

Definability, continuous embeddings and learning classes of algebraic structures

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Introduction

- ▶ Classical algorithmic learning theory - deals with classes of languages (i.e. c.e. sets).
- ▶ Two of the main notions - **Inf**-learning and **Txt**-learning.
- ▶ We want to explore learning theory in the context of computable structure theory.
- ▶ **Inf**-learning for structures - already established notion.
- ▶ **Txt**-learning for structures - new work.

Basic notions

- ▶ We work with countable structures with domains $\subseteq \omega$.
- ▶ $D(\mathcal{A})$ - the diagram of \mathcal{A} , consisting of *positive* and *negative* atomic sentences true in \mathcal{A} .
- ▶ An **informant** for the structure \mathcal{A} is a surjective function $\mathbb{I}_{\mathcal{A}} : \omega \rightarrow D(\mathcal{A})$.
- ▶ $D_+(\mathcal{A})$ - the positive diagram of \mathcal{A} , consisting only of *positive* atomic sentences true in \mathcal{A} .
- ▶ A **text** for the structure \mathcal{A} is a surjective function $\mathbb{T}_{\mathcal{A}} : \omega \rightarrow D_+(\mathcal{A})$.
- ▶ Let $Atm(L)$ denote the set of (the Gödel numbers) of all *positive* and *negative* atomic sentences in the language $L \cup \omega$.
- ▶ $Atm_+(L)$ - only the positive.

Let $\mathfrak{K} \subseteq \text{Mod}(L)$ be a family with countably many nonisomorphic structures for a fixed language L including $=$ and \neq , closed under isomorphism.

- ▶ The *learning domain* (LD) is the collection of all copies \mathcal{S} of the structures from \mathfrak{K} such that $\text{dom}(\mathcal{S}) \subseteq \omega$, i.e.,

$$\text{LD}(\mathfrak{K}) = \bigcup_{i \in \omega} \{\mathcal{S} \in \text{Mod}(L) : \mathcal{S} \cong \mathcal{A}_i\}.$$

- ▶ The *hypothesis space* (HS) contains the indices i for $\mathcal{A}_i \in \mathfrak{K}$ (an index is viewed as a conjecture about the isomorphism type of an input structure \mathcal{S}) and a question mark symbol:

$$\text{HS}(\mathfrak{K}) = \omega \cup \{?\}.$$

- ▶ A learner M sees, stage by stage, **positive and negative** or **only positive** data about a given structure from $\text{LD}(\mathfrak{K})$. The learner M is required to output conjectures from $\text{HS}(\mathfrak{K})$.

Inf-learning

A *learner* is a function $M : \text{Atm}(L)^{<\omega} \rightarrow \text{HS}(\mathfrak{K})$.

Definition (Bazhenov-Fokina-San Mauro)

We say that the family \mathfrak{K} is **Inf-learnable** if there exists a learner M such that for any structure $\mathcal{S} \in \text{LD}(\mathfrak{K})$ and any informant $\mathbb{I}_{\mathcal{S}}$ for \mathcal{S} , the learner eventually stabilizes to a correct conjecture about the isomorphism type of \mathcal{S} . More formally, there exists a limit

$$\lim_{k \rightarrow \omega} M(\mathbb{I}_{\mathcal{S}} \upharpoonright_k) = i$$

belonging to ω , and \mathcal{A}_i is isomorphic to \mathcal{S} .

Txt-learning

A *learner* is a function $M : \text{Atm}_+(L)^{<\omega} \rightarrow \text{HS}(\mathfrak{K})$.

Definition (following Fokina-Kötzing-San Mauro)

We say that the family \mathfrak{K} is **Txt-learnable** if there exists a learner M such that for any structure $\mathcal{S} \in \text{LD}(\mathfrak{K})$ and any text $\mathbb{T}_{\mathcal{S}}$ for \mathcal{S} , the learner eventually stabilizes to a correct conjecture about the isomorphism type of \mathcal{S} . More formally, there exists a limit

$$\lim_{k \rightarrow \omega} M(\mathbb{T}_{\mathcal{S}} \upharpoonright_k) = i$$

belonging to ω , and \mathcal{A}_i is isomorphic to \mathcal{S} .

Examples

We use the notation $[\alpha_1 : \beta_1, \dots, \alpha_n : \beta_n]$, where $\alpha_i, \beta_i \leq \omega$, to denote the equivalence structure with β_i number of equivalence classes of size α_i , for all $i = 1, \dots, n$.

- ▶ The class $\{[\omega : 1], [\omega : 2]\}$ is **Inf**-learnable, but not **Txt**-learnable.
- ▶ The class $\{[3 : \omega, 2 : 1], [3 : \omega]\}$ is not **Inf**-learnable.

For linear orderings,

- ▶ $\{\omega, \zeta\}$ is not **Inf**-learnable.
- ▶ $\{\omega, \omega^*\}$ is **Inf**-learnable.
- ▶ $\{1 + \eta, \eta + 1\}$ is **Inf**-learnable.

Turing computable embeddings

Definition (Knight-Miller-Vanden Boom)

The class \mathcal{K} is **Turing computably embeddable** in \mathcal{K}' ,

$$\mathcal{K} \leq_{tc} \mathcal{K}',$$

if there is a Turing operator Φ_e such that

- ▶ for each $\mathcal{A} \in \mathcal{K}$, $\varphi_e^{D(\mathcal{A})} = \chi_{D(\mathcal{B})}$, where $\mathcal{B} \in \mathcal{K}'$;
- ▶ Let $\mathcal{A}_1, \mathcal{A}_2 \in \mathcal{K}$, $\varphi_e^{D(\mathcal{A}_1)} = \chi_{D(\mathcal{B}_1)}$ and $\varphi_e^{D(\mathcal{A}_2)} = \chi_{D(\mathcal{B}_2)}$. Then $\mathcal{A}_1 \cong \mathcal{A}_2$ iff $\mathcal{B}_1 \cong \mathcal{B}_2$.

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In general, $\Psi : 2^\omega \rightarrow 2^\omega$ is Cantor-continuous iff there exists a Turing operator Φ_e and a set X such that $\Psi(A) = \Phi_e(A \oplus X)$. Then we write $\mathcal{K} \leq_{\text{Cantor}} \mathcal{K}'$.

The Pullback Theorem

A main tool in the syntactic characterization of **Inf**-learning.

Theorem (Knight-Miller-Vanden Boom)

Suppose that $\mathfrak{K}_1 \leq_{\text{Cantor}} \mathfrak{K}_2$ via continuous $\Phi : 2^\omega \rightarrow 2^\omega$. Then for any infinitary sentence ψ_2 in the language of \mathfrak{K}_2 , one can find an infinitary sentence ψ_1 in the language of \mathfrak{K}_1 such that for all $\mathcal{A} \in \mathfrak{K}_1$, we have

$$\mathcal{A} \models \psi_1 \leftrightarrow \Phi(\mathcal{A}) \models \psi_2.$$

Moreover, for a non-zero $\alpha < \omega_1^{CK}$, if ψ_2 is a $\Sigma_\alpha^{\text{inf}}$ sentence, then so is ψ_1 .

It can be effectivized relative to some oracle X .

Characterization of **Inf**-learning.

Consider the class $\mathcal{K}_{\text{univ}} = \{\mathcal{A}_i : i \in \omega\}$, where $\mathcal{A}_i = [\omega : 1, i + 1 : 1]$.

Theorem (Bazhenov-Fokina-San Mauro 2020)

Let $\mathcal{K} = \{\mathcal{A}_i \mid i \in \omega\}$. The following are equivalent:

- ▶ \mathcal{K} is **Inf**-learnable;
- ▶ $\mathcal{K} \leq_{\text{Cantor}} \mathcal{K}_{\text{univ}}$;
- ▶ *There are Σ_2^{inf} sentences ψ_i such that*

$$\mathcal{A}_i \models \psi_j \leftrightarrow i = j.$$

Continuous operators on $\mathcal{P}(\omega)$

- ▶ The Scott topology on $\mathcal{P}(\omega)$ is formed by taking $U_v = \{A \subseteq \omega \mid D_v \subseteq A\}$ as the basic open sets.
- ▶ We say that $\Gamma : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ is an **enumeration operator** if there exists a c.e. set W such that

$$\Gamma(A) = \{x \mid (\exists v)[\langle x, v \rangle \in W \ \& \ D_v \subseteq A]\}.$$

- ▶ $A \leq_e B$ iff there exists an enumeration operator Γ such that $A = \Gamma(B)$.
- ▶ We say that $\Gamma : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ is a *generalized* enumeration operator if there exists a set B such that

$$\Gamma(A) = \{x \mid (\exists v)[\langle x, v \rangle \in B \ \& \ D_v \subseteq A]\}.$$

- ▶ It is well-known that $\Gamma : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ is Scott-continuous iff Γ is a generalized enumeration operator.

Scott-Continuous Embeddings

A mapping $\Gamma : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ is Scott-continuous if and only if Γ is

- (a) monotone, i.e., $A \subseteq B$ implies $\Gamma(A) \subseteq \Gamma(B)$, and
- (b) compact, i.e., $x \in \Gamma(A)$ if and only if $x \in \Gamma(D)$ for some finite $D \subseteq A$.

Definition

A mapping Γ is a **Scott-continuous embedding** of \mathfrak{K}_0 into \mathfrak{K}_1 , denoted by $\Gamma : \mathfrak{K}_0 \leq_{\text{Scott}} \mathfrak{K}_1$, if Γ is Scott-continuous and satisfies the following:

1. For any $\mathcal{A} \in \mathfrak{K}_0$, $\Gamma(\mathcal{D}_+(\mathcal{A}))$ is the positive atomic diagram of a structure from \mathfrak{K}_1 .
2. For any $\mathcal{A}, \mathcal{B} \in \mathfrak{K}_0$, we have $\mathcal{A} \cong \mathcal{B}$ if and only if $\Gamma(\mathcal{A}) \cong \Gamma(\mathcal{B})$.

An important remark

- ▶ When the operator Γ is an enumeration operator, then we write \leq_{pc} instead of \leq_{Scott} .
- ▶ The relation \leq_{pc} is **different** from \leq_c as defined in [Knight-Miller-Vanden Boom], which is like \leq_{tc} where Turing operator is replaced by enumeration operator.
- ▶ The reason for this is the same as for $K \oplus \overline{K} \not\leq_e K$.

- ▶ When $\mathcal{K}_0 \leq_{pc} \mathcal{K}_1$, then **any** enumeration of a copy of the given input structure must always produce an enumeration of **the same** copy of the output structure.
- ▶ In other words, the construction must not depend on the enumeration of the input.

- ▶ When $\mathcal{R}_0 \leq_{pc} \mathcal{R}_1$, then **any** enumeration of a copy of the given input structure must always produce an enumeration of **the same** copy of the output structure.
- ▶ In other words, the construction must not depend on the enumeration of the input.
- ▶ By monotonicity, $\{1, 2\} \leq_{tc} \{\omega, \omega^*\}$, but $\{1, 2\} \not\leq_{pc} \{\omega, \omega^*\}$.

Characterization of the tc -class of $\{\omega, \omega^*\}$

Theorem

Let \mathcal{A} and \mathcal{B} be non-isomorphic L -structures. T.F.A.E.

- (1) $\{\omega, \omega^*\} \equiv_{tc} \{\mathcal{A}, \mathcal{B}\};$
- (2) \mathcal{A} and \mathcal{B} have computable copies, they have the same finite substructures, and they differ by Σ_2^c sentences.

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- (2) \mathcal{A} and \mathcal{B} have computable copies, they have the same finite substructures, and they differ by Σ_2^c sentences.

It follows that all pairs of the form $\{\omega \cdot k, \omega^* \cdot k\}$, for any $k > 0$ are equivalent under Turing computable embeddings.

What about computable embeddings (enumeration operators) ?

The top pair among linear orderings

As a first step, we would like to study the pairs of linear orderings inside the tc -degree of $\{\omega, \omega^*\}$ relative to \leq_{pc} . It turns out that we have a top pair.

Theorem

For any pair $\{\mathcal{A}, \mathcal{B}\} \equiv_{tc} \{\omega, \omega^\}$, we have that*

$$\{\mathcal{A}, \mathcal{B}\} \leq_{pc} \{1 + \eta, \eta + 1\},$$

where η is the order type of the rationals.

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where η is the order type of the rationals.

Corollary

For any natural number $k > 0$,

$$\{\omega \cdot k, \omega^* \cdot k\} <_{pc} \{1 + \eta, \eta + 1\}.$$

(The strictness comes from monotonicity.)

Theorem (Bazhenov-Ganchev-V 2021)

$$\{\omega \cdot n, \omega^* \cdot n\} \leq_{pc} \{\omega \cdot k, \omega^* \cdot k\} \leftrightarrow n \mid k.$$

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Easy to see that for all n, k ,

$$\{\omega \cdot n, \omega^* \cdot n\} \equiv_{tc} \{\omega \cdot k, \omega^* \cdot k\}.$$

More sample results:

- ▶ $\{\omega, \omega^*\} \leq_{pc} \{\omega^2, (\omega^2)^*\}.$
- ▶ $\{\omega.2, \omega^*.2\} \leq_{pc} \{\omega^2, (\omega^2)^*\}.$
- ▶ But $\{\omega.n, \omega^*.n\} \not\leq_{pc} \{\omega^2, (\omega^2)^*\}$ for $n \geq 3$.

We lack good intuition what happens in general.

Examples

For a **strict** linear order L , by \tilde{L} we denote the preorder such that:

- ▶ every equivalence class of the induced equivalence relation \sim is countably infinite;
- ▶ the quotient poset L/\sim is isomorphic to L .

It is clear that

$$\{\omega, \omega^*\} \equiv_{tc} \{\tilde{\omega}, \tilde{\omega}^*\},$$

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It is clear that

$$\{\omega, \omega^*\} \equiv_{tc} \{\tilde{\omega}, \tilde{\omega}^*\},$$

The following is also clear:

$$\{\omega, \omega^*\} \leq_{pc} \{\tilde{\omega}, \tilde{\omega}^*\}.$$

Question (Kalimullin)

Is the following true:

$$\{\tilde{\omega}, \tilde{\omega}^*\} \leq_{pc} \{\omega, \omega^*\}?$$

Examples

For a linear ordering L , let L_S be the enrichment of L with the successor relation.

Theorem (Ganchev-Kalimullin-V 2018)

$$\{\tilde{\omega}_S, \tilde{\omega}_S^*\} \not\leq_{pc} \{\omega_S, \omega_S^*\}.$$

The original question is still open, as far as I know.

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If you consider ω and ω^* as **non-strict** linear orderings, then we have the following:

Proposition (B-F-R-S-V 2024)

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Intuition: With $x \leq y$, it may later turn out that $x \sim y$. With $x < y$, it implies that $x \not\sim y$.

Towards a characterization of **Txt**-learning

We introduce *positive infinitary formulas* in a fashion similar to Ivan Soskov's works:

- A Σ_0^P -formula is a finite conjunction of atomic τ -formulas.
- A Π_0^P -formula is a finite disjunction of negations of atomic τ -formulas.
- A Σ_1^P -formula is a formula of the form:

$$\bigvee_{i \in I} \exists \bar{y}_i \psi_i(\bar{x}, \bar{y}_i),$$

where each ψ_i is a Σ_0^P -formula.

- A Π_1^P -formula is a formula of the form:

$$\bigwedge_{i \in I} \forall \bar{y}_i \psi_i(\bar{x}, \bar{y}_i),$$

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- A Σ_{n+2}^P -formula is a countable disjunction

$$\bigvee_{i \in I} \exists \bar{y}_i (\psi_i(\bar{x}, \bar{y}_i) \ \& \ \xi_i(\bar{x}, \bar{y}_i)),$$

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where ψ_i is a Σ_{n+1}^P -formula and ξ_i is a Π_{n+1}^P -formula.

In a similar way, one could define Σ_{α}^P -formulas for every countable ordinal α .

Relatively intrisically relations

- Recall that a relation R on \mathcal{A} is relatively intrisically Σ_α^0 if in any copy \mathcal{B} of \mathcal{A} , $R^\mathcal{B}$ is c.e. in $D(\mathcal{A})^{(\alpha)}$.

Theorem (AKMS-C)

A relation R on \mathcal{A} is relatively intrisically Σ_α^0 iff R is definable in \mathcal{A} by a Σ_α^c .

Relatively intrinsically relations

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Theorem (AKMS-C)

A relation R on \mathcal{A} is relatively intrinsically Σ_α^0 iff R is definable in \mathcal{A} by a Σ_α^c .

- ▶ For a set A , define $K_A = \{e \mid e \in \Gamma_e(A)\}$, where Γ_e is an enumeration operator.
- ▶ Then the enumeration jump of A , $J_e(A) = K_A \oplus \overline{K_A}$.
- ▶ A relation R on \mathcal{A} is relatively α -intrinsically reducible to \mathcal{A} if in any copy \mathcal{B} of \mathcal{A} , $R^{\mathcal{B}} \leq_e J_e^{(\alpha)}(D_+(\mathcal{A}))$.

Theorem (Soskov)

A relation R on \mathcal{A} is relatively α -intrinsically reducible to \mathcal{A} iff R is Σ_α^{pc} -definable in \mathcal{A} .

A Borel hierarchy

Let \mathcal{X} be an **arbitrary** topological space.

Definition (Selivanov, De Brecht)

- The class Σ_1^0 contains precisely the open sets in \mathcal{X} .
- For a countable $\alpha > 1$, the class Σ_α^0 contains the sets $A \subseteq \mathcal{X}$ which can be expressed in the form

$$A = \bigcup_{i \in \omega} (B_i \setminus C_i),$$

where B_i and C_i belong to $\Sigma_{\beta_i}^0$ for some $\beta_i < \alpha$.

- As usual, a set A belongs to Π_α^0 if its complement \bar{A} lies in Σ_α^0 .

For Polish spaces, this definition is equivalent to the classical one.
 $P(\omega)$ is **not Polish, but quasi-Polish** (after de Brecht).

Recall the following classical result which connects $L_{\omega_1, \omega}$ -formulas with Borel complexity:

Theorem (Lopez-Escobar; Vaught)

Let \mathcal{K} be a subclass of $Mod(L)$ which is closed under isomorphisms. Let $\alpha > 0$ be a countable ordinal. Then \mathcal{K} is Σ^0_α in $Mod(L)$ if and only if \mathcal{K} is axiomatizable by a Σ^{inf}_α -sentence.

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We prove a new version of this result:

Theorem (B-F-R-S-V 2024)

Let \mathcal{K} be a subclass of $Mod_p(L)$ which is closed under isomorphisms. Let $\alpha > 0$ be a countable ordinal. Then \mathcal{K} is Σ^0_α in the space $Mod_p(L)$ if and only if \mathcal{K} is axiomatizable by a Σ^P_α -sentence.



A New version of the Pullback Theorem

A main tool in the syntactic characterization of **Txt**-learning.

Theorem (B-F-R-S-V 2024)

Suppose that $\mathfrak{K}_1 \leq_{\text{Scott}} \mathfrak{K}_2$ via continuous $\Phi : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$.

*Then for any **positive** infinitary sentence ψ_2 in the language of \mathfrak{K}_2 , one can find an **positive** infinitary sentence ψ_1 in the language of \mathfrak{K}_1 such that for all $\mathcal{A} \in \mathfrak{K}_1$, we have*

$$\mathcal{A} \models \psi_1 \leftrightarrow \Phi(\mathcal{A}) \models \psi_2.$$

Moreover, for a non-zero $\alpha < \omega_1^{CK}$, if ψ_2 is a Σ_α^P sentence, then so is ψ_1 .

Characterization of **Txt**-learning

Theorem (B-F-R-S-V CiE2024)

Let $\mathcal{K} = \{\mathcal{A}_i \mid i \in \omega\}$. The following are equivalent:

- ▶ \mathcal{K} is **Txt**-learnable;
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- ▶ There are Σ_2^P sentences ψ_i such that

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Interestingly, it turns out that the syntactical characterization was obtained by Eric Martin and Daniel Osherson (2000) who work in Algorithmic Learning Theory.

Towards a descriptive set-theoretic characterization for **Txt**-learnability?

For any two $\alpha, \beta \in 2^\omega$,

$$\alpha E_0 \beta \Leftrightarrow (\exists n)(\forall m \geq n)(\alpha(m) = \beta(m)).$$

Theorem (Bazhenov-Cipriani-San Mauro)

Let $\mathcal{K} = \{\mathcal{A}_i : i \in \omega\}$. *T.F.A.E.*

- (a) *The family \mathcal{K} is **Inf**-learnable.*
- (b) *There is a continuous function $\Phi: 2^\omega \rightarrow 2^\omega$ such that for all $\mathcal{A}, \mathcal{B} \in \text{LD}(\mathcal{K})$, we have:*

$$\mathcal{A} \cong \mathcal{B} \Leftrightarrow \Phi(\mathcal{A}) E_0 \Phi(\mathcal{B}).$$

Towards a descriptive set-theoretic characterization for **Txt**-learnability?

For $X \in P(\omega)$ and $m \in \omega$, by $X^{[m]}$ we denote the m -th column of X — i.e., $\{y : \langle m, y \rangle \in X\}$.

The equivalence relation E_{set} is defined as follows:

$$X E_{set} Y \Leftrightarrow \{X^{[m]} : m \in \omega\} = \{Y^{[m]} : m \in \omega\}.$$

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Proposition (B-F-R-S-V unpublished)

Let $\mathcal{K} = \{\mathcal{A}_i : i \in \omega\}$ be a family of countable structures. Then the following conditions are equivalent:

- (a) The family \mathcal{K} is **Txt**-learnable.
- (b) There is a continuous function $\Gamma : P(\omega) \rightarrow P(\omega)$ such that for all $\mathcal{A}, \mathcal{B} \in \text{LD}(\mathcal{K})$:
 - (b.1) $\mathcal{A} \cong \mathcal{B} \Leftrightarrow \Gamma(\mathcal{A}) E_{\text{set}} \Gamma(\mathcal{B})$;
 - (b.2) for each $i \in \omega$, we have $\{\Gamma(\mathcal{A}_i)^{[m]} : m \in \omega\} = \{\omega, \{i\}\}$.

The end

Thank you for your attention!