do op "$"
171     v <- identifier
172     return $ BVar v
173
174 — Pattern
175 pattern :: Parser Patt
176 pattern = try inputPattern
177         <|> outputPattern
178
179 — Input pattern
180 inputPattern :: Parser Patt
181 inputPattern =
182     do v <- var

```

```

183         op "?"
184         a <- aExpression
185         return $ Input v a
186
187 — Output pattern
188 outputPattern :: Parser Patt
189 outputPattern =
190     do v <- var
191        op "!"
192        a <- aExpression
193        return $ Output v a
194
195 — Arithmetic Expressions
196 aExpression :: Parser AExpr
197 aExpression = buildExpressionParser aOperators aTerm
198
199 aOperators = [ [Prefix (op "-" >> return (Neg          ))
200                ], [Infix (op "*" >> return (ABin Multiply))
201                  AssocLeft,
202                  Infix (op "/" >> return (ABin Divide  ))
203                  AssocLeft]
204                , [Infix (op "+" >> return (ABin Add      ))
205                  AssocLeft,
206                  Infix (op "-" >> return (ABin Subtract))
207                  AssocLeft]
208                ]
209
210 aTerm :: Parser AExpr
211 aTerm = roundBrackets aExpression
212       <|> liftM AVar var
213       <|> liftM IntConst integer
214
215 — Boolean Expressions
216 bExpression :: Parser BExpr
217 bExpression = buildExpressionParser bOperators bTerm
218
219 bOperators = [ [Prefix (op "~" >> return Not)
220                ], [Infix (op "&" >> return And) AssocLeft]
221                ]
222
223 bTerm :: Parser BExpr
224 bTerm = roundBrackets bTerm
225       <|> (keyword "tt" >> return (BoolConst True))
226       <|> (keyword "ff" >> return (BoolConst False))
227       <|> rExpression
228
229 — Relational Expressions
230 rExpression :: Parser BExpr
231 rExpression =

```

```

230     do a1 <- aExpression
231        rel <- relation
232        a2 <- aExpression
233        return $ RBin rel a1 a2
234
235 relation :: Parser RBinOp
236 relation = (op "==" >> return Eq)
237           <|> (op "!=" >> return Neq)
238           <|> (op "<" >> return Lt)
239           <|> (op ">" >> return Gt)
240           <|> (op "<=" >> return LtEq)
241           <|> (op ">=" >> return GtEq)
242
243
244 — Parse String Input
245 parseF :: String -> Formula
246 parseF s =
247     case ret of
248         Left e -> LVar "ErrorParsing"
249         Right f -> f
250     where
251         ret = parse formulaParser "" s
252
253
254 — Pretty Outputs (Parse tree)
255 indent :: Int -> String
256 indent 0 = " "
257 indent 1 = " |-"
258 indent n = " " ++ indent (n-1)
259
260 prettyf :: Formula -> Int -> String
261 prettyf f n = (indent n) ++ pf
262     where
263         pf =
264             case f of
265                 LVar s -> s ++ " (logical variable)\n"
266                 TT -> "TT\n"
267                 FF -> "FF\n"
268                 Con phi psi -> "&\n" ++ prettyf phi (n+1)
269                             ++ prettyf psi (n+1)
270                 Max x phi -> "max " ++ x ++ " .\n"
271                             ++ prettyf phi (n+1)
272                 Nec p c phi -> "Necessity\n"
273                             ++ prettyf p (n+1)
274                             ++ prettyf c (n+1)
275                             ++ prettyf phi (n+1)
276
277 prettyt :: Patt -> Int -> String
278 prettyt p n =
279     case p of
280         Input v a -> (indent n) ++ "Input\n"
281                     ++ prettyt v (n+1) ++ "\n"

```



```

282         ++ prettya a (n+1)
283     Output v a -> (indent n) ++ "Output\n"
284         ++ prettyv v (n+1) ++ "\n"
285         ++ prettya a (n+1)
286
287 prettyv :: Var -> Int -> String
288 prettyv v n =
289     case v of
290         BVar v -> (indent n) ++ v ++ " (binding variable)"
291         FVar v -> (indent n) ++ v ++ " (free variable)"
292
293
294 prettya :: AExpr -> Int -> String
295 prettya a n =
296     case a of
297         AVar v -> prettyv v n ++ "\n"
298         IntConst i -> (indent n) ++ (show i) ++ " (
299 int const)\n"
300         Neg a1 -> (indent n) ++ "Negation (-)\n"
301             ++ prettya a1 (n+1)
302         ABin binop a1 a2 -> (indent n) ++ sbinop ++
303             ++ prettya a1 (n+1)
304             ++ prettya a2 (n+1)
305
306         where
307             sbinop =
308                 case binop of
309                     Add -> "+"
310                     Subtract -> "-"
311                     Multiply -> "*"
312                     Divide -> "/"
313
314 prettyb :: BExpr -> Int -> String
315 prettyb b n = (indent n) ++ pb
316     where
317         pb =
318             case b of
319                 BoolConst bc -> (show bc) ++ " (bool const)
320 \n"
321                 Not b1 -> "Negation (~)\n"
322                     ++ prettyb b1 (n+1)
323                 And b1 b2 -> "&\n" ++ prettyb b1 (n+1)
324                     ++ prettyb b2 (n+1)
325                 RBin rbinop a1 a2 -> sbinop ++ "\n"
326                     ++ prettya a1 (n+1)
327                     ++ prettya a2 (n+1)
328
329         where
330             sbinop =
331                 case rbinop of
332                     Eq -> "="
333                     Neq -> "!="
334                     Lt -> "<"

```

```

331                                     Gt -> ">"
332                                     LtEq -> "<="
333                                     GtEq -> ">="
334
335
336 — Output Parse Tree of a given Formula
337 parseTree :: Formula -> IO ()
338 parseTree f = putStrLn (prettyf f 0)
339
340 — String to Parse Tree
341 stringParseTree :: String -> IO ()
342 stringParseTree s =
343     case ret of
344         Left e -> putStrLn $ "Error: " ++ (show e)
345         Right f -> putStrLn $ "Interpreted as:\n" ++ (
346             prettyf f 0)
347     where
348         ret = parse formulaParser "" s
349
350 — Normal output (formula)
351 instance Show Formula where
352     showsPrec _ TT = showString "tt"
353     showsPrec _ FF = showString "ff"
354     showsPrec _ (LVar v) = showString v
355     showsPrec p (Con f1 f2) =
356         showParen (p >= 2) $ (showsPrec 2 f1) . (" & " ++)
357         . showsPrec 2 f2
358     showsPrec p (Max x f) =
359         showParen (p >= 3) $ (("max " ++ x ++ " . ") ++) .
360         showsPrec 3 f
361     showsPrec p (Nec pt c f) =
362         case c of
363             BoolConst True ->
364                 showParen (p >= 4) $ ("[" ++ show pt ++ "]"
365                     ++) . showsPrec 4 f
366             _ ->
367                 showParen (p >= 4) $ ("[" ++ show pt ++ ", "
368                     ++ show c ++ "]" ++) . showsPrec 4 f
369
370 instance Show Patt where
371     show (Input v a) = (show v) ++ " ? " ++ (show a)
372     show (Output v a) = (show v) ++ " ! " ++ (show a)
373
374 instance Show Var where
375     show (FVar v) = v
376     show (BVar v) = "$" ++ v
377
378 instance Show AExpr where
379     showsPrec _ (AVar v) = shows v
380     showsPrec _ (IntConst i) = shows i
381     showsPrec p (ABin op a1 a2) =

```

```

378         case op of
379             Add ->
380                 showParen (p >= 5) $ (showsPrec 5 a1) . ("
+ " ++) . showsPrec 5 a2
381             Subtract ->
382                 showParen (p >= 5) $ (showsPrec 5 a1) . ("
- " ++) . showsPrec 5 a2
383             Multiply ->
384                 showParen (p >= 6) $ (showsPrec 6 a1) . ("
* " ++) . showsPrec 6 a2
385             Divide ->
386                 showParen (p >= 6) $ (showsPrec 6 a1) . ("
/ " ++) . showsPrec 6 a2
387
388 instance Show BExpr where
389     showsPrec _ (BoolConst b) = shows b
390     showsPrec _ (Not b) = ("~" ++) . (shows b)
391     showsPrec p (And b1 b2) = (shows b1) . (" & " ++) . (
shows b2)
392     showsPrec p (RBin op b1 b2) =
393         case op of
394             Eq ->
395                 (shows b1) . (" = " ++) . (shows b2)
396             Neq ->
397                 (shows b1) . (" != " ++) . (shows b2)
398             Lt ->
399                 (shows b1) . (" < " ++) . (shows b2)
400             Gt ->
401                 (shows b1) . (" > " ++) . (shows b2)
402             LtEq ->
403                 (shows b1) . (" <= " ++) . (shows b2)
404             GtEq ->
405                 (shows b1) . (" >= " ++) . (shows b2)

```

## A.2 The Normalisation Algorithm

```

1  module SHMLNormaliser where
2
3  import Data.List
4  import Data.Char
5  import SHMLParser as Parser
6
7  — Substitution of free variables
8  sub :: Formula -> String -> Formula -> Formula
9  sub phi v psi =
10     case psi of
11         LVar u
12             | u == v    -> phi

```

```

13         | otherwise -> psi
14     Con f1 f2 -> Con (sub phi v f1) (sub phi v f2)
15     Max u f
16         | u == v    -> psi
17         | otherwise -> Max u (sub phi v f)
18     Nec p c f -> Nec p c (sub phi v f)
19     _ -> psi
20
21
22 — Replace free/bound variables of a formula
23 — (Possibly introduces variable capture)
24 replace :: String -> String -> Formula -> Formula
25 replace x y phi =
26     case phi of
27     LVar u
28         | u == x    -> LVar y
29         | otherwise -> phi
30     Con f1 f2 -> Con (replace x y f1) (replace x y f2)
31     Max u f
32         | u == x    -> Max y (replace x y f)
33         | otherwise -> Max u (replace x y f)
34     Nec p c f -> Nec p c (replace x y f)
35     _ -> phi
36
37
38 — Basic Logical Simplifications (step 1)
39 simplify :: Formula -> Formula
40 simplify (Con phi psi) = simplifyCon (simplify phi) (
41     simplify psi)
42     where
43     simplifyCon :: Formula -> Formula -> Formula
44     simplifyCon FF _ = FF
45     simplifyCon _ FF = FF
46     simplifyCon TT b = b
47     simplifyCon b TT = b
48     simplifyCon a b
49         | a == b    = a
50         | otherwise = (Con a b)
51 simplify (Max x psi) = simplifyMax x (simplify psi)
52     where
53     simplifyMax :: String -> Formula -> Formula
54     simplifyMax x TT = TT
55     simplifyMax x FF = FF
56     simplifyMax x (LVar y)
57         | x == y    = TT
58         | otherwise = Max x (LVar y)
59     simplifyMax x (Con phi psi)
60         | phi == LVar x = simplify (Max x psi)
61         | psi == LVar x = simplify (Max x phi)
62         | otherwise    = Max x (Con phi psi)
63     simplifyMax x phi = Max x phi
64 simplify (Nec p c phi)

```

```

64     | simpPhi == TT = TT
65     | otherwise     = Nec p c simpPhi
66   where
67     simpPhi = simplify phi
68   simplify phi = phi
69
70
71   — Standard form (step 2)
72   sf :: Formula -> Formula
73   sf f = simplify (conj (sf' f []))
74   where
75     conj :: (Formula, [String]) -> Formula
76     conj (phi, []) = phi
77     conj (phi, v:vs) = Con phi (conj (LVar v, vs))
78
79   sf' :: Formula -> [String] -> (Formula, [String])
80   sf' (LVar x) bv
81     | x elem bv = (LVar x, [])
82     | otherwise = (TT, [x])
83   sf' (Con phi1 phi2) bv = (Con psi1 psi2, nub (vars1 ++
84     vars2))
85   where
86     (psi1, vars1) = sf' phi1 bv
87     (psi2, vars2) = sf' phi2 bv
88   sf' (Max x phi) bv = (sub (Max x psi) x psi, delete x vars)
89   where
90     (psi, vars) = sf' phi (x:bv)
91   sf' (Nec p c phi) bv = (Nec p c (sf phi), [])
92   sf' phi _ = (phi, [])
93
94   — All variables which appear in formula (free or bound)
95   variables :: Formula -> [String]
96   variables = nub . variables'
97
98   variables' :: Formula -> [String]
99   variables' (LVar x) = [x]
100  variables' (Con phi psi) = (variables' phi) ++ (variables'
101    psi)
102  variables' (Max x phi) = [x] ++ (variables' phi)
103  variables' (Nec p c phi) = variables' phi
104  variables' _ = []
105
106   — Rename the variables in a formula using integers
107   rename :: Formula -> (Formula, [(Int, String)])
108   rename phi = (psi, sigma)
109   where
110     sigma = zip [0..] (variables phi)
111
112   listReplace :: [(Int, String)] -> Formula ->
    Formula

```

```

113     listReplace (p:ps) =
114         (listReplace ps).(replace (snd p) (show (fst p)
115     ))
116     listReplace [] = id
117     psi = listReplace sigma phi
118
119 — Equation 'X = phi' encoded as (X, phi)
120 type Equation = (String, Formula)
121 type SoE       = ([Equation], String, [String])
122
123 — System of Equations (step 3)
124 sysEq :: Formula -> (SoE, [(Int, String)])
125 sysEq phi = (sysEq' 0 phi', sigma)
126     where
127         (phi', sigma) = rename phi
128
129 sysEq' :: Int -> Formula -> SoE
130 sysEq' n TT = ([ (x, TT) ], x, [])
131     where
132         x = "X" ++ show n
133
134 sysEq' n FF = ([ (x, FF) ], x, [])
135     where
136         x = "X" ++ show n
137
138 sysEq' n (LVar y) = ([ (x, LVar y) ], x, [y])
139     where
140         x = "X" ++ show n
141
142 sysEq' n (Con f1 f2) = (eq, x, y1 ++ y2)
143     where
144         x = "X" ++ show n
145         (eq1, x1, y1) = sysEq' (n+1) f1
146         lastEq1 = read ((tail.fst.last) eq1) :: Int
147         (eq2, x2, y2) = sysEq' (lastEq1+1) f2
148         eq = [(x, Con (snd (head eq1)) (snd (head eq2)))]
149         ++ eq1 ++ eq2
150
151 sysEq' n (Max u f) = (eq, x, y)
152     where
153         x = "X" ++ show n
154         (eq1, x1, y1) = sysEq' (n+1) (replace u x f)
155
156 expandX :: Equation -> Equation
157 expandX (v, rhs)
158     | rhs == LVar x = (v, snd(head eq1))
159     | otherwise    = (v, rhs)
160
161 eq = [(x, snd(head eq1))] ++ (map expandX eq1)
162

```

```

163     y = filter (\v->v/=x) y1
164
165 sysEq' n (Nec p c f) = (eq, x, y)
166   where
167     x = "X" ++ show n
168     (eq1, x1, y) = sysEq' (n+1) f
169     eq = [(x, Nec p c (LVar x1))] ++ eq1
170
171
172 — Normalisation of System of Equations (Power Set
   Construction, step 4)
173
174 — The following functions are for subset/index
   manipulation
175 — nsubsets (Non-empty subsets, with singletons first, then
   lexicographical)
176 nsubsets :: Eq a => [a] -> [[a]]
177 nsubsets s = [[i|i<-s] ++ (subsequences s \ ([:[i]|i<-s
   ]))
178
179 — Index (subscript) of a variable Xi
180 idx :: String -> Int
181 idx (x:xs) | x == 'X' = read xs :: Int
182             | otherwise = -1
183
184 — Subset corresponding to given index
185 subIdx :: Int -> Int -> [Int]
186 subIdx n = (!! ) $ nsubsets [0..n-1]
187
188 — Index corresponding to given Subset
189 idxSub :: Int -> [Int] -> Int
190 idxSub n [k] | k < n      = k
191             | otherwise   = error "Not a valid subset"
192 idxSub n s = binarysum (n-1) (reverse memberQSet) + n - 2 -
   maximum s
193   where
194     binarysum k []      = 0
195     binarysum k (x:xs) = (2^k * x) + binarysum (k-1) xs
196     btoi True  = 1
197     btoi False = 0
198     memberQSet = [btoi (i elem s) | i <- [0..(n-1)]]
199
200
201 — All symbolic actions in a formula
202 sas :: Formula -> [(Patt, BExpr)]
203 sas = nub . sas'
204
205 sas' :: Formula -> [(Patt, BExpr)]
206 sas' (Nec p c phi) = (p,c) : sas' phi
207 sas' (Con phi psi) = (sas' phi) ++ (sas' psi)
208 sas' (Max x phi) = sas' phi
209 sas' _ = []

```

```

210
211 — Factor (i.e. normalise) a single equation in SoE with n
    equations
212 factor :: Int -> Equation -> Equation
213 factor n (v, FF) = (v, FF)
214 factor n (v, LVar x) = (v, LVar x)
215 factor n (v, rhs)
216   = (v, bigWedge ((map saVarToFormula $ saVarPairs rhs)
217   ++ (unguardedVars rhs)))
218   where
219     saVars (p,c) (Nec p' c' (LVar x))
220       | p == p' && c == c' = [x]
221       | otherwise          = []
222     saVars (p,c) (Con phi psi) = saVars (p,c) phi ++
223     saVars (p,c) psi
224     saVars (p,c) _ = []
225     guardedVars phi = concat [saVars sa phi | sa <- sas
226     phi]
227     unguardedVars phi = map (\x -> LVar x) (variables
228     phi \\ guardedVars phi)
229     saVarPairs phi = [(sa, map idx $ saVars sa phi) |
230     sa <- sas phi]
231     saVarToFormula ((p,c), v) = Nec p c (LVar ("X" ++
232     show (idxSub n v)))
233     bigWedge [] = FF
234     bigWedge lst = foldl1 (\x y -> Con x y) lst
235 — Normalisation of SoE's
236 norm :: (SoE, a) -> (SoE, a)
237 norm ((eq, x, y), sigma) = ((map (factor n) psEqs, x, y),
238   sigma)
239   where
240     n = length eq
241     conj = \x y -> Con x y
242     lhs = ["X" ++ show i | i <- [0..2^n-2]]
243     rhs = map (foldl1 conj) $ (nsubsets.snd.unzip) eq
244     psEqs = zip lhs rhs
245 — Formula Reconstruction (step 5)
246 — Free variables
247 fv :: Formula -> [String]
248 fv (LVar x) = [x]
249 fv (Con phi psi) = fv phi ++ fv psi
250 fv (Nec p c phi) = fv phi
251 fv (Max x phi) = fv phi \\ [x]
252 fv _ = []
253

```



```

254 — Compose a list of maps
255 compose :: [a -> a] -> (a -> a)
256 compose [] = id
257 compose (f:fs) = f . (compose fs)
258
259 — Reconstruction
260 reconstruct :: (SoE, [(Int, String)]) -> Formula
261 reconstruct ((eq, x, y), sigma) = sigma' recon
262   where
263     recon = sigmaSHML (LVar x) (eq, x, y)
264     sigma' = compose [replace (show u) v | (u,v) <-
265       sigma]
266
267 — Recursive SigmaSHML Map
268 sigmaSHML :: Formula -> SoE -> Formula
269 sigmaSHML phi (eq, x, y)
270   | fv phi == []      = phi
271   | fv phi subset y   = phi
272   | otherwise         = sigmaSHML ((compose subs) phi) (
273     eq, x, y)
274   where
275     getEq v = case lookup v eq of
276       Nothing -> TT
277       Just rhs -> rhs
278
279     subs = [sub (Max x (getEq x)) x | x <- fv phi]
280
281     subset (a:as) b = elem a b && subset as b
282     subset [] b = True
283
284 — Redundant fixed points (step 6)
285 redfix :: Formula -> Formula
286 redfix (Max x phi)
287   | x elem (fv phi) = Max x (redfix phi)
288   | otherwise       = redfix phi
289 redfix (Con phi psi) = Con (redfix phi) (redfix psi)
290 redfix (Nec p c phi) = Nec p c (redfix phi)
291 redfix phi = phi
292
293 — Normal Form (all steps in order)
294 nf :: Formula -> Formula
295 nf = redfix . reconstruct . norm . sysEq . sf . simplify
296
297 — Normal Form from string
298 nfs :: String -> Formula
299 nfs = nf . parseF

```

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