

$\partial_t \psi + \frac{M}{\epsilon} \int_{\Omega} \frac{|u(x,t)|^2}{2} \psi \Delta \psi + \int_{\Omega} p = 0, \quad \nabla \psi = 0, \quad \psi(x,0) = \psi_0(x), \quad \psi(x,t) = \psi_0(x)$

# Exploiting Imprecise Information Sources in Sequential Decision Making Problems under Uncertainty

**N.Drougard**

under D.Dubois, J-L.Farges and F.Teichteil-Königsbuch supervision

doctoral school: EDSYS    institution: ISAE-SUPAERO

laboratory: ONERA-The French Aerospace Lab



retour sur innovation

# Context

Autonomous robotics

Onera, Flight Dynamics & System control

Control Engineering, Artificial intelligence, Cognitive Sciences

# Context

## Autonomous robotics

### Onera, Flight Dynamics & System control

Control Engineering, Artificial intelligence, Cognitive Sciences

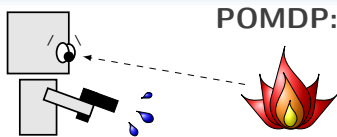
among many other works:

- autonomy and human factors
- decision making, planning
- experimental/industrial applications: UAVs, human-machine interaction, exploration robots



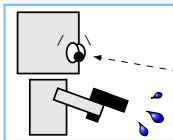
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## Partially Observable Markov Decision Processes (POMDPs)



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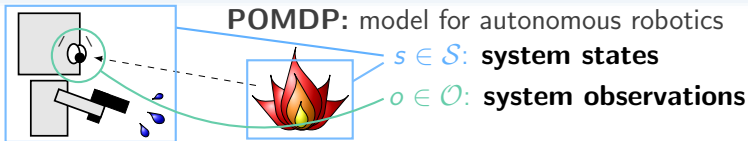
**POMDP:** model for autonomous robotics



$s \in \mathcal{S}$ : **system states**

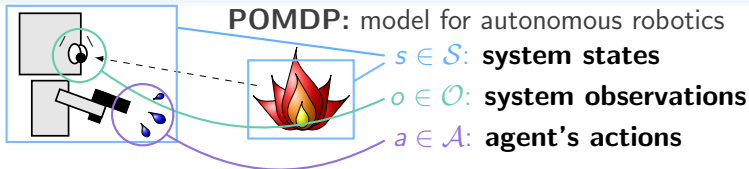
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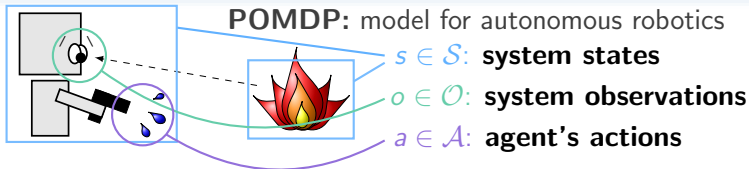
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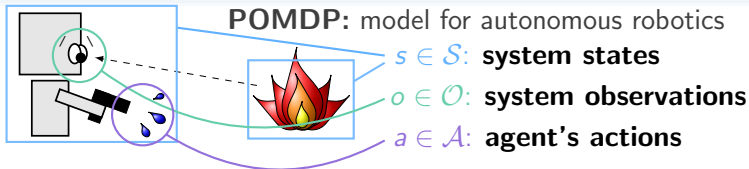


$s_t$



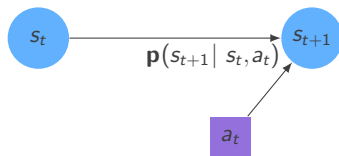
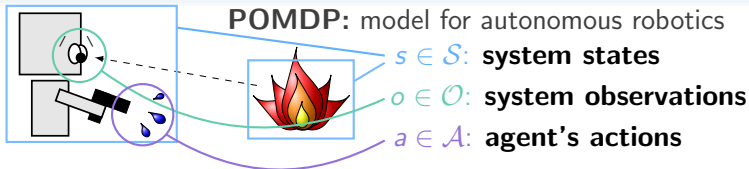
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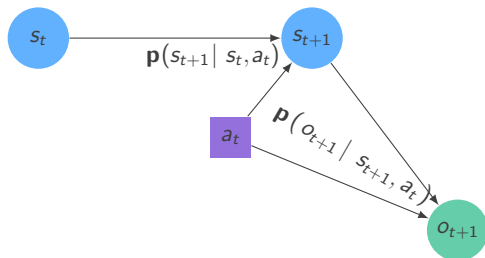
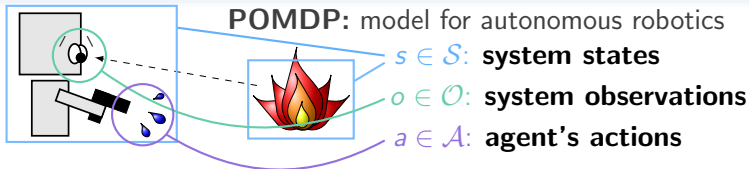
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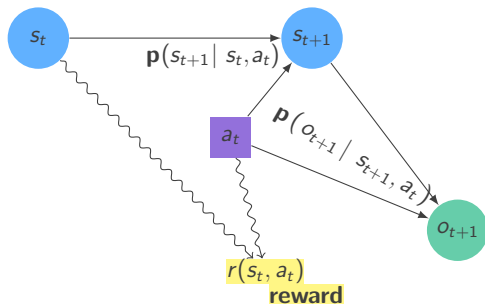
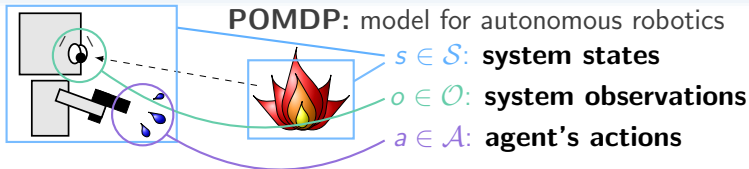
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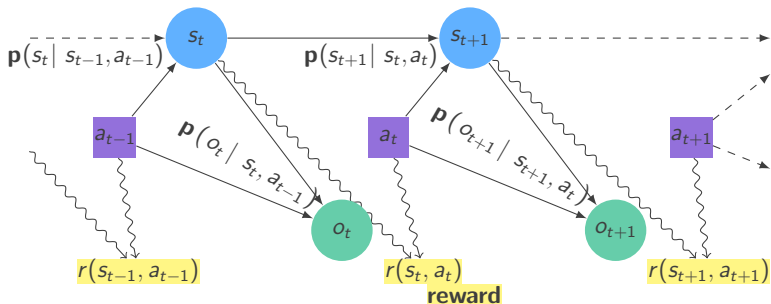
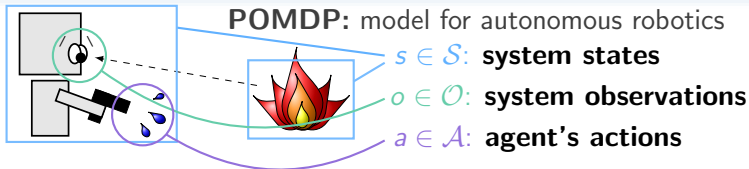
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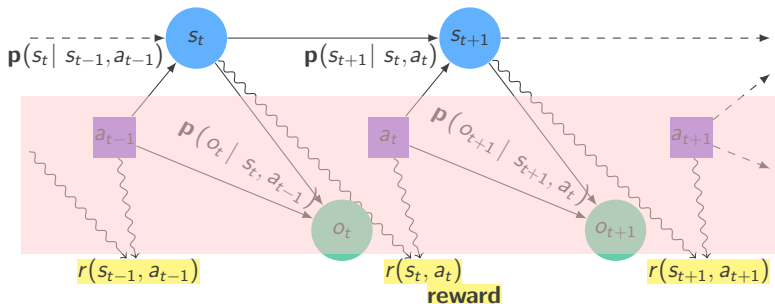
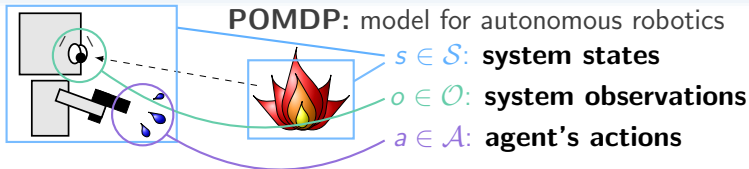
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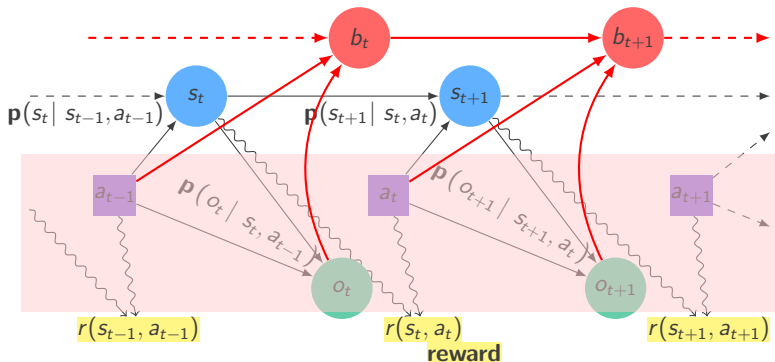
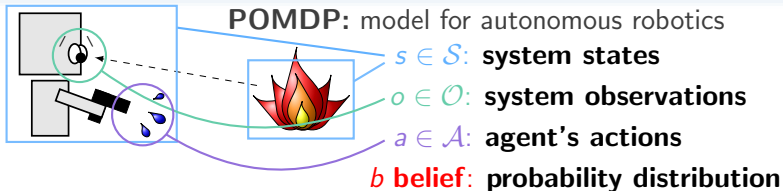
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# Context

belief state, strategy, criterion

**POMDP:**  $\langle \mathcal{S}, \mathcal{A}, \mathcal{O}, T, O, r, \gamma \rangle$  (*Smallwood et al. 1973*)

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$$\begin{array}{c} b_t \\ T, O \end{array} \begin{array}{c} \longrightarrow \\ \longrightarrow \end{array} \mathbf{p}(s', o' \mid b_t, a_t)$$

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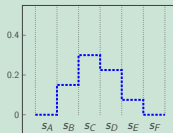
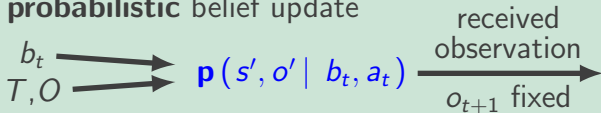
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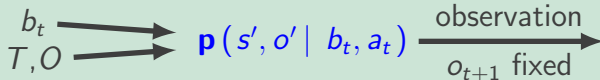
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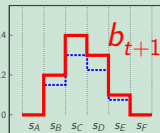
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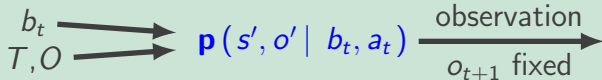
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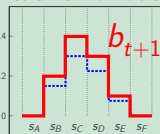
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**strategy**  $d_t : b_t \mapsto a_t \in \mathcal{A}$

$$\text{maximizing } \mathbb{E}_{s_0 \sim b_0} \left[ \sum_{t=0}^{+\infty} \gamma^t \cdot r(s_t, \delta(b_t)) \right], \quad 0 < \gamma < 1$$

# Flaws of the POMDP model

## POMDPs in practice

- optimal strategy computation **PSPACE-hard**  
(*Papadimitriou et al., 1987*)
- probabilities are **imprecisely known** in practice
- **prior ignorance** semantic/management?

# Context

practical issues: Complexity, Vision and Initial Belief

- **POMDP optimal strategy computation undecidable**  
in infinite horizon (*Madani et al. 1999*)



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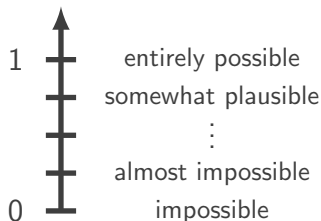
→ uniform probability distribution  $\neq$  **ignorance!**

# Qualitative Possibility Theory

presentation – (max,min) “tropical” algebra

**finite scale  $\mathcal{L}$**

usually  $\{0, \frac{1}{k}, \frac{2}{k}, \dots, 1\}$



events  $e \subset \Omega$  (universe)

**sorted** using possibility **degrees**  $\pi(e) \in \mathcal{L}$

$\neq$

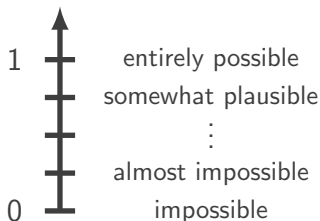
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$e_1 \neq e_2$ , 2 events  $\subset \Omega$

■  $\pi(e_1) < \pi(e_2) \Leftrightarrow$  “ $e_1$  is less plausible than  $e_2$ ”

# Qualitative Possibility Theory

Criteria from Sugeno integral

Probability / Possibility:

+	max
$\times$	min
$X \in \mathbb{R}$	$X \in \mathcal{L}$
$\mathbb{E}[X] = \sum_{x \in X} x \cdot \mathbf{p}(x)$	<b>optimistic:</b>
	$\mathbb{S}_{\Pi}[X] = \max_{x \in X} \min \{x, \pi(x)\}$
	<b>pessimistic:</b>
	$\mathbb{S}_{\mathcal{N}}[X] = \min_{x \in X} \max \{x, 1 - \pi(x)\}$



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## qualitative possibilistic POMDP ( $\pi$ -POMDP)

*Sabbadin (UAI-98)* introduces

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- 
- belief space trick: POMDP  $\rightarrow$  MDP with **infinite** space  
 $\pi$ -POMDP  $\rightarrow \pi$ -MDP with **finite** space
  - problem becomes **decidable**
  - $\forall s \in \mathcal{S}, \pi(s) = 1 \Leftrightarrow$  total ignorance about  $s$

# A possibilistic belief state

finite belief space

$$\Pi_{\mathcal{L}}^{\mathcal{S}} = \left\{ \text{possibility distributions} \right\}: \# \Pi_{\mathcal{L}}^{\mathcal{S}} \sim \# \mathcal{L}^{\# \mathcal{S}} < +\infty$$

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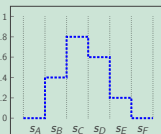
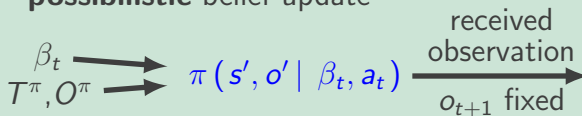
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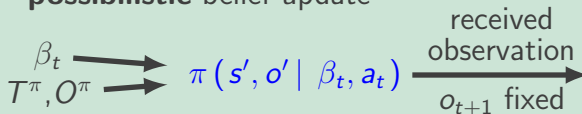
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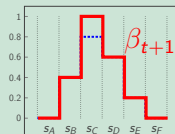
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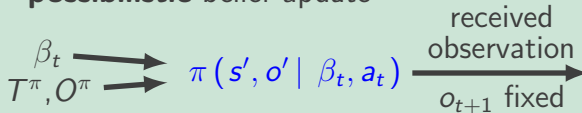
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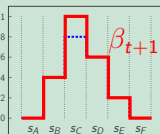
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- **Markovian update:** only depends on  $o_{t+1}$ ,  $a_t$  and  $b_t^\pi$

# Overview

## Qualitative Possibility Theory:

→ simplification, imprecision/prior ignorance modeling

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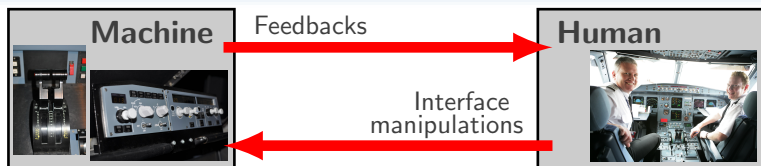
## Qualitative Possibility Theory:

→ simplification, imprecision/prior ignorance modeling

- 1 introductory example: qualitative **possibilistic modeling**
- 2 **advancements** in  $\pi$ -POMDP:  
*mixed-observability & indefinite horizon*
- 3 **simplifying computations:**  
*ADD-based solver & factorization*
- 4 **probabilistic-possibilistic** (*hybrid*) approach

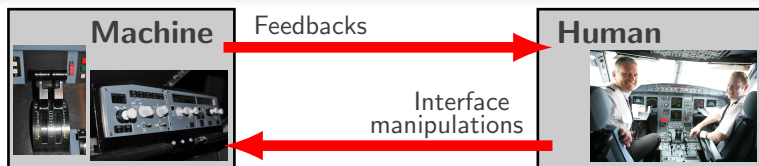
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joint work with **Sergio Pizziol** – Context



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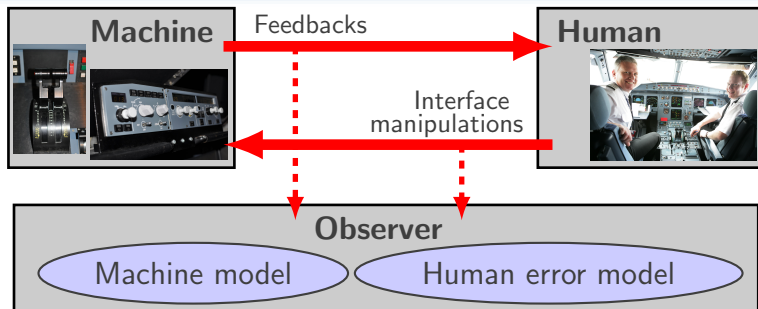
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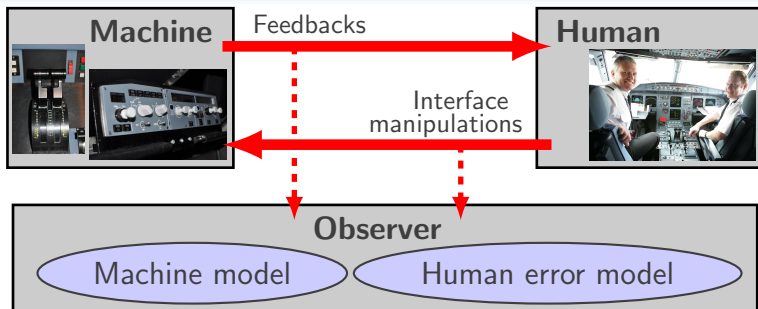
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**Issue:** incorrect human assessment of the machine state  
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$\pi$ -POMDP without actions:  $\pi$ -Hidden Markov Process

- **system space**  $\mathcal{S}$ : set of human assessments → **hidden**
- **observation space**  $\mathcal{O}$ : feedbacks/human manipulations



# Example: Human-Machine Interaction (HMI)

Human error model from expert knowledge

Machine with states  $A, B, C, \dots$

state  $s_A \in \mathcal{S}$ : “human thinks machine state is  $A$ ”

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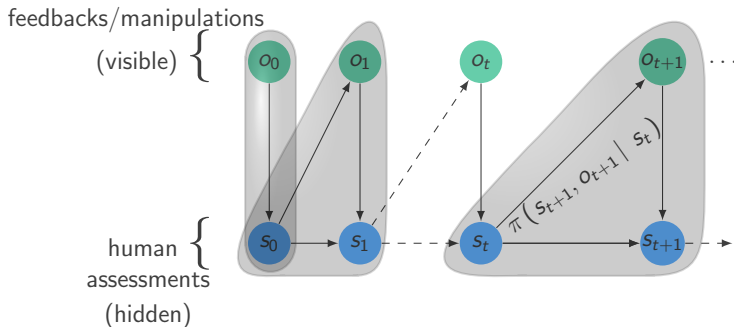
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■ impossible cases: possibility degree 0

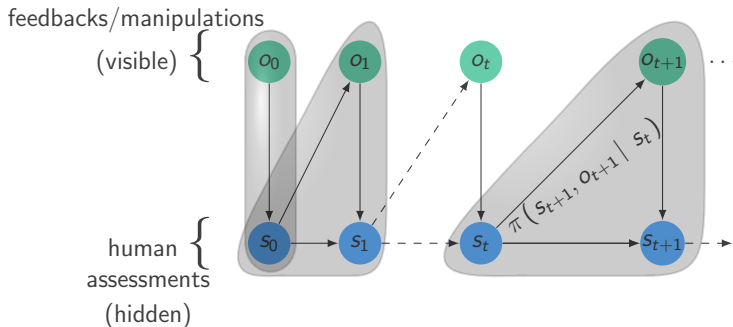
# Qualitative Possibilistic Hidden Markov Process:

$\pi$ -HMP, detection & diagnosis tool for HMI (with Sergio Pizziol)



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- **estimation** of the human assessment  
 $\Leftrightarrow$  **possibilistic belief state**
- **detection** of human assessment errors + **diagnosis**
- validated with pilots on flight simulator missions

# Applicability of the $\pi$ -POMDPs

## three advancements

- lack of proof of optimality in indefinite horizon settings
- criterion/algorithm/proof
- curse of dimensionality:
  - belief space size of a  $\pi$ -POMDP: exponential in  $\#\mathcal{S}$
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- lack of possibilistic strategy evaluation
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**Indefinite Horizon, Mixed-Observability, Simulations**  
*contribution UAI 2013*

# Indefinite Horizon

criterion, DP scheme, optimal strategy

**indefinite horizon criterion**  $\Psi : \mathcal{S} \rightarrow \mathcal{L}$  terminal pref. func.

$$\forall s \in \mathcal{S}, \text{ maximizing } \mathbb{S}_{\Pi} \left[ \Psi(S_{\# \delta}) \mid S_0 = s \right]$$

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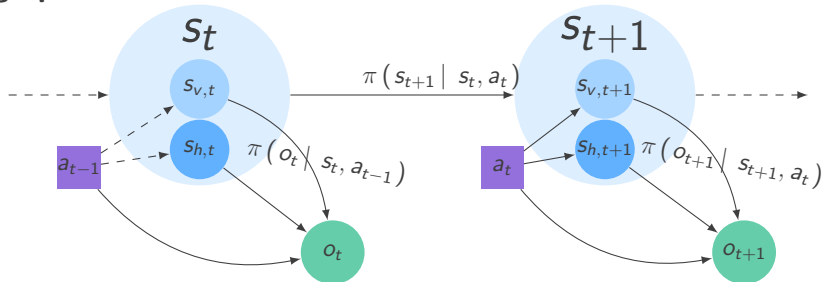
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- action update for states increasing the criterion
- **proof of optimality** of the resulting **stationary** strategy

# Mixed-Observability (MOMDP, *Ong et al., 2005*)

$\pi$ -Mixed-Observable Markov Decision Process ( $\pi$ -MOMDP)

**graphical model** of a  $\pi$ -MOMDP:



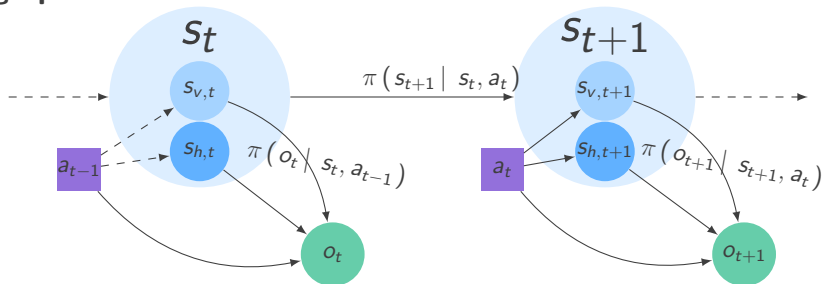
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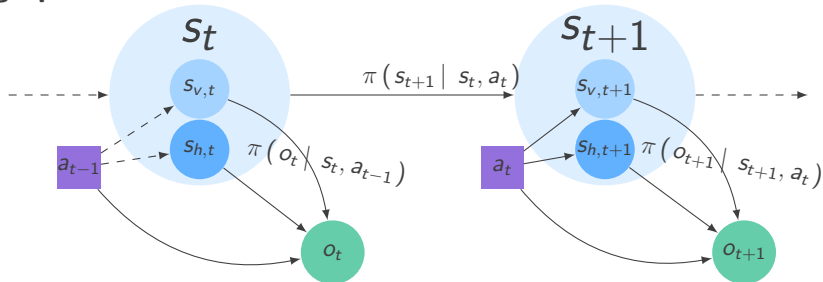
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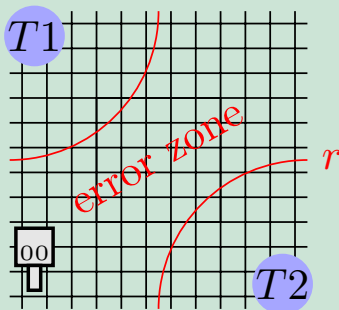
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  - $\rightarrow \pi$ -POMDP: belief space  $\Pi_{\mathcal{L}}^{\mathcal{S}}$   $\# \Pi_{\mathcal{L}}^{\mathcal{S}} \sim \#\mathcal{L}^{\# \mathcal{S}}$
  - $\rightarrow \pi$ -MOMDP: computations on  $\mathcal{X} = \mathcal{S}_v \times \Pi_{\mathcal{L}}^{\mathcal{S}_h}$
- $\#\mathcal{X} \sim \#\mathcal{S}_v \cdot \#\mathcal{L}^{\#\mathcal{S}_h} \ll \#\Pi_{\mathcal{L}}^{\mathcal{S}}$

# $\pi$ -MOMDP for robotics with imprecise probabilities

simulations with machine vision behavior imprecisely known

- **goal:** reach the object  $A = T1$  or  $T2$
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Recognition mission: robot on a grid, targets  $T1$  &  $T2$

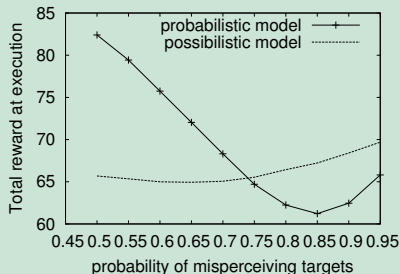
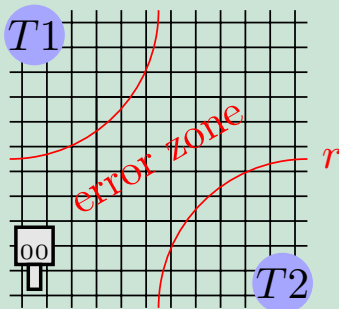


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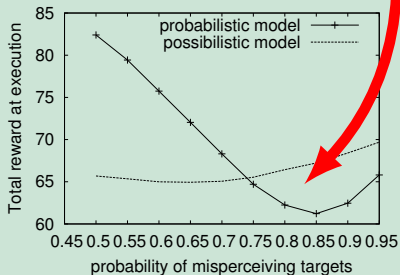
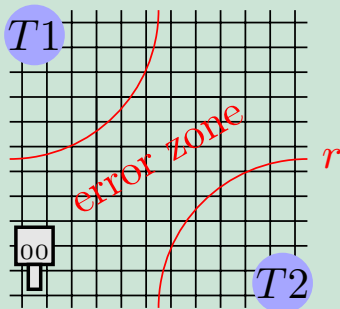
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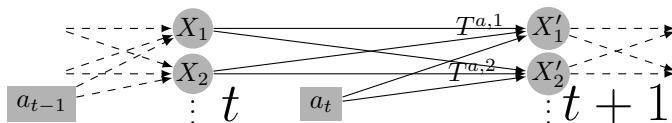
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# Factored $\pi$ -MOMDP and computations with ADDs

qualitative possibilistic models to reduce complexity

**contribution (AAAI-14):** factored  $\pi$ -MOMDP

$\Leftrightarrow$  state space  $\mathcal{X} = \mathcal{S}_v \times \Pi_{\mathcal{L}}^{\mathcal{S}_h} = \text{Boolean variables } (X_1, \dots, X_n)$   
 + independence assumptions  $\Leftarrow$  graphical model

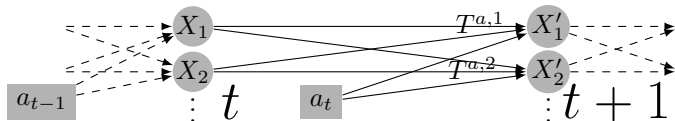


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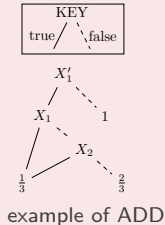
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- **factorization:** transition functions  $T_i^a = \pi(X'_i \mid \text{parents}(X'_i), a)$  stored as **Algebraic Decision Diagrams (ADD)**

probabilistic case:

SPUDD (Hoey et al., 1999)



# Simplify computations with $\pi$ -MOMDPs

Resulting  $\pi$ -MOMDP solver: PPUDD

- probabilistic model:  $+$  and  $\times \Rightarrow$  new values created  
 $\Rightarrow$  number of ADDs leaves **potentially huge**
- possibilistic model:  $\min$  and  $\max \Rightarrow$  values  $\in \mathcal{L}$  finite  
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## PPUDD: Possibilistic Planning Using Decision Diagrams

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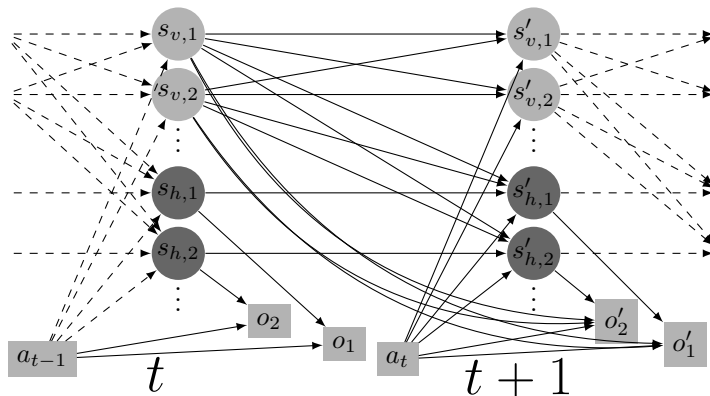
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- computations on trees: *CU Decision Diagram Package*.

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Natural factorization: belief independence

**contribution (AAAI-14):**

independent sensors, hidden states, ...  $\Rightarrow$  graphical model



# Simplifying computations with $\pi$ -MOMDPs

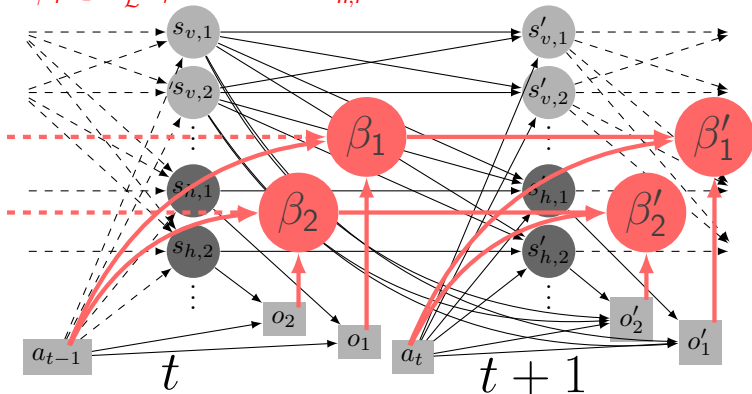
Natural factorization: belief independence

## contribution (AAAI-14):

independent sensors, hidden states, ...  $\Rightarrow$  graphical model

d-Separation  $\Rightarrow (s_v, \beta) = (s_{v,1}, \dots, s_{v,m}, \beta_1, \dots, \beta_l)$

$\beta_i \in \Pi_{\mathcal{L}}^{s_{h,i}}$ , belief over  $s_{h,i}$



# Simplifying computations with $\pi$ -MOMDPs

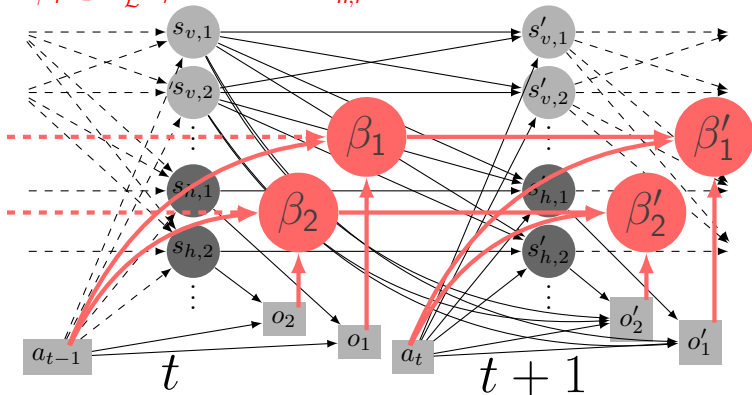
Natural factorization: belief independence

$\perp\!\!\!\perp$  assumptions on state & observation variables

→ belief variable factorization

→ **additional** computation savings

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# Simplify computations with $\pi$ -MOMDPs

Experiments – perfect sensing: Navigation problem

PPUDD vs SPUDD (*Hoey et al.*, 1999)

**Navigation benchmark:** reach a goal – spots with accident risk  
M1 (resp. M2) optimistic (resp. pessimistic) criterion

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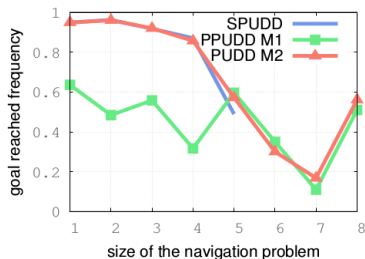
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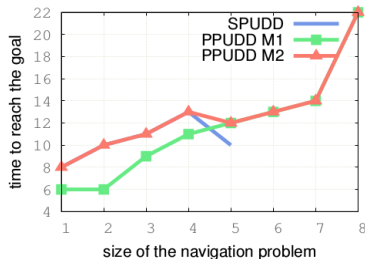
## Performances, function of the problem index

reached goal frequency



the higher the better

# steps to reach the goal

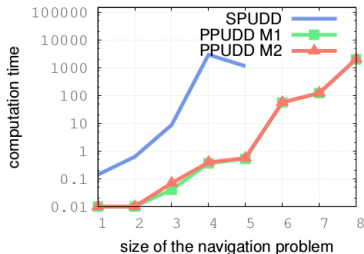


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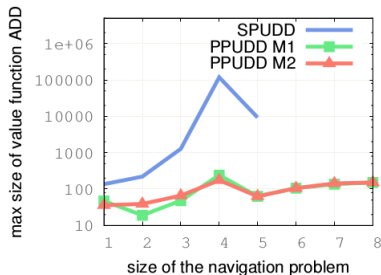
Experiments – perfect sensing: Navigation problem

computation time



the lower the better

max size of ADDs



the lower the better

- PPUDD + M2 (pessimistic criterion)  
**faster with same performances** as SPUDD
- SPUDD only solves the first 5 instances
- verified intuition: ADDs are smaller

# Simplify computations with $\pi$ -MOMDPs

Experiments – imperfect sensing: RockSample problem

PPUDD vs APPL (*Kurniawati et al.*, 2008, solver MOMDP)

symbolic HSVI ( *Sim et al.*, 2008, solver POMDP)

**RockSample benchmark:** recognize and sample “good” rocks



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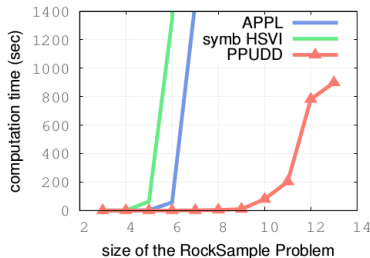
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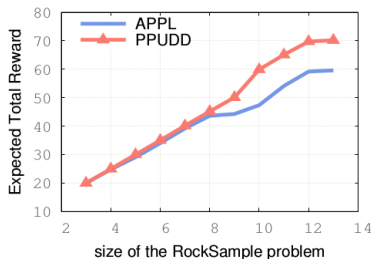
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computation time:



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average of rewards

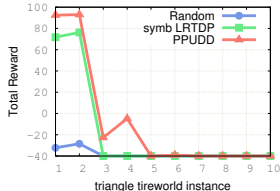
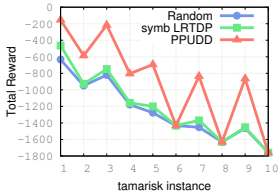
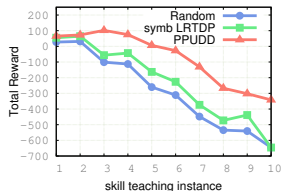
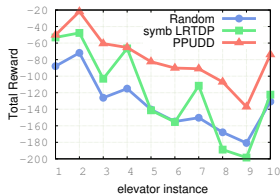
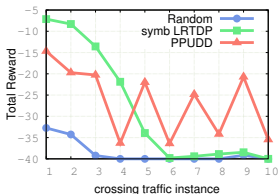
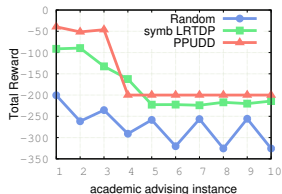


the higher the better

- approximate model + exact resolution solver can be **better than** exact model + approximate resolution solver

# IPPC 2014 – ADD-based approaches: PPUDD vs symbolic LRTDP

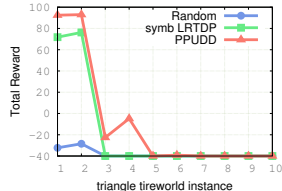
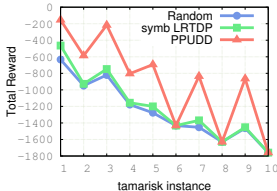
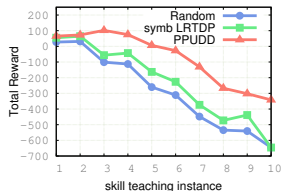
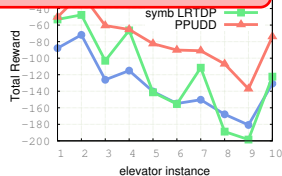
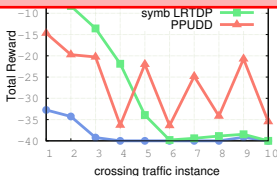
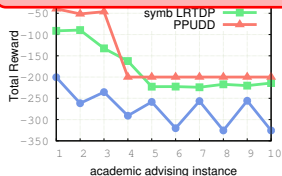
PPUDD + BDD mask over reachable states.



average of rewards over simulations — the higher the better

# IPPC 2014 – ADD-based approaches: PPUDD vs symbolic LRTDP

PPUDD outperforms its probabilistic counterpart



average of rewards over simulations — the higher the better

# Qualitative possibilistic approach: benefits/drawbacks towards a hybrid POMDP

- **granulated** belief space (discrete)
- efficient problem **simplification** (PPUDD 2× better than LRTDP with ADDs)
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- ADD methods  $\prec$  state space search methods  
→ winners of IPPC 2014: 2× better than PPUDD
  - choice of the qualitative criterion (optimistic/pessimistic)
  - preference → non additive degrees  
→ same scale as possibility degrees (commensurability)
  - coarse approximation of probabilistic model  
→ no frequentist information

# A hybrid model

a probabilistic POMDP with possibilistic belief states

## hybrid approach

- agent knowledge = possibilistic belief states
- probabilistic dynamics & quantitative rewards

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- probabilistic dynamics & quantitative rewards

## Usefulness

- **heuristic** for solving POMDPs:  
results in a standard (finite state space) MDP
- problem with **qualitative** & **quantitative** uncertainty

# Transitions and rewards

belief-based transition and reward functions

- possibility distribution  $\beta \rightarrow$  probability distribution  $\bar{\beta}$   
using poss-prob transformations (*Dubois et al., FSS-92*)

Transition function on belief states

$$\Rightarrow \mathbf{p}(\beta' | \bar{\beta}, a) = \sum_{\substack{o' \text{ t.q.} \\ \text{update}(\beta, a, o') = \beta'}} \mathbf{p}(o' | \bar{\beta}, a)$$



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- reward cautious according to  $\beta$

### Pessimistic Choquet Integral

$$r(\beta, a) = \sum_{i=1}^{\#\mathcal{L}-1} (l_i - l_{i+1}) \cdot \min_{\substack{s \in \mathcal{S} \text{ s.t.} \\ \beta(s) \geq l_i}} r(s, a)$$

# Resulting MDP

translation from hybrid POMDP to MDP – **contribution (SUM-15):**

input: a POMDP  $\langle \mathcal{S}, \mathcal{A}, \mathcal{O}, T, O, r, \gamma \rangle$

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$$\text{criterion: } \mathbb{E}_{\beta_t \sim \tilde{T}} \left[ \sum_{t=0}^{+\infty} \gamma^t \cdot \tilde{r}(\beta_t, d_t) \right].$$

# Belief variable factorization

3 classes of state variables – **contribution** (SUM-15)

variable: **visible**  $s'_v \in \mathbb{S}_v$



---

**inferred hidden**  $s'_h \in \mathbb{S}_h$



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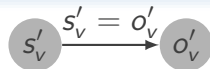
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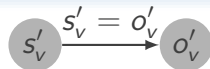




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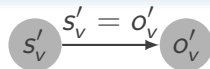
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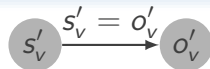
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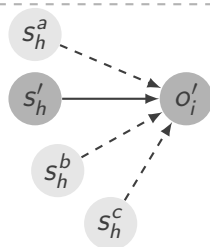
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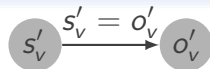
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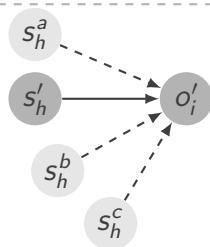
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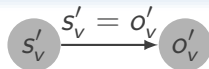
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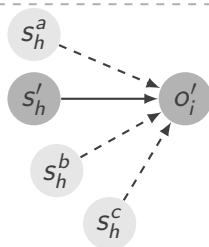
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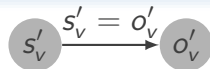
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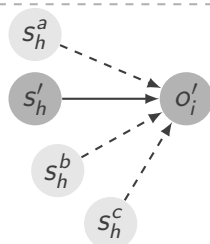
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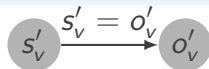
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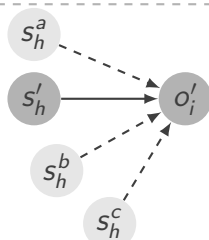
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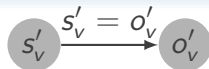
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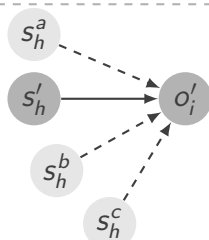
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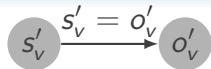
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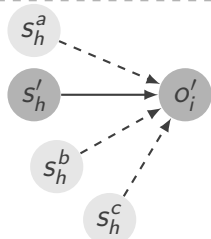
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$\rightarrow$  observations don't  
inform belief state on  $s'_f$ .



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# Belief variable factorization

global belief state from marginal belief variables

**bound over the global belief state**

$$\beta_{t+1}(s'_1, \dots, s'_n) = \pi(s'_1, \dots, s'_n \mid a_0, o_1, \dots, a_t, o_{t+1})$$

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- min of marginals = a **less informative** belief state
- computed using **marginal belief states**  
→ **factorization & smaller state space**

# Conclusion

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- **hybrid POMDP**  $\xrightarrow{\text{translation}}$  MDP  
 $\rightarrow$  probabilities on possibilistic belief states  
pessimistic rewards (Choquet integral)  
 $\rightarrow$  factored POMDP  $\xrightarrow{\text{translation}}$  factored **finite** MPD



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## perspectives

- refined criteria (*Weng 2005, Dubois et al. 2005*)  
 $\Rightarrow$  finer  $\pi$ -POMDP
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quantitative information may be available: hybrid work

- IPPC problems (factored POMDPs);
- tests of this approach:
  - 1 **simplification:**  $\pi$  distributions definition?
  - 2 **imprecision:** robust in practice?

# Thank you!

produced work:

- *Qualitative Possibilistic Mixed-Observable MDPs*, **UAI-2013**
- *Structured Possibilistic Planning Using Decision Diagrams*,  
**AAAI-2014**
- *Planning in Partially Observable Domains with Fuzzy Epistemic States and Probabilistic Dynamics*,  
**SUM-2015**
- *Processus Décisionnels de Markov Possibilistes à Observabilité Mixte*,  
Revue d'Intelligence Artificielle (**RIA french journal**)
- *A Possibilistic Estimation of Human Attentional Errors*,  
submitted to **IEEE-TFS journal**