



**Use and misuse of a common growth metric: guidance for appropriately calculating and reporting specific growth rate**

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- 1    Use and misuse of a common growth metric: guidance for appropriately calculating and
- 2    reporting specific growth rate
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## 11 Abstract

12 Growth is an important metric in fisheries and aquaculture. Growth of small fish over relatively  
13 short periods of time is commonly modeled with an exponential function using instantaneous  
14 growth rate ( $g$ ). Instantaneous growth rates are logarithmic and inherently difficult to interpret,  
15 but specific growth rates (SGR) express growth as the intuitively understandable percent change  
16 in size per unit of time. A simple metric of SGR ( $G$ ) is easily computed by exponentiating  $g$ ,  
17 subtracting 1, and multiplying by 100. However, several prominent fisheries publications suggest  
18 that SGR should be calculated by simply multiplying  $g$  by 100 (we call this  $G^*$ ). A search of the  
19 fisheries literature found that the number of papers that used SGR for fish increased significantly  
20 from 1830 papers in 2009 to 3170 papers in 2018. An extensive review of 300 papers from this  
21 search found that 92.6% were related to aquaculture and only 3.3% of all papers correctly used  $G$   
22 to calculate SGR. We algebraically show that  $G^*$  is fundamentally different than  $G$  and cannot  
23 be interpreted as a percent change in weight per unit of time. Furthermore we demonstrate, with  
24 two examples from the literature, that using  $G^*$  as if it were the same as  $G$  leads to biologically  
25 meaningful underestimates of true growth rates and estimated weights. Given these results and  
26 the simplicity with which  $G$  can be computed from  $g$ , we recommend that fisheries scientists  
27 abandon the pervasive practice of incorrectly measuring SGR as 100 times the instantaneous  
28 growth rate.

29 Keywords: aquaculture, fisheries sciences, specific growth rate

## Introduction

Individual growth is one of the most commonly calculated vital rates in aquaculture and fisheries management (Quist & Iserman 2018), and is often related to the other vital rates, including survival (Post & Evans, 1989; Olson 1996; Garvey *et al.* 1998) and fecundity (Danylchuk & Fox 1994; Michaletz 1998). Fish growth is of great interest for production aquaculture and commercial fisheries management because of its importance to yield (Ricker 1975), and to sportfish management because of its effect on population size structure. Growth is the net result of energy intake and expenditure, and as such, is usually influenced by environmental conditions such as prey availability (Hoxmeier *et al.* 2006; Michaletz 2014; Crane & Einhouse 2016), predation risk (Shoup *et al.* 2003; Westerberg *et al.* 2004), turbidity (Tomcko & Pierce 2001; Shoup & Lane 2015), temperature (Michaletz 2014; Weber *et al.* 2015), and water chemistry (Tomcko & Pierce 2001; Shoup *et al.* 2007). Growth can be expressed in many ways (e.g., relative growth, instantaneous growth, size-specific growth), but all growth metrics require knowledge of the size of fish at two or more points in time, either from direct or indirect measurements (Shoup & Michaletz 2017). The time interval between size measurements can range from days to decades depending on the species and question of interest.

It is often assumed that weight of fish, especially small fish, increases exponentially over short periods of time (e.g., hours, days, weeks, or a few years). With this assumption, an exponential function can be used to model weight ( $w_2$ ) at some future time ( $t_2$ ) from weight ( $w_1$ ) at time  $t_1$  with:

$$w_2 = w_1 e^{g\Delta t}, \quad (1)$$

where  $g$  is the instantaneous growth rate and  $\Delta t = t_2 - t_1$  is the elapsed time between  $t_1$  and  $t_2$ .

Algebraic rearrangement of equation 1 shows that  $g$  may be computed from:

$$g = \frac{\log_e(w_2) - \log_e(w_1)}{\Delta t}, \quad (2)$$

which is a well-known equation in the fisheries literature (Ricker 1975; Shoup & Michaletz 2017). Instantaneous growth rates are difficult to interpret because  $g$  represents the additive change in *log weight* per unit time (Elliott & Hurley 1995), and not a change in *weight* per unit time. A more interpretable metric of growth can be obtained by algebraically rearranging equation 1 to:

$$\left(\frac{w_2}{w_1}\right)^{\frac{1}{\Delta t}} = e^g. \quad (3)$$

Thus,  $e^g$  is the multiplicative change in weight per unit time. Usually  $w_2 > w_1$  such that  $e^g > 1$  and  $e^g - 1$  will give the proportional increase in weight per unit time. Multiplying this value by 100 gives:

$$G = 100(e^g - 1), \quad (4)$$

which is the percent increase in weight per unit time, has units of percent change (of weight) per unit time, and has been called the specific growth rate (SGR; Houde and Schekter 1981). This concept of the SGR has become confused in the fisheries literature because several widely-used publications (Busacker *et al.* 1990; Wootton 1990; Hopkins 1992; Cook *et al.* 2000; Westers 2001; Moyle & Cech 2004; Shoup & Michaletz 2017; Lugert *et al.* 2016) calculated SGR with:

$$G^* = 100g, \quad (5)$$

However,  $G^*$  does not have the same meaning as  $G$ . Multiplying  $g$ , an additive change in *log weight*, as noted above, by 100 does not make it a percent change in weight. Furthermore,  $g$  can be algebraically rearranged to:

73 
$$g = \frac{\log_e\left(\frac{w_2}{w_1}\right)}{\Delta t}, \tag{6}$$

74 which illustrates that multiplying by 100 does not coerce  $g$  into a percent change in weight  
75 because  $g$  is on the log scale and the numerator in the log function is  $w_2$  and not a change in  
76 weight (i.e.,  $w_2-w_1$ ).

77 Most critiques of SGR have focused on whether the exponential model is appropriate to  
78 describe fish growth (Dumas *et al.* 2010; Lugert *et al.* 2016), which we do not address here. Our  
79 objectives here are to (1) demonstrate that  $G^*$  is commonly used and  $G$  is rarely used for  
80 estimating SGR in the fisheries literature and (2) illustrate how using  $G^*$  instead of  $G$  can lead to  
81 errors in interpretation.

82  
83 **Literature review**

84 *Paper selection and data extraction*

85 We reviewed the fisheries literature to determine the extent to which SGR is used, the rate at  
86 which  $G$  and  $G^*$  are used to calculate SGR, and other characteristics related to the use of SGR  
87 (described below). To estimate the overall use of SGR, we recorded the number of results  
88 returned by GoogleScholar™ (hereafter, GS) using the search criteria “specific growth rate”  
89 AND “fish” for each year from 2009 through 2018. We then used PublishOrPerish™ (Harzing  
90 2007) to efficiently obtain the specific citation information for a sample of about 1000 results  
91 each year from the GS search. To reduce possible ranking bias related to the GS search  
92 algorithm, we randomized the results from each year and then examined as many results as  
93 needed to obtain a sample of 30 results per year that met the following criteria: a result must be a  
94 journal article (i.e., a “paper”), be electronically accessible to us via the internet or our library

subscriptions, be written in English, be peer-reviewed, not be a synthetic review, specifically mention “specific growth rate” or “SGR” and have SGR be a substantive portion of the paper (i.e., SGR was calculated and reported as a result), be about fish (shellfish were excluded), provide the specific SGR equation, and not use the mass-specific SGR (Ostrovsky 1995; Sigourney *et al.* 2008). We recorded the reasons why a paper was not included in our sample and the number of papers that we examined each year to reach 30 included papers. For papers included in our sample we recorded whether  $G$ ,  $G^*$ , or some other equation was used to calculate SGR; the reference (if any) provided for the SGR equation; whether lengths or weights were used in the SGR equation; units reported for SGR; and whether SGR was used primarily in the context of an aquaculture or ecological study. For papers where either  $G$  or  $G^*$  was not used, we recorded whether it appeared that the authors attempted to use  $G^*$  but presented it with typographical errors (e.g., missing or mismatched parentheses), did not multiply by 100 (i.e., used  $g$ ), did not use logarithms, or they appeared to use some other equation that was not at all similar to  $G^*$ .

### *Statistical analyses*

We estimated the proportion of papers per year that did not meet our inclusion criterion with  $p_i = \frac{n_i - 30}{n_i}$ , where  $n_i$  is the total number of papers we examined in year  $i$ . We then estimated the total number of papers returned by GS that would have met our inclusion criterion (if we would have completed a full census of papers returned by GS each year) with  $N_i^* = N_i p_i$ , where  $N_i$  is the total number of results returned by GS. We used simple linear regression to examine linear trends in  $N_i$  and  $N_i^*$  from 2009 through 2018. Ninety-five percent confidence intervals (CI) for percentages computed from binomial results (e.g., whether the paper had an aquaculture or

ecological context) were computed with the method of Wilson (1927) as suggested by Agresti and Coull (1998), whereas those computed from multinomial results (e.g., type of equation used) used the method of May and Johnson (2000). All statistical analyses were conducted in the R environment (R Core Team, 2019) using `binom.wilson()` of the `epitools` package (Aragon 2017) and `multinomialCi()` from the `multinomialCI` package (Villacorta 2012). Results were deemed statistically significant when  $p < 0.05$ .

#### *Rate of SGR usage in fisheries literature*

The total number of articles returned by GS that met our search criteria increased significantly ( $p = 0.0002$ ) from 1830 articles in 2009 to 3170 articles in 2018, an average increase of 142 (CI: 92-193) articles per year (Figure 1). Between 38.8% (in 2016) and 68.1% (in 2009) of GS results per year did not meet our inclusion criterion. The primary reason for not being included was because the article was not about fish (54.8% of excluded articles) or not about SGR (8.8%). An additional 12.0% were excluded because the article did not present the SGR equation. The total number of articles that would have met our inclusion criteria increased significantly ( $p = 0.0002$ ) from 584 in 2009 to 1865 in 2018, an average increase of 132 (CI: 84-181) articles per year (Figure 1).

#### *Characteristics of SGR usage*

The vast majority of papers that used SGR were related to aquaculture (92.6%; CI: 89.1-95.1%), and used weight (96.0%; CI: 93.1-97.7%) rather than length as the measure of size. Thirty-eight papers (12.7%; CI: 9.4-16.9%) provided a citation for use of SGR, with Ricker (1975; 5 citations), Houde and Schekter (1981; 6 citations), and Hopkins (1992; 5 citations) the



most commonly cited sources. Interestingly, none of these cited papers suggested using  $G^*$  to calculate SGR; Ricker (1975) never mentions multiplying  $g$  (what he called  $G$ ) by 100 and provides the equation  $e^g - 1$  to describe proportional increases in weight, Houde and Schekter (1981) used  $G$  to calculate SGR, and although Hopkins (1992) provided the equation for  $G^*$ , he explicitly stated that it was incorrect and advised aquaculturists to report  $g$  (what he called  $G$ ). Despite the most commonly cited sources for use of SGR either not providing the equation for  $G^*$  or advising against the use of  $G^*$ , only 10 of the 300 papers (3.3%; CI: 1.8-6.0%) we examined from 2009 to 2018 correctly used  $G$  to calculate SGR, all of which used the correct units of %/day. Six of the 10 papers that used  $G$  provided a reference for the equation, with five citing Houde and Schekter (1981) and one citing Ricker (1975). The SGR was incorrectly calculated using  $G^*$  in 85.7% (CI: 81.2-89.2%) of papers. Additional papers appeared to attempt to use  $G^*$ , but 4.7% (CI: 2.8-7.7%) presented the equation with a likely typographical error, 2.3% (CI: 1.1-4.7%) did not multiply by 100, and 1.7% (CI: 0.7-3.8%) did not use logarithms. Of the 290 papers that did not use  $G$ , 19.7% (CI: 15.5-24.6%) either did not present units for SGR or different units appeared throughout the paper. Of the 233 papers that did not use  $G$  and provided consistent units for SGR, 71.7% (CI: 65.6-77.1%) used %/day and 17.2% (CI: 12.9-22.5%) used %, with the remaining 11.2% (CI: 7.7-15.8%) using a variety of incorrect units.

### ***G and $G^*$ in practice***

We examined the growth of average fish from two published studies to provide a comparison of  $G$  and  $G^*$  in practice across a range of growth rates and times. Bell *et al.* (2010) examined growth of Atlantic salmon (*Salmo salar*) intensively reared under a variety of diets for a 55-week trial. We used their results for the Caledonian strain of Atlantic salmon fed a fish oil diet as an

example of a slow growth rate; mean initial weight was 52.8 g and mean final weight after 385 days was 2750 g (Figure 2). Oliveira *et al.* (2012) investigated the effects of stocking density on growth of pirarcu (*Arapima gigas*). We used their results as an example of a high growth rate; mean initial weight was 113.5 g and mean final weight after 140 days was 2630 g (Figure 2).

The value of  $G^*$  will always be less than the value of  $G$ ; thus the true growth rate (assuming exponential growth) will be underestimated by  $G^*$ . If the unit of time used is small enough that only a small amount of growth occurs per unit time (i.e.,  $G$  is near zero) then  $G^*$  will be only slightly less than  $G$ . For example,  $G=1.032\%/d$  and  $G^*=1.027\%/d$  for the Atlantic salmon example and  $G=2.270\%/d$  and  $G^*=2.245\%/d$  for the pirarcu example. However, if the unit of time is such that more growth occurs during that time, then  $G^*$  will be substantially lower than  $G$ . For example, when SGR is computed per month (i.e., 30 days), then  $G=36.1\%/month$  and  $G^*=30.8\%/month$  for the Atlantic salmon example and  $G=96.1\%/month$  and  $G^*=67.3\%/month$  for the pirarcu example. It is also evident from this example that the monthly  $G^*$  is simply 30 times the daily  $G^*$ , illustrating that daily growth did not compound over the entire month, as it should and as it does with  $G$ .

The discrepancies between  $G^*$  and  $G$  can be further illustrated by predicting the final weights for the Atlantic salmon and piracu examples using  $G$  and  $G^*$  (but treated as a proportion rather than a percentage) in the familiar compound interest equation:

$$w_2 = w_1(1 + G \text{ [or } G^*])^{\Delta t}. \quad (7)$$

Predicted mean final weight of Atlantic salmon after 55 weeks was 2750 g using  $G$  but only 2695 g using  $G^*$ , and after 140 days was 2630 g using  $G$  and 2540 g using  $G^*$  for piracu. These differences may not appear large and may not even be apparent when plotted (Figure 2-Left). However, predicted weights using  $G$  matched the observed final mean weights, whereas, using

$G^*$  resulted in estimated mean final weights that were 2.00% lower for Atlantic salmon and 3.41% lower for pirarucu compared to measured weights at the end of their respective studies (Figure 2-Right).

## Conclusions and recommendations

Specific growth rate is a widely used growth metric that has primarily been calculated in the fisheries literature by multiplying the instantaneous growth rate by 100 (i.e., using  $G^*$ ). Although  $G^*$  may appear to be a close approximation of  $G$  in many cases, it leads to biologically meaningful differences in estimated weights. Thus, we urge scientists to use  $G$  instead of  $G^*$  when measuring SGR because (1) the calculation of  $G$  is technically sound and consistent with convention for other exponential equations used in fisheries science, ecology, and economics; (2) the calculation of  $G$  is simple and thus not necessary to approximate using  $G^*$ ; and (3) the units of  $G$  are meaningful as an actual percent change in weight. As a large body of literature using  $G^*$  already exists, authors of future works may also report  $g$ , but labeled as an instantaneous rather than specific growth rate and not multiplied by 100 to eliminate confusion. With this, comparisons between  $g$  and already published values of  $G^*$  divided by 100 can still be made.

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## Figures

Figure 1: Number of articles returned from a GoogleScholar search using “specific growth rate” AND “fish” and the estimated number of articles that met our inclusion criteria by year from 2009-2018.

Figure 2: Predicted weight (Left) using  $G$  (solid line) and  $G^*$  (dashed line) and percent error of calculated weight using  $G$  from calculated weight using  $G^*$  (Right) for the Atlantic salmon and pirarucu examples. Note that the solid and dashed lines are nearly coincident within species on the left plot. The values displayed on the right plot are the percent error at the end of each respective experiment.

Number of articles



