THE REES ALGEBRA PACKAGE IN MACAULAY2

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ABSTRACT. This introduces Rees algebras and some of their uses with illustrations via version 2.0 of the Macaulay2 package ReesAlgebra.m2.

INTRODUCTION

A central construction in modern commutative algebra starts from an ideal I in a commutative ring R, and produces the *Rees algebra*

$$\mathcal{R}(I) := R \oplus I \oplus I^2 \oplus I^3 \oplus \cdots \cong R[It] \subset R[t]$$

where R[t] denotes the polynomial algebra in one variable t over R. For basics on Rees algebras, see [V] and [SW].

From the point of view of algebraic geometry, the Rees algebra $\mathcal{R}(I)$ is a homogeneous coordinate ring for the graph of a rational map whose total space is the blowup of $\operatorname{Spec} R$ along the scheme defined by I. (In fact, the "Rees Algebra" is sometimes called the "blowup algebra".)

Rees Algebras were first studied in the algebraic context by David Rees, in the now famous paper [Rees]. Actually Rees mainly studied the ring $R[It, t^{-1}]$, now also called the *extended Rees Algebra* of I.

Mike Stillman and I, if memory serves, wrote a Rees Algebra script for Macaulay classic. It was augmented, and made into the package ReesAlgebra.m2 around 2002, to study a generalization of Rees algebras to modules described in [EHU]. Subsequently Amelia Taylor, Sorin Popescu, the present author, and, at the Macaulay2 Workgroup in July 2017, Ilir Dema, Whitney Liske, and Zhangchi Chen contributed routines for computing many of the invariants of an ideal or module defined in terms of Rees algebras. This is in fact the package's primary utility, since Rees Algebras of modules other than ideals are comparatively little studied.

We first describe the construction and an example from [EHU]. Then we list some of the functionality the package now has; and finally we give an elementary example of how blowups can resolve singularities.

1. THE REES ALGEBRA OF A MODULE

There are several possible ways of extending the Rees algebra construction from ideals to modules. For simplicity we will henceforward only consider finitely generated modules over Noetherian rings. Huneke and Ulrich and I argued in [EHU] that the most natural is to think of R[It] as the image of the map of symmetric algebras $\mathrm{Sym}(\phi): \mathrm{Sym}_R(I) \to \mathrm{Sym}_R(R) = R[t]$, and to generalize it to the case of an arbitrary finitely generated module M by setting

$$\mathcal{R}(M) = \operatorname{image} \operatorname{Sym}(\phi)$$

where ϕ is the *versal* map from M to a free module, defined as the composition of the diagonal embedding

$$M \to \bigoplus_{i=1}^m M$$
.

with the map

$$\bigoplus_{i=1}^m \phi_i : \bigoplus_{i=1}^m M \to R^m$$

where ϕ_1, \ldots, ϕ_m generate $\operatorname{Hom}_R(M, R)$. It has the property that any map from M to a free module factors (non-uniquely, in general) through ϕ .

Though this is not immediate, the Rees algebra of an ideal in any Noetherian ring, in this sense, is the same as the Rees algebra in the classical sense, and in most cases one can take any embedding of the module into a free module in the definition:

Theorem 1.1. [Eisenbud-Huneke-Ulrich, Thms 0.2 and 1.4] Let R be a Noetherian ring and let M be a finitely generated R-module. Let $\phi: M \to G$ be the universal embedding of M in a free module, and let $\psi: M \to G'$ be any inclusion of M into a free module G'. If R is torsion-free over \mathbb{Z} or R is unmixed and generically Gorenstein or M is free locally at each associated prime of R, or G = R, then the image of $\mathrm{Sym}(\phi)$ and the image of $\mathrm{Sym}(\psi)$ are naturally isomorphic.

Nevertheless some examples do violate the conclusion of Theorem 1.1. Here is one from [EHU]. In the following, any finite characteristic would work as well.

```
p = 5
R = ZZ/p[x,y,z]/(ideal(x^p,y^p)+(ideal(x,y,z))^(p+1))
M = module ideal(z)
```

It is easy to check that $M \cong R^1/(x,y,z)^p$. We write $\iota: M \to R^1$ for the embedding as an ideal and ψ for the embedding $M \to R^2$ sending z to the vector (x,y).

```
iota = map(R^1, M, matrix\{\{z\}\})
psi = map(R^2, M, matrix\{\{x\}, \{y\}\})
```

Finally, the universal embedding is $M \to R^3$, sending z to the vector (x, y, z):

```
phi = universalEmbedding(M)
```

We now compute the kernels of the three maps on symmetric algebras:

```
Iota = symmetricKernel iota;
Ipsi = symmetricKernel psi;
Iphi = symmetricKernel phi;
```

and check that the ones corresponding to ϕ and ι are equal, whereas the ones corresponding to ψ and ϕ are not—they differ in degree p.

```
i14 : Iiota == Iphi
o14 = true
i15 : Ipsi == Iphi
o15 = false
i16 : numcols basis(p,Iphi)
o16 = 3
i17 : numcols basis(p,Ipsi)
o17 = 1
```

2. THE REES ALGEBRA AND ITS RELATIONS:

The central routine, reesIdeal (with synonym reesAlgebraIdeal) computes an ideal defining the Rees algebra $\mathcal{R}(M)$ as a quotient of a polynomial ring over R from a free presentation of M. From the Rees ideal we immediately get reesAlgebra M. In the case when M is an ideal in R we also compute the important associatedGradedRing $M = \mathcal{R}(M)/M$ (and the more geometric sounding but identical normalCone M.) If I is a (homogeneous) ideal primary to the maximal ideal of a standard graded ring R we compute the Hilbert-Samuel multiplicity of I with multiplicity I.

We now describe the basic computation. Suppose that M has a set of generators represented by a map from a free module, a free presentation

$$F \xrightarrow{\alpha} M \to 0$$
.

and suppose $F=R^n$. The symmetric algebra of F over R is then a polynomial ring $\mathrm{Sym}_R(F_0)=R[t_1,\ldots,t_n]$ on n new indeterminates t_1,\ldots,t_n . By the universal property of the symmetric algebra there is a canonical surjection $\mathrm{Sym}_R(F)\to\mathrm{Sym}_R(M)$, so we may compute the Rees algebra of M as a quotient of the $\mathrm{Sym}_R(F)$. The call

$$I = \mathtt{reesIdeal}\ M$$

first calls universalEmbedding M to compute the versal map from M to a free module $\beta:M\to G$. The call symmetricKernel $\alpha\circ\beta$ then constructs the map of symmetric algebras $\beta\circ\alpha:\operatorname{Sym}_R(F)\to\operatorname{Sym}_R(G)$ and calls the built-in Macaulay2 routine to compute the kernel

$$I = \mathtt{reesIdeal}\ M = \ker \mathrm{Sym}(\beta \circ \alpha) : \mathrm{Sym}_R(F) \to \mathrm{Sym}_R(G).$$

There is a different way of computing the Rees algebra that is often much more efficient. It begins by constructing the symmetric algebra of M, and uses the observation that the construction of the Rees algebra commutes with localization. See [E, Appendix 2] for the necessary facts about symmetric algebras.

Suppose that M has a free presentation

$$G \xrightarrow{\alpha} F \xrightarrow{\epsilon} M \to 0.$$

The right exactness of the symmetric algebra functor implies that the symmetric algebra of M is the quotient of $\operatorname{Sym}_R(F)$ by an ideal I_0 that is generated by the entries of the matrix

$$(t_1 \ldots t_n) \circ \phi$$

(where we have identified ϕ with $\operatorname{Sym}_R(F) \otimes_R \phi$). Thus I_0 is generated by polynomials that are linear in the variables t_i (and because M is the degree 1 part of $\mathcal{R}(M)$, these are the only linear forms in the t_i in the Rees ideal.)

If $f \in R$ is an element such that $M[f^{-1}]$ is free on generators g_1, \ldots, g_n , it follows that after inverting f the Rees algebra of M becomes a polynomial ring over $R[f^{-1}]$ on indeterminates corresponding to the g_i .

$$\mathcal{R}(M)[f^{-1}] = \text{Sym}_R(M[f^{-1}]) = R[G_1, \dots, G_n]$$

Now suppose in addition that f is a non-zerodivisor in R. In the diagram

the two outer vertical maps are inclusions, and it follows that the Rees ideal, which is the kernel of the map $\mathcal{R}(F) = \operatorname{Sym}_R(F) \to \mathcal{R}(M)$, is equal to the intersection of $\mathcal{R}(F)$ with the kernel of $\operatorname{Sym}_R(F)[f^{-1}] \xrightarrow{\beta} \operatorname{Sym}_R(G)[f^{-1}]$. This intersection may be computed as $I_0: f^{\infty}$. The call

computes the Rees ideal in this way.

More generally, we say that a module N is of linear type if the Rees ideal of M is equal to the ideal of the symmetric algebra of M; for example, any complete intersection ideal is of linear type, and the condition can be tested by the call

isLinearType
$$M$$
.

The procedure above really requires only that f be a non-zerodivisor in R and that $M[f^{-1}]$ be of linear type over $R[f^{-1}]$.

3. REDUCTIONS AND THE SPECIAL FIBER: isReduction, minimalReduction, reductionNumber specialFiber, specialFiberIdeal, analyticSpread

A reduction J of an ideal I is an sub-ideal $J \subset I$ over which I is integrally dependent. In concrete terms this means that there is some integer r such that $JI^r = I^{r+1}$, and the minimal r with this property is called the reduction number. The property of being a reduction is tested by is Reduction I, and the reduction number, is then computed by reduction Number I.

Now suppose that \mathfrak{m} is a maximal ideal containing I. The special fiber ring is by definition $\mathcal{R}(I)/\mathfrak{m}\mathcal{R}(I)$. It is a standard graded algebra ove the field $k:=R/\mathfrak{m}$, a quotient of $\mathrm{Sym}_R(F)/\mathfrak{m}=k[t_1,\ldots,t_n]$ where, as before, F is a free module of rank n with a surjection to M. The defining ideal of the special fiber ring, and the ring itself, are computed by the calls specialFiberIdeal I and specialFiberRing I.

The dimension of the special fiber ring is called the analytic spread of I, usually denoted

$$\ell(I) = \texttt{analyticSpread}\ I.$$

Northcott and Rees [NR] proved that if k is infinite then there always exist reductions generated by $\ell(I)$ elements, and this is the minimum possible number; these are called minimal reductions. The smallest possible reduction number for I with respect to an minimal reduction is by definition reductionnumber I (this is always achieved by any ideal generated by $\ell(I)$ sufficiently general scalar linear combinations of the generators of I; but note that when I is homogeneous but has generators of different degrees such linear combinations are sometimes necessarily inhomogeneous.)

An interesting special case occurs when R is a graded ring over $k = R_0$ and the generators g_1, \ldots, g_n of I are all homogeneous of the same degree. In this case the special fiber ring is easily seen to be equal to the subring $k[g_1, \ldots, g_n]$ (usually *not* a polynomial ring) generated by the elements g_i .

For example, if I is the ideal of $p \times p$ minors of a $p \times (p+q)$ matrix, then the special fiber ring is equal to the homogeneous coordinate ring $\mathbb G$ of the Grassmannian of p-planes in p+q space. It follows that $\ell(I)=\dim\mathbb G=pq+1$, and the reduction number of I is (p-1)(q-1).

4. FINDING ELEMENTS OF THE REES IDEAL: jacobianDual, expectedReesIdeal

Let M be an R-module and let $\phi: R^s \to R^m$ be its presentation matrix. The symmetric algebra of I has the form

$$\operatorname{Sym}_R(I) = \operatorname{Sym}(R^m)/(T\phi)$$

where we have written $(T\phi)$ for the ideal generated by the entries of the product

$$(T_0 \ldots T_m) \phi$$

and the T_i correspond to the generators of I. If

$$X = \begin{pmatrix} x_1 & \dots & x_n \end{pmatrix}$$

with $x_i \in R$, and the ideal J generated by the entries of X contains the entries of the matrix ϕ , then there is a matrix ψ defined over $R[T_0...T_m]$, called the Jacobian Dual of ϕ with respect to X, such that $T\phi = X\psi$. (the matrix ψ is generally not unique; Macaulay2 computes it using Gröbner division with remainder.)

If I,J each contain a non-zerodivisor then J will have grade ≥ 1 on the Rees algebra $\mathcal{R}(I)$. Since $(T\phi)$ is contained in the defining ideal of the Rees algebra, the vector X is annihilated by the matrix ψ when regarded over the Rees algebra, and the relation $X\psi\equiv 0$ in $\mathcal{R}(I)$ implies that the $m\times m$ minors of ψ are in the Rees ideal of I. In very favorable circumstances, one may even have the equality resideal $I=ideal(T*phi)+ideal\ minors(psi)$. We illustrate with a Theorem of Morey and Ulrich. Recall that an ideal I is said to satisfy the condition G_m if the number of generators of the localized ideal I_P is $\leq \operatorname{codim} P$ for every prime ideal P of codimension $\leq m$; equivalently, if I has presentation matrix ϕ as above,

$$\operatorname{codim} I_{m-p}(\phi) > p$$

for $1 <= p < \ell$

Theorem 4.1 ([MU]). Let R be a local Gorenstein ring with infinite residue field, let I be a perfect ideal of grade 2 with m generators, and let ϕ be the presentation matrix of I, and let ψ be the Jacobian dual matrix. Let $\ell = \ell(I)$ be the analytic spread. Suppose that I satisfies the condition G_{ℓ} . The following conditions are equivalent:

- (1) $\mathcal{R}(I)$ is Cohen-Macaulay and $I_{\ell}(m-\ell)(phi) = I_{\ell}(phi)^{m-\ell}$.
- (2) $r(I) < \ell$ and $I_{m+1-\ell}\phi = (I_1\phi)^{m+1-\ell}$.
- (3) The ideal of $\mathcal{R}(I)$ is equal to the sum of the ideal of $\mathrm{Sym}(I)$ with the Jacobian dual minors, $I_m\psi$.)

We can check all these conditions with functions in the package. We start with the presentation matrix phi of an m = n + 1-generator perfect ideal Such that the first row consists of the n variables of the ring, and the rest of whose rows are reasonably general (in this case random quadrics):

```
i1 : loadPackage("ReesAlgebra", Reload =>true)
i2 : setRandomSeed 0
i3 : n=3;
i4 : kk = ZZ/101;
i5 : S = kk[a_0..a_(n-2)];
i6 : phi' = map(S^(n), S^(n-1), (i,j) \rightarrow if i == 0 then a_j else random(2,S));
            3 2
o6 : Matrix S <--- S
i7 : I = minors(n-1, phi');
```

This is a perfect, codimension 2 ideal, as we see from the Betti table:

```
i8 : betti (F = res I)
           0 1 2
o8 = total: 1 3 2
         0:1..
         1: . . .
         2: . 2 .
         3: . 1 2
```

As we constructed the matrix phi' it was not homogeneous, but the resolution is, so we take instead:

```
i9 : phi = F.dd_2;
```

We compute the analytic spread ℓ and the reduction number r:

```
i12 : ell = analyticSpread I
i13 : r = reductionNumber(I, minimalReduction I)
```

```
013 = 1
Now we can check the condition G_{ell}, first probabilistically:
i15 : whichGm I >= ell
```

and now deterministically:

o15 = true

```
i17 : apply(toList(1..ell-1), p-> {p+1, codim minors(n-p, phi)})
017 = \{\{2, 2\}\}
```

We now check the three equivalent conditions of the Morey-Ulrich Theorem. Note that since $\ell = n - 1$ in this case, the second part of conditions 1) and 2) is vacuously satisfied, and since $r < \ell$ the conditions must all be satisfied. We first check that $\mathcal{R}(I)$ is Cohen-Macaulay:

```
i19 : reesI = reesIdeal I;
o19 : Ideal of S[w, w, w]
                 0 1
i20 : codim reesI
020 = 2
i21 : betti res reesI
```

```
0 1 2

021 = total: 1 3 2

0: 1 . .

1: . . .

2: . 2 .

3: . 1 2
```

Finally, we wish to see that reesIdeal I is generated by the ideal of the symmetric algebra together with the jacobian dual:

We now compute the ideal J of the symmetric algebra; the call symmetricAlgebra I would return the ideal over a different ring, so we do it by hand:

```
i25 : ST = ring psi
i26 : T = vars ST
026 = | w_0 w_1 w_2 |
i27 : J = ideal(T*promote(phi, ST))
                                                                                                2 2 2
o27 = ideal ((- 11a a - 34a )w + (11a - 37a a - 46a )w + 14a w , 11a w + (- 11a
                                                                    0 1 1 0 0 0 1 1 1 1 2 1 0
                       18a )w )
                              1 2
i28 : betti res J
                                          0 1 2
o28 = total: 1 2 1
                                       0:1..
                                       1: . . .
                                        2: . 2 .
                                        3: . . .
                                       4: . . 1
i29 : J1 = minors(ell, psi)
                                                                                                                                 2 2 2
o29 = ideal((20a a - 3a)ww + (-20a - 24a a - a)w + (11a + 34a)ww + (-4a)ww + (-4a)ww
                                                           0 1 1 0 1 0 0 1 1 1 0 1 0 2
```

And we compute the resolution of J + J1, to see that the resulting ideal is perfect, which also shows that it is the full ideal of the Rees algebra. We also check directly that it has the same resolution as the computed Rees ideal of I:

5. DISTINGUISHED SUBVARIETIES: distinguished, intersectInP

The key construction in the Fulton-MacPherson definition of the refined intersection product [F, Section 6.1] involves normal cones, and is easy to implement using the tools in this package. The simplest case is the intersection of two subvarieties X,V. If X and V meet in the *expected dimension*, defined to be $\dim V - \operatorname{codim}_Y X$, and the ambient variety Y is smooth, then one can assign multiplicities to the components W_i of $X \cap V$, and the $X \cdot V$ is a positive linear combination of these components. The astonishing result of the theory is that if $X \subset Y$ is locally a complete intersection, then, no matter how singular Y and no matter how strange the actual intersection $X \cap V$, the intersection product $X \cdot V$ can be given a meaning as rational equivalence class of cycles of the expected dimension on X, or even on $X \cap V$. This class comes with a canonical decomposition $\sum_i m_i \alpha_i$, where the m_i are positive integers, and α_i is a cycle of the expected dimension (possibly 0) on a certain subvariety $Z_i \subset X \cap V$ called a distinguished variety of the intersection (the Z_i need not be distinct.)

In the general case, the subvariety V is replaced by a morphism $f:V\to Y$ from a variety V, and this is the key to the functoriality of the intersection product. The routines in this package work in the general setting, but for simplicity we will stick with the basic case in this description.

We now describe the distinguished subvarieties and their multiplicities. This part of the construction sheafifies, so (as in the package) we work in the affine case. We do not require any hypothesis on X, Y or V.

Let S be the coordinate ring of Y and let $I \subset S$ be ideal of X Write $\operatorname{gr}_I S$ for the associated graded ring $S/I \oplus I/I^2 \oplus \ldots$ of I in S, and let π be the inclusion of S/I into T as the degree 0 part.

Let R be the coordinate ring of V, and let $f:S\to R$ be a morphism (if V is a subvariety of R then f will be a projection $S\to S/J$. Let $K\subset T$ be the kernel of the induced map $\operatorname{gr}_I S\to \operatorname{gr}_{f(I)} R$.

Let P_1, \ldots, P_m be the minimal primes over K in $\operatorname{gr}_I R$ We define p_i to be the degree 0 part of P_i , that is, $p_i := P_i \cap S/I$. These are the distinguished prime ideals of S/I, and they clearly contain the kernel of $\overline{f}: S/I \to R/f(I)$, so in the case where R = S/J they contain I+J, so they really are subvarieties of $X \cap V$.

We further define the multiplicity m_i to be the multiplicity with which P_i appears in the primary decomposition of K – that is,

$$m_i := \operatorname{length}_{T_{P_i}} P_{iP_i} / K_{P_i}.$$

Returning to the geometric language, and the case where $X \subset Y$ is locally a complete intersection in a quasi-projective variety, the cycle class α_i in the Chow group of the variety Z_i corresponding to p_i is defined as the Gysin image of the class of the subvariety corresponding to P_i in the projectivized normal bundle of X in Y—a construction not included in this package.

Here are some simple examples in which distinguished is used to compute the distinguished varieties of intersections in \mathbb{A}^n , via the function intersectinp. First, the intersection of a conic with a tangent line.

```
i2 : kk = ZZ/101;
i3 : P = kk[x,y];
i4 : I = ideal"x2-y"; J=ideal y;
i6 : intersectInP(I, J)
o6 = {{2, ideal (y, x)}}
```

Slightly more interesting, the following shows what happens when the intersections aren't rational:

The real interest in the construction is in the case of improper intersections. Here are some typical results:footnotesize

```
i9 : I = ideal"x2y"; J=ideal"xy2";
i11 : intersectInP(I,J)
o11 = {{2, ideal x}, {5, ideal (y, x)}, {2, ideal y}}
i12 : intersectInP(I,I)
o12 = {{1, ideal y}, {4, ideal x}, {4, ideal (y, x)}}
```

6. REES ALGEBRAS AND DESINGULARIZATION

We conclude this note with an example illustrating a general result about projective birational maps of varieites. Recall that a map $B \to X$ of varieties is projective if it is the composition of a closed embedding $B \subset X \times \mathbb{P}^n$ with the projection to X. It is birational if it is generically an isomorphism. The inclusion of a ring into the Rees algebra of an ideal corresponds to a map from Proj of the Rees algebra to spec of the ring, called a blowup, that

is such a proper birational transformation, and in fact every proper birational transformation to an affine variety (or more generally to any scheme, if one works with sheaves of ideals) can be realized in this way.

The Theorem of embedded resolution of singularities, proven by Hironaka in characteristic 0 and conjectured in general, says that give any subvariety X of a smooth variety Y, there is a finite sequence of blowups

$$B_n \to \cdots B_2 \to B_1 \to Y$$

of smooth subvarieties and a component of the preimage of X in B_n that is smooth. In the case of plane curves, this can be done with a sequence of blowups of closed points. But in fact *any* sequence of blowups of a quasi-projective variety can be replaced with a single blowup ([?, Theorem II.7.17] of a more complicated ideal. We illustrate with the desingularization of a tacnode (the union of two smooth curves that meet with a simple tangency.)

Example 6.1. Blowing-up (x^2, y) in k[x,y] desingularlizes the tacnode $x^2 - y^4$ in a single step.

```
Macaulay2, version 1.10
with packages: ConwayPolynomials, Elimination, IntegralClosure, InverseSystems, LLLBase
i1 : R = ZZ/32003[x,y];
i2 : tacnode = ideal(x^2-y^4);
i3 : mm = ideal(x, y^2);
i4 : B = first flattenRing reesAlgebra mm;
i5 : irrelB = ideal(w_0,w_1);
i6 : proj = map(B,R,\{x,y\});
i7 : totalTransform = proj tacnode
           4 2
o7 = ideal(-y + x)
i8 : netList (D = decompose totalTransform)
    +----+
08 = |ideal(y, x)|
    +----+
    ] 2
    |ideal (y + x, w + w)|
    0 1 |
    2
    |ideal (y - x, w - w ) |
| 0 1 |
i9 : exceptional = proj mm
o9 = ideal(x, y)
i10 : strictTransform = saturate(totalTransform, exceptional);
ill : netList decompose strictTransform
```

The last line asserts that the singular locus of the the variety "properTransform" is empty; that is, the scheme defined by "properTransform" is smooth (in this case it is the union of two disjoint smooth curves.)

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