

## 1 Groups

We already saw what groups are. Let us quickly revise. A group  $(G, *)$  consists of a set  $G$  with a binary operation  $*$  on  $G$  satisfying the following three axioms:

1. **Associativity:**  $a * (b * c) = (a * b) * c$  for all  $a, b, c \in G$ .
2. **Identity Element:** There is an element  $1 \in G$ , called the identity element, such that  $a * 1 = 1 * a = a$  for all  $a \in G$ .
3. **Inverse:** For each  $a \in G$ , there exists an element  $a^{-1} \in G$ , called the inverse of  $a$ , such that  $a * a^{-1} = a^{-1} * a = 1$ .

**Note:** A group  $G$  is abelian (or commutative) if, furthermore,  $a * b = b * a$  for all  $a, b \in G$ .

### 1.1 Examples

1.  $(G, *)$  where  $G$  is the set of all invertible matrices.
2.  $(\mathbb{Z}, +)$
3.  $(\mathbb{Z}, *)$
4.  $(\mathbb{Z}, -)$
5.  $(\mathbb{Q}, *)$
6.  $(\mathbb{Q} - \{0\}, *)$
7.  $(\mathbb{Z}_n, +_n)$

Let's check if  $(\mathbb{Z}_n, +_n)$  is a group or not where the set  $\mathbb{Z}_n$  contains integers from 0 to  $n - 1$  (inclusive), and the operation  $+_n$  is defined as  $x +_n y = (x + y) \bmod n$ .

- Checking for associativity:

$$\begin{aligned}(x +_n y) +_n z &= (((x + y) \bmod n) + z) \bmod n \\ &= (x + (y + z) \bmod n) \bmod n \\ &= x +_n (y +_n z)\end{aligned}$$

Hence,  $(\mathbb{Z}_n, +_n)$  is associative.

- Checking for identity: 0 is the identity element as  $x +_n 0 = x = 0 +_n x$ .

- Checking for inverse: For any  $x$  in  $\mathbb{Z}_n$ , the inverse of  $x$  is  $n - x$ , since:

$$\begin{aligned} x +_n (n - x) &= (x + (n - x)) \mod n \\ &= n \mod n \\ &= 0 \end{aligned}$$

Thus, every element in  $\mathbb{Z}_n$  has an inverse.

Therefore,  $(\mathbb{Z}_n, +_n)$  is a group, and it's also an abelian group.

8. Let's check if  $(\mathbb{Z}_n - \{0\}, *_n)$  is a group or not where the operation  $*_n$  is defined as  $(a, b) *_n (c, d) = (a *_1 c) \mod n \times (b *_2 d) \mod n$ .

- This operation is associative on the given set.
- Identity Element: The identity element is  $(1, 1)$ .
- Inverse Element: The inverse of an element  $(x, y)$ , denoted as  $(x^{-1}, y^{-1})$ , exists only if  $\gcd(x, n) = 1$  and  $\gcd(y, n) = 1$ . Hence, not every element in  $(\mathbb{Z}_n - \{0\}) \times (\mathbb{Z}_n - \{0\})$  has an inverse under this operation.

Hence,  $(\mathbb{Z}_n - \{0\}, *_n)$  is a group if  $\gcd(x, n) = 1$ .

## 1.2 Subgroups

A non-empty subset  $H$  of a group  $(G, *)$  is a subgroup of  $G$  if  $H$  is itself a group with respect to the operation  $*$  of  $G$ . If  $H$  is a proper subset and a group with respect to  $*$  of  $G$  and  $H \neq G$ , then  $H$  is called a proper subgroup of  $(G, *)$ .  $H$  will have the following properties:

1.  $H \subseteq G$
2.  $H$  is itself a group with  $*$

Do note that  $(G, *)$  is a group because  $a \in G$ ,  $a * a \in G$ ,  $a * a * a \in G$ ,

Here  $*$  is just a notation for the operation which would be performed  $a^i = a * a * a \cdots a \in G$ . A group  $G$  is cyclic if there is an element  $\alpha \in G$  such that for every  $b \in G$ , there is an integer  $i$  with  $b = \alpha^i$ . This  $\alpha$  is called the generator of  $(G, *)$ . Order of an element  $a \in G$ ,  $O(a)$ , is the least positive integer  $m$  such that  $a^m = e$  (where  $e$  is the identity element of  $G$ ).

### 1.2.1 An Example

Given –  $O(a) = 5$ ,  $a^5 = e$ . So,  $S = \{e, a, a^2, a^3, a^4\}$  will be a subgroup of  $G$  as:

- $S \subseteq G$  and
- $(S, *)$  is a group since it is associative, commutative, and has an inverse ( $a^{-1} = a^4$  and so on).
- All elements in  $S$  are generated by  $a$  only. So,  $a$  is a cyclic subgroup of  $G$ .

<b>Note:</b> Every subset of $G$ is not necessarily a subgroup.
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### 1.2.2 Cyclic Subgroups

If  $G$  is a group and  $a \in G$ , then the set of all powers of  $a$  will form a cyclic subgroup generated by  $a$  and denoted by  $\langle a \rangle$ . Let  $G$  be a group and  $a \in G$  be an element of finite order  $t$ , then  $|\langle a \rangle|$  denotes the size of the subgroup generated by  $a$  and equals  $t$ .

### 1.2.3 Lagrange's Subgroups

If  $G$  is a finite group and  $H$  is a subgroup of  $G$ , then  $|H|$  divides  $|G|$ . Since the order of the element generating the subgroup is equal to the cardinality of the subgroup, therefore, the order of the element also divides  $|G|$ .

Let  $a \in G$  and  $O(a)$  be the order of element  $a$ . Therefore,

$$S = \{a^0, a^1, a^2, \dots, a^{O(a)-1}\} = \langle a \rangle$$

$(S, *)$  is a subgroup of  $(G, *)$ .

From Lagrange's Theorem,

$$|H| \text{ divides } |G| \Rightarrow O(a) \text{ divides } |G|$$

### 1.3 Important Result

If the order of  $a \in G$  is  $t$ , then the order of  $a^k$  is  $t/\gcd(t, k)$ .

$$\langle a \rangle = \{e, a, a^2, \dots, a^{O(a)-1}\}$$

$$\langle at \rangle = \{e, ar, a^2t, \dots, (at)^{O(at)-1}\}$$

$$B = at$$

$$\langle at \rangle = \langle b \rangle = \{e, b, b^2, \dots, b^{O(b)-1}\}$$

If  $\gcd(t, O(a)) = 1$ , then  $O(at) = O(a)$

## 2 Ring

A ring  $(R, +_R, \times_R)$  consists of one set  $R$  with two binary operations arbitrarily denoted by  $+_R$  (addition) and  $\times_R$  (multiplication) on  $R$  satisfying the following properties:

1.  $(R, +_R)$  is an abelian group with the identity element  $0_R$ .
2. The operation  $\times_R$  is associative, that is,

$$a \times_R (b \times_R c) = (a \times_R b) \times_R c \text{ for all } a, b, c \in R$$

3. There is a multiplicative identity denoted by  $1_R$  with  $1_R \neq 0_R$  such that  $1_R \times_R a = a \times_R 1_R = a$  for all  $a \in R$ .

4. The operation  $\times_R$  is distributive over  $+_R$ , that is,

$$(b +_R c) \times_R a = (b \times_R a) +_R (c \times_R a)$$

$$a \times_R (b +_R c) = (a \times_R b) +_R (a \times_R c)$$

**Note:** We do not worry about the inverse of  $\times_R$ .

## 2.1 Examples

1.  $(\mathbb{Z}, +, \cdot)$ :

- $(\mathbb{Z}, +)$ : abelian group
  - (a) Associativity:  $a + (b + c) = (a + b) + c$
  - (b) Identity Element:  $a + 0 = a = 0 + a$  (0 is the identity element)
  - (c) Inverse:  $a + (-a) = 0 = (-a) + a$
  - (d) Abelian Property:  $a + b = b + a$  for all  $a, b \in \mathbb{Z}$
- $(\mathbb{Z}, \cdot)$ :
- Distributive property:  $a \cdot 1 = 1 \cdot a = a$ , where 1 is the identity element.
- Distributive property:  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$
- Distributive property:  $(b + c) \cdot a = (b \cdot a) + (c \cdot a)$

2.  $(\mathbb{R}, +_R, \times_R)$ :  $a \times_R b = b \times_R a$  for all  $a, b \in \mathbb{R}$ , hence it is a commutative ring.

3.  $(\mathbb{Z}, +, \cdot)$ : Commutative ring.

## 2.2 Units

An element  $a$  of a ring  $R$  is called a unit or an invertible element if there exists an element  $b \in R$  such that  $a \times_R b = 1_R$ . (1 is the unit element in  $(\mathbb{Z}, +, \cdot)$ ). The set of units in a ring  $R$  forms a group under the multiplication operation. This is known as the group of units of  $R$ . (Since, the inverse was missing and we added that as well).

## 3 Field

A field is a non-empty set  $F$  together with two binary operations, addition (+) and multiplication ( $\cdot$ ), for which the following properties are satisfied:

1.  $(F, +)$  is an abelian group.
2. If  $0_F$  denotes the additive identity element of  $(F, +)$ , then  $(F - \{0_F\}, \cdot)$  is an abelian group.
3. For all  $a, b, c \in F$ , we have  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$ .

### 3.1 Examples

1.  $(\mathbb{Z}, +, \cdot)$ :
  - $(\mathbb{Z}, +)$  is an abelian group with identity element 0.
  - But for the set  $\mathbb{Z} - \{0\}$ , multiplicative inverse does not exist. Hence,  $(\mathbb{Z} - \{0\}, \cdot)$  is not an abelian group.
  - Hence,  $(\mathbb{Z}, +, \cdot)$  is not a field.
2.  $(\mathbb{Q}, +, \cdot)$ :
  - $(\mathbb{Z}, +)$  is an abelian group with identity element 0.
  - For the set  $\mathbb{Q} - \{0\}$ , multiplicative inverse exists for every rational number.
  - Multiplication is distributive over addition on rational numbers. Hence,  $(\mathbb{Q}, +, \cdot)$  is a field.
3.  $(\mathbb{F}_p, +_p, \cdot_p)$ , where  $\mathbb{F}_p = \{0, 1, 2, \dots, p-1\}$  and  $p$  is any prime number:
  - $+_p$ :  $(x + y) \bmod p$  - Trivially, this is an abelian group.
  - $\cdot_p$ :  $(x \cdot y) \bmod p$  - Remove 0 from  $\mathbb{F}_p$  then  $\gcd(x, p) = 1$  since  $p$  is prime. Also, it is trivial that  $\cdot_p$  is distributive over  $+_p$ .
  - Hence  $(\mathbb{F}_p, +_p, \cdot_p)$  is a field.

### 3.2 Field Extension

Suppose  $K_2$  is a field with addition (+) and multiplication ( $\cdot$ ). Suppose  $K_1 \subseteq K_2$  is closed under both these operations such that  $K_1$  itself is a field with the restriction of + and  $\cdot$  to the set  $K_1$ . Then  $K_1$  is called a subfield of  $K_2$  and  $K_2$  is called a field extension of  $K_1$ .

## 4 Polynomial Ring

Let  $(F, +, *)$  be a field. The set of polynomials of any degree  $F[x]$  is defined as:

$$F[x] = \{a_0 + a_1 \cdot x + a_2 \cdot x^2 + \dots | a_i \in F\}$$

The polynomial ring, denoted as  $F[x]$ , consists of all polynomials in the variable  $x$  whose coefficients are elements of the field  $F$ . This ring is formed by combining the set of polynomials with the field's binary operations, thereby establishing a structure where polynomial addition and multiplication satisfy the ring properties.

$$(F[x], +, *) \rightarrow \text{Polynomial Ring}$$

Let  $P_1(x) \in F[x] = a_0 + a_1 \cdot x + \dots + a_n \cdot x^n$

$P_2(x) \in F[x] = b_0 + b_1 \cdot x + \dots + b_n \cdot x^n$

If we want to add the two polynomials:

$$P_1(x) + P_2(x) = (a_0 + a_1 \cdot x + \dots + a_n \cdot x^n) + (b_0 + b_1 \cdot x + \dots + b_n \cdot x^n)$$

$$P_1(x) + P_2(x) = (a_0 + b_0) + (a_1 + b_1) \cdot x + \dots + (a_n + b_n) \cdot x^n$$

Multiplication operation of the two polynomials:

$$P_1(x) * P_2(x) = (a_0 + a_1 \cdot x + \dots + a_n \cdot x^n) * (b_0 + b_1 \cdot x + \dots + b_n \cdot x^n)$$

$$P_1(x) * P_2(x) = (a_0 * b_0) + (a_0 * b_1 + b_0 * a_1) \cdot x + \dots + (a_n * b_n) \cdot x^n$$

Additive inverse of  $P(x)$ :

$$P(x) = a_0 + a_1 \cdot x + \dots + a_n \cdot x^n$$

$$P(-x) = -a_0 + (-a_1) \cdot x + \dots + (-a_n) \cdot x^n$$

Clearly,  $P(-x)$  is the additive inverse of  $P(x)$ . Here, the negative sign does not mean the standard negation.

A polynomial ring is formally defined as the set of all polynomials  $F[x]$  along with the operations of addition (+) and multiplication (\*), and it is referred to as a ring if it satisfies the following conditions:

1.  $(F[x], +)$  is an abelian group.
2. \* is associative over  $F[x]$ .
3. An identity element over multiplication exists.
4. \* is distributive over +.

## 4.1 Example

Consider the set  $F = \{0, 1\}$  and the field  $(F, +_2, *_2)$ . Therefore, the polynomial set  $F_2[x]$  is:

$$F_2[x] = \{a_0 + a_1 \cdot x + \dots \mid a_i \in F\}$$

Let us take two example polynomials:

$$p(x) = x + 1$$

$$q(x) = x^2 + x + 1$$

$$p(x) +_2 q(x) = (x + 1) +_2 (x^2 + x + 1) = x^2 + (1 +_2 1) \cdot x + (1 +_2 1) = x^2$$

$$p(x) *_2 q(x) = (x + 1) *_2 (x^2 + x + 1)$$

$$p(x) *_2 q(x) = (x^3 + x^2 + x) + (x^2 + x + 1) = x^3 + (1 +_2 1) \cdot x^2 + (1 +_2 1) \cdot x + 1$$

$$p(x) *_2 q(x) = x^3 + 1$$

Here, to get the coefficient of  $x^i$ , we will perform addition (of terms forming power  $i$ ) or multiplication (of terms forming power  $i$ ) modulo 2 operation.

## 5 Irreducible Polynomials

A polynomial  $P(x) \in F[x]$  of degree  $n \geq 1$  is called irreducible if it cannot be written in the form of  $P_1(x) * P_2(x)$  with  $P_1(x), P_2(x) \in F[x]$  and the degree of  $P_1(x), P_2(x)$  must be greater than or equal to 1.

## 5.1 Important Property

$x^2 + 1$  belongs to  $F_2[x]$ .

$$(x + 1) * (x + 1) = x^2 + (1 + 1) * x + 1 = x^2 + 1.$$

Therefore,  $x^2 + 1 = (x + 1) * (x + 1)$  in  $F_2[x]$ . Hence,  $x^2 + 1$  is reducible in  $F_2[x]$ . Now, consider a set denoted by  $I$ , containing polynomials defined as:

$$I = \langle P(x) \rangle = \{q(x) * P(x) | q(x) \in F[x]\}$$

Also, consider the set denoted by  $F[x]/\langle P(x) \rangle$ , where each element is formed by dividing an element from  $F[x]$  by  $P(x)$ .

For any  $q(x) \in F[x]$ , there exist polynomials  $d(x)$  and  $r(x)$  such that:

$$q(x) = d(x) * P(x) + r(x)$$

where  $r(x) \in F[x]/\langle P(x) \rangle$ .

Also, if  $P(x)$  is an irreducible polynomial, then  $(F[x]/\langle P(x) \rangle, +, *)$  forms a field. In this context, addition and multiplication operations are performed modulo  $P(x)$ . Notably, the degree of  $r(x)$  is always less than the degree of  $P(x)$ .

## 5.2 Examples

1.  $x^2 + 1$  in  $\mathbb{R}[x]$ :

- It is not possible to factor  $x^2 + 1$  in  $\mathbb{R}[x]$ , where  $\mathbb{R}$  is the set of real numbers.
- Let  $P(x) = q_1(x) \cdot q_2(x)$ .
- $\text{Deg}(q_1) \geq 1$
- $\text{Deg}(q_2) \geq 1$
- $x^2 + 1 = 0$
- $x^2 = -1$
- $x = \pm i$
- $(x + \alpha)$  and  $(x - \alpha)$
- It is not a reducible polynomial because to reduce, it would result in  $(x + i)$  and  $(x - i)$  which are complex numbers, but it is in  $\mathbb{R}$  (Real numbers). So, it is irreducible.

2.  $x^2 + x + 1$  in  $\mathbb{F}_2[x]$ , where  $\mathbb{F}_2 = \{0, 1\}$ :

- The polynomial  $P(x) = x^2 + x + 1$  is irreducible.
- We will put  $x = 0$  and  $x = 1$ :
- $P(0) = 1$
- $P(1) = 1$
- So,  $(x + 0)$  and  $(x + 1)$  are not factors of  $P(x)$ . There are no degree 1 factors of this  $P(x)$ . Hence, it is irreducible.

Consider the set  $\mathbb{F}_2[x]/\langle x^2 + x + 1 \rangle$ : For any polynomial  $q(x)$ , we can express it as:

$$q(x) = d(x) \cdot P(x) + r(x)$$

where  $\deg(d(x)) < 2$  and  $\deg(r(x)) < 2$ . The possible remainders  $r(x)$  can be  $\{0, 1, x, x + 1\}$ . If  $P(x)$  is an  $n$ -degree polynomial under modulo 2, then there will be  $2^{2n}$  polynomials in  $r(x)$ , meaning  $\mathbb{F}_2[x]/\langle x^2 + x + 1 \rangle$  will have  $2^2 = 4^2 = 16$  polynomials.

## 6 Primitive Polynomials

Consider the set  $\mathbb{F}_2[x]/\langle x^2 + x + 1 \rangle$ . We've established that if  $P(x)$  is irreducible, then  $(\mathbb{F}_2[x]/\langle x \rangle, +, *)$  forms a field. Now, suppose  $\alpha$  is a root of  $x^2 + x + 1 = 0$ , i.e.,  $\alpha^2 + \alpha + 1 = 0$ . This implies  $\alpha^2 = -\alpha - 1 = \alpha + 1$ . If  $\alpha$  can generate all possible polynomials in  $\mathbb{F}_2[x]/\langle x^2 + x + 1 \rangle$ , then  $x^2 + x + 1$  is termed a primitive polynomial.

Let's demonstrate this:

$$\langle \alpha \rangle = \{0, 1 = \alpha^0, \alpha, \alpha + 1 = \alpha^2\}$$

The order of  $\alpha$ , denoted as  $O(\alpha)$ , is 2. Hence,  $x^2 + x + 1$  is a primitive polynomial.

### 6.1 Example

Consider  $\mathbb{F}_2[x]/\langle x^3 + x + 1 \rangle$ . The maximum number of polynomials that can be generated:  $\{0, 1, x, x + 1, x^2, x^2 + 1, x^2 + x, x^2 + x + 1\}$ .

Let's check if the root of  $x^3 + x + 1 = 0$  is a generator:

$$\alpha^3 + \alpha + 1 = 0 \Rightarrow \alpha^3 = \alpha + 1$$

$$\langle \alpha \rangle = \{0, 1 = \alpha^0, \alpha, \alpha^2, \alpha + 1 = \alpha^3, \alpha^2 + \alpha = \alpha^4, \alpha^2 + \alpha + 1 = \alpha^5, \alpha^2 + 1 = \alpha^6\}$$

Since we can generate all the polynomials,  $x^3 + x + 1$  is a primitive polynomial.

Note that there may exist a polynomial that is not primitive but still forms a field. That implies we can find a multiplicative inverse. Consider the polynomial  $\alpha x$ . Instead of  $1/\alpha x$ , we have the polynomial  $\alpha^2 + 1/x^2 + 1$ , which results in 1 on multiplication.

$$x \cdot (x^2 + 1) = x^3 + x = x + 1 + x = 1$$

Similarly, for  $x^2$ , the multiplicative inverse is  $x^2 + x + 1$ .

$$x^2 \cdot (x^2 + x + 1) = x^4 + x^3 + x^2 = x \cdot (x + 1) + (x + 1) + x^2$$

$$x^2 \cdot (x^2 + x + 1) = x^2 + x + x + 1 + x^2 = 1$$

## 7 AES (Advanced Encryption Standard)

After DES was found to be vulnerable once it was released to the public, a new competition was held named AES to find a better cipher. In the competition, Rindel was the winning cipher and hence according to the rules of the competition, it was renamed to AES. AES is a NIST Standardized iterated block cipher and a substitution permutation network (SPN) as well.

Let us see the variations of AES:

### 1. AES-128:

- Block size – 128 bit
- Number of rounds – 10
- Secret Key size – 128

### 2. AES-192:

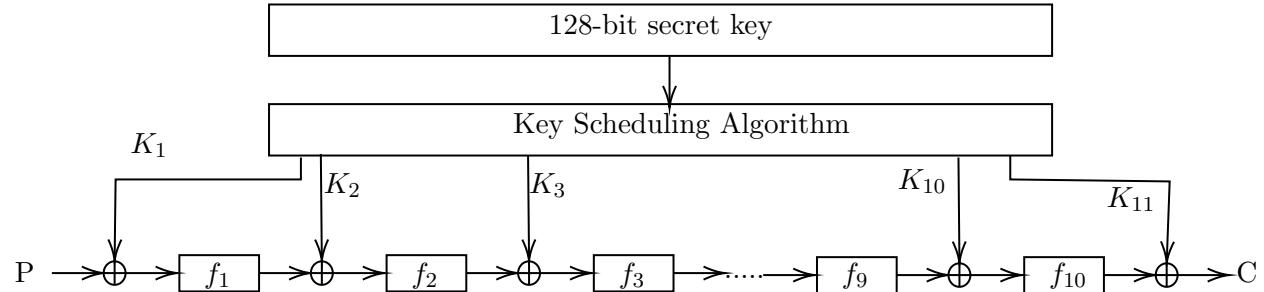
- Block size – 128 bit
- Number of rounds – 12
- Secret Key size – 192

### 3. AES-256:

- Block size – 128 bit
- Number of rounds – 14
- Secret Key size – 256

**Note:** In all these three, only the number of rounds and the secret key size change!

## 7.1 Structure of AES



Important Observations -

- 10 Rounds
- 11 Keys Generated
- Ciphertext also of 128 bits